

# <sup>1</sup> Formally Verified Liveness with Synchronous <sup>2</sup> Multiparty Session Types in Rocq

<sup>3</sup> **Anonymous author**

<sup>4</sup> Anonymous affiliation

<sup>5</sup> **Anonymous author**

<sup>6</sup> Anonymous affiliation

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## <sup>7</sup> —— Abstract ——

<sup>8</sup> We mechanise a synchronous multiparty session type framework that guarantees liveness for typed  
<sup>9</sup> processes. We type sessions using a context of local types, and use "association" with global types to  
<sup>10</sup> denote a set of well-behaved local type contexts. We give LTS semantics to local contexts and global  
<sup>11</sup> types and prove operational correspondences between the LTSs local context and their associated  
<sup>12</sup> global types. We then prove that sessions typed by a local context that's associated with a global  
<sup>13</sup> type are live.

<sup>14</sup> **2012 ACM Subject Classification** Replace ccsdesc macro with valid one

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## <sup>18</sup> 1 Introduction

<sup>19</sup> Multiparty session types [20] provide a type discipline for the correct-by-construction spe-  
<sup>20</sup> cification of message-passing protocols. Desirable protocol properties guaranteed by session  
<sup>21</sup> types include *safety* (the labels and types of senders' payloads cohere with the capabilities of  
<sup>22</sup> the receivers), *deadlock-freedom* (also called *progress* or *non-stuck property* [15]) (it is possible  
<sup>23</sup> for the session to progress so long as it has at least one active participant), and *liveness* (also  
<sup>24</sup> called *lock-freedom* [45] or *starvation-freedom* [9]) (if a process is waiting to send and receive  
<sup>25</sup> then a communication involving it eventually happens).

<sup>26</sup> There exists two common methodologies for multiparty session types. In the *bottom-up* approach,  
<sup>27</sup> the individual processes making up the session are typed using a collection of *participants* and *local types*, that is, a *local type context*, and the properties of the session is  
<sup>28</sup> examined by model-checking this local type context. Contrastingly, in the *top-down* approach  
<sup>29</sup> sessions are typed by a *global type* that is related to the processes using endpoint *projections*  
<sup>30</sup> and *subtyping*. The structure of the global type ensures that the desired properties are  
<sup>31</sup> satisfied by the session. These two approaches have their advantages and disadvantages:  
<sup>32</sup> the bottom-up approach is generally able to type more sessions, while type-checking and  
<sup>33</sup> type-inferring in the top-down approach tend to be more efficient than model-checking the  
<sup>34</sup> bottom-up system [44].

<sup>36</sup> In this work, we present the Rocq [5] formalisation of a synchronous MPST that that  
<sup>37</sup> ensures the aforementioned properties for typed sessions. Our type system uses an *association*  
<sup>38</sup> relation ( $\sqsubseteq$ ) [48, 34] defined using (coinductive plain) projection [42] and subtyping, in order  
<sup>39</sup> to relate local type contexts and global types. This association relation ensures *operational*  
<sup>40</sup> *correspondence* between the labelled transition system (LTS) semantics we define for local  
<sup>41</sup> type contexts and global types. We then type ( $\vdash_{\mathcal{M}}$ ) sessions using local type contexts that  
<sup>42</sup> are associated with global types, which ensure that the local type context, and hence the  
<sup>43</sup> session, is well-behaved in some sense. Whenever an associated local type context  $\Gamma$  types a



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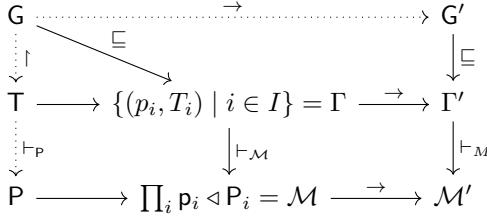
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**Figure 1** Design overview. The dotted lines correspond to relations inherited from [15] while the solid lines denote relations that are new, or substantially rewritten, in this paper.

44 session  $\mathcal{M}$ , our type system guarantees the following properties:

- 45 1. **Subject Reduction** (Theorem 6.2): If  $\mathcal{M}$  can progress into  $\mathcal{M}'$ , then  $\Gamma$  can progress  
46 into  $\Gamma'$  such that  $\Gamma'$  types  $\mathcal{M}'$ .
- 47 2. **Session Fidelity** (Theorem 6.5): If  $\Gamma$  can progress into  $\Gamma'$ , then  $\mathcal{M}$  can progress into  
48  $\mathcal{M}'$  such that  $\mathcal{M}'$  is typable by  $\Gamma'$ .
- 49 3. **Safety** (Theorem 6.7): If  $\mathcal{M}$  can progress into  $\mathcal{M}'$  by one or more communications,  
50 participant  $p$  in  $\mathcal{M}'$  sends to participant  $q$  and  $q$  receives from  $p$ , then the labels of  $p$  and  
51  $q$  cohere.
- 52 4. **Deadlock-Freedom** (Theorem 6.3): Either every participant in  $\mathcal{M}$  has terminated, or  
53  $\mathcal{M}$  can progress.
- 54 5. **Liveness** (Theorem 6.16): If participant  $p$  attempts to communicate with participant  $q$   
55 in  $\mathcal{M}$ , then  $\mathcal{M}$  can progress (in possibly multiple steps) into a session  $\mathcal{M}'$  where that  
56 communication has occurred.

57 To our knowledge, this work presents the first mechanisation of liveness for multiparty session  
58 types in a proof assistant.

59 Our Rocq implementation builds upon the recent formalisation of subject reduction for  
60 MPST by Ekici et. al. [15], which itself is based on [18]. The methodology in [15] takes an  
61 equirecursive approach where an inductive syntactic global or local type is identified with  
62 the coinductive tree obtained by fully unfolding the recursion. It then defines a coinductive  
63 projection relation between global and local type trees, the LTS semantics for global type  
64 trees, and typing rules for the session calculus outlined in [18]. We extensively use these  
65 definitions and the lemmas concerning them, but we still depart from and extend [15] in  
66 numerous ways by introducing local typing contexts, their correspondence with global types  
67 and a new typing relation. Our addition to the code amounts to around 12K lines of Rocq  
68 code.

69 As with [15], our implementation heavily uses the parameterized coinduction technique  
70 of the paco [21] library. Namely, our liveness property is defined using possibly infinite  
71 *execution traces* which we represent as coinductive streams. The relevant predicates on these  
72 traces, such as fairness, are then defined using linear temporal logic (LTL)[35]. The LTL  
73 modalities eventually ( $\diamond$ ) and always ( $\square$ ) can be expressed as least and greatest fixpoints  
74 respectively using expansion laws. This allows us to represent the properties that use these  
75 modalities as inductive and coinductive predicates in Rocq. This approach, together with  
the proof techniques provided by paco, results in compositional and clear proofs.

specifics of  
the project

76 **Outline.** In Section 2 we define our session calculus and its LTS semantics. In Section 3  
77 we introduce local and global type trees. In Section 4 we give LTS semantics to local type  
78 contexts and global types, and detail the association relation between them. In Section 5  
79 we define safety and liveness for local type contexts, and prove that they hold for contexts  
80 associated with a global type tree. In Section 6 we give the typing rules for our session  
81

82

83 calculus, and prove the desired properties of these typable sessions.

## 84 2 The Session Calculus

85 We introduce the simple synchronous session calculus that our type system will be used  
86 on.

### 87 2.1 Processes and Sessions

88 ▶ **Definition 2.1** (Expressions and Processes). *We define processes as follows:*

$$89 \quad P ::= p!\ell(e).P \mid \sum_{i \in I} p?\ell_i(x_i).P_i \mid \text{if } e \text{ then } P \text{ else } P \mid \mu X.P \mid X \mid 0$$

90 where  $e$  is an expression that can be a variable, a value such as `true`,  $0$  or  $-3$ , or a term  
91 built from expressions by applying the operators `succ`, `neg`,  $\neg$ , non-deterministic choice  $\oplus$   
92 and  $>$ .

93  $p!\ell(e).P$  is a process that sends the value of expression  $e$  with label  $\ell$  to participant  $p$ , and  
94 continues with process  $P$ .  $\sum_{i \in I} p?\ell_i(x_i).P_i$  is a process that may receive a value from  $p$  with  
95 any label  $\ell_i$  where  $i \in I$ , binding the result to  $x_i$  and continuing with  $P_i$ , depending on  
96 which  $\ell_i$  the value was received from.  $X$  is a recursion variable,  $\mu X.P$  is a recursive process,  
97 if  $e$  then  $P$  else  $P$  is a conditional and  $0$  is a terminated process.

98 Processes can be composed in parallel into sessions.

99 ▶ **Definition 2.2** (Multiparty Sessions). *Multiparty sessions are defined as follows.*

$$100 \quad M ::= p \triangleleft P \mid (M \mid M) \mid \mathcal{O}$$

101  $p \triangleleft P$  denotes that participant  $p$  is running the process  $P$ ,  $\mid$  indicates parallel composition. We  
102 write  $\prod_{i \in I} p_i \triangleleft P_i$  to denote the session formed by  $p_i$  running  $P_i$  in parallel for all  $i \in I$ .  $\mathcal{O}$  is  
103 an empty session with no participants, that is, the unit of parallel composition.

104 ▶ **Remark 2.3.** Note that  $\mathcal{O}$  is different than  $p \triangleleft 0$  as  $p$  is a participant in the latter but not  
105 the former. This differs from previous work, e.g. in [18] the unit of parallel composition  
106 is  $p \triangleleft 0$  while in [15] there is no unit. The unitless approach of [15] results in a lot of  
107 repetition in the code, for an example see their definition of `unfoldP` which contains two of  
108 every constructor: one for when the session is composed of exactly two processes, and one for  
109 when it's composed of three or more. Therefore we chose to add an unit element to parallel  
110 composition. However, we didn't make that unit  $p \triangleleft 0$  in order to reuse some of the lemmas  
111 from [15] that use the fact that structural congruence preserves participants.

112 In Rocq processes and sessions are expressed in the following way

```
Inductive process : Type  $\triangleq$ 
| p_send : part  $\rightarrow$  label  $\rightarrow$  expr  $\rightarrow$  process  $\rightarrow$  process
| p_recv : part  $\rightarrow$  list(option process)  $\rightarrow$  process
| p_ite : expr  $\rightarrow$  process  $\rightarrow$  process  $\rightarrow$  process
| p_rec : process  $\rightarrow$  process
| p_var : nat  $\rightarrow$  process
| p_inact : process.

Inductive session : Type  $\triangleq$ 
| s_ind : part  $\rightarrow$  process  $\rightarrow$  session
| s_par : session  $\rightarrow$  session  $\rightarrow$  session
| s_zero : session.

Notation "p '←-' P"  $\triangleq$  (s_ind p P) (at level 50, no associativity).
Notation "s1 '|||' s2"  $\triangleq$  (s_par s1 s2) (at level 50, no associativity).
```

113

## 114 2.2 Structural Congruence and Operational Semantics

115 We define a structural congruence relation  $\equiv$  on sessions which expresses the commutativity,  
 116 associativity and unit of the parallel composition operator.

$$\begin{array}{ll} \text{[SC-SYM]} & \text{[SC-ASSOC]} \\ p \triangleleft P \mid q \triangleleft Q \equiv q \triangleleft Q \mid p \triangleleft P & (p \triangleleft P \mid q \triangleleft Q) \mid r \triangleleft R \equiv p \triangleleft P \mid (q \triangleleft Q \mid r \triangleleft R) \\ \text{[SC-O]} \\ p \triangleleft P \mid \mathcal{O} \equiv p \triangleleft P \end{array}$$

177 **Table 1** Structural Congruence over Sessions

117 We now give the operational semantics for sessions by the means of a labelled transition  
 118 system. We will be giving two types of semantics: one which contains silent  $\tau$  transitions,  
 119 and another, *reactive* semantics [45] which doesn't contain explicit  $\tau$  reductions while still  
 120 considering  $\beta$  reductions up to silent actions. We will mostly be using the reactive semantics  
 121 throughout this paper, for the advantages of this approach see Remark 6.4.

### 122 2.2.1 Semantics With Silent Transitions

123 We have two kinds of transitions, *silent* ( $\tau$ ) and *observable* ( $\beta$ ). Correspondingly, we have  
 124 two kinds of *transition labels*,  $\tau$  and  $(p, q)\ell$  where  $p, q$  are participants and  $\ell$  is a message  
 125 label. We omit the semantics of expressions, they are standard and can be found in [18,  
 126 Table 1]. We write  $e \downarrow v$  when expression  $e$  evaluates to value  $v$ .

$$\begin{array}{c} \text{[R-COMM]} \\ \frac{j \in I \quad e \downarrow v}{p \triangleleft \sum_{i \in I} q? \ell_i(x_i).P_i \mid q \triangleleft p! \ell_j(e).Q \mid \mathcal{N}} \xrightarrow{(p,q)\ell_j} p \triangleleft P_j[v/x_j] \mid q \triangleleft Q \mid \mathcal{N} \\ \text{[R-REC]} \\ p \triangleleft \mu X.P \mid \mathcal{N} \xrightarrow{\tau} p \triangleleft P[\mu X.P/X] \mid \mathcal{N} \quad \text{[R-COND'T]} \\ p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \xrightarrow{e \downarrow \text{true}} p \triangleleft P \mid \mathcal{N} \\ \text{[R-COND'F]} \\ p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \xrightarrow{e \downarrow \text{false}} p \triangleleft Q \mid \mathcal{N} \quad \text{[R-STRUCT]} \\ \frac{\mathcal{N}'_1 \equiv \mathcal{N}_1 \quad \mathcal{N}_1 \xrightarrow{\lambda} \mathcal{N}_2 \quad \mathcal{N}_2 \equiv \mathcal{N}'_2}{\mathcal{N}'_1 \xrightarrow{\lambda} \mathcal{N}'_2} \end{array}$$

177 **Table 2** Operational Semantics of Sessions

127 In Table 2, [R-COMM] describes a synchronous communication from  $p$  to  $q$  via message  
 128 label  $\ell_j$ . [R-REC] unfolds recursion, [R-COND'T] and [R-COND'F] express how to evaluate  
 129 conditionals, and [R-STRUCT] shows that the reduction respects the structural pre-congruence.  
 130 We write  $\mathcal{M} \rightarrow \mathcal{N}$  if  $\mathcal{M} \xrightarrow{\lambda} \mathcal{N}$  for some transition label  $\lambda$ . We write  $\rightarrow^*$  to denote the  
 131 reflexive transitive closure of  $\rightarrow$ .

### 132 2.3 Reactive Semantics

133 In reactive semantics  $\tau$  transitions are captured by an *unfolding* relation ( $\Rightarrow$ ), and  $\beta$  reductions  
 134 are defined up to this unfolding.

$\begin{array}{c} [\text{UNF-STRUCT}] \\ \mathcal{M} \equiv \mathcal{N} \\ \mathcal{M} \Rightarrow \mathcal{N} \end{array}$	$\begin{array}{c} [\text{UNF-REC}] \\ p \triangleleft \mu X.P \mid \mathcal{N} \Rightarrow p \triangleleft P[\mu X.P/X] \mid \mathcal{N} \end{array}$	$\begin{array}{c} [\text{UNF-COND}] \\ p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \Rightarrow p \triangleleft P \mid \mathcal{N} \end{array}$
$\begin{array}{c} [\text{UNF-COND}] \\ e \downarrow \text{false} \\ p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \Rightarrow p \triangleleft Q \mid \mathcal{N} \end{array}$		$\begin{array}{c} [\text{UNF-TRANS}] \\ \mathcal{M} \Rightarrow \mathcal{M}' \quad \mathcal{M}' \Rightarrow \mathcal{N} \\ \mathcal{M} \Rightarrow \mathcal{N} \end{array}$

■ **Table 3** Unfolding of Sessions

135       $\mathcal{M} \Rightarrow \mathcal{N}$  means that  $\mathcal{M}$  can transition to  $\mathcal{N}$  through some internal actions, or  $\tau$  transitions  
136    in the semantics of Section 2.2.1. We say that  $\mathcal{M}$  *unfolds* to  $\mathcal{N}$ . In Rocq it's captured by  
the predicate `unfoldP : session → session → Prop`.

$\begin{array}{c} [\text{R-COMM}] \\ j \in I \quad e \downarrow v \\ \hline p \triangleleft \sum_{i \in I} q? \ell_i(x_i).P_i \mid q \triangleleft p! \ell_j(e).Q \mid \mathcal{N} \xrightarrow{(p,q)\ell_j} p \triangleleft P_j[v/x_j] \mid q \triangleleft Q \mid \mathcal{N} \end{array}$
$\begin{array}{c} [\text{R-UNFOLD}] \\ \mathcal{M} \Rightarrow \mathcal{M}' \quad \mathcal{M}' \xrightarrow{\lambda} \mathcal{N}' \quad \mathcal{N}' \Rightarrow \mathcal{N} \\ \hline \mathcal{M} \xrightarrow{\lambda} \mathcal{N} \end{array}$

■ **Table 4** Reactive Semantics of Sessions

137      138     $[\text{R-COMM}]$  captures communications between processes, and  $[\text{R-UNFOLD}]$  lets us consider  
139 reductions up to unfoldings. In Rocq, `betaP_1bl M lambda M'` denotes  $\mathcal{M} \xrightarrow{\lambda} \mathcal{M}'$ . We write  
140  $\mathcal{M} \rightarrow \mathcal{M}'$  if  $\mathcal{M} \xrightarrow{\lambda} \mathcal{M}'$  for some  $\lambda$ , which is written `betaP M M'` in Rocq. We write  $\rightarrow^*$  to  
141 denote the reflexive transitive closure of  $\rightarrow$ , which is called `betaRtc` in Rocq.

### 142 3 The Type System

143    We introduce local and global types and trees and the subtyping and projection relations  
144 based on [18]. We start by defining the sorts that will be used to type expressions, and local  
145 types that will be used to type single processes.

#### 146 3.1 Local Types and Type Trees

147 ► **Definition 3.1** (Sorts). *We define sorts as follows:*

148     $S ::= \text{int} \mid \text{bool} \mid \text{nat}$

149    and the corresponding Rocq

```
Inductive sort: Type △
| sbool: sort
| sint: sort
| snat: sort.
```

150

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151 ► **Definition 3.2.** Local types are defined inductively with the following syntax:

152  $\mathbb{T} ::= \text{end} \mid p \oplus \{\ell_i(S_i).\mathbb{T}_i\}_{i \in I} \mid p \& \{\ell_i(S_i).\mathbb{T}_i\}_{i \in I} \mid t \mid \mu t.\mathbb{T}$

153 Informally, in the above definition, `end` represents a role that has finished communicating.  
 154  $p \oplus \{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$  denotes a role that may, from any  $i \in I$ , receive a value of sort  $S_i$  with  
 155 message label  $\ell_i$  and continue with  $\mathbb{T}_i$ . Similarly,  $p \& \{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$  represents a role that may  
 156 choose to send a value of sort  $S_i$  with message label  $\ell_i$  and continue with  $\mathbb{T}_i$  for any  $i \in I$ .  
 157  $\mu t.\mathbb{T}$  represents a recursive type where  $t$  is a type variable. We assume that the indexing  
 158 sets  $I$  are always non-empty. We also assume that recursion is always guarded.

159 We employ an equirecursive approach based on the standard techniques from [33] where  
 160  $\mu t.\mathbb{T}$  is considered to be equivalent to its unfolding  $\mathbb{T}[\mu t.\mathbb{T}/t]$ . This enables us to identify  
 161 a recursive type with the possibly infinite local type tree obtained by fully unfolding its  
 162 recursive subterms.

163 ► **Definition 3.3.** Local type trees are defined coinductively with the following syntax:

164  $\mathbb{T} ::= \text{end} \mid p \& \{\ell_i(S_i).\mathbb{T}_i\}_{i \in I} \mid p \oplus \{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$

165 The corresponding Rocq definition is given below.

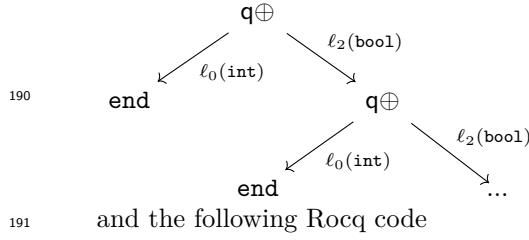
```
166
CoInductive ltt: Type ≡
| ltt_end : ltt
| ltt_recv: part → list (option(sort*ltt)) → ltt
| ltt_send: part → list (option(sort*ltt)) → ltt.
```

167 Note that in Rocq we represent the continuations using a `list` of `option` types. In a  
 168 continuation `gcs : list (option(sort*ltt))`, index `k` (using zero-indexing) being equal to  
 169 `Some (s_k, T_k)` means that  $\ell_k(S_k).\mathbb{T}_k$  is available in the continuation. Similarly index `k`  
 170 being equal to `None` or being out of bounds of the list means that the message label  $\ell_k$  is not  
 171 present in the continuation. Below are some of the constructions we use when working with  
 172 option lists.

- 173 1. `SList xs`: A function that is equal to `True` if `xs` represents a continuation that has at  
 174 least one element that is not `None`, and `False` otherwise.
- 175 2. `onth k xs`: A function that returns `Some x` if the element at index `k` (using 0-indexing) of  
 176 `xs` is `Some x`, and returns `None` otherwise. Note that the function returns `None` if `k` is out  
 177 of bounds for `xs`.
- 178 3. `Forall`, `Forall2` and `Forall2R`: `Forall` and `Forall2` are predicates from the Rocq Stand-  
 179 ard Library [39, List] that are used to quantify over elements of one list and pairwise  
 180 elements of two lists, respectively. `Forall2R` is a weaker version of `Forall2` that might  
 181 hold even if one parameter is shorter than the other. We frequently use `Forall2R` to  
 182 express subset relations on continuations.

183 ► **Remark 3.4.** Note that Rocq allows us to create types such as `ltt_send q []` which don't  
 184 correspond to well-formed local types as the continuation is empty. In our implementation  
 185 we define a predicate `wfltt : ltt → Prop` capturing that all the continuations in the local  
 186 type tree are non-empty. Henceforth we assume that all local types we mention satisfy this  
 187 property.

188 ► **Example 3.5.** Let local type  $\mathbb{T} = \mu t.q \oplus \{\ell_0(\text{int}).\text{end}, \ell_2(\text{bool}).t\}$ . This is equivalent to  
 189 the following infinite local type tree:



and the following Rocq code

```
CoFixpoint T ≡ ltt_send q [Some (sint, ltt_end), None, Some (sbool, T)]
```

192

193 We omit the details of the translation between local types and local type trees, the techni-  
 194 calities of our approach is explained in [18], and the Rocq implementation of translation is  
 195 detailed in [15]. From now on we work exclusively on local type trees.

196 ► **Remark 3.6.** We will occasionally be talking about equality (=) between coinductively  
 197 defined trees in Rocq. Rocq's Leibniz equality is not strong enough to treat as equal the  
 198 types that we will deem to be the same. To do that, we define a coinductive predicate  
 199 `lttIsoC` that captures isomorphism between coinductive trees and take as an axiom that  
 200 `lttIsoC T1 T2 → T1=T2`. Technical details can be found in [15].

## 201 3.2 Subtyping

202 We define the subsorting relation on sorts and the subtyping relation on local type trees.

203 ► **Definition 3.7** (Subsorting and Subtyping). *Subsorting  $\leq$  is the least reflexive binary  
 204 relation that satisfies `nat`  $\leq$  `int`. Subtyping  $\leqslant$  is the largest relation between local type trees  
 205 coinductively defined by the following rules:*

$$\begin{array}{c}
 \frac{}{\text{end} \leqslant \text{end}} \quad \frac{\forall i \in I : \quad S'_i \leq S_i \quad T_i \leqslant T'_i}{\text{p}\&\{\ell_i(S_i).T_i\}_{i \in I \cup J} \leqslant \text{p}\&\{\ell_i(S'_i).T'_i\}_{i \in I}} \quad [\text{SUB-END}] \quad [\text{SUB-IN}] \\
 \\ 
 \frac{\forall i \in I : \quad S_i \leq S'_i \quad T_i \leqslant T'_i}{\text{p} \oplus \{\ell_i(S_i).T_i\}_{i \in I} \leqslant \text{p} \oplus \{\ell_i(S'_i).T'_i\}_{i \in I \cup J}} \quad [\text{SUB-OUT}]
 \end{array}$$

207 Intuitively,  $T_1 \leqslant T_2$  means that a role of type  $T_1$  can be supplied anywhere a role of type  $T_2$   
 208 is needed. [SUB-IN] captures the fact that we can supply a role that is able to receive more  
 209 labels than specified, and [SUB-OUT] captures that we can supply a role that has fewer labels  
 210 available to send. Note the contravariance of the sorts in [SUB-IN], if the supertype demands  
 211 the ability to receive an `nat` then the subtype can receive `nat` or `int`.

212 In Rocq we express coinductive relations such as subtyping using the Paco library [21].  
 213 The idea behind Paco is to formulate the coinductive predicate as the greatest fixpoint of  
 214 an inductive relation parameterised by another relation `R` representing the "accumulated  
 215 knowledge" obtained during the course of the proof. Hence our subtyping relation looks like  
 216 the following:

```
Inductive subtype (R: ltt → ltt → Prop): ltt → ltt → Prop ≡
| sub_end: subtype R ltt_end ltt_end
| sub_in : ∀ p xs ys,
  wfrec subsort R ys xs →
  subtype R (ltt_recv p xs) (ltt_recv p ys)
| sub_out : ∀ p xs ys,
  wfsend subsort R xs ys →
  subtype R (ltt_send p xs) (ltt_send p ys).
```

217

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```
218   Definition subtypeC 11 12 ≡ paco2 subtype bot2 11 12.
```

219 In definition of the inductive relation `subtype`, constructors `sub_in` and `sub_out` correspond  
 220 to [SUB-IN] and [SUB-OUT] with `wfrec` and `wfsend` expressing the premises of those rules. Then  
 221 `subtypeC` defines the coinductive subtyping relation as a greatest fixed point. Given that  
 222 the relation `subtype` is monotone (proven in [15]), `paco2 subtype bot2` generates the greatest  
 223 fixed point of `subtype` with the "accumulated knowledge" parameter set to the empty relation  
 224 `bot2`. The `2` at the end of `paco2` and `bot2` stands for the arity of the predicates.

### 225 3.3 Global Types and Type Trees

226 While local types specify the behaviour of one role in a protocol, global types give a bird's  
 227 eye view of the whole protocol.

228 ▶ **Definition 3.8** (Global type). *We define global types inductively as follows:*

229  $\mathbb{G} ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I} \mid t \mid \mu t.\mathbb{G}$

230 We further inductively define the function `pt(G)` that denotes the participants of type  $\mathbb{G}$ :

231  $\text{pt}(\text{end}) = \text{pt}(t) = \emptyset$

232  $\text{pt}(p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I}) = \{p, q\} \cup \bigcup_{i \in I} \text{pt}(\mathbb{G}_i)$

233  $\text{pt}(\mu T.\mathbb{G}) = \text{pt}(\mathbb{G})$

234 `end` denotes a protocol that has ended,  $p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I}$  denotes a protocol where for  
 235 any  $i \in I$ , participant  $p$  may send a value of sort  $S_i$  to another participant  $q$  via message  
 236 label  $\ell_i$ , after which the protocol continues as  $\mathbb{G}_i$ .

237 As in the case of local types, we adopt an equirecursive approach and work exclusively  
 238 on possibly infinite global type trees.

239 ▶ **Definition 3.9** (Global type trees). *We define global type trees coinductively as follows:*

240  $\mathbb{G} ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I}$

241 with the corresponding Rocq code

```
242   CoInductive gtt: Type ≡
  | gtt_end    : gtt
  | gtt_send   : part → part → list (option (sort*gtt)) → gtt.
```

243 We extend the function `pt` onto trees by defining  $\text{pt}(\mathbb{G}) = \text{pt}(\mathbb{G})$  where the global type  
 244  $\mathbb{G}$  corresponds to the global type tree  $\mathbb{G}$ . Technical details of this definition such as well-  
 245 definedness can be found in [15, 18].

246 In Rocq `pt` is captured with the predicate `isgPartsC` : `part → gtt → Prop`, where  
 247 `isgPartsC p G` denotes  $p \in \text{pt}(\mathbb{G})$ .

### 248 3.4 Projection

249 We give definitions of projections with plain merging.

250 ▶ **Definition 3.10** (Projection). *The projection of a global type tree onto a participant  $r$  is the  
 251 largest relation  $\upharpoonright_r$  between global type trees and local type trees such that, whenever  $G \upharpoonright_r T$ :*

252     $r \notin pt\{G\}$  implies  $T = end$ ; [PROJ-END]  
 253     $G = p \rightarrow r : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = p \& \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \upharpoonright_r T_i$  [PROJ-IN]  
 254     $G = r \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = q \oplus \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \upharpoonright_r T_i$  [PROJ-OUT]  
 255     $G = p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  and  $r \notin \{p, q\}$  implies that there are  $T_i, i \in I$  such that  
 256     $T = \sqcap_{i \in I} T_i$  and  $\forall i \in I, G \upharpoonright_r T_i$  [PROJ-CONT]

257 where  $\sqcap$  is the merging operator. We also define plain merge  $\sqcap$  as

$$258 \quad T_1 \sqcap T_2 = \begin{cases} T_1 & \text{if } T_1 = T_2 \\ \text{undefined} & \text{otherwise} \end{cases}$$

259 ► Remark 3.11. In the MPST literature there exists a more powerful merge operator named  
 260 full merging, defined as

$$261 \quad T_1 \sqcap T_2 = \begin{cases} T_1 & \text{if } T_1 = T_2 \\ T_3 & \text{if } \exists I, J : \begin{cases} T_1 = p \& \{\ell_i(S_i).T_i\}_{i \in I} \\ T_2 = p \& \{\ell_j(S_J).T_j\}_{j \in J} \\ T_3 = p \& \{\ell_k(S_k).T_k\}_{k \in I \cup J} \end{cases} \text{ and} \\ \text{undefined} & \text{otherwise} \end{cases}$$

262 Indeed, one of the papers we base this work on [48] uses full merging. However we used plain  
 263 merging in our formalisation and consequently in this work as it was already implemented in  
 264 [15]. Generally speaking, the results we proved can be adapted to a full merge setting, see  
 265 the proofs in [48].

266 Informally, the projection of a global type tree  $G$  onto a participant  $r$  extracts a specification  
 267 for participant  $r$  from the protocol whose bird's-eye view is given by  $G$ . [PROJ-END]  
 268 expresses that if  $r$  is not a participant of  $G$  then  $r$  does nothing in the protocol. [PROJ-IN]  
 269 and [PROJ-OUT] handle the cases where  $r$  is involved in a communication in the root of  $G$ .  
 270 [PROJ-CONT] says that, if  $r$  is not involved in the root communication of  $G$ , then the only  
 271 way it knows its role in the protocol is if there is a role for it that works no matter what  
 272 choices  $p$  and  $q$  make in their communication. This "works no matter the choices of the other  
 273 participants" property is captured by the merge operations.

274 In Rocq these constructions are expressed with the inductive `isMerge` and the coinductive  
 275 `projectionC`.

```
Inductive isMerge : ltt → list (option ltt) → Prop ≡
| matm : ∀ t, isMerge t (Some t :: nil)
| mconsn : ∀ t xs, isMerge t xs → isMerge t (None :: xs)
| mconsn : ∀ t xs, isMerge t xs → isMerge t (Some t :: xs).
```

276

277 `isMerge t xs` holds if the plain merge of the types in `xs` is equal to `t`.

```
Variant projection (R: gtt → part → ltt → Prop): gtt → part → ltt → Prop ≡
| proj_end : ∀ g r,
  (isgPartsC r g → False) →
  projection R g r (ltt_end)
| proj_in : ∀ p r xs ys,
  p ≠ r →
  (isgPartsC r (gtt_send p r xs)) →
  List.Forall2 (fun u v => (u = None ∧ v = None) ∨ (∃ s g t, u = Some(s, g) ∧ v = Some(s, t) ∧ R g r t)) xs ys →
  projection R (gtt_send p r xs) r (ltt_recv p ys)
| proj_out : ...
| proj_cont : ∀ p q r xs ys t,
  p ≠ q →
  q ≠ r →
  p ≠ r →
  (isgPartsC r (gtt_send p q xs)) →
  List.Forall2 (fun u v => (u = None ∧ v = None) ∨
  (∃ s g t, u = Some(s, g) ∧ v = Some t ∧ R g r t)) xs ys →
  isMerge t ys →
  projection R (gtt_send p q xs) r t.
```

278

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```
279   Definition projectionC g r t ≡ paco3 projection bot3 g r t.
```

280 As in the definition of `subtypeC`, `projectionC` is defined as a parameterised greatest fixed point  
 281 using Paco. The premises of the rules [PROJ-IN], [PROJ-OUT] and [PROJ-CONT] are captured  
 282 using the Rocq standard library predicate `List.Forall12 : ∀ A B : Type, (P:A → B →`  
 283 `Prop) (xs:list A) (ys:list B) : Prop` that holds if  $P x y$  holds for every  $x, y$  where the  
 284 index of  $x$  in  $xs$  is the same as the index of  $y$  in the index of  $ys$ .

285 We have the following fact about projections that lets us regard it as a partial function:

286 ▶ **Lemma 3.12.** *If  $\text{projectionC } G \ p \ T$  and  $\text{projectionC } G \ p \ T'$  then  $T = T'$ .*

287 We write  $G \upharpoonright r = T$  when  $G \upharpoonright_r T$ . Furthermore we will be frequently be making assertions  
 288 about subtypes of projections of a global type e.g.  $T \leqslant G \upharpoonright r$ . In our Rocq implementation  
 289 we define the predicate `issubProj` as a shorthand for this.

```
290   Definition issubProj (t:ltt) (g:gtt) (p:part) ≡
  291     ∃ tg, projectionC g p tg ∧ subtypeC t tg.
```

## 291 3.5 Balancedness, Global Tree Contexts and Grafting

292 We introduce an important constraint on the types of global type trees we will consider,  
 293 balancedness.

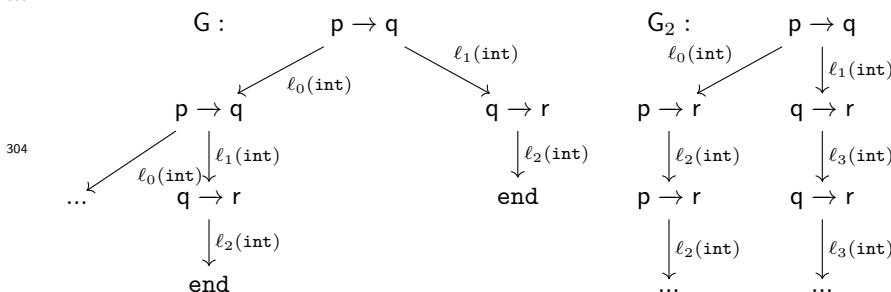
294 ▶ **Definition 3.13** (Balanced Global Type Trees). *A global tree  $G$  is balanced if for any subtree  
 295  $G'$  of  $G$ , there exists  $k$  such that for all  $p \in \text{pt}(G')$ ,  $p$  occurs on every path from the root of  
 296  $G'$  of length at least  $k$ .*

297 In Rocq balancedness is expressed with the predicate `balancedG (G : gtt)`

298 We omit the technical details of this definition and the Rocq implementation, they can be  
 299 found in [18] and [15].

300 ▶ **Example 3.14.** The global type tree  $G$  given below is unbalanced as constantly following  
 301 the left branch gives an infinite path where  $r$  doesn't occur despite being a participant of the  
 302 tree. There is no such path for  $G_2$ , hence  $G_2$  is balanced.

303



305 Intuitively, balancedness is a regularity condition that imposes a notion of *liveness* on  
 306 the protocol described by the global type tree. For example,  $G$  in Example 3.14 describes  
 307 a defective protocol as it possible for  $p$  and  $q$  to constantly communicate through  $\ell_0$  and  
 308 leave  $r$  waiting to receive from  $q$  a communication that will never come. We will be exploring  
 309 these liveness properties from Section 4 onwards.

310 One other reason for formulating balancedness is that it allows us to use the "grafting"  
 311 technique, turning proofs by coinduction on infinite trees to proofs by induction on finite  
 312 global type tree contexts.

313 ► **Definition 3.15** (Global Type Tree Context). *Global type tree contexts are defined inductively  
314 with the following syntax:*

315  $\mathcal{G} ::= \mathbf{p} \rightarrow \mathbf{q} : \{\ell_i(S_i).\mathcal{G}_i\}_{i \in I} \mid [ ]_i$

316 In Rocq global type tree contexts are represented by the type `gtth`

```
Inductive gtth: Type  $\triangleq$   
| gtth_hol : fin  $\rightarrow$  gtth  
| gtth_send : part  $\rightarrow$  part  $\rightarrow$  list (option (sort * gtth))  $\rightarrow$  gtth.
```

317

318 We additionally define `pt` and `ishParts` on contexts analogously to `pt` and `isgPartsC` on trees.

319 A global type tree context can be thought of as the finite prefix of a global type tree, where  
320 holes  $[ ]_i$  indicate the cutoff points. Global type tree contexts are related to global type trees  
321 with the grafting operation.

322 ► **Definition 3.16** (Grafting). *Given a global type tree context  $\mathcal{G}$  whose holes are in the  
323 indexing set  $I$  and a set of global types  $\{G_i\}_{i \in I}$ , the grafting  $\mathcal{G}[G_i]_{i \in I}$  denotes the global type  
324 tree obtained by substituting  $[ ]_i$  with  $G_i$  in  $G_{\mathcal{C}}$ .*

325 In Rocq the indexed set  $\{G_i\}_{i \in I}$  is represented using a list `(option gtt)`. Grafting is  
326 expressed by the following inductive relation:

```
Inductive typ_gtth : list (option gtt)  $\rightarrow$  gtth  $\rightarrow$  gtt  $\rightarrow$  Prop.
```

327

328 `typ_gtth gs gcx gt` means that the grafting of the set of global type trees `gs` onto the context  
329 `gcx` results in the tree `gt`.

330 Furthermore, we have the following lemma that relates global type tree contexts to  
331 balanced global type trees.

332 ► **Lemma 3.17** (Proper Grafting Lemma, [15]). *If  $G$  is a balanced global type tree and  
333 `isgPartsC p G`, then there is a global type tree context  $G_{\text{ctx}}$  and an option list of global type  
334 trees `gs` such that `typ_gtth gs G_{\text{ctx}} G, ~ ishParts p G_{\text{ctx}}` and every Some element of `gs` is of  
335 shape `gtt_end`, `gtt_send p q` or `gtt_send q p`.*

336 3.17 enables us to represent a coinductive global type tree featuring participant `p` as the  
337 grafting of a context that doesn't contain `p` with a list of trees that are all of a certain  
338 structure. If `typ_gtth gs G_{\text{ctx}} G, ~ ishParts p G_{\text{ctx}}` and every Some element of `gs` is of shape  
339 `gtt_end`, `gtt_send p q` or `gtt_send q p`, then we call the pair `gs` and `G_{\text{ctx}}` as the `p`-grafting  
340 of `G`, expressed in Rocq as `typ_p_gtth gs G_{\text{ctx}} p G`. When we don't care about the contents  
341 of `gs` we may just say that `G` is `p`-grafted by `G_{\text{ctx}}`.

342 ► **Remark 3.18.** From now on, all the global type trees we will be referring to are assumed  
343 to be balanced. When talking about the Rocq implementation, any `G : gtt` we mention is  
344 assumed to satisfy the predicate `wfgC G`, expressing that `G` corresponds to some global type  
345 and that `G` is balanced.

346 Furthermore, we will often require that a global type is projectable onto all its participants.  
347 This is captured by the predicate `projectableA G =  $\forall p, \exists T, projectionC G p T$` . As with  
348 `wfgC`, we will be assuming that all types we mention are projectable.

## 349 4 Semantics of Types

350 In this section we introduce local type contexts, and define Labelled Transition System  
351 semantics on these constructs.

352 **4.1 Typing Contexts**

353 We start by defining typing contexts as finite mappings of participants to local type trees.

► **Definition 4.1** (Typing Contexts).

354  $\Gamma ::= \emptyset \mid \Gamma, p : T$

355 Intuitively,  $p : T$  means that participant  $p$  is associated with a process that has the type  
356 tree  $T$ . We write  $\text{dom}(\Gamma)$  to denote the set of participants occurring in  $\Gamma$ . We write  $\Gamma(p)$  for  
357 the type of  $p$  in  $\Gamma$ . We define the composition  $\Gamma_1, \Gamma_2$  iff  $\text{dom}(\Gamma_1) \cap \text{dom}(\Gamma_2) = \emptyset$ .

358 In the Rocq implementation we implement local typing contexts as finite maps of  
359 participants, which are represented as natural numbers, and local type trees.

```
360 Module M  $\triangleq$  MMaps.RBT.Make(Nat).
Module MF  $\triangleq$  MMaps.Facts.Properties Nat M.
Definition tctx: Type  $\triangleq$  M.t lttr.
```

this section  
might go

361 In our implementation, we extensively use the MMMaps library [28], which defines finite maps  
362 using red-black trees and provides many useful functions and theorems about them. We give  
363 some of the most important ones below:

- 364 ■  $M.\text{add } p t g$ : Adds value  $t$  with the key  $p$  to the finite map  $g$ .
- 365 ■  $M.\text{find } p g$ : If the key  $p$  is in the finite map  $g$  and is associated with the value  $t$ , returns  
366  $\text{Some } t$ , else returns  $\text{None}$ .
- 367 ■  $M.\text{In } p g$ : A **Prop** that holds iff  $p$  is in  $g$ .
- 368 ■  $M.\text{mem } p g$ : A **bool** that is equal to **true** if  $p$  is in  $g$ , and **false** otherwise.
- 369 ■  $M.\text{Equal } g1 g2$ : Unfolds to  $\forall p, M.\text{find } p g1 = M.\text{find } p g2$ . For our purposes, if  
370  $M.\text{Equal } g1 g2$  then  $g1$  and  $g2$  are indistinguishable. This is made formal in the MMMaps  
371 library with the assertion that  $M.\text{Equal}$  forms a setoid, and theorems asserting that most  
372 functions on maps respect  $M.\text{Equal}$  by showing that they form **Proper** morphisms [38,  
373 Generalized Rewriting].
- 374 ■  $M.\text{merge } f g1 g2$  where  $f: \text{key} \rightarrow \text{option value} \rightarrow \text{option value} \rightarrow \text{option value}$ :  
375 Creates a finite map whose keys are the keys in  $g1$  or  $g2$ , where the value of the key  $p$  is  
376 defined as  $f p (M.\text{find } p g1) (M.\text{find } p g2)$ .
- 377 ■  $MF.\text{Disjoint } g1 g2$ : A **Prop** that holds iff the keys of  $g1$  and  $g2$  are disjoint.
- 378 ■  $M.\text{Eqdom } g1 g2$ : A **Prop** that holds iff  $g1$  and  $g2$  have the same domains.
- 379 One important function that we define is **disj\_merge**, which merges disjoint maps and is  
380 used to represent the composition of typing contexts.

```
381 Definition both (z: nat) (o:option lttr) (o':option lttr)  $\triangleq$ 
  match o,o' with
  | Some _, None    $\Rightarrow$  o
  | None, Some _    $\Rightarrow$  o'
  | _,_              $\Rightarrow$  None
  end.

Definition disj_merge (g1 g2:tctx) (H:MF.Disjoint g1 g2) : tctx  $\triangleq$ 
  M.merge both g1 g2.
```

382 We give LTS semantics to typing contexts, for which we first define the transition labels.

383 ► **Definition 4.2** (Transition labels). A transition label  $\alpha$  has the following form:

$$\begin{array}{ll} \alpha ::= p : q \& \ell(S) & (\text{p receives } \ell(S) \text{ from q}) \\ | p : q \oplus \ell(S) & (\text{p sends } \ell(S) \text{ to q}) \\ | (p, q)\ell & (\ell \text{ is transmitted from p to q}) \end{array}$$

387

388 and in Rocq

```
Notation opt_lbl ≡ nat.
Inductive label : Type ≡
| lrecv : part → part → option sort → opt_lbl → label
| lsend : part → part → option sort → opt_lbl → label
| lcomm : part → part → opt_lbl → label.
```

389

390 We also define the function  $\text{subject}(\alpha)$  as  $\text{subject}(p : q \& \ell(S)) = \text{subject}(p : q \oplus \ell(S)) = \{p\}$   
 391 and  $\text{subject}((p, q)\ell) = \{p, q\}$ .

392 In Rocq we represent  $\text{subject}(\alpha)$  with the predicate `ispSubj1 p alpha` that holds iff  $p \in$   
 393  $\text{subject}(\alpha)$ .

```
Definition ispSubj1 r l ≡
match l with
| lsend p q _ _ => p=r
| lrecv p q _ _ => p=r
| lcomm p q _ => p=r ∨ q=r
end.
```

394

395 ► **Remark 4.3.** From now on, we assume the all the types in the local type contexts always  
 396 have non-empty continuations. In Rocq terms, if  $T$  is in context `gamma` then `wfltt T` holds.  
 397 This is expressed by the predicate `wfltt : tctx → Prop`.

## 398 4.2 Local Type Context Reductions

399 Next we define labelled transitions for local type contexts.

400 ► **Definition 4.4** (Typing context reductions). The typing context transition  $\xrightarrow{\alpha}$  is defined  
 401 inductively by the following rules:

$$\begin{array}{c} k \in I \\ \hline \frac{}{p : q \& \{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{p : q \& \ell_k(S_k)} p : T_k} [\Gamma - \&] \\ \\ \frac{k \in I}{p : q \oplus \{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{p : q \oplus \ell_k(S_k)} p : T_k} [\Gamma - \oplus] \quad \frac{\Gamma \xrightarrow{\alpha} \Gamma'}{\Gamma, p : T \xrightarrow{\alpha} \Gamma', p : T} [\Gamma -,] \\ \\ \frac{\Gamma_1 \xrightarrow{p : q \oplus \ell(S)} \Gamma'_1 \quad \Gamma_2 \xrightarrow{q : p \& \ell(S')} \Gamma'_2 \quad S \leq S'}{\Gamma_1, \Gamma_2 \xrightarrow{(p, q)\ell} \Gamma'_1, \Gamma'_2} [\Gamma - \oplus \&] \end{array}$$

403 We write  $\Gamma \xrightarrow{\alpha}$  if there exists  $\Gamma'$  such that  $\Gamma \xrightarrow{a} \Gamma'$ . We define a reduction  $\Gamma \rightarrow \Gamma'$  that holds  
 404 iff  $\Gamma \xrightarrow{(p, q)\ell} \Gamma'$  for some  $p, q, \ell$ . We write  $\Gamma \rightarrow$  iff  $\Gamma \rightarrow \Gamma'$  for some  $\Gamma'$ . We write  $\rightarrow^*$  for  
 405 the reflexive transitive closure of  $\rightarrow$ .

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406  $[\Gamma - \oplus]$  and  $[\Gamma - \&]$ , express a single participant sending or receiving.  $[\Gamma - \oplus\&]$  expresses a  
 407 synchronized communication where one participant sends while another receives, and they  
 408 both progress with their continuation.  $[\Gamma - ,]$  shows how to extend a context.

409 In Rocq typing context reductions are defined the following way:

```

Inductive tctxR: tctx → label → tctx → Prop ≡
| Rsend: ∀ p q xs n s T,
  p ≠ q →
  nth n xs = Some (s, T) →
  tctxR (M.add p (litt_send q xs) M.empty) (lsend p q (Some s) n) (M.add p T M.empty)
| Rrecv: ...
| Rcomm: ∀ p q g1' g2' s s' n (H1: MF.Disjoint g1 g2) (H2: MF.Disjoint g1' g2'),
  p ≠ q →
  tctxR g1 (lsend p q (Some s) n) g1' →
  tctxR g2 (lrecv q p (Some s') n) g2' →
  subsort s s' →
  tctxR (disj_merge g1 g2 H1) (lcomm p q n) (disj_merge g1' g2' H2)
| RvarI: ∀ g l g' p T,
  tctxR g l g' →
  M.mem p g = false →
  tctxR (M.add p T g) l (M.add p T g')
| Rstruct: ∀ g1 g1' g2' g2 l, tctxR g1' l g2' →
  M.Equal g1 g1' →
  M.Equal g2 g2' →
  tctxR g1 l g2'.

```

410

411 **Rsend**, **Rrecv** and **RvarI** are straightforward translations of  $[\Gamma - \&]$ ,  $[\Gamma - \oplus]$  and  $[\Gamma -,]$ .  
 412 **Rcomm** captures  $[\Gamma - \oplus\&]$  using the `disj_merge` function we defined for the compositions, and  
 413 requires a proof that the contexts given are disjoint to be applied. **RStruct** captures the  
 414 indistinguishability of local contexts under `M.Equal`.

this can be  
cut

415 We give an example to illustrate typing context reductions.

416 ▶ **Example 4.5.** Let

```

417   T_p = q ⊕ {ℓ_0(int).T_p, ℓ_1(int).end}
418   T_q = p & {ℓ_0(int).T_q, ℓ_1(int).r ⊕ {ℓ_2(int).end}}
419   T_r = q & {ℓ_2(int).end}

```

420

421 and  $\Gamma = p : T_p, q : T_q, r : T_r$ . We have the following one step reductions from  $\Gamma$ :

$$\begin{array}{lll}
 422 \quad \Gamma & \xrightarrow{p:q \oplus \ell_0(\text{int})} & \Gamma & (1) \\
 423 \quad \Gamma & \xrightarrow{q:p \& \ell_0(\text{int})} & \Gamma & (2) \\
 424 \quad \Gamma & \xrightarrow{(p,q)\ell_0} & \Gamma & (3) \\
 425 \quad \Gamma & \xrightarrow{r:q \& \ell_2(\text{int})} & p : T_p, q : T_q, r : \text{end} & (4) \\
 426 \quad \Gamma & \xrightarrow{p:q \oplus \ell_1(\text{int})} & p : \text{end}, q : T_q, r : T_r & (5) \\
 427 \quad \Gamma & \xrightarrow{q:p \& \ell_1(\text{int})} & p : T_p, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : T_r & (6) \\
 428 \quad \Gamma & \xrightarrow{(p,q)\ell_1} & p : \text{end}, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : T_r & (7)
 \end{array}$$

429 and by (3) and (7) we have the synchronized reductions  $\Gamma \rightarrow \Gamma$  and

430  $\Gamma \rightarrow \Gamma' = p : \text{end}, q : r \oplus \{\ell_2(\text{int}).\text{end}\}, r : T_r$ . Further reducing  $\Gamma'$  we get

$$\begin{array}{lll}
 431 \quad \Gamma' & \xrightarrow{q:r \oplus \ell_2(\text{int})} & p : \text{end}, q : \text{end}, r : T_r & (8) \\
 432 \quad \Gamma' & \xrightarrow{r:q \& \ell_2(\text{int})} & p : \text{end}, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : \text{end} & (9) \\
 433 \quad \Gamma' & \xrightarrow{(q,r)\ell_2} & p : \text{end}, q : \text{end}, r : \text{end} & (10)
 \end{array}$$

434 and by (10) we have the reduction  $\Gamma' \rightarrow p : \text{end}, q : \text{end}, r : \text{end} = \Gamma_{\text{end}}$ , which results in a  
 435 context that can't be reduced any further.

436 In Rocq,  $\Gamma$  is defined the following way:

```
Definition prt_p ≡ 0
Definition prt_q ≡ 1
Definition prt_r ≡ 2
CoFixpoint T_p ≡ ltt_send prt_q [Some (sint,T_p); Some (sint,ltt_end); None].
CoFixpoint T_q ≡ ltt_recv prt_p [Some (sint,T_q); Some (sint, ltt_send prt_r [None;None;Some (sint,ltt_end)]); None].
Definition T_r ≡ ltt_recv prt_q [None;None; Some (sint,ltt_end)].
Definition gamma ≡ M.add prt_p T_p (M.add prt_q T_q (M.add prt_r T_r M.empty)).
```

437

438 Now Equation (1) can be stated with the following piece of Rocq

```
Lemma red_1 : tctxR gamma (lsend prt_p prt_q (Some sint) 0) gamma.
```

439

### 440 4.3 Global Type Reductions

441 As with local typing contexts, we can also define reductions for global types.

442 ▶ **Definition 4.6** (Global type reductions). *The global type transition  $\xrightarrow{\alpha}$  is defined coinductively  
 443 as follows.*

$$\frac{\begin{array}{c} k \in I \\ \hline \hline p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{(p,q)\ell_k} G_k \end{array}}{\forall i \in I \quad G_i \xrightarrow{\alpha} G'_i \quad \text{subject}(\alpha) \cap \{p, q\} = \emptyset \quad \forall i \in I \quad \{p, q\} \subseteq \text{pt}\{G_i\}} \quad [\text{GR-CTX}]$$

445 In Rocq  $G \xrightarrow{(p,q)\ell_k} G'$  is expressed with the coinductively defined (via Paco) predicate `gttstepC`  
 446 `G G' p q k`.

447 [GR-⊕&] says that a global type tree with root  $p \rightarrow q$  can transition to any of its children  
 448 corresponding to the message label chosen by  $p$ . [GR-CTX] says that if the subjects of  $\alpha$   
 449 are disjoint from the root and all its children can transition via  $\alpha$ , then the whole tree can  
 450 also transition via  $\alpha$ , with the root remaining the same and just the subtrees of its children  
 451 transitioning.

### 452 4.4 Association Between Local Type Contexts and Global Types

453 We have defined local type contexts which specifies protocols bottom-up by directly describing  
 454 the roles of every participant, and global types, which give a top-down view of the whole  
 455 protocol, and the transition relations on them. We now relate these local and global definitions  
 456 by defining *association* between local type context and global types.

457 ▶ **Definition 4.7** (Association). *A local typing context  $\Gamma$  is associated with a global type tree  
 458  $G$ , written  $\Gamma \sqsubseteq G$ , if the following hold:*

- 459 ■ For all  $p \in \text{pt}(G)$ ,  $p \in \text{dom}(\Gamma)$  and  $\Gamma(p) \leqslant G \upharpoonright p$ .
- 460 ■ For all  $p \notin \text{pt}(G)$ , either  $p \notin \text{dom}(\Gamma)$  or  $\Gamma(p) = \text{end}$ .

461 In Rocq this is defined with the following:

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```

462 Definition assoc (g: tctx) (gt:gtt) △
463   ⋀ p, (isgPartsC p gt → ∃ Tp, M.find p g=Some Tp ∧
464     issubProj Tp gt p) ∧
465     (¬ isgPartsC p gt → ∀ Tpx, M.find p g = Some Tpx → Tpx=ltt_end).

```

462

463 Informally,  $\Gamma \sqsubseteq G$  says that the local type trees in  $\Gamma$  obey the specification described by the  
464 global type tree  $G$ .

465 ► **Example 4.8.** In Example 4.5, we have that  $\Gamma \sqsubseteq G$  where

466  $G := p \rightarrow q : \{\ell_0(\text{int}).G, \ell_1(\text{int}).q \rightarrow r : \{\ell_2(\text{int}).\text{end}\}\}$

467 Note that  $G$  is the global type that was shown to be unbalanced in Example 3.14. In fact,  
468 we have  $\Gamma(s) = G \upharpoonright s$  for  $s \in \{p, q, r\}$ . Similarly, we have  $\Gamma' \sqsubseteq G'$  where

469  $G' := q \rightarrow r : \{\ell_2(\text{int}).\text{end}\}$

470 It is desirable to have the association be preserved under local type context and global  
471 type reductions, that is, when one of the associated constructs "takes a step" so should the  
472 other. We formalise this property with soundness and completeness theorems.

473 ► **Theorem 4.9 (Soundness of Association).** *If  $\text{assoc } \text{gamma } G$  and  $\text{gttstepC } G \ G' \ p \ q \ \text{ell}$ ,  
474 then there is a local type context  $\text{gamma}'$ , a global type tree  $G''$ , and a message label  $\text{ell}'$  such  
475 that  $\text{gttStepC } G \ G'' \ p \ q \ \text{ell}'$ ,  $\text{assoc } \text{gamma}' \ G''$  and  $\text{tctxR } \text{gamma} (\text{lcomm } p \ q \ \text{ell}') \ \text{gamma}'$ .*

476 ► **Theorem 4.10 (Completeness of Association).** *If  $\text{assoc } \text{gamma } G$  and  $\text{tctxR } \text{gamma} (\text{lcomm } p \ q \ \text{ell}) \ \text{gamma}'$ ,  
477 then there exists a global type tree  $G'$  such that  $\text{assoc } \text{gamma}' \ G'$  and  $\text{gttstepC } G \ G' \ p \ q \ \text{ell}$ .*

478 ► **Remark 4.11.** Note that in the statement of soundness we allow the message label for the  
479 local type context reduction to be different to the message label for the global type reduction.  
480 This is because our use of subtyping in association causes the entries in the local type context  
481 to be less expressive than the types obtained by projecting the global type. For example  
482 consider

484  $\Gamma = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$

485 and

486  $G = p \rightarrow q : \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$

487 We have  $\Gamma \sqsubseteq G$  and  $G \xrightarrow{(p,q)\ell_1}$ . However  $\Gamma \xrightarrow{(p,q)\ell_1}$  is not a valid transition. Note that  
488 soundness still requires that  $\Gamma \xrightarrow{(p,q)\ell_x}$  for some  $x$ , which is satisfied in this case by the valid  
489 transition  $\Gamma \xrightarrow{(p,q)\ell_0}$ .

## 490 5 Properties of Local Type Contexts

491 We now use the LTS semantics to define some desirable properties on type contexts and their  
492 reduction sequences. Namely, we formulate safety, liveness and fairness properties based on  
493 the definitions in [48].

494 **5.1 Safety**

495 We start by defining safety:

496 ▶ **Definition 5.1** (Safe Type Contexts). *We define `safe` coinductively as the largest set of type contexts such that whenever we have  $\Gamma \in \text{safe}$ :*

$$\begin{array}{c} \Gamma \xrightarrow{p:q \oplus \ell(S)} \text{and } \Gamma \xrightarrow{q:p \& \ell'(S')} \text{implies } \Gamma \xrightarrow{(p,q)\ell} \\ \Gamma \rightarrow \Gamma' \text{ implies } \Gamma' \in \text{safe} \end{array} \quad \begin{array}{l} [\text{S-}\&\oplus] \\ [\text{S-}\rightarrow] \end{array}$$

500 We write `safe`( $\Gamma$ ) if  $\Gamma \in \text{safe}$ .

501 Informally, safety says that if  $p$  and  $q$  communicate with each other and  $p$  requests to send a  
 502 value using message label  $\ell$ , then  $q$  should be able to receive that message label. Furthermore,  
 503 this property should be preserved under any typing context reductions. Being a coinductive  
 504 property, to show that `safe`( $\Gamma$ ) it suffices to give a set  $\varphi$  such that  $\Gamma \in \varphi$  and  $\varphi$  satisfies  
 505 `[S-]\&\oplus` and `[S-]`. This amounts to showing that every element of  $\Gamma'$  of the set of reducts  
 506 of  $\Gamma$ , defined  $\varphi := \{\Gamma' \mid \Gamma \rightarrow^* \Gamma'\}$ , satisfies `[S-]\&\oplus`. We illustrate this with some examples:

507 ▶ **Example 5.2.** Let  $\Gamma_A = p : \text{end}$ , then  $\Gamma_A$  is safe: the set of reducts is  $\{\Gamma_A\}$  and this set  
 508 respects `[S-]\&\oplus` as its elements can't reduce, and it respects `[S-]` as it's closed with  
 509 respect to  $\rightarrow$ .

510 Let  $\Gamma_B = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{nat}).\text{end}\}$ .  $\Gamma_B$  is not safe as as we have  
 511  $\Gamma_B \xrightarrow{p:q \oplus \ell_0}$  and  $\Gamma_B \xrightarrow{q:p \& \ell_0}$  but we don't have  $\Gamma_B \xrightarrow{(p,q)\ell_0}$  as `int`  $\not\leq \text{nat}$ .

512 Let  $\Gamma_C = p : q \oplus \{\ell_1(\text{int}).q \oplus \{\ell_0(\text{int}).\text{end}\}\}, q : p \& \{\ell_1(\text{int}).p \& \{\ell_0(\text{nat}).\text{end}\}\}$ .  $\Gamma_C$  is not  
 513 safe as we have  $\Gamma_C \xrightarrow{(p,q)\ell_1} \Gamma_B$  and  $\Gamma_B$  is not safe.

514 Consider  $\Gamma$  from Example 4.5. All the reducts satisfy `[S-]\&\oplus`, hence  $\Gamma$  is safe.

515 Being a coinductive property, `safe` can be expressed in Rocq using Paco:

```
Definition weak_safety (c: tctx) ≡
  ∀ p q s s' k k', tctxRE (lsend p q (Some s) k) c → tctxRE (lrecv q p (Some s') k') c →
    tctxRE (lcomm p q k) c.

Inductive safe (R: tctx → Prop): tctx → Prop ≡
  | safety_red : ∀ c, weak_safety c → (∀ p q c' k,
    tctxR c (lcomm p q k) c' → R c')
    → safe R c.

Definition safeC c ≡ paco1 safe bot1 c.
```

516  
 517 `weak_safety` corresponds `[S-]\&\oplus` where `tctxRE 1 c` is shorthand for  $\exists c', \text{tctxR } c \ 1 \ c'$ . In  
 518 the inductive `safe`, the constructor `safety_red` corresponds to `[S-]`. Then `safeC` is defined  
 519 as the greatest fixed point of `safe`.

520 We have that local type contexts with associated global types are always safe.

521 ▶ **Theorem 5.3** (Safety by Association). *If `assoc gamma g` then `safeC gamma`.*

522 **Proof.** `[S-]\&\oplus` follows by inverting the projection and the subtyping, and `[S-]` holds by  
 523 Theorem 4.10. ◀

524 **5.2 Linear Time Properties**

525 We now focus our attention to fairness and liveness. In this paper we have defined LTS  
 526 semantics on three types of constructs: sessions, local type contexts and global types. We will  
 527 appropriately define liveness properties on all three of these systems, so it will be convenient

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528 to define a general notion of valid reduction paths (also known as *runs* or *executions* [2, 529 2.1.1]) along with a general statement of some Linear Temporal Logic [35] constructs.

530 We start by defining the general notion of a reduction path [2, Def. 2.6] using possibly 531 infinite cosequences.

532 ▶ **Definition 5.4** (Reduction Paths). *A finite reduction path is an alternating sequence of 533 states and labels  $S_0\lambda_0S_1\lambda_1\dots S_n$  such that  $S_i \xrightarrow{\lambda_i} S_{i+1}$  for all  $0 \leq i < n$ . An infinite reduction 534 path is an alternating sequence of states and labels  $S_0\lambda_0S_1\lambda_1\dots S_n$  such that  $S_i \xrightarrow{\lambda_i} S_{i+1}$  for 535 all  $0 \leq i$ .*

536 We won't be distinguishing between finite and infinite reduction paths and refer to them 537 both as just *(reduction) paths*. Note that the above definition is general for LTSs, by *state* we 538 will be referring to local type contexts, global types or sessions, depending on the contexts.

539 In Rocq, we define reduction paths using possibly infinite cosequences of pairs of states 540 (which will be `tctx`, `gtt` or `session` in this paper) and option `label`:

```
541
CoInductive coseq (A: Type): Type ≡
| conil : coseq A
| cocons: A → coseq A → coseq A.
Notation local_path ≡ (coseq (tctx*option label)).
Notation global_path ≡ (coseq (gtt*option label)).
Notation session_path ≡ (coseq (session*option label)).
```

542 Note the use of `option label`, where we employ `None` to represent transitions into the 543 end of the list, `conil`. For example,  $S_0 \xrightarrow{\lambda_0} S_1 \xrightarrow{\lambda_1} S_2$  would be represented in 544 Rocq as `cocons (s_0, Some lambda_0)` (`cocons (s_1, Some lambda_1)`) (`cocons (s_2, None)` 545 `conil`), and `cocons (s_1, Some lambda)` `conil` would not be considered a valid path.

546 Note that this definition doesn't require the transitions in the `coseq` to actually be valid. 547 We achieve that using the coinductive predicate `valid_path_GC A:Type (V: A → label → 548 A → Prop)`, where the parameter `V` is a *transition validity predicate*, capturing if a one-step 549 transition is valid. For all `V`, `valid_path_GC V conil` and  $\forall x, \text{valid\_path\_GC } V (\text{cocons } (x, 550 \text{None}) \text{ conil})$  hold, and `valid_path_GC V cocons (x, Some l)` (`cocons (y, l')` `xs`) holds if 551 the transition validity predicate `V x l y` and `valid_path_GC V (cocons (y, l') xs)` hold. We 552 use different `V` based on our application, for example in the context of local type context 553 reductions the predicate is defined as follows:

```
554
Definition local_path_vcriteria ≡ (fun x1 l x2 =>
match (x1,l,x2) with
| ((g1,lcomm p q ell),g2) => tctxR g1 (lcomm p q ell) g2
| _ => False
end
).
```

555 That is, we only allow synchronised communications in a valid local type context reduction 556 path.

557 We can now define fairness and liveness on paths. We first restate the definition of fairness 558 and liveness for local type context paths from [48], and use that to motivate our use of more 559 general LTL constructs.

560 ▶ **Definition 5.5** (Fair, Live Paths). *We say that a local type context path  $\Gamma_0 \xrightarrow{\lambda_0} \Gamma_1 \xrightarrow{\lambda_1} \dots$  is 561 fair if, for all  $n \in N : \Gamma_n \xrightarrow{(p,q)\ell} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \lambda_k = (p, q)\ell'$ , and 562 therefore  $\Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$ . We say that a path  $(\Gamma_n)_{n \in N}$  is live iff,  $\forall n \in N$ :*

563 1.  $\forall n \in N : \Gamma_n \xrightarrow{p:q \oplus \ell(S)} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$   
 564 2.  $\forall n \in N : \Gamma_n \xrightarrow{q:p \& \ell(S)} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$

565 ► **Definition 5.6** (Live Local Type Context). A local type context  $\Gamma$  is live if whenever  $\Gamma \rightarrow^* \Gamma'$ ,  
 566 every fair path starting from  $\Gamma'$  is also live.

567 In general, fairness assumptions are used so that only the reduction sequences that are  
 568 "well-behaved" in some sense are considered when formulating other properties [46]. For our  
 569 purposes we define fairness such that, in a fair path, if at any point  $p$  attempts to send to  $q$   
 570 and  $q$  attempts to send to  $p$  then eventually a communication between  $p$  and  $q$  takes place.  
 571 Then live paths are defined to be paths such that whenever  $p$  attempts to send to  $q$  or  $q$   
 572 attempts to send to  $p$ , eventually a  $p$  to  $q$  communication takes place. Informally, this means  
 573 that every communication request is eventually answered. Then live typing contexts are  
 574 defined to be the  $\Gamma$  where all fair paths that start from  $\Gamma$  are also live.

575 ► **Example 5.7.** Consider the contexts  $\Gamma, \Gamma'$  and  $\Gamma_{\text{end}}$  from Example 4.5. One possible  
 576 reduction path is  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \dots$ . Denote this path as  $(\Gamma_n)_{n \in \mathbb{N}}$ , where  $\Gamma_n = \Gamma$  for  
 577 all  $n \in \mathbb{N}$ . By reductions (3) and (7), we have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0}$  and  $\Gamma_n \xrightarrow{(p,q)\ell_1}$  as the only  
 578 possible synchronised reductions from  $\Gamma_n$ . Accordingly, we also have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0} \Gamma_{n+1}$  in  
 579 the path so this path is fair. However, this path is not live as we have by reduction (4) that  
 580  $\Gamma_1 \xrightarrow{r:q \& \ell_2(\text{int})}$  but there is no  $n, \ell'$  with  $\Gamma_n \xrightarrow{(q,r)\ell'} \Gamma_{n+1}$  in the path. Consequently,  $\Gamma$  is not  
 581 a live type context.

582 Now consider the reduction path  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \Gamma' \xrightarrow{(q,r)\ell_2} \Gamma_{\text{end}}$ , denoted by  
 583  $(\Gamma'_n)_{n \in \{1..4\}}$ . This path is fair with respect to reductions from  $\Gamma'_1$  and  $\Gamma'_2$  as shown above,  
 584 and it's fair with respect to reductions from  $\Gamma'_3$  as reduction (10) is the only one available  
 585 from  $\Gamma'_3$  and we have  $\Gamma'_3 \xrightarrow{(q,r)\ell_2} \Gamma'_4$  as needed. Furthermore, this path is live: the reduction  
 586  $\Gamma_1 \xrightarrow{r:q \& \ell_2(\text{int})}$  that causes  $(\Gamma_n)$  to fail liveness is handled by the reduction  $\Gamma'_3 \xrightarrow{(q,r)\ell_2} \Gamma'_4$  in  
 587 this case.

588 Definition 5.5 , while intuitive, is not really convenient for a Rocq formalisation due to  
 589 the existential statements contained in them. It would be ideal if these properties could  
 590 be expressed as a least or greatest fixed point, which could then be formalised via Rocq's  
 591 inductive or coinductive (via Paco) types. To do that, we turn to Linear Temporal Logic  
 592 (LTL) [35].

these may go

593 ► **Definition 5.8** (Linear Temporal Logic). The syntax of LTL formulas  $\psi$  are defined inductively with boolean connectives  $\wedge, \vee, \neg$ , atomic propositions  $P, Q, \dots$ , and temporal operators  
 594  $\square$  (always),  $\diamond$  (eventually),  $\circ$  next and  $\mathcal{U}$ . Atomic propositions are evaluated over pairs  
 595 of states and transitions  $(S, i, \lambda_i)$  (for the final state  $S_n$  in a finite reduction path we take  
 596 that there is a null transition from  $S_n$ , corresponding to a None transition in Rocq) while  
 597 LTL formulas are evaluated over reduction paths <sup>1</sup>. The satisfaction relation  $\rho \models \psi$  (where  
 598  $\rho = S_0 \xrightarrow{\lambda_0} S_1 \dots$  is a reduction path, and  $\rho_i$  is the suffix of  $\rho$  starting from index  $i$ ) is given  
 599 by the following:

- 601 ■  $\rho \models P \iff (S_0, \lambda_0) \models P$ .
- 602 ■  $\rho \models \psi_1 \wedge \psi_2 \iff \rho \models \psi_1 \text{ and } \rho \models \psi_2$
- 603 ■  $\rho \models \neg \psi_1 \iff \text{not } \rho \models \psi_1$
- 604 ■  $\rho \models \circ \psi_1 \iff \rho_1 \models \psi_1$
- 605 ■  $\rho \models \diamond \psi_1 \iff \exists k \geq 0, \rho_k \models \psi_1$

---

<sup>1</sup> These semantics assume that the reduction paths are infinite. In our implementation we do a slight-of-hand and, for the purposes of the  $\square$  operator, treat a terminating path as entering a dump state  $S_\perp$  (which corresponds to `conil` in Rocq) and looping there infinitely.

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- 606 ■  $\rho \models \square \psi_1 \iff \forall k \geq 0, \rho_k \models \psi_1$   
 607 ■  $\rho \models \psi_1 \cup \psi_2 \iff \exists k \geq 0, \rho_k \models \psi_2 \text{ and } \forall j < k, \rho_j \models \psi_1$

608 Fairness and liveness for local type context paths Definition 5.5 can be defined in Linear  
 609 Temporal Logic (LTL). Specifically, define atomic propositions  $\text{enabledComm}_{p,q,\ell}$  such that  
 610  $(\Gamma, \lambda) \models \text{enabledComm}_{p,q,\ell} \iff \Gamma \xrightarrow{(p,q)\ell}$ , and  $\text{headComm}_{p,q}$  that holds iff  $\lambda = (p, q)\ell$  for some  
 611  $\ell$ . Then fairness can be expressed in LTL with: for all  $p, q$ ,

$$612 \quad \square(\text{enabledComm}_{p,q,\ell} \implies \Diamond(\text{headComm}_{p,q}))$$

613 Similarly, by defining  $\text{enabledSend}_{p,q,\ell,S}$  that holds iff  $\Gamma \xrightarrow{p:q \oplus \ell(S)}$  and analogously  
 614  $\text{enabledRecv}$ , liveness can be defined as

$$615 \quad \square((\text{enabledSend}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{p,q})) \wedge \\ 616 \quad (\text{enabledRecv}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{q,p})))$$

617 The reason we defined the properties using LTL properties is that the operators  $\Diamond$  and  $\square$   
 618 can be characterised as least and greatest fixed points using their expansion laws [2, Chapter  
 619 5.14]:

- 620 ■  $\Diamond P$  is the least solution to  $\Diamond P \equiv P \vee \Diamond(P)$   
 621 ■  $\Box P$  is the greatest solution to  $\Box P \equiv P \wedge \Box(P)$   
 622 ■  $P \cup Q$  is the least solution to  $P \cup Q \equiv Q \vee (P \wedge \Diamond(P \cup Q))$   
 623 Thus fairness and liveness correspond to greatest fixed points, which can be defined coinductively.

625 In Rocq, we implement the LTL operators  $\Diamond$  and  $\Box$  inductively and coinductively (with  
 626 Paco), in the following way:

```
Inductive eventually {A: Type} (F: coseq A → Prop): coseq A → Prop ≡
| evh: ∀ xs, F xs → eventually F xs
| evc: ∀ xs, eventually F xs → eventually F (cocons x xs).

Inductive until {A: Type} (F: coseq A → Prop) (G: coseq A → Prop) : coseq A → Prop ≡
| untilh : ∀ xs, G xs → until F G xs
| untilc: ∀ xs, F (cocons x xs) → until F G xs → until F G (cocons x xs).

Inductive always {A: Type} (F: coseq A → Prop) (R: coseq A → Prop): coseq A → Prop ≡
| alwn: F conil → alwaysG F R conil
| alwc: ∀ xs, F (cocons x xs) → R xs → alwaysG F R (cocons x xs).

Definition alwaysCG {A:Type} (F: coseq A → Prop) ≡ paco1 (alwaysG F) bot.
```

627 Note the use of the constructor `alwn` in the definition `alwaysG` to handle finite paths.

629 Using these LTL constructs we can define fairness and liveness on paths.

```
Definition fair_path_local_inner (pt: local_path): Prop ≡
  ∀ p q n, to_path_prop (tctxRE (lcomm p q n)) False pt → eventually (headComm p q) pt.
Definition fair_path ≡ alwaysG fair_path_local_inner.

Definition live_path_inner (pt: local_path) : Prop ≡ ∀ p q s n,
  (to_path_prop (tctxRE (lsend p q (Some s) n)) False pt → eventually (headComm p q) pt) ∧
  (to_path_prop (tctxRE (lrecv p q (Some s) n)) False pt → eventually (headComm q p) pt).
Definition live_path ≡ alwaysG live_path_inner.
```

631 For instance, the fairness of the first reduction path for  $\Gamma$  given in Example 5.7 can be  
 632 expressed with the following:

```
CoFixpoint inf_pq_path ≡ cocons (gamma, (lcomm prt_p prt_q) 0) inf_pq_path.
Theorem inf_pq_path_fair : fairness inf_pq_path.
```

633

634

635 ► Remark 5.9. Note that the LTS of local type contexts has the property that, once a  
 636 transition between participants  $p$  and  $q$  is enabled, it stays enabled until a transition  
 637 between  $p$  and  $q$  occurs. This makes `fair_path` equivalent to the standard formulas [2,  
 638 Definition 5.25] for strong fairness ( $\square \Diamond \text{enabledComm}_{p,q} \implies \square \Diamond \text{headComm}_{p,q}$ ) and weak  
 639 fairness ( $\Diamond \Box \text{enabledComm}_{p,q} \implies \square \Diamond \text{headComm}_{p,q}$ ).

### 640 5.3 Rocq Proof of Liveness by Association

641 We now detail the Rocq Proof that associated local type contexts are also live.

642 ► Remark 5.10. We once again emphasise that all global types mentioned are assumed to  
 643 be balanced (Definition 3.13). Indeed association with non-balanced global types doesn't  
 644 guarantee liveness. As an example, consider  $\Gamma$  from Example 4.5, which is associated with  $G$   
 645 from Example 4.8. Yet we have shown in Example 5.7 that  $\Gamma$  is not a live type context. This  
 646 is not surprising as Example 3.14 shows that  $G$  is not balanced.

647 Our proof proceeds in the following way:

- 648 1. Formulate an analogue of fairness and liveness for global type reduction paths.
- 649 2. Prove that all global types are live for this notion of liveness.
- 650 3. Show that if  $G : \text{gtt}$  is live and `assoc gamma G`, then `gamma` is also live.

651 First we define fairness and liveness for global types, analogous to Definition 5.5.

652 ► **Definition 5.11** (Fairness and Liveness for Global Types). *We say that the label  $\lambda$  is enabled  
 653 at  $G$  if the context  $\{p_i : G \mid p_i \in \text{pt}\{G\}\}$  can transition via  $\lambda$ . More explicitly, and in  
 654 Rocq terms,*

```
655 Definition global_label_enabled 1 g ≡ match 1 with
  | lsend p q (Some s) n ⇒ ∃ xs g',
    projectionC g p (litt_send q xs) ∧ onth n xs=Some (s,g')
  | lrecv p q (Some s) n ⇒ ∃ xs g',
    projectionC g p (litt_recv q xs) ∧ onth n xs=Some (s,g')
  | lcomm p q n ⇒ ∃ g', gttstepC g g' p q n
  | _ ⇒ False end.
```

655

656 With this definition of enabling, fairness and liveness are defined exactly as in Definition 5.5.  
 657 A global type reduction path is fair if the following holds:

658  $\square(\text{enabledComm}_{p,q,\ell} \implies \Diamond(\text{headComm}_{p,q}))$

659 and liveness is expressed with the following:

660  $\square((\text{enabledSend}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{p,q})) \wedge$   
 661  $(\text{enabledRecv}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{q,p})))$

662 where `enabledSend`, `enabledRecv` and `enabledComm` correspond to the match arms in the definition  
 663 of `global_label_enabled` (Note that the names `enabledSend` and `enabledRecv` are chosen  
 664 for consistency with Definition 5.5, there aren't actually any transitions with label  $p : q \oplus \ell(S)$   
 665 in the transition system for global types). A global type  $G$  is live if whenever  $G \rightarrow^* G'$ , any  
 666 fair path starting from  $G'$  is also live.

667 Now our goal is to prove that all (well-formed, balanced, projectable)  $G$  are live under this  
 668 definition. This is where the notion of grafting (Definition 3.13) becomes important, as the  
 669 proof essentially proceeds by well-founded induction on the height of the tree obtained by  
 670 grafting.

671 We first introduce some definitions on global type tree contexts (Definition 3.15).

## 23:22 Dummy short title

672 ► **Definition 5.12** (Global Type Context Equality, Proper Prefixes and Height). We consider  
 673 two global type tree contexts to be equal if they are the same up to the relabelling the indices  
 674 of their leaves. More precisely,

```
Inductive gtth_eq : gtth → gtth → Prop ≡
| gtth_eq_hol : ∀ n m, gtth_eq (gtth_hol n) (gtth_hol m)
| gtth_eq_send : ∀ xs ys p q,
  Forall2 (fun u v => (u=None ∧ v=None) ∨ (exists s g1 g2, u=Some (s,g1) ∧ v=Some (s,g2) ∧ gtth_eq g1 g2)) xs ys →
  gtth_eq (gtth_send p q xs) (gtth_send p q ys).
```

675

676 Informally, we say that the global type context  $\mathbb{G}'$  is a proper prefix of  $\mathbb{G}$  if we can obtain  $\mathbb{G}'$   
 677 by changing some subtrees of  $\mathbb{G}$  with context holes such that none of the holes in  $\mathbb{G}$  are present  
 678 in  $\mathbb{G}'$ . Alternatively, we can characterise it as akin to `gtth_eq` except where the context holes  
 679 in  $\mathbb{G}'$  are assumed to be "jokers" that can be matched with any global type context that's not  
 680 just a context hole. In Rocq:

```
Inductive is_tree_proper_prefix : gtth → gtth → Prop ≡
| tree_proper_prefix_hole : ∀ n p q xs, is_tree_proper_prefix (gtth_hol n) (gtth_send p q xs)
| tree_proper_prefix_tree : ∀ p q xs ys,
  Forall2 (fun u v => (u=None ∧ v=None)
    ∨ exists s g1 g2, u=Some (s, g1) ∧ v=Some (s, g2) ∧
    is_tree_proper_prefix g1 g2
  ) xs ys →
  is_tree_proper_prefix (gtth_send p q xs) (gtth_send p q ys).
```

681

give examples

682

683 We also define a function `gtth_height` : `gtth` → `Nat` that computes the height [13] of a  
 684 global type tree context. Context holes i.e. leaves have height 0, and the height of an internal  
 685 node is the maximum of the height of their children plus one.

```
Fixpoint gtth_height (gh : gtth) : nat ≡
match gh with
| gtth_hol n => 0
| gtth_send p q xs =>
  list_max (map (fun u=> match u with
    | None => 0
    | Some (s,x) => gtth_height x end) xs) + 1 end.
```

686

687 `gtth_height`, `gtth_eq` and `is_tree_proper_prefix` interact in the expected way.

688 ► **Lemma 5.13.** If  $\text{gtth\_eq } \mathbf{g} \mathbf{x} \mathbf{g}'$  then  $\text{gtth\_height } \mathbf{g} = \text{gtth\_height } \mathbf{g}'$ .

689 ► **Lemma 5.14.** If  $\text{is\_tree\_proper\_prefix } \mathbf{g} \mathbf{x} \mathbf{g}'$  then  $\text{gtth\_height } \mathbf{g} < \text{gtth\_height } \mathbf{g}'$ .

690 Our motivation for introducing these constructs on global type tree contexts is the following  
 691 *multigrafting* lemma:

692 ► **Lemma 5.15** (Multigrafting). Let `projectionC g p (ltt_send q xs)` or `projectionC g p (ltt_recv q xs)`, `projectionC g q Tq`,  $\mathbf{g}$  is  $\mathbf{p}$ -grafted by  $\mathbf{ctx}_\mathbf{p}$  and  $\mathbf{gs}_\mathbf{p}$ , and  $\mathbf{g}$  is  $\mathbf{q}$ -grafted by  $\mathbf{ctx}_\mathbf{q}$  and  $\mathbf{gs}_\mathbf{q}$ . Then either `is_tree_proper_prefix ctx_q ctx_p` or `gtth_eq ctx_p ctx_q`. Furthermore, if `gtth_eq ctx_p ctx_q` then `projectionC g q (ltt_send p xsq)` or `projectionC g q (ltt_recv p xsq)` for some  $\mathbf{x}$ .

697 **Proof.** By induction on the global type context `ctx_p`.

698

699 We also have that global type reductions that don't involve participant  $\mathbf{p}$  can't increase  
 700 the height of the  $\mathbf{p}$ -grafting, established by the following lemma:

701 ► **Lemma 5.16.** Suppose  $\mathbf{g} : \mathbf{gtt}$  is  $\mathbf{p}$ -grafted by  $\mathbf{g}' : \mathbf{gtt}$  and  $\mathbf{gs} : \mathbf{list}(\mathbf{option} \mathbf{gtt})$ , `gttstepC`  
 702  $\mathbf{g} \mathbf{g}' \mathbf{s} \mathbf{t} \mathbf{ell}$  where  $\mathbf{p} \neq \mathbf{s}$  and  $\mathbf{p} \neq \mathbf{t}$ , and  $\mathbf{g}'$  is  $\mathbf{p}$ -grafted by  $\mathbf{g}'$  and  $\mathbf{gs}'$ . Then

- 703    (i) If  $\text{ishParts } s \text{ } gx$  or  $\text{ishParts } t \text{ } gx$ , then  $\text{gtth\_height } gx' < \text{gtth\_height } gx$   
 704    (ii) In general,  $\text{gtth\_height } gx' \leq \text{gtth\_height } gx$

705    **Proof.** We define a inductive predicate  $\text{gttstepH} : \text{gtth} \rightarrow \text{part} \rightarrow \text{part} \rightarrow \text{part} \rightarrow \text{gtth} \rightarrow \text{Prop}$  with the property that if  $\text{gttstepC } g \text{ } g' \text{ } p \text{ } q \text{ } \text{ell}$  for some  $r \neq p, q$ , and tree contexts  $gx$  and  $gx'$   $r$ -graft  $g$  and  $g'$  respectively, then  $\text{gttstepH } gx \text{ } p \text{ } q \text{ } \text{ell } gx'$  ( $\text{gttstepH\_consistent}$ ). The results then follow by induction on the relation  $\text{gttstepH } gx \text{ } s \text{ } t \text{ } \text{ell } gx'$ . ◀

710    We can now prove the liveness of global types. The bulk of the work goes in to proving the  
 711    following lemma:

- 712    ▶ **Lemma 5.17.** Let  $xs$  be a fair global type reduction path starting with  $g$ .  
 713    (i) If  $\text{projectionC } g \text{ } p \text{ } (\text{ltt\_send } q \text{ } xs)$  for some  $xsp$ , then a  $\text{lcomm } p \text{ } q \text{ } \text{ell}$  transition  
     takes place in  $xs$  for some message label  $\text{ell}$ .  
 715    (ii) If  $\text{projectionC } g \text{ } p \text{ } (\text{ltt\_recv } q \text{ } xs)$  for some  $xsp$ , then a  $\text{lcomm } q \text{ } p \text{ } \text{ell}$  transition  
     takes place in  $xs$  for some message label  $\text{ell}$ .

717    **Proof.** We outline the proof for (i), the case for (ii) is symmetric.

718    Rephrasing slightly, we prove the following: forall  $n : \text{nat}$  and global type reduction path  
 719     $xs$ , if the head  $g$  of  $xs$  is  $p$ -grafted by  $\text{ctx\_p}$  and  $\text{gtth\_height } \text{ctx\_p} = n$ , the lemma holds.  
 720    We proceed by strong induction on  $n$ , that is, the tree context height of  $\text{ctx\_p}$ .

721    Let  $(\text{ctx\_q}, \text{gs\_q})$  be the  $q$ -grafting of  $g$ . By Lemma 5.15 we have that either  $\text{gtth\_eq}$   
 722     $\text{ctx\_q } \text{ctx\_p}$  (a) or  $\text{is\_tree\_proper\_prefix } \text{ctx\_q } \text{ctx\_p}$  (b). In case (a), we have that  
 723     $\text{projectionC } g \text{ } q \text{ } (\text{ltt\_recv } p \text{ } xsq)$ , hence by (cite simul subproj or something here) and  
 724    fairness of  $xs$ , we have that a  $\text{lcomm } p \text{ } q \text{ } \text{ell}$  transition eventually occurs in  $xs$ , as required.

725    In case (b), by Lemma 5.14 we have  $\text{gtth\_height } \text{ctx\_q} < \text{gtth\_height } \text{ctx\_p}$ , so by the  
 726    induction hypothesis a transition involving  $q$  eventually happens in  $xs$ . Assume wlog that  
 727    this transition has label  $\text{lcomm } q \text{ } r \text{ } \text{ell}$ , or, in the pen-and-paper notation,  $(q, r)\ell$ . Now  
 728    consider the prefix of  $xs$  where the transition happens:  $g \xrightarrow{\lambda} g_1 \rightarrow \dots g' \xrightarrow{(q,r)\ell} g''$ . Let  
 729     $g'$  be  $p$ -grafted by the global tree context  $\text{ctx}'_p$ , and  $g''$  by  $\text{ctx}''_p$ . By Lemma 5.16,  
 730     $\text{gtth\_height } \text{ctx}'_p < \text{gtth\_height } \text{ctx}'_p \leq \text{gtth\_height } \text{ctx}_p$ . Then, by the induction  
 731    hypothesis, the suffix of  $xs$  starting with  $g''$  must eventually have a transition  $\text{lcomm } p \text{ } q \text{ } \text{ell}'$ ,  
 732    for some  $\text{ell}'$ , therefore  $xs$  eventually has the desired transition too. ◀

733    Lemma 5.17 proves that any fair global type reduction path is also a live path, from which  
 734    the liveness of global types immediately follows.

735    ▶ **Corollary 5.18.** All global types are live.

736    We can now leverage the simulation established by Theorem 4.10 to prove the liveness  
 737    (Definition 5.5) of local typing context reduction paths.

738    We start by lifting association (Definition 4.7) to reduction paths.

- 739    ▶ **Definition 5.19 (Path Association).** Path association is defined coinductively by the following  
 740    rules:  
 741    (i) The empty path is associated with the empty path.  
 742    (ii) If  $\Gamma \xrightarrow{\lambda_0} \rho$  is path-associated with  $G \xrightarrow{\lambda_1} \rho'$  where ( $\rho$  and  $\rho'$  are local and global reduction  
     paths, respectively), then  $\lambda_0 = \lambda_1$  and  $\rho$  is path-associated with  $\rho'$ .

```

Variant path_assoc (R:local_path → global_path → Prop): local_path → global_path → Prop △
| path_assoc_nil : path_assoc R conil conil
| path_assoc_xs : ∀ g gamma l xs ys, assoc gamma g → R xs ys →
path_assoc R (cocons (gamma, l) xs) (cocons (g, l) ys).

Definition path_assocC △= paco2 path_assoc bot2.

```

744

745 Informally, a local type context reduction path is path-associated with a global type reduction  
746 path if their matching elements are associated and have the same transition labels.

747 We show that reduction paths starting with associated local types can be path-associated.  
748

749 ▶ **Lemma 5.20.** *If  $\text{assoc } \gamma g$ , then any local type context reduction path starting with  
750  $\gamma$  is associated with a global type reduction path starting with  $g$ .*

maybe just 751 give the defn 752  
 definition as a 753  
 cofixpoint? 754

755 ▶ **Proof.** Let the local reduction path be  $\gamma \xrightarrow{\lambda} \gamma_1 \xrightarrow{\lambda_1} \dots$ . We construct a path-  
 756 associated global reduction path. By Theorem 4.10 there is a  $g_1 : \text{gtt}$  such that  $g \xrightarrow{\lambda} g_1$   
 and  $\text{assoc } \gamma_1 g_1$ , hence the path-associated global type reduction path starts with  $g \xrightarrow{\lambda} g_1$ . We can repeat this procedure to the remaining path starting with  $\gamma_1 \xrightarrow{\lambda_1} \dots$   
 757 to get  $g_2 : \text{gtt}$  such that  $\text{assoc } \gamma_2 g_2$  and  $g_1 \xrightarrow{\lambda_1} g_2$ . Repeating this, we get  $g \xrightarrow{\lambda} g_1 \xrightarrow{\lambda_1} \dots$  as the desired path associated with  $\gamma \xrightarrow{\lambda} \gamma_1 \xrightarrow{\lambda_1} \dots$ . ◀

758 ▶ **Remark 5.21.** In the Rocq implementation the construction above is implemented as a  
 759 **CoFixpoint** returning a **coseq**. Theorem 4.10 is implemented as an **E** statement that lives in  
 760 **Prop**, hence we need to use the **constructive\_indefinite\_description** axiom to obtain the  
 witness to be used in the construction.

761 We also have the following correspondence between fairness and liveness properties for  
 762 associated global and local reduction paths.

763 ▶ **Lemma 5.22.** *For a local reduction path  $xs$  and global reduction path  $ys$ , if  $\text{path\_assocC } xs ys$  then*  
 764   (i) *If  $xs$  is fair then so is  $ys$*   
 765   (ii) *If  $ys$  is live then so is  $xs$*

766 As a corollary of Lemma 5.22, Lemma 5.20 and Lemma 5.17 we have the following:

767 ▶ **Corollary 5.23.** *If  $\text{assoc } \gamma g$ , then any fair local reduction path starting from  $\gamma$  is live.*

768 ▶ **Proof.** Let  $xs$  be the fair local reduction path starting with  $\gamma$ . By Lemma 5.20 there is  
 769 a global path  $ys$  associated with it. By Lemma 5.22 (i)  $ys$  is fair, and by Lemma 5.17  $ys$  is  
 770 live, so by Lemma 5.22 (ii)  $xs$  is also live. ◀

771 Liveness of contexts follows directly from Corollary 5.23.

772 ▶ **Theorem 5.24 (Liveness by Association).** *If  $\text{assoc } \gamma g$  then  $\gamma$  is live.*

773 ▶ **Proof.** Suppose  $\gamma \rightarrow^* \gamma'$ , then by Theorem 4.10  $\text{assoc } \gamma' g$  for some  $g'$ , and  
 774 hence by Corollary 5.23 any fair path starting from  $\gamma'$  is live, as needed. ◀

## 775 6 Properties of Sessions

776 We give typing rules for the session calculus introduced in 2, and prove subject reduction and  
 777 progress for them. Then we define a liveness property for sessions, and show that processes  
 778 typable by a local type context that's associated with a global type tree are guaranteed to  
 779 satisfy this liveness property.

## 782 6.1 Typing rules

783 We give typing rules for our session calculus based on [18] and [15].

784 We distinguish between two kinds of typing judgements and type contexts.

- 785 1. A local type context  $\Gamma$  associates participants with local type trees, as defined in cdef  
 786 type-ctx. Local type contexts are used to type sessions (Definition 2.2) i.e. a set of pairs  
 787 of participants and single processes composed in parallel. We express such judgements as  
 788  $\Gamma \vdash_M M$ , or as `typ_sess M gamma` or `gamma ⊢ M` in Rocq.  
 789 2. A process variable context  $\Theta_T$  associates process variables with local type trees, and an  
 790 expression variable context  $\Theta_e$  assigns sorts to expresion variables. Variable contexts  
 791 are used to type single processes and expressions (Definition 2.1). Such judgements are  
 792 expressed as  $\Theta_T, \Theta_e \vdash_P P : T$ , or in Rocq as `typ_proc theta_T theta_e P T` or `theta_T,`  
 793 `theta_e ⊢ P : T`.

$$\begin{array}{c} \Theta \vdash_P n : \text{nat} \quad \Theta \vdash_P i : \text{int} \quad \Theta \vdash_P \text{true} : \text{bool} \quad \Theta \vdash_P \text{false} : \text{bool} \quad \Theta, x : S \vdash_P x : S \\ \frac{\Theta \vdash_P e : \text{nat}}{\Theta \vdash_P \text{succ } e : \text{nat}} \quad \frac{\Theta \vdash_P e : \text{int}}{\Theta \vdash_P \text{neg } e : \text{int}} \quad \frac{\Theta \vdash_P e : \text{bool}}{\Theta \vdash_P \neg e : \text{bool}} \\ \frac{\Theta \vdash_P e_1 : S \quad \Theta \vdash_P e_2 : S}{\Theta \vdash_P e_1 \oplus e_2 : S} \quad \frac{\Theta \vdash_P e_1 : \text{int} \quad \Theta \vdash_P e_2 : \text{int}}{\Theta \vdash_P e_1 > e_2 : \text{bool}} \quad \frac{\Theta \vdash_P e : S \quad S \leq S'}{\Theta \vdash_P e : S'} \end{array}$$

■ Table 5 Typing expressions

$$\begin{array}{c} \begin{array}{c} [\text{T-END}] \quad [\text{T-VAR}] \quad [\text{T-REC}] \quad [\text{T-IF}] \\ \Theta \vdash_P 0 : \text{end} \quad \Theta, X : T \vdash_P X : T \quad \frac{\Theta, X : T \vdash_P P : T}{\Theta \vdash_P \mu X.P : T} \quad \frac{\Theta \vdash_P e : \text{bool} \quad \Theta \vdash_P P_1 : T \quad \Theta \vdash_P P_2 : T}{\Theta \vdash_P \text{if } e \text{ then } P_1 \text{ else } P_2 : T} \end{array} \\ \begin{array}{c} [\text{T-SUB}] \quad [\text{T-IN}] \quad [\text{T-OUT}] \\ \Theta \vdash_P P : T \quad T \leqslant T' \quad \frac{\forall i \in I, \quad \Theta, x_i : S_i \vdash_P P_i : T_i}{\Theta \vdash_P \sum_{i \in I} p? \ell_i(x_i).P_i : p \& \{\ell_i(S_i).T_i\}_{i \in I}} \quad \frac{\Theta \vdash_P e : S \quad \Theta \vdash_P P : T}{\Theta \vdash_P p! \ell(e).P : p \oplus \{\ell(S).T\}} \end{array} \end{array}$$

■ Table 6 Typing processes

794 Table 5 and Table 6 state the standard typing rules for expressions and processes which  
 795 we don't elaborate on. We have a single rule for typing sessions:

$$\frac{[\text{T-SESS}]}{\forall i \in I : \quad \vdash_P P_i : \Gamma(p_i) \quad \Gamma \sqsubseteq G} \frac{}{\Gamma \vdash_M \prod_i p_i \triangleleft P_i}$$

797 [T-SESS] says that a session made of the parallel composition of processes  $\prod_i p_i \triangleleft P_i$  can  
 798 be typed by an associated local context  $\Gamma$  if the local type of participant  $p_i$  in  $\Gamma$  types the  
 799 process

## 800 6.2 Subject Reduction, Progress and Session Fidelity

801 The subject reduction, progress and non-stuck theorems from [15] also hold in this setting,  
 802 with minor changes in their statements and proofs. We won't discuss these proofs in detail.

give theorem  
no

803 ► **Lemma 6.1.** If  $\gamma \vdash_M M$  and  $M \Rightarrow M'$ , then  $\text{typ\_sess } M' \gamma$ .

804 **Proof.** By induction on  $\text{unfoldP } M M'$ . ◀

805 ► **Theorem 6.2** (Subject Reduction). If  $\gamma \vdash_M M$  and  $M \xrightarrow{(p,q)\ell} M'$ , then there exists a  
806 typing context  $\gamma'$  such that  $\gamma \xrightarrow{(p,q)\ell} \gamma'$  and  $\gamma \vdash_M M'$ .

807 ► **Theorem 6.3** (Progress). If  $\gamma \vdash_M M$ , one of the following hold :

- 808 1. Either  $M \Rightarrow M_{\text{inact}}$  where every process making up  $M_{\text{inact}}$  is inactive, i.e.  $M_{\text{inact}} \equiv \prod_{i=1}^n p_i \triangleleft \mathbf{0}$  for some  $n$ .
- 810 2. Or there is a  $M'$  such that  $M \rightarrow M'$ .

811 ► **Remark 6.4.** Note that in Theorem 6.2 one transition between sessions corresponds to  
812 exactly one transition between local type contexts with the same label. That is, every session  
813 transition is observed by the corresponding type. This is the main reason for our choice of  
814 reactive semantics (Section 2.3) as  $\tau$  transitions are not observed by the type in ordinary  
815 semantics. In other words, with  $\tau$ -semantics the typing relation is a *weak simulation* [30],  
816 while it turns into a strong simulation with reactive semantics. For our Rocq implementation  
817 working with the strong simulation turns out to be more convenient.

818 We can also prove the following correspondence result in the reverse direction to Theorem 6.2,  
819 analogous to Theorem 4.9.

820 ► **Theorem 6.5** (Session Fidelity). If  $\gamma \vdash_M M$  and  $\gamma \xrightarrow{(p,q)\ell} \gamma'$ , there exists a  
821 message label  $\ell'$ , a context  $\gamma''$ , and a session  $M'$  such that  $M \xrightarrow{(p,q)\ell'} M'$ ,  $\gamma \xrightarrow{(p,q)\ell'} \gamma''$   
822 and  $\text{typ\_sess } M' \gamma''$ .

823 **Proof.** By inverting the local type context transition and the typing. ◀

824 ► **Remark 6.6.** Again we note that by Theorem 6.5 a single-step context reduction induces a  
825 single-step session reduction on the type. With the  $\tau$ -semantics the session reduction induced  
826 by the context reduction would be multistep.

827 Now the following type safety property follows from the above theorems:

828 ► **Theorem 6.7** (Type Safety). If  $\gamma \vdash_M M$  and  $M \rightarrow^* M' \Rightarrow p \leftarrow p_{\text{send}} q \text{ ell } P \parallel q \leftarrow p_{\text{recv}} p \text{ xs } \parallel M'$ , then  $\text{onth ell xs} \neq \text{None}$ .

### 830 6.3 Session Liveness

831 We state the liveness property we are interested in proving, and show that typable sessions  
832 have this property.

833 ► **Definition 6.8** (Session Liveness). Session  $M$  is live iff

- 834 1.  $M \rightarrow^* M' \Rightarrow q \triangleleft p\ell_i(x_i).Q \mid N$  implies  $M' \rightarrow^* M'' \Rightarrow q \triangleleft Q \mid N'$  for some  $M'', N'$
- 835 2.  $M \rightarrow^* M' \Rightarrow q \triangleleft \bigwedge_{i \in I} p?\ell_i(x_i).Q_i \mid N$  implies  $M' \rightarrow^* M'' \Rightarrow q \triangleleft Q_i[v/x_i] \mid N'$  for some  
836  $M'', N', i, v$ .

837 In Rocq we express this with the following:

```
Definition live_sess Mp ≡ ∃ M, betaRtc Mp M →
  (∀ p q ell e P' M', p ≠ q → unfoldP M ((p ← p_send q ell e P') \(\| \(\| \(\| M')) → ∃ M'',
  betaRtc M ((p ← P') \(\| \(\| \(\| M'')))
  ∧
  (∀ p q l1p M', p ≠ q → unfoldP M ((p ← p_recv q l1p) \(\| \(\| \(\| M') →
  ∃ M'', P' e k, onth k l1p = Some P' ∧ betaRtc M ((p ← subst_expr_proc P' e 0) \(\| \(\| \(\| M'')).
```

839 Session liveness, analogous to liveness for typing contexts (Definition 5.5), says that when  
 840  $\mathcal{M}$  is live, if  $\mathcal{M}$  reduces to a session  $\mathcal{M}'$  containing a participant that's attempting to send  
 841 or receive, then  $\mathcal{M}'$  reduces to a session where that communication has happened. It's also  
 842 called *lock-freedom* in related work ([45, 31]).

843 We now prove that typed sessions are live. Our proof follows the following steps:

- 844 1. Formulate a "fairness" property for typable sessions, with the property that any finite  
 845 session reduction path can be extended to a fair session reduction path.
- 846 2. Lift the typing relation to reduction paths, and show that fair session reduction paths  
 847 are typed by fair local type context reduction paths.
- 848 3. Prove that a certain transition eventually happens in the local context reduction path,  
 849 and that this means the desired transition is enabled in the session reduction path.  
 850 We first state a "fairness" (the reason for the quotes is explained in Remark 6.10) property  
 851 for session reduction paths, analogous to fairness for local type context reduction paths  
 852 (Definition 5.5).

853 ▶ **Definition 6.9** ("Fairness" of Sessions). *We say that a  $(p, q)\ell$  transition is enabled at  $\mathcal{M}$  if  
 854  $\mathcal{M} \xrightarrow{(p, q)\ell} \mathcal{M}'$  for some  $\mathcal{M}'$ . A session reduction path is fair if the following LTL property  
 855 holds:*

$$856 \quad \square(\text{enabledComm}_{p,q,\ell} \implies \Diamond(\text{headComm}_{p,q}))$$

857 ▶ **Remark 6.10.** Definition 6.9 is not actually a sensible fairness property for our reactive  
 858 semantics, mainly because it doesn't satisfy the *feasibility* [46] property stating that any  
 859 finite execution can be extended to a fair execution. Consider the following session:

$$860 \quad \mathcal{M} = p \triangleleft \text{if}(\text{true} \oplus \text{false}) \text{ then } q! \ell_1(\text{true}) \text{ else } r! \ell_2(\text{true}).\mathbf{0} \mid q \triangleleft p? \ell_1(\mathbf{x}).\mathbf{0} \mid r \triangleleft p? \ell_2(\mathbf{x}).\mathbf{0}$$

861 We have that  $\mathcal{M} \xrightarrow{(p, q)\ell_1} \mathcal{M}'$  where  $\mathcal{M}' = p \triangleleft \mathbf{0} \mid q \triangleleft \mathbf{0} \mid r \triangleleft p? \ell_2(\mathbf{x}).\mathbf{0}$ , and also  $\mathcal{M} \xrightarrow{(p, r)\ell_2} \mathcal{M}''$   
 862 for another  $\mathcal{M}''$ . Now consider the reduction path  $\rho = \mathcal{M} \xrightarrow{(p, q)\ell_1} \mathcal{M}'$ .  $(p, r)\ell_2$  is enabled at  
 863  $\mathcal{M}$  so in a fair path it should eventually be executed, however no extension of  $\rho$  can contain  
 864 such a transition as  $\mathcal{M}'$  has no remaining transitions. Nevertheless, it turns out that there  
 865 is a fair reduction path starting from every typable session (Lemma 6.14), and this will be  
 866 enough to prove our desired liveness property.

867 We can now lift the typing relation to reduction paths, just like we did in Definition 5.19.

868 ▶ **Definition 6.11** (Path Typing). *Path typing is a relation between session reduction paths  
 869 and local type context reduction paths, defined coinductively by the following rules:*

- 870 (i) *The empty session reduction path is typed with the empty context reduction path.*
- 871 (ii) *If  $\mathcal{M} \xrightarrow{\lambda_0} \rho$  is typed by  $\Gamma \xrightarrow{\lambda_1} \rho'$  where ( $\rho$  and  $\rho'$  are session and local type context  
 872 reduction paths, respectively), then  $\lambda_0 = \lambda_1$  and  $\rho$  is typed by  $\rho'$ .*

873 Similar to Lemma 5.20, we can show that if the head of the path is typable then so is the  
 874 whole path.

875 ▶ **Lemma 6.12.** *If  $\text{typ\_sess } M \text{ gamma}$ , then any session reduction path  $xs$  starting with  $M$  is  
 876 typed by a local context reduction path  $ys$  starting with  $\gamma$ .*

877 **Proof.** We can construct a local context reduction path that types the session path. The  
 878 construction exactly like Lemma 5.20 but elements of the output stream are generated by  
 879 Theorem 6.2 instead of Theorem 4.10. ◀

880 We also have that typing path preserves fairness.

881 ► **Lemma 6.13.** *If session path  $\mathbf{xs}$  is typed by the local context path  $\mathbf{ys}$ , and  $\mathbf{xs}$  is fair, then  
882 so is  $\mathbf{ys}$ .*

883 The final lemma we need in order to prove liveness is that there exists a fair reduction path  
884 from every typable session.

885 ► **Lemma 6.14 (Fair Path Existence).** *If  $\text{typ\_sess } M \gamma$ , then there is a fair session  
886 reduction path  $\mathbf{xs}$  starting from  $M$ .*

887 **Proof.** We can construct a fair path starting from  $M$  by repeatedly cycling through all  
888 participants, checking if there is a transition involving that participant, and executing that  
889 transition if there is. ◀

890 ► **Remark 6.15.** The Rocq implementation of Lemma 6.14 computes a **CoFixpoint**  
891 corresponding to the fair path constructed above. As in Lemma 5.20, we use  
892 **constructive\_indefinite\_description** to turn existence statements in **Prop** to dependent  
893 pairs. We also assume the informative law of excluded middle (**excluded\_middle\_informative**)  
894 in order to carry out the "check if there is a transition" step in the algorithm above. When  
895 proving that the constructed path is fair, we sometimes rely on the LTL constructs we  
896 outlined in Section 5.2 reminiscent of the techniques employed in [4].

897 We can now prove that typed sessions are live.

898 ► **Theorem 6.16 (Liveness by Typing).** *For a session  $M_p$ , if  $\exists \gamma \gamma \vdash_M M_p$  then  
899  $\text{live\_sess } M_p$ .*

900 **Proof.** We detail the proof for the send case of Definition 6.8, the case for the receive is  
901 similar. Suppose that  $M_p \rightarrow^* M$  and  $M \Rightarrow ((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel M')$ . Our goal is  
902 to show that there exists a  $M''$  such that  $M \rightarrow^* ((p \leftarrow P') \parallel M'')$ . First, observe that  
903 by [R-UNFOLD] it suffices to show that  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel M') \rightarrow^* M''$  for  
904 some  $M''$ . Also note that  $\gamma \vdash_M M$  for some  $\gamma$  by Theorem 6.2, therefore  $\gamma \vdash_M ((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel M')$  by Lemma 6.1.

905 Now let  $\mathbf{xs}$  be a fair reduction path starting from  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel M')$ ,  
906 which exists by Lemma 6.14. Let  $\mathbf{ys}$  be the local context reduction path starting with  $\gamma$   
907 that types  $\mathbf{xs}$ , which exists by Lemma 6.12. Now  $\mathbf{ys}$  is fair by Lemma 6.13. Therefore by  
908 Theorem 5.24  $\mathbf{ys}$  is live, so a  $\text{lcomm } p \text{ q ell}'$  transition eventually occurs in  $\mathbf{ys}$  for some  
909  $\text{ell}'$ . Therefore  $\mathbf{ys} = \gamma \rightarrow^* \gamma_0 \xrightarrow{(p,q)\ell'} \gamma_1 \rightarrow \dots$  for some  $\gamma_0, \gamma_1$ . Now  
910 consider the session  $M_0$  typed by  $\gamma_0$  in  $\mathbf{xs}$ . We have  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel$   
911  $M'') \rightarrow^* M_0$  by  $M_0$  being on  $\mathbf{xs}$ . We also have that  $M_0 \xrightarrow{(p,q)\ell''} M_1$  for some  $\ell''$ ,  $M_1$  by  
912 Theorem 6.5. Now observe that  $M_0 \equiv ((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel M'')$  for some  $M''$  as  
913 no transitions involving  $p$  have happened on the reduction path to  $M_0$ . Therefore  $\ell = \ell''$ , so  
914  $M_1 \equiv ((p \leftarrow P') \parallel M'')$  for some  $M''$ , as needed. ◀

## 916 7 Conclusion and Related Work

917 **Liveness Properties.** Examinations of liveness, also called *lock-freedom*, guarantees of  
918 multiparty session types abound in literature, e.g. [32, 24, 48, 37, 3]. Most of these papers use  
919 the definition liveness proposed by Padovani [31], which doesn't make the fairness assumptions  
920 that characterize the property [17] explicit. Contrastingly, van Glabbeek et. al. [45] examine  
921 several notions of fairness and the liveness properties induced by them, and devise a type  
922 system with flexible choices [7] that captures the strongest of these properties, the one

induced by the *justness* [46] assumption. In their terminology, Definition 6.8 corresponds to liveness under strong fairness of transitions (ST), which is the weakest of the properties considered in that paper. They also show that their type system is complete i.e. every live process can be typed. We haven't presented any completeness results in this paper. Indeed, our type system is not complete for Definition 6.8, even if we restrict our attention to safe and race-free sessions. For example, the session described in [45, Example 9] is live but not typable by a context associated with a balanced global type in our system.

Fairness assumptions are also made explicit in recent work by Ciccone et. al [11, 12] which use generalized inference systems with coaxioms [1] to characterize *fair termination*, which is stronger than Definition 6.8, but enjoys good composition properties.

**Mechanisation.** Mechanisation of session types in proof assistants is a relatively new effort. Our formalisation is built on recent work by Ekici et. al. [15] which uses a coinductive representation of global and local types to prove subject reduction and progress. Their work uses a typing relation between global types and sessions while ours uses one between associated local type contexts and sessions. This necessitates the rewriting of subject reduction and progress proofs in addition to the operational correspondence, safety and liveness properties we have proved. Other recent results mechanised in Rocq include Ekici and Yoshida's [16] work on the completeness of asynchronous subtyping, and Tirore's work [41, 43, 42] on projections and subject reduction for  $\pi$ -calculus.

Castro-Perez et. al. [9] devise a multiparty session type system that dispenses with projections and local types by defining the typing relation directly on the LTS specifying the global protocol, and formalise the results in Agda. Ciccone's PhD thesis [10] presents an Agda formalisation of fair termination for binary session types. Binary session types were also implemented in Agda by Thiemann [40] and in Idris by Brady[6]. Several implementations of binary session types are also present for Haskell [25, 29, 36].

Implementations of session types that are more geared towards practical verification include the Actris framework [19, 22] which enriches the separation logic of Iris [23] with binary session types to certify deadlock-freedom. In general, verification of liveness properties, with or without session types, in concurrent separation logic is an active research area that has produced tools such as TaDa [14], FOS [26] and LiLo [27] in the past few years. Further verification tools employing multiparty session types are Jacobs's Multiparty GV [22] based on the functional language of Wadler's GV [47], and Castro-Perez et. al's Zooid [8], which supports the extraction of certifiably safe and live protocols.

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