

# Dummy title

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## Abstract

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## 1 Introduction

We introduce the simple synchronous session calculus that our type system will be used on.

### 1.1 Processes and Sessions

► **Definition 1.1** (Expressions and Processes). *We define processes as follows:*

$$P ::= p!\ell(e).P \mid \sum_{i \in I} p?\ell_i(x_i).P_i \mid \text{if } e \text{ then } P \text{ else } P \mid \mu X.P \mid X \mid 0$$

where  $e$  is an expression that can be a variable, a value such as **true**, 0 or  $-3$ , or a term built from expressions by applying the operators **succ**, **neg**,  $\neg$ , non-deterministic choice  $\oplus$  and  $>$ .

$p!\ell(e).P$  is a process that sends the value of expression  $e$  with label  $\ell$  to participant  $p$ , and continues with process  $P$ .  $\sum_{i \in I} p?\ell_i(x_i).P_i$  is a process that may receive a value from any  $\ell_i \in I$ , binding the result to  $x_i$  and continuing with  $P_i$ , depending on which  $\ell_i$  the value was received from.  $X$  is a recursion variable,  $\mu X.P$  is a recursive process, if  $e$  then  $P$  else  $P$  is a conditional and  $0$  is a terminated process.

Processes can be composed in parallel into sessions.

► **Definition 1.2** (Multiparty Sessions). *Multiparty sessions are defined as follows.*

$$\mathcal{M} ::= p \triangleleft P \mid (\mathcal{M} \mid \mathcal{M}) \mid \mathcal{O}$$

$p \triangleleft P$  denotes that participant  $p$  is running the process  $P$ ,  $\mid$  indicates parallel composition. We write  $\prod_{i \in I} p_i \triangleleft P_i$  to denote the session formed by  $p_i$  running  $P_i$  in parallel for all  $i \in I$ .  $\mathcal{O}$  is an empty session with no participants, that is, the unit of parallel composition.

► **Remark 1.3.** Note that  $\mathcal{O}$  is different than  $p \triangleleft 0$  as  $p$  is a participant in the latter but not the former. This differs from previous work, e.g. in [4] the unit of parallel composition is  $p \triangleleft 0$  while in [3] there is no unit. The unitless approach of citesspaper results in a lot of

repetition in the code, for an example see their definition of `unfoldP` which contains two of every constructor: one for when the session is composed of exactly two processes, and one for when it's composed of three or more. Therefore we chose to add an unit element to parallel composition. However, we didn't make that unit `ptriangleleft0` in order to reuse some of the lemmas from [3] that use the fact that structural congruence preserves participants.

## 1.2 Structural Congruence and Operational Semantics

We define a structural congruence relation  $\equiv$  on sessions which expresses the commutativity, associativity and unit of the parallel composition operator.

$$\begin{array}{ll} \text{[SC-SYM]} & \text{[SC-ASSOC]} \\ p \triangleleft P \mid q \triangleleft Q \equiv q \triangleleft Q \mid p \triangleleft P & (p \triangleleft P \mid q \triangleleft Q) \mid r \triangleleft R \equiv p \triangleleft P \mid (q \triangleleft Q \mid r \triangleleft R) \\ \\ \text{[SC-O]} & \\ p \triangleleft P \mid q \triangleleft \mathcal{O} \equiv p \triangleleft P & \end{array}$$

■ **Table 1** Structural Congruence over Sessions

We now give the operational semantics for sessions by the means of a labelled transition system. We will be giving two types of semantics: one which contains silent  $\tau$  transitions, and another, *reactive* semantics [12] which doesn't contain explicit  $\tau$  reductions while still considering  $\beta$  reductions up to silent actions. We will mostly be using the reactive semantics throughout this paper, for the advantages of this approaches see Remark 5.4.

### 1.2.1 Semantics With Silent Transitions

We have two kinds of transitions, *silent* ( $\tau$ ) and *observable* ( $\beta$ ). Correspondingly, we have two kinds of *transition labels*,  $\tau$  and  $(p, q)\ell$  where  $p, q$  are participants and  $\ell$  is a message label. We omit the semantics of expressions, they are standard and can be found in [4, Table 1]. We write  $e \downarrow v$  when expression  $e$  evaluates to value  $v$ .

In Table 2, [R-COMM] describes a synchronous communication from  $p$  to  $q$  via message label  $\ell_j$ . [R-REC] unfolds recursion, [R-CONDT] and [R-CONDF] express how to evaluate conditionals, and [R-STRUCT] shows that the reduction respects the structural pre-congruence. We write  $\mathcal{M} \rightarrow \mathcal{N}$  if  $\mathcal{M} \xrightarrow{\lambda} \mathcal{N}$  for some transition label  $\lambda$ . We write  $\rightarrow^*$  to denote the reflexive transitive closure of  $\rightarrow$ . We also write  $\mathcal{M} \Rightarrow \mathcal{N}$  when  $\mathcal{M} \equiv \mathcal{N}$  or  $\mathcal{M} \rightarrow^* \mathcal{N}$  where all the transitions involved in the multistep reduction are  $\tau$  transitions.

## 2 The Type System

We introduce local and global types and trees and the subtyping and projection relations based on [4]. We start by defining the sorts that will be used to type expressions, and local types that will be used to type single processes.

### 2.1 Local Types and Type Trees

► **Definition 2.1** (Sorts). *We define sorts as follows:*

$S ::= \text{int} \mid \text{bool} \mid \text{nat}$

and the corresponding Coq

$$\begin{array}{c}
\text{[R-COMM]} \\
\frac{j \in I \quad e \downarrow v}{p \triangleleft \sum_{i \in I} q? \ell_i(x_i).P_i \mid q \triangleleft p! \ell_j(e).Q \mid \mathcal{N} \xrightarrow{(p,q)\ell_j} p \triangleleft P_j[v/x_j] \mid q \triangleleft Q \mid \mathcal{N}} \\
\text{[R-REC]} \\
p \triangleleft \mu X.P \mid \mathcal{N} \xrightarrow{\tau} p \triangleleft P[\mu X.P/X] \mid \mathcal{N} \\
\text{[R-CONDT]} \\
\frac{e \downarrow \text{true}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \xrightarrow{\tau} p \triangleleft P \mid \mathcal{N}} \\
\text{[R-CONDF]} \\
\frac{e \downarrow \text{false}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \xrightarrow{\tau} p \triangleleft Q \mid \mathcal{N}} \\
\text{[R-STRUCT]} \\
\frac{\mathcal{N}'_1 \equiv \mathcal{N}_1 \quad \mathcal{N}_1 \xrightarrow{\lambda} \mathcal{N}_2 \quad \mathcal{N}_2 \equiv \mathcal{N}'_2}{\mathcal{N}'_1 \xrightarrow{\lambda} \mathcal{N}'_2}
\end{array}$$

■ **Table 2** Operational Semantics of Sessions

```

Inductive sort: Type ≜
| sbool: sort
| sint : sort
| snat : sort.

```

69

70 ► **Definition 2.2.** *Local types are defined inductively with the following syntax:*

71  $\mathbb{T} ::= \text{end} \mid p \oplus \{\ell_i(S_i).T_i\}_{i \in I} \mid p \& \{\ell_i(S_i).T_i\}_{i \in I} \mid t \mid \mu t. \mathbb{T}$

72 Informally, in the above definition, **end** represents a role that has finished communicating.  
 73  $p \oplus \{\ell_i(S_i).T_i\}_{i \in I}$  denotes a role that may, from any  $i \in I$ , receive a value of sort  $S_i$  with  
 74 message label  $\ell_i$  and continue with  $T_i$ . Similarly,  $p \& \{\ell_i(S_i).T_i\}_{i \in I}$  represents a role that may  
 75 choose to send a value of sort  $S_i$  with message label  $\ell_i$  and continue with  $T_i$  for any  $i \in I$ .  
 76  $\mu t. \mathbb{T}$  represents a recursive type where  $t$  is a type variable. We assume that the indexing  
 77 sets  $I$  are always non-empty. We also assume that recursion is always guarded.

78 We employ an equirecursive approach based on the standard techniques from [8] where  
 79  $\mu t. \mathbb{T}$  is considered to be equivalent to its unfolding  $\mathbb{T}[\mu t. \mathbb{T}/t]$ . This enables us to identify  
 80 a recursive type with the possibly infinite local type tree obtained by fully unfolding its  
 81 recursive subterms.

82 ► **Definition 2.3.** *Local type trees are defined coinductively with the following syntax:*

83  $\mathbb{T} ::= \text{end} \mid p \& \{\ell_i(S_i).T_i\}_{i \in I} \mid p \oplus \{\ell_i(S_i).T_i\}_{i \in I}$

84 *The corresponding Coq definition is given below.*

```

CoInductive ltt: Type ≜
| ltt_end : ltt
| ltt_recv: part → list (option(sort*ltt)) → ltt
| ltt_send: part → list (option(sort*ltt)) → ltt.

```

85

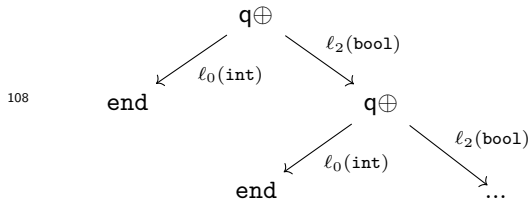
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Note that in Coq we represent the continuations using a `list` of `option` types. In a continuation `gcs : list (option (sort * ltt))`, index `k` (using zero-indexing) being equal to `Some (s_k, T_k)` means that  $\ell_k(S_k).T_k$  is available in the continuation. Similarly index `k` being equal to `None` or being out of bounds of the list means that the message label  $\ell_k$  is not present in the continuation. Below are some of the constructions we use when working with option lists.

1. `SList xs`: A function that is equal to `True` if `xs` represents a continuation that has at least one element that is not `None`, and `False` otherwise.
2. `onth k xs`: A function that returns `Some x` if the element at index `k` (using 0-indexing) of `xs` is `Some x`, and returns `None` otherwise. Note that the function returns `None` if `k` is out of bounds for `xs`.
3. `Forall`, `Forall12` and `Forall12R`: `Forall` and `Forall12` are predicates from the Coq Standard Library [11, List] that are used to quantify over elements of one list and pairwise elements of two lists, respectively. `Forall12R` is a weaker version of `Forall12` that might hold even if one parameter is shorter than the other. We frequently use `Forall12R` to express subset relations on continuations.

► **Remark 2.4.** Note that Coq allows us to create types such as `ltt_send q []` which don't correspond to well-formed local types as the continuation is empty. In our implementation we define a predicate `wfltt : ltt → Prop` capturing that all the continuations in the local type tree are non-empty. Henceforth we assume that all local types we mention satisfy this property.

► **Example 2.5.** Let local type  $T = \mu t. q \oplus \{\ell_0(\text{int}).\text{end}, \ell_2(\text{bool}).t\}$ . This is equivalent to the following infinite local type tree:



and the following Coq code

```
CoFixpoint T ≜ ltt_send q [Some (sint, ltt_end), None, Some (sbool, T)]
```

We omit the details of the translation between local types and local type trees, the technicalities of our approach is explained in [4], and the Coq implementation of translation is detailed in [3]. From now on we work exclusively on local type trees.

► **Remark 2.6.** We will occasionally be talking about equality (=) between coinductively defined trees in Coq. Coq's Leibniz equality is not strong enough to treat as equal the types that we will deem to be the same. To do that, we define a coinductive predicate `lttIsoC` that captures isomorphism between coinductive trees and take as an axiom that `lttIsoC T1 T2 → T1=T2`. Technical details can be found in [3].

## 2.2 Subtyping

We define the subsorting relation on sorts and the subtyping relation on local type trees.

► **Definition 2.7** (Subsorting and Subtyping). *Subsorting  $\leq$  is the least reflexive binary relation that satisfies `nat ≤ int`. Subtyping  $\leq$  is the largest relation between local type trees*

123 *coinductively defined by the following rules:*

$$\begin{array}{c}
 \text{124} \quad \frac{}{\text{end} \leq \text{end}} \text{ [SUB-END]} \quad \frac{\forall i \in I : \quad S'_i \leq S_i \quad T_i \leq T'_i}{p \& \{\ell_i(S_i).T_i\}_{i \in I \cup J} \leq p \& \{\ell_i(S'_i).T'_i\}_{i \in I}} \text{ [SUB-IN]} \\
 \\
 \frac{\forall i \in I : \quad S_i \leq S'_i \quad T_i \leq T'_i}{p \oplus \{\ell_i(S_i).T_i\}_{i \in I} \leq p \oplus \{\ell_i(S'_i).T'_i\}_{i \in I \cup J}} \text{ [SUB-OUT]}
 \end{array}$$

125 Intuitively,  $T_1 \leq T_2$  means that a role of type  $T_1$  can be supplied anywhere a role of type  $T_2$   
 126 is needed. [SUB-IN] captures the fact that we can supply a role that is able to receive more  
 127 labels than specified, and [SUB-OUT] captures that we can supply a role that has fewer labels  
 128 available to send. Note the contravariance of the sorts in [SUB-IN], if the supertype demands  
 129 the ability to receive an **nat** then the subtype can receive **nat** or **int**.

130 In Coq we express coinductive relations such as subtyping using the Paco library [6].  
 131 The idea behind Paco is to formulate the coinductive predicate as the greatest fixpoint of  
 132 an inductive relation parameterised by another relation  $R$  representing the "accumulated  
 133 knowledge" obtained during the course of the proof. Hence our subtyping relation looks like  
 134 the following:

```

135 Inductive subtype (R: ltt → ltt → Prop): ltt → ltt → Prop :=
  | sub_end: subtype R ltt_end ltt_end
  | sub_in : ∀ p xs ys,
    wfrec subsort R xs ys →
    subtype R (ltt_recv p xs) (ltt_recv p ys)
  | sub_out : ∀ p xs ys,
    wfsend subsort R xs ys →
    subtype R (ltt_send p xs) (ltt_send p ys).

Definition subtypeC 11 12 := paco2 subtype bot2 11 12.

```

136 In definition of the inductive relation **subtype**, constructors **sub\_in** and **sub\_out** correspond  
 137 to [SUB-IN] and [SUB-OUT] with **wfrec** and **wfsend** expressing the premises of those rules. Then  
 138 **subtypeC** defines the coinductive subtyping relation as a greatest fixed point. Given that the  
 139 relation **subtype** is monotone (proven in [3]), **paco2 subtype bot2** generates the greatest fixed  
 140 point of **subtype** with the "accumulated knowledge" parameter set to the empty relation **bot2**.  
 141 The **2** at the end of **paco2** and **bot2** stands for the arity of the predicates.

## 142 2.3 Global Types and Type Trees

143 While local types specify the behaviour of one role in a protocol, global types give a bird's  
 144 eye view of the whole protocol.

145 ► **Definition 2.8** (Global type). *We define global types inductively as follows:*

$$\mathbb{G} ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I} \mid t \mid \mu t.\mathbb{G}$$

147 *We further inductively define the function  $\text{pt}(\mathbb{G})$  that denotes the participants of type  $\mathbb{G}$ :*

$$\begin{aligned}
 \text{pt}(\text{end}) &= \text{pt}(t) = \emptyset \\
 \text{pt}(p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I}) &= \{p, q\} \cup \bigcup_{i \in I} \text{pt}(\mathbb{G}_i) \\
 \text{pt}(\mu t.\mathbb{G}) &= \text{pt}(\mathbb{G})
 \end{aligned}$$

151 **end** denotes a protocol that has ended,  $p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  denotes a protocol where for  
 152 any  $i \in I$ , participant  $p$  may send a value of sort  $S_i$  to another participant  $q$  via message  
 153 label  $\ell_i$ , after which the protocol continues as  $G_i$ .

154 As in the case of local types, we adopt an equirecursive approach and work exclusively  
 155 on possibly infinite global type trees.

156 ► **Definition 2.9** (Global type trees). *We define global type trees coinductively as follows:*

157  $G ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$

158 *with the corresponding Coq code*

```
159 CoInductive gtt : Type :=
  | gtt_end : gtt
  | gtt_send : part → part → list (option (sort*gtt)) → gtt.
```

160 We extend the function **pt** onto trees by defining  $\text{pt}(G) = \text{pt}(G)$  where the global type  
 161  $G$  corresponds to the global type tree  $G$ . Technical details of this definition such as well-  
 162 definedness can be found in [3, 4].

163 In Coq **pt** is captured with the predicate  $\text{isgPartsC} : \text{part} \rightarrow \text{gtt} \rightarrow \text{Prop}$ , where  
 164  $\text{isgPartsC } p \ G$  denotes  $p \in \text{pt}(G)$ .

## 165 2.4 Projection

166 We give definitions of projections with plain merging.

167 ► **Definition 2.10** (Projection). *The projection of a global type tree onto a participant  $r$  is the*  
 168 *largest relation  $\vdash_r$  between global type trees and local type trees such that, whenever  $G \vdash_r T$ :*

- 169 ■  $r \notin \text{pt}\{G\}$  implies  $T = \text{end}$ ; [PROJ-END]
- 170 ■  $G = p \rightarrow r : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = p \& \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \vdash_r T_i$  [PROJ-IN]
- 171 ■  $G = r \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = q \oplus \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \vdash_r T_i$  [PROJ-OUT]
- 172 ■  $G = p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  and  $r \notin \{p, q\}$  implies that there are  $T_i, i \in I$  such that  
 173  $T = \sqcap_{i \in I} T_i$  and  $\forall i \in I, G \vdash_r T_i$  [PROJ-CONT]

174 where  $\sqcap$  is the merging operator. We also define plain merge  $\sqcap$  as

$$175 \quad T_1 \sqcap T_2 = \begin{cases} T_1 & \text{if } T_1 = T_2 \\ \text{undefined} & \text{otherwise} \end{cases}$$

176 ► **Remark 2.11.** In the MPST literature there exists a more powerful merge operator named  
 177 full merging, defined as

$$178 \quad T_1 \sqcap T_2 = \begin{cases} T_1 & \text{if } T_1 = T_2 \\ T_3 & \text{if } \exists I, J : \begin{cases} T_1 = p \& \{\ell_i(S_i).T_i\}_{i \in I} & \text{and} \\ T_2 = p \& \{\ell_j(S_j).T_j\}_{j \in J} & \text{and} \\ T_3 = p \& \{\ell_k(S_k).T_k\}_{k \in I \cup J} \end{cases} \\ \text{undefined} & \text{otherwise} \end{cases}$$

179 Indeed, one of the papers we base this work on [13] uses full merging. However we used plain  
 180 merging in our formalisation and consequently in this work as it was already implemented in  
 181 [3]. Generally speaking, the results we proved can be adapted to a full merge setting, see the  
 182 proofs in [13].

183 Informally, the projection of a global type tree  $G$  onto a participant  $r$  extracts a specification  
 184 for participant  $r$  from the protocol whose bird's-eye view is given by  $G$ . [PROJ-END]  
 185 expresses that if  $r$  is not a participant of  $G$  then  $r$  does nothing in the protocol. [PROJ-IN]  
 186 and [PROJ-OUT] handle the cases where  $r$  is involved in a communication in the root of  $G$ .  
 187 [PROJ-CONT] says that, if  $r$  is not involved in the root communication of  $G$ , then the only  
 188 way it knows its role in the protocol is if there is a role for it that works no matter what  
 189 choices  $p$  and  $q$  make in their communication. This "works no matter the choices of the other  
 190 participants" property is captured by the merge operations.

191 In Coq these constructions are expressed with the inductive `isMerge` and the coinductive  
 192 `projectionC`.

```
Inductive isMerge : ltt → list (option ltt) → Prop ≜
| matm : ∀ t, isMerge t (Some t :: nil)
| mconsn : ∀ t xs, isMerge t xs → isMerge t (None :: xs)
| mconss : ∀ t xs, isMerge t xs → isMerge t (Some t :: xs).
```

193

194 `isMerge t xs` holds if the plain merge of the types in `xs` is equal to `t`.

```
Variant projection (R: gtt → part → ltt → Prop): gtt → part → ltt → Prop ≜
| proj_end : ∀ g r,
  (isPartsC r g → False) →
  projection R g r (lts_end)
| proj_in : ∀ p r xs ys,
  p ≠ r →
  (isPartsC r (gtt_send p r xs)) →
  List.Forall2 (fun u v => (u = None ∧ v = None) ∨ (∃ s g t, u = Some(s, g) ∧ v = Some(s, t) ∧ R g r t)) xs ys →
  projection R (gtt_send p r xs) r (lts_recv p ys)
| proj_out : ...
| proj_cont : ∀ p q r xs ys t,
  p ≠ q →
  q ≠ r →
  p ≠ r →
  (isPartsC r (gtt_send p q xs)) →
  List.Forall2 (fun u v => (u = None ∧ v = None) ∨
    (∃ s g t, u = Some(s, g) ∧ v = Some t ∧ R g r t)) xs ys →
  isMerge t ys →
  projection R (gtt_send p q xs) r t.
Definition projectionC g r t ≜ paco3 projection bot3 g r t.
```

195

196 As in the definition of `subtypeC`, `projectionC` is defined as a parameterised greatest fixed  
 197 point using `Paco`. The premises of the rules [PROJ-IN], [PROJ-OUT] and [PROJ-CONT] are  
 198 captured using the Coq standard library predicate `List.Forall2 : ∀ A B : Type, (P:A →`  
 199 `B → Prop) (xs:list A) (ys:list B) : Prop` that holds if  $P\ x\ y$  holds for every  $x, y$  where  
 200 the index of  $x$  in `xs` is the same as the index of  $y$  in the index of `ys`.

201 We have the following fact about projections that lets us regard it as a partial function:

202 ► **Lemma 2.12.** *If  $\text{projectionC } G\ p\ T$  and  $\text{projectionC } G\ p\ T'$  then  $T = T'$ .*

203 We write  $G \upharpoonright r = T$  when  $G \upharpoonright_r T$ . Furthermore we will be frequently be making assertions  
 204 about subtypes of projections of a global type e.g.  $T \leq G \upharpoonright r$ . In our Coq implementation we  
 205 define the predicate `issubProj` as a shorthand for this.

```
Definition issubProj (t:ltt) (g:gtt) (p:part) ≜
  ∃ tg, projectionC g p tg ∧ subtypeC t tg.
```

206

## 207 2.5 Balancedness, Global Tree Contexts and Grafting

208 We introduce an important constraint on the types of global type trees we will consider,  
 209 balancedness.

210 ► **Definition 2.13** (Balanced Global Type Trees). *A global tree  $G$  is balanced if for any subtree*  
 211  *$G'$  of  $G$ , there exists  $k$  such that for all  $p \in \text{pt}(G')$ ,  $p$  occurs on every path from the root of*

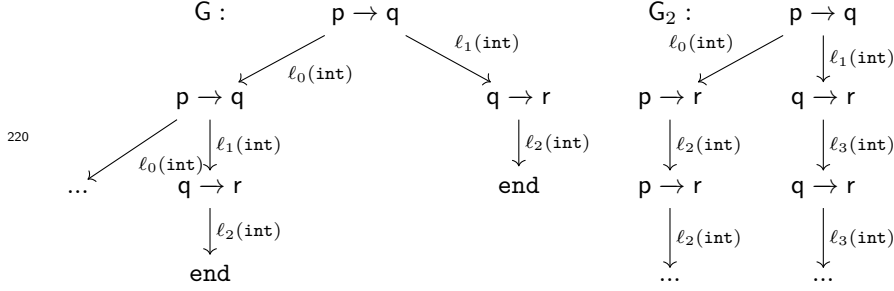
212  $G'$  of length at least  $k$ .

213 In Coq balancedness is expressed with the predicate `balancedG (G : gtt)`

214 We omit the technical details of this definition and the Coq implementation, they can be  
215 found in [4] and [3].

216 ► **Example 2.14.** The global type tree  $G$  given below is unbalanced as constantly following  
217 the left branch gives an infinite path where  $r$  doesn't occur despite being a participant of the  
218 tree. There is no such path for  $G_2$ , hence  $G_2$  is balanced.

219



221 Intutively, balancedness is a regularity condition that imposes a notion of *liveness* on  
222 the protocol described by the global type tree. For example,  $G$  in Example 2.14 describes  
223 a defective protocol as it possible for  $p$  and  $q$  to constantly communicate through  $\ell_0$  and  
224 leave  $r$  waiting to receive from  $q$  a communication that will never come. We will be exploring  
225 these liveness properties from Section 3 onwards.

226 One other reason for formulating balancedness is that it allows us to use the "grafting"  
227 technique, turning proofs by coinduction on infinite trees to proofs by induction on finite  
228 global type tree contexts.

229 ► **Definition 2.15** (Global Type Tree Context). *Global type tree contexts are defined inductively*  
230 *with the following syntax:*

231  $\mathcal{G} ::= p \rightarrow q : \{\ell_i(S_i). \mathcal{G}_i\}_{i \in I} \mid [ ]_i$

232 In Coq global type tree contexts are represented by the type `gtth`

233

```

Inductive gtth: Type :=
| gtth_hol   : fin -> gtth
| gtth_send  : part -> part -> list (option (sort * gtth)) -> gtth.

```

234 We additionally define `pt` and `ishParts` on contexts analogously to `pt` and `isgPartsC` on trees.

235 A global type tree context can be thought of as the finite prefix of a global type tree, where  
236 holes  $[ ]_i$  indicate the cutoff points. Global type tree contexts are related to global type trees  
237 with the grafting operation.

238 ► **Definition 2.16** (Grafting). *Given a global type tree context  $\mathcal{G}$  whose holes are in the*  
239 *indexing set  $I$  and a set of global types  $\{G_i\}_{i \in I}$ , the grafting  $\mathcal{G}[G_i]_{i \in I}$  denotes the global type*  
240 *tree obtained by substituting  $[ ]_i$  with  $G_i$  in  $Gcx$ .*

241 In Coq the indexed set  $\{G_i\}_{i \in I}$  is represented using a `list (option gtt)`. Grafting is  
242 expressed by the following inductive relation:

243

```

Inductive typ_gtth : list (option gtt) -> gtth -> gtt -> Prop.

```



244 `typ_gtth gs gcx gt` means that the grafting of the set of global type trees `gs` onto the context  
 245 `gcx` results in the tree `gt`.

246 Furthermore, we have the following lemma that relates global type tree contexts to  
 247 balanced global type trees.

248 ► **Lemma 2.17** (Proper Grafting Lemma, [3]). *If  $G$  is a balanced global type tree and `isgPartsC`  
 249 `p G`, then there is a global type tree context `Gctx` and an option list of global type trees `gs`  
 250 such that `typ_gtth gs Gctx G`, `~ ishParts p Gctx` and every `Some` element of `gs` is of shape  
 251 `gtt_end, gtt_send p q` or `gtt_send q p`.*

252 2.17 enables us to represent a coinductive global type tree featuring participant `p` as the  
 253 grafting of a context that doesn't contain `p` with a list of trees that are all of a certain  
 254 structure. If `typ_gtth gs Gctx G`, `~ ishParts p Gctx` and every `Some` element of `gs` is of shape  
 255 `gtt_end, gtt_send p q` or `gtt_send q p`, then we call the pair `gs` and `Gctx` as the `p`-grafting  
 256 of `G`, expressed in Coq as `typ_p_gtth gs Gctx p G`. When we don't care about the contents  
 257 of `gs` we may just say that `G` is `p`-grafted by `Gctx`.

258 ► **Remark 2.18.** From now on, all the global type trees we will be referring to are assumed  
 259 to be balanced. When talking about the Coq implementation, any `G : gtt` we mention is  
 260 assumed to satisfy the predicate `wfgC G`, expressing that `G` corresponds to some global type  
 261 and that `G` is balanced.

262 Furthermore, we will often require that a global type is projectable onto all its participants.  
 263 This is captured by the predicate `projectableA G =  $\forall p, \exists T, \text{projectionC } G \text{ } p \text{ } T$` . As with  
 264 `wfgC`, we will be assuming that all types we mention are projectable.

## 265 3 LTS Semantics

266 In this section we introduce local type contexts, and define Labelled Transition System  
 267 semantics on these constructs.

### 268 3.1 Typing Contexts

269 We start by defining typing contexts as finite mappings of participants to local type trees.

► **Definition 3.1** (Typing Contexts).

270  $\Gamma ::= \emptyset \mid \Gamma, p : T$

271 Intuitively, `p : T` means that participant `p` is associated with a process that has the type  
 272 tree `T`. We write `dom( $\Gamma$ )` to denote the set of participants occurring in  `$\Gamma$` . We write  `$\Gamma(p)$`  for  
 273 the type of `p` in  `$\Gamma$` . We define the composition  `$\Gamma_1, \Gamma_2$`  iff `dom( $\Gamma_1$ )  $\cap$  dom( $\Gamma_2$ ) =  $\emptyset$` .

274 In the Coq implementation we implement local typing contexts as finite maps of parti-  
 275 cipants, which are represented as natural numbers, and local type trees.

```
276 Module M  $\triangleq$  MMaps.RBT.Make (Nat) .
Module MF  $\triangleq$  MMaps.Facts.Properties Nat M.
Definition tctx: Type  $\triangleq$  M.t ltt.
```

277 In our implementation, we extensively use the MMaps library [7], which defines finite maps  
 278 using red-black trees and provides many useful functions and theorems about them. We give  
 279 some of the most important ones below:

280 ■ `M.add p t g`: Adds value `t` with the key `p` to the finite map `g`.

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- 281 ■ `M.find p g`: If the key `p` is in the finite map `g` and is associated with the value `t`, returns  
282 `Some t`, else returns `None`.
  - 283 ■ `M.In p g`: A **Prop** that holds iff `p` is in `g`.
  - 284 ■ `M.mem p g`: A **bool** that is equal to `true` if `p` is in `g`, and `false` otherwise.
  - 285 ■ `M.Equal g1 g2`: Unfolds to  $\forall p, M.find\ p\ g1 = M.find\ p\ g2$ . For our purposes, if  
286 `M.Equal g1 g2` then `g1` and `g2` are indistinguishable. This is made formal in the `MMaps`  
287 library with the assertion that `M.Equal` forms a setoid, and theorems asserting that most  
288 functions on maps respect `M.Equal` by showing that they form **Proper** morphisms [10,  
289 Generalized Rewriting].
  - 290 ■ `M.merge f g1 g2` where `f: key → option value → option value → option value`:  
291 Creates a finite map whose keys are the keys in `g1` or `g2`, where the value of the key `p` is  
292 defined as `f p (M.find p g1) (M.find p g2)`.
  - 293 ■ `MF.Disjoint g1 g2`: A **Prop** that holds iff the keys of `g1` and `g2` are disjoint.
  - 294 ■ `M.Eqdom g1 g2`: A **Prop** that holds iff `g1` and `g2` have the same domains.
- 295 One important function that we define is `disj_merge`, which merges disjoint maps and is  
296 used to represent the composition of typing contexts.

```

Definition both (z: nat) (o:option ltt) (o':option ltt)  $\triangleq$ 
  match o,o' with
  | Some _, None   => o
  | None, Some _   => o'
  | _, _          => None
end.

Definition disj_merge (g1 g2:tctx) (H:MF.Disjoint g1 g2) : tctx  $\triangleq$ 
  M.merge both g1 g2.

```

297

298 We give LTS semantics to typing contexts, for which we first define the transition labels.

299 ► **Definition 3.2** (Transition labels). *A transition label  $\alpha$  has the following form:*

$\alpha ::= p : q \& \ell(S)$	$(p \text{ receives } \ell(S) \text{ from } q)$
$\quad \mid p : q \oplus \ell(S)$	$(p \text{ sends } \ell(S) \text{ to } q)$
$\quad \mid (p, q) \ell$	$(\ell \text{ is transmitted from } p \text{ to } q)$

303

304 and in *Coq*

```

Notation opt_lbl  $\triangleq$  nat.
Inductive label: Type  $\triangleq$ 
  | lrecv: part → part → option sort → opt_lbl → label
  | lsend: part → part → option sort → opt_lbl → label
  | lcomm: part → part → opt_lbl → label.

```

305

306 We also define the function `subject( $\alpha$ )` as `subject( $p : q \& \ell(S)$ ) = subject( $p : q \oplus \ell(S)$ ) = { $p$ }`  
307 and `subject( $(p, q) \ell$ ) = { $p, q$ }`.

308 In *Coq* we represent `subject( $\alpha$ )` with the predicate `ispSubj1 p alpha` that holds iff `p ∈`  
309 `subject( $\alpha$ )`.

```

Definition ispSubj1 r l  $\triangleq$ 
  match l with
  | lsend p q _ => p=r
  | lrecv p q _ => p=r
  | lcomm p q _ => p=r ∨ q=r
end.

```

310

311 ▶ **Remark 3.3.** From now on, we assume the all the types in the local type contexts always  
 312 have non-empty continuations. In Coq terms, if  $\mathsf{T}$  is in context  $\mathsf{gamma}$  then  $\mathsf{wfltt} \ \mathsf{T}$  holds.  
 313 This is expressed by the predicate  $\mathsf{wfltt} : \mathsf{tctx} \rightarrow \mathsf{Prop}$ .

## 314 3.2 Local Type Context Reductions

315 Next we define labelled transitions for local type contexts.

316 ▶ **Definition 3.4** (Typing context reductions). *The typing context transition  $\xrightarrow{\alpha}$  is defined*  
 317 *inductively by the following rules:*

$$\begin{array}{c}
 \frac{k \in I}{\mathsf{p} : \mathsf{q} \& \{\ell_i(S_i). \mathsf{T}_i\}_{i \in I} \xrightarrow{\mathsf{p} : \mathsf{q} \& \ell_k(S_k)} \mathsf{p} : \mathsf{T}_k} [\Gamma - \&] \\
 \\
 \frac{k \in I}{\mathsf{p} : \mathsf{q} \oplus \{\ell_i(S_i). \mathsf{T}_i\}_{i \in I} \xrightarrow{\mathsf{p} : \mathsf{q} \oplus \ell_k(S_k)} \mathsf{p} : \mathsf{T}_k} [\Gamma - \oplus] \quad \frac{\Gamma \xrightarrow{\alpha} \Gamma'}{\Gamma, \mathsf{p} : \mathsf{T} \xrightarrow{\alpha} \Gamma', \mathsf{p} : \mathsf{T}} [\Gamma -,] \\
 \\
 \frac{\Gamma_1 \xrightarrow{\mathsf{p} : \mathsf{q} \oplus \ell(S)} \Gamma'_1 \quad \Gamma_2 \xrightarrow{\mathsf{q} : \mathsf{p} \& \ell(S')} \Gamma'_2 \quad S \leq S'}{\Gamma_1, \Gamma_2 \xrightarrow{(\mathsf{p}, \mathsf{q})^\ell} \Gamma'_1, \Gamma'_2} [\Gamma - \oplus \&]
 \end{array}$$

319 We write  $\Gamma \xrightarrow{\alpha}$  if there exists  $\Gamma'$  such that  $\Gamma \xrightarrow{\alpha} \Gamma'$ . We define a reduction  $\Gamma \rightarrow \Gamma'$  that holds  
 320 iff  $\Gamma \xrightarrow{(\mathsf{p}, \mathsf{q})^\ell} \Gamma'$  for some  $\mathsf{p}, \mathsf{q}, \ell$ . We write  $\Gamma \rightarrow$  iff  $\Gamma \rightarrow \Gamma'$  for some  $\Gamma'$ . We write  $\rightarrow^*$  for  
 321 the reflexive transitive closure of  $\rightarrow$ .

322  $[\Gamma - \oplus]$  and  $[\Gamma - \&]$ , express a single participant sending or receiving.  $[\Gamma - \oplus \&]$  expresses a  
 323 synchronized communication where one participant sends while another receives, and they  
 324 both progress with their continuation.  $[\Gamma -,]$  shows how to extend a context.

325 In Coq typing context reductions are defined the following way:

```

Inductive tctxR: tctx  $\rightarrow$  label  $\rightarrow$  tctx  $\rightarrow$  Prop  $\triangleq$ 
  | Rsend:  $\forall$  p q xs n s T,
    p  $\neq$  q  $\rightarrow$ 
    onth n xs = Some (s, T)  $\rightarrow$ 
    tctxR (M.add p (ltsend q xs) M.empty) (lsend p q (Some s) n)
    (M.add p T M.empty)
  | Rrecv: ...
  | Rcomm:  $\forall$  p q g1 g1' g2 g2' s s' n (H1: MF.Disjoint g1 g2) (H2: MF.Disjoint
    g1' g2'),
    p  $\neq$  q  $\rightarrow$ 
    tctxR g1 (lsend p q (Some s) n) g1'  $\rightarrow$ 
    tctxR g2 (lrecv q p (Some s') n) g2'  $\rightarrow$ 
    subsort s s'  $\rightarrow$ 
    tctxR (disj_merge g1 g2 H1) (lcomm p q n) (disj_merge g1' g2' H2)
  | RvarI:  $\forall$  g l g' p T,
    tctxR g l g'  $\rightarrow$ 
    M.mem p g = false  $\rightarrow$ 
    tctxR (M.add p T g) l (M.add p T g')
  | Rstruct:  $\forall$  g1 g1' g2 g2' l, tctxR g1' l g2'  $\rightarrow$ 
    M.Equal g1 g1'  $\rightarrow$ 
    M.Equal g2 g2'  $\rightarrow$ 

```

326

```
tctxR g1 l g2.
```

327

328 `Rsend`, `Rrecv` and `RvarI` are straightforward translations of  $[\Gamma - \&]$ ,  $[\Gamma - \oplus]$  and  $[\Gamma - ,]$ .  
 329 `Rcomm` captures  $[\Gamma - \oplus \&]$  using the `disj_merge` function we defined for the compositions, and  
 330 requires a proof that the contexts given are disjoint to be applied. `Rstruct` captures the  
 331 indistinguishability of local contexts under `M.Equal`.  
 332 We give an example to illustrate typing context reductions.

333 ► **Example 3.5.** Let

334  $T_p = q \oplus \{\ell_0(\text{int}).T_p, \ell_1(\text{int}).\text{end}\}$   
 335  $T_q = p \& \{\ell_0(\text{int}).T_q, \ell_1(\text{int}).r \oplus \{\ell_3(\text{int}).\text{end}\}\}$   
 336  $T_r = q \& \{\ell_2(\text{int}).\text{end}\}$

337

338 and  $\Gamma = p : T_p, q : T_q, r : T_r$ . We have the following one step reductions from  $\Gamma$ :

$$339 \quad \Gamma \xrightarrow{p:q \oplus \ell_0(\text{int})} \Gamma \quad (1)$$

$$340 \quad \Gamma \xrightarrow{q:p \& \ell_0(\text{int})} \Gamma \quad (2)$$

$$341 \quad \Gamma \xrightarrow{(p,q)\ell_0} \Gamma \quad (3)$$

$$342 \quad \Gamma \xrightarrow{r:q \& \ell_2(\text{int})} p : T_p, q : T_q, r : \text{end} \quad (4)$$

$$343 \quad \Gamma \xrightarrow{p:q \oplus \ell_1(\text{int})} p : \text{end}, q : T_q, r : T_r \quad (5)$$

$$344 \quad \Gamma \xrightarrow{q:p \& \ell_1(\text{int})} p : T_p, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : T_r \quad (6)$$

$$345 \quad \Gamma \xrightarrow{(p,q)\ell_1} p : \text{end}, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : T_r \quad (7)$$

346 and by (3) and (7) we have the synchronized reductions  $\Gamma \rightarrow \Gamma$  and

347  $\Gamma \rightarrow \Gamma' = p : \text{end}, q : r \oplus \{\ell_2(\text{int}).\text{end}\}, r : T_r$ . Further reducing  $\Gamma'$  we get

$$348 \quad \Gamma' \xrightarrow{q:r \oplus \ell_2(\text{int})} p : \text{end}, q : \text{end}, r : T_r \quad (8)$$

$$349 \quad \Gamma' \xrightarrow{r:q \& \ell_2(\text{int})} p : \text{end}, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : \text{end} \quad (9)$$

$$350 \quad \Gamma' \xrightarrow{(q,r)\ell_2} p : \text{end}, q : \text{end}, r : \text{end} \quad (10)$$

351 and by (10) we have the reduction  $\Gamma' \rightarrow p : \text{end}, q : \text{end}, r : \text{end} = \Gamma_{\text{end}}$ , which results in a  
 352 context that can't be reduced any further.

353 In Coq,  $\Gamma$  is defined the following way:

```
Definition prt_pΔ0.
Definition prt_qΔ1.
Definition prt_rΔ2.
CoFixpoint T_p  $\triangleq$  ltt_send prt_q [Some (sint,T_p); Some (sint,ltt_end); None].
CoFixpoint T_q  $\triangleq$  ltt_recv prt_p [Some (sint,T_q); Some (sint, ltt_send prt_r [None;None;Some (sint,ltt_end)]); None].
Definition T_r  $\triangleq$  ltt_recv prt_q [None;None; Some (sint,ltt_end)].
Definition gamma  $\triangleq$  M.add prt_p T_p (M.add prt_q T_q (M.add prt_r T_r M.empty)).
```

354

355 Now Equation (1) can be stated with the following piece of Coq

```
Lemma red_1 : tctxR gamma (lsend prt_p prt_q (Some sint) 0) gamma.
```

356

### 3.3 Global Type Reductions

357

As with local typing contexts, we can also define reductions for global types.

358

► **Definition 3.6** (Global type reductions). *The global type transition  $\xrightarrow{\alpha}$  is defined coinductively as follows.*

359

360

$$\frac{k \in I}{\frac{\text{p} \rightarrow \text{q} : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{(p,q)\ell_k} G_k}{\text{p} \rightarrow \text{q} : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{\alpha} \text{p} \rightarrow \text{q} : \{\ell_i(S_i).G'_i\}_{i \in I}}} \text{[GR-}\oplus\&] \quad \text{[GR-CTX]}$$

$\forall i \in I \ G_i \xrightarrow{\alpha} G'_i \quad \text{subject}(\alpha) \cap \{\text{p}, \text{q}\} = \emptyset \quad \forall i \in I \ \{\text{p}, \text{q}\} \subseteq \text{pt}\{G_i\}$

361

In Coq  $G \xrightarrow{(p,q)\ell_k} G'$  is expressed with the coinductively defined (via Paco) predicate `gttstepC`

362

`G G' p q k`.

363

[GR- $\oplus\&$ ] says that a global type tree with root  $\text{p} \rightarrow \text{q}$  can transition to any of its children corresponding to the message label chosen by  $\text{p}$ . [GR-CTX] says that if the subjects of  $\alpha$  are disjoint from the root and all its children can transition via  $\alpha$ , then the whole tree can also transition via  $\alpha$ , with the root remaining the same and just the subtrees of its children transitioning.

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### 3.4 Association Between Local Type Contexts and Global Types

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We have defined local type contexts which specifies protocols bottom-up by directly describing the roles of every participant, and global types, which give a top-down view of the whole protocol, and the transition relations on them. We now relate these local and global definitions by defining *association* between local type context and global types.

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► **Definition 3.7** (Association). *A local typing context  $\Gamma$  is associated with a global type tree  $G$ , written  $\Gamma \sqsubseteq G$ , if the following hold:*

■ For all  $\text{p} \in \text{pt}(G)$ ,  $\text{p} \in \text{dom}(\Gamma)$  and  $\Gamma(\text{p}) \leq G \upharpoonright \text{p}$ .

■ For all  $\text{p} \notin \text{pt}(G)$ , either  $\text{p} \notin \text{dom}(\Gamma)$  or  $\Gamma(\text{p}) = \text{end}$ .

In Coq this is defined with the following:

```
Definition assoc (g: tctx) (gt:gtt) :=
  ∀ p, (isgPartsC p gt → ∃ Tp, M.find p g=Some Tp ∧
    isubProj Tp gt p) ∧
    (¬ isgPartsC p gt → ∀ Tpx, M.find p g = Some Tpx → Tpx=1tt_end).
```

379

Informally,  $\Gamma \sqsubseteq G$  says that the local type trees in  $\Gamma$  obey the specification described by the global type tree  $G$ .

380

381

382

► **Example 3.8.** In Example 3.5, we have that  $\Gamma \sqsubseteq G$  where

383

$$G := \text{p} \rightarrow \text{q} : \{\ell_0(\text{int}).G, \ell_1(\text{int}).\text{q} \rightarrow \text{r} : \{\ell_2(\text{int}).\text{end}\}\}$$

384

385

386

Note that  $G$  is the global type that was shown to be unbalanced in Example 2.14. In fact, we have  $\Gamma(s) = G \upharpoonright s$  for  $s \in \{\text{p}, \text{q}, \text{r}\}$ . Similarly, we have  $\Gamma' \sqsubseteq G'$  where

$$G' := \text{q} \rightarrow \text{r} : \{\ell_2(\text{int}).\text{end}\}$$

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It is desirable to have the association be preserved under local type context and global type reductions, that is, when one of the associated constructs "takes a step" so should the other. We formalise this property with soundness and completeness theorems.

► **Theorem 3.9** (Soundness of Association). *If  $\text{assoc } \text{gamma } G$  and  $\text{gttstepC } G \ G' \ p \ q \ \text{ell}$ , then there is a local type context  $\text{gamma}'$ , a global type tree  $G''$  and a message label  $\text{ell}'$  such that  $\text{gttStepC } G \ G'' \ p \ q \ \text{ell}'$ ,  $\text{assoc } \text{gamma}' \ G''$  and  $\text{tctxR } \text{gamma} \ (\text{lcomm } p \ q \ \text{ell}') \ \text{gamma}'$ .*

**Proof.**

► **Theorem 3.10** (Completeness of Association). *If  $\text{assoc } \text{gamma } G$  and  $\text{tctxR } \text{gamma} \ (\text{lcomm } p \ q \ \text{ell}) \ \text{gamma}'$ , then there exists a global type tree  $G'$  such that  $\text{assoc } \text{gamma}' \ G'$  and  $\text{gttstepC } G \ G' \ p \ q \ \text{ell}$ .*

**Proof.**

► **Remark 3.11.** Note that in the statement of soundness we allow the message label for the local type context reduction to be different to the message label for the global type reduction. This is because our use of subtyping in association causes the entries in the local type context to be less expressive than the types obtained by projecting the global type. For example consider

$$\Gamma = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, \ q : p \& \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$$

and

$$G = p \rightarrow q : \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$$

We have  $\Gamma \sqsubseteq G$  and  $G \xrightarrow{(p,q)\ell_1}$ . However  $\Gamma \xrightarrow{(p,q)\ell_1}$  is not a valid transition. Note that soundness still requires that  $\Gamma \xrightarrow{(p,q)\ell_x}$  for some  $x$ , which is satisfied in this case by the valid transition  $\Gamma \xrightarrow{(p,q)\ell_0}$ .

## 4 Properties of Local Type Contexts

We now use the LTS semantics to define some desirable properties on type contexts and their reduction sequences. Namely, we formulate safety, liveness and fairness properties based on the definitions in [13].

### 4.1 Safety

We start by defining safety:

► **Definition 4.1** (Safe Type Contexts). *We define safe coinductively as the largest set of type contexts such that whenever we have  $\Gamma \in \text{safe}$ :*

$$\begin{aligned} \Gamma \xrightarrow{p:q \oplus \ell(S)} \text{ and } \Gamma \xrightarrow{q:p \& \ell'(S')} \text{ implies } \Gamma \xrightarrow{(p,q)\ell} & \quad [\text{S-}\&\oplus] \\ \Gamma \rightarrow \Gamma' \text{ implies } \Gamma' \in \text{safe} & \quad [\text{S-}\rightarrow] \end{aligned}$$

We write  $\text{safe}(\Gamma)$  if  $\Gamma \in \text{safe}$ .

Informally, safety says that if  $p$  and  $q$  communicate with each other and  $p$  requests to send a value using message label  $\ell$ , then  $q$  should be able to receive that message label. Furthermore, this property should be preserved under any typing context reductions. Being a coinductive property, to show that  $\text{safe}(\Gamma)$  it suffices to give a set  $\varphi$  such that  $\Gamma \in \varphi$  and  $\varphi$  satisfies  $[S-\&\oplus]$  and  $[S-\rightarrow]$ . This amounts to showing that every element of  $\Gamma'$  of the set of reducts of  $\Gamma$ , defined  $\varphi := \{\Gamma' \mid \Gamma \rightarrow^* \Gamma'\}$ , satisfies  $[S-\&\oplus]$ . We illustrate this with some examples:

► **Example 4.2.** Let  $\Gamma_A = p : \text{end}$ , then  $\Gamma_A$  is safe: the set of reducts is  $\{\Gamma_A\}$  and this set respects  $[S-\&\oplus]$  as its elements can't reduce, and it respects  $[S-\rightarrow]$  as it's closed with respect to  $\rightarrow$ .

Let  $\Gamma_B = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{nat}).\text{end}\}$ .  $\Gamma_B$  is not safe as as we have  $\Gamma_B \xrightarrow{p:q \oplus \ell_0}$  and  $\Gamma_B \xrightarrow{q:p \& \ell_0}$  but we don't have  $\Gamma_B \xrightarrow{(p,q)\ell_0}$  as  $\text{int} \not\leq \text{nat}$ .

Let  $\Gamma_C = p : q \oplus \{\ell_1(\text{int}).q \oplus \{\ell_0(\text{int}).\text{end}\}\}, q : p \& \{\ell_1(\text{int}).p \& \{\ell_0(\text{nat}).\text{end}\}\}$ .  $\Gamma_C$  is not safe as we have  $\Gamma_C \xrightarrow{(p,q)\ell_1} \Gamma_B$  and  $\Gamma_B$  is not safe.

Consider  $\Gamma$  from Example 3.5. All the reducts satisfy  $[S-\&\oplus]$ , hence  $\Gamma$  is safe.

Being a coinductive property,  $\text{safe}$  can be expressed in Coq using Paco:

```

Definition weak_safety (c: tctx)  $\triangleq$ 
 $\forall p q s s' k k', \text{tctxRE } (\text{lscnd } p q (\text{Some } s) k) c \rightarrow \text{tctxRE } (\text{lrcv } q p (\text{Some } s') k') c \rightarrow$ 
 $\text{tctxRE } (\text{lcomm } p q k) c.$ 
Inductive safe (R: tctx  $\rightarrow$  Prop): tctx  $\rightarrow$  Prop  $\triangleq$ 
| safety_red :  $\forall c, \text{weak\_safety } c \rightarrow (\forall p q c' k,$ 
 $\text{tctxR } c (\text{lcomm } p q k) c' \rightarrow (\text{weak\_safety } c' \wedge (\exists c'', \text{M.Equal } c' c'' \wedge R c''))$ 
 $\rightarrow \text{safe } R c.$ 
Definition safeC c  $\triangleq$  paco1 safe bot1 c.

```

$\text{weak\_safety}$  corresponds  $[S-\&\oplus]$  where  $\text{tctxRE } 1 c$  is shorthand for  $\exists c', \text{tctxR } c 1 c'$ . In the inductive  $\text{safe}$ , the constructor  $\text{safety\_red}$  corresponds to  $[S-\rightarrow]$ . Then  $\text{safeC}$  is defined as the greatest fixed point of  $\text{safe}$ .

We have that local type contexts with associated global types are always safe.

► **Theorem 4.3 (Safety by Association).** *If assoc gamma g then safeC gamma.*

**Proof.** todo ◀

## 4.2 Linear Time Properties

We now focus our attention to fairness and liveness. In this paper we have defined LTS semantics on three types of constructs: sessions, local type contexts and global types. We will appropriately define liveness properties on all three of these systems, so it will be convenient to define a general notion of valid reduction paths (also known as *runs* or *executions* [1, 2.1.1]) along with a general statement of some Linear Temporal Logic [9] constructs.

We start by defining the general notion of a reduction path [1, Def. 2.6] using possibly infinite cosequences.

► **Definition 4.4 (Reduction Paths).** *A finite reduction path is an alternating sequence of states and labels  $S_0 \lambda_0 S_1 \lambda_1 \dots S_n$  such that  $S_i \xrightarrow{\lambda_i} S_{i+1}$  for all  $0 \leq i < n$ . An infinite reduction path is an alternating sequence of states and labels  $S_0 \lambda_0 S_1 \lambda_1 \dots S_n$  such that  $S_i \xrightarrow{\lambda_i} S_{i+1}$  for all  $0 \leq i$ .*

We won't be distinguishing between finite and infinite reduction paths and refer to them both as just (*reduction*) *paths*. Note that the above definition is general for LTSs, by *state* we will be referring to local type contexts, global types or sessions, depending on the contexts.

## 23:16 Dummy short title

In Rocq, we define reduction paths using possibly infinite cosequences of pairs of states (which will be `tctx`, `gtt` or `session` in this paper) and `option label`:

```
CoInductive coseq (A: Type): Type :=
| conil : coseq A
| cocons: A → coseq A → coseq A.
Notation local_path := (coseq (tctx*option label)).
Notation global_path := (coseq (gtt*option label)).
Notation session_path := (coseq (session*option label)).
```

Note the use of `option label`, where we employ `None` to represent transitions into the end of the list, `conil`. For example,  $S_0 \xrightarrow{\lambda_0} S_1 \xrightarrow{\lambda_1} S_2$  would be represented in Rocq as `cocons (s_0, Some lambda_0) (cocons (s_1, Some lambda_1) (cocons (s_2, None) conil))`, and `cocons (s_1, Some lambda) conil` would not be considered a valid path.

Note that this definition doesn't require the transitions in the `coseq` to actually be valid. We achieve that using the coinductive predicate `valid_path_GC A:Type (V: A → label → A → Prop)`, where the parameter `V` is a *transition validity predicate*, capturing if a one-step transition is valid. For all `V`, `valid_path_GC V conil` and  $\forall x, \text{valid\_path\_GC } V (\text{cocons } (x, \text{None}) \text{ conil})$  hold, and `valid_path_GC V cocons (x, Some l) (cocons (y, l') xs)` holds if the transition validity predicate `V x l y` and `valid_path_GC V (cocons (y, l') xs)` hold. We use different `V` based on our application, for example in the context of local type context reductions the predicate is defined as follows:

```
Definition local_path_vcriteria := (fun x1 l x2 =>
match (x1,l,x2) with
| ((g1,lcomm p q ell),g2) => tctxR g1 (lcomm p q ell) g2
| _ => False
end
).
```

That is, we only allow synchronised communications in a valid local type context reduction path.

We can now define fairness and liveness on paths. We first restate the definition of fairness and liveness for local type context paths from [13], and use that to motivate our use of more general LTL constructs.

► **Definition 4.5 (Fair, Live Paths).** We say that a local type context path  $\Gamma_0 \xrightarrow{\lambda_0} \Gamma_1 \xrightarrow{\lambda_2} \dots$  is fair if, for all  $n \in N : \Gamma_n \xrightarrow{(p,q)\ell} \dots$  implies  $\exists k, \ell'$  such that  $N \ni k \geq n$  and  $\lambda_k = (p,q)\ell'$ , and therefore  $\Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$ . We say that a path  $(\Gamma_n)_{n \in N}$  is live iff,  $\forall n \in N$ :

1.  $\forall n \in N : \Gamma_n \xrightarrow{p:q \oplus \ell(S)} \dots$  implies  $\exists k, \ell'$  such that  $N \ni k \geq n$  and  $\Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$
2.  $\forall n \in N : \Gamma_n \xrightarrow{q:p \& \ell(S)} \dots$  implies  $\exists k, \ell'$  such that  $N \ni k \geq n$  and  $\Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$

► **Definition 4.6 (Live Local Type Context).** A local type context  $\Gamma$  is live if whenever  $\Gamma \rightarrow^* \Gamma'$ , every fair path starting from  $\Gamma'$  is also live.

In general, fairness assumptions are used so that only the reduction sequences that are "well-behaved" in some sense are considered when formulating other properties [5]. For our purposes we define fairness such that, in a fair path, if at any point `p` attempts to send to `q` and `q` attempts to send to `p` then eventually a communication between `p` and `q` takes place. Then live paths are defined to be paths such that whenever `p` attempts to send to `q` or `q` attempts to send to `p`, eventually a `p` to `q` communication takes place. Informally, this means that every communication request is eventually answered. Then live typing contexts are defined to be the  $\Gamma$  where all fair paths that start from  $\Gamma$  are also live.



► **Example 4.7.** Consider the contexts  $\Gamma, \Gamma'$  and  $\Gamma_{\text{end}}$  from Example 3.5. One possible reduction path is  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \dots$ . Denote this path as  $(\Gamma_n)_{n \in \mathbb{N}}$ , where  $\Gamma_n = \Gamma$  for all  $n \in \mathbb{N}$ . By reductions (3) and (7), we have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0}$  and  $\Gamma_n \xrightarrow{(p,q)\ell_1}$  as the only possible synchronised reductions from  $\Gamma_n$ . Accordingly, we also have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0} \Gamma_{n+1}$  in the path so this path is fair. However, this path is not live as we have by reduction (4) that  $\Gamma_1 \xrightarrow{r;q\&\ell_2(\text{int})}$  but there is no  $n, \ell'$  with  $\Gamma_n \xrightarrow{(q,r)\ell'}$   $\Gamma_{n+1}$  in the path. Consequently,  $\Gamma$  is not a live type context.

Now consider the reduction path  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \Gamma' \xrightarrow{(q,r)\ell_2} \Gamma_{\text{end}}$ , denoted by  $(\Gamma'_n)_{n \in \{1..4\}}$ . This path is fair with respect to reductions from  $\Gamma'_1$  and  $\Gamma'_2$  as shown above, and it's fair with respect to reductions from  $\Gamma'_3$  as reduction (10) is the only one available from  $\Gamma'_3$  and we have  $\Gamma'_3 \xrightarrow{(q,r)\ell_2} \Gamma'_4$  as needed. Furthermore, this path is live: the reduction  $\Gamma_1 \xrightarrow{r;q\&\ell_2(\text{int})}$  that causes  $(\Gamma_n)$  to fail liveness is handled by the reduction  $\Gamma'_3 \xrightarrow{(q,r)\ell_2} \Gamma'_4$  in this case.

Definition 4.5, while intuitive, is not really convenient for a Coq formalisation due to the existential statements contained in them. It would be ideal if these properties could be expressed as a least or greatest fixed point, which could then be formalised via Coq's inductive or coinductive (via Paco) types. To do that, we turn to Linear Temporal Logic (LTL) [9].

► **Definition 4.8 (Linear Temporal Logic).** *The syntax of LTL formulas  $\psi$  are defined inductively with boolean connectives  $\wedge, \vee, \neg$ , atomic propositions  $P, Q, \dots$ , and temporal operators  $\Box$  (always),  $\Diamond$  (eventually),  $\bigcirc$  next and  $\mathcal{U}$ . Atomic propositions are evaluated over pairs of states and transitions  $(S, i, \lambda_i)$  (for the final state  $S_n$  in a finite reduction path we take that there is a null transition from  $S_n$ , corresponding to a **None** transition in Rocq) while LTL formulas are evaluated over reduction paths<sup>1</sup>. The satisfaction relation  $\rho \models \psi$  (where  $\rho = S_0 \xrightarrow{\lambda_0} S_1 \dots$  is a reduction path, and  $\rho_i$  is the suffix of  $\rho$  starting from index  $i$ ) is given by the following:*

- $\rho \models P \iff (S_0, \lambda_0) \models P.$
- $\rho \models \psi_1 \wedge \psi_2 \iff \rho \models \psi_1 \text{ and } \rho \models \psi_2$
- $\rho \models \neg \psi_1 \iff \text{not } \rho \models \psi_1$
- $\rho \models \bigcirc \psi_1 \iff \rho_1 \models \psi_1$
- $\rho \models \Diamond \psi_1 \iff \exists k \geq 0, \rho_k \models \psi_1$
- $\rho \models \Box \psi_1 \iff \forall k \geq 0, \rho_k \models \psi_1$
- $\rho \models \psi_1 \mathcal{U} \psi_2 \iff \exists k \geq 0, \rho_k \models \psi_2 \text{ and } \forall j < k, \rho_j \models \psi_1$

Fairness and liveness for local type context paths Definition 4.5 can be defined in Linear Temporal Logic (LTL). Specifically, define atomic propositions  $\text{enabledComm}_{p,q,\ell}$  such that  $(\Gamma, \lambda) \models \text{enabledComm}_{p,q,\ell} \iff \Gamma \xrightarrow{(p,q)\ell}$ , and  $\text{headComm}_{p,q}$  that holds iff  $\lambda = (p, q)\ell$  for some  $\ell$ . Then

- Fairness can be expressed in LTL with: for all  $p, q$ ,

$$\Box(\text{enabledComm}_{p,q,\ell} \implies \Diamond(\text{headComm}_{p,q}))$$

<sup>1</sup> These semantics assume that the reduction paths are infinite. In our implementation we do a sleight-of-hand and, for the purposes of the  $\Box$  operator, treat a terminating path as entering a dump state  $S_\perp$  (which corresponds to **conil** in Rocq) and looping there infinitely.

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532 ■ Similarly, by defining  $\text{enabledSend}_{p,q,\ell,S}$  that holds iff  $\Gamma \xrightarrow{p:q \oplus \ell(S)}$  and analogously  
 533  $\text{enabledRecv}$ , liveness can be defined as

$$\begin{aligned} 534 & \Box((\text{enabledSend}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{p,q})) \wedge \\ 535 & (\text{enabledRecv}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{q,p}))) \end{aligned}$$

536 The reason we defined the properties using LTL properties is that the operators  $\Diamond$  and  $\Box$   
 537 can be characterised as least and greatest fixed points using their expansion laws [1, Chapter  
 538 5.14]:

539 ■  $\Diamond P$  is the least solution to  $\Diamond P \equiv P \vee \bigcirc(\Diamond P)$

540 ■  $\Box P$  is the greatest solution to  $\Box P \equiv P \wedge \bigcirc(\Box P)$

541 ■  $PUQ$  is the least solution to  $PUQ \equiv Q \vee (P \wedge \bigcirc(PUQ))$

542 Thus fairness and liveness correspond to greatest fixed points, which can be defined coin-  
 543 ductively.

544 In Coq, we implement the LTL operators  $\Diamond$  and  $\Box$  inductively and coinductively (with  
 545 Paco), in the following way:

```
Inductive eventually {A: Type} (F: cseq A → Prop): cseq A → Prop :=
| evh: ∀ xs, F xs → eventually F xs
| evc: ∀ x xs, eventually F xs → eventually F (cocons x xs).

Inductive until {A: Type} (F: cseq A → Prop) (G: cseq A → Prop) : cseq A → Prop :=
| untilh: ∀ xs, G xs → until F G xs
| untilc: ∀ x xs, F (cocons x xs) → until F G xs → until F G (cocons x xs).

Inductive alwaysG {A: Type} (F: cseq A → Prop) (R: cseq A → Prop): cseq A → Prop :=
| alvn: F conil → alwaysG F R conil
| alvc: ∀ x xs, F (cocons x xs) → R xs → alwaysG F R (cocons x xs).

Definition alwaysCG {A: Type} (F: cseq A → Prop) := pacol (alwaysG F) bot1.
```

546

547 Note the use of the constructor `alvn` in the definition `alwaysG` to handle finite paths.

548 Using these LTL constructs we can define fairness and liveness on paths.

```
Definition fair_path_local_inner (pt: local_path): Prop :=
  ∀ p q n, to_path_prop (tctxRE (lcomm p q n)) False pt → eventually (headComm p q) pt.
Definition fair_path := alwaysCG fair_path_local_inner.
Definition live_path_inner (pt: local_path) : Prop := ∀ p q s n,
  (to_path_prop (tctxRE (lsend p q (Some s) n)) False pt → eventually (headComm p q) pt) ∧
  (to_path_prop (tctxRE (lrecv p q (Some s) n)) False pt → eventually (headComm q p) pt).
Definition live_path := alwaysCG live_path_inner.
```

549

550 For instance, the fairness of the first reduction path for  $\Gamma$  given in Example 4.7 can be  
 551 expressed with the following:

```
CoFixpoint inf_pq_path := cocons (gamma, (lcomm prt_p prt_q) 0) inf_pq_path.
Theorem inf_pq_path_fair : fairness inf_pq_path.
```

552

### 553 4.3 Rocq Proof of Liveness by Association

554 We now detail the Rocq Proof that associated local type contexts are also live.

555 ► Remark 4.9. We once again emphasise that all global types mentioned are assumed to  
 556 be balanced (Definition 2.13). Indeed association with non-balanced global types doesn't  
 557 guarantee liveness. As an example, consider  $\Gamma$  from Example 3.5, which is associated with  $G$   
 558 from Example 3.8. Yet we have shown in Example 4.7 that  $\Gamma$  is not a live type context. This  
 559 is not surprising as Example 2.14 shows that  $G$  is not balanced.

560 Our proof proceeds in the following way:

1. Formulate an analogue of fairness and liveness for global type reduction paths.
  2. Prove that all global types are live for this notion of liveness.
  3. Show that if  $G : \text{gtt}$  is live and  $\text{assoc } \text{gamma } G$ , then  $\text{gamma}$  is also live.
- First we define fairness and liveness for global types, analogous to Definition 4.5.

► **Definition 4.10** (Fairness and Liveness for Global Types). *We say that the label  $\lambda$  is enabled at  $G$  if the context  $\{p_i : G \vdash_{p_i} \mid p_i \in \text{pt}\{G\}\}$  can transition via  $\lambda$ . More explicitly, and in Rocq terms,*

```
Definition global_label_enabled l g  $\triangleq$  match l with
| lsend p q (Some s) n  $\Rightarrow$   $\exists$  xs g',
  projectionC g p (litt_send q xs)  $\wedge$  onth n xs=Some (s,g')
| lrecv p q (Some s) n  $\Rightarrow$   $\exists$  xs g',
  projectionC g p (litt_recv q xs)  $\wedge$  onth n xs=Some (s,g')
| lcomm p q n  $\Rightarrow$   $\exists$  g', gttstepC g g' p q n
| _  $\Rightarrow$  False end.
```

With this definition of enabling, fairness and liveness are defined exactly as in Definition 4.5. A global type reduction path is fair if the following holds:

$$\Box(\text{enabledComm}_{p,q,\ell} \implies \Diamond(\text{headComm}_{p,q}))$$

and liveness is expressed with the following:

$$\Box((\text{enabledSend}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{p,q})) \wedge (\text{enabledRecv}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{q,p})))$$

where  $\text{enabledSend}$ ,  $\text{enabledRecv}$  and  $\text{enabledComm}$  correspond to the match arms in the definition of  $\text{global\_label\_enabled}$  (Note that the names  $\text{enabledSend}$  and  $\text{enabledRecv}$  are chosen for consistency with Definition 4.5, there aren't actually any transitions with label  $p : q \oplus \ell(S)$  in the transition system for global types). A global type  $G$  is live if whenever  $G \rightarrow^* G'$ , any fair path starting from  $G'$  is also live.

Now our goal is to prove that all (well-formed, balanced, projectable)  $G$  are live under this definition. This is where the notion of grafting (Definition 2.13) becomes important, as the proof essentially proceeds by well-founded induction on the height of the tree obtained by grafting.

We first introduce some definitions on global type tree contexts (Definition 2.15).

► **Definition 4.11** (Global Type Context Equality, Proper Prefixes and Height). *We consider two global type tree contexts to be equal if they are the same up to the relabelling the indices of their leaves. More precisely,*

```
Inductive gtt_eq: gttth  $\rightarrow$  gttth  $\rightarrow$  Prop  $\triangleq$ 
| gttth_eq_hol :  $\forall$  n m, gttth_eq (gttth_hol n) (gttth_hol m)
| gttth_eq_send :  $\forall$  xs ys p q,
  Forall12 ( $\text{fun } u v \Rightarrow (u=\text{None} \wedge v=\text{None}) \vee (\exists s g1 g2, u=\text{Some } (s,g1) \wedge v=\text{Some } (s,g2) \wedge \text{gttth\_eq } g1 g2) \Rightarrow$ 
  gttth_eq (gttth_send p q xs) (gttth_send p q ys)).
```

Informally, we say that the global type context  $G'$  is a proper prefix of  $G$  if any path to a leaf in  $G'$  is a proper prefix of a path in  $G$ . Alternatively, we can characterise it as akin to  $\text{gttth\_eq}$  except where the context holes in  $G'$  are assumed to be "jokers" that can be matched with any global type context that's not just a context hole. In Rocq:

this section is wrong, fix it

```

Inductive is_tree_proper_prefix : gttth → gttth → Prop ≙
| tree_proper_prefix_hole : ∀ n p q xs, is_tree_proper_prefix (gttth_hol n) (gttth_send p q xs)
| tree_proper_prefix_tree : ∀ p q xs ys,
  Forall2 (fun u v => (u=None ∧ v=None)
    ∨ ∃ s g1 g2, u=Some (s, g1) ∧ v=Some (s, g2) ∧
      is_tree_proper_prefix g1 g2
  ) xs ys →
  is_tree_proper_prefix (gttth_send p q xs) (gttth_send p q ys).

```

593

give examples

We also define a function `gttth_height` : `gttth` → `Nat` that computes the height [2] of a global type tree context.

595

596

```

Fixpoint gttth_height (gh : gttth) : nat ≙
match gh with
| gttth_hol n => 0
| gttth_send p q xs =>
  list_max (map (fun u => match u with
    | None => 0
    | Some (s, x) => gttth_height x end) xs) + 1 end.

```

597

`gttth_height`, `gttth_eq` and `is_tree_proper_prefix` interact in the expected way.

► **Lemma 4.12.** *If `gttth_eq gx gx'` then `gttth_height gx = gttth_height gx'`.*

599

► **Lemma 4.13.** *If `is_tree_proper_prefix gx gx'` then `gttth_height gx < gttth_height gx'`.*

600

Our motivation for introducing these constructs on global type tree contexts is the following *multigrafting* lemma:

601

602

► **Lemma 4.14** (Multigrafting). *Let `projectionC g p (lts_send q xsp)` or `projectionC g p (lts_recv q xsp)`, `projectionC g q Tq`, `g` is `p`-grafted by `ctx_p` and `gs_p`, and `g` is `q`-grafted by `ctx_q` and `gs_q`. Then either `is_tree_proper_prefix ctx_q ctx_p` or `gttth_eq ctx_p ctx_q`. Furthermore, if `gttth_eq ctx_p ctx_q` then `projectionC g q (lts_send p xsq)` or `projectionC g q (lts_recv p xsq)` for some `xsq`.*

603

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607

**Proof.** By induction on the global type context `ctx_p`. ◀

608

example

609

610

611

We also have that global type reductions that don't involve participant `p` can't increase the height of the `p`-grafting, established by the following lemma:

► **Lemma 4.15.** *Suppose `g : gtt` is `p`-grafted by `gx : gttth` and `gs : list (option gtt)`, `gttstepC g g' s t ell` where `p ≠ s` and `p ≠ t`, and `g'` is `p`-grafted by `gx'` and `gs'`. Then*

612

613

614

615

(i) *If `ishParts s gx` or `ishParts t gx`, then `gttth_height gx' < gttth_height gx`*

(ii) *In general, `gttth_height gx' ≤ gttth_height gx`*

**Proof.** We define an inductive predicate `gttstepH` : `gttth` → `part` → `part` → `part` → `gttth` → `Prop` with the property that if `gttstepC g g' p q ell` for some `r ≠ p, q`, and tree contexts `gx` and `gx'` `r`-graft `g` and `g'` respectively, then `gttstepH gx p q ell gx'` (`gttstepH_consistent`). The results then follow by induction on the relation `gttstepH gx s t ell gx'`. ◀

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618

619

620

We can now prove the liveness of global types. The bulk of the work goes in to proving the following lemma:

621

622

► **Lemma 4.16.** *Let `xs` be a fair global type reduction path starting with `g`.*

623

624

625

(i) *If `projectionC g p (lts_send q xsp)` for some `xsp`, then a `lcomm p q ell` transition takes place in `xs` for some message label `ell`.*

626 (ii) If  $\text{projectionC } g \ p \ (\text{ltt\_recv } q \ xsp)$  for some  $xsp$ , then a  $\text{lcomm } q \ p \ \text{ell}$  transition  
 627 takes place in  $xs$  for some message label  $\text{ell}$ .

628 **Proof.** We outline the proof for (i), the case for (ii) is symmetric.

629 Rephrasing slightly, we prove the following: forall  $n : \text{nat}$  and global type reduction path  
 630  $xs$ , if the head  $g$  of  $xs$  is  $p$ -grafted by  $\text{ctx\_p}$  and  $\text{gtth\_height } \text{ctx\_p} = n$ , the lemma holds.  
 631 We proceed by strong induction on  $n$ , that is, the tree context height of  $\text{ctx\_p}$ .

632 Let  $(\text{ctx\_q}, \text{gs\_q})$  be the  $q$ -grafting of  $g$ . By Lemma 4.14 we have that either  $\text{gtth\_eq}$   
 633  $\text{ctx\_q } \text{ctx\_p}$  (a) or  $\text{is\_tree\_proper\_prefix } \text{ctx\_q } \text{ctx\_p}$  (b). In case (a), we have that  
 634  $\text{projectionC } g \ q \ (\text{ltt\_recv } p \ xsq)$ , hence by (cite simul subproj or something here) and  
 635 fairness of  $xs$ , we have that a  $\text{lcomm } p \ q \ \text{ell}$  transition eventually occurs in  $xs$ , as required.

636 In case (b), by Lemma 4.13 we have  $\text{gtth\_height } \text{ctx\_q} < \text{gtth\_height } \text{ctx\_p}$ , so by the  
 637 induction hypothesis a transition involving  $q$  eventually happens in  $xs$ . Assume wlog that  
 638 this transition has label  $\text{lcomm } q \ r \ \text{ell}$ , or, in the pen-and-paper notation,  $(q, r)\ell$ . Now  
 639 consider the prefix of  $xs$  where the transition happens:  $g \xrightarrow{\lambda} g_1 \rightarrow \dots g' \xrightarrow{(q, r)\ell} g''$ . Let  
 640  $g'$  be  $p$ -grafted by the global tree context  $\text{ctx}'\_p$ , and  $g''$  by  $\text{ctx}''\_p$ . By Lemma 4.15,  
 641  $\text{gtth\_height } \text{ctx}''\_p < \text{gtth\_height } \text{ctx}'\_p \leq \text{gtth\_height } \text{ctx\_p}$ . Then, by the induction  
 642 hypothesis, the suffix of  $xs$  starting with  $g''$  must eventually have a transition  $\text{lcomm } p \ q \ \text{ell}'$   
 643 for some  $\text{ell}'$ , therefore  $xs$  eventually has the desired transition too.  $\blacktriangleleft$

644 Lemma 4.16 proves that any fair global type reduction path is also a live path, from which  
 645 the liveness of global types immediately follows.

646 **► Corollary 4.17.** *All global types are live.*

647 We can now leverage the simulation established by Theorem 3.10 to prove the liveness  
 648 (Definition 4.5) of local typing context reduction paths.

649 We start by lifting association (Definition 3.7) to reduction paths.

650 **► Definition 4.18 (Path Association).** *Path association is defined coinductively by the following*  
 651 *rules:*

- 652 (i) *The empty path is associated with the empty path.*  
 653 (ii) *If  $\Gamma \xrightarrow{\lambda_0} \rho$  is path-associated with  $G \xrightarrow{\lambda_1} \rho'$  where  $(\rho$  and  $\rho'$  are local and global reduction*  
 654 *paths, respectively), then  $\lambda_0 = \lambda_1$  and  $\rho$  is path-associated with  $\rho'$ .*

```
Variant path_assoc (R:local_path → global_path → Prop): local_path → global_path → Prop ≡
| path_assoc_nil : path_assoc R conil conil
| path_assoc_xs : ∀ g gamma l xs ys, assoc gamma g → R xs ys →
  path_assoc R (cocons (gamma, l) xs) (cocons (g, l) ys).

Definition path_assocC ≡ paco2 path_assoc bot2.
```

656 Informally, a local type context reduction path is path-associated with a global type reduction  
 657 path if their matching elements are associated and have the same transition labels.

658 We show that reduction paths starting with associated local types can be path-associated.  
 659

660 **► Lemma 4.19.** *If  $\text{assoc } \text{gamma } g$ , then any local type context reduction path starting with*  
 661  *$\text{gamma}$  is associated with a global type reduction path starting with  $g$ .*

662 **Proof.** Let the local reduction path be  $\text{gamma} \xrightarrow{\lambda} \text{gamma}_1 \xrightarrow{\lambda_1} \dots$ . We construct a path-  
 663 associated global reduction path. By Theorem 3.10 there is a  $g_1 : \text{gtt}$  such that  $g \xrightarrow{\lambda} g_1$   
 664 and  $\text{assoc } \text{gamma}_1 \ g_1$ , hence the path-associated global type reduction path starts with  $g$

maybe just  
give the defin-  
ition as a  
cofixpoint?

665  $\xrightarrow{\lambda} g_1$ . We can repeat this procedure to the remaining path starting with  $\text{gamma}_1 \xrightarrow{\lambda_1} \dots$   
 666 to get  $g_2 : \text{gtt}$  such that  $\text{assoc } \text{gamma}_2 \ g_2$  and  $g_1 \xrightarrow{\lambda_1} g_2$ . Repeating this, we get  $g \xrightarrow{\lambda}$   
 667  $g_1 \xrightarrow{\lambda_1} \dots$  as the desired path associated with  $\text{gamma} \xrightarrow{\lambda} \text{gamma}_1 \xrightarrow{\lambda_1} \dots$  ◀

668 ▶ **Remark 4.20.** In the Rocq implementation the construction above is implemented as a  
 669 `CoFixpoint` returning a `coseq`. Theorem 3.10 is implemented as an  $\exists$  statement that lives in  
 670 `Prop`, hence we need to use the `constructive_indefinite_description` axiom to obtain the  
 671 witness to be used in the construction.

672 We also have the following correspondence between fairness and liveness properties for  
 673 associated global and local reduction paths.

674 ▶ **Lemma 4.21.** *For a local reduction path  $\text{xs}$  and global reduction path  $\text{ys}$ , if `path_assocC`  
 675 `xs ys` then*

- 676 (i) *If  $\text{xs}$  is fair then so is  $\text{ys}$*
- 677 (ii) *If  $\text{ys}$  is live then so is  $\text{xs}$*

678 As a corollary of Lemma 4.21, Lemma 4.19 and Lemma 4.16 we have the following:

679 ▶ **Corollary 4.22.** *If `assoc gamma g`, then any fair local reduction path starting from `gamma` is*  
 680 *live.*

681 **Proof.** Let  $\text{xs}$  be the local reduction path starting with `gamma`. By Lemma 4.19 there is a  
 682 global path  $\text{ys}$  associated with it. By Lemma 4.21 (i)  $\text{ys}$  is fair, and by Lemma 4.16  $\text{ys}$  is  
 683 live, so by Lemma 4.21 (ii)  $\text{xs}$  is also live. ◀

684 Liveness of contexts follows directly from Corollary 4.22.

685 ▶ **Theorem 4.23** (Liveness by Association). *If `assoc gamma g` then `gamma` is live.*

686 **Proof.** Suppose  $\text{gamma} \rightarrow^* \text{gamma}'$ , then by Theorem 3.10 `assoc gamma' g'` for some  $g'$ , and  
 687 hence by Corollary 4.22 any fair path starting from `gamma'` is live, as needed. ◀

## 688 5 Properties of Sessions

689 We give typing rules for the session calculus introduced in ??, and prove subject reduction and  
 690 progress for them. Then we define a liveness property for sessions, and show that processes  
 691 typable by a local type context that's associated with a global type tree are guaranteed to  
 692 satisfy this liveness property.

### 693 5.1 Typing rules

694 We give typing rules for our session calculus based on [4] and [3].

695 We distinguish between two kinds of typing judgements and type contexts.

- 696 1. A local type context  $\Gamma$  associates participants with local type trees, as defined in `cdef-`  
 697 `type-ctx`. Local type contexts are used to type sessions (Definition 1.2) i.e. a set of pairs  
 698 of participants and single processes composed in parallel. We express such judgements as  
 699  $\Gamma \vdash_{\mathcal{M}} \mathcal{M}$ , or as `typ_sess M gamma` in Rocq.
- 700 2. A process variable context  $\Theta_T$  associates process variables with local type trees, and an  
 701 expression variable context  $\Theta_e$  assigns sorts to expression variables. Variable contexts  
 702 are used to type single processes and expressions (Definition 1.1). Such judgements are  
 703 expressed as  $\Theta_T, \Theta_e \vdash_P P : T$ , or in as `typ_proc theta_T theta_e P T`.

$$\begin{array}{c}
\Theta \vdash_P n : \text{nat} \quad \Theta \vdash_P i : \text{int} \quad \Theta \vdash_P \text{true} : \text{bool} \quad \Theta \vdash_P \text{false} : \text{bool} \quad \Theta, x : S \vdash_P x : S \\
\\
\frac{\Theta \vdash_P e : \text{nat}}{\Theta \vdash_P \text{succ } e : \text{nat}} \quad \frac{\Theta \vdash_P e : \text{int}}{\Theta \vdash_P \text{neg } e : \text{int}} \quad \frac{\Theta \vdash_P e : \text{bool}}{\Theta \vdash_P \neg e : \text{bool}} \\
\frac{\Theta \vdash_P e_1 : S \quad \Theta \vdash_P e_2 : S}{\Theta \vdash_P e_1 \oplus e_2 : S} \quad \frac{\Theta \vdash_P e_1 : \text{int} \quad \Theta \vdash_P e_2 : \text{int}}{\Theta \vdash_P e_1 > e_2 : \text{bool}} \quad \frac{\Theta \vdash_P e : S \quad S \leq S'}{\Theta \vdash_P e : S'}
\end{array}$$

■ **Table 3** Typing expressions

$$\begin{array}{c}
\frac{[T\text{-END}]}{\Theta \vdash_P 0 : \text{end}} \quad \frac{[T\text{-VAR}]}{\Theta, X : T \vdash_P X : T} \quad \frac{[T\text{-REC}]}{\Theta, X : T \vdash_P P : T} \quad \frac{[T\text{-IF}]}{\Theta \vdash_P e : \text{bool} \quad \Theta \vdash_P P_1 : T \quad \Theta \vdash_P P_2 : T} \\
\frac{\Theta \vdash_P \mu X. P : T}{\Theta \vdash_P \text{if } e \text{ then } P_1 \text{ else } P_2 : T} \\
\\
\frac{[T\text{-SUB}]}{\Theta \vdash_P P : T \quad T \leq T'} \quad \frac{[T\text{-IN}]}{\Theta \vdash_P \sum_{i \in I} p_i \ell_i(x_i). P_i : p_i \& \{ \ell_i(S_i). T_i \}_{i \in I}} \quad \frac{[T\text{-OUT}]}{\Theta \vdash_P e : S \quad \Theta \vdash_P P : T} \\
\frac{\Theta \vdash_P P : T'}{\Theta \vdash_P p! \ell(e). P : p \oplus \{ \ell(S). T \}}
\end{array}$$

■ **Table 4** Typing processes

704 Table 3 and Table 4 state the standard typing rules for expressions and processes. We  
 705 have a single rule for typing sessions:

$$\frac{[T\text{-SESS}]}{\forall i \in I : \quad \vdash_P P_i : \Gamma(p_i) \quad \Gamma \sqsubseteq G} \\
\Gamma \vdash_{\mathcal{M}} \prod_i p_i \triangleleft P_i$$

## 707 5.2 Subject Reduction, Progress and Session Fidelity

708 The subject reduction, progress and non-stuck theorems from [3] also hold in this setting,  
 709 with minor changes in their statements and proofs. We won't discuss these proofs in detail.

give theorem  
no

710 ► **Lemma 5.1.** *If  $\text{typ\_sess } M \text{ gamma}$  and  $\text{unfoldP } M M'$  then  $\text{typ\_sess } M' \text{ gamma}$ .*

711 **Proof.** By induction on  $\text{unfoldP } M M'$ . ◀

712 ► **Theorem 5.2** (Subject Reduction). *If  $\text{typ\_sess } M \text{ gamma}$  and  $\text{betaP\_lbl } M (\text{lcomm } p \ q \ \text{ell})$   
 713  $M'$ , then there exists a typing context  $\text{gamma}'$  such that  $\text{tctxR } \text{gamma} (\text{lcomm } p \ q \ \text{ell}) \text{ gamma}'$   
 714 and  $\text{typ\_sess } M' \text{ gamma}'$ .*

715 ► **Theorem 5.3** (Progress). *If  $\text{typ\_sess } M \text{ gamma}$ , one of the following hold :*

- 716 1. *Either  $\text{unfoldP } M M_{\text{inact}}$  where every process making up  $M_{\text{inact}}$  is inactive, i.e.  
 717  $M_{\text{inact}} = \prod_{i=1}^n p_i \triangleleft 0$  for some  $n$ .*
- 718 2. *Or there is a  $M'$  such that  $\text{betaP } M M'$ .*

719 ► **Remark 5.4.** Note that in Theorem 5.2 one transition between sessions corresponds to  
 720 exactly one transition between local type contexts with the same label. That is, every session  
 721 transition is observed by the corresponding type. This is the main reason for our choice of  
 722 reactive semantics (??) as  $\tau$  transitions are not observed by the type in ordinary semantics.  
 723 In other words, with  $\tau$ -semantics the typing relation is a *weak simulation* [?], while it turns  
 724 into a strong simulation with reactive semantics. For our Rocq implementation working with  
 725 the strong simulation turns out be more convenient.

We can also prove the following correspondence result in the reverse direction to Theorem 5.2, analogous to Theorem 3.9.

► **Theorem 5.5** (Session Fidelity). *If  $\text{typ\_sess } M \text{ gamma}$  and  $\text{tctxR gamma } (\text{lcomm } p \text{ q ell}) \text{ gamma}'$ , there exists a message label  $\text{ell}'$  and a session  $M'$  such that  $\text{betaP\_lbl } M (\text{lcomm } p \text{ q ell}') M'$  and  $\text{typ\_sess } M' \text{ gamma}'$ .*

**Proof.** By inverting the local type context transition and the typing. ◀

► **Remark 5.6.** Again we note that by Theorem 5.5 a single-step context reduction induces a single-step session reduction on the type. With the  $\tau$ -semantics the session reduction induced by the context reduction would be multistep.

### 5.3 Session Liveness

We state the liveness property we are interested in proving, and show that typable sessions have this property.

► **Definition 5.7** (Session Liveness). *Session  $\mathcal{M}$  is live iff*

1.  $\mathcal{M} \longrightarrow^* \mathcal{M}' \Rightarrow q \triangleleft p! \ell_i(x_i).Q \mid \mathcal{N}$  implies  $\mathcal{M}' \longrightarrow^* \mathcal{M}'' \Rightarrow q \triangleleft Q \mid \mathcal{N}'$  for some  $\mathcal{M}'', \mathcal{N}'$
2.  $\mathcal{M} \longrightarrow^* \mathcal{M}' \Rightarrow q \triangleleft \bigwedge_{i \in I} p? \ell_i(x_i).Q_i \mid \mathcal{N}$  implies  $\mathcal{M}' \longrightarrow^* \mathcal{M}'' \Rightarrow q \triangleleft Q_i[v/x_i] \mid \mathcal{N}'$  for some  $\mathcal{M}'', \mathcal{N}', i, v$ .

In Rocq we express this with the following:

```
Definition live_sess Mp ≜ ∀ M, betaRtc Mp M →
  (∀ p q ell e P' M', p ≠ q → unfoldP M ( (p ← p_send q ell e P') \\\ \\\ M') → ∃ M'',
    betaRtc M ( (p ← P') \\\ \\\ M''))
  ∧
  (∀ p q llp M', p ≠ q → unfoldP M ( (p ← p_recv q llp) \\\ \\\ M') →
    ∃ M'' P' e k, onth k llp = Some P' ∧ betaRtc M ( (p ← subst_expr_proc P' e 0) \\\ \\\ M''))).
```

Session liveness, analogous to liveness for typing contexts (Definition 4.5), says that when  $\mathcal{M}$  is live, if  $\mathcal{M}$  reduces to a session  $\mathcal{M}'$  containing a participant that's attempting to send or receive, then  $\mathcal{M}'$  reduces to a session where that communication has happened. It's also called *lock-freedom* in related work ([12, ?]).

We now prove that typed sessions are live. Our proof follows the following steps:

1. Formulate a "fairness" property for typable sessions, with the property that any finite session reduction path can be extended to a fair session reduction path.
2. Lift the typing relation to reduction paths, and show that fair session reduction paths are typed by fair local type context reduction paths.
3. Prove that a certain transition eventually happens in the local context reduction path, and that this means the desired transition is enabled in the session reduction path.

We first state a "fairness" (the reason for the quotes is explained in Remark 5.9) property for session reduction paths, analogous to fairness for local type context reduction paths (Definition 4.5).

► **Definition 5.8** ("Fairness" of Sessions). *We say that a  $(p, q)\ell$  transition is enabled at  $\mathcal{M}$  if  $\mathcal{M} \xrightarrow{(p, q)\ell} \mathcal{M}'$  for some  $\mathcal{M}'$ . A session reduction path is fair if the following LTL property holds:*

$$\Box(\text{enabledComm}_{p, q, \ell} \implies \Diamond(\text{headComm}_{p, q}))$$



762 ► **Remark 5.9.** Definition 5.8 is not actually a sensible fairness property for our reactive  
 763 semantics, mainly because it doesn't satisfy the *feasibility* [5] property stating that any finite  
 764 execution can be extended to a fair execution. Consider the following session:

765  $\mathcal{M} = p \triangleleft \text{if}(\text{true} \oplus \text{false}) \text{ then } q!\ell_1(\text{true}) \text{ else } r!\ell_2(\text{true}).0 \mid q \triangleleft p?\ell_1(x).0 \mid r \triangleleft p?\ell_2(x).0$

766 We have that  $\mathcal{M} \xrightarrow{(p,q)\ell_1} \mathcal{M}'$  where  $\mathcal{M}' = p \triangleleft 0 \mid q \triangleleft 0 \mid r \triangleleft p?\ell_2(x).0$ , and also  $\mathcal{M} \xrightarrow{(p,r)\ell_2} \mathcal{M}''$   
 767 for another  $\mathcal{M}''$ . Now consider the reduction path  $\rho = \mathcal{M} \xrightarrow{(p,q)\ell_1} \mathcal{M}'$ .  $(p,r)\ell_2$  is enabled at  
 768  $\mathcal{M}$  so in a fair path it should eventually be executed, however no extension of  $\rho$  can contain  
 769 such a transition as  $\mathcal{M}'$  has no remaining transitions. Nevertheless, it turns out that there is  
 770 a fair reduction path starting from every typable session can (Lemma 5.13), and this will be  
 771 enough to prove our desired liveness property.

772 We can now lift the typing relation to reduction paths, just like we did in Definition 4.18.

773 ► **Definition 5.10 (Path Typing).** *[Path Typing] Path typing is a relation between session*  
 774 *reduction paths and local type context reduction paths, defined coinductively by the following*  
 775 *rules:*

- 776 (i) *The empty path is typed with the empty path.*
- 777 (ii) *If  $\mathcal{M} \xrightarrow{\lambda_0} \rho$  is typed by  $\Gamma \xrightarrow{\lambda_1} \rho'$  where  $(\rho$  and  $\rho'$  are session and local type context*  
 778 *reduction paths, respectively), then  $\lambda_0 = \lambda_1$  and  $\rho$  is typed by  $\rho'$ .*

779 Similar to Lemma 4.19, we can show that if the head of the path is typable then so is the  
 780 whole path.

781 ► **Lemma 5.11.** *If  $\text{typ\_sess } M \text{ gamma}$ , then any session reduction path  $xs$  starting with  $M$  is*  
 782 *typed by a local context reduction path  $ys$  starting with  $\text{gamma}$ .*

783 **Proof.** We can construct a local context reduction path that types the session path. The  
 784 construction exactly like Lemma 4.19 but elements of the output stream are generated by  
 785 Theorem 5.2 instead of Theorem 3.10. ◀

786 We also have that typing path preserves fairness.

787 ► **Lemma 5.12.** *If session path  $xs$  is typed by the local context path  $ys$ , and  $xs$  is fair, then*  
 788 *so is  $ys$ .*

789 The final lemma we need in order to prove liveness is that there exists a fair reduction path  
 790 from every typable session.

791 ► **Lemma 5.13 (Fair Path Existence).** *If  $\text{typ\_sess } M \text{ gamma}$ , then there is a fair session*  
 792 *reduction path  $xs$  starting from  $M$ .*

793 **Proof.** We can construct a fair path starting from  $M$  with the following algorithm: ◀

794 ► **Theorem 5.14 (Liveness by Typing).** *For a session  $M_p$ , if  $\exists \text{ gamma}, \text{typ\_sess } M_p \text{ gamma}$  then*  
 795  *$\text{live\_sess } M_p$ .*

796 **Proof.** We detail the proof for the send case of Definition 5.7, the case for the receive is similar.  
 797 Suppose that  $\text{betaRtc } M_p \text{ M}$  and  $\text{unfoldP } M \text{ } ((p \leftarrow p\_send \ q \ \text{ell } e \ P') \mid \mid M')$ . Our goal  
 798 is to show that there exists a  $M''$  such that  $\text{betaRtc } M \text{ } ((p \leftarrow P') \mid \mid M'')$ . First, observe  
 799 that it suffices to show that  $\text{betaRtc } ((p \leftarrow p\_send \ q \ \text{ell } e \ P') \mid \mid M') \text{ } M''$  for some  $M''$ .  
 800 Also note that  $\text{typ\_sess } M \text{ gamma}$  for some  $\text{gamma}$  by Theorem 5.2, therefore  $\text{typ\_sess } ((p \leftarrow$   
 801  $- p\_send \ q \ \text{ell } e \ P') \mid \mid M') \text{ gamma}$  by ???. Now let  $xs$  be the fair reduction path starting

from  $((p \leftarrow p\_send\ q\ e11\ e\ P') \mid \mid M')$ , which exists by Lemma 5.13. Let  $ys$  be the local context reduction path starting with  $\gamma$  that types  $xs$ , which exists by Lemma 5.11. Now  $ys$  is fair by Lemma 5.12. Therefore by Theorem 4.23  $ys$  is live, so a  $lcomm\ p\ q\ e11'$  transition eventually occurs in  $ys$  for some  $e11'$ . Therefore  $ys = \gamma \rightarrow^* \gamma_0 \xrightarrow{(p,q)\ell'} \gamma_1 \rightarrow \dots$  for some  $\gamma_0, \gamma_1$ . Now consider the session  $M_0$  typed by  $\gamma_0$  in  $xs$ . We have  $\beta_{Rtc}((p \leftarrow p\_send\ q\ e11\ e\ P') \mid \mid M') M_0$  by  $M_0$  being on a reduction path starting from  $M$ . We also have that  $M_0 \xrightarrow{(p,q)\ell''} M_1$  for some  $\ell'', M_1$  by Theorem 5.5. Now observe that  $M_0 \equiv ((p \leftarrow p\_send\ q\ e11\ e\ P') \mid \mid M')$  for some  $M'$  as no transitions involving  $p$  have happened on the reduction path to  $M_0$ . Therefore  $\ell = \ell''$ , so  $M_1 \equiv ((p \leftarrow P') \mid \mid M')$  for some  $M'$ , as needed.  $\blacktriangleleft$

## 6 Related and Future Work

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