

# <sup>1</sup> Formally Verified Liveness with Synchronous <sup>2</sup> Multiparty Session Types in Rocq

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## <sup>7</sup> — Abstract —

<sup>8</sup> We mechanise a synchronous multiparty session type framework that guarantees liveness for typed  
<sup>9</sup> processes. We type sessions using a context of local types, and use "association" with global types to  
<sup>10</sup> denote a set of well-behaved local type contexts. We give LTS semantics to local contexts and global  
<sup>11</sup> types and prove operational correspondences between the LTSs local context and their associated  
<sup>12</sup> global types. We then prove that sessions typed by a local context that's associated with a global  
<sup>13</sup> type are live.

<sup>14</sup> **2012 ACM Subject Classification** Replace ccsdesc macro with valid one

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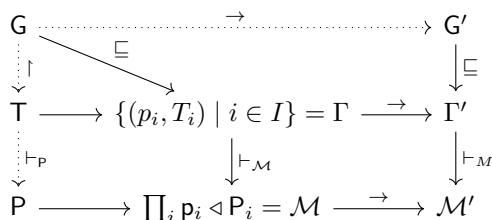
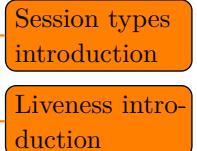
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## <sup>18</sup> 1 Introduction

<sup>19</sup> In this work we present the Rocq formalisation of a session type system for a simple  
<sup>20</sup> session calculus, and prove that sessions typable in this system are *safe*, *deadlock-free*, and  
<sup>21</sup> *live*. The approach we take in our type system is very similar to the one followed by Hou  
<sup>22</sup> and Yoshida in [44]. Namely, we proceed by defining local and global type trees, and relate  
<sup>23</sup> them using projections. We then extend this projection relation to an *association* relation  
<sup>24</sup> between local type contexts i.e. collections of local types paired with participants, and global  
<sup>25</sup> type trees. Next we give LTS semantics to local type contexts and global type trees, and  
<sup>26</sup> prove an operational correspondence between them. We then proceed to formulate safety  
<sup>27</sup> and liveness properties for local type contexts, and show that local type contexts associated  
<sup>28</sup> with global type trees enjoy these properties. We relate associated local type contexts to  
<sup>29</sup> sessions via typing rules, and demonstrate an operational correspondence between contexts  
<sup>30</sup> and sessions via *subject reduction*, *progress* and *session fidelity* theorems. Finally we show,  
<sup>31</sup> using the liveness properties we defined on local type contexts, that typable sessions are live.  
<sup>32</sup>

<sup>33</sup> Our Rocq implementation builds upon the recent formalisation of subject reduction for  
<sup>34</sup> MPST by Ekici et. al. [14], which itself is based on [17]. The methodology in [14] takes an  
<sup>35</sup>



**Figure 1** Design overview. The dotted lines correspond to relations inherited from [14] while the solid lines denote relations that are new, or substantially rewritten, in this paper.



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specifics of  
the project

36 equirecursive approach where an inductive syntactic global or local type is identified with  
37 the coinductive tree obtained by fully unfolding the recursion. It then defines a coinductive  
38 projection relation between global and local type trees, the LTS semantics for global type  
39 trees, and typing rules for the session calculus outlined in [17]. We extensively make use of  
40 these definitions and the lemmas concerning them.

41 **Outline.** In Section 2 we define our session calculus and its LTS semantics. In Section 3  
42 we introduce local and global type trees. In Section 4 we give LTS semantics to local type  
43 contexts and global types, and detail the association relation between them. In Section 5  
44 we define safety and liveness for local type contexts, and prove that they hold for contexts  
45 associated with a global type tree. In Section 6 we give the typing rules for our session  
46 calculus, and prove *non-stuck* and *liveness* properties for typable sessions.  
47

## 48 2 The Session Calculus

49 We introduce the simple synchronous session calculus that our type system will be used  
50 on.

### 51 2.1 Processes and Sessions

52 ► **Definition 2.1** (Expressions and Processes). *We define processes as follows:*

$$\text{P} ::= p!\ell(e).P \mid \sum_{i \in I} p?\ell_i(x_i).P_i \mid \text{if } e \text{ then } P \text{ else } P \mid \mu X.P \mid X \mid 0$$

53 where  $e$  is an expression that can be a variable, a value such as `true`,  $0$  or  $-3$ , or a term  
54 built from expressions by applying the operators `succ`, `neg`,  $\neg$ , non-deterministic choice  $\oplus$   
55 and  $>$ .

56  $p!\ell(e).P$  is a process that sends the value of expression  $e$  with label  $\ell$  to participant  $p$ , and  
57 continues with process  $P$ .  $\sum_{i \in I} p?\ell_i(x_i).P_i$  is a process that may receive a value from  $p$  with  
58 any label  $\ell_i$  where  $i \in I$ , binding the result to  $x_i$  and continuing with  $P_i$ , depending on  
59 which  $\ell_i$  the value was received from.  $X$  is a recursion variable,  $\mu X.P$  is a recursive process,  
60 if  $e$  then  $P$  else  $P$  is a conditional and  $0$  is a terminated process.

61 Processes can be composed in parallel into sessions.

62 ► **Definition 2.2** (Multiparty Sessions). *Multiparty sessions are defined as follows.*

$$\mathcal{M} ::= p \triangleleft P \mid (\mathcal{M} \mid \mathcal{M}) \mid \mathcal{O}$$

63  $p \triangleleft P$  denotes that participant  $p$  is running the process  $P$ ,  $\mid$  indicates parallel composition. We  
64 write  $\prod_{i \in I} p_i \triangleleft P_i$  to denote the session formed by  $p_i$  running  $P_i$  in parallel for all  $i \in I$ .  $\mathcal{O}$  is  
65 an empty session with no participants, that is, the unit of parallel composition.

66 ► **Remark 2.3.** Note that  $\mathcal{O}$  is different than  $p \triangleleft 0$  as  $p$  is a participant in the latter but not  
67 the former. This differs from previous work, e.g. in [17] the unit of parallel composition  
68 is  $p \triangleleft 0$  while in [14] there is no unit. The unitless approach of [14] results in a lot of  
69 repetition in the code, for an example see their definition of `unfoldP` which contains two of  
70 every constructor: one for when the session is composed of exactly two processes, and one for  
71 when it's composed of three or more. Therefore we chose to add an unit element to parallel  
72 composition. However, we didn't make that unit  $p \triangleleft 0$  in order to reuse some of the lemmas  
73 from [14] that use the fact that structural congruence preserves participants.

74 In Rocq processes and sessions are expressed in the following way

```

Inductive process : Type  $\triangleq$ 
| p_send : part  $\rightarrow$  label  $\rightarrow$  expr  $\rightarrow$  process  $\rightarrow$  process
| p_rect : part  $\rightarrow$  list(option process)  $\rightarrow$  process
| p_ite : expr  $\rightarrow$  process  $\rightarrow$  process  $\rightarrow$  process
| p_rec : process  $\rightarrow$  process
| p_var : nat  $\rightarrow$  process
| p_inact : process.

Inductive session : Type  $\triangleq$ 
| s_ind : part  $\rightarrow$  process  $\rightarrow$  session
| s_par : session  $\rightarrow$  session  $\rightarrow$  session
| s_zero : session.

Notation "p"  $\leftarrow\!\!\!-\>$  "P"  $\triangleq$  (s_indep P) (at level 50, no associativity).
Notation "s1"  $\parallel\!\!\!||\>$  "s2"  $\triangleq$  (s_par s1 s2) (at level 50, no associativity).

```

77

## 78 2.2 Structural Congruence and Operational Semantics

<sup>79</sup> We define a structural congruence relation  $\equiv$  on sessions which expresses the commutativity,  
<sup>80</sup> associativity and unit of the parallel composition operator.

$$\begin{array}{ll}
 \text{[SC-SYM]} & \text{[SC-ASSOC]} \\
 p \triangleleft P \mid q \triangleleft Q \equiv q \triangleleft Q \mid p \triangleleft P & (p \triangleleft P \mid q \triangleleft Q) \mid r \triangleleft R \equiv p \triangleleft P \mid (q \triangleleft Q \mid r \triangleleft R) \\
 \\ 
 \text{[SC-O]} \\
 p \triangleleft P \mid \mathcal{O} \equiv p \triangleleft P
 \end{array}$$

■ **Table 1** Structural Congruence over Sessions

We now give the operational semantics for sessions by the means of a labelled transition system. We will be giving two types of semantics: one which contains silent  $\tau$  transitions, and another, *reactive* semantics [42] which doesn't contain explicit  $\tau$  reductions while still considering  $\beta$  reductions up to silent actions. We will mostly be using the reactive semantics throughout this paper, for the advantages of this approach see Remark 6.4.

## 86 2.2.1 Semantics With Silent Transitions

<sup>87</sup> We have two kinds of transitions, *silent* ( $\tau$ ) and *observable* ( $\beta$ ). Correspondingly, we have  
<sup>88</sup> two kinds of *transition labels*,  $\tau$  and  $(p, q)\ell$  where  $p, q$  are participants and  $\ell$  is a message  
<sup>89</sup> label. We omit the semantics of expressions, they are standard and can be found in [17,  
<sup>90</sup> Table 1]. We write  $e \downarrow v$  when expression  $e$  evaluates to value  $v$ .

$\boxed{[R\text{-COMM}]}$	$j \in I \quad e \downarrow v$	
$p \triangleleft \sum_{i \in I} q? \ell_i(x_i).P_i \mid q \triangleleft p! \ell_j(e).Q \mid N$	$\xrightarrow{(p,q)\ell_j} p \triangleleft P_j[v/x_j] \mid q \triangleleft Q \mid N$	
$\boxed{[R\text{-REC}]}$	$\boxed{[R\text{-COND T}]}$	
$p \triangleleft \mu X.P \mid N \xrightarrow{\tau} p \triangleleft P[\mu X.P/X] \mid N$	$\frac{e \downarrow \text{true}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid N} \xrightarrow{\tau} p \triangleleft P \mid N$	
$\boxed{[R\text{-COND F}]}$	$e \downarrow \text{false}$	$\boxed{[R\text{-STRUCT}]}$
$p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid N \xrightarrow{\tau} p \triangleleft Q \mid N$		$N'_1 \equiv N_1 \quad N_1 \xrightarrow{\lambda} N_2 \quad N_2 \equiv N'_2$
		$N'_1 \xrightarrow{\lambda} N'_2$

**Table 2** Operational Semantics of Sessions

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91 In Table 2, [R-COMM] describes a synchronous communication from  $p$  to  $q$  via message  
 92 label  $\ell_j$ . [R-REC] unfolds recursion, [R-COND] and [R-COND] express how to evaluate  
 93 conditionals, and [R-STRUCT] shows that the reduction respects the structural pre-congruence.  
 94 We write  $\mathcal{M} \rightarrow \mathcal{N}$  if  $\mathcal{M} \xrightarrow{\lambda} \mathcal{N}$  for some transition label  $\lambda$ . We write  $\rightarrow^*$  to denote the  
 95 reflexive transitive closure of  $\rightarrow$ .

### 96 2.3 Reactive Semantics

97 In reactive semantics  $\tau$  transitions are captured by an *unfolding* relation ( $\Rightarrow$ ), and  $\beta$  reductions  
 are defined up to this unfolding.

$$\begin{array}{c}
 \frac{[\text{UNF-STRUCT}] \quad \mathcal{M} \equiv \mathcal{N}}{\mathcal{M} \Rightarrow \mathcal{N}} \quad \frac{[\text{UNF-REC}] \quad p \triangleleft \mu X.P \mid \mathcal{N} \Rightarrow p \triangleleft P[\mu X.P/X] \mid \mathcal{N}}{} \quad \frac{[\text{UNF-COND}] \quad e \downarrow \text{true}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \Rightarrow p \triangleleft P \mid \mathcal{N}} \\
 \\ 
 \frac{[\text{UNF-COND}] \quad e \downarrow \text{false}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \Rightarrow p \triangleleft Q \mid \mathcal{N}} \quad \frac{[\text{UNF-TRANS}] \quad \mathcal{M} \Rightarrow \mathcal{M}' \quad \mathcal{M}' \Rightarrow \mathcal{N}}{\mathcal{M} \Rightarrow \mathcal{N}}
 \end{array}$$

**Table 3** Unfolding of Sessions

98  
 99  $\mathcal{M} \Rightarrow \mathcal{N}$  means that  $\mathcal{M}$  can transition to  $\mathcal{N}$  through some internal actions, or  $\tau$  transitions  
 100 in the semantics of Section 2.2.1. We say that  $\mathcal{M}$  *unfolds* to  $\mathcal{N}$ . In Rocq it's captured by  
 the predicate `unfoldP : session → session → Prop`.

$$\begin{array}{c}
 [\text{R-COMM}] \\
 \frac{j \in I \quad e \downarrow v}{p \triangleleft \sum_{i \in I} q? \ell_i(x_i).P_i \mid q \triangleleft p! \ell_j(e).Q \mid \mathcal{N} \xrightarrow{(p,q)\ell_j} p \triangleleft P_j[v/x_j] \mid q \triangleleft Q \mid \mathcal{N}}
 \\ 
 [\text{R-UNFOLD}] \\
 \frac{\mathcal{M} \Rightarrow \mathcal{M}' \quad \mathcal{M}' \xrightarrow{\lambda} \mathcal{N}' \quad \mathcal{N}' \Rightarrow \mathcal{N}}{\mathcal{M} \xrightarrow{\lambda} \mathcal{N}}
 \end{array}$$

**Table 4** Reactive Semantics of Sessions

101  
 102 [R-COMM] captures communications between processes, and [R-UNFOLD] lets us consider  
 103 reductions up to unfoldings. In Rocq, `betaP_lbl M lambda M'` denotes  $\mathcal{M} \xrightarrow{\lambda} \mathcal{M}'$ . We write  
 104  $\mathcal{M} \rightarrow \mathcal{M}'$  if  $\mathcal{M} \xrightarrow{\lambda} \mathcal{M}'$  for some  $\lambda$ , which is written `betaP M M'` in Rocq. We write  $\rightarrow^*$  to  
 105 denote the reflexive transitive closure of  $\rightarrow$ , which is called `betaRtc` in Rocq.

## 106 3 The Type System

107 We introduce local and global types and trees and the subtyping and projection relations  
 108 based on [17]. We start by defining the sorts that will be used to type expressions, and local  
 109 types that will be used to type single processes.

110 **3.1 Local Types and Type Trees**

111 ► **Definition 3.1** (Sorts). We define sorts as follows:

112  $S ::= \text{int} \mid \text{bool} \mid \text{nat}$

113 and the corresponding Rocq

```
Inductive sort: Type  $\triangleq$ 
| sbool: sort
| sint : sort
| snat : sort.
```

114

115 ► **Definition 3.2.** Local types are defined inductively with the following syntax:

116  $\mathbb{T} ::= \text{end} \mid p\oplus\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I} \mid p\&\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I} \mid t \mid \mu t.\mathbb{T}$

117 Informally, in the above definition, `end` represents a role that has finished communicating.  
118  $p\oplus\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$  denotes a role that may, from any  $i \in I$ , receive a value of sort  $S_i$  with  
119 message label  $\ell_i$  and continue with  $\mathbb{T}_i$ . Similarly,  $p\&\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$  represents a role that may  
120 choose to send a value of sort  $S_i$  with message label  $\ell_i$  and continue with  $\mathbb{T}_i$  for any  $i \in I$ .  
121  $\mu t.\mathbb{T}$  represents a recursive type where  $t$  is a type variable. We assume that the indexing  
122 sets  $I$  are always non-empty. We also assume that recursion is always guarded.

123 We employ an equirecursive approach based on the standard techniques from [32] where  
124  $\mu t.\mathbb{T}$  is considered to be equivalent to its unfolding  $\mathbb{T}[\mu t.\mathbb{T}/t]$ . This enables us to identify  
125 a recursive type with the possibly infinite local type tree obtained by fully unfolding its  
126 recursive subterms.

127 ► **Definition 3.3.** Local type trees are defined coinductively with the following syntax:

128  $\mathbb{T} ::= \text{end} \mid p\&\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I} \mid p\oplus\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$

129 The corresponding Rocq definition is given below.

```
CoInductive ltt: Type  $\triangleq$ 
| ltt_end : ltt
| ltt_recv: part  $\rightarrow$  list (option(sort*ltt))  $\rightarrow$  ltt
| ltt_send: part  $\rightarrow$  list (option(sort*ltt))  $\rightarrow$  ltt.
```

130

131 Note that in Rocq we represent the continuations using a `list` of `option` types. In a  
132 continuation `gcs : list (option(sort*ltt))`, index  $k$  (using zero-indexing) being equal to  
133 `Some (s_k, T_k)` means that  $\ell_k(S_k).\mathbb{T}_k$  is available in the continuation. Similarly index  $k$   
134 being equal to `None` or being out of bounds of the list means that the message label  $\ell_k$  is not  
135 present in the continuation. Below are some of the constructions we use when working with  
136 option lists.

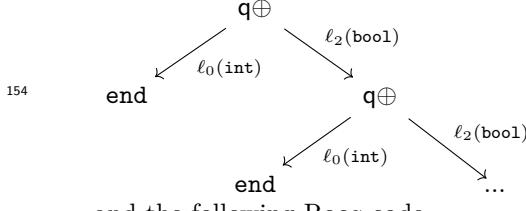
these may go

- 137 1. `SList xs`: A function that is equal to `True` if `xs` represents a continuation that has at  
138 least one element that is not `None`, and `False` otherwise.
- 139 2. `onth k xs`: A function that returns `Some x` if the element at index  $k$  (using 0-indexing) of  
140 `xs` is `Some x`, and returns `None` otherwise. Note that the function returns `None` if  $k$  is out  
141 of bounds for `xs`.
- 142 3. `Forall`, `Forall12` and `Forall12R`: `Forall` and `Forall12` are predicates from the Rocq Standard  
143 Library [37, List] that are used to quantify over elements of one list and pairwise  
144 elements of two lists, respectively. `Forall12R` is a weaker version of `Forall12` that might  
145 hold even if one parameter is shorter than the other. We frequently use `Forall12R` to  
146 express subset relations on continuations.

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147 ► Remark 3.4. Note that Rocq allows us to create types such as `ltt_send q []` which don't  
 148 correspond to well-formed local types as the continuation is empty. In our implementation  
 149 we define a predicate `wfltt : ltt → Prop` capturing that all the continuations in the local  
 150 type tree are non-empty. Henceforth we assume that all local types we mention satisfy this  
 151 property.

152 ► Example 3.5. Let local type  $\mathbb{T} = \mu t. q \oplus \{\ell_0(\text{int}).\text{end}, \ell_2(\text{bool}).t\}$ . This is equivalent to  
 153 the following infinite local type tree:



155 and the following Rocq code

```
CoFixpoint T ≡ ltt_send q [Some (sint, ltt_end), None, Some (sbool, T)]
```

156

157 We omit the details of the translation between local types and local type trees, the techni-  
 158 calities of our approach is explained in [17], and the Rocq implementation of translation is  
 159 detailed in [14]. From now on we work exclusively on local type trees.

160 ► Remark 3.6. We will occasionally be talking about equality (=) between coinductively  
 161 defined trees in Rocq. Rocq's Leibniz equality is not strong enough to treat as equal the  
 162 types that we will deem to be the same. To do that, we define a coinductive predicate  
 163 `lttIsoC` that captures isomorphism between coinductive trees and take as an axiom that  
 164 `lttIsoC T1 T2 → T1=T2`. Technical details can be found in [14].

### 165 3.2 Subtyping

166 We define the subsorting relation on sorts and the subtyping relation on local type trees.

167 ► Definition 3.7 (Subsorting and Subtyping). *Subsorting  $\leq$  is the least reflexive binary  
 168 relation that satisfies  $\text{nat} \leq \text{int}$ . Subtyping  $\leqslant$  is the largest relation between local type trees  
 169 coinductively defined by the following rules:*

$$\begin{array}{c}
 \frac{\forall i \in I : S'_i \leq S_i \quad T_i \leqslant T'_i}{\text{end} \leqslant \text{end}} \quad [\text{SUB-END}] \quad \frac{\forall i \in I : S'_i \leq S_i \quad T_i \leqslant T'_i}{p \& \{\ell_i(S_i).T_i\}_{i \in I \cup J} \leqslant p \& \{\ell_i(S'_i).T'_i\}_{i \in I}} \quad [\text{SUB-IN}] \\
 \\ 
 \frac{\forall i \in I : S_i \leq S'_i \quad T_i \leqslant T'_i}{p \oplus \{\ell_i(S_i).T_i\}_{i \in I} \leqslant p \oplus \{\ell_i(S'_i).T'_i\}_{i \in I \cup J}} \quad [\text{SUB-OUT}]
 \end{array}$$

171 Intuitively,  $T_1 \leqslant T_2$  means that a role of type  $T_1$  can be supplied anywhere a role of type  $T_2$   
 172 is needed. [SUB-IN] captures the fact that we can supply a role that is able to receive more  
 173 labels than specified, and [SUB-OUT] captures that we can supply a role that has fewer labels  
 174 available to send. Note the contravariance of the sorts in [SUB-IN], if the supertype demands  
 175 the ability to receive an `nat` then the subtype can receive `nat` or `int`.

176 In Rocq we express coinductive relations such as subtyping using the Paco library [20].  
 177 The idea behind Paco is to formulate the coinductive predicate as the greatest fixpoint of  
 178 an inductive relation parameterised by another relation `R` representing the "accumulated

knowledge" obtained during the course of the proof. Hence our subtyping relation looks like the following:

```
Inductive subtype (R: ltt → ltt → Prop): ltt → ltt → Prop ≜
| sub_end: subtype R ltt_end ltt_end
| sub_in : ∀ p xs ys,
  wfrec subsoft R ys xs →
  subtype R (ltt_recv p xs) (ltt_recv p ys)
| sub_out : ∀ p xs ys,
  wfsend subsoft R xs ys →
  subtype R (ltt_send p xs) (ltt_send p ys).
```

```
Definition subtypeC 11 12 ≜ paco2 subtype bot2 11 12.
```

181

182 In definition of the inductive relation `subtype`, constructors `sub_in` and `sub_out` correspond  
 183 to [SUB-IN] and [SUB-OUT] with `wfrec` and `wfsend` expressing the premises of those rules. Then  
 184 `subtypeC` defines the coinductive subtyping relation as a greatest fixed point. Given that  
 185 the relation `subtype` is monotone (proven in [14]), `paco2 subtype bot2` generates the greatest  
 186 fixed point of `subtype` with the "accumulated knowledge" parameter set to the empty relation  
 187 `bot2`. The 2 at the end of `paco2` and `bot2` stands for the arity of the predicates.

### 188 3.3 Global Types and Type Trees

189 While local types specify the behaviour of one role in a protocol, global types give a bird's  
 190 eye view of the whole protocol.

191 ► **Definition 3.8 (Global type).** *We define global types inductively as follows:*

192  $\mathbb{G} ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I} \mid t \mid \mu t.G$

193 We further inductively define the function `pt(G)` that denotes the participants of type  $\mathbb{G}$ :

194  $\text{pt}(\text{end}) = \text{pt}(t) = \emptyset$

195  $\text{pt}(p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}) = \{p, q\} \cup \bigcup_{i \in I} \text{pt}(G_i)$

196  $\text{pt}(\mu T.G) = \text{pt}(G)$

197 `end` denotes a protocol that has ended,  $p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  denotes a protocol where for  
 198 any  $i \in I$ , participant  $p$  may send a value of sort  $S_i$  to another participant  $q$  via message  
 199 label  $\ell_i$ , after which the protocol continues as  $G_i$ .

200 As in the case of local types, we adopt an equirecursive approach and work exclusively  
 201 on possibly infinite global type trees.

202 ► **Definition 3.9 (Global type trees).** *We define global type trees coinductively as follows:*

203  $\mathbb{G} ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$

204 with the corresponding Rocq code

```
CoInductive gtt: Type ≜
| gtt_end : gtt
| gtt_send : part → part → list (option (sort*gtt)) → gtt.
```

205

206 We extend the function `pt` onto trees by defining  $\text{pt}(G) = \text{pt}(\mathbb{G})$  where the global type  
 207  $\mathbb{G}$  corresponds to the global type tree  $G$ . Technical details of this definition such as well-  
 208 definedness can be found in [14, 17].

209 In Rocq `pt` is captured with the predicate `isgPartsC` : `part → gtt → Prop`, where  
 210 `isgPartsC p G` denotes  $p \in \text{pt}(G)$ .

## 211 3.4 Projection

212 We give definitions of projections with plain merging.

213 ▶ **Definition 3.10** (Projection). *The projection of a global type tree onto a participant  $r$  is the largest relation  $\upharpoonright_r$  between global type trees and local type trees such that, whenever  $G \upharpoonright_r T$ :*215 ■  $r \notin \text{pt}\{G\}$  implies  $T = \text{end}$ ; [PROJ-END]216 ■  $G = p \rightarrow r : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = p \& \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \upharpoonright_r T_i$  [PROJ-IN]217 ■  $G = r \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = q \oplus \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \upharpoonright_r T_i$  [PROJ-OUT]218 ■  $G = p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  and  $r \notin \{p, q\}$  implies that there are  $T_i, i \in I$  such that  
219      $T = \sqcap_{i \in I} T_i$  and  $\forall i \in I, G \upharpoonright_r T_i$  [PROJ-CONT]220 where  $\sqcap$  is the merging operator. We also define plain merge  $\sqcap$  as

221 
$$T_1 \sqcap T_2 = \begin{cases} T_1 & \text{if } T_1 = T_2 \\ \text{undefined} & \text{otherwise} \end{cases}$$

222 ▶ **Remark 3.11.** In the MPST literature there exists a more powerful merge operator named  
223 full merging, defined as

224 
$$T_1 \sqcap T_2 = \begin{cases} T_1 & \text{if } T_1 = T_2 \\ T_3 & \text{if } \exists I, J : \begin{cases} T_1 = p \& \{\ell_i(S_i).T_i\}_{i \in I} & \text{and} \\ T_2 = p \& \{\ell_j(S_J).T_j\}_{j \in J} & \text{and} \\ T_3 = p \& \{\ell_k(S_k).T_k\}_{k \in I \cup J} \end{cases} \\ \text{undefined} & \text{otherwise} \end{cases}$$

225 Indeed, one of the papers we base this work on [44] uses full merging. However we used plain  
226 merging in our formalisation and consequently in this work as it was already implemented in  
227 [14]. Generally speaking, the results we proved can be adapted to a full merge setting, see  
228 the proofs in [44].229 Informally, the projection of a global type tree  $G$  onto a participant  $r$  extracts a specification  
230 for participant  $r$  from the protocol whose bird's-eye view is given by  $G$ . [PROJ-END]  
231 expresses that if  $r$  is not a participant of  $G$  then  $r$  does nothing in the protocol. [PROJ-IN]  
232 and [PROJ-OUT] handle the cases where  $r$  is involved in a communication in the root of  $G$ .  
233 [PROJ-CONT] says that, if  $r$  is not involved in the root communication of  $G$ , then the only  
234 way it knows its role in the protocol is if there is a role for it that works no matter what  
235 choices  $p$  and  $q$  make in their communication. This "works no matter the choices of the other  
236 participants" property is captured by the merge operations.237 In Rocq these constructions are expressed with the inductive `isMerge` and the coinductive  
238 `projectionC`.

```
Inductive isMerge : ltt → list (option ltt) → Prop ≡
| matm : ∀ t, isMerge t (Some t :: nil)
| mconst : ∀ t xs, isMerge t xs → isMerge t (None :: xs)
| mcons : ∀ t xs, isMerge t xs → isMerge t (Some t :: xs).
```

239

240 `isMerge t xs` holds if the plain merge of the types in `xs` is equal to `t`.

```
Variant projection (R: gtt → part → ltt → Prop): gtt → part → ltt → Prop ≡
| proj_end : ∀ g r,
  (isPartsC r g → False) →
  projection R g r (litt_end)
| proj_in : ∀ p r xs ys,
  p ≠ r →
  (isPartsC r (ggt_send p r xs)) →
  List.Forall2 (fun u v ⇒ (u = None ∧ v = None) ∨ (exists s g t, u = Some(s, g) ∧ v = Some(s, t) ∧ R g r t)) xs ys →
```

241

```

projection R (ggt_send p r xs) r (litt_recv p ys)
| proj_out : ...
| proj_cont : ∀ p q r xs ys t,
  p ≠ q →
  q ≠ r →
  p ≠ r →
  (isgPartsC r (ggt_send p q xs)) →
  List.Forall2 (fun u v => (u = None ∧ v = None) ∨
  (exists s t, u = Some(s, g) ∧ v = Some t ∧ R g r t)) xs ys →
  isMerge t ys →
  projection R (ggt_send p q xs) r t.

```

242

As in the definition of `subtypeC`, `projectionC` is defined as a parameterised greatest fixed point using Paco. The premises of the rules [PROJ-IN], [PROJ-OUT] and [PROJ-CONT] are captured using the Rocq standard library predicate `List.Forall2 : ∀ A B : Type, (P:A → B → Prop) (xs:list A) (ys:list B) : Prop` that holds if  $P x y$  holds for every  $x, y$  where the index of  $x$  in  $xs$  is the same as the index of  $y$  in the index of  $ys$ .

We have the following fact about projections that lets us regard it as a partial function:

► **Lemma 3.12.** If  $\text{projection}_C G \models p \wedge T$  and  $\text{projection}_C G \models p \wedge T'$  then  $T = T'$ .

We write  $G \upharpoonright r = T$  when  $G \upharpoonright_r T$ . Furthermore we will be frequently be making assertions about subtypes of projections of a global type e.g.  $T \leq G \upharpoonright r$ . In our Rocq implementation we define the predicate `issubProj` as a shorthand for this.

```
Definition issubProj (t:ltt) (g:gtt) (p:part) ≡
  ∃ tg, projectionC g p tg ∧ subtypeC t tg.
```

253

## 254 3.5 Balancedness, Global Tree Contexts and Grafting

<sup>255</sup> We introduce an important constraint on the types of global type trees we will consider,  
<sup>256</sup> balancedness.

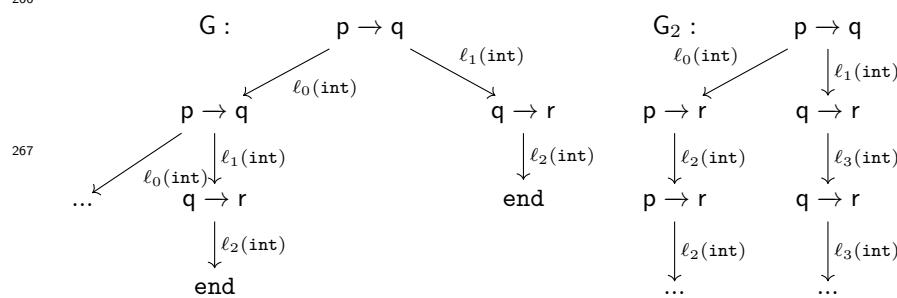
► **Definition 3.13** (Balanced Global Type Trees). A global tree  $G$  is balanced if for any subtree  $G'$  of  $G$ , there exists  $k$  such that for all  $p \in \text{pt}(G')$ ,  $p$  occurs on every path from the root of  $G'$  of length at least  $k$ .

260        *In Rocq balancedness is expressed with the predicate balancedG (G : gtt)*

<sup>261</sup> We omit the technical details of this definition and the Rocq implementation, they can be  
<sup>262</sup> found in [17] and [14].

► **Example 3.14.** The global type tree  $G$  given below is unbalanced as constantly following the left branch gives an infinite path where  $r$  doesn't occur despite being a participant of the tree. There is no such path for  $G_2$ , hence  $G_2$  is balanced.

266



Intuitively, balancedness is a regularity condition that imposes a notion of *liveness* on the protocol described by the global type tree. For example, G in Example 3.14 describes

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270 a defective protocol as it possible for  $p$  and  $q$  to constantly communicate through  $\ell_0$  and  
 271 leave  $r$  waiting to receive from  $q$  a communication that will never come. We will be exploring  
 272 these liveness properties from Section 4 onwards.

273 One other reason for formulating balancedness is that it allows us to use the "grafting"  
 274 technique, turning proofs by coinduction on infinite trees to proofs by induction on finite  
 275 global type tree contexts.

276 ▶ **Definition 3.15** (Global Type Tree Context). *Global type tree contexts are defined inductively  
 277 with the following syntax:*

278 
$$\mathcal{G} ::= p \rightarrow q : \{\ell_i(S_i).\mathcal{G}_i\}_{i \in I} \mid []_i$$

279 In Rocq global type tree contexts are represented by the type `gtth`

```
Inductive gtth: Type ≡
| gtth_hol : fin → gtth
| gtth_send : part → part → list (option * gtth) → gtth.
```

280

281 We additionally define `pt` and `ishParts` on contexts analogously to `pt` and `isgPartsC` on trees.

282 A global type tree context can be thought of as the finite prefix of a global type tree, where  
 283 holes  $[]_i$  indicate the cutoff points. Global type tree contexts are related to global type trees  
 284 with the grafting operation.

285 ▶ **Definition 3.16** (Grafting). *Given a global type tree context  $\mathcal{G}$  whose holes are in the  
 286 indexing set  $I$  and a set of global types  $\{G_i\}_{i \in I}$ , the grafting  $\mathcal{G}[G_i]_{i \in I}$  denotes the global type  
 287 tree obtained by substituting  $[]_i$  with  $G_i$  in  $Gx$ .*

288 In Rocq the indexed set  $\{G_i\}_{i \in I}$  is represented using a list `(option gtt)`. Grafting is  
 289 expressed by the following inductive relation:

```
Inductive typ_gtth : list (option gtt) → gtth → gtt → Prop.
```

290

291 `typ_gtth gs gtx gt` means that the grafting of the set of global type trees `gs` onto the context  
 292 `gtx` results in the tree `gt`.

293 Furthermore, we have the following lemma that relates global type tree contexts to  
 294 balanced global type trees.

295 ▶ **Lemma 3.17** (Proper Grafting Lemma, [14]). *If  $G$  is a balanced global type tree and  
 296 `isgPartsC p G`, then there is a global type tree context `Gctx` and an option list of global type  
 297 trees `gs` such that `typ_gtth gs Gctx G, ~ ishParts p Gctx` and every `Some` element of `gs` is of  
 298 shape `gtt_end`, `gtt_send p q` or `gtt_send q p`.*

299 3.17 enables us to represent a coinductive global type tree featuring participant  $p$  as the  
 300 grafting of a context that doesn't contain  $p$  with a list of trees that are all of a certain  
 301 structure. If `typ_gtth gs Gctx G, ~ ishParts p Gctx` and every `Some` element of `gs` is of shape  
 302 `gtt_end`, `gtt_send p q` or `gtt_send q p`, then we call the pair `gs` and `Gctx` as the  $p$ -grafting  
 303 of  $G$ , expressed in Rocq as `typ_p_gtth gs Gctx p G`. When we don't care about the contents  
 304 of `gs` we may just say that  $G$  is  $p$ -grafted by `Gctx`.

305 ▶ **Remark 3.18.** From now on, all the global type trees we will be referring to are assumed  
 306 to be balanced. When talking about the Rocq implementation, any  $G : gtt$  we mention is  
 307 assumed to satisfy the predicate `wfgC G`, expressing that  $G$  corresponds to some global type  
 308 and that  $G$  is balanced.

309 Furthermore, we will often require that a global type is projectable onto all its participants.  
 310 This is captured by the predicate `projectableA G =  $\forall p, \exists T, \text{projectionC } G p T$` . As with  
 311 `wfgC`, we will be assuming that all types we mention are projectable.

## 312 4 Semantics of Types

313 In this section we introduce local type contexts, and define Labelled Transition System  
 314 semantics on these constructs.

### 315 4.1 Typing Contexts

316 We start by defining typing contexts as finite mappings of participants to local type trees.

► **Definition 4.1** (Typing Contexts).

317  $\Gamma ::= \emptyset \mid \Gamma, p : T$

318 Intuitively,  $p : T$  means that participant  $p$  is associated with a process that has the type  
 319 tree  $T$ . We write  $\text{dom}(\Gamma)$  to denote the set of participants occurring in  $\Gamma$ . We write  $\Gamma(p)$  for  
 320 the type of  $p$  in  $\Gamma$ . We define the composition  $\Gamma_1, \Gamma_2$  iff  $\text{dom}(\Gamma_1) \cap \text{dom}(\Gamma_2) = \emptyset$ .

321 In the Rocq implementation we implement local typing contexts as finite maps of  
 322 participants, which are represented as natural numbers, and local type trees.

```
Module M  $\triangleq$  MMaps.RBT.Make(Nat).
Module MF  $\triangleq$  MMaps.Facts.Properties Nat M.
Definition tctx: Type  $\triangleq$  M.t lttr.
```

323

324 In our implementation, we extensively use the MMMaps library [27], which defines finite maps  
 325 using red-black trees and provides many useful functions and theorems about them. We give  
 326 some of the most important ones below:

- 327 ■ `M.add p t g`: Adds value  $t$  with the key  $p$  to the finite map  $g$ .
- 328 ■ `M.find p g`: If the key  $p$  is in the finite map  $g$  and is associated with the value  $t$ , returns  
 $\text{Some } t$ , else returns `None`.
- 329 ■ `M.In p g`: A `Prop` that holds iff  $p$  is in  $g$ .
- 330 ■ `M.mem p g`: A `bool` that is equal to `true` if  $p$  is in  $g$ , and `false` otherwise.
- 331 ■ `M.Equal g1 g2`: Unfolds to  $\forall p, M.find p g1 = M.find p g2$ . For our purposes, if  
 $M.Equal g1 g2$  then  $g1$  and  $g2$  are indistinguishable. This is made formal in the MMMaps  
 library with the assertion that `M.Equal` forms a setoid, and theorems asserting that most  
 functions on maps respect `M.Equal` by showing that they form `Proper` morphisms [36,  
 Generalized Rewriting].
- 332 ■ `M.merge f g1 g2` where  $f: \text{key} \rightarrow \text{option value} \rightarrow \text{option value} \rightarrow \text{option value}$ :  
 Creates a finite map whose keys are the keys in  $g1$  or  $g2$ , where the value of the key  $p$  is  
 defined as  $f p (\text{M.find } p g1) (\text{M.find } p g2)$ .
- 333 ■ `MF.Disjoint g1 g2`: A `Prop` that holds iff the keys of  $g1$  and  $g2$  are disjoint.
- 334 ■ `M.Eqdom g1 g2`: A `Prop` that holds iff  $g1$  and  $g2$  have the same domains.
- 335 One important function that we define is `disj_merge`, which merges disjoint maps and is  
 used to represent the composition of typing contexts.

this section  
might go

```
Definition both (z: nat) (o:option lttr) (o':option lttr)  $\triangleq$ 
  match o,o' with
    | Some _, None   => o
    | None, Some _   => o'
    | _,_             => None
  end.
```

344

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```

345 Definition disj_merge (g1 g2:tctx) (H:MF.Disjoint g1 g2) : tctx ≡
346   M.merge both g1 g2.
347
348   We give LTS semantics to typing contexts, for which we first define the transition labels.
349
350   ▶ Definition 4.2 (Transition labels). A transition label  $\alpha$  has the following form:
351
352     
$$\begin{array}{ll} \alpha ::= p : q\&\ell(S) & (p \text{ receives } \ell(S) \text{ from } q) \\ \mid p : q\oplus\ell(S) & (p \text{ sends } \ell(S) \text{ to } q) \\ \mid (p,q)\ell & (\ell \text{ is transmitted from } p \text{ to } q) \end{array}$$

353

```

and in Rocq

```

353 Notation opt_lbl ≡ nat.
Inductive label : Type ≡
| lrecv: part → part → option sort → opt_lbl → label
| lsend: part → part → option sort → opt_lbl → label
| lcomm: part → part → opt_lbl → label.

354 We also define the function subject( $\alpha$ ) as subject( $p : q\&\ell(S)$ ) = subject( $p : q\oplus\ell(S)$ ) = { $p$ } and subject( $(p,q)\ell$ ) = { $p,q$ }.

355 In Rocq we represent subject( $\alpha$ ) with the predicate ispSubjl p alpha that holds iff  $p \in$ 
356 subject( $\alpha$ ).
357

```

```

358 Definition ispSubjl r 1 ≡
359   match 1 with
360   | lsend p q _ _ ⇒ p=r
361   | lrecv p q _ _ ⇒ p=r
362   | lcomm p q _ ⇒ p=r ∨ q=r
363 end.

364

```

▶ **Remark 4.3.** From now on, we assume the all the types in the local type contexts always have non-empty continuations. In Rocq terms, if  $T$  is in context `gamma` then `wfltt T` holds. This is expressed by the predicate `wfltt: tctx → Prop`.

## 4.2 Local Type Context Reductions

Next we define labelled transitions for local type contexts.

▶ **Definition 4.4** (Typing context reductions). *The typing context transition  $\xrightarrow{\alpha}$  is defined inductively by the following rules:*

$$\begin{array}{c}
\frac{k \in I}{p : q\&\{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{p:q\&\ell_k(S_k)} p : T_k} [\Gamma - \&] \\
\\
\frac{k \in I}{p : q\oplus\{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{p:q\oplus\ell_k(S_k)} p : T_k} [\Gamma - \oplus] \quad \frac{\Gamma \xrightarrow{\alpha} \Gamma'}{\Gamma, p : T \xrightarrow{\alpha} \Gamma', p : T} [\Gamma -,]
\\
\\
\frac{\Gamma_1 \xrightarrow{p:q\oplus\ell(S)} \Gamma'_1 \quad \Gamma_2 \xrightarrow{q:p\&\ell(S')} \Gamma'_2 \quad S \leq S'}{\Gamma_1, \Gamma_2 \xrightarrow{(p,q)\ell} \Gamma'_1, \Gamma'_2} [\Gamma - \oplus\&]
\end{array}$$

367 We write  $\Gamma \xrightarrow{\alpha}$  if there exists  $\Gamma'$  such that  $\Gamma \xrightarrow{a} \Gamma'$ . We define a reduction  $\Gamma \rightarrow \Gamma'$  that holds  
 368 iff  $\Gamma \xrightarrow{(p,q)\ell} \Gamma'$  for some  $p, q, \ell$ . We write  $\Gamma \rightarrow$  iff  $\Gamma \rightarrow \Gamma'$  for some  $\Gamma'$ . We write  $\rightarrow^*$  for  
 369 the reflexive transitive closure of  $\rightarrow$ .

370  $[\Gamma - \oplus]$  and  $[\Gamma - \&]$ , express a single participant sending or receiving.  $[\Gamma - \oplus\&]$  expresses a  
 371 synchronized communication where one participant sends while another receives, and they  
 372 both progress with their continuation.  $[\Gamma - ,]$  shows how to extend a context.

373 In Rocq typing context reductions are defined the following way:

```
Inductive tctxR: tctx → label → tctx → Prop ≡
| Rsend: ∀ p q xs n s T,
  p ≠ q →
  onth n xs = Some (s, T) →
  tctxR (M.add p (litt_send q xs) M.empty) (lsend p q (Some s) n) (M.add p T M.empty)
| Rrecv: ...
| Rcomm: ∀ p q g1' g2' s s' n (H1: MF.Disjoint g1 g2) (H2: MF.Disjoint g1' g2'),
  p ≠ q →
  tctxR g1 (lsend p q (Some s) n) g1' →
  tctxR g2 (lrecv q p (Some s') n) g2' →
  subsort s s' →
  tctxR (disj_merge g1 g2 H1) (lcomm p q n) (disj_merge g1' g2' H2)
| RvarI: ∀ g l g' p T,
  tctxR g l g' →
  M.mem p g = false →
  tctxR (M.add p T g) l (M.add p T g') →
| Restruct: ∀ g1 g1' g2 g2' l, tctxR g1' l g2' →
  M.Equal g1 g1' →
  M.Equal g2 g2' →
  tctxR g1 l g2'.
```

374

375 **Rsend**, **Rrecv** and **RvarI** are straightforward translations of  $[\Gamma - \&]$ ,  $[\Gamma - \oplus]$  and  $[\Gamma - ,]$ .  
 376 **Rcomm** captures  $[\Gamma - \oplus\&]$  using the `disj_merge` function we defined for the compositions, and  
 377 requires a proof that the contexts given are disjoint to be applied. **RStruct** captures the  
 378 indistinguishability of local contexts under `M.Equal`.

379 We give an example to illustrate typing context reductions.

this can be  
cut

380 ► **Example 4.5.** Let

```
381 T_p = q ⊕ {ℓ_0(int).T_p, ℓ_1(int).end}
382 T_q = p & {ℓ_0(int).T_q, ℓ_1(int).r ⊕ {ℓ_2(int).end}}
383 T_r = q & {ℓ_2(int).end}
```

384

385 and  $\Gamma = p : T_p, q : T_q, r : T_r$ . We have the following one step reductions from  $\Gamma$ :

$$\begin{array}{lll}
 386 \quad \Gamma \xrightarrow{p:q \oplus \ell_0(\text{int})} \Gamma & & (1) \\
 387 \quad \Gamma \xrightarrow{q:p \& \ell_0(\text{int})} \Gamma & & (2) \\
 388 \quad \Gamma \xrightarrow{(p,q)\ell_0} \Gamma & & (3) \\
 389 \quad \Gamma \xrightarrow{r:q \& \ell_2(\text{int})} p : T_p, q : T_q, r : \text{end} & & (4) \\
 390 \quad \Gamma \xrightarrow{p:q \oplus \ell_1(\text{int})} p : \text{end}, q : T_q, r : T_r & & (5) \\
 391 \quad \Gamma \xrightarrow{q:p \& \ell_1(\text{int})} p : T_p, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : T_r & & (6) \\
 392 \quad \Gamma \xrightarrow{(p,q)\ell_1} p : \text{end}, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : T_r & & (7)
 \end{array}$$

393 and by (3) and (7) we have the synchronized reductions  $\Gamma \rightarrow \Gamma$  and

394  $\Gamma \rightarrow \Gamma' = p : \text{end}, q : r \oplus \{\ell_2(\text{int}).\text{end}\}, r : T_r$ . Further reducing  $\Gamma'$  we get

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$$395 \quad \Gamma' \xrightarrow{q:r \oplus \ell_2(\text{int})} p : \text{end}, q : \text{end}, r : T_r \quad (8)$$

$$396 \quad \Gamma' \xrightarrow{r:q \& \ell_2(\text{int})} p : \text{end}, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : \text{end} \quad (9)$$

$$397 \quad \Gamma' \xrightarrow{(q,r)\ell_2} p : \text{end}, q : \text{end}, r : \text{end} \quad (10)$$

398 and by (10) we have the reduction  $\Gamma' \rightarrow p : \text{end}, q : \text{end}, r : \text{end} = \Gamma_{\text{end}}$ , which results in a  
399 context that can't be reduced any further.

400 In Rocq,  $\Gamma$  is defined the following way:

```
Definition prt_p ≡ 0.
Definition prt_q ≡ 1.
Definition prt_r ≡ 2.
CoFixpoint T_p ≡ ltt_send prt_q [Some (sint,T_p); Some (sint,ltt_end); None].
CoFixpoint T_q ≡ ltt_recv prt_p [Some (sint,T_q); Some (sint, ltt_send prt_r [None,None;Some (sint,ltt_end)]); None].
Definition T_r ≡ ltt_recv prt_q [None,None; Some (sint,ltt_end)].
Definition gamma ≡ M.add prt_p T_p (M.add prt_q T_q (M.add prt_r T_r M.empty)).
```

401

402 Now Equation (1) can be stated with the following piece of Rocq

403

```
Lemma red_1 : tctxR gamma (lsend prt_p prt_q (Some sint) o) gamma.
```

### 404 4.3 Global Type Reductions

405 As with local typing contexts, we can also define reductions for global types.

406 ► **Definition 4.6** (Global type reductions). *The global type transition  $\xrightarrow{\alpha}$  is defined coinductively  
407 as follows.*

$$408 \quad \frac{k \in I}{p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{(p,q)\ell_k} G_k} [\text{GR-}\oplus\&]$$

$$\frac{\forall i \in I \quad G_i \xrightarrow{\alpha} G'_i \quad \text{subject}(\alpha) \cap \{p, q\} = \emptyset \quad \forall i \in I \quad \{p, q\} \subseteq \text{pt}\{G_i\}}{p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{\alpha} p \rightarrow q : \{\ell_i(S_i).G'_i\}_{i \in I}} [\text{GR-CTX}]$$

409 In Rocq  $G \xrightarrow{(p,q)\ell_k} G'$  is expressed with the coinductively defined (via Paco) predicate gttstepC  
410  $G \quad G' \quad p \quad q \quad k$ .

411 [GR- $\oplus\&$ ] says that a global type tree with root  $p \rightarrow q$  can transition to any of its children  
412 corresponding to the message label chosen by  $p$ . [GR-CTX] says that if the subjects of  $\alpha$   
413 are disjoint from the root and all its children can transition via  $\alpha$ , then the whole tree can  
414 also transition via  $\alpha$ , with the root remaining the same and just the subtrees of its children  
415 transitioning.

### 416 4.4 Association Between Local Type Contexts and Global Types

417 We have defined local type contexts which specifies protocols bottom-up by directly describing  
418 the roles of every participant, and global types, which give a top-down view of the whole  
419 protocol, and the transition relations on them. We now relate these local and global definitions  
420 by defining *association* between local type context and global types.

- 421 ► **Definition 4.7** (Association). A local typing context  $\Gamma$  is associated with a global type tree  
 422  $G$ , written  $\Gamma \sqsubseteq G$ , if the following hold:  
 423 ■ For all  $p \in \text{pt}(G)$ ,  $p \in \text{dom}(\Gamma)$  and  $\Gamma(p) \leqslant G \upharpoonright p$ .  
 424 ■ For all  $p \notin \text{pt}(G)$ , either  $p \notin \text{dom}(\Gamma)$  or  $\Gamma(p) = \text{end}$ .  
 425 In Rocq this is defined with the following:

```
426 Definition assoc (g: tctx) (gt:gtt) ≡
  ∀ p, (isgPartsC p gt → ∃ Tp, M.find p g=Some Tp ∧
    issubProj Tp gt p) ∧
    (~ isgPartsC p gt → ∃ Tpx, M.find p g = Some Tpx → Tpx=ltt_end).
```

426

427 Informally,  $\Gamma \sqsubseteq G$  says that the local type trees in  $\Gamma$  obey the specification described by the  
 428 global type tree  $G$ .

- 429 ► **Example 4.8.** In Example 4.5, we have that  $\Gamma \sqsubseteq G$  where

430  $G := p \rightarrow q : \{\ell_0(\text{int}).G, \ell_1(\text{int}).q \rightarrow r : \{\ell_2(\text{int}).\text{end}\}\}$

431 Note that  $G$  is the global type that was shown to be unbalanced in Example 3.14. In fact,  
 432 we have  $\Gamma(s) = G \upharpoonright s$  for  $s \in \{p, q, r\}$ . Similarly, we have  $\Gamma' \sqsubseteq G'$  where

433  $G' := q \rightarrow r : \{\ell_2(\text{int}).\text{end}\}$

434 It is desirable to have the association be preserved under local type context and global  
 435 type reductions, that is, when one of the associated constructs "takes a step" so should the  
 436 other. We formalise this property with soundness and completeness theorems.

437 ► **Theorem 4.9** (Soundness of Association). If  $\text{assoc } \text{gamma } G$  and  $\text{gttstepC } G \ G' \ p \ q \ \text{ell}$ ,  
 438 then there is a local type context  $\text{gamma}'$ , a global type tree  $G''$ , and a message label  $\text{ell}'$  such  
 439 that  $\text{gttStepC } G \ G'' \ p \ q \ \text{ell}'$ ,  $\text{assoc } \text{gamma}' \ G''$  and  $\text{tctxR } \text{gamma} (\text{lcomm } p \ q \ \text{ell}') \ \text{gamma}'$ .

440 ► **Theorem 4.10** (Completeness of Association). If  $\text{assoc } \text{gamma } G$  and  $\text{tctxR } \text{gamma} (\text{lcomm } p \ q \ \text{ell}) \ \text{gamma}'$ , then there exists a global type tree  $G'$  such that  $\text{assoc } \text{gamma}' \ G'$  and  $\text{gttstepC } G \ G' \ p \ q \ \text{ell}$ .

441 ► **Remark 4.11.** Note that in the statement of soundness we allow the message label for the  
 442 local type context reduction to be different to the message label for the global type reduction.  
 443 This is because our use of subtyping in association causes the entries in the local type context  
 444 to be less expressive than the types obtained by projecting the global type. For example  
 445 consider

446  $\Gamma = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$

447 and

448  $G = p \rightarrow q : \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$

449 We have  $\Gamma \sqsubseteq G$  and  $G \xrightarrow{(p,q)\ell_1}$ . However  $\Gamma \xrightarrow{(p,q)\ell_1}$  is not a valid transition. Note that  
 450 soundness still requires that  $\Gamma \xrightarrow{(p,q)\ell_x}$  for some  $x$ , which is satisfied in this case by the valid  
 451 transition  $\Gamma \xrightarrow{(p,q)\ell_0}$ .

## 452 5 Properties of Local Type Contexts

453 We now use the LTS semantics to define some desirable properties on type contexts and their  
 454 reduction sequences. Namely, we formulate safety, liveness and fairness properties based on  
 455 the definitions in [44].

## 23:16 Dummy short title

### 458 5.1 Safety

459 We start by defining safety:

460 ▶ **Definition 5.1** (Safe Type Contexts). *We define `safe` coinductively as the largest set of type contexts such that whenever we have  $\Gamma \in \text{safe}$ :*

$$\begin{array}{c} \Gamma \xrightarrow{p:q \oplus \ell(S)} \text{and } \Gamma \xrightarrow{q:p \& \ell'(S')} \text{implies } \Gamma \xrightarrow{(p,q)\ell} \\ \Gamma \rightarrow \Gamma' \text{ implies } \Gamma' \in \text{safe} \end{array} \quad \begin{array}{l} [\text{S-}\&\oplus] \\ [\text{S-}\rightarrow] \end{array}$$

464 We write  $\text{safe}(\Gamma)$  if  $\Gamma \in \text{safe}$ .

465 Informally, safety says that if  $p$  and  $q$  communicate with each other and  $p$  requests to send a value using message label  $\ell$ , then  $q$  should be able to receive that message label. Furthermore, 466 this property should be preserved under any typing context reductions. Being a coinductive 467 property, to show that  $\text{safe}(\Gamma)$  it suffices to give a set  $\varphi$  such that  $\Gamma \in \varphi$  and  $\varphi$  satisfies 468  $[\text{S-}\&\oplus]$  and  $[\text{S-}\rightarrow]$ . This amounts to showing that every element of  $\Gamma'$  of the set of reducts 469 of  $\Gamma$ , defined  $\varphi := \{\Gamma' \mid \Gamma \rightarrow^* \Gamma'\}$ , satisfies  $[\text{S-}\&\oplus]$ . We illustrate this with some examples:

471 ▶ **Example 5.2.** Let  $\Gamma_A = p : \text{end}$ , then  $\Gamma_A$  is safe: the set of reducts is  $\{\Gamma_A\}$  and this set 472 respects  $[\text{S-}\oplus\&]$  as its elements can't reduce, and it respects  $[\text{S-}\rightarrow]$  as it's closed with 473 respect to  $\rightarrow$ .

474 Let  $\Gamma_B = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{nat}).\text{end}\}$ .  $\Gamma_B$  is not safe as we have 475  $\Gamma_B \xrightarrow{p:q \oplus \ell_0}$  and  $\Gamma_B \xrightarrow{q:p \& \ell_0}$  but we don't have  $\Gamma_B \xrightarrow{(p,q)\ell_0}$  as  $\text{int} \not\leq \text{nat}$ .

476 Let  $\Gamma_C = p : q \oplus \{\ell_1(\text{int}).q \oplus \{\ell_0(\text{int}).\text{end}\}\}, q : p \& \{\ell_1(\text{int}).p \& \{\ell_0(\text{nat}).\text{end}\}\}$ .  $\Gamma_C$  is not 477 safe as we have  $\Gamma_C \xrightarrow{(p,q)\ell_1} \Gamma_B$  and  $\Gamma_B$  is not safe.

478 Consider  $\Gamma$  from Example 4.5. All the reducts satisfy  $[\text{S-}\&\oplus]$ , hence  $\Gamma$  is safe.

479 Being a coinductive property, `safe` can be expressed in Rocq using Paco:

```
Definition weak_safety (c: tctx) ≡
  ∀ p q s s' k k', tctxRE (Isend p q (Some s) k) c → tctxRE (Irecv q p (Some s') k') c →
    tctxRE (lcomm p q k) c.

Inductive safe (R: tctx → Prop): tctx → Prop ≡
  | safety_red : ∀ c, weak_safety c → (∀ p q c' k,
    tctxR c (lcomm p q k) c' → R c')
    → safe R c.

Definition safeC c ≡ paco1 safe bot1 c.
```

481 `weak_safety` corresponds  $[\text{S-}\&\oplus]$  where `tctxRE 1 c` is shorthand for  $\exists c', \text{tctxR } c \ 1 \ c'$ . In 482 the inductive `safe`, the constructor `safety_red` corresponds to  $[\text{S-}\rightarrow]$ . Then `safeC` is defined 483 as the greatest fixed point of `safe`.

484 We have that local type contexts with associated global types are always safe.

485 ▶ **Theorem 5.3** (Safety by Association). *If `assoc gamma g` then `safeC gamma`.*

486 **Proof.**  $[\text{S-}\&\oplus]$  follows by inverting the projection and the subtyping, and  $[\text{S-}\rightarrow]$  holds by 487 Theorem 4.10. ◀

### 488 5.2 Linear Time Properties

489 We now focus our attention to fairness and liveness. In this paper we have defined LTS 490 semantics on three types of constructs: sessions, local type contexts and global types. We will 491 appropriately define liveness properties on all three of these systems, so it will be convenient

492 to define a general notion of valid reduction paths (also known as *runs* or *executions* [2,  
 493 2.1.1]) along with a general statement of some Linear Temporal Logic [33] constructs.

494 We start by defining the general notion of a reduction path [2, Def. 2.6] using possibly  
 495 infinite cosequences.

496 ▶ **Definition 5.4** (Reduction Paths). *A finite reduction path is an alternating sequence of  
 497 states and labels  $S_0 \lambda_0 S_1 \lambda_1 \dots S_n$  such that  $S_i \xrightarrow{\lambda_i} S_{i+1}$  for all  $0 \leq i < n$ . An infinite reduction  
 498 path is an alternating sequence of states and labels  $S_0 \lambda_0 S_1 \lambda_1 \dots S_n$  such that  $S_i \xrightarrow{\lambda_i} S_{i+1}$  for  
 499 all  $0 \leq i$ .*

500 We won't be distinguishing between finite and infinite reduction paths and refer to them  
 501 both as just *(reduction) paths*. Note that the above definition is general for LTSs, by *state* we  
 502 will be referring to local type contexts, global types or sessions, depending on the contexts.

503 In Rocq, we define reduction paths using possibly infinite cosequences of pairs of states  
 504 (which will be `tctx`, `ggt` or `session` in this paper) and `option label`:

```
CoInductive coseq (A: Type): Type ≡
| conil : coseq A
| cocons: A → coseq A → coseq A.
Notation local_path ≡ (coseq (tctx*option label)).
Notation global_path ≡ (coseq (ggt*option label)).
Notation session_path ≡ (coseq (session*option label)).
```

505

506 Note the use of `option label`, where we employ `None` to represent transitions into the  
 507 end of the list, `conil`. For example,  $S_0 \xrightarrow{\lambda_0} S_1 \xrightarrow{\lambda_1} S_2$  would be represented in  
 508 Rocq as `cocons (s_0, Some lambda_0) (cocons (s_1, Some lambda_1) (cocons (s_2, None)  
 509 conil))), and cocons (s_1, Some lambda) conil would not be considered a valid path.`

510 Note that this definition doesn't require the transitions in the `coseq` to actually be valid.  
 511 We achieve that using the coinductive predicate `valid_path_GC A:Type (V: A → label →`  
`A → Prop)`, where the parameter `V` is a *transition validity predicate*, capturing if a one-step  
 512 transition is valid. For all `V`, `valid_path_GC V conil` and `forall x, valid_path_GC V (cocons (x,  
 513 None) conil)` hold, and `valid_path_GC V cocons (x, Some l) (cocons (y, l') xs)` holds if  
 514 the transition validity predicate `V x l y` and `valid_path_GC V (cocons (y, l') xs)` hold. We  
 515 use different `V` based on our application, for example in the context of local type context  
 516 reductions the predicate is defined as follows:

```
Definition local_path_vcriteria ≡ (fun x1 l x2 =>
match (x1,l,x2) with
| ((g1,lcomm p q ell),g2) => tctxR g1 (lcomm p q ell) g2
| _ => False
end).
```

518

519 That is, we only allow synchronised communications in a valid local type context reduction  
 520 path.

521 We can now define fairness and liveness on paths. We first restate the definition of fairness  
 522 and liveness for local type context paths from [44], and use that to motivate our use of more  
 523 general LTL constructs.

524 ▶ **Definition 5.5** (Fair, Live Paths). *We say that a local type context path  $\Gamma_0 \xrightarrow{\lambda_0} \Gamma_1 \xrightarrow{\lambda_1} \dots$  is  
 525 fair if, for all  $n \in N : \Gamma_n \xrightarrow{(p,q)\ell} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \lambda_k = (p, q)\ell'$ , and  
 526 therefore  $\Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$ . We say that a path  $(\Gamma_n)_{n \in N}$  is live iff,  $\forall n \in N :$   
 527 1.  $\forall n \in N : \Gamma_n \xrightarrow{p:q\oplus\ell(S)} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$   
 528 2.  $\forall n \in N : \Gamma_n \xrightarrow{q:p\&\ell(S)} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$*

529 ► **Definition 5.6** (Live Local Type Context). A local type context  $\Gamma$  is live if whenever  $\Gamma \rightarrow^* \Gamma'$ ,  
 530 every fair path starting from  $\Gamma'$  is also live.

531 In general, fairness assumptions are used so that only the reduction sequences that are  
 532 "well-behaved" in some sense are considered when formulating other properties [18]. For our  
 533 purposes we define fairness such that, in a fair path, if at any point  $p$  attempts to send to  $q$   
 534 and  $q$  attempts to send to  $p$  then eventually a communication between  $p$  and  $q$  takes place.  
 535 Then live paths are defined to be paths such that whenever  $p$  attempts to send to  $q$  or  $q$   
 536 attempts to send to  $p$ , eventually a  $p$  to  $q$  communication takes place. Informally, this means  
 537 that every communication request is eventually answered. Then live typing contexts are  
 538 defined to be the  $\Gamma$  where all fair paths that start from  $\Gamma$  are also live.

539 ► **Example 5.7.** Consider the contexts  $\Gamma, \Gamma'$  and  $\Gamma_{\text{end}}$  from Example 4.5. One possible  
 540 reduction path is  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \dots$ . Denote this path as  $(\Gamma_n)_{n \in \mathbb{N}}$ , where  $\Gamma_n = \Gamma$  for  
 541 all  $n \in \mathbb{N}$ . By reductions (3) and (7), we have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0}$  and  $\Gamma_n \xrightarrow{(p,q)\ell_1}$  as the only  
 542 possible synchronised reductions from  $\Gamma_n$ . Accordingly, we also have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0} \Gamma_{n+1}$  in  
 543 the path so this path is fair. However, this path is not live as we have by reduction (4) that  
 544  $\Gamma_1 \xrightarrow{r:q \& \ell_2(\text{int})}$  but there is no  $n, \ell'$  with  $\Gamma_n \xrightarrow{(q,r)\ell'} \Gamma_{n+1}$  in the path. Consequently,  $\Gamma$  is not  
 545 a live type context.

546 Now consider the reduction path  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \Gamma' \xrightarrow{(q,r)\ell_2} \Gamma_{\text{end}}$ , denoted by  
 547  $(\Gamma'_n)_{n \in \{1..4\}}$ . This path is fair with respect to reductions from  $\Gamma'_1$  and  $\Gamma'_2$  as shown above,  
 548 and it's fair with respect to reductions from  $\Gamma'_3$  as reduction (10) is the only one available  
 549 from  $\Gamma'_3$  and we have  $\Gamma'_3 \xrightarrow{(q,r)\ell_2} \Gamma'_4$  as needed. Furthermore, this path is live: the reduction  
 550  $\Gamma_1 \xrightarrow{r:q \& \ell_2(\text{int})}$  that causes  $(\Gamma_n)$  to fail liveness is handled by the reduction  $\Gamma'_3 \xrightarrow{(q,r)\ell_2} \Gamma'_4$  in  
 551 this case.

552 Definition 5.5 , while intuitive, is not really convenient for a Rocq formalisation due to  
 553 the existential statements contained in them. It would be ideal if these properties could  
 554 be expressed as a least or greatest fixed point, which could then be formalised via Rocq's  
 555 inductive or coinductive (via Paco) types. To do that, we turn to Linear Temporal Logic  
 556 (LTL) [33].

557 ► **Definition 5.8** (Linear Temporal Logic). The syntax of LTL formulas  $\psi$  are defined inductively with boolean connectives  $\wedge, \vee, \neg$ , atomic propositions  $P, Q, \dots$ , and temporal operators  
 558  $\square$  (always),  $\diamond$  (eventually),  $\circ$  next and  $\mathcal{U}$ . Atomic propositions are evaluated over pairs  
 559 of states and transitions  $(S, i, \lambda_i)$  (for the final state  $S_n$  in a finite reduction path we take  
 560 that there is a null transition from  $S_n$ , corresponding to a `None` transition in Rocq) while  
 561 LTL formulas are evaluated over reduction paths<sup>1</sup>. The satisfaction relation  $\rho \models \psi$  (where  
 562  $\rho = S_0 \xrightarrow{\lambda_0} S_1 \dots$  is a reduction path, and  $\rho_i$  is the suffix of  $\rho$  starting from index  $i$ ) is given  
 563 by the following:

- 564 ■  $\rho \models P \iff (S_0, \lambda_0) \models P$ .
- 565 ■  $\rho \models \psi_1 \wedge \psi_2 \iff \rho \models \psi_1 \text{ and } \rho \models \psi_2$
- 566 ■  $\rho \models \neg \psi_1 \iff \text{not } \rho \models \psi_1$
- 567 ■  $\rho \models \circ \psi_1 \iff \rho_1 \models \psi_1$
- 568 ■  $\rho \models \diamond \psi_1 \iff \exists k \geq 0, \rho_k \models \psi_1$

---

<sup>1</sup> These semantics assume that the reduction paths are infinite. In our implementation we do a slight-of-hand and, for the purposes of the  $\square$  operator, treat a terminating path as entering a dump state  $S_\perp$  (which corresponds to `conil` in Rocq) and looping there infinitely.

- 570 ■  $\rho \models \square \psi_1 \iff \forall k \geq 0, \rho_k \models \psi_1$   
 571 ■  $\rho \models \psi_1 \cup \psi_2 \iff \exists k \geq 0, \rho_k \models \psi_2 \text{ and } \forall j < k, \rho_j \models \psi_1$

572 Fairness and liveness for local type context paths Definition 5.5 can be defined in Linear  
 573 Temporal Logic (LTL). Specifically, define atomic propositions  $\text{enabledComm}_{p,q,\ell}$  such that  
 574  $(\Gamma, \lambda) \models \text{enabledComm}_{p,q,\ell} \iff \Gamma \xrightarrow{(p,q)\ell}$ , and  $\text{headComm}_{p,q}$  that holds iff  $\lambda = (p, q)\ell$  for some  
 575  $\ell$ . Then fairness can be expressed in LTL with: for all  $p, q$ ,

576  $\square(\text{enabledComm}_{p,q,\ell} \implies \Diamond(\text{headComm}_{p,q}))$

577 Similarly, by defining  $\text{enabledSend}_{p,q,\ell,S}$  that holds iff  $\Gamma \xrightarrow{p:q \oplus \ell(S)}$  and analogously  
 578  $\text{enabledRecv}$ , liveness can be defined as

579  $\square((\text{enabledSend}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{p,q})) \wedge$   
 580  $\quad (\text{enabledRecv}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{q,p})))$

581 The reason we defined the properties using LTL properties is that the operators  $\Diamond$  and  $\square$   
 582 can be characterised as least and greatest fixed points using their expansion laws [2, Chapter  
 583 5.14]:

- 584 ■  $\Diamond P$  is the least solution to  $\Diamond P \equiv P \vee \Diamond(P)$   
 585 ■  $\square P$  is the greatest solution to  $\square P \equiv P \wedge \Diamond(\square P)$   
 586 ■  $P \cup Q$  is the least solution to  $P \cup Q \equiv Q \vee (P \wedge \Diamond(P \cup Q))$

587 Thus fairness and liveness correspond to greatest fixed points, which can be defined coinductively.

588 In Rocq, we implement the LTL operators  $\Diamond$  and  $\square$  inductively and coinductively (with  
 589 Paco), in the following way:

```
Inductive eventually {A: Type} (F: coseq A → Prop): coseq A → Prop ≡
| evh: ∀ xs, F xs → eventually F xs
| evc: ∀ x xs, eventually F xs → eventually F (cocons x xs).

Inductive until {A: Type} (F: coseq A → Prop) (G: coseq A → Prop) : coseq A → Prop ≡
| untilh : ∀ xs, G xs → until F G xs
| untilc: ∀ x xs, F (cocons x xs) → until F G xs → until F G (cocons x xs).

Inductive alwaysG {A: Type} (F: coseq A → Prop) (R: coseq A → Prop): coseq A → Prop ≡
| alwn: F conil → alwaysG F R conil
| alwc: ∀ x xs, F (cocons x xs) → R xs → alwaysG F R (cocons x xs).

Definition alwaysCG {A: Type} (F: coseq A → Prop) ≡ paco1 (alwaysG F) bot1.
```

591

592 Note the use of the constructor `alwn` in the definition `alwaysG` to handle finite paths.

593 Using these LTL constructs we can define fairness and liveness on paths.

```
Definition fair_path_local_inner (pt: local_path): Prop ≡
  ∀ p q n, to_path_prop (tctxRE (lcomm p q n)) False pt → eventually (headComm p q) pt.
Definition fair_path ≡ alwaysCG fair_path_local_inner.

Definition live_path_inner (pt: local_path) : Prop ≡ ∀ p q s n,
  (to_path_prop (tctxRE (lcomm p q (Some s) n)) False pt → eventually (headComm p q) pt) ∧
  (to_path_prop (tctxRE (irecv p q (Some s) n)) False pt → eventually (headComm q p) pt).
Definition live_path ≡ alwaysCG live_path_inner.
```

594

595 For instance, the fairness of the first reduction path for  $\Gamma$  given in Example 5.7 can be  
 596 expressed with the following:

```
CoFixpoint inf_pq_path ≡ cocons (gamma, (lcomm prt_p prt_q 0)) inf_pq_path.
Theorem inf_pq_path_fair : fairness inf_pq_path.
```

597

598

599 ► Remark 5.9. Note that the LTS of local type contexts has the property that, once a  
 600 transition between participants  $p$  and  $q$  is enabled, it stays enabled until a transition  
 601 between  $p$  and  $q$  occurs. This makes `fair_path` equivalent to the standard formulas [2,  
 602 Definition 5.25] for strong fairness ( $\square \Diamond \text{enabledComm}_{p,q} \implies \square \Diamond \text{headComm}_{p,q}$ ) and weak  
 603 fairness ( $\Diamond \Box \text{enabledComm}_{p,q} \implies \Box \Diamond \text{headComm}_{p,q}$ ).

### 604 5.3 Rocq Proof of Liveness by Association

605 We now detail the Rocq Proof that associated local type contexts are also live.

606 ► Remark 5.10. We once again emphasise that all global types mentioned are assumed to  
 607 be balanced (Definition 3.13). Indeed association with non-balanced global types doesn't  
 608 guarantee liveness. As an example, consider  $\Gamma$  from Example 4.5, which is associated with  $G$   
 609 from Example 4.8. Yet we have shown in Example 5.7 that  $\Gamma$  is not a live type context. This  
 610 is not surprising as Example 3.14 shows that  $G$  is not balanced.

611 Our proof proceeds in the following way:

612 1. Formulate an analogue of fairness and liveness for global type reduction paths.

613 2. Prove that all global types are live for this notion of liveness.

614 3. Show that if  $G : \text{ggt}$  is live and `assoc gamma G`, then `gamma` is also live.

615 First we define fairness and liveness for global types, analogous to Definition 5.5.

616 ► **Definition 5.11** (Fairness and Liveness for Global Types). *We say that the label  $\lambda$  is enabled  
 617 at  $G$  if the context  $\{p_i : G \mid_{p_i} \mid p_i \in \text{pt}\{G\}\}$  can transition via  $\lambda$ . More explicitly, and in  
 618 Rocq terms,*

```
619 Definition global_label_enabled 1 g ≡ match 1 with
| lsend p q (Some s) n ⇒ ∃ xs g',
  projectionC g p (lts_send q xs) ∧ onth n xs=Some (s,g')
| lrecv p q (Some s) n ⇒ ∃ xs g',
  projectionC g p (lts_recv q xs) ∧ onth n xs=Some (s,g')
| lcomm p q n ⇒ ∃ g', gttstepC g g' p q n
| _ ⇒ False end.
```

620 With this definition of enabling, fairness and liveness are defined exactly as in Definition 5.5.  
 621 A global type reduction path is fair if the following holds:

622  $\Box(\text{enabledComm}_{p,q,\ell} \implies \Diamond(\text{headComm}_{p,q}))$

623 and liveness is expressed with the following:

624  $\Box((\text{enabledSend}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{p,q})) \wedge$   
 625  $(\text{enabledRecv}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{q,p})))$

626 where `enabledSend`, `enabledRecv` and `enabledComm` correspond to the match arms in the defini-  
 627 tion of `global_label_enabled` (Note that the names `enabledSend` and `enabledRecv` are chosen  
 628 for consistency with Definition 5.5, there aren't actually any transitions with label  $p : q \oplus \ell(S)$   
 629 in the transition system for global types). A global type  $G$  is live if whenever  $G \rightarrow^* G'$ , any  
 630 fair path starting from  $G'$  is also live.

631 Now our goal is to prove that all (well-formed, balanced, projectable)  $G$  are live under this  
 632 definition. This is where the notion of grafting (Definition 3.13) becomes important, as the  
 633 proof essentially proceeds by well-founded induction on the height of the tree obtained by  
 634 grafting.

635 We first introduce some definitions on global type tree contexts (Definition 3.15).

636 ► **Definition 5.12** (Global Type Context Equality, Proper Prefixes and Height). We consider  
 637 two global type tree contexts to be equal if they are the same up to the relabelling the indices  
 638 of their leaves. More precisely,

```
Inductive gtth_eq : gtth → gtth → Prop △
| gtth_eq_hol : ∀ n m, gtth_eq (gtth_hol n) (gtth_hol m)
| gtth_eq_send : ∀ xs ys p q ,
  Forall2 (fun u v => (u=none ∧ v=None) ∨ (exists s g1 g2, u=some (s,g1) ∧ v=some (s,g2) ∧ gtth_eq g1 g2)) xs ys →
    gtth_eq (gtth_send p q xs) (gtth_send p q ys).
```

639

640 Informally, we say that the global type context  $\mathbb{G}'$  is a proper prefix of  $\mathbb{G}$  if we can obtain  $\mathbb{G}'$   
 641 by changing some subtrees of  $\mathbb{G}$  with context holes such that none of the holes in  $\mathbb{G}$  are present  
 642 in  $\mathbb{G}'$ . Alternatively, we can characterise it as akin to `gtth_eq` except where the context holes  
 643 in  $\mathbb{G}'$  are assumed to be "jokers" that can be matched with any global type context that's not  
 644 just a context hole. In Rocq:

```
Inductive is_tree_proper_prefix : gtth → gtth → Prop △
| tree_proper_prefix_hole : ∀ n p q xs, is_tree_proper_prefix (gtth_hol n) (gtth_send p q xs)
| tree_proper_prefix_tree : ∀ p q xs ys,
  Forall2 (fun u v => (u=none ∧ v=None)
    ∨ exists s g1 g2, u=some (s,g1) ∧ v=some (s,g2) ∧
      is_tree_proper_prefix g1 g2)
  ) xs ys →
  is_tree_proper_prefix (gtth_send p q xs) (gtth_send p q ys).
```

645

646 We also define a function `gtth_height` : `gtth` → `Nat` that computes the height [12] of a  
 647 global type tree context. Context holes i.e. leaves have height 0, and the height of an internal  
 648 node is the maximum of the height of their children plus one.

give examples

```
Fixpoint gtth_height (gh : gtth) : nat △
match gh with
| gtth_hol n => 0
| gtth_send p q xs =>
  list_max (map (fun u=> match u with
    | None => 0
    | Some (s,x) => gtth_height x end) xs) + 1 end.
```

649

650 651 `gtth_height`, `gtth_eq` and `is_tree_proper_prefix` interact in the expected way.

652 ► **Lemma 5.13.** If  $\text{gtth\_eq } gx \text{ } gx'$  then  $\text{gtth\_height } gx = \text{gtth\_height } gx'$ .

653 ► **Lemma 5.14.** If  $\text{is\_tree\_proper\_prefix } gx \text{ } gx'$  then  $\text{gtth\_height } gx < \text{gtth\_height } gx'$ .

654 Our motivation for introducing these constructs on global type tree contexts is the following  
 655 *multigrafting* lemma:

656 ► **Lemma 5.15** (Multigrafting). Let `projectionC g p (ltt_send q xsq)` or `projectionC g p (ltt_recv q xsq)`, `projectionC g q Tq`, `g` is `p`-grafted by `ctx_p` and `gs_p`, and `g` is `q`-grafted by `ctx_q` and `gs_q`. Then either `is_tree_proper_prefix ctx_q ctx_p` or `gtth_eq ctx_p ctx_q`. Furthermore, if `gtth_eq ctx_p ctx_q` then `projectionC g q (ltt_send p xsq)` or `projectionC g q (ltt_recv p xsq)` for some `xsq`.

657 658 659 660 661 **Proof.** By induction on the global type context `ctx_p`.

example

662 663 We also have that global type reductions that don't involve participant `p` can't increase  
 664 the height of the `p`-grafting, established by the following lemma:

665 ► **Lemma 5.16.** Suppose `g : gtt` is `p`-grafted by `gx : gtth` and `gs : list (option gtt)`, `gttstepC g g' s t ell` where `p ≠ s` and `p ≠ t`, and `g'` is `p`-grafted by `gx'` and `gs'`. Then

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- 667 (i) If  $\text{ishParts } s \text{ gx}$  or  $\text{ishParts } t \text{ gx}$ , then  $\text{gtth\_height } \text{gx}' < \text{gtth\_height } \text{gx}$   
 668 (ii) In general,  $\text{gtth\_height } \text{gx}' \leq \text{gtth\_height } \text{gx}$

669 **Proof.** We define a inductive predicate  $\text{gttstepH} : \text{gtth} \rightarrow \text{part} \rightarrow \text{part} \rightarrow \text{part} \rightarrow$   
 670  $\text{gtth} \rightarrow \text{Prop}$  with the property that if  $\text{gttstepC } g \text{ g' p q ell}$  for some  $r \neq p, q$ , and  
 671 tree contexts  $\text{gx}$  and  $\text{gx}'$   $r$ -graft  $g$  and  $g'$  respectively, then  $\text{gttstepH } \text{gx } p \text{ q ell } \text{gx}'$   
 672 ( $\text{gttstepH\_consistent}$ ). The results then follow by induction on the relation  $\text{gttstepH}$   
 673  $\text{gx } s \text{ t ell } \text{gx}'$ . ◀

674 We can now prove the liveness of global types. The bulk of the work goes in to proving the  
 675 following lemma:

676 ▶ **Lemma 5.17.** Let  $\text{xs}$  be a fair global type reduction path starting with  $g$ .

- 677 (i) If  $\text{projectionC } g \text{ p (ltt\_send q xs)}$  for some  $\text{xs}$ , then a  $\text{lcomm p q ell}$  transition  
 678 takes place in  $\text{xs}$  for some message label  $\text{ell}$ .  
 679 (ii) If  $\text{projectionC } g \text{ p (ltt\_recv q xs)}$  for some  $\text{xs}$ , then a  $\text{lcomm q p ell}$  transition  
 680 takes place in  $\text{xs}$  for some message label  $\text{ell}$ .

681 **Proof.** We outline the proof for (i), the case for (ii) is symmetric.

682 Rephrasing slightly, we prove the following: forall  $n : \text{nat}$  and global type reduction path  
 683  $\text{xs}$ , if the head  $g$  of  $\text{xs}$  is  $p$ -grafted by  $\text{ctx\_p}$  and  $\text{gtth\_height } \text{ctx\_p} = n$ , the lemma holds.  
 684 We proceed by strong induction on  $n$ , that is, the tree context height of  $\text{ctx\_p}$ .

685 Let  $(\text{ctx\_q}, \text{gs\_q})$  be the  $q$ -grafting of  $g$ . By Lemma 5.15 we have that either  $\text{gtth\_eq}$   
 686  $\text{ctx\_q ctx\_p}$  (a) or  $\text{is\_tree\_proper\_prefix } \text{ctx\_q ctx\_p}$  (b). In case (a), we have that  
 687  $\text{projectionC } g \text{ q (ltt\_recv p xs)}$ , hence by (cite simul subproj or something here) and  
 688 fairness of  $\text{xs}$ , we have that a  $\text{lcomm p q ell}$  transition eventually occurs in  $\text{xs}$ , as required.

689 In case (b), by Lemma 5.14 we have  $\text{gtth\_height } \text{ctx\_q} < \text{gtth\_height } \text{ctx\_p}$ , so by the  
 690 induction hypothesis a transition involving  $q$  eventually happens in  $\text{xs}$ . Assume wlog that  
 691 this transition has label  $\text{lcomm q r ell}$ , or, in the pen-and-paper notation,  $(q, r)\ell$ . Now  
 692 consider the prefix of  $\text{xs}$  where the transition happens:  $g \xrightarrow{\lambda} g_1 \rightarrow \dots g' \xrightarrow{(q,r)\ell} g''$ . Let  
 693  $g'$  be  $p$ -grafted by the global tree context  $\text{ctx}'_p$ , and  $g''$  by  $\text{ctx}''_p$ . By Lemma 5.16,  
 694  $\text{gtth\_height } \text{ctx}'_p < \text{gtth\_height } \text{ctx}''_p \leq \text{gtth\_height } \text{ctx}_p$ . Then, by the induction  
 695 hypothesis, the suffix of  $\text{xs}$  starting with  $g''$  must eventually have a transition  $\text{lcomm p q ell}'$   
 696 for some  $\text{ell}'$ , therefore  $\text{xs}$  eventually has the desired transition too. ◀

697 Lemma 5.17 proves that any fair global type reduction path is also a live path, from which  
 698 the liveness of global types immediately follows.

699 ▶ **Corollary 5.18.** All global types are live.

700 We can now leverage the simulation established by Theorem 4.10 to prove the liveness  
 701 (Definition 5.5) of local typing context reduction paths.

702 We start by lifting association (Definition 4.7) to reduction paths.

703 ▶ **Definition 5.19 (Path Association).** Path association is defined coinductively by the following  
 704 rules:

- 705 (i) The empty path is associated with the empty path.  
 706 (ii) If  $\Gamma \xrightarrow{\lambda_0} \rho$  is path-associated with  $G \xrightarrow{\lambda_1} \rho'$  where ( $\rho$  and  $\rho'$  are local and global reduction  
 707 paths, respectively), then  $\lambda_0 = \lambda_1$  and  $\rho$  is path-associated with  $\rho'$ .

```
Variant path_assoc (R:local_path → global_path → Prop): local_path → global_path → Prop ≡
| path_assoc_nil : path_assoc R conil conil
| path_assoc_xs : ∀ g gamma l xs ys, assoc gamma g → R xs ys →
path_assoc R (cocons (gamma, l) xs) (cocons (g, l) ys).
```

```
Definition path_assocC ≡ paco2 path_assoc bot2.
```

708

709 Informally, a local type context reduction path is path-associated with a global type reduction  
710 path if their matching elements are associated and have the same transition labels.

711 We show that reduction paths starting with associated local types can be path-associated.

712

713 ▶ **Lemma 5.20.** *If  $\text{assoc } \gamma g$ , then any local type context reduction path starting with  
714  $\gamma$  is associated with a global type reduction path starting with  $g$ .*

715 **Proof.** Let the local reduction path be  $\gamma \xrightarrow{\lambda} \gamma_1 \xrightarrow{\lambda_1} \dots$ . We construct a path-  
716 associated global reduction path. By Theorem 4.10 there is a  $g_1 : \text{gtt}$  such that  $g \xrightarrow{\lambda} g_1$   
717 and  $\text{assoc } \gamma_1 g_1$ , hence the path-associated global type reduction path starts with  $g \xrightarrow{\lambda} g_1$ .  
718 We can repeat this procedure to the remaining path starting with  $\gamma_1 \xrightarrow{\lambda_1} \dots$  to get  
719  $g_2 : \text{gtt}$  such that  $\text{assoc } \gamma_2 g_2$  and  $g_1 \xrightarrow{\lambda_1} g_2$ . Repeating this, we get  $g \xrightarrow{\lambda} g_1 \xrightarrow{\lambda_1} \dots$   
720 as the desired path associated with  $\gamma \xrightarrow{\lambda} \gamma_1 \xrightarrow{\lambda_1} \dots$ . ◀

maybe just  
give the defi-  
nition as a  
cofixpoint?

721 ▶ **Remark 5.21.** In the Rocq implementation the construction above is implemented as a  
722 **CoFixpoint** returning a **coseq**. Theorem 4.10 is implemented as an **exists** statement that lives in  
723 **Prop**, hence we need to use the **constructive\_indefinite\_description** axiom to obtain the  
724 witness to be used in the construction.

725 We also have the following correspondence between fairness and liveness properties for  
726 associated global and local reduction paths.

727 ▶ **Lemma 5.22.** *For a local reduction path  $xs$  and global reduction path  $ys$ , if  $\text{path\_assocC}$   
728  $xs ys$  then*

- 729 (i) *If  $xs$  is fair then so is  $ys$*
- 730 (ii) *If  $ys$  is live then so is  $xs$*

731 As a corollary of Lemma 5.22, Lemma 5.20 and Lemma 5.17 we have the following:

732 ▶ **Corollary 5.23.** *If  $\text{assoc } \gamma g$ , then any fair local reduction path starting from  $\gamma$  is  
733 live.*

734 **Proof.** Let  $xs$  be the fair local reduction path starting with  $\gamma$ . By Lemma 5.20 there is  
735 a global path  $ys$  associated with it. By Lemma 5.22 (i)  $ys$  is fair, and by Lemma 5.17  $ys$  is  
736 live, so by Lemma 5.22 (ii)  $xs$  is also live. ◀

737 Liveness of contexts follows directly from Corollary 5.23.

738 ▶ **Theorem 5.24 (Liveness by Association).** *If  $\text{assoc } \gamma g$  then  $\gamma$  is live.*

739 **Proof.** Suppose  $\gamma \rightarrow^* \gamma'$ , then by Theorem 4.10  $\text{assoc } \gamma' g'$  for some  $g'$ , and  
740 hence by Corollary 5.23 any fair path starting from  $\gamma'$  is live, as needed. ◀

## 741 6 Properties of Sessions

742 We give typing rules for the session calculus introduced in 2, and prove subject reduction and  
743 progress for them. Then we define a liveness property for sessions, and show that processes  
744 typable by a local type context that's associated with a global type tree are guaranteed to  
745 satisfy this liveness property.

## 746 6.1 Typing rules

747 We give typing rules for our session calculus based on [17] and [14].

748 We distinguish between two kinds of typing judgements and type contexts.

- 749 1. A local type context  $\Gamma$  associates participants with local type trees, as defined in cdef-type-ctx. Local type contexts are used to type sessions (Definition 2.2) i.e. a set of pairs of participants and single processes composed in parallel. We express such judgements as  $\Gamma \vdash_M M$ , or as `typ_sess M gamma` or `gamma ⊢ M` in Rocq.
- 750 2. A process variable context  $\Theta_T$  associates process variables with local type trees, and an expression variable context  $\Theta_e$  assigns sorts to expression variables. Variable contexts are used to type single processes and expressions (Definition 2.1). Such judgements are expressed as  $\Theta_T, \Theta_e \vdash_P P : T$ , or in Rocq as `typ_proc theta_T theta_e P T` or `theta_T, theta_e ⊢ P : T`.

$$\begin{array}{c} \Theta \vdash_P n : \text{nat} \quad \Theta \vdash_P i : \text{int} \quad \Theta \vdash_P \text{true} : \text{bool} \quad \Theta \vdash_P \text{false} : \text{bool} \quad \Theta, x : S \vdash_P x : S \\ \frac{\Theta \vdash_P e : \text{nat}}{\Theta \vdash_P \text{succ } e : \text{nat}} \quad \frac{\Theta \vdash_P e : \text{int}}{\Theta \vdash_P \text{neg } e : \text{int}} \quad \frac{\Theta \vdash_P e : \text{bool}}{\Theta \vdash_P \neg e : \text{bool}} \\ \frac{\Theta \vdash_P e_1 : S \quad \Theta \vdash_P e_2 : S}{\Theta \vdash_P e_1 \oplus e_2 : S} \quad \frac{\Theta \vdash_P e_1 : \text{int} \quad \Theta \vdash_P e_2 : \text{int}}{\Theta \vdash_P e_1 > e_2 : \text{bool}} \quad \frac{\Theta \vdash_P e : S \quad S \leq S'}{\Theta \vdash_P e : S'} \end{array}$$

757 **Table 5** Typing expressions

$$\begin{array}{c} \frac{[\text{T-END}]}{\Theta \vdash_P \mathbf{0} : \text{end}} \quad \frac{[\text{T-VAR}]}{\Theta, X : T \vdash_P X : T} \quad \frac{[\text{T-REC}]}{\Theta, X : T \vdash_P P : T} \quad \frac{[\text{T-IF}]}{\Theta \vdash_P e : \text{bool} \quad \Theta \vdash_P P_1 : T \quad \Theta \vdash_P P_2 : T} \\ \frac{}{\Theta \vdash_P \mu X.P : T} \quad \frac{}{\Theta \vdash_P \text{if } e \text{ then } P_1 \text{ else } P_2 : T} \\ \frac{[\text{T-SUB}]}{\Theta \vdash_P P : T \quad T \leqslant T'} \quad \frac{[\text{T-IN}]}{\forall i \in I, \quad \Theta, x_i : S_i \vdash_P P_i : T_i} \quad \frac{[\text{T-OUT}]}{\Theta \vdash_P e : S \quad \Theta \vdash_P P : T} \\ \frac{}{\Theta \vdash_P \sum_{i \in I} p? \ell_i(x_i).P_i : p\&\{\ell_i(S_i).T_i\}_{i \in I}} \quad \frac{}{\Theta \vdash_P p! \ell(e).P : p\oplus\{\ell(S).T\}} \end{array}$$

758 **Table 6** Typing processes

759 Table 5 and Table 6 state the standard typing rules for expressions and processes which we don't elaborate on. We have a single rule for typing sessions:

$$\frac{[\text{T-SESS}]}{\forall i \in I : \quad \vdash_P P_i : \Gamma(p_i) \quad \Gamma \sqsubseteq G} \quad \frac{}{\Gamma \vdash_M \prod_i p_i \triangleleft P_i}$$

760 [T-SESS] says that a session made of the parallel composition of processes  $\prod_i p_i \triangleleft P_i$  can be typed by an associated local context  $\Gamma$  if the local type of participant  $p_i$  in  $\Gamma$  types the process

## 764 6.2 Subject Reduction, Progress and Session Fidelity

give theorem 765 The subject reduction, progress and non-stuck theorems from [14] also hold in this setting, no 766 with minor changes in their statements and proofs. We won't discuss these proofs in detail.

767 ▶ **Lemma 6.1.** If  $\gamma \vdash_M M$  and  $M \Rightarrow M'$ , then  $\text{typ\_sess } M' \gamma$ .

768 **Proof.** By induction on  $\text{unfoldP } M M'$ . ◀

769 ▶ **Theorem 6.2** (Subject Reduction). If  $\gamma \vdash_M M$  and  $M \xrightarrow{(p,q)\ell} M'$ , then there exists a  
770 typing context  $\gamma'$  such that  $\gamma \xrightarrow{(p,q)\ell} \gamma'$  and  $\gamma' \vdash_M M$ .

771 ▶ **Theorem 6.3** (Progress). If  $\gamma \vdash_M M$ , one of the following hold :

- 772 1. Either  $M \Rightarrow M_{\text{inact}}$  where every process making up  $M_{\text{inact}}$  is inactive, i.e.  $M_{\text{inact}} \equiv \prod_{i=1}^n p_i \triangleleft \mathbf{0}$  for some  $n$ .  
773 2. Or there is a  $M'$  such that  $M \rightarrow M'$ .

775 ▶ **Remark 6.4.** Note that in Theorem 6.2 one transition between sessions corresponds to  
776 exactly one transition between local type contexts with the same label. That is, every session  
777 transition is observed by the corresponding type. This is the main reason for our choice of  
778 reactive semantics (Section 2.3) as  $\tau$  transitions are not observed by the type in ordinary  
779 semantics. In other words, with  $\tau$ -semantics the typing relation is a *weak simulation* [29],  
780 while it turns into a strong simulation with reactive semantics. For our Rocq implementation  
781 working with the strong simulation turns out to be more convenient.

782 We can also prove the following correspondence result in the reverse direction to Theorem 6.2,  
783 analogous to Theorem 4.9.

784 ▶ **Theorem 6.5** (Session Fidelity). If  $\gamma \vdash_M M$  and  $\gamma \xrightarrow{(p,q)\ell} \gamma'$ , there exists a  
785 message label  $\ell'$  and a session  $M'$  such that  $M \xrightarrow{(p,q)\ell'} M'$  and  $\text{typ\_sess } M' \gamma$ .

786 **Proof.** By inverting the local type context transition and the typing. ◀

787 ▶ **Remark 6.6.** Again we note that by Theorem 6.5 a single-step context reduction induces a  
788 single-step session reduction on the type. With the  $\tau$ -semantics the session reduction induced  
789 by the context reduction would be multistep.

### 790 6.3 Session Liveness

791 We state the liveness property we are interested in proving, and show that typable sessions  
792 have this property.

793 ▶ **Definition 6.7** (Session Liveness). Session  $\mathcal{M}$  is live iff

- 794 1.  $\mathcal{M} \rightarrow^* \mathcal{M}' \Rightarrow q \triangleleft p! \ell_i(x_i).Q \mid \mathcal{N}$  implies  $\mathcal{M}' \rightarrow^* \mathcal{M}'' \Rightarrow q \triangleleft Q \mid \mathcal{N}'$  for some  $\mathcal{M}'', \mathcal{N}'$   
795 2.  $\mathcal{M} \rightarrow^* \mathcal{M}' \Rightarrow q \triangleleft \bigwedge_{i \in I} p? \ell_i(x_i).Q_i \mid \mathcal{N}$  implies  $\mathcal{M}' \rightarrow^* \mathcal{M}'' \Rightarrow q \triangleleft Q_i[v/x_i] \mid \mathcal{N}'$  for some  
796  $\mathcal{M}'', \mathcal{N}', i, v$ .

797 In Rocq we express this with the following:

```
Definition live_sess Mp ≡ ∀ M, betaRtc Mp M →
  (forall p q ell e P' M', p ≠ q → unfoldP M ((p ← p_send q ell e P') \ \ \ \ M') → ∃ M'',
  betaRtc M ((p ← P') \ \ \ \ M''))
  ∧
  (forall p q l1p M', p ≠ q → unfoldP M ((p ← p_recv q l1p) \ \ \ \ M') →
  ∃ M'', P' e k, onth k l1p = Some P' ∧ betaRtc M ((p ← subst_expr_proc P' e 0 0) \ \ \ \ M'')).
```

798 Session liveness, analogous to liveness for typing contexts (Definition 5.5), says that when  
799  $\mathcal{M}$  is live, if  $\mathcal{M}$  reduces to a session  $\mathcal{M}'$  containing a participant that's attempting to send  
800 or receive, then  $\mathcal{M}'$  reduces to a session where that communication has happened. It's also  
801 called *lock-freedom* in related work ([42, 30]).

803 We now prove that typed sessions are live. Our proof follows the following steps:

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804 1. Formulate a "fairness" property for typable sessions, with the property that any finite  
 805 session reduction path can be extended to a fair session reduction path.

806 2. Lift the typing relation to reduction paths, and show that fair session reduction paths  
 807 are typed by fair local type context reduction paths.

808 3. Prove that a certain transition eventually happens in the local context reduction path,  
 809 and that this means the desired transition is enabled in the session reduction path.

810 We first state a "fairness" (the reason for the quotes is explained in Remark 6.9) property  
 811 for session reduction paths, analogous to fairness for local type context reduction paths  
 812 (Definition 5.5).

813 ► **Definition 6.8** ("Fairness" of Sessions). *We say that a  $(p, q)\ell$  transition is enabled at  $\mathcal{M}$  if  
 $\mathcal{M} \xrightarrow{(p, q)\ell} \mathcal{M}'$  for some  $\mathcal{M}'$ . A session reduction path is fair if the following LTL property  
 815 holds:*

$$816 \quad \square(\text{enabledComm}_{p,q,\ell} \implies \Diamond(\text{headComm}_{p,q}))$$

817 ► **Remark 6.9.** Definition 6.8 is not actually a sensible fairness property for our reactive  
 818 semantics, mainly because it doesn't satisfy the *feasibility* [18] property stating that any  
 819 finite execution can be extended to a fair execution. Consider the following session:

$$820 \quad \mathcal{M} = p \triangleleft \text{if}(\text{true} \oplus \text{false}) \text{ then } q! \ell_1(\text{true}) \text{ else } r! \ell_2(\text{true}).\mathbf{0} \mid q \triangleleft p? \ell_1(x).\mathbf{0} \mid r \triangleleft p? \ell_2(x).\mathbf{0}$$

821 We have that  $\mathcal{M} \xrightarrow{(p,q)\ell_1} \mathcal{M}'$  where  $\mathcal{M}' = p \triangleleft \mathbf{0} \mid q \triangleleft \mathbf{0} \mid r \triangleleft p? \ell_2(x).\mathbf{0}$ , and also  $\mathcal{M} \xrightarrow{(p,r)\ell_2} \mathcal{M}''$   
 822 for another  $\mathcal{M}''$ . Now consider the reduction path  $\rho = \mathcal{M} \xrightarrow{(p,q)\ell_1} \mathcal{M}'$ .  $(p, r)\ell_2$  is enabled at  
 823  $\mathcal{M}$  so in a fair path it should eventually be executed, however no extension of  $\rho$  can contain  
 824 such a transition as  $\mathcal{M}'$  has no remaining transitions. Nevertheless, it turns out that there  
 825 is a fair reduction path starting from every typable session (Lemma 6.13), and this will be  
 826 enough to prove our desired liveness property.

827 We can now lift the typing relation to reduction paths, just like we did in Definition 5.19.

828 ► **Definition 6.10** (Path Typing). *Path typing is a relation between session reduction paths  
 829 and local type context reduction paths, defined coinductively by the following rules:*

- 830 (i) *The empty session reduction path is typed with the empty context reduction path.*
- 831 (ii) *If  $\mathcal{M} \xrightarrow{\lambda_0} \rho$  is typed by  $\Gamma \xrightarrow{\lambda_1} \rho'$  where ( $\rho$  and  $\rho'$  are session and local type context  
 832 reduction paths, respectively), then  $\lambda_0 = \lambda_1$  and  $\rho$  is typed by  $\rho'$ .*

833 Similar to Lemma 5.20, we can show that if the head of the path is typable then so is the  
 834 whole path.

835 ► **Lemma 6.11.** *If  $\text{typ\_sess } M \text{ gamma}$ , then any session reduction path  $xs$  starting with  $M$  is  
 836 typed by a local context reduction path  $ys$  starting with  $\text{gamma}$ .*

837 **Proof.** We can construct a local context reduction path that types the session path. The  
 838 construction exactly like Lemma 5.20 but elements of the output stream are generated by  
 839 Theorem 6.2 instead of Theorem 4.10. ◀

840 We also have that typing path preserves fairness.

841 ► **Lemma 6.12.** *If session path  $xs$  is typed by the local context path  $ys$ , and  $xs$  is fair, then  
 842 so is  $ys$ .*

843 The final lemma we need in order to prove liveness is that there exists a fair reduction path  
 844 from every typable session.

845 ► **Lemma 6.13** (Fair Path Existence). *If  $\text{typ\_sess } M \gamma$ , then there is a fair session*  
 846 *reduction path  $xs$  starting from  $M$ .*

847 **Proof.** We can construct a fair path starting from  $M$  by repeatedly cycling through all  
 848 participants, checking if there is a transition involving that participant, and executing that  
 849 transition if there is. ◀

850 ► **Remark 6.14.** The Rocq implementation of Lemma 6.13 computes a **CoFixpoint**  
 851 corresponding to the fair path constructed above. As in Lemma 5.20, we use  
 852 **constructive\_indefinite\_description** to turn existence statements in **Prop** to dependent  
 853 pairs. We also assume the informative law of excluded middle (**excluded\_middle\_informative**)  
 854 in order to carry out the "check if there is a transition" step in the algorithm above. When  
 855 proving that the constructed path is fair, we sometimes rely on the LTL constructs we  
 856 outlined in Section 5.2 reminiscent of the techniques employed in [4].

857 We can now prove that typed sessions are live.

858 ► **Theorem 6.15** (Liveness by Typing). *For a session  $M_p$ , if  $\exists \gamma \gamma \vdash_M M_p$  then*  
 859  *$\text{live\_sess } M_p$ .*

860 **Proof.** We detail the proof for the send case of Definition 6.7, the case for the receive is  
 861 similar. Suppose that  $M_p \rightarrow^* M$  and  $M \Rightarrow ((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M')$ . Our goal is  
 862 to show that there exists a  $M''$  such that  $M \rightarrow^* ((p \leftarrow P') ||| M'')$ . First, observe that  
 863 by [R-UNFOLD] it suffices to show that  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M') \rightarrow^* M''$  for  
 864 some  $M''$ . Also note that  $\gamma \vdash_M M$  for some  $\gamma$  by Theorem 6.2, therefore  $\gamma \vdash_M ((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M')$  by Lemma 6.1.

865 Now let  $xs$  be a fair reduction path starting from  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M')$ ,  
 866 which exists by Lemma 6.13. Let  $ys$  be the local context reduction path starting with  $\gamma$   
 867 that types  $xs$ , which exists by Lemma 6.11. Now  $ys$  is fair by Lemma 6.12. Therefore by  
 868 Theorem 5.24  $ys$  is live, so a  $\text{lcomm } p \text{ q ell}'$  transition eventually occurs in  $ys$  for some  
 869  $\text{ell}'$ . Therefore  $ys = \gamma \rightarrow^* \gamma_0 \xrightarrow{(p,q)\ell'} \gamma_1 \rightarrow \dots$  for some  $\gamma_0, \gamma_1$ . Now  
 870 consider the session  $M_0$  typed by  $\gamma_0$  in  $xs$ . We have  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') |||$   
 871  $M'') \rightarrow^* M_0$  by  $M_0$  being on  $xs$ . We also have that  $M_0 \xrightarrow{(p,q)\ell''} M_1$  for some  $\ell''$ ,  $M_1$  by  
 872 Theorem 6.5. Now observe that  $M_0 \equiv ((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M'')$  for some  $M''$  as  
 873 no transitions involving  $p$  have happened on the reduction path to  $M_0$ . Therefore  $\ell = \ell''$ , so  
 874  $M_1 \equiv ((p \leftarrow P') ||| M'')$  for some  $M''$ , as needed. ◀

## 876 7 Conclusion and Related Work

877 **Liveness Properties.** Examinations of liveness, also called *lock-freedom*, guarantees of  
 878 multiparty session types abound in literature, e.g. [31, 23, 44, 35, 3]. Most of these papers use  
 879 the definition liveness proposed by Padovani [30], which doesn't make the fairness assumptions  
 880 that characterize the property [16] explicit. Contrastingly, van Glabbeek et. al. [42] examine  
 881 several notions of fairness and the liveness properties induced by them, and devise a type  
 882 system with flexible choices [6] that captures the strongest of these properties, the one  
 883 induced by the *justness* [18] assumption. In their terminology, Definition 6.7 corresponds to  
 884 liveness under strong fairness of transitions ( $\mathcal{L}(\text{ST})$ ), which is the weakest of the properties  
 885 considered in that paper. They also show that their type system is complete i.e. every live  
 886 process can be typed. We haven't presented any completeness results in this paper. Indeed,  
 887 our type system is not complete for Definition 6.7, even if we restrict our attention to safe

and race-free sessions. For example, the session described in [42, Example 9] is live but not typable by a context associated with a balanced global type in our system.

Fairness assumptions are also made explicit in recent work by Ciccone et. al [10, 11] which use generalized inference systems with coaxioms [1] to characterize *fair termination*, which is stronger than Definition 6.7, but enjoys good composition properties.

**Mechanisation.** Mechanisation of session types in proof assistants is a relatively new effort. Our formalisation is built on recent work by Ekici et. al. [14] which uses a coinductive representation of global and local types to prove subject reduction and progress. Their work uses a typing relation between global types and sessions while ours uses one between associated local type contexts and sessions. This necessitates the rewriting of subject reduction and progress proofs in addition to the operational correspondence, safety and liveness properties we have proved. Other recent results mechanised in Rocq include Ekici and Yoshida's [15] work on the completeness of asynchronous subtyping, and Tirore's work [39, 41, 40] on projections and subject reduction for  $\pi$ -calculus.

Castro-Perez et. al. [8] devise a multiparty session type system that dispenses with projections and local types by defining the typing relation directly on the LTS specifying the global protocol, and formalise the results in Agda. Ciccone's PhD thesis [9] presents an Agda formalisation of fair termination for binary session types. Binary session types were also implemented in Agda by Thiemann [38] and in Idris by Brady[5]. Several implementations of binary session types are also present for Haskell [24, 28, 34].

Implementations of session types that are more geared towards practical verification include the Actris framework [19, 21] which enriches the separation logic of Iris [22] with binary session types to certify deadlock-freedom. In general, verification of liveness properties, with or without session types, in concurrent separation logic is an active research area that has produced tools such as TaDa [13], FOS [25] and LiLo [26] in the past few years. Further verification tools employing multiparty session types are Jacobs's Multiparty GV [21] based on the functional language of Wadler's GV [43], and Castro-Perez et. al's Zooid [7], which supports the extraction of certifiably safe and live protocols.

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