## **INSTRUCTIONS:**

- 1. Submit your solution to Gradescope by the due time; no late submissions will be accepted.
- 2. Type your solution (except figures; figures can be hand-drawn and then scanned); no hand-written solutions will be accepted.

## Problem 1 (10 points).

Let T be the dynamic programming table when computing the edit distance between two strings. Prove that along any diagonal of T the values are non-decreasing.

# Problem 2 (10 points).

Let S be a set of reads, each of which is of length at most L. Prove that the shortest common superstring (SCS) of S does not contain a repeat of length 2L-1.

# Problem 3 (10 points).

Given two strings s and t, design an algorithm to find the optimal suffix-prefix alignment (Lecture 19). Specifically, the algorithm should find a suffix of s, a prefix of t, and an alignment between the suffix and the prefix such that its score is maximized. You are given the score matrix score(a,b),  $a,b \in \Sigma$ , which gives the score when letter a is aligned to letter b. You may assume the unit gap score, i.e., the score of a single gap "-" is a fixed given parameter g, g < 0. Your algorithm should run in  $O(|s| \cdot |t|)$  time.

**Solution.** Define OPT(i, j) as the maximum score of aligning *some prefix* of  $s[1 \cdots i]$  and  $t[1 \cdots j]$ . The recurrence will be:

$$OPT(i,j) = \max \left\{ \begin{array}{l} OPT(i-1,j-1) + score(s[i],t[j]) \\ OPT(i-1,j) + g \\ OPT(i,j-1) + g \end{array} \right.$$

Be careful with the initialization step. Note that OPT(i,j) compare a suffix of  $s[1\cdots i]$  with  $t[1\cdots j]$ . Henc, we should initialize OPT(i,0)=0, for any  $0\leq i\leq |s|$ , as we can always choose the empty suffix of  $s[1\cdots i]$  to compare with t, which is empty in this case. We initialize  $OPT(0,j)=j\cdot g$ . The entire table can be filled up using above recurrence. Be careful again about the termination step. Notice that t can stop any where, as we align a suffix of s to a *prefix* of t. So we should pick  $\max_{0\leq j\leq |t|} OPT(|s|,j)$ , which gives the optimal score. The actual alignment can be obtained with trace-back.

Common issues found in grading: (a), incorrect or missing initialization; deducting 20% points. (b), finding maximum thoughout the entire table or missing the termination step; deducting 20% points.

### Problem 4 (10 points).

You run an ice cream business, and you want to place some advertisements in your local newspaper. There are two kinds of ads you can run, Type-C and Type-W, and you've noticed that Type-C works best on cold days (by promoting the good taste of your ice cream) and Type-W works best on warm days (by mentioning how cold and refreshing your ice cream is). Depending on the weather and which ad you run, you see a certain amount of increased profit that day: on a cold day the profit will be \$75 if running a Type-C ad and \$50 if running a Type-W ad, on that day; on a warm day the profit will be \$50 if running a Type-C ad and \$100 if running a Type-W ad, on that day. You have committed to running an ad every day. The cost of placing either a Type-C or Type-W ad is \$10 per day. But the newspaper charges you a fee of \$25 every time you change which ad you are running. You are given a (perfectly correct) weather prediction for the

next n days. Design a dynamic programming algorithm to select which ad to run on each of the next n days to maximize your total profit. For examples, for an input being WWWCCCWCWCWCW, where C/W indicates a cold/warm day, you should output WWWCCCWWWWWWW, where C/W indicates running a Type-C/typw-W ad, with a total profit of \$895. Your algorithm should run in O(n) time.

**Solution.** Define FC(i) as the maximum profit up to day-i while day-i runs a Type-C ad; define FW(i) as the maximum profit up to day-i while day-i runs a Type-W ad. We have the following recurrence: If day-i is cold, then:

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FC(i) = \max\{FC(i-1), FW(i-1) - 25\} + 75 - 10, and FW(i) = \max\{FW(i-1), FC(i-1) - 25\} + 50 - 10. If day-i is warm, then: FC(i) = \max\{FC(i-1), FW(i-1) - 25\} + 50 - 10, and FW(i) = \max\{FW(i-1), FC(i-1) - 25\} + 100 - 10.
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We initialize FC(0) = FW(0) = 0, and fill up two arrays FC and FW with above recurrence. Finally, we pick the larger one between FC(n) and FW(n). The actual choices for each day can be obtained through tracing back. The running time is certainly O(n) as calculating each FC(i) or FW(i) takes constant time.

# Problem 5 (10 points).

Consider the (global) edit distance problem. Often there are multiple optimal alignments (i.e., all have the same, minimized edit distance). Given two sequences s and t, design an algorithm to compute the number of distinct optimal alignments. Your algorithm should run in  $O(|s| \cdot |t|)$  time. *Hint:* the number of distinct optimal alignments can be obtained by computing the number of optimal traceback paths.

### Problem 6 (10 points).

You are given an undirected graph G = (V, E) and an integer k; assume that  $|E| \ge k$ . You aim to remove k edges from G such that in the resulting graph the number of connected components is maximized. Formulate this problem as an ILP. *Hint:* the main idea can be borrowed from Lecture 21.

**Solution.** Assume |V| = n, |E| = m,  $V = \{v_1, v_2, \dots, v_n\}$ . For each edge  $e \in E$ , we use binary variable  $x_e$  to indicate whether e will be kept  $(x_e = 1)$  or removed  $(x_e = 0)$ . We add a constraint  $\sum_{e \in E} x_e = m - k$  to make sure k edges will be removed. Then, we add a variable  $y_i$  to represent the label of  $v_i$ . We use constraint  $y_i \ge 1$  and  $y_i \le i$ ,  $1 \le i \le n$ , to set a distinct upper bound for different vertices, as we did in Lecture 21. For any edge  $e = (v_i, v_j)$  we add constraint  $y_i \le y_j + i \cdot (1 - x_e)$  and  $y_j \le y_i + j \cdot (1 - x_e)$  to guarantee that, if edge e gets kept, i.e.,  $x_e = 1$ , then  $v_i$  and  $v_j$  will have the same label, i.e.,  $y_i = y_j$ .

Think about any connected component (after removing k edges): all vertices in it will have the same label because the connected vertices by edges will have the same label and such equality will propogate to the entire component. Therefore, *at most* one vertex in each component can reach its upper bound, since all vertices have distinct upper bounds. This gives a way to count the number of connected components: by counting the number of vertices whose label reaches its upper bound! Now, introduce binary variable  $z_i$  for vertex  $v_i$  to indicate whether the label for  $v_i$  can reach its upper bound, i.e., whether  $y_i = i$ ; we use contraints:  $i \cdot z_i \leq y_i$  to implement that (you can see  $z_i = 1$  only if  $y_i = i$ ). Finally, we set the objective function to be  $\max \sum_{i=1}^{n} z_i$ , which is to maximize the number of vertices whose label reaches its upper bound, which is equivalent to maximize the number of components.