

¹ **Formally Verified Liveness with Synchronous**
² **Multiparty Session Types in Rocq**

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⁷ —— **Abstract** ——

⁸ Multiparty session types (MPST) offer a framework for the description of communication-based
⁹ protocols involving multiple participants. In the *top-down* approach to MPST, the communication
¹⁰ pattern of the session is described using a *global type*. Then the global type is *projected* on to a *local*
¹¹ *type* for each participant, and the individual processes making up the session are type-checked against
¹² these projections. Typed sessions possess certain desirable properties such as *safety*, *deadlock-freedom*
¹³ and *liveness* (also called *lock-freedom*).

¹⁴ In this work, we present the first mechanised proof of liveness for synchronous multiparty session
¹⁵ types in the Rocq Proof Assistant. Building on recent work, we represent global and local types as
¹⁶ coinductive trees using the paco library. We use a coinductively defined *subtyping* relation on local
¹⁷ types together with another coinductively defined *plain-merge* projection relation relating local and
¹⁸ global types . We then *associate* collections of local types, or *local type contexts*, with global types
¹⁹ using this projection and subtyping relations, and prove an *operational correspondence* between a
²⁰ local type context and its associated global type. We then utilize this association relation to prove
²¹ the safety and liveness of associated local type contexts and, consequently, the multiparty sessions
²² typed by these contexts.

²³ Besides clarifying the often informal proofs of liveness found in the MPST literature, our Rocq
²⁴ mechanisation also enables the certification of lock-freedom properties of communication protocols.
²⁵ Our contribution amounts to around 12K lines of Rocq code.

²⁶ **2012 ACM Subject Classification** Replace ccsdesc macro with valid one

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³⁰ **1 Introduction**

³¹ Multiparty session types [19] provide a type discipline for the correct-by-construction spe-
³² cification of message-passing protocols. Desirable protocol properties guaranteed by session
³³ types include *safety* (the labels and types of senders' payloads cohere with the capabilities of
³⁴ the receivers), *deadlock-freedom* (also called *progress* or *non-stuck property* [14]) (it is possible
³⁵ for the session to progress so long as it has at least one active participant), and *liveness* (also
³⁶ called *lock-freedom* [41] or *starvation-freedom* [8]) (if a process is waiting to send and receive
³⁷ then a communication involving it eventually happens).

³⁸ There exists two common methodologies for multiparty session types. In the *bottom-up*
³⁹ approach, the individual processes making up the session are typed using a collection of
⁴⁰ *participants* and *local types*, that is, a *local type context*, and the properties of the session is
⁴¹ examined by model-checking this local type context. Contrastingly, in the *top-down* approach
⁴² sessions are typed by a *global type* that is related to the processes using endpoint *projections*
⁴³ and *subtyping*. The structure of the global type ensures that the desired properties are
⁴⁴ satisfied by the session. These two approaches have their advantages and disadvantages:



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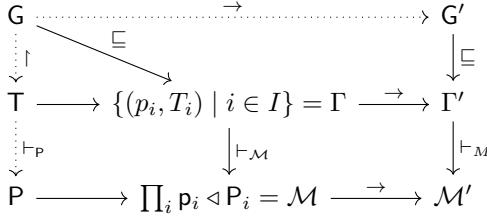


Figure 1 Design overview. The dotted lines correspond to relations inherited from [14] while the solid lines denote relations that are new, or substantially rewritten, in this paper.

45 the bottom-up approach is generally able to type more sessions, while type-checking and
 46 type-inferring in the top-down approach tend to be more efficient than model-checking the
 47 bottom-up system [40].

48 In this work, we present the Rocq [4] formalisation of a synchronous MPST that that
 49 ensures the aforementioned properties for typed sessions. Our type system uses an *association*
 50 relation (\sqsubseteq) [44, 32] defined using (coinductive plain) projection [38] and subtyping, in order
 51 to relate local type contexts and global types. This association relation ensures *operational*
 52 *correspondence* between the labelled transition system (LTS) semantics we define for local
 53 type contexts and global types. We then type (\vdash_M) sessions using local type contexts that
 54 are associated with global types, which ensure that the local type context, and hence the
 55 session, is well-behaved in some sense. Whenever an associated local type context Γ types a
 56 session M , our type system guarantees the following properties:

- 57 1. **Subject Reduction** (Theorem 6.2): If M can progress into M' , then Γ can progress
 58 into Γ' such that Γ' types M' .
- 59 2. **Session Fidelity** (Theorem 6.5): If Γ can progress into Γ' , then M can progress into
 60 M' such that M' is typable by Γ' .
- 61 3. **Safety** (Theorem 6.7): If M can progress into M' by one or more communications,
 62 participant p in M' sends to participant q and q receives from p , then the labels of p and
 63 q cohere.
- 64 4. **Deadlock-Freedom** (Theorem 6.4): Either every participant in M has terminated, or
 65 M can progress.
- 66 5. **Liveness** (Theorem 6.11): If participant p attempts to communicate with participant q
 67 in M , then M can progress (in possibly multiple steps) into a session M' where that
 68 communication has occurred.

69 To our knowledge, this work presents the first mechanisation of liveness for multiparty session
 70 types in a proof assistant.

71 Our Rocq implementation builds upon the recent formalisation of subject reduction for
 72 MPST by Ekici et. al. [14], which itself is based on [17]. The methodology in [14] takes an
 73 equirecursive approach where an inductive syntactic global or local type is identified with
 74 the coinductive tree obtained by fully unfolding the recursion. It then defines a coinductive
 75 projection relation between global and local type trees, the LTS semantics for global type
 76 trees, and typing rules for the session calculus outlined in [17]. We extensively use these
 77 definitions and the lemmas concerning them, but we still depart from and extend [14] in
 78 numerous ways by introducing local typing contexts, their correspondence with global types
 79 and a new typing relation. Our addition to the code amounts to around 12K lines of Rocq
 80 code.

81 As with [14], our implementation heavily uses the parameterized coinduction technique
 82 of the paco [20] library. Namely, our liveness property is defined using possibly infinite

83 *execution traces* which we represent as coinductive streams. The relevant predicates on these
 84 traces, such as fairness, are then defined using linear temporal logic (LTL)[33]. The LTL
 85 modalities eventually (\diamond) and always (\square) can be expressed as least and greatest fixpoints
 86 respectively using expansion laws. This allows us to represent the properties that use these
 87 modalities as inductive and coinductive predicates in Rocq. This approach, together with
 88 the proof techniques provided by paco, results in compositional and clear proofs.

89 **Outline.** In Section 2 we define our session calculus and its LTS semantics. In Section 3
 90 we introduce local and global type trees. In Section 4 we give LTS semantics to local type
 91 contexts and global types, and detail the association relation between them. In Section 5
 92 we define safety and liveness for local type contexts, and prove that they hold for contexts
 93 associated with a global type tree. In Section 6 we give the typing rules for our session
 94 calculus, and prove the desired properties of these typable sessions.

95 2 The Session Calculus

96 We introduce the simple synchronous session calculus that our type system will be used
 97 on.

98 2.1 Processes and Sessions

99 ► **Definition 2.1** (Expressions and Processes). *We define processes as follows:*

$$100 \quad P ::= p!\ell(e).P \mid \sum_{i \in I} p?\ell_i(x_i).P_i \mid \text{if } e \text{ then } P \text{ else } P \mid \mu X.P \mid X \mid 0$$

101 where e is an expression that can be a variable, a value such as `true`, `0` or `-3`, or a term
 102 built from expressions by applying the operators `succ`, `neg`, `¬`, non-deterministic choice \oplus
 103 and $>$.

104 $p!\ell(e).P$ is a process that sends the value of expression e with label ℓ to participant p , and
 105 continues with process P . $\sum_{i \in I} p?\ell_i(x_i).P_i$ is a process that may receive a value from p with
 106 any label ℓ_i where $i \in I$, binding the result to x_i and continuing with P_i , depending on
 107 which ℓ_i the value was received from. X is a recursion variable, $\mu X.P$ is a recursive process,
 108 if e then P else P is a conditional and 0 is a terminated process.

109 Processes can be composed in parallel into sessions.

110 ► **Definition 2.2** (Multiparty Sessions). *Multiparty sessions are defined as follows.*

$$111 \quad M ::= p \triangleleft P \mid (M \mid M) \mid \mathcal{O}$$

112 $p \triangleleft P$ denotes that participant p is running the process P , $|$ indicates parallel composition.

113 We write $\prod_{i \in I} p_i \triangleleft P_i$ to denote the session formed by p_i running P_i in parallel for all $i \in I$.

114 \mathcal{O} is an empty session with no participants, that is, the unit of parallel composition. In
 115 Rocq processes and sessions are defined with the inductive types `process`  and `session` .

```
Inductive process : Type ≡
| p_send : part → label → expr → process →
  process
| p_recv : part → list(option process) → process
| p_ite : expr → process → process → process
| p_rec : process → process
| p_var : nat → process
| p_inact : process.
```

```
Inductive session : Type ≡
| s_ind : part → process → session
| s_par : session → session → session
| s_zero : session.
Notation "p '←→' P" ≡ (s_ind p P) (at level 50, no
associativity).
Notation "s1 '|||' s2" ≡ (s_par s1 s2) (at level 50, no
associativity).
```

117 2.2 Structural Congruence and Operational Semantics

- ¹¹⁸ We define a structural congruence relation \equiv on sessions which expresses the commutativity,
¹¹⁹ associativity and unit of the parallel composition operator.

$$\begin{array}{ll} [\text{SC-SYM}] & [\text{SC-ASSOC}] \\ p \triangleleft P \mid q \triangleleft Q \equiv q \triangleleft Q \mid p \triangleleft P & (p \triangleleft P \mid q \triangleleft Q) \mid r \triangleleft R \equiv p \triangleleft P \mid (q \triangleleft Q \mid r \triangleleft R) \\ \\ [\text{SC-O}] & \\ p \triangleleft P \mid \mathcal{O} \equiv p \triangleleft P & \end{array}$$

■ Table 1 Structural Congruence over Sessions

We now give the operational semantics for sessions by the means of a labelled transition system. We use labelled *reactive* semantics [41, 6] which doesn't contain explicit silent τ actions for internal reductions (that is, evaluation of if expressions and unfolding of recursion) while still considering β reductions up to those internal reductions by using an unfolding relation. This stands in contrast to the more standard semantics used in [14, 17, 41]. For the advantages of our approach see Remark 6.3.

¹²⁶ In reactive semantics silent transitions are captured by an *unfolding* relation (\Rightarrow), and β reductions are defined up to this unfolding (Table 2).

$\frac{[\text{UNF-STRUCT}]}{\mathcal{M} \equiv \mathcal{N}}$	$\frac{[\text{UNF-REC}]}{p \triangleleft \mu X.P \mid \mathcal{N} \Rightarrow p \triangleleft P[\mu X.P/X] \mid \mathcal{N}}$	$\frac{[\text{UNF-COND}]}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \Rightarrow p \triangleleft P \mid \mathcal{N}}$
$\frac{[\text{UNF-COND}]}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \Rightarrow p \triangleleft Q \mid \mathcal{N}}$	$\frac{[\text{UNF-TRANS}]}{\mathcal{M} \Rightarrow \mathcal{M}' \quad \mathcal{M}' \Rightarrow \mathcal{N}} \quad \frac{e \downarrow \text{true}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \Rightarrow p \triangleleft P \mid \mathcal{N}}$	

■ **Table 2** Unfolding of Sessions

¹²⁷ $\mathcal{M} \Rightarrow \mathcal{N}$ means that \mathcal{M} can transition to \mathcal{N} through some internal actions, that is, a
¹²⁸ reduction that doesn't involve a communication. We say that \mathcal{M} *unfolds* to \mathcal{N} . In Rocq it's
¹²⁹ captured by the predicate `unfoldP : session → session → Prop` .

$$\frac{\text{[R-COMM]} \quad j \in I \quad e \downarrow v}{\mathsf{p} \lhd \sum_{i \in I} \mathsf{q} ? \ell_i(x_i). \mathsf{P}_i \quad | \quad \mathsf{q} \lhd \mathsf{p}! \ell_j(\mathsf{e}). \mathsf{Q} \quad | \quad \mathcal{N} \xrightarrow{(\mathsf{p}, \mathsf{q}) \ell_j} \mathsf{p} \lhd \mathsf{P}_j[v/x_j] \quad | \quad \mathsf{q} \lhd \mathsf{Q} \quad | \quad \mathcal{N}}$$

$$\frac{\text{[R-UNFOLD]} \quad \mathcal{M} \Rightarrow \mathcal{M}' \quad \mathcal{M}' \xrightarrow{\lambda} \mathcal{N}' \quad \mathcal{N}' \Rightarrow \mathcal{N}}{\mathcal{M} \xrightarrow{\lambda} \mathcal{N}}$$

Table 3 Reactive Semantics of Sessions

Table 3 illustrates the rules for communicating transitions. [R-COMM] captures communications between processes, and [R-UNFOLD] lets us consider reductions up to unfoldings.

133 In Rocq, `betaP_lbl M lambda M'` denotes $M \xrightarrow{\lambda} M'$. We write $M \rightarrow M'$ if $M \xrightarrow{\lambda} M'$ for
134 some λ , which is written `betaP M M'` in Rocq. We write \rightarrow^* to denote the reflexive transitive
135 closure of \rightarrow , which is called `betaRtc` in Rocq.

136 3 The Type System

137 We briefly recap the core definitions of local and global type trees, subtyping and projection
138 from [17]. We take an equirecursive approach and work directly on the possibly infinite local
139 and global type trees obtained by unfolding the recursion in guarded syntactic types, details
140 of this approach can be found in [14] and hence are omitted here.

141 3.1 Local Type Trees

142 We start by defining the sorts that will be used to type expressions, and local types that will
143 be used to type single processes.

144 ▶ **Definition 3.1** (Sorts). *Sorts are defined as follows:*

145 $S ::= \text{int} \mid \text{bool} \mid \text{nat}$

```
Inductive sort : Type ≡
| sbool : sort
| sint : sort
| snat : sort.
```

146 ▶ **Definition 3.2.** *Local type trees are defined coinductively with the following syntax:*

147 $T ::= \text{end}$
 $\mid p\&\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$
 $\mid p\oplus\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$

```
CoInductive ltt : Type ≡
| ltt_end : ltt
| ltt_recv : part → list (option(sort*ltt)) → ltt
| ltt_send : part → list (option(sort*ltt)) → ltt.
```

148 In the above definition, `end` represents a role that has finished communicating.
149 $p\oplus\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$ denotes a role that may, from any $i \in I$, receive a value of sort S_i with
150 message label ℓ_i and continue with \mathbb{T}_i . Similarly, $p\&\{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$ represents a role that may
151 choose to send a value of sort S_i with message label ℓ_i and continue with \mathbb{T}_i for any $i \in I$.

152 In Rocq we represent the continuations using a `list (option(sort*ltt))`, index k (using zero-indexing) being equal to `Some (s_k, T_k)` means that $\ell_k(S_k).\mathbb{T}_k$ is available in the continuation. Similarly index k being equal to `None` or being out of bounds of the list means that the message label ℓ_k is not present in the continuation.

157 ▶ **Remark 3.3.** Note that Rocq allows us to create types such as `ltt_send q []` which don't
158 correspond to well-formed local types as the continuation is empty. In our implementation
159 we define a predicate `wfltt : ltt → Prop` capturing that all the continuations in the local
160 type tree are non-empty. Henceforth we assume that all local types we mention satisfy this
161 property.

162 3.2 Subtyping

163 We define the subsorting relation on sorts and the subtyping relation on local type trees.

164 ▶ **Definition 3.4** (Subsorting and Subtyping). *Subsorting \leq is the least reflexive binary
165 relation that satisfies $\text{nat} \leq \text{int}$. Subtyping \leqslant is the largest relation between local type trees*

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166 coinductively defined by the following rules:

$$\begin{array}{c}
 \frac{\forall i \in I : S'_i \leq S_i \quad T_i \leqslant T'_i}{\text{end} \leqslant \text{end}} \quad [\text{SUB-END}] \quad \frac{\forall i \in I : S_i \leq S'_i \quad T_i \leqslant T'_i}{p \& \{\ell_i(S_i).T_i\}_{i \in I \cup J} \leqslant p \& \{\ell_i(S'_i).T'_i\}_{i \in I}} \quad [\text{SUB-IN}] \\
 \\
 \frac{\forall i \in I : S_i \leq S'_i \quad T_i \leqslant T'_i}{p \oplus \{\ell_i(S_i).T_i\}_{i \in I} \leqslant p \oplus \{\ell_i(S'_i).T'_i\}_{i \in I \cup J}} \quad [\text{SUB-OUT}]
 \end{array}$$

168 Intuitively, $T_1 \leq T_2$ means that a role of type T_1 can be supplied anywhere a role of type T_2 is needed. [SUB-IN] captures the fact that we can supply a role that is able to receive more labels than specified, and [SUB-OUT] captures that we can supply a role that has fewer labels available to send. Note the contravariance of the sorts in [SUB-IN], if the supertype demands the ability to receive an `nat` then the subtype can receive `nat` or `int`.

173 In Rocq, the subtyping relation `subtypeC` : `ltt` → `ltt` → `Prop` is expressed as a greatest fixpoint using the `Paco` library [20], for details of we refer to [17].

175 3.3 Global Types and Type Trees

176 While local types specify the behaviour of one role in a protocol, global types give a bird's eye view of the whole protocol.

178 ▶ **Definition 3.5** (Global type). We define global types inductively as follows:

$$\text{G} ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).\text{G}_i\}_{i \in I} \mid t \mid \mu t.\text{G}$$

180 We further inductively define the function `pt(G)` that denotes the participants of type `G`:

$$\text{pt}(\text{end}) = \text{pt}(t) = \emptyset$$

$$\text{pt}(p \rightarrow q : \{\ell_i(S_i).\text{G}_i\}_{i \in I}) = \{p, q\} \cup \bigcup_{i \in I} \text{pt}(\text{G}_i)$$

$$\text{pt}(\mu T.\text{G}) = \text{pt}(\text{G})$$

184 `end` denotes a protocol that has ended, $p \rightarrow q : \{\ell_i(S_i).\text{G}_i\}_{i \in I}$ denotes a protocol where for any $i \in I$, participant p may send a value of sort S_i to another participant q via message label ℓ_i , after which the protocol continues as G_i .

187 As in the case of local types, we adopt an equirecursive approach and work exclusively on possibly infinite global type trees.

189 ▶ **Definition 3.6** (Global type trees). We define global type trees coinductively as follows:

$$\text{G} ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).\text{G}_i\}_{i \in I}$$

```

CoInductive gtt: Type ≡
| gtt_end : gtt
| gtt_send : part → part → list (option (sort * gtt)) →
  gtt.

```

191 We extend the function `pt` onto trees by defining $\text{pt}(\text{G}) = \text{pt}(\text{G})$ where the global type `G` corresponds to the global type tree `G`. Technical details of this definition such as well-definedness can be found in [14, 17].

194 In Rocq `pt` is captured with the predicate `isgPartsC` : `part` → `gtt` → `Prop`, where 195 `isgPartsC p G` denotes $p \in \text{pt}(\text{G})$.

196 3.4 Projection

197 We now define coinductive projections with plain merging (see [40] for a survey of other
 198 notions of merge).

199 ► **Definition 3.7** (Projection). *The projection of a global type tree onto a participant r is the
 200 largest relation \upharpoonright_r between global type trees and local type trees such that, whenever $G \upharpoonright_r T$:*

201 ■ $r \notin \text{pt}\{G\}$ implies $T = \text{end}$; [PROJ-END]

202 ■ $G = p \rightarrow r : \{\ell_i(S_i).G_i\}_{i \in I}$ implies $T = p \& \{\ell_i(S_i).T_i\}_{i \in I}$ and $\forall i \in I, G \upharpoonright_r T_i$ [PROJ-IN]

203 ■ $G = r \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$ implies $T = q \oplus \{\ell_i(S_i).T_i\}_{i \in I}$ and $\forall i \in I, G \upharpoonright_r T_i$ [PROJ-OUT]

204 ■ $G = p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$ and $r \notin \{p, q\}$ implies that there are $T_i, i \in I$ such that
 205 $T = \prod_{i \in I} T_i$ and $\forall i \in I, G \upharpoonright_r T_i$ [PROJ-CONT]

206 where \prod is the plain merging operator, defined as

$$207 T_1 \prod T_2 = \begin{cases} T_1 & \text{if } T_1 = T_2 \\ \text{undefined} & \text{otherwise} \end{cases}$$

208 Informally, the projection of a global type tree G onto a participant r extracts a specification
 209 for participant r from the protocol whose bird's-eye view is given by G . [PROJ-END]
 210 expresses that if r is not a participant of G then r does nothing in the protocol. [PROJ-IN]
 211 and [PROJ-OUT] handle the cases where r is involved in a communication in the root of G .
 212 [PROJ-CONT] says that, if r is not involved in the root communication of G , then the only
 213 way it knows its role in the protocol is if there is a role for it that works no matter what
 214 choices p and q make in their communication. This "works no matter the choices of the other
 215 participants" property is captured by the merge operations.

216 In Rocq, projection is defined as a `Paco` greatest fixpoint as the relation `projectionC` :
 217 `gtt → part → ltt → Prop`.

218 We further have the following fact about projections that lets us regard it as a partial
 219 function:

220 ► **Lemma 3.8** ([14]). *If `projectionC G p T` and `projectionC G p T'` then $T = T'$.*

221 We write $G \upharpoonright r = T$ when $G \upharpoonright_r T$. Furthermore we will be frequently be making assertions
 222 about subtypes of projections of a global type e.g. $T \leqslant G \upharpoonright r$. In our Rocq implementation
 223 we define the predicate `issubProj` : `ltt → gtt → part → Prop` as a shorthand for this.

224 3.5 Balancedness, Global Tree Contexts and Grafting

225 We introduce an important constraint on the types of global type trees we will consider,
 226 balancedness.

227 ► **Definition 3.9** (Balanced Global Type Trees). *A global tree G is balanced if for any subtree
 228 G' of G , there exists k such that for all $p \in \text{pt}(G')$, p occurs on every path from the root of
 229 G' of length at least k .*

230 We omit the technical details of this definition and the Rocq implementation, they can be
 231 found in [17] and [14].

232 Intuitively, balancedness is a regularity condition that imposes a notion of *liveness* on the
 233 protocol described by the global type tree. Indeed, our liveness results in Section 6 hold only
 234 for balanced global types. Another reason for formulating balancedness is that it allows us
 235 to use the "grafting" technique, turning proofs by coinduction on infinite trees to proofs by
 236 induction on finite global type tree contexts.

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237 ► **Definition 3.10** (Global Type Tree Context). *Global type tree contexts are defined inductively
238 with the following syntax:*

239 $\mathcal{G} ::= \text{p} \rightarrow \text{q} : \{\ell_i(S_i).\mathcal{G}_i\}_{i \in I} \mid []_i$

```
Inductive gtth : Type  $\triangleq$ 
| gtth_hol : fin  $\rightarrow$  gtth
| gtth_send : part  $\rightarrow$  part  $\rightarrow$  list (option (sort *
gtth))  $\rightarrow$  gtth.
```

240 We additionally define `pt` and `ishParts` on contexts analogously to `pt` and `isgPartsC` on
241 trees.

242 A global type tree context can be thought of as the finite prefix of a global type tree, where
243 holes $[]_i$ indicate the cutoff points. Global type tree contexts are related to global type trees
244 with the grafting operation.

245 ► **Definition 3.11** (Grafting). *Given a global type tree context \mathcal{G} whose holes are in the
246 indexing set I and a set of global types $\{G_i\}_{i \in I}$, the grafting $\mathcal{G}[G_i]_{i \in I}$ denotes the global type
247 tree obtained by substituting $[]_i$ with G_i in \mathcal{G} .*

248 In Rocq the indexed set $\{G_i\}_{i \in I}$ is represented using a list (option `gtt`). Grafting is
249 expressed with the inductive relation `typ_gtth` : `list (option gtt) \rightarrow gtth \rightarrow gtt \rightarrow`
250 `Prop. typ_gtth gs gcx gt` means that the grafting of the set of global type trees `gs` onto the
251 context `gcx` results in the tree `gt`.

252 Furthermore, we have the following lemma that relates global type tree contexts to
253 balanced global type trees.

254 ► **Lemma 3.12** (Proper Grafting Lemma, [14]). *If G is a balanced global type tree and
255 `isgPartsC p G`, then there is a global type tree context $Gctx$ and an option list of global type
256 trees `gs` such that `typ_gtth gs Gctx G, ~ ishParts p Gctx` and every `Some` element of `gs` is of
257 shape `gtt_end`, `gtt_send p q` or `gtt_send q p`.*

258 3.12 enables us to represent a coinductive global type tree featuring participant `p` as the
259 grafting of a context that doesn't contain `p` with a list of trees that are all of a certain
260 structure. If `typ_gtth gs Gctx G, ~ ishParts p Gctx` and every `Some` element of `gs` is of shape
261 `gtt_end`, `gtt_send p q` or `gtt_send q p`, then we call the pair `gs` and `Gctx` as the `p`-grafting
262 of `G`, expressed in Rocq as `typ_p_gtth gs Gctx p G`. When we don't care about the contents
263 of `gs` we may just say that `G` is `p`-grafted by `Gctx`.

264 ► **Remark 3.13.** From now on, all the global type trees we will be referring to are assumed
265 to be balanced. When talking about the Rocq implementation, any `G : gtt` we mention
266 is assumed to satisfy the predicate `wfgC G`, expressing that `G` corresponds to some global
267 type and that `G` is balanced. Furthermore, we will often require that a global type is
268 projectable onto all its participants. This is captured by the predicate `projectableA G = \forall`
269 `p, $\exists T$, projectionC G p T`. As with `wfgC`, we will be assuming that all types we mention
270 are projectable.

271 4 Semantics of Types

272 In this section we introduce local type contexts, and define Labelled Transition System
273 semantics on these constructs.

274 4.1 Typing Contexts

275 We start by defining typing contexts as finite mappings of participants to local type trees.

► **Definition 4.1** (Typing Contexts).

276

$$\Gamma ::= \emptyset \parallel \Gamma, p : T$$

Module `M` \triangleq `MMaps.RBT.Make(Nat)`.

Module `MF` \triangleq `MMaps.Facts.Properties Nat M`.

Definition `tctx: Type` \triangleq `M.t ltt`.

277 Intuitively, $p : T$ means that participant p is associated with a process that has the type tree T . We write $\text{dom}(\Gamma)$ to denote the set of participants occurring in Γ . We write $\Gamma(p)$ for the type of p in Γ . We define the composition Γ_1, Γ_2 iff $\text{dom}(\Gamma_1) \cap \text{dom}(\Gamma_2) = \emptyset$.

280 In the Rocq implementation we implement local typing contexts as finite maps of participants, which are represented as natural numbers, and local type trees. We use 281 the red-black tree based finite map implementation of the MMaps library [27].

283 ► **Remark 4.2.** From now on, we assume the all the types in the local type contexts always 284 have non-empty continuations. In Rocq terms, if T is in context `gamma` then `wfltt T` holds. 285 This is expressed by the predicate `wfltt: tctx → Prop`.

286 4.2 Local Type Context Reductions

287 We now give LTS semantics to local typing contexts, for which we first define the transition 288 labels.

289 ► **Definition 4.3** (Transition labels). A transition label α has the following form:

$$\begin{aligned} \alpha ::= & p : q \& \ell(S) \quad (p \text{ receives a value of sort } S \text{ from } q \text{ with message label } \ell) \\ & \mid p : q \oplus \ell(S) \quad (p \text{ sends a value of sort } S \text{ to } q \text{ with message label } \ell) \\ & \mid (p, q) \ell \quad (A \text{ synchronized communication from } p \text{ to } q \text{ occurs via message label } \ell) \end{aligned}$$

293

294 In Rocq they are defined as follows:

Notation `opt_lbl` \triangleq `nat`.
Inductive `label: Type` \triangleq
| `lrecv: part → part → option sort → opt_lbl → label`
| `lsend: part → part → option sort → opt_lbl → label`
| `lcomm: part → part → opt_lbl → label`.

295

296 Next we define labelled transitions for local type contexts.

297 ► **Definition 4.4** (Typing context reductions). The typing context transition $\xrightarrow{\alpha}$ is defined 298 inductively by the following rules:

$$\frac{k \in I}{p : q \& \{ \ell_i(S_i).T_i \}_{i \in I} \xrightarrow{p : q \& \ell_k(S_k)} p : T_k} [\Gamma\text{-\&}]$$

$$\frac{k \in I}{p : q \oplus \{ \ell_i(S_i).T_i \}_{i \in I} \xrightarrow{p : q \oplus \ell_k(S_k)} p : T_k} [\Gamma\text{-\oplus}] \quad \frac{\Gamma \xrightarrow{\alpha} \Gamma'}{\Gamma, p : T \xrightarrow{\alpha} \Gamma', p : T} [\Gamma\text{-,}]$$

$$\frac{\Gamma_1 \xrightarrow{p : q \oplus \ell(S)} \Gamma'_1 \quad \Gamma_2 \xrightarrow{q : p \& \ell(S')} \Gamma'_2 \quad S \leq S'}{\Gamma_1, \Gamma_2 \xrightarrow{(p, q) \ell} \Gamma'_1, \Gamma'_2} [\Gamma\text{-\oplus\&}]$$

23:10 Dummy short title

300 We write $\Gamma \xrightarrow{\alpha} \Gamma'$ if there exists Γ' such that $\Gamma \xrightarrow{a} \Gamma'$. We define a reduction $\Gamma \rightarrow \Gamma'$ that holds
 301 iff $\Gamma \xrightarrow{(p,q)\ell} \Gamma'$ for some p, q, ℓ . We write $\Gamma \rightarrow$ iff $\Gamma \rightarrow \Gamma'$ for some Γ' . We write \rightarrow^* for
 302 the reflexive transitive closure of \rightarrow .

303 $[\Gamma \oplus]$ and $[\Gamma \&]$, express a single participant sending or receiving. $[\Gamma \oplus]$ expresses a
 304 synchronized communication where one participant sends while another receives, and they
 305 both progress with their continuation. $[\Gamma \neg]$ shows how to extend a context.

306 In Rocq typing context reductions are defined the following way:

```
Inductive tctxR: tctx → label → tctx → Prop ≡
| Rsend: ∀ p q xs n s T,
  p ≠ q →
  onth n xs = Some (s, T) →
  tctxR (M.add p (litt_send q xs) M.empty) (lsend p q (Some s) n) (M.add p T M.empty)
| Rrecv: ...
| Rcomm: ∀ p q g1' g2' g2'' s' n (H1: MF.Disjoint g1 g2') (H2: MF.Disjoint g1' g2''),
  p ≠ q →
  tctxR g1 (lsend p q (Some s) n) g1' →
  tctxR g2 (lrecv q p (Some s') n) g2' →
  subsort s s' →
  tctxR (disj_merge g1 g2 H1) (lcomm p q n) (disj_merge g1' g2' H2)
| RvarI: ∀ g l g' p T,
  tctxR g l g' →
  M.mem p g = false →
  tctxR (M.add p T g) l (M.add p T g')
| Rstruct: ∀ g1 g1' g2 g2' l, tctxR g1' l g2' →
  M.Equal g1 g1' →
  M.Equal g2 g2' →
  tctxR g1 l g2'.
```

307
 308 **Rsend**, **Rrecv** and **RvarI** are straightforward translations of $[\Gamma \neg \&]$, $[\Gamma \neg \oplus]$ and $[\Gamma \neg \neg]$.
 309 **Rcomm** captures $[\Gamma \neg \oplus \&]$ using the `disj_merge` function we defined for the compositions, and
 310 requires a proof that the contexts given are disjoint to be applied. **RStruct** captures the
 311 indistinguishability of local contexts under the `M.Equal` predicate from the `MMaps` library.
 312 We give an example to illustrate typing context reductions.

313 ▶ **Example 4.5.** Let

```
314   T_p = q ⊕ {ℓ_0(int).T_p , ℓ_1(int).end}
315   T_q = p & {ℓ_0(int).T_q , ℓ_1(int).r ⊕ {ℓ_2(int).end}}
316   T_r = q & {ℓ_2(int).end}
```

317 and $\Gamma = \{p : T_p, q : T_q, r : T_r\}$. We have the reductions $\Gamma \xrightarrow{p:q \oplus \ell_0(int)} \Gamma$ and $\Gamma \xrightarrow{q:p \& \ell_0(int)} \Gamma$, which synchronise to give the reduction and $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma$. Similarly via synchronised
 318 communication of p and q via message label ℓ_1 we get $\Gamma \xrightarrow{(p,q)\ell_1} \Gamma'$ where Γ' is defined as
 319 $\{p : end, q : r \oplus \{\ell_2(int).end\}, r : T_r\}$. We further have that $\Gamma' \xrightarrow{(q,r)\ell_2} \Gamma_{end}$ where Γ_{end} is
 320 defined as $\{p : end, q : end, r : end\}$.
 321

322 In Rocq, Γ is defined the following way:

```
323 Definition prt_p ≡ 0.
Definition prt_q ≡ 1.
Definition prt_r ≡ 2.
CoFixpoint T_p ≡ litt_send prt_q [Some (sint,T_p); Some (sint,litt_end); None].
CoFixpoint T_q ≡ litt_recv prt_p [Some (sint,T_q); Some (sint,litt_send prt_r [None,None;Some (sint,litt_end)]); None].
Definition T_r ≡ litt_recv prt_q [None,None; Some (sint,litt_end)].
Definition gamma ≡ M.add prt_p T_p (M.add prt_q T_q (M.add prt_r T_r M.empty)).
```

324 Now $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma$ can be expressed as `tctxR gamma (lsend prt_p prt_q (Some sint) 0) gamma`.

4.3 Global Type Reductions

325 As with local typing contexts, we can also define reductions for global types.

327 ► **Definition 4.6** (Global type reductions). *The global type transition $\xrightarrow{\alpha}$ is defined coinductively*
328 *as follows.*

$$\frac{k \in I}{\boxed{p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{(p,q)\ell_k} G_k}} \text{ [GR-}\oplus\&\text{]}$$

$$\frac{\forall i \in I \ G_i \xrightarrow{\alpha} G'_i \quad \text{subject}(\alpha) \cap \{p, q\} = \emptyset \quad \forall i \in I \ \{p, q\} \subseteq \text{pt}\{G_i\}}{\boxed{p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{\alpha} p \rightarrow q : \{\ell_i(S_i).G'_i\}_{i \in I}}} \text{ [GR-CTX]}$$

330 [GR- $\oplus\&$] says that a global type tree with root $p \rightarrow q$ can transition to any of its children
331 corresponding to the message label chosen by p . [GR-CTX] says that if the subjects of α
332 are disjoint from the root and all its children can transition via α , then the whole tree can
333 also transition via α , with the root remaining the same and just the subtrees of its children
334 transitioning.

335 In Rocq global type reductions are expressed using the coinductively defined predicate
336 `gttstepC`. For example, $G \xrightarrow{(p,q)\ell_k} G'$ translates to `gttstepC G G' p q k`. We refer to [14] for
337 details.

338 4.4 Association Between Local Type Contexts and Global Types

339 We have defined local type contexts which specifies protocols bottom-up by directly describing
340 the roles of every participant, and global types, which give a top-down view of the whole
341 protocol, and the transition relations on them. We now relate these local and global definitions
342 by defining *association* between local type context and global types.

343 ► **Definition 4.7** (Association). *A local typing context Γ is associated with a global type tree*
344 *G , written $\Gamma \sqsubseteq G$, if the following hold:*

- 345 ■ *For all $p \in \text{pt}(G)$, $p \in \text{dom}(\Gamma)$ and $\Gamma(p) \leqslant G \upharpoonright p$.*
- 346 ■ *For all $p \notin \text{pt}(G)$, either $p \notin \text{dom}(\Gamma)$ or $\Gamma(p) = \text{end}$.*

347 *In Rocq this is defined with the following:*

```
Definition assoc (g: tctx) (gt:gtt) ≡
  ∀ p, (isgPartsC p gt → ∃ Tp, M.find p g=Some Tp ∧
    issubProj Tp gt p) ∧
  (¬ isgPartsC p gt → ∀ Tpx, M.find p g = Some Tpx → Tpx=ltt_end).
```

348

349 Informally, $\Gamma \sqsubseteq G$ says that the local type trees in Γ obey the specification described by the
350 global type tree G .

351 ► **Example 4.8.** In Example 4.5, we have that $\Gamma \sqsubseteq G$ where

352 $G := p \rightarrow q : \{\ell_0(\text{int}).G, \ell_1(\text{int}).q \rightarrow r : \{\ell_2(\text{int}).\text{end}\}\}$

353 In fact, we have $\Gamma(s) = G \upharpoonright s$ for $s \in \{p, q, r\}$. Similarly, we have $\Gamma' \sqsubseteq G'$ where

354 $G' := q \rightarrow r : \{\ell_2(\text{int}).\text{end}\}$

355 It is desirable to have the association be preserved under local type context and global
356 type reductions, that is, when one of the associated constructs "takes a step" so should the
357 other. We formalise this property with soundness and completeness theorems.

23:12 Dummy short title

358 ► **Theorem 4.9** (Soundness of Association). *If $\text{assoc } \gamma$ and $\text{gttstepC } G \rightarrow G' \ p \ q \ \ell$,
359 then there is a local type context γ' , a global type tree G'' and a message label ℓ' such
360 that $\text{gttStepC } G \rightarrow G'' \ p \ q \ \ell'$, $\text{assoc } \gamma' \rightarrow G''$ and $\text{tctxR } \gamma \rightarrow (\text{lcomm } p \ q \ \ell') \ \gamma'$.*

361 ► **Theorem 4.10** (Completeness of Association). *If $\text{assoc } \gamma$ and $\text{tctxR } \gamma \rightarrow (\text{lcomm } p \ q \ \ell)$,
362 then there exists a global type tree G' such that $\text{assoc } \gamma' \rightarrow G'$ and $\text{gttstepC } G \rightarrow G' \ p \ q \ \ell$.*

364 ► **Remark 4.11.** Note that in the statement of soundness we allow the message label for the
365 local type context reduction to be different to the message label for the global type reduction.
366 This is because our use of subtyping in association causes the entries in the local type context
367 to be less expressive than the types obtained by projecting the global type. For example
368 consider

$$369 \quad \Gamma = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, \ q : p \& \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$$

370 and

$$371 \quad G = p \rightarrow q : \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$$

372 We have $\Gamma \sqsubseteq G$ and $G \xrightarrow{(p,q)\ell_1}$. However $\Gamma \xrightarrow{(p,q)\ell_1}$ is not a valid transition. Note that
373 soundness still requires that $\Gamma \xrightarrow{(p,q)\ell_x}$ for some x , which is satisfied in this case by the valid
374 transition $\Gamma \xrightarrow{(p,q)\ell_0}$.

375 5 Properties of Local Type Contexts

376 We now use the LTS semantics to define some desirable properties on type contexts and their
377 reduction sequences. Namely, we formulate safety, liveness and fairness properties based on
378 the definitions in [44].

379 5.1 Safety

380 We start by defining safety:

381 ► **Definition 5.1** (Safe Type Contexts). *We define safe coinductively as the largest set of type
382 contexts such that whenever we have $\Gamma \in \text{safe}$:*

$$383 \quad \begin{array}{l} \Gamma \xrightarrow{p:q \oplus \ell(S)} \text{ and } \Gamma \xrightarrow{q:p \& \ell'(S')} \text{ implies } \Gamma \xrightarrow{(p,q)\ell} \\ \Gamma \rightarrow \Gamma' \text{ implies } \Gamma' \in \text{safe} \end{array} \quad \begin{array}{l} [\text{S-}\&\oplus] \\ [\text{S-}\rightarrow] \end{array}$$

385 We write $\text{safe}(\Gamma)$ if $\Gamma \in \text{safe}$.

386 Informally, safety says that if p and q communicate with each other and p requests to send a
387 value using message label ℓ , then q should be able to receive that message label. Furthermore,
388 this property should be preserved under any typing context reductions. Being a coinductive
389 property, to show that $\text{safe}(\Gamma)$ it suffices to give a set φ such that $\Gamma \in \varphi$ and φ satisfies
390 $[\text{S-}\&\oplus]$ and $[\text{S-}\rightarrow]$. This amounts to showing that every element of Γ' of the set of reducts
391 of Γ , defined $\varphi := \{\Gamma' \mid \Gamma \rightarrow^* \Gamma'\}$, satisfies $[\text{S-}\&\oplus]$. We illustrate this with some examples:

392 ► **Example 5.2.** Let $\Gamma_A = p : \text{end}$, then Γ_A is safe: the set of reducts is $\{\Gamma_A\}$ and this set
393 respects $[\text{S-}\&\oplus]$ as its elements can't reduce, and it respects $[\text{S-}\rightarrow]$ as it's closed with
394 respect to \rightarrow .

395 Let $\Gamma_B = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{nat}).\text{end}\}$. Γ_B is not safe as we have
 396 $\Gamma_B \xrightarrow{p:q \oplus \ell_0} \Gamma_B$ and $\Gamma_B \xrightarrow{q:p \& \ell_0} \Gamma_B$ but we don't have $\Gamma_B \xrightarrow{(p,q)\ell_0} \Gamma_B$ as $\text{int} \not\leq \text{nat}$.

397 Let $\Gamma_C = p : q \oplus \{\ell_1(\text{int}).q \oplus \{\ell_0(\text{int}).\text{end}\}\}, q : p \& \{\ell_1(\text{int}).p \& \{\ell_0(\text{nat}).\text{end}\}\}$. Γ_C is not
 398 safe as we have $\Gamma_C \xrightarrow{(p,q)\ell_1} \Gamma_B$ and Γ_B is not safe.

399 Consider Γ from Example 4.5. All the reducts satisfy [S-& \oplus], hence Γ is safe.

400 Being a coinductive property, `safe` can be expressed in Rocq using Paco:

```

Definition weak_safety (c: tctx)  $\triangleq$ 
   $\forall p q s s' k k', \text{tctxRE } (\text{lsend } p q (\text{Some } s) k) c \rightarrow \text{tctxRE } (\text{lrecv } q p (\text{Some } s') k') c \rightarrow$ 
     $\text{tctxRE } (\text{lcomm } p q k) c \rightarrow$ 

Inductive safe (R: tctx  $\rightarrow$  Prop): tctx  $\rightarrow$  Prop  $\triangleq$ 
  | safety_red :  $\forall c, \text{weak\_safety } c \rightarrow (\forall p q c' k,$ 
     $\text{tctxR } c (\text{lcomm } p q k) c' \rightarrow R c')$ 
     $\rightarrow \text{safe } R c$ .

Definition safeC c  $\triangleq$  paco1 safe bot1 c.

```

401

402 `weak_safety` corresponds [S-& \oplus] where `tctxRE l c` is shorthand for $\exists c', \text{tctxR } c l c'$. In
 403 the inductive `safe`, the constructor `safety_red` corresponds to [S \rightarrow]. Then `safeC` is defined
 404 as the greatest fixed point of `safe`.

405 We have that local type contexts with associated global types are always safe.

406 ▶ **Theorem 5.3** (Safety by Association ). If `assoc gamma g` then `safeC gamma`.

407 5.2 Fairness and Liveness

408 We now focus our attention to fairness and liveness.

409 We first restate the definition of fairness and liveness for local type context paths from
 410 [44].

411 ▶ **Definition 5.4** (Fair, Live Paths). A local type context reduction path (also called executions
 412 or runs) is a possibly infinite sequence of transitions $\Gamma_0 \xrightarrow{\lambda_0} \Gamma_1 \xrightarrow{\lambda_1} \dots$ such that λ_i is a
 413 synchronous transition label, that is, of the form $(p, q)\ell$, for all i .

414 We say that a local type context reduction path $\Gamma_0 \xrightarrow{\lambda_0} \Gamma_1 \xrightarrow{\lambda_1} \dots$ is fair if, for all
 415 $n \in N : \Gamma_n \xrightarrow{(p,q)\ell} \Gamma_{n+1}$ implies $\exists k, \ell'$ such that $N \ni k \geq n$ and $\lambda_k = (p, q)\ell'$, and therefore
 416 $\Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$. We say that a path $(\Gamma_n)_{n \in N}$ is live iff, $\forall n \in N$:

- 417 1. $\forall n \in N : \Gamma_n \xrightarrow{p:q \oplus \ell(S)} \Gamma_{n+1}$ implies $\exists k, \ell'$ such that $N \ni k \geq n$ and $\Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$
- 418 2. $\forall n \in N : \Gamma_n \xrightarrow{q:p \& \ell(S)} \Gamma_{n+1}$ implies $\exists k, \ell'$ such that $N \ni k \geq n$ and $\Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$

419 ▶ **Definition 5.5** (Live Local Type Context). A local type context Γ is live if whenever $\Gamma \rightarrow^* \Gamma'$,
 420 every fair path starting from Γ' is also live.

421 In general, fairness assumptions are used so that only the reduction sequences that are
 422 "well-behaved" in some sense are considered when formulating other properties [42]. For our
 423 purposes we define fairness such that, in a fair path, if at any point p attempts to send to q
 424 and q attempts to send to p then eventually a communication between p and q takes place.
 425 Then live paths are defined to be paths such that whenever p attempts to send to q or q
 426 attempts to send to p , eventually a p to q communication takes place. Informally, this means
 427 that every communication request is eventually answered. Then live typing contexts are
 428 defined to be the Γ where all fair paths that start from Γ are also live.

429 ▶ **Example 5.6.** Consider the contexts Γ, Γ' and Γ_{end} from Example 4.5. One possible
 430 reduction path is $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \dots$. Denote this path as $(\Gamma_n)_{n \in N}$, where $\Gamma_n = \Gamma$

23:14 Dummy short title

431 for all $n \in \mathbb{N}$. We have $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0} \text{and } \Gamma_n \xrightarrow{(p,q)\ell_1}$ as the only possible synchronised
 432 reductions from Γ_n . Accordingly, we also have $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0} \Gamma_{n+1}$ in the path so this path
 433 is fair. However, this path is not live as we have $\Gamma_1 \xrightarrow{r:q \& \ell_2(\text{int})}$ but there is no n, ℓ' with
 434 $\Gamma_n \xrightarrow{(q,r)\ell'} \Gamma_{n+1}$ in the path. Consequently, Γ is not a live type context.

435 Now consider the reduction path $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \Gamma' \xrightarrow{(q,r)\ell_2} \Gamma_{\text{end}}$. This path is fair and
 436 live as it contains the (q, r) transition from the counterexample above.

437 Definition 5.4, while intuitive, is not really convenient for a Rocq formalisation due to
 438 the existential statements contained in them. It would be ideal if these properties could
 439 be expressed as a least or greatest fixed point, which could then be formalised via Rocq's
 440 inductive or (via Paco) coinductive types. To achieve this, we recast fairness and liveness for
 441 local type context paths in Linear Temporal Logic (LTL) [33]. \diamond and \square can be characterised
 442 as least and greatest fixed points using their expansion laws [2, Chapter 5.14]. Hence they
 443 can be implemented in Rocq as the inductive type `eventually` and the coinductive type
 444 `alwaysCG` . The Rocq definition of Definition 5.4 amounts to the following :

```
445
Definition fair_path_local_inner (pt: local_path) : Prop ≡
  ∀ p q n, to_path_prop (tctxRE (lcomm p q n)) False pt → eventually (headComm p q) pt.
Definition fair_path ≡ alwaysCG fair_path_local_inner.
Definition live_path_inner (pt: local_path) : Prop ≡
  ∀ p q s n,
  (to_path_prop (tctxRE (lsend p q (Some s) n)) False pt → eventually (headComm p q) pt) ∧
  (to_path_prop (tctxRE (lrecv p q (Some s) n)) False pt → eventually (headComm q p) pt).
Definition live_path ≡ alwaysCG live_path_inner.
```

445

446 ▶ **Remark 5.7.** Note that the LTS of local type contexts has the property that, once a
 447 transition between participants p and q is enabled, it stays enabled until a transition
 448 between p and q occurs. This makes `fair_path` equivalent to the standard formulas [2,
 449 Definition 5.25] for strong fairness ($\square \diamond \text{enabledComm}_{p,q} \implies \square \diamond \text{headComm}_{p,q}$) and weak
 450 fairness ($\diamond \square \text{enabledComm}_{p,q} \implies \square \diamond \text{headComm}_{p,q}$).

451 With these definitions we can now prove that local type contexts associated with a global
 452 type are live, which is the most involved of the results mechanised in this work. We now
 453 detail the Rocq Proof that associated local type contexts are also live.

454 ▶ **Remark 5.8.** We once again emphasise that all global types mentioned are assumed to
 455 be balanced (Definition 3.9). Indeed association with non-balanced global types doesn't
 456 guarantee liveness. As an example, consider Γ from Example 4.5, which is associated with G
 457 from Example 4.8. Yet we have shown in Example 5.6 that Γ is not a live type context. This
 458 is not surprising as G is not balanced.

459 ▶ **Theorem 5.9 (Liveness by Association .** If `assoc gamma g` then γ is live.

460 **Proof.** (Simplified, Outline) Our proof proceeds in two steps. First, we prove that the typing
 461 context obtained by direct projections ¹ of g , that is, $\gamma_{\text{proj}} = \{p_i : G \upharpoonright_{p_i} \mid p_i \in \text{pt}\{G\}\}$,
 462 is live. We then leverage Theorem 4.10 to show that if γ_{proj} is live, so is γ .

463 The proof that γ_{proj} is live proceeds by well-founded induction on the tree height
 464 [12] of the grafting (Lemma 3.12) of the global type g . Suppose $\gamma_{\text{proj}} \xrightarrow{p:q \oplus \ell(S)}$ (the
 465 case for the receive is similar and omitted), and \mathbf{xs} is a fair local type context reduction path
 466 beginning with γ_{proj} . To show that \mathbf{xs} is live we need to show the existence of a $(p, q) \ell$
 467 transition in \mathbf{xs} . We prove the following helper lemmas:

¹ Note that the actual Rocq proof defines an equivalent "enabledness" predicate on global types instead of working with direct projections. The outline given here is a slightly simplified presentation.

- 468 ■ The height of the p-grafting of g is not smaller than the q-grafting .
- 469 ■ If the p-grafting and q-grafting of a global type g' have the same height, then any fair
470 path beginning with the direct projection context of g' eventually contains a $(p, q)\ell$
471 transition .
- 472 ■ The height of the p-grafting of g strictly decreases with every transition involving q ,
473 and doesn't increase with the transitions not involving q .
- 474 These lemmas followed by well-founded induction on the height of the p-grafting of the global
475 type the head of \mathbf{xs} is projected from gives the desired transition.
- 476 In the second step of the proof we extend association on to paths to get, for each local
477 type context reduction path \mathbf{xs} that begins with \mathbf{gamma} , another local type context reduction
478 path \mathbf{ys} beginning with $\mathbf{gamma_proj}$ such that the elements of \mathbf{xs} are subtypes (subtyping
479 on contexts defined pointwise) of the corresponding elements of \mathbf{ys} . This is obtained from
480 Theorem 4.10, however the statement of Theorem 4.10 is implemented as an \exists statement
481 that lives in \mathbf{Prop} , hence we need to use the `constructive_indefinite_description` axiom to
482 construct a `CoFixpoint` returning the desired cosequence \mathbf{ys} . The proof then follows by the
483 definition of subtyping (Definition 3.4). 

484 6 Properties of Sessions

485 We give typing rules for the session calculus introduced in 2, and prove subject reduction and
486 progress for them. Then we define a liveness property for sessions, and show that processes
487 typable by a local type context that's associated with a global type tree are guaranteed to
488 satisfy this liveness property.

489 6.1 Typing rules

490 We give typing rules for our session calculus based on [17] and [14].

491 We distinguish between two kinds of typing judgements and type contexts.

- 492 1. A local type context Γ associates participants with local type trees, as defined in cdef-type-ctx. Local type contexts are used to type sessions (Definition 2.2) i.e. a set of pairs
493 of participants and single processes composed in parallel. We express such judgements as
494 $\Gamma \vdash_M M$, or as $\mathbf{typ_sess} M \mathbf{gamma}$ or $\mathbf{gamma} \vdash M$ in Rocq.
- 496 2. A process variable context Θ_T associates process variables with local type trees, and an
497 expression variable context Θ_e assigns sorts to expresion variables. Variable contexts
498 are used to type single processes and expressions (Definition 2.1). Such judgements are
499 expressed as $\Theta_T, \Theta_e \vdash_P P : T$, or in Rocq as $\mathbf{typ_proc} \thetaeta_T \thetaeta_e P T$ or $\thetaeta_T, \thetaeta_e \vdash P : T$.

$$\begin{array}{c}
 \Theta \vdash_P n : \mathbf{nat} \quad \Theta \vdash_P i : \mathbf{int} \quad \Theta \vdash_P \mathbf{true} : \mathbf{bool} \quad \Theta \vdash_P \mathbf{false} : \mathbf{bool} \quad \Theta, x : S \vdash_P x : S \\
 \frac{\Theta \vdash_P e : \mathbf{nat}}{\Theta \vdash_P \mathbf{succ} e : \mathbf{nat}} \quad \frac{\Theta \vdash_P e : \mathbf{int}}{\Theta \vdash_P \mathbf{neg} e : \mathbf{int}} \quad \frac{\Theta \vdash_P e : \mathbf{bool}}{\Theta \vdash_P \neg e : \mathbf{bool}} \\
 \frac{\Theta \vdash_P e_1 : S \quad \Theta \vdash_P e_2 : S}{\Theta \vdash_P e_1 \oplus e_2 : S} \quad \frac{\Theta \vdash_P e_1 : \mathbf{int} \quad \Theta \vdash_P e_2 : \mathbf{int}}{\Theta \vdash_P e_1 > e_2 : \mathbf{bool}} \quad \frac{\Theta \vdash_P e : S \quad S \leq S'}{\Theta \vdash_P e : S'}
 \end{array}$$

■ Table 4 Typing expressions

$$\begin{array}{c}
 \frac{[\text{T-END}]}{\Theta \vdash_P \mathbf{0} : \text{end}} \quad \frac{[\text{T-VAR}]}{\Theta, X : T \vdash_P X : T} \quad \frac{[\text{T-REC}]}{\Theta, X : T \vdash_P P : T} \quad \frac{[\text{T-IF}]}{\Theta \vdash_P e : \text{bool} \quad \Theta \vdash_P P_1 : T \quad \Theta \vdash_P P_2 : T} \\
 \frac{}{\Theta \vdash_P \mu X.P : T} \quad \frac{}{\Theta \vdash_P \text{if } e \text{ then } P_1 \text{ else } P_2 : T}
 \end{array}$$

$$\frac{[\text{T-SUB}]}{\Theta \vdash_P P : T \quad T \leqslant T'} \quad \frac{[\text{T-IN}]}{\forall i \in I, \quad \Theta, x_i : S_i \vdash_P P_i : T_i} \quad \frac{[\text{T-OUT}]}{\Theta \vdash_P e : S \quad \Theta \vdash_P P : T}$$

$$\frac{}{\Theta \vdash_P \sum_{i \in I} p? \ell_i(x_i).P_i : p\&\{\ell_i(S_i).T_i\}_{i \in I}} \quad \frac{}{\Theta \vdash_P p! \ell(e).P : p\oplus\{\ell(S).T\}}$$

Table 5 Typing processes

501 Table 4 and Table 5 state the standard typing rules for expressions and processes which
502 we don't elaborate on. We have a single rule for typing sessions:

$$\frac{[\text{T-SESS}]}{\forall i \in I : \quad \vdash_P P_i : \Gamma(p_i) \quad \Gamma \sqsubseteq G} \quad \frac{}{\Gamma \vdash_M \prod_i p_i \triangleleft P_i}$$

504 [T-SESS] says that a session made of the parallel composition of processes $\prod_i p_i \triangleleft P_i$ can
505 be typed by an associated local context Γ if the local type of participant p_i in Γ types the
506 process

507 6.2 Properties of Typed Sessions

give theorem 508 The subject reduction, progress and non-stuck theorems from [14] also hold in this setting,
no 509 with minor changes in their statements and proofs. We won't discuss these proofs in detail.

510 ▶ **Lemma 6.1.** If $\gamma \vdash_M M$ and $M \Rightarrow M'$, then $\text{typ_sess } M' \text{ } \gamma$.

511 ▶ **Theorem 6.2 (Subject Reduction)**. If $\gamma \vdash_M M$ and $M \xrightarrow{(p,q)\ell} M'$, then there exists a
512 typing context γ' such that $\gamma \xrightarrow{(p,q)\ell} \gamma'$ and $\gamma \vdash_M M$.

513 ▶ **Remark 6.3.** Note that in Theorem 6.2 one transition between sessions corresponds to
514 exactly one transition between local type contexts with the same label. That is, every session
515 transition is observed by the corresponding type. This is the main reason for our choice of
516 reactive semantics (Section 2.2) as τ transitions are not observed by the type in ordinary
517 semantics. In other words, with τ -semantics the typing relation is a *weak simulation* [29],
518 while it turns into a strong simulation with reactive semantics. For our Rocq implementation
519 working with the strong simulation turns out to be more convenient.

520 ▶ **Theorem 6.4 (Deadlock Freedom)**. If $\gamma \vdash_M M$, one of the following hold :

- 521 1. Either $M \Rightarrow M_{\text{inact}}$ where every process making up M_{inact} is inactive, i.e. $M_{\text{inact}} \equiv \prod_{i=1}^n p_i \triangleleft \mathbf{0}$ for some n .
- 523 2. Or there is a M' such that $M \rightarrow M'$.

524 We can also prove the following correspondence result in the reverse direction to Theorem 6.2,
525 analogous to Theorem 4.9.

526 ▶ **Theorem 6.5 (Session Fidelity)**. If $\gamma \vdash_M M$ and $\gamma \xrightarrow{(p,q)\ell} \gamma'$, there exists
527 a message label ℓ' , a context γ'' and a session M' such that $M \xrightarrow{(p,q)\ell'} M'$, $\gamma \xrightarrow{(p,q)\ell'} \gamma''$
528 and $\text{typ_sess } M' \text{ } \gamma''$.

529 ► Remark 6.6. Again we note that by Theorem 6.5 a single-step context reduction induces a
 530 single-step session reduction on the type. With the τ -semantics the session reduction induced
 531 by the context reduction would be multistep.

532 Now the following type safety property follows from the above theorems:

533 ► **Theorem 6.7** (Type Safety). *If $\gamma \vdash_M M$ and $M \rightarrow^* M' \Rightarrow (p \leftarrow p_send q \text{ ell } P$
 534 $\parallel\parallel q \leftarrow p_recv p \text{ xs } \parallel\parallel M')$, then $\text{onth ell xs} \neq \text{None}$.*

535 The final, and the most intricate, session property we prove is liveness.

536 ► **Definition 6.8** (Session Liveness). *Session \mathcal{M} is live iff*

- 537 1. $\mathcal{M} \rightarrow^* \mathcal{M}' \Rightarrow q \triangleleft p! \ell_i(x_i).Q \mid \mathcal{N}$ implies $\mathcal{M}' \rightarrow^* \mathcal{M}'' \Rightarrow q \triangleleft Q \mid \mathcal{N}'$ for some $\mathcal{M}'', \mathcal{N}'$
- 538 2. $\mathcal{M} \rightarrow^* \mathcal{M}' \Rightarrow q \triangleleft \bigwedge_{i \in I} p? \ell_i(x_i).Q_i \mid \mathcal{N}$ implies $\mathcal{M}' \rightarrow^* \mathcal{M}'' \Rightarrow q \triangleleft Q_i[v/x_i] \mid \mathcal{N}'$ for some
 539 $\mathcal{M}'', \mathcal{N}', i, v$.

540 In Rocq this is expressed with the predicate `live_sess` :

```
541 Definition live_sess Mp  $\triangleq$   $\forall M, \text{betaRtc Mp } M \rightarrow$   

   $(\forall p q \text{ ell } e P' M', p \neq q \rightarrow \text{unfoldP } M ((p \leftarrow p\_send q \text{ ell } e P') \parallel\parallel M') \rightarrow \exists M'',$   

   $\text{betaRtc } M ((p \leftarrow P') \parallel\parallel M'))$   

 $\wedge$   

 $(\forall p q \text{ llp } M', p \neq q \rightarrow \text{unfoldP } M ((p \leftarrow p\_recv q \text{ llp}) \parallel\parallel M') \rightarrow$   

 $\exists M'', P' \in K, \text{onth } k \text{ llp} = \text{Some } P' \wedge \text{betaRtc } M ((p \leftarrow \text{subst\_expr\_proc } P', e \circ o) \parallel\parallel M')).$ 
```

542 Session liveness, analogous to liveness for typing contexts (Definition 5.4), says that when
 543 \mathcal{M} is live, if \mathcal{M} reduces to a session \mathcal{M}' containing a participant that's attempting to send
 544 or receive, then \mathcal{M}' reduces to a session where that communication has happened. It's also
 545 called *lock-freedom* in related work ([41, 30]).

546 We can now prove that typed sessions are live. First we prove the following lemma:

547 ► **Lemma 6.9** (Fair Extension of Typed Sessions). *If `typ_sess M gamma`, then there exists a
 548 session reduction path `xs` starting from `M` such that the following fairness property holds:*
 \blacksquare *On `xs`, whenever a transition with label $(p, q)\ell$ is enabled, a transition with label $(p, q)\ell'$
 550 eventually occurs for some ℓ' .*

551 **Proof.** The desired path can be constructed by repeatedly cycling through all participants,
 552 checking if there is a transition involving that participant, and executing that transition if
 553 there is. Correctness follows from Theorem 6.2 and Theorem 6.5. ◀

554 Lemma 6.9 defines a "fairness" property for sessions analogous to Definition 5.4. It then
 555 shows that there exists a fair path from any typable session. This resembles the *feasibility*
 556 property expected from sensible notions of fairness [42], which states that any partial path
 557 can be extended into a fair one ².

558 ► **Remark 6.10.** As in the proof of Theorem 5.9, the construction in Lemma 6.9 uses the
 559 `constructive_indefinite_description` axiom to construct a **CoFixpoint**. Additionally, we
 560 use the axiom `excluded_middle_informative` for the "check if there is a transition involving a
 561 participant" part of the scheduling algorithm. The use of this axiom is probably not necessary
 562 but it makes the proof easier.

² Note that this fairness property for sessions is not actually feasible as there are partial paths starting with an untypable session that can't be extended into a fair one. Nevertheless, Lemma 6.9 turns out to be enough to prove our liveness property.

563 ► **Theorem 6.11** (Liveness by Typing). *For a session M_p , if $\exists \gamma \vdash_M M_p$ then
564 $\text{live_sess } M_p$.*

565 **Proof.** We detail the proof for the send case of Definition 6.8, the case for the receive is
566 similar. Suppose that $M_p \rightarrow^* M$ and $M \Rightarrow ((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M')$. Our goal is
567 to show that there exists a M'' such that $M \rightarrow^* ((p \leftarrow P') ||| M'')$. First, observe that
568 by [R-UNFOLD] it suffices to show that $((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M') \rightarrow^* M''$ for
569 some M'' . Also note that $\gamma \vdash_M M$ for some γ by Theorem 6.2, therefore $\gamma \vdash_M ((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M')$ by Lemma 6.1.

570 Now let xs be a fair session reduction path starting from $((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M')$, which exists by Lemma 6.9. By Theorem 6.2, let ys be a local type context
571 reduction path starting with γ such that every session in xs is typed by the context at
572 the corresponding index of ys , and the transitions of xs and ys at every step match. Now it
573 can be shown that ys is fair . Therefore by Theorem 5.9 ys is live, so a $\text{lcomm } p \ q \ \text{ell}$,
574 transition eventually occurs in ys for some ell' . Therefore $ys = \gamma \xrightarrow{*} \gamma_0 \xrightarrow{(p,q)\ell'} \gamma_1 \rightarrow \dots$ for some γ_0, γ_1 . Now consider the session M_0 typed by γ_0 in
575 xs . We have $((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M') \rightarrow^* M_0$ by M_0 being on xs . We also have
576 that $M_0 \xrightarrow{(p,q)\ell''} M_1$ for some ℓ'' , M_1 by Theorem 6.5. Now observe that $M_0 \equiv ((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M'')$ for some M'' as no transitions involving p have happened on the reduction
577 path to M_0 . Therefore $\ell = \ell''$, so $M_1 \equiv ((p \leftarrow P') ||| M'')$ for some M'' , as needed. ◀

583 7 Conclusion and Related Work

584 **Liveness Properties.** Examinations of liveness, also called *lock-freedom*, guarantees of
585 multiparty session types abound in literature, e.g. [31, 23, 44, 35, 3]. Most of these papers use
586 the definition liveness proposed by Padovani [30], which doesn't make the fairness assumptions
587 that characterize the property [16] explicit. Contrastingly, van Glabbeek et. al. [41] examine
588 several notions of fairness and the liveness properties induced by them, and devise a type
589 system with flexible choices [6] that captures the strongest of these properties, the one
590 induced by the *justness* [42] assumption. In their terminology, Definition 6.8 corresponds
591 to liveness under strong fairness of transitions (ST), which is the weakest of the properties
592 considered in that paper. They also show that their type system is complete i.e. every live
593 process can be typed. We haven't presented any completeness results in this paper. Indeed,
594 our type system is not complete for Definition 6.8, even if we restrict our attention to safe
595 and race-free sessions. For example, the session described in [41, Example 9] is live but not
596 typable by a context associated with a balanced global type in our system.

597 Fairness assumptions are also made explicit in recent work by Ciccone et. al [10, 11]
598 which use generalized inference systems with coaxioms [1] to characterize *fair termination*,
599 which is stronger than Definition 6.8, but enjoys good composition properties.

600 **Mechanisation.** Mechanisation of session types in proof assistants is a relatively new
601 effort. Our formalisation is built on recent work by Ekici et. al. [14] which uses a coinductive
602 representation of global and local types to prove subject reduction and progress. Their work
603 uses a typing relation between global types and sessions while ours uses one between associated
604 local type contexts and sessions. This necessitates the rewriting of subject reduction and
605 progress proofs in addition to the operational correspondence, safety and liveness properties
606 we have proved. Other recent results mechanised in Rocq include Ekici and Yoshida's [15]
607 work on the completeness of asynchronous subtyping, and Tirore's work [37, 39, 38] on
608 projections and subject reduction for π -calculus.

609 Castro-Perez et. al. [8] devise a multiparty session type system that dispenses with
610 projections and local types by defining the typing relation directly on the LTS specifying the
611 global protocol, and formalise the results in Agda. Ciccone's PhD thesis [9] presents an Agda
612 formalisation of fair termination for binary session types. Binary session types were also
613 implemented in Agda by Thiemann [36] and in Idris by Brady[5]. Several implementations
614 of binary session types are also present for Haskell [24, 28, 34].

615 Implementations of session types that are more geared towards practical verification
616 include the Actris framework [18, 21] which enriches the separation logic of Iris [22] with
617 binary session types to certify deadlock-freedom. In general, verification of liveness properties,
618 with or without session types, in concurrent separation logic is an active research area that
619 has produced tools such as TaDa [13], FOS [25] and LiLo [26] in the past few years. Further
620 verification tools employing multiparty session types are Jacobs's Multiparty GV [21] based
621 on the functional language of Wadler's GV [43], and Castro-Perez et. al's Zooid [7], which
622 supports the extraction of certifiably safe and live protocols.

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