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## 6 — Abstract —

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10 **2012 ACM Subject Classification** Replace `ccsdesc` macro with valid one

11 **Keywords and phrases** Dummy keyword

12 **Digital Object Identifier** [10.4230/LIPIcs.CVIT.2016.23](https://doi.org/10.4230/LIPIcs.CVIT.2016.23)

13 **Acknowledgements** Anonymous acknowledgements

## 14 **1 Introduction**

15 We introduce the simple synchronous session calculus that our type system will be used  
16 on.

### 17 **1.1 Processes and Sessions**

18 ► **Definition 1.1** (Expressions and Processes). *We define processes as follows:*

$$19 \quad P ::= p!\ell(e).P \mid \sum_{i \in I} p?\ell_i(x_i).P_i \mid \text{if } e \text{ then } P \text{ else } P \mid \mu X.P \mid X \mid 0$$

20 where  $e$  is an expression that can be a variable, a value such as `true`, `0` or `-3`, or a term  
21 built from expressions by applying the operators `succ`, `neg`, `¬`, non-deterministic choice  $\oplus$   
22 and  $>$ .

23  $p!\ell(e).P$  is a process that sends the value of expression  $e$  with label  $\ell$  to participant  $p$ , and  
24 continues with process  $P$ .  $\sum_{i \in I} p?\ell_i(x_i).P_i$  is a process that may receive a value from any  
25  $\ell_i \in I$ , binding the result to  $x_i$  and continuing with  $P_i$ , depending on which  $\ell_i$  the value was  
26 received from.  $X$  is a recursion variable,  $\mu X.P$  is a recursive process, if  $e$  then  $P$  else  $P$  is a  
27 conditional and  $0$  is a terminated process.

28 Processes can be composed in parallel into sessions.

29 ► **Definition 1.2** (Multiparty Sessions). *Multiparty sessions are defined as follows.*

$$30 \quad M ::= p \triangleleft P \mid (M \mid M) \mid \mathcal{O}$$

31  $p \triangleleft P$  denotes that participant  $p$  is running the process  $P$ ,  $|$  indicates parallel composition. We  
32 write  $\prod_{i \in I} p_i \triangleleft P_i$  to denote the session formed by  $p_i$  running  $P_i$  in parallel for all  $i \in I$ .  $\mathcal{O}$  is  
33 an empty session with no participants, that is, the unit of parallel composition.

34 ► **Remark 1.3.** Note that  $\mathcal{O}$  is different than  $p \triangleleft 0$  as  $p$  is a participant in the latter but not  
35 the former. This differs from previous work, e.g. in [4] the unit of parallel composition is  
36  $p \triangleleft 0$  while in [3] there is no unit. For a detailed discussion see ??.



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42nd Conference on Very Important Topics (CVIT 2016).

Editors: John Q. Open and Joan R. Access; Article No. 23; pp. 23:1–23:25



Leibniz International Proceedings in Informatics

Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany

## 37 1.2 Structural Congruence and Operational Semantics

38 We define a structural congruence relation  $\equiv$  on sessions which expresses the commutativity,  
 39 associativity and unit of the parallel composition operator.

$$\begin{array}{ll} \text{[SC-SYM]} & \text{[SC-ASSOC]} \\ p \triangleleft P \mid q \triangleleft Q \equiv q \triangleleft Q \mid p \triangleleft P & (p \triangleleft P \mid q \triangleleft Q) \mid r \triangleleft R \equiv p \triangleleft P \mid (q \triangleleft Q \mid r \triangleleft R) \\ \text{[SC-O]} \\ p \triangleleft P \mid q \triangleleft O \equiv p \triangleleft P \end{array}$$

■ Table 1 Structural Congruence over Sessions

40 We now give the operational semantics for sessions by the means of a labelled transition  
 41 system. We have two kinds of transitions, *silent* ( $\tau$ ) and *observable* ( $\beta$ ). Correspondingly,  
 42 we have two kinds of *transition labels*,  $\tau$  and  $(p, q)\ell$  where  $p, q$  are participants and  $\ell$  is a  
 43 message label. We omit the semantics of expressions, they are standard and can be found in  
 44 [4, Table 1]. We write  $e \downarrow v$  when expression  $e$  evaluates to value  $v$ .

$$\begin{array}{c} \text{[R-COMM]} \\ \hline p \triangleleft \sum_{i \in I} q? \ell_i(x_i).P_i \mid q \triangleleft p! \ell_j(e).Q \mid \mathcal{N} \xrightarrow{(p,q)\ell_j} p \triangleleft P_j[v/x_j] \mid q \triangleleft Q \mid \mathcal{N} \\ \text{[R-REC]} \\ p \triangleleft \mu X.P \mid \mathcal{N} \xrightarrow{\tau} p \triangleleft P[\mu X.P/X] \mid \mathcal{N} \\ \text{[R-CONDIT]} \\ \hline p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \xrightarrow{\tau} p \triangleleft P \mid \mathcal{N} \\ \text{[R-CONDIF]} \\ \hline p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \xrightarrow{\tau} p \triangleleft Q \mid \mathcal{N} \\ \text{[R-STRUCT]} \\ \hline \mathcal{N}'_1 \equiv \mathcal{N}_1 \quad \mathcal{N}_1 \xrightarrow{\lambda} \mathcal{N}_2 \quad \mathcal{N}_2 \equiv \mathcal{N}'_2 \\ \hline \mathcal{N}'_1 \xrightarrow{\lambda} \mathcal{N}'_2 \end{array}$$

■ Table 2 Operational Semantics of Sessions

45 In Table 2, [R-COMM] describes a synchronous communication from  $p$  to  $q$  via message  
 46 label  $\ell_j$ . [R-REC] unfolds recursion, [R-CONDIT] and [R-CONDIF] express how to evaluate  
 47 conditionals, and [R-STRUCT] shows that the reduction respects the structural pre-congruence.  
 48 We write  $\mathcal{M} \rightarrow \mathcal{N}$  if  $\mathcal{M} \xrightarrow{\lambda} \mathcal{N}$  for some transition label  $\lambda$ . We write  $\rightarrow^*$  to denote the  
 49 reflexive transitive closure of  $\rightarrow$ . We also write  $\mathcal{M} \Rightarrow \mathcal{N}$  when  $\mathcal{M} \equiv \mathcal{N}$  or  $\mathcal{M} \rightarrow^* \mathcal{N}$  where  
 50 all the transitions involved in the multistep reduction are  $\tau$  transitions.

## 51 2 The Type System

52 We introduce local and global types and trees and the subtyping and projection relations  
 53 based on [4]. We start by defining the sorts that will be used to type expressions, and local

54 types that will be used to type single processes.

## 55 2.1 Local Types and Type Trees

56 ▶ **Definition 2.1** (Sorts). *We define sorts as follows:*

57  $S ::= \text{int} \mid \text{bool} \mid \text{nat}$

58 and the corresponding Coq

```
Inductive sort: Type  $\triangleq$ 
| sbool: sort
| sint : sort
| snat : sort.
```

59

60 ▶ **Definition 2.2.** *Local types are defined inductively with the following syntax:*

61  $\mathbb{T} ::= \text{end} \mid p\oplus\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I} \mid p\&\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I} \mid t \mid \mu t.\mathbb{T}$

62 Informally, in the above definition, `end` represents a role that has finished communicating.  
 63  $p\oplus\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$  denotes a role that may, from any  $i \in I$ , receive a value of sort  $S_i$  with  
 64 message label  $\ell_i$  and continue with  $\mathbb{T}_i$ . Similarly,  $p\&\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$  represents a role that may  
 65 choose to send a value of sort  $S_i$  with message label  $\ell_i$  and continue with  $\mathbb{T}_i$  for any  $i \in I$ .  
 66  $\mu t.\mathbb{T}$  represents a recursive type where  $t$  is a type variable. We assume that the indexing  
 67 sets  $I$  are always non-empty. We also assume that recursion is always guarded.

68 We employ an equirecursive approach based on the standard techniques from [8] where  
 69  $\mu t.\mathbb{T}$  is considered to be equivalent to its unfolding  $\mathbb{T}[\mu t.\mathbb{T}/t]$ . This enables us to identify  
 70 a recursive type with the possibly infinite local type tree obtained by fully unfolding its  
 71 recursive subterms.

72 ▶ **Definition 2.3.** *Local type trees are defined coinductively with the following syntax:*

73  $\mathbb{T} ::= \text{end} \mid p\&\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I} \mid p\oplus\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$

74 The corresponding Coq definition is given below.

```
CoInductive ltt: Type  $\triangleq$ 
| ltt_end : ltt
| ltt_recv: part  $\rightarrow$  list (option(sort*ltt))  $\rightarrow$  ltt
| ltt_send: part  $\rightarrow$  list (option(sort*ltt))  $\rightarrow$  ltt.
```

75

76 Note that in Coq we represent the continuations using a `list` of `option` types. In a continuation  
 77  $gcs : \text{list } (\text{option}(\text{sort}*\text{ltt}))$ , index  $k$  (using zero-indexing) being equal to `Some (s_k,`  
 78  $T_k)$  means that  $\ell_k(S_k).\mathbb{T}_k$  is available in the continuation. Similarly index  $k$  being equal to  
 79 `None` or being out of bounds of the list means that the message label  $\ell_k$  is not present in the  
 80 continuation. Below are some of the constructions we use when working with option lists.

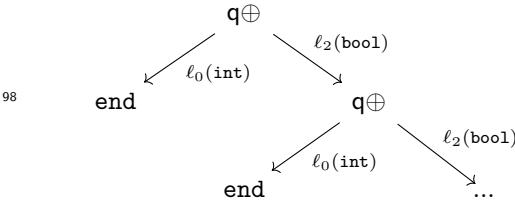
- 81 1. `SList xs`: A function that is equal to `True` if `xs` represents a continuation that has at  
 82 least one element that is not `None`, and `False` otherwise.
- 83 2. `onth k xs`: A function that returns `Some x` if the element at index  $k$  (using 0-indexing) of  
 84 `xs` is `Some x`, and returns `None` otherwise. Note that the function returns `None` if  $k$  is out  
 85 of bounds for `xs`.

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86 3. **Forall**, **Forall12** and **Forall12R** : **Forall** and **Forall12** are predicates from the Coq Standard  
 87 Library [11, List] that are used to quantify over elements of one list and pairwise elements  
 88 of two lists, respectively. **Forall12R** is a weaker version of **Forall12** that might hold even if  
 89 one parameter is shorter than the other. We frequently use **Forall12R** to express subset  
 90 relations on continuations.

91 ▶ **Remark 2.4.** Note that Coq allows us to create types such as `ltt_send q []` which don't  
 92 correspond to well-formed local types as the continuation is empty. In our implementation  
 93 we define a predicate `wfltt : ltt → Prop` capturing that all the continuations in the local  
 94 type tree are non-empty. Henceforth we assume that all local types we mention satisfy this  
 95 property.

96 ▶ **Example 2.5.** Let local type  $\mathbb{T} = \mu t. q \oplus \{\ell_0(\text{int}).\text{end}, \ell_2(\text{bool}).t\}$ . This is equivalent to  
 97 the following infinite local type tree:



99 and the following Coq code

```
CoFixpoint T ≡ ltt_send q [Some (sint, ltt_end), None, Some (sbool, T)]
```

100

101 We omit the details of the translation between local types and local type trees, the tech-  
 102 nicalities of our approach is explained in [4], and the Coq implementation of translation is  
 103 detailed in [3]. From now on we work exclusively on local type trees.

104 ▶ **Remark 2.6.** We will occasionally be talking about equality ( $=$ ) between coinductively  
 105 defined trees in Coq. Coq's Leibniz equality is not strong enough to treat as equal the  
 106 types that we will deem to be the same. To do that, we define a coinductive predicate  
 107 `lttIsoC` that captures isomorphism between coinductive trees and take as an axiom that  
 108 `lttIsoC T1 T2 → T1=T2`. Technical details can be found in [3].

## 109 2.2 Subtyping

110 We define the subsorting relation on sorts and the subtyping relation on local type trees.

111 ▶ **Definition 2.7 (Subsorting and Subtyping).** *Subsorting  $\leq$  is the least reflexive binary  
 112 relation that satisfies  $\text{nat} \leq \text{int}$ . Subtyping  $\leqslant$  is the largest relation between local type trees  
 113 coinductively defined by the following rules:*

$$\frac{\begin{array}{c} \hline \text{end} \leqslant \text{end} \\ \hline \end{array}}{=} \text{[SUB-END]} \quad \frac{\begin{array}{c} \forall i \in I : S'_i \leq S_i \quad T_i \leqslant T'_i \\ \hline \end{array}}{p \& \{\ell_i(S_i).T_i\}_{i \in I} \leqslant p \& \{\ell_i(S'_i).T'_i\}_{i \in I}} \text{[SUB-IN]}$$

$$\frac{\begin{array}{c} \forall i \in I : S_i \leq S'_i \quad T_i \leqslant T'_i \\ \hline \end{array}}{p \oplus \{\ell_i(S_i).T_i\}_{i \in I} \leqslant p \oplus \{\ell_i(S'_i).T'_i\}_{i \in I}} \text{[SUB-OUT]}$$

115 Intuitively,  $T_1 \leqslant T_2$  means that a role of type  $T_1$  can be supplied anywhere a role of type  $T_2$   
 116 is needed. [SUB-IN] captures the fact that we can supply a role that is able to receive more  
 117 labels than specified, and [SUB-OUT] captures that we can supply a role that has fewer labels

118 available to send. Note the contravariance of the sorts in [SUB-IN], if the supertype demands  
 119 the ability to receive an `nat` then the subtype can receive `nat` or `int`.

120 In Coq we express coinductive relations such as subtyping using the Paco library [6].  
 121 The idea behind Paco is to formulate the coinductive predicate as the greatest fixpoint of  
 122 an inductive relation parameterised by another relation `R` representing the "accumulated  
 123 knowledge" obtained during the course of the proof. Hence our subtyping relation looks like  
 124 the following:

```
Inductive subtype (R: ltt → ltt → Prop): ltt → ltt → Prop ≡
| sub_end: subtype R ltt_end ltt_end
| sub_in : ∀ p xs ys,
  wfrec subsort R ys xs →
  subtype R (ltt_recv p xs) (ltt_recv p ys)
| sub_out : ∀ p xs ys,
  wfsend subsort R xs ys →
  subtype R (ltt_send p xs) (ltt_send p ys).
```

```
Definition subtype 11 12 ≡ paco2 subtype bot2 11 12.
```

125

126 In definition of the inductive relation `subtype`, constructors `sub_in` and `sub_out` correspond  
 127 to [SUB-IN] and [SUB-OUT] with `wfrec` and `wfsend` expressing the premises of those rules. Then  
 128 `subtypeC` defines the coinductive subtyping relation as a greatest fixed point. Given that the  
 129 relation `subtype` is monotone (proven in [3]), `paco2 subtype bot2` generates the greatest fixed  
 130 point of `subtype` with the "accumulated knowledge" parameter set to the empty relation `bot2`.  
 131 The `2` at the end of `paco2` and `bot2` stands for the arity of the predicates.

### 132 2.3 Global Types and Type Trees

133 While local types specify the behaviour of one role in a protocol, global types give a bird's  
 134 eye view of the whole protocol.

135 ► **Definition 2.8** (Global type). *We define global types inductively as follows:*

136  $\mathbb{G} ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I} \mid t \mid \mu t.\mathbb{G}$

137 We further inductively define the function `pt(G)` that denotes the participants of type `G`:

138  $\text{pt}(\text{end}) = \text{pt}(t) = \emptyset$

139  $\text{pt}(p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I}) = \{p, q\} \cup \bigcup_{i \in I} \text{pt}(\mathbb{G}_i)$

140  $\text{pt}(\mu T.\mathbb{G}) = \text{pt}(\mathbb{G})$

141 `end` denotes a protocol that has ended,  $p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I}$  denotes a protocol where for  
 142 any  $i \in I$ , participant `p` may send a value of sort  $S_i$  to another participant `q` via message  
 143 label  $\ell_i$ , after which the protocol continues as  $\mathbb{G}_i$ .

144 As in the case of local types, we adopt an equirecursive approach and work exclusively  
 145 on possibly infinite global type trees.

146 ► **Definition 2.9** (Global type trees). *We define global type trees coinductively as follows:*

147  $\mathbb{G} ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I}$

148 with the corresponding Coq code

```
CoInductive gtt: Type ≡
| gtt_end : gtt
| gtt_send : part → part → list (option (sort * gtt)) → gtt.
```

149

150     We extend the function  $\text{pt}$  onto trees by defining  $\text{pt}(\mathbb{G}) = \text{pt}(\mathbb{G})$  where the global type  
 151    $\mathbb{G}$  corresponds to the global type tree  $\mathbb{G}$ . Technical details of this definition such as well-  
 152   definedness can be found in [3, 4].

153   In Coq  $\text{pt}$  is captured with the predicate  $\text{isgPartsC} : \text{part} \rightarrow \text{gtt} \rightarrow \text{Prop}$ , where  
 154    $\text{isgPartsC } p \ G$  denotes  $p \in \text{pt}(G)$ .

## 155 2.4 Projection

156   We give definitions of projections with plain merging.

157   ► **Definition 2.10** (Projection). The projection of a global type tree onto a participant  $r$  is the  
 158   largest relation  $\lceil_r$  between global type trees and local type trees such that, whenever  $G \lceil_r T$ :

159   ■  $r \notin \text{pt}\{G\}$  implies  $T = \text{end}$ ; [PROJ-END]

160   ■  $G = p \rightarrow r : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = p \& \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \lceil_r T_i$  [PROJ-IN]

161   ■  $G = r \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = q \oplus \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \lceil_r T_i$  [PROJ-OUT]

162   ■  $G = p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  and  $r \notin \{p, q\}$  implies that there are  $T_i, i \in I$  such that  
 163    $T = \sqcap_{i \in I} T_i$  and  $\forall i \in I, G \lceil_r T_i$  [PROJ-CONT]

164   where  $\sqcap$  is the merging operator. We also define plain merge  $\sqcap$  as

$$165 \quad T_1 \sqcap T_2 = \begin{cases} T_1 & \text{if } T_1 = T_2 \\ \text{undefined} & \text{otherwise} \end{cases}$$

166   ► **Remark 2.11.** In the MPST literature there exists a more powerful merge operator named  
 167   full merging, defined as

$$168 \quad T_1 \sqcap T_2 = \begin{cases} T_1 & \text{if } T_1 = T_2 \\ T_3 & \text{if } \exists I, J : \begin{cases} T_1 = p \& \{\ell_i(S_i).T_i\}_{i \in I} & \text{and} \\ T_2 = p \& \{\ell_j(S_j).T_j\}_{j \in J} & \text{and} \\ T_3 = p \& \{\ell_k(S_k).T_k\}_{k \in I \cup J} \end{cases} \\ \text{undefined} & \text{otherwise} \end{cases}$$

169   Indeed, one of the papers we base this work on [13] uses full merging. However we used plain  
 170   merging in our formalisation and consequently in this work as it was already implemented in  
 171   [3]. Generally speaking, the results we proved can be adapted to a full merge setting, see the  
 172   proofs in [13].

173   Informally, the projection of a global type tree  $G$  onto a participant  $r$  extracts a specification  
 174   for participant  $r$  from the protocol whose bird's-eye view is given by  $G$ . [PROJ-END]  
 175   expresses that if  $r$  is not a participant of  $G$  then  $r$  does nothing in the protocol. [PROJ-IN]  
 176   and [PROJ-OUT] handle the cases where  $r$  is involved in a communication in the root of  $G$ .  
 177   [PROJ-CONT] says that, if  $r$  is not involved in the root communication of  $G$ , then the only  
 178   way it knows its role in the protocol is if there is a role for it that works no matter what  
 179   choices  $p$  and  $q$  make in their communication. This "works no matter the choices of the other  
 180   participants" property is captured by the merge operations.

181   In Coq these constructions are expressed with the inductive  $\text{isMerge}$  and the coinductive  
 182    $\text{projectionC}$ .

```
Inductive isMerge : ltt → list (option ltt) → Prop ≡
| matm : ∀ t, isMerge t (Some t :: nil)
| mcons : ∀ t xs, isMerge t xs → isMerge t (None :: xs)
| mconsS : ∀ t xs, isMerge t xs → isMerge t (Some t :: xs).
```

184 `isMerge t xs` holds if the plain merge of the types in `xs` is equal to `t`.

```
Variant projection (R: gtt → part → ltt → Prop): gtt → part → ltt → Prop ≡
| proj_end : ∀ g r,
  (isgPartsC r g → False) →
  projection R g r (ltt_end)
| proj_in : ∀ p r xs ys,
  p ≠ r →
  (isgPartsC r (gtt_send p r xs)) →
  List.Forall2 (fun u v => (u = None ∧ v = None) ∨ (exists s g t, u = Some(s, g) ∧ v = Some(s, t) ∧ R g r t)) xs ys →
  projection R (gtt_send p r xs) r (ltt_recv p ys)
| proj_out : ...
| proj_cont: ∀ p q r xs ys t,
  p ≠ q →
  q ≠ r →
  p ≠ r →
  (isgPartsC r (gtt_send p q xs)) →
  List.Forall2 (fun u v => (u = None ∧ v = None) ∨
  (exists s g t, u = Some(s, g) ∧ v = Some(t ∧ R g r t)) xs ys →
  isMerge t ys →
  projection R (gtt_send p q xs) r t.
Definition projectionC g r t ≡ paco3 projection bot3 g r t.
```

185

186 As in the definition of `subtypeC`, `projectionC` is defined as a parameterised greatest fixed  
 187 point using Paco. The premises of the rules [PROJ-IN], [PROJ-OUT] and [PROJ-CONT] are  
 188 captured using the Coq standard library predicate `List.Forall2 : ∀ A B : Type, (P:A →`  
 189 `B → Prop) (xs:list A) (ys:list B) :Prop` that holds if `P x y` holds for every `x, y` where  
 190 the index of `x` in `xs` is the same as the index of `y` in the index of `ys`.

191 We have the following fact about projections that lets us regard it as a partial function:

192 ► **Lemma 2.12.** *If `projectionC G p T` and `projectionC G p T'` then `T = T'`.*

193 We write  $G \upharpoonright r = T$  when  $G \upharpoonright r \vdash T$ . Furthermore we will be frequently be making assertions  
 194 about subtypes of projections of a global type e.g.  $T \leqslant G \upharpoonright r$ . In our Coq implementation we  
 195 define the predicate `issubProj` as a shorthand for this.

```
Definition issubProj (t:ltt) (g:gtt) (p:part) ≡
  ∃ tg, projectionC g p tg ∧ subtypeC t tg.
```

196

## 197 2.5 Balancedness, Global Tree Contexts and Grafting

198 We introduce an important constraint on the types of global type trees we will consider,  
 199 balancedness.

200 ► **Definition 2.13** (Balanced Global Type Trees). *A global tree  $G$  is balanced if for any subtree  
 201  $G'$  of  $G$ , there exists  $k$  such that for all  $p \in pt(G')$ ,  $p$  occurs on every path from the root of  
 202  $G'$  of length at least  $k$ .*

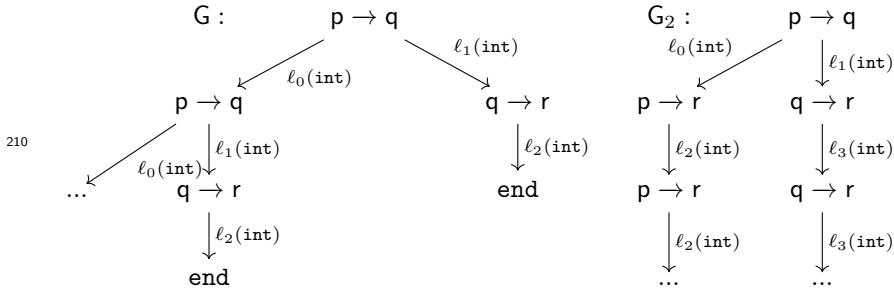
203 In Coq balancedness is expressed with the predicate `balancedG (G : gtt)`

204 We omit the technical details of this definition and the Coq implementation, they can be  
 205 found in [4] and [3].

206 ► **Example 2.14.** The global type tree  $G$  given below is unbalanced as constantly following  
 207 the left branch gives an infinite path where  $r$  doesn't occur despite being a participant of the  
 208 tree. There is no such path for  $G_2$ , hence  $G_2$  is balanced.

209

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211     Intuitively, balancedness is a regularity condition that imposes a notion of *liveness* on  
 212     the protocol described by the global type tree. For example,  $G$  in Example 2.14 describes  
 213     a defective protocol as it possible for  $p$  and  $q$  to constantly communicate through  $\ell_0$  and  
 214     leave  $r$  waiting to receive from  $q$  a communication that will never come. We will be exploring  
 215     these liveness properties from Section 3 onwards.

216     One other reason for formulating balancedness is that it allows us to use the "grafting"  
 217     technique, turning proofs by coinduction on infinite trees to proofs by induction on finite  
 218     global type tree contexts.

219     ▶ **Definition 2.15** (Global Type Tree Context). *Global type tree contexts are defined inductively*  
 220     *with the following syntax:*

221      $\mathcal{G} ::= \quad p \rightarrow q : \{\ell_i(S_i).\mathcal{G}_i\}_{i \in I} \mid [ ]_i$

222     In Coq global type tree contexts are represented by the type `gtth`

```

Inductive gtth: Type ≡
| gtth_hol  : fin → gtth
| gtth_send : part → part → list (option (sort * gtth)) → gtth.
  
```

223     We additionally define `pt` and `ishParts` on contexts analogously to `pt` and `isgPartsC` on trees.

224     A global type tree context can be thought of as the finite prefix of a global type tree, where  
 225     holes  $[ ]_i$  indicate the cutoff points. Global type tree contexts are related to global type trees  
 226     with the grafting operation.

227     ▶ **Definition 2.16** (Grafting). *Given a global type tree context  $\mathcal{G}$  whose holes are in the*  
 228     *indexing set  $I$  and a set of global types  $\{G_i\}_{i \in I}$ , the grafting  $\mathcal{G}[G_i]_{i \in I}$  denotes the global type*  
 229     *tree obtained by substituting  $[ ]_i$  with  $G_i$  in  $Gx$ .*

230     In Coq the indexed set  $\{G_i\}_{i \in I}$  is represented using a list `(option gtth)`. Grafting is  
 231     expressed by the following inductive relation:

```

Inductive typ_gtth : list (option gtth) → gtth → gtth → Prop.
  
```

232     `typ_gtth gs gcx gt` means that the grafting of the set of global type trees `gs` onto the context  
 233     `gcx` results in the tree `gt`.

234     Furthermore, we have the following lemma that relates global type tree contexts to  
 235     balanced global type trees.

236     ▶ **Lemma 2.17** (Proper Grafting Lemma, [3]). *If  $G$  is a balanced global type tree and `isgPartsC`*  
 237      *$p G$ , then there is a global type tree context  $Gctx$  and an option list of global type trees  $gs$*   
 238     *such that `typ_gtth gs Gctx G, ~ ishParts p Gctx` and every Some element of  $gs$  is of shape*  
 239     *`gtt_end`, `gtt_send p q` or `gtt_send q p`.*

242 2.17 enables us to represent a coinductive global type tree featuring participant  $p$  as the  
 243 grafting of a context that doesn't contain  $p$  with a list of trees that are all of a certain  
 244 structure. If  $\text{typ\_gtth } gs \text{ Gctx } G, \sim \text{ishParts } p \text{ Gctx}$  and every  $\text{Some}$  element of  $gs$  is of shape  
 245  $\text{gtt\_end}$ ,  $\text{gtt\_send } p \text{ q}$  or  $\text{gtt\_send } q \text{ p}$ , then we call the pair  $gs$  and  $\text{Gctx}$  as the  $p$ -grafting  
 246 of  $G$ , expressed in Coq as  $\text{typ\_p\_gtth } gs \text{ Gctx } p \text{ G}$ . When we don't care about the contents  
 247 of  $gs$  we may just say that  $G$  is  $p$ -grafted by  $\text{Gctx}$ .

248 ▶ Remark 2.18. From now on, all the global type trees we will be referring to are assumed  
 249 to be balanced. When talking about the Coq implementation, any  $G : \text{gtt}$  we mention is  
 250 assumed to satisfy the predicate  $\text{wfgC } G$ , expressing that  $G$  corresponds to some global type  
 251 and that  $G$  is balanced.

252 Furthermore, we will often require that a global type is projectable onto all its participants.  
 253 This is captured by the predicate  $\text{projectableA } G = \forall p, \exists T, \text{projectionC } G p T$ . As with  
 254  $\text{wfgC}$ , we will be assuming that all types we mention are projectable.

## 255 3 LTS Semantics

256 In this section we introduce local type contexts, and define Labelled Transition System  
 257 semantics on these constructs.

### 258 3.1 Typing Contexts

259 We start by defining typing contexts as finite mappings of participants to local type trees.

▶ Definition 3.1 (Typing Contexts).

$$260 \Gamma ::= \emptyset \mid \Gamma, p : T$$

261 Intuitively,  $p : T$  means that participant  $p$  is associated with a process that has the type  
 262 tree  $T$ . We write  $\text{dom}(\Gamma)$  to denote the set of participants occurring in  $\Gamma$ . We write  $\Gamma(p)$  for  
 263 the type of  $p$  in  $\Gamma$ . We define the composition  $\Gamma_1, \Gamma_2$  iff  $\text{dom}(\Gamma_1) \cap \text{dom}(\Gamma_2) = \emptyset$ .

264 In the Coq implementation we implement local typing contexts as finite maps of parti-  
 265 cipants, which are represented as natural numbers, and local type trees.

```
Module M  $\triangleq$  MMaps.RBT.Make(Nat).
Module MF  $\triangleq$  MMaps.Facts.Properties Nat M.
Definition tctx: Type  $\triangleq$  M.ttt.
```

266

267 In our implementation, we extensively use the MMMaps library [7], which defines finite maps  
 268 using red-black trees and provides many useful functions and theorems about them. We give  
 269 some of the most important ones below:

- 270 ■  $M.\text{add } p \text{ t g}$ : Adds value  $t$  with the key  $p$  to the finite map  $g$ .
- 271 ■  $M.\text{find } p \text{ g}$ : If the key  $p$  is in the finite map  $g$  and is associated with the value  $t$ , returns  
 272  $\text{Some } t$ , else returns  $\text{None}$ .
- 273 ■  $M.\text{In } p \text{ g}$ : A  $\text{Prop}$  that holds iff  $p$  is in  $g$ .
- 274 ■  $M.\text{mem } p \text{ g}$ : A  $\text{bool}$  that is equal to  $\text{true}$  if  $p$  is in  $g$ , and  $\text{false}$  otherwise.
- 275 ■  $M.\text{Equal } g1 \text{ g2}$ : Unfolds to  $\forall p, M.\text{find } p \text{ g1} = M.\text{find } p \text{ g2}$ . For our purposes, if  
 276  $M.\text{Equal } g1 \text{ g2}$  then  $g1$  and  $g2$  are indistinguishable. This is made formal in the MMMaps  
 277 library with the assertion that  $M.\text{Equal}$  forms a setoid, and theorems asserting that most  
 278 functions on maps respect  $M.\text{Equal}$  by showing that they form  $\text{Proper}$  morphisms [10,  
 279 Generalized Rewriting].

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- 280 ■ M.merge f g1 g2 where f: key → option value → option value → option value:  
 281     Creates a finite map whose keys are the keys in g1 or g2, where the value of the key p is  
 282     defined as f p (M.find p g1) (M.find p g2).  
 283 ■ MF.Disjoint g1 g2: A *Prop* that holds iff the keys of g1 and g2 are disjoint.  
 284 ■ M.Eqdom g1 g2: A *Prop* that holds iff g1 and g2 have the same domains.  
 285 One important function that we define is *disj\_merge*, which merges disjoists maps and is  
 286 used to represent the composition of typing contexts.

```
Definition both (z: nat) (o:option ltt) (o':option ltt) ≡
  match o,o' with
  | Some _, None    => o
  | None, Some _   => o'
  | _,_             => None
end.

Definition disj_merge (g1 g2:tctx) (H:MF.Disjoint g1 g2) : tctx ≡
  M.merge both g1 g2.
```

287

288 We give LTS semantics to typing contexts, for which we first define the transition labels.

289 ► **Definition 3.2** (Transition labels). *A transition label  $\alpha$  has the following form:*

$$\begin{array}{ll} \alpha ::= p : q\&\ell(S) & (\text{p receives } \ell(S) \text{ from q}) \\ \mid p : q\oplus\ell(S) & (\text{p sends } \ell(S) \text{ to q}) \\ \mid (p,q)\ell & (\ell \text{ is transmitted from p to q}) \end{array}$$

293

294 and in Coq

```
Notation opt_lbl ≡ nat.
Inductive label: Type ≡
  | lrecv: part → part → option sort → opt_lbl → label
  | lsend: part → part → option sort → opt_lbl → label
  | lcomm: part → part → opt_lbl → label.
```

295

296 We also define the function *subject*( $\alpha$ ) as *subject*( $p : q\&\ell(S)$ ) = *subject*( $p : q\oplus\ell(S)$ ) = {p}  
 297 and *subject*((p,q) $\ell$ ) = {p,q}.

298 In Coq we represent *subject*( $\alpha$ ) with the predicate *ispSubjl* p alpha that holds iff p ∈  
 299 *subject*( $\alpha$ ).

```
Definition ispSubjl r l ≡
  match l with
  | lsend p q _ _ => p=r
  | lrecv p q _ _ => p=r
  | lcomm p q _ _ => p=r ∨ q=r
  end.
```

300

301 ► **Remark 3.3.** From now on, we assume the all the types in the local type contexts always  
 302 have non-empty continuations. In Coq terms, if T is in context *gamma* then *wfltt* T holds.  
 303 This is expressed by the predicate *wfltt*: tctx → *Prop*.

## 304 3.2 Local Type Context Reductions

305 Next we define labelled transitions for local type contexts.

306 ► **Definition 3.4** (Typing context reductions). *The typing context transition  $\xrightarrow{\alpha}$  is defined*  
307 *inductively by the following rules:*

$$\begin{array}{c}
 \frac{k \in I}{\mathbf{p} : \mathbf{q} \& \{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{\mathbf{p}: \mathbf{q} \& \ell_k(S_k)} \mathbf{p} : T_k} [\Gamma - \&] \\
 \\ 
 \frac{k \in I}{\mathbf{p} : \mathbf{q} \oplus \{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{\mathbf{p}: \mathbf{q} \oplus \ell_k(S_k)} \mathbf{p} : T_k} [\Gamma - \oplus] \quad \frac{\Gamma \xrightarrow{\alpha} \Gamma'}{\Gamma, \mathbf{p} : T \xrightarrow{\alpha} \Gamma', \mathbf{p} : T} [\Gamma - ,] \\
 \\ 
 \frac{\Gamma_1 \xrightarrow{\mathbf{p}: \mathbf{q} \oplus \ell(S)} \Gamma'_1 \quad \Gamma_2 \xrightarrow{\mathbf{q}: \mathbf{p} \& \ell(S')} \Gamma'_2 \quad S \leq S'}{\Gamma_1, \Gamma_2 \xrightarrow{(\mathbf{p}, \mathbf{q})\ell} \Gamma'_1, \Gamma'_2} [\Gamma - \oplus \&]
 \end{array}$$

309 We write  $\Gamma \xrightarrow{\alpha}$  if there exists  $\Gamma'$  such that  $\Gamma \xrightarrow{\alpha} \Gamma'$ . We define a reduction  $\Gamma \rightarrow \Gamma'$  that holds  
310 iff  $\Gamma \xrightarrow{(\mathbf{p}, \mathbf{q})\ell} \Gamma'$  for some  $\mathbf{p}, \mathbf{q}, \ell$ . We write  $\Gamma \rightarrow$  iff  $\Gamma \rightarrow \Gamma'$  for some  $\Gamma'$ . We write  $\rightarrow^*$  for  
311 the reflexive transitive closure of  $\rightarrow$ .

312  $[\Gamma - \oplus]$  and  $[\Gamma - \&]$ , express a single participant sending or receiving.  $[\Gamma - \oplus \&]$  expresses a  
313 synchronized communication where one participant sends while another receives, and they  
314 both progress with their continuation.  $[\Gamma - ,]$  shows how to extend a context.

315 In Coq typing context reductions are defined the following way:

```

Inductive tctxR: tctx → label → tctx → Prop ≡
| Rsend: ∀ p q xs n s T,
  p ≠ q →
  onth n xs = Some (s, T) →
  tctxR (M.add p (ltt_send q xs) M.empty) (lsend p q (Some s) n)
  (M.add p T M.empty)
| Rrecv: ...
| Rcomm: ∀ p q g1 g1' g2 g2' s s' n (H1: MF.Disjoint g1 g2) (H2: MF.Disjoint
  g1' g2'),
  p ≠ q →
  tctxR g1 (lsend p q (Some s) n) g1' →
  tctxR g2 (lrecv q p (Some s') n) g2' →
  subsort s s' →
  tctxR (disj_merge g1 g2 H1) (lcomm p q n) (disj_merge g1' g2' H2)
| RvarI: ∀ g l g' p T,
  tctxR g l g' →
  M.mem p g = false →
  tctxR (M.add p T g) l (M.add p T g')
| Rstruct: ∀ g1 g1' g2 g2' l, tctxR g1' l g2' →
  M.Equal g1 g1' →
  M.Equal g2 g2' →
  tctxR g1 l g2'.

```

316 317 318 319 320 321 Rsend, Rrecv and RvarI are straightforward translations of  $[\Gamma - \&]$ ,  $[\Gamma - \oplus]$  and  $[\Gamma - ,]$ . Rcomm captures  $[\Gamma - \oplus \&]$  using the disj\_merge function we defined for the compositions, and requires a proof that the contexts given are disjoint to be applied. RStruct captures the indistinguishability of local contexts under M.Equal.  
We give an example to illustrate typing context reductions.

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322 ► **Example 3.5.** Let

$$\begin{aligned} 323 \quad T_p &= q \oplus \{\ell_0(\text{int}).T_p, \ell_1(\text{int}).\text{end}\} \\ 324 \quad T_q &= p \& \{\ell_0(\text{int}).T_q, \ell_1(\text{int}).r \oplus \{\ell_3(\text{int}).\text{end}\}\} \\ 325 \quad T_r &= q \& \{\ell_2(\text{int}).\text{end}\} \end{aligned}$$

326

327 and  $\Gamma = p : T_p, q : T_q, r : T_r$ . We have the following one step reductions from  $\Gamma$ :

$$\begin{array}{lll} 328 \quad \Gamma & \xrightarrow{p:q \oplus \ell_0(\text{int})} & \Gamma \\ 329 \quad \Gamma & \xrightarrow{q:p \& \ell_0(\text{int})} & \Gamma \\ 330 \quad \Gamma & \xrightarrow{(p,q)\ell_0} & \Gamma \\ 331 \quad \Gamma & \xrightarrow{r:q \& \ell_2(\text{int})} & p : T_p, q : T_q, r : \text{end} \\ 332 \quad \Gamma & \xrightarrow{p:q \oplus \ell_1(\text{int})} & p : \text{end}, q : T_q, r : T_r \\ 333 \quad \Gamma & \xrightarrow{q:p \& \ell_1(\text{int})} & p : T_p, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : T_r \\ 334 \quad \Gamma & \xrightarrow{(p,q)\ell_1} & p : \text{end}, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : T_r \end{array} \quad \begin{array}{l} (1) \\ (2) \\ (3) \\ (4) \\ (5) \\ (6) \\ (7) \end{array}$$

335 and by (3) and (7) we have the synchronized reductions  $\Gamma \rightarrow \Gamma$  and  
 336  $\Gamma \rightarrow \Gamma' = p : \text{end}, q : r \oplus \{\ell_2(\text{int}).\text{end}\}, r : T_r$ . Further reducing  $\Gamma'$  we get

$$\begin{array}{lll} 337 \quad \Gamma' & \xrightarrow{q:r \oplus \ell_2(\text{int})} & p : \text{end}, q : \text{end}, r : T_r \\ 338 \quad \Gamma' & \xrightarrow{r:q \& \ell_2(\text{int})} & p : \text{end}, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : \text{end} \\ 339 \quad \Gamma' & \xrightarrow{(q,r)\ell_2} & p : \text{end}, q : \text{end}, r : \text{end} \end{array} \quad \begin{array}{l} (8) \\ (9) \\ (10) \end{array}$$

340 and by (10) we have the reduction  $\Gamma' \rightarrow p : \text{end}, q : \text{end}, r : \text{end} = \Gamma_{\text{end}}$ , which results in a  
 341 context that can't be reduced any further.

342 In Coq,  $\Gamma$  is defined the following way:

```
343
Definition prt_p ≡ 0.
Definition prt_q ≡ 1.
Definition prt_r ≡ 2.
CoFixpoint T_p ≡ ltt_send prt_q [Some (sint,T_p); Some (sint, ltt_end); None].
CoFixpoint T_q ≡ ltt_recv prt_p [Some (sint,T_q); Some (sint, ltt_send prt_r [None;None;Some (sint, ltt_end)]); None].
Definition T_r ≡ ltt_recv prt_q [None;None; Some (sint, ltt_end)].
Definition gamma ≡ M.add prt_p T_p (M.add prt_q T_q (M.add prt_r T_r M.empty)).
```

344

Now Equation (1) can be stated with the following piece of Coq

345

```
Lemma red_1 : tctxR gamma (lsend prt_p prt_q (Some sint) 0) gamma.
```

### 3.3 Global Type Reductions

347 As with local typing contexts, we can also define reductions for global types.

348 ► **Definition 3.6** (Global type reductions). *The global type transition  $\xrightarrow{\alpha}$  is defined coinductively  
349 as follows.*

$$\frac{\begin{array}{c} k \in I \\ \hline \hline \end{array}}{\mathbf{p} \rightarrow \mathbf{q} : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{(\mathbf{p}, \mathbf{q})\ell_k} G_k} [\text{GR-}\oplus\&]$$

$$\frac{\begin{array}{c} \forall i \in I \ G_i \xrightarrow{\alpha} G'_i \quad \text{subject}(\alpha) \cap \{\mathbf{p}, \mathbf{q}\} = \emptyset \quad \forall i \in I \ \{\mathbf{p}, \mathbf{q}\} \subseteq \text{pt}\{G_i\} \\ \hline \hline \end{array}}{\mathbf{p} \rightarrow \mathbf{q} : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{\alpha} \mathbf{p} \rightarrow \mathbf{q} : \{\ell_i(S_i).G'_i\}_{i \in I}} [\text{GR-CTX}]$$

351 In Coq  $G \xrightarrow{(\mathbf{p}, \mathbf{q})\ell_k} G'$  is expressed with the coinductively defined (via Paco) predicate `gttstepC`  
352  $G \ G' \ p \ q \ k$ .

353 [GR- $\oplus\&$ ] says that a global type tree with root  $\mathbf{p} \rightarrow \mathbf{q}$  can transition to any of its children  
354 corresponding to the message label choosen by  $\mathbf{p}$ . [GR-CTX] says that if the subjects of  $\alpha$   
355 are disjoint from the root and all its children can transition via  $\alpha$ , then the whole tree can  
356 also transition via  $\alpha$ , with the root remaining the same and just the subtrees of its children  
357 transitioning.

### 358 3.4 Association Between Local Type Contexts and Global Types

359 We have defined local type contexts which specifies protocols bottom-up by directly describing  
360 the roles of every participant, and global types, which give a top-down view of the whole  
361 protocol, and the transition relations on them. We now relate these local and global definitions  
362 by defining *association* between local type context and global types.

363 ► **Definition 3.7** (Association). *A local typing context  $\Gamma$  is associated with a global type tree  
364  $G$ , written  $\Gamma \sqsubseteq G$ , if the following hold:*

- 365 ■ *For all  $p \in \text{pt}(G)$ ,  $p \in \text{dom}(\Gamma)$  and  $\Gamma(p) \leqslant G \upharpoonright p$ .*
- 366 ■ *For all  $p \notin \text{pt}(G)$ , either  $p \notin \text{dom}(\Gamma)$  or  $\Gamma(p) = \text{end}$ .*

367 In Coq this is defined with the following:

```
368 Definition assoc (g: tctx) (gt:gtt)  $\triangleq$ 
   $\forall p, (\text{isgPartsC } p \text{ gt} \rightarrow \exists Tp, M.\text{find } p \text{ g}=\text{Some } Tp \wedge$ 
     $\text{issubProj } Tp \text{ gt } p) \wedge$ 
     $(\neg \text{isgPartsC } p \text{ gt} \rightarrow \forall Tpx, M.\text{find } p \text{ g} = \text{Some } Tpx \rightarrow Tpx=\text{ltt\_end}).$ 
```

369 Informally,  $\Gamma \sqsubseteq G$  says that the local type trees in  $\Gamma$  obey the specification described by the  
370 global type tree  $G$ .

371 ► **Example 3.8.** In Example 3.5, we have that  $\Gamma \sqsubseteq G$  where

$$\small 372 \quad G := p \rightarrow q : \{\ell_0(\text{int}).G, \ell_1(\text{int}).q \rightarrow r : \{\ell_2(\text{int}).\text{end}\}\}$$

373 Note that  $G$  is the global type that was shown to be unbalanced in Example 2.14. In fact,  
374 we have  $\Gamma(s) = G \upharpoonright s$  for  $s \in \{p, q, r\}$ . Similarly, we have  $\Gamma' \sqsubseteq G'$  where

$$\small 375 \quad G' := q \rightarrow r : \{\ell_2(\text{int}).\text{end}\}$$

376 It is desirable to have the association be preserved under local type context and global  
377 type reductions, that is, when one of the associated constructs "takes a step" so should the  
378 other. We formalise this property with soundness and completeness theorems.

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379 ► **Theorem 3.9** (Soundness of Association). *If  $\text{assoc } \gamma$  and  $\text{gttstepC } G \rightarrow G' \text{ p q ell}$ ,  
 380 then there is a local type context  $\gamma'$ , a global type tree  $G''$  and a message label  $\ell'$  such  
 381 that  $\text{gttStepC } G \rightarrow G'' \text{ p q ell}'$ ,  $\text{assoc } \gamma' \rightarrow G''$  and  $\text{tctxR } \gamma \rightarrow (\text{lcomm p q ell}') \gamma'$ .*

382 **Proof.** ◀

383 ► **Theorem 3.10** (Completeness of Association). *If  $\text{assoc } \gamma$  and  $\text{tctxR } \gamma \rightarrow (\text{lcomm p q ell}) \gamma'$ ,  
 384 then there exists a global type tree  $G''$  such that  $\text{assoc } \gamma' \rightarrow G''$  and  $\text{gttstepC } G \rightarrow G'' \text{ p q ell}$ .*

386 **Proof.** ◀

387 ► Remark 3.11. Note that in the statement of soundness we allow the message label for the  
 388 local type context reduction to be different to the message label for the global type reduction.  
 389 This is because our use of subtyping in association causes the entries in the local type context  
 390 to be less expressive than the types obtained by projecting the global type. For example  
 391 consider

$$392 \quad \Gamma = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$$

393 and

$$394 \quad G = p \rightarrow q : \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$$

395 We have  $\Gamma \sqsubseteq G$  and  $G \xrightarrow{(p,q)\ell_1}$ . However  $\Gamma \xrightarrow{(p,q)\ell_x}$  is not a valid transition. Note that  
 396 soundness still requires that  $\Gamma \xrightarrow{(p,q)\ell_x}$  for some  $x$ , which is satisfied in this case by the valid  
 397 transition  $\Gamma \xrightarrow{(p,q)\ell_0}$ .

## 398 4 Properties of Local Type Contexts

399 We now use the LTS semantics to define some desirable properties on type contexts and their  
 400 reduction sequences. Namely, we formulate safety, liveness and fairness properties based on  
 401 the definitions in [13].

### 402 4.1 Safety

403 We start by defining safety:

404 ► **Definition 4.1** (Safe Type Contexts). *We define  $\text{safe}$  coinductively as the largest set of type  
 405 contexts such that whenever we have  $\Gamma \in \text{safe}$ :*

$$406 \quad \begin{array}{l} \Gamma \xrightarrow{p:q \oplus \ell(S)} \text{ and } \Gamma \xrightarrow{q:p \& \ell'(S')} \text{ implies } \Gamma \xrightarrow{(p,q)\ell} \\ \Gamma \rightarrow \Gamma' \text{ implies } \Gamma' \in \text{safe} \end{array} \quad \begin{array}{l} [\text{S-}\&\oplus] \\ [\text{S-}\rightarrow] \end{array}$$

408 We write  $\text{safe}(\Gamma)$  if  $\Gamma \in \text{safe}$ .

409 Informally, safety says that if  $p$  and  $q$  communicate with each other and  $p$  requests to send a  
 410 value using message label  $\ell$ , then  $q$  should be able to receive that message label. Furthermore,  
 411 this property should be preserved under any typing context reductions. Being a coinductive  
 412 property, to show that  $\text{safe}(\Gamma)$  it suffices to give a set  $\varphi$  such that  $\Gamma \in \varphi$  and  $\varphi$  satisfies  
 413  $[\text{S-}\&\oplus]$  and  $[\text{S-}\rightarrow]$ . This amounts to showing that every element of  $\Gamma'$  of the set of reducts  
 414 of  $\Gamma$ , defined  $\varphi := \{\Gamma' \mid \Gamma \rightarrow^* \Gamma'\}$ , satisfies  $[\text{S-}\&\oplus]$ . We illustrate this with some examples:

415 ► **Example 4.2.** Let  $\Gamma_A = p : \text{end}$ , then  $\Gamma_A$  is safe: the set of reducts is  $\{\Gamma_A\}$  and this set  
 416 respects  $[\text{S-}\oplus\&]$  as its elements can't reduce, and it respects  $[\text{S-}\rightarrow]$  as it's closed with  
 417 respect to  $\rightarrow$ .

418 Let  $\Gamma_B = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{nat}).\text{end}\}$ .  $\Gamma_B$  is not safe as we have  
 419  $\Gamma_B \xrightarrow{p:q \oplus \ell_0}$  and  $\Gamma_B \xrightarrow{q:p \& \ell_0}$  but we don't have  $\Gamma_B \xrightarrow{(p,q)\ell_0}$  as  $\text{int} \not\leq \text{nat}$ .

420 Let  $\Gamma_C = p : q \oplus \{\ell_1(\text{int}).q \oplus \{\ell_0(\text{int}).\text{end}\}\}, q : p \& \{\ell_1(\text{int}).p \& \{\ell_0(\text{nat}).\text{end}\}\}$ .  $\Gamma_C$  is not  
 421 safe as we have  $\Gamma_C \xrightarrow{(p,q)\ell_1} \Gamma_B$  and  $\Gamma_B$  is not safe.

422 Consider  $\Gamma$  from Example 3.5. All the reducts satisfy  $[\text{S-}\&\oplus]$ , hence  $\Gamma$  is safe.

423 Being a coinductive property, `safe` can be expressed in Coq using Paco:

```

Definition weak_safety (c: tctx)  $\triangleq$ 
   $\forall p q s s' k k', \text{tctxRE } (\text{lsend } p q (\text{Some } s) k) c \rightarrow \text{tctxRE } (\text{lrecv } q p (\text{Some } s') k') c \rightarrow$ 
   $\text{tctxRE } (\text{lcomm } p q k) c$ .
Inductive safe (R: tctx  $\rightarrow$  Prop): tctx  $\rightarrow$  Prop  $\triangleq$ 
  | safety_red :  $\forall c, \text{weak\_safety } c \rightarrow (\forall p q c' k,$ 
     $\text{tctxR } c (\text{lcomm } p q k) c' \rightarrow (\text{weak\_safety } c' \wedge (\exists c'' \text{ M.Equal } c' c'' \wedge R c'')))$ 
     $\rightarrow \text{safe } R c$ .
Definition safeC c  $\triangleq$  paco1 safe bot1 c.

```

424 425 `weak_safety` corresponds  $[\text{S-}\&\oplus]$  where `tctxRE 1 c` is shorthand for  $\exists c'$ , `tctxR c 1 c'`. In  
 426 the inductive `safe`, the constructor `safety_red` corresponds to  $[\text{S-}\rightarrow]$ . Then `safeC` is defined  
 427 as the greatest fixed point of `safe`.

428 We have that local type contexts with associated global types are always safe.

429 ► **Theorem 4.3 (Safety by Association).** If `assoc gamma g` then `safeC gamma`.

430 **Proof.** todo ◀

## 4.2 Linear Time Properties

431 We now focus our attention to fairness and liveness. In this paper we have defined LTS  
 432 semantics on three types of constructs: sessions, local type contexts and global types. We will  
 433 appropriately define liveness properties on all three of these systems, so it will be convenient  
 434 to define a general notion of valid reduction paths (also known as *runs* or *executions* [1,  
 435 2.1.1]) along with a general statement of some Linear Temporal Logic [9] constructs.

436 We start by defining the general notion of a reduction path [1, Def. 2.6] using possibly  
 437 infinite cosequences.

438 ► **Definition 4.4 (Reduction Paths).** A finite reduction path is an alternating sequence of  
 439 states and labels  $S_0 \lambda_0 S_1 \lambda_1 \dots S_n$  such that  $S_i \xrightarrow{\lambda_i} S_{i+1}$  for all  $0 \leq i < n$ . An infinite reduction  
 440 path is an alternating sequence of states and labels  $S_0 \lambda_0 S_1 \lambda_1 \dots S_n$  such that  $S_i \xrightarrow{\lambda_i} S_{i+1}$  for  
 441 all  $0 \leq i$ .

442 We won't be distinguishing between finite and infinite reduction paths and refer to them  
 443 both as just (*reduction*) paths. Note that the above definition is general for LTSs, by *state* we  
 444 will be referring to local type contexts, global types or sessions, depending on the contexts.

445 In Rocq, we define reduction paths using possibly infinite cosequences of pairs of states  
 446 (which will be `tctx`, `gtt` or `session` in this paper) and option label:

```

CoInductive coseq (A: Type): Type  $\triangleq$ 
  | conil : coseq A
  | cocons: A  $\rightarrow$  coseq A  $\rightarrow$  coseq A.
Notation local_path  $\triangleq$  (coseq (tctx*option label)).
Notation global_path  $\triangleq$  (coseq (gtt*option label)).
Notation session_path  $\triangleq$  (coseq (session*option label)).

```

448

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449 Note the use of `option label`, where we employ `None` to represent transitions into the  
 450 end of the list, `conil`. For example,  $S_0 \xrightarrow{\lambda_0} S_1 \xrightarrow{\lambda_1} S_2$  would be represented in  
 451 Rocq as `cocons (s_0, Some lambda_0) (cocons (s_1, Some lambda_1) (cocons (s_2, None)  
 452 conil))`, and `cocons (s_1, Some lambda) conil` would not be considered a valid path.

453 Note that this definition doesn't require the transitions in the `coseq` to actually be valid.  
 454 We achieve that using the coinductive predicate `valid_path_GC A:Type (V: A → label →`  
 455 `A → Prop)`, where the parameter `V` is a *transition validity predicate*, capturing if a one-step  
 456 transition is valid. For all `V`, `valid_path_GC V conil` and  $\forall x, \text{valid\_path\_GC } V (\text{cocons } (x,$   
 457 `None) conil)` hold, and `valid_path_GC V cocons (x, Some l) (cocons (y, l') xs)` holds if  
 458 the transition validity predicate `V x l y` and `valid_path_GC V (cocons (y, l') xs)` hold. We  
 459 use different `V` based on our application, for example in the context of local type context  
 460 reductions the predicate is defined as follows:

```
461 Definition local_path_vcriteria ≜ (fun x1 l x2 =>
 462   match (x1,l,x2) with
 463     | ((g1,lcomm p q ell),g2) => tctxR g1 (lcomm p q ell) g2
 464     | _ => False
 465   end
 466 ).
```

462 That is, we only allow synchronised communications in a valid local type context reduction  
 463 path.

464 We can now define fairness and liveness on paths. We first restate the definition of fairness  
 465 and liveness for local type context paths from [13], and use that to motivate our use of more  
 466 general LTL constructs.

467 ▶ **Definition 4.5** (Fair, Live Paths). *We say that a local type context path  $\Gamma_0 \xrightarrow{\lambda_0} \Gamma_1 \xrightarrow{\lambda_1} \dots$  is  
 468 fair if, for all  $n \in N : \Gamma_n \xrightarrow{(p,q)\ell} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \lambda_k = (p, q)\ell'$ , and  
 469 therefore  $\Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$ . We say that a path  $(\Gamma_n)_{n \in N}$  is live iff,  $\forall n \in N$ :*

- 470 1.  $\forall n \in N : \Gamma_n \xrightarrow{p:q \oplus \ell(S)} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$
- 471 2.  $\forall n \in N : \Gamma_n \xrightarrow{q:p \& \ell(S)} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$

472 ▶ **Definition 4.6** (Live Local Type Context). *A local type context  $\Gamma$  is live if whenever  $\Gamma \rightarrow^* \Gamma'$ ,  
 473 every fair path starting from  $\Gamma'$  is also live.*

474 In general, fairness assumptions are used so that only the reduction sequences that are  
 475 "well-behaved" in some sense are considered when formulating other properties [5]. For our  
 476 purposes we define fairness such that, in a fair path, if at any point `p` attempts to send to `q`  
 477 and `q` attempts to send to `p` then eventually a communication between `p` and `q` takes place.  
 478 Then live paths are defined to be paths such that whenever `p` attempts to send to `q` or `q`  
 479 attempts to send to `p`, eventually a `p` to `q` communication takes place. Informally, this means  
 480 that every communication request is eventually answered. Then live typing contexts are  
 481 defined to be the  $\Gamma$  where all fair paths that start from  $\Gamma$  are also live.

482 ▶ **Example 4.7.** Consider the contexts  $\Gamma, \Gamma'$  and  $\Gamma_{\text{end}}$  from Example 3.5. One possible  
 483 reduction path is  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \dots$ . Denote this path as  $(\Gamma_n)_{n \in \mathbb{N}}$ , where  $\Gamma_n = \Gamma$  for  
 484 all  $n \in \mathbb{N}$ . By reductions (3) and (7), we have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0}$  and  $\Gamma_n \xrightarrow{(p,q)\ell_1}$  as the only  
 485 possible synchronised reductions from  $\Gamma_n$ . Accordingly, we also have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0} \Gamma_{n+1}$  in  
 486 the path so this path is fair. However, this path is not live as we have by reduction (4) that  
 487  $\Gamma_1 \xrightarrow{r:q \& \ell_2(\text{int})}$  but there is no  $n, \ell'$  with  $\Gamma_n \xrightarrow{(q,r)\ell'} \Gamma_{n+1}$  in the path. Consequently,  $\Gamma$  is not  
 488 a live type context.

489 Now consider the reduction path  $\Gamma \xrightarrow{(\mathbf{p},\mathbf{q})\ell_0} \Gamma \xrightarrow{(\mathbf{p},\mathbf{q})\ell_0} \Gamma' \xrightarrow{(\mathbf{q},\mathbf{r})\ell_2} \Gamma_{\text{end}}$ , denoted by  
490  $(\Gamma'_n)_{n \in \{1..4\}}$ . This path is fair with respect to reductions from  $\Gamma'_1$  and  $\Gamma'_2$  as shown above,  
491 and it's fair with respect to reductions from  $\Gamma'_3$  as reduction (10) is the only one available  
492 from  $\Gamma'_3$  and we have  $\Gamma'_3 \xrightarrow{(\mathbf{q},\mathbf{r})\ell_2} \Gamma'_4$  as needed. Furthermore, this path is live: the reduction  
493  $\Gamma_1 \xrightarrow{\mathbf{r};\mathbf{q} \& \ell_2(\text{int})} \Gamma'_4$  that causes  $(\Gamma_n)$  to fail liveness is handled by the reduction  $\Gamma'_3 \xrightarrow{(\mathbf{q},\mathbf{r})\ell_2} \Gamma'_4$  in  
494 this case.

495 Definition 4.5 , while intuitive, is not really convenient for a Coq formalisation due to  
496 the existential statements contained in them. It would be ideal if these properties could  
497 be expressed as a least or greatest fixed point, which could then be formalised via Coq's  
498 inductive or coinductive (via Paco) types. To do that, we turn to Linear Temporal Logic  
499 (LTL) [9].

500 ► **Definition 4.8** (Linear Temporal Logic). *The syntax of LTL formulas  $\psi$  are defined inductively with boolean connectives  $\wedge, \vee, \neg$ , atomic propositions  $P, Q, \dots$ , and temporal operators  
501  $\square$  (always),  $\diamond$  (eventually),  $\circ$  next and  $\mathcal{U}$ . Atomic propositions are evaluated over pairs  
502 of states and transitions  $(S, i, \lambda_i)$  (for the final state  $S_n$  in a finite reduction path we take  
503 that there is a null transition from  $S_n$ , corresponding to a None transition in Rocq) while  
504 LTL formulas are evaluated over reduction paths<sup>1</sup>. The satisfaction relation  $\rho \models \psi$  (where  
505  $\rho = S_0 \xrightarrow{\lambda_0} S_1 \dots$  is a reduction path, and  $\rho_i$  is the suffix of  $\rho$  starting from index  $i$ ) is given  
506 by the following:*

- 508 ■  $\rho \models P \iff (S_0, \lambda_0) \models P$ .
- 509 ■  $\rho \models \psi_1 \wedge \psi_2 \iff \rho \models \psi_1 \text{ and } \rho \models \psi_2$
- 510 ■  $\rho \models \neg \psi_1 \iff \text{not } \rho \models \psi_1$
- 511 ■  $\rho \models \circ \psi_1 \iff \rho_1 \models \psi_1$
- 512 ■  $\rho \models \diamond \psi_1 \iff \exists k \geq 0, \rho_k \models \psi_1$
- 513 ■  $\rho \models \square \psi_1 \iff \forall k \geq 0, \rho_k \models \psi_1$
- 514 ■  $\rho \models \psi_1 \mathcal{U} \psi_2 \iff \exists k \geq 0, \rho_k \models \psi_2 \text{ and } \forall j < k, \rho_j \models \psi_1$

515 Fairness and liveness for local type context paths Definition 4.5 can be defined in Linear  
516 Temporal Logic (LTL). Specifically, define atomic propositions  $\text{enabledComm}_{\mathbf{p},\mathbf{q},\ell}$  such that  
517  $(\Gamma, \lambda) \models \text{enabledComm}_{\mathbf{p},\mathbf{q},\ell} \iff \Gamma \xrightarrow{(\mathbf{p},\mathbf{q})\ell}$ , and  $\text{headComm}_{\mathbf{p},\mathbf{q}}$  that holds iff  $\lambda = (\mathbf{p}, \mathbf{q})\ell$  for some  
518  $\ell$ . Then

- 519 ■ Fairness can be expressed in LTL with: for all  $\mathbf{p}, \mathbf{q}$ ,

$$520 \quad \square(\text{enabledComm}_{\mathbf{p},\mathbf{q},\ell} \implies \diamond(\text{headComm}_{\mathbf{p},\mathbf{q}}))$$

- 521 ■ Similarly, by defining  $\text{enabledSend}_{\mathbf{p},\mathbf{q},\ell,S}$  that holds iff  $\Gamma \xrightarrow{\mathbf{p};\mathbf{q} \oplus \ell(S)}$  and analogously  
522  $\text{enabledRecv}$ , liveness can be defined as

$$523 \quad \square((\text{enabledSend}_{\mathbf{p},\mathbf{q},\ell,S} \implies \diamond(\text{headComm}_{\mathbf{p},\mathbf{q}})) \wedge \\ 524 \quad (\text{enabledRecv}_{\mathbf{p},\mathbf{q},\ell,S} \implies \diamond(\text{headComm}_{\mathbf{q},\mathbf{p}})))$$

525 The reason we defined the properties using LTL properties is that the operators  $\diamond$  and  $\square$   
526 can be characterised as least and greatest fixed points using their expansion laws [1, Chapter  
527 5.14]:

---

<sup>1</sup> These semantics assume that the reduction paths are infinite. In our implementation we do a slight-of-hand and, for the purposes of the  $\square$  operator, treat a terminating path as entering a dump state  $S_\perp$  (which corresponds to `conil` in Rocq) and looping there infinitely.

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- 528 ■  $\Diamond P$  is the least solution to  $\Diamond P \equiv P \vee \Diamond(\Diamond P)$   
 529 ■  $\Box P$  is the greatest solution to  $\Box P \equiv P \wedge \Box(\Box P)$   
 530 ■  $P \sqcup Q$  is the least solution to  $P \sqcup Q \equiv Q \vee (P \wedge \Diamond(P \sqcup Q))$
- 531 Thus fairness and liveness correspond to greatest fixed points, which can be defined coinductively.  
 532
- 533 In Coq, we implement the LTL operators  $\Diamond$  and  $\Box$  inductively and coinductively (with  
 534 Paco), in the following way:

```

Inductive eventually {A: Type} (F: coseq A → Prop): coseq A → Prop ≡
| evh: ∀ xs, F xs → eventually F xs
| evc: ∀ x xs, eventually F xs → eventually F (cocons x xs).

Inductive until {A: Type} (F: coseq A → Prop) (G: coseq A → Prop): coseq A → Prop ≡
| untilh : ∀ xs, G xs → until F G xs
| untilc: ∀ x xs, F (cocons x xs) → until F G xs → until F G (cocons x xs).

Inductive always {A: Type} (F: coseq A → Prop) (R: coseq A → Prop): coseq A → Prop ≡
| alwn: F conil → alwaysG F R conil
| alwc: ∀ x xs, F (cocons x xs) → R xs → alwaysG F R (cocons x xs).

Definition alwaysCG {A: Type} (F: coseq A → Prop) ≡ paco1 (alwaysG F) bot1.

```

- 535
- 536 Note the use of the constructor `alwn` in the definition `alwaysG` to handle finite paths.  
 537 Using these LTL constructs we can define fairness and liveness on paths.

```

Definition fair_path_local_inner (pt: local_path): Prop ≡
  ∀ p q n, to_path_prop (tctxRE (lcomm p q n)) False pt → eventually (headComm p q) pt.
Definition fair_path ≡ alwaysCG fair_path_local_inner.
Definition live_path_inner (pt: local_path): Prop ≡ ∀ p q s n,
  (to_path_prop (tctxRE (lsend p q (Some s) n)) False pt → eventually (headComm p q) pt) ∧
  (to_path_prop (tctxRE (lrecv p q (Some s) n)) False pt → eventually (headComm q p) pt).
Definition live_path ≡ alwaysCG live_path_inner.

```

- 538
- 539 For instance, the fairness of the first reduction path for  $\Gamma$  given in Example 4.7 can be  
 540 expressed with the following:

```

CoFixpoint inf_pq_path ≡ cocons (gamma, (lcomm prt_p prt_q) 0) inf_pq_path.
Theorem inf_pq_path_fair : fairness inf_pq_path.

```

### 542 4.3 Rocq Proof of Liveness by Association

- 543 We now detail the Rocq Proof that associated local type contexts are also live.  
 544 ▶ Remark 4.9. We once again emphasise that all global types mentioned are assumed to  
 545 be balanced (Definition 2.13). Indeed association with non-balanced global types doesn't  
 546 guarantee liveness. As an example, consider  $\Gamma$  from Example 3.5, which is associated with  $G$   
 547 from Example 3.8. Yet we have shown in Example 4.7 that  $\Gamma$  is not a live type context. This  
 548 is not surprising as Example 2.14 shows that  $G$  is not balanced.

- 549 Our proof proceeds in the following way:
- 550 1. Formulate an analogue of fairness and liveness for global type reduction paths.
  - 551 2. Prove that all global types are live for this notion of liveness.
  - 552 3. Show that if  $G : gtt$  is live and `assoc gamma G`, then `gamma` is also live.
- 553 First we define fairness and liveness for global types, analogous to Definition 4.5.
- 554 ▶ **Definition 4.10** (Fairness and Liveness for Global Types). *We say that the label  $\lambda$  is enabled  
 555 at  $G$  if the context  $\{p_i : G \mid p_i \in \text{pt}\{G\}\}$  can transition via  $\lambda$ . More explicitly, and in  
 556 Rocq terms,*

```

Definition global_label_enabled l g  $\triangleq$  match l with
| lsend p q (Some s) n  $\Rightarrow$   $\exists$  xs g',
  projectionC g p (litt_send q xs)  $\wedge$  onth n xs=Some (s,g')
| lrecv p q (Some s) n  $\Rightarrow$   $\exists$  xs g',
  projectionC g p (litt_recv q xs)  $\wedge$  onth n xs=Some (s,g')
| lcomm p q n  $\Rightarrow$   $\exists$  g', gttstepC g g' p q n
| _  $\Rightarrow$  False end.

```

557

558 With this definition of enabling, fairness and liveness are defined exactly as in Definition 4.5.  
 559 A global type reduction path is fair if the following holds:

560  $\square(\text{enabledComm}_{p,q,\ell} \implies \Diamond(\text{headComm}_{p,q}))$

561 and liveness is expressed with the following:

562  $\square((\text{enabledSend}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{p,q})) \wedge$   
 563  $\quad (\text{enabledRecv}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{q,p})))$

564 where `enabledSend`, `enabledRecv` and `enabledComm` correspond to the match arms in the definition  
 565 of `global_label_enabled` (Note that the names `enabledSend` and `enabledRecv` are chosen  
 566 for consistency with Definition 4.5, there aren't actually any transitions with label  $p : q \oplus \ell(S)$   
 567 in the transition system for global types). A global type  $G$  is live if whenever  $G \rightarrow^* G'$ , any  
 568 fair path starting from  $G'$  is also live.

569 Now our goal is to prove that all (well-formed, balanced, projectable)  $G$  are live under this  
 570 definition. This is where the notion of grafting (Definition 2.13) becomes important, as the  
 571 proof essentially proceeds by well-founded induction on the height of the tree obtained by  
 572 grafting.

573 We first introduce some definitions on global type tree contexts (Definition 2.15).

574 ▶ **Definition 4.11** (Global Type Context Equality, Proper Prefixes and Height). We consider  
 575 two global type tree contexts to be equal if they are the same up to the relabelling the indices  
 576 of their leaves. More precisely,

```

Inductive gtth_eq : gtth  $\rightarrow$  gtth  $\rightarrow$  Prop  $\triangleq$ 
| gtth_eq_hol :  $\forall$  n m, gtth_eq (gtth_hol n) (gtth_hol m)
| gtth_eq_send :  $\forall$  xs ys p q ,
  Forall2 (fun u v  $\Rightarrow$  (u=None  $\wedge$  v=None)  $\vee$  ( $\exists$  s g1 g2, u=Some (s,g1)  $\wedge$  v=Some (s,g2)  $\wedge$  gtth_eq g1 g2)) xs ys  $\rightarrow$ 
    gtth_eq (gtth_send p q xs) (gtth_send p q ys).

```

577

578 Informally, we say that the global type context  $G'$  is a proper prefix of  $G$  if any path to a  
 579 leaf in  $G'$  is a proper prefix of a path in  $G$ . Alternatively, we can characterise it as akin to  
 580 `gtth_eq` except where the context holes in  $G'$  are assumed to be "jokers" that can be matched  
 581 with any global type context that's not just a context hole. In Rocq:

this section is wrong, fix it

```

Inductive is_tree_proper_prefix : gtth  $\rightarrow$  gtth  $\rightarrow$  Prop  $\triangleq$ 
| tree_proper_prefix_hole :  $\forall$  n p q xs, is_tree_proper_prefix (gtth_hol n) (gtth_send p q xs)
| tree_proper_prefix_tree :  $\forall$  p q xs ys,
  Forall2 (fun u v  $\Rightarrow$  (u=None  $\wedge$  v=None)
     $\vee$   $\exists$  s g1 g2, u=Some (s,g1)  $\wedge$  v=Some (s,g2)  $\wedge$ 
      is_tree_proper_prefix g1 g2
  ) xs ys  $\rightarrow$ 
    is_tree_proper_prefix (gtth_send p q xs) (gtth_send p q ys).

```

582

583 We also define a function `gtth_height` :  $gtth \rightarrow \text{Nat}$  that computes the height [2] of a  
 584 global type tree context.

give examples

```

Fixpoint gtth_height (gh : gtth) : nat  $\triangleq$ 
  match gh with
  | gtth_hol n => 0
  | gtth_send p q xs =>
    list_max (map (fun u=> match u with
      | None => 0
      | Some (s,x) => gtth_height s + 1 end) xs) + 1 end.

```

586

587 `gtth_height`, `gtth_eq` and `is_tree_proper_prefix` interact in the expected way.

588 ▶ **Lemma 4.12.** *If  $gtth\_eq\ gx\ gx'$  then  $gtth\_height\ gx = gtth\_height\ gx'$ .*

589 ▶ **Lemma 4.13.** *If  $is\_tree\_proper\_prefix\ gx\ gx'$  then  $gtth\_height\ gx < gtth\_height\ gx'$ .*

590 Our motivation for introducing these constructs on global type tree contexts is the following  
591 *multigrafting* lemma:

592 ▶ **Lemma 4.14 (Multigrafting).** *Let  $projectionC\ g\ p\ (ltt\_send\ q\ xs)$  or  $projectionC\ g\ p\ (ltt\_recv\ q\ xs)$ ,  $projectionC\ g\ q\ Tq$ ,  $g$  is  $p$ -grafted by  $ctx_p$  and  $gs_p$ , and  $g$  is  $q$ -grafted by  $ctx_q$  and  $gs_q$ . Then either  $is\_tree\_proper\_prefix\ ctx_q\ ctx_p$  or  $gtth\_eq\ ctx_p\ ctx_q$ . Furthermore, if  $gtth\_eq\ ctx_p\ ctx_q$  then  $projectionC\ g\ q\ (ltt\_send\ p\ xsq)$  or  $projectionC\ g\ q\ (ltt\_recv\ p\ xsq)$  for some  $xsq$ .*

593 ◀ **Proof.** By induction on the global type context  $ctx_p$ . ◀

example

594 We also have that global type reductions that don't involve participant  $p$  can't increase  
595 the height of the  $p$ -grafting, established by the following lemma:

596 ▶ **Lemma 4.15.** *Suppose  $g : gtt$  is  $p$ -grafted by  $gx : gtth$  and  $gs : list(option gtt)$ ,  $gttstepC\ g\ g'$ 's  $s\ t\ ell$  where  $p \neq s$  and  $p \neq t$ , and  $g'$  is  $p$ -grafted by  $gx'$  and  $gs'$ . Then*

- (i) If  $ishParts\ s\ gx$  or  $ishParts\ t\ gx$ , then  $gtth\_height\ gx' < gtth\_height\ gx$*
- (ii) In general,  $gtth\_height\ gx' \leq gtth\_height\ gx$*

600 ▶ **Proof.** We define a inductive predicate  $gttstepH : gtth \rightarrow part \rightarrow part \rightarrow part \rightarrow$   
601  $gtth \rightarrow Prop$  with the property that if  $gttstepC\ g\ g'\ p\ q\ ell$  for some  $r \neq p$ ,  $q$ , and  
602 tree contexts  $gx$  and  $gx'$   $r$ -graft  $g$  and  $g'$  respectively, then  $gttstepH\ gx\ p\ q\ ell\ gx'$   
603 ( $gttstepH\_consistent$ ). The results then follow by induction on the relation  $gttstepH$   
604  $gx\ s\ t\ ell\ gx'$ . ◀

605 We can now prove the liveness of global types. The bulk of the work goes in to proving the  
606 following lemma:

607 ▶ **Lemma 4.16.** *Let  $xs$  be a fair global type reduction path starting with  $g$ .*

- (i) If  $projectionC\ g\ p\ (ltt\_send\ q\ xs)$  for some  $xsp$ , then a  $lcomm\ p\ q\ ell$  transition  
608 takes place in  $xs$  for some message label  $ell$ .*
- (ii) If  $projectionC\ g\ p\ (ltt\_recv\ q\ xs)$  for some  $xsp$ , then a  $lcomm\ q\ p\ ell$  transition  
609 takes place in  $xs$  for some message label  $ell$ .*

610 ▶ **Proof.** We outline the proof for (i), the case for (ii) is symmetric.

611 Rephrasing slightly, we prove the following: forall  $n : nat$  and global type reduction path  
612  $xs$ , if the head  $g$  of  $xs$  is  $p$ -grafted by  $ctx_p$  and  $gtth\_height\ ctx_p = n$ , the lemma holds.  
613 We proceed by strong induction on  $n$ , that is, the tree context height of  $ctx_p$ .

614 Let  $(ctx_q, gs_q)$  be the  $q$ -grafting of  $g$ . By Lemma 4.14 we have that either  $gtth\_eq$   
615  $ctx_q\ ctx_p$  (a) or  $is\_tree\_proper\_prefix\ ctx_q\ ctx_p$  (b). In case (a), we have that  
616  $projectionC\ g\ q\ (ltt\_recv\ p\ xsq)$ , hence by (cite simul subproj or something here) and  
617 fairness of  $xs$ , we have that a  $lcomm\ p\ q\ ell$  transition eventually occurs in  $xs$ , as required.

625 In case (b), by Lemma 4.13 we have `gtth_height ctx_q < gtth_height ctx_p`, so by the  
 626 induction hypothesis a transition involving `q` eventually happens in `xs`. Assume wlog that  
 627 this transition has label `lcomm q r ell`, or, in the pen-and-paper notation,  $(q, r)\ell$ . Now  
 628 consider the prefix of `xs` where the transition happens:  $g \xrightarrow{\lambda} g_1 \rightarrow \dots g' \xrightarrow{(q,r)\ell} g''$ . Let  
 629  $g'$  be  $p$ -grafted by the global tree context `ctx'_p`, and  $g''$  by `ctx''_p`. By Lemma 4.15,  
 630  $gtth\_height\ ctx''\_p < gtth\_height\ ctx'\_p \leq gtth\_height\ ctx\_p$ . Then, by the induction  
 631 hypothesis, the suffix of `xs` starting with  $g''$  must eventually have a transition `lcomm p q ell'`  
 632 for some  $\ell'$ , therefore `xs` eventually has the desired transition too. ◀

633 Lemma 4.16 proves that any fair global type reduction path is also a live path, from which  
 634 the liveness of global types immediately follows.

635 ▶ **Corollary 4.17.** *All global types are live.*

636 We can now leverage the simulation established by Theorem 3.10 to prove the liveness  
 637 (Definition 4.5) of local typing context reduction paths.

638 We start by lifting association (Definition 3.7) to reduction paths.

639 ▶ **Definition 4.18** (Path Association). *Path association is defined coinductively by the following  
 640 rules:*

- 641 (i) *The empty path is associated with the empty path.*
- 642 (ii) *If  $\Gamma \xrightarrow{\lambda_0} \rho$  is path-associated with  $G \xrightarrow{\lambda_1} \rho'$  where ( $\rho$  and  $\rho'$  are local and global reduction  
 643 paths, respectively), then  $\lambda_0 = \lambda_1$  and  $\rho$  is path-associated with  $\rho'$ .*

```
Variant path_assoc (R:local_path → global_path → Prop): local_path → global_path → Prop ≡
| path_assoc_nil : path_assoc R conil conil
| path_assoc_xs : ∀ g gamma l xs ys, assoc gamma g → R xs ys →
path_assoc R (cocons (gamma, l) xs) (cocons (g, l) ys).
```

```
Definition path_assocC ≡ paco2 path_assoc bot2.
```

644

645 Informally, a local type context reduction path is path-associated with a global type reduction  
 646 path if their matching elements are associated and have the same transition labels.

647 We show that reduction paths starting with associated local types can be path-associated.

648

649 ▶ **Lemma 4.19.** *If `assoc gamma g`, then any local type context reduction path starting with  
 650 `gamma` is associated with a global type reduction path starting with `g`.*

651 **Proof.** Let the local reduction path be  $\gamma \xrightarrow{\lambda} \gamma_1 \xrightarrow{\lambda_1} \dots$ . We construct a path-  
 652 associated global reduction path. By Theorem 3.10 there is a  $g_1 : \text{gtt}$  such that  $g \xrightarrow{\lambda} g_1$  and `assoc gamma_1 g_1`, hence the path-associated global type reduction path starts with  $g \xrightarrow{\lambda} g_1$ . We can repeat this procedure to the remaining path starting with  $\gamma_1 \xrightarrow{\lambda_1} \dots$   
 654 to get  $g_2 : \text{gtt}$  such that `assoc gamma_2 g_2` and  $g_1 \xrightarrow{\lambda_1} g_2$ . Repeating this, we get  $g \xrightarrow{\lambda} g_1 \xrightarrow{\lambda_1} \dots$  as the desired path associated with  $\gamma \xrightarrow{\lambda} \gamma_1 \xrightarrow{\lambda_1} \dots$ . ◀

maybe just  
give the defini-  
tion as a  
cofixpoint?

657 ▶ **Remark 4.20.** In the Rocq implementation the construction above is implemented as a  
 658 `CoFixpoint` returning a `coseq`. Theorem 3.10 is implemented as an `exists` statement that lives in  
 659 `Prop`, hence we need to use the `constructive_indefinite_description` axiom to obtain the  
 660 witness to be used in the construction.

661 We also have the following correspondence between fairness and liveness properties for  
 662 associated global and local reduction paths.

- 663 ► **Lemma 4.21.** For a local reduction path  $\text{xs}$  and global reduction path  $\text{ys}$ , if  $\text{path\_assoc}$   
 664  $\text{xs}$   $\text{ys}$  then  
 665 (i) If  $\text{xs}$  is fair then so is  $\text{ys}$   
 666 (ii) If  $\text{ys}$  is live then so is  $\text{xs}$

667 As a corollary of Lemma 4.21, Lemma 4.19 and Lemma 4.16 we have the following:

- 668 ► **Corollary 4.22.** If  $\text{assoc } \gamma$ , then any fair local reduction path starting from  $\gamma$  is  
 669 live.

670 **Proof.** Let  $\text{xs}$  be the local reduction path starting with  $\gamma$ . By Lemma 4.19 there is a  
 671 global path  $\text{ys}$  associated with it. By Lemma 4.21 (i)  $\text{ys}$  is fair, and by Lemma 4.16  $\text{ys}$  is  
 672 live, so by Lemma 4.21 (ii)  $\text{xs}$  is also live. ◀

673 Liveness of contexts follows directly from Corollary 4.22.

- 674 ► **Theorem 4.23.** If  $\text{assoc } \gamma$  then  $\gamma$  is live.

675 **Proof.** Suppose  $\gamma \rightarrow^* \gamma'$ , then by Theorem 3.10  $\text{assoc } \gamma' \ g'$  for some  $g'$ , and  
 676 hence by Corollary 4.22 any fair path starting from  $\gamma'$  is live, as needed. ◀

## 677 5 Properties of Sessions

678 We give typing rules for the session calculus introduced in ??, and prove subject reduction and  
 679 progress for them. Then we define a liveness property for sessions, and show that processes  
 680 typable by a local type context that's associated with a global type tree are guaranteed to  
 681 satisfy this liveness property.

### 682 5.1 Typing rules

683 We give typing rules for our session calculus based on [4] and [3].

684 We distinguish between two kinds of typing judgements and type contexts.

- 685 1. A local type context  $\Gamma$  associates participants with local type trees, as defined in cdef-  
 686 type-ctx. Local type contexts are used to type sessions (Definition 1.2) i.e. a set of pairs  
 687 of participants and single processes composed in parallel. We express such judgements as  
 688  $\Gamma \vdash_M M$ , or as  $\text{typ\_sess } M$  in Rocq.
- 689 2. A process variable context  $\Theta_T$  associates process variables with local type trees, and an  
 690 expression variable context  $\Theta_e$  assigns sorts to expression variables. Variable contexts  
 691 are used to type single processes and expressions (Definition 1.1). Such judgements are  
 692 expressed as  $\Theta_T, \Theta_e \vdash_P P : T$ , or in as  $\text{typ\_proc } \theta_T \ \theta_e \ P \ T$ .

$$\begin{array}{c} \Theta \vdash_P n : \text{nat} \quad \Theta \vdash_P i : \text{int} \quad \Theta \vdash_P \text{true} : \text{bool} \quad \Theta \vdash_P \text{false} : \text{bool} \quad \Theta, x : S \vdash_P x : S \\ \Theta \vdash_P e : \text{nat} \quad \Theta \vdash_P e : \text{int} \quad \Theta \vdash_P e : \text{bool} \\ \hline \Theta \vdash_P \text{succ } e : \text{nat} \quad \Theta \vdash_P \text{neg } e : \text{int} \quad \Theta \vdash_P \neg e : \text{bool} \\ \Theta \vdash_P e_1 : S \quad \Theta \vdash_P e_2 : S \quad \Theta \vdash_P e_1 : \text{int} \quad \Theta \vdash_P e_2 : \text{int} \quad \Theta \vdash_P e : S \quad S \leq S' \\ \hline \Theta \vdash_P e_1 \oplus e_2 : S \quad \Theta \vdash_P e_1 > e_2 : \text{bool} \quad \Theta \vdash_P e : S' \end{array}$$

■ **Table 3** Typing expressions

$$\begin{array}{c}
 \frac{[\text{T-END}]}{\Theta \vdash_P \mathbf{0} : \text{end}} \quad \frac{[\text{T-VAR}]}{\Theta, X : T \vdash_P X : T} \quad \frac{[\text{T-REC}]}{\Theta, X : T \vdash_P P : T} \quad \frac{[\text{T-IF}]}{\Theta \vdash_P e : \text{bool} \quad \Theta \vdash_P P_1 : T \quad \Theta \vdash_P P_2 : T}{\Theta \vdash_P \mu X.P : T} \\
 \frac{[\text{T-SUB}]}{\Theta \vdash_P P : T \quad T \leqslant T'} \quad \frac{[\text{T-IN}]}{\forall i \in I, \quad \Theta, x_i : S_i \vdash_P P_i : T_i}{\Theta \vdash_P \sum_{i \in I} p? \ell_i(x_i).P_i : p\&\{\ell_i(S_i).T_i\}_{i \in I}} \quad \frac{[\text{T-OUT}]}{\Theta \vdash_P e : S \quad \Theta \vdash_P P : T}{\Theta \vdash_P p! \ell(e).P : p \oplus \{\ell(S).T\}}
 \end{array}$$

■ Table 4 Typing processes

693 Table 3 and Table 4 state the standard typing rules for expressions and processes. We  
 694 have a single rule for typing sessions:

$$\frac{[\text{T-SESS}]}{\forall i \in I : \quad \vdash_P P_i : \Gamma(p_i) \quad \Gamma \sqsubseteq G}{\Gamma \vdash_M \prod_i p_i \triangleleft P_i}$$

## 696 5.2 Subject Reduction, Progress and Session Fidelity

697 The subject reduction, progress and non-stuck theorems from [3] also hold in this setting,  
 698 with minor changes in their statements and proofs. We won't discuss these proofs in detail.

give theorem  
no

699 ▶ **Lemma 5.1.** *If  $\text{typ\_sess } M \text{ gamma}$  and  $\text{unfoldP } M \text{ M}'$  then  $\text{typ\_sess } M' \text{ gamma}$ .*

700 **Proof.** By induction on  $\text{unfoldP } M \text{ M}'$ . ◀

701 ▶ **Theorem 5.2 (Subject Reduction).** *If  $\text{typ\_sess } M \text{ gamma}$  and  $\text{betaP\_lbl } M \text{ (lcomm p q ell)}$   
 702  $M'$ , then there exists a typing context  $\text{gamma}'$  such that  $\text{tctxR } \text{gamma} \text{ (lcomm p q ell)} \text{ gamma}'$ ,  
 703 and  $\text{typ\_sess } M' \text{ gamma}'$ .*

704 ▶ **Theorem 5.3 (Progress).** *If  $\text{typ\_sess } M \text{ gamma}$ , one of the following hold :*

- 705 1. *Either  $\text{unfoldP } M \text{ M\_inact}$  where every process making up  $M_{\text{inact}}$  is inactive, i.e.  
 706  $M_{\text{inact}} = \prod_{i=1}^n p_i \triangleleft \mathbf{0}$  for some  $n$ .*
- 707 2. *Or there is a  $M'$  such that  $\text{betaP } M \text{ M}'$ .*

708 ▶ **Remark 5.4.** Note that in Theorem 5.2 one transition between sessions corresponds to  
 709 exactly one transition between local type contexts with the same label. That is, every session  
 710 transition is observed by the corresponding type. This is the main reason for our choice of  
 711 reactive semantics (??) as  $\tau$  transitions are not observed by the type in ordinary semantics.  
 712 In other words, with  $\tau$ -semantics the typing relation is a *weak simulation* [?], while it turns  
 713 into a strong simulation with reactive semantics. For our Rocq implementation working with  
 714 the strong simulation turns out to be more convenient.

715 We can also prove the following correspondence result in the reverse direction to Theorem 5.2,  
 716 analogous to Theorem 3.9.

717 ▶ **Theorem 5.5 (Session Fidelity).** *If  $\text{typ\_sess } M \text{ gamma}$  and  $\text{tctxR } \text{gamma} \text{ (lcomm p q ell)}$   
 718  $\text{gamma}'$ , there exists a message label  $\text{ell}'$  and a session  $M'$  such that  $\text{betaP\_lbl } M \text{ (lcomm p}$   
 719  $q \text{ ell}') \text{ M}'$  and  $\text{typ\_sess } M' \text{ gamma}'$ .*

720 **Proof.** By inverting the local type context transition and the typing. ◀

721 ► Remark 5.6. Again we note that by Theorem 5.5 a single-step context reduction induces a  
 722 single-step session reduction on the type. With the  $\tau$ -semantics the session reduction induced  
 723 by the context reduction would be multistep.

### 724 5.3 Session Liveness

725 We state the liveness property we are interested in proving, and show that typable sessions  
 726 have this property.

727 ► **Definition 5.7** (Session Liveness). *Session  $\mathcal{M}$  is live iff*

- 728 1.  $\mathcal{M} \rightarrow^* \mathcal{M}' \Rightarrow q \triangleleft p! \ell_i(x_i).Q \mid \mathcal{N}$  implies  $\mathcal{M}' \rightarrow^* \mathcal{M}'' \Rightarrow q \triangleleft Q \mid \mathcal{N}'$  for some  $\mathcal{M}'', \mathcal{N}'$
- 729 2.  $\mathcal{M} \rightarrow^* \mathcal{M}' \Rightarrow q \triangleleft \bigwedge_{i \in I} p? \ell_i(x_i).Q_i \mid \mathcal{N}$  implies  $\mathcal{M}' \rightarrow^* \mathcal{M}'' \Rightarrow q \triangleleft Q_i[v/x_i] \mid \mathcal{N}'$  for some  
 730  $\mathcal{M}'', \mathcal{N}', i, v$ .

731 Session liveness, analogous to liveness for typing contexts (Definition 4.5), says that when  
 732  $\mathcal{M}$  is live, if  $\mathcal{M}$  reduces to a session  $\mathcal{M}'$  containing a participant that's attempting to send  
 733 or receive, then  $\mathcal{M}'$  reduces to a session where that communication has happened. It's also  
 734 called *lock-freedom* in related work ([12, ?]).

735 We now prove that typed sessions are live. Our proof follows the following steps:

- 736 1. Formulate an analogue of fairness for typable sessions, with the property that any finite  
 737 session reduction path can be extended to a fair session reduction path.
- 738 2. Lift the typing relation to reduction paths, and show that fair session reduction paths  
 739 are typed by fair local type context reduction paths.
- 740 3. Prove that a certain transition eventually happens in the local context reduction path,  
 741 and that this means the desired transition is enabled in the session reduction path.

742 ► **Theorem 5.8** (Liveness by Typing). *If  $\Gamma \vdash_{\mathcal{M}} \mathcal{M}$  then  $\mathcal{M}$  is live.*

743 **Proof.** We proceed by assuming that Item 1 of Definition 5.7 doesn't hold and showing a  
 744 contradiction. The case for when Item 2 doesn't hold is similar.

745 Suppose  $\mathcal{M} \rightarrow^* \mathcal{M}' \Rightarrow q \triangleleft p! \ell_i(x_i).Q \mid \mathcal{N}$ , and there is no  $\mathcal{M}''$  such that  $\mathcal{M}'' \Rightarrow q \triangleleft Q \mid \mathcal{N}'$ .  
 746 By subject reduction we have  $\Gamma' \vdash_{\mathcal{M}} \mathcal{M}'$  for some  $\Gamma'$ . By inverting [T-OUT] and subtyping  
 747 we get that  $\Gamma'(q) = p \oplus \{\ell_i(S_i).T_i\}_{i \in I}$  for some  $I$ . Therefore by Definition 3.4 we have that  
 748  $\Gamma' \xrightarrow{q:p \oplus \ell_i}$  for some  $i$ . Furthermore by our assumption we don't ever have  $p \triangleleft \bigwedge_{i \in I} q? \ell_i(x_i).P_i()$   
 749 in a session  $\mathcal{M}'$  can reduce to, hence for all  $\mathcal{M}''$  s.t.  $\mathcal{M}' \rightarrow^* \mathcal{M}''$  and  $\Gamma'' \vdash_{\mathcal{M}} \mathcal{M}''$  we don't  
 750 have  $\Gamma''(p) = q \& \{\dots\}$ . Thus we have that there is no reduction path from  $\Gamma'$  that contains  
 751 a  $(p, q)\ell_k$  transition. Now suppose there exists a fair path from  $\Gamma'$ , then on this path we  
 752 perpetually have  $\Gamma'(q) \xrightarrow{q:p \oplus \ell_i}$  without ever transitioning via  $\xrightarrow{(p,q)\ell_k}$ , therefore this path is  
 753 not live. Therefore  $\Gamma$  is not a live type context. However, we have by ?? that  $\Gamma$  is live, which  
 754 is a contradiction.

755 Now it suffices to show that there exists a fair path starting from  $\Gamma'$ . This path always  
 756 exists as we can just "schedule" the available synchronous transitions so that all of them are  
 757 eventually executed e.g. in a round-robin-like way. ◀

## 758 6 Related and Future Work

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