

# Formally Verified Liveness with Synchronous Multiparty Session Types in Rocq

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## Abstract

Multiparty session types (MPST) offer a framework for the description of communication-based protocols involving multiple participants. In the *top-down* approach to MPST, the communication pattern of the session is described using a *global type*. Then the global type is *projected* on to a *local type* for each participant, and the individual processes making up the session are type-checked against these projections. Typed sessions possess certain desirable properties such as *safety*, *deadlock-freedom* and *liveness* (also called *lock-freedom*).

In this work, we present the first mechanised proof of liveness for synchronous multiparty session types in the Rocq Proof Assistant. Building on recent work, we represent global and local types as coinductive trees using the *paco* library. We use a coinductively defined *subtyping* relation on local types together with another coinductively defined *plain-merge* projection relation relating local and global types. We then *associate* collections of local types, or *local type contexts*, with global types using this projection and subtyping relations, and prove an *operational correspondence* between a local type context and its associated global type. We then utilize this association relation to prove the safety and liveness of associated local type contexts and, consequently, the multiparty sessions typed by these contexts.

Besides clarifying the often informal proofs of liveness found in the MPST literature, our Rocq mechanisation also enables the certification of lock-freedom properties of communication protocols. Our contribution amounts to around 12K lines of Rocq code.

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## 1 Introduction

Multiparty session types [18] provide a type discipline for the correct-by-construction specification of message-passing protocols. Desirable protocol properties guaranteed by session types include *safety* (the labels and types of senders' payloads cohere with the capabilities of the receivers), *deadlock-freedom* (also called *progress* or *non-stuck property* [13]) (it is possible for the session to progress so long as it has at least one active participant), and *liveness* (also called *lock-freedom* [40] or *starvation-freedom* [8]) (if a process is waiting to send and receive then a communication involving it eventually happens).

There exists two common methodologies for multiparty session types. In the *bottom-up* approach, the individual processes making up the session are typed using a collection of *participants* and *local types*, that is, a *local type context*, and the properties of the session is examined by model-checking this local type context. Contrastingly, in the *top-down* approach sessions are typed by a *global type* that is related to the processes using endpoint *projections* and *subtyping*. The structure of the global type ensures that the desired properties are satisfied by the session. These two approaches have their advantages and disadvantages:

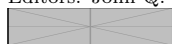


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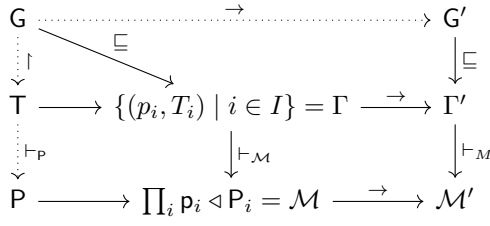
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■ **Figure 1** Design overview. The dotted lines correspond to relations inherited from [13] while the solid lines denote relations that are new, or substantially rewritten, in this paper.

the bottom-up approach is generally able to type more sessions, while type-checking and type-inferring in the top-down approach tend to be more efficient than model-checking the bottom-up system [39].

In this work, we present the Rocq [4] formalisation of a synchronous MPST that ensures the aforementioned properties for typed sessions. Our type system uses an *association* relation ( $\sqsubseteq$ ) [43, 31] defined using (coinductive plain) projection [37] and subtyping, in order to relate local type contexts and global types. This association relation ensures *operational correspondence* between the labelled transition system (LTS) semantics we define for local type contexts and global types. We then type ( $\vdash_M$ ) sessions using local type contexts that are associated with global types, which ensure that the local type context, and hence the session, is well-behaved in some sense. Whenever an associated local type context  $\Gamma$  types a session  $\mathcal{M}$ , our type system guarantees safety (Theorem 6.7), deadlock-freedom Theorem 6.4 and liveness Theorem 6.11. the following properties:

1. **Subject Reduction** (Theorem 6.2): If  $\mathcal{M}$  can progress into  $\mathcal{M}'$ , then  $\Gamma$  can progress into  $\Gamma'$  such that  $\Gamma'$  types  $\mathcal{M}'$ .
2. **Session Fidelity** (Theorem 6.5): If  $\Gamma$  can progress into  $\Gamma'$ , then  $\mathcal{M}$  can progress into  $\mathcal{M}'$  such that  $\mathcal{M}'$  is typable by  $\Gamma'$ .
3. **Safety** (Theorem 6.7): If  $\mathcal{M}$  can progress into  $\mathcal{M}'$  by one or more communications, participant  $p$  in  $\mathcal{M}'$  sends to participant  $q$  and  $q$  receives from  $p$ , then the labels of  $p$  and  $q$  cohere.
4. **Deadlock-Freedom** (Theorem 6.4): Either every participant in  $\mathcal{M}$  has terminated, or  $\mathcal{M}$  can progress.
5. **Liveness** (Theorem 6.11): If participant  $p$  attempts to communicate with participant  $q$  in  $\mathcal{M}$ , then  $\mathcal{M}$  can progress (in possibly multiple steps) into a session  $\mathcal{M}'$  where that communication has occurred.

To our knowledge, this work presents the first mechanisation of liveness for multiparty session types in a proof assistant.

Our Rocq implementation builds upon the recent formalisation of subject reduction for MPST by Ekici et. al. [13], which itself is based on [16]. The methodology in [13] takes an equirecursive approach where an inductive syntactic global or local type is identified with the coinductive tree obtained by fully unfolding the recursion. It then defines a coinductive projection relation between global and local type trees, the LTS semantics for global type trees, and typing rules for the session calculus outlined in [16]. We extensively use these definitions and the lemmas concerning them, but we still depart from and extend [13] in numerous ways by introducing local typing contexts, their correspondence with global types and a new typing relation. Our addition to the code amounts to around 12K lines of Rocq code.

As with [13], our implementation heavily uses the parameterized coinduction technique

of the `paco` [19] library. Namely, our liveness property is defined using possibly infinite *execution traces* which we represent as coinductive streams. The relevant predicates on these traces, such as fairness, are then defined using linear temporal logic (LTL)[32]. The LTL modalities eventually ( $\diamond$ ) and always ( $\Box$ ) can be expressed as least and greatest fixpoints respectively using expansion laws. This allows us to represent the properties that use these modalities as inductive and coinductive predicates in Rocq. This approach, together with the proof techniques provided by `paco`, results in compositional and clear proofs.

**Outline.** In Section 2 we define our session calculus and its LTS semantics. In Section 3 we recapitulate the definitions of local and global type trees, and the subtyping and projection relations on them, from [13]. In Section 4 we give LTS semantics to local type contexts and global types, and detail the association relation between them. In Section 5 we define safety and liveness for local type contexts, and prove that they hold for contexts associated with a global type tree. In Section 6 we give the typing rules for our session calculus, and prove the desired properties of these typable sessions.

## 2 The Session Calculus

We introduce the simple synchronous session calculus that our type system will be used on.

### 2.1 Processes and Sessions

► **Definition 2.1** (Expressions and Processes). *We define processes as follows:*

$$P ::= p!\ell(e).P \mid \sum_{i \in I} p?\ell_i(x_i).P_i \mid \text{if } e \text{ then } P \text{ else } P \mid \mu X.P \mid X \mid 0$$

where  $e$  is an expression that can be a variable, a value such as `true`, `0` or `-3`, or a term built from expressions by applying the operators `succ`, `neg`,  $\neg$ , non-deterministic choice  $\oplus$  and  $>$ .

$p!\ell(e).P$  is a process that sends the value of expression  $e$  with label  $\ell$  to participant  $p$ , and continues with process  $P$ .  $\sum_{i \in I} p?\ell_i(x_i).P_i$  is a process that may receive a value from  $p$  with any label  $\ell_i$  where  $i \in I$ , binding the result to  $x_i$  and continuing with  $P_i$ , depending on which  $\ell_i$  the value was received from.  $X$  is a recursion variable,  $\mu X.P$  is a recursive process,  $\text{if } e \text{ then } P \text{ else } P$  is a conditional and  $0$  is a terminated process.

Processes can be composed in parallel into sessions.

► **Definition 2.2** (Multiparty Sessions). *Multiparty sessions are defined as follows.*

$$\mathcal{M} ::= p \triangleleft P \mid (\mathcal{M} \mid \mathcal{M}) \mid \mathcal{O}$$

$p \triangleleft P$  denotes that participant  $p$  is running the process  $P$ ,  $\mid$  indicates parallel composition.

We write  $\prod_{i \in I} p_i \triangleleft P_i$  to denote the session formed by  $p_i$  running  $P_i$  in parallel for all  $i \in I$ .

$\mathcal{O}$  is an empty session with no participants, that is, the unit of parallel composition. In Rocq processes and sessions are defined with the inductive types `process` and `session`.

```
Inductive process : Type :=
| p_send : part → label → expr → process → process
| p_recv : part → list(option process) → process
| p_ite : expr → process → process → process
| p_rec : process → process
| p_var : nat → process
| p_inact : process.
```

```
Inductive session : Type :=
| s_ind : part → process → session
| s_par : session → session → session
| s_zero : session.

Notation "p <-> P" <-> (s_ind p P) (at level 50, no
associativity).
Notation "s1 '||' s2" <-> (s_par s1 s2) (at level 50, no
associativity).
```

## 2.2 Structural Congruence and Operational Semantics

We define a structural congruence relation  $\equiv$  on sessions which expresses the commutativity, associativity and unit of the parallel composition operator.

$$\begin{array}{l}
\text{[SC-SYM]} \quad p \triangleleft P \mid q \triangleleft Q \equiv q \triangleleft Q \mid p \triangleleft P \quad \text{[SC-ASSOC]} \quad (p \triangleleft P \mid q \triangleleft Q) \mid r \triangleleft R \equiv p \triangleleft P \mid (q \triangleleft Q \mid r \triangleleft R) \\
\text{[SC-O]} \quad p \triangleleft P \mid \mathcal{O} \equiv p \triangleleft P
\end{array}$$

■ **Table 1** Structural Congruence over Sessions

We omit the semantics for expressions, they are standard and can be found in e.g. [16]. We now give the operational semantics for sessions by the means of a labelled transition system. We use labelled *reactive* semantics [40, 6] which doesn't contain explicit silent  $\tau$  actions for internal reductions (that is, evaluation of if expressions and unfolding of recursion) while still considering  $\beta$  reductions up to those internal reductions by using an unfolding relation. This stands in contrast to the more standard semantics used in [13, 16, 40]. For the advantages of our approach see Remark 6.3.

In reactive semantics silent transitions are captured by an *unfolding* relation ( $\Rightarrow$ ), and  $\beta$  reductions are defined up to this unfolding (Table 2).

$$\begin{array}{l}
\text{[UNF-STRUCT]} \quad \frac{\mathcal{M} \equiv \mathcal{N}}{\mathcal{M} \Rightarrow \mathcal{N}} \quad \text{[UNF-REC]} \quad p \triangleleft \mu \mathbf{X}.P \mid \mathcal{N} \Rightarrow p \triangleleft P[\mu \mathbf{X}.P/\mathbf{X}] \mid \mathcal{N} \quad \text{[UNF-CONDT]} \quad \frac{e \downarrow \text{true}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \Rightarrow p \triangleleft P \mid \mathcal{N}} \\
\text{[UNF-CONDF]} \quad \frac{e \downarrow \text{false}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid \mathcal{N} \Rightarrow p \triangleleft Q \mid \mathcal{N}} \quad \text{[UNF-TRANS]} \quad \frac{\mathcal{M} \Rightarrow \mathcal{M}' \quad \mathcal{M}' \Rightarrow \mathcal{N}}{\mathcal{M} \Rightarrow \mathcal{N}}
\end{array}$$



■ **Table 2** Unfolding of Sessions

$\mathcal{M} \Rightarrow \mathcal{N}$  means that  $\mathcal{M}$  can transition to  $\mathcal{N}$  through some internal actions, that is, a reduction that doesn't involve a communication. We say that  $\mathcal{M}$  *unfolds* to  $\mathcal{N}$ . In Rocq it's captured by the predicate `unfoldP : session → session → Prop` 🐼.

$$\begin{array}{l}
\text{[R-COMM]} \quad \frac{j \in I \quad e \downarrow v}{p \triangleleft \sum_{i \in I} q! \ell_i(x_i).P_i \mid q \triangleleft p! \ell_j(e).Q \mid \mathcal{N} \xrightarrow{(p,q)\ell_j} p \triangleleft P_j[v/x_j] \mid q \triangleleft Q \mid \mathcal{N}} \\
\text{[R-UNFOLD]} \quad \frac{\mathcal{M} \Rightarrow \mathcal{M}' \quad \mathcal{M}' \xrightarrow{\lambda} \mathcal{N}' \quad \mathcal{N}' \Rightarrow \mathcal{N}}{\mathcal{M} \xrightarrow{\lambda} \mathcal{N}}
\end{array}$$

■ **Table 3** Reactive Semantics of Sessions

Table 3 illustrates the rules for communicating transits. [R-COMM] captures communications between processes, and [R-UNFOLD] lets us consider reductions up to unfoldings.

136 In Rocq, `betaP_lbl M lambda M'`  denotes  $\mathcal{M} \xrightarrow{\lambda} \mathcal{M}'$ . We write  $\mathcal{M} \rightarrow \mathcal{M}'$  if  $\mathcal{M} \xrightarrow{\lambda} \mathcal{M}'$  for  
 137 some  $\lambda$ , which is written `betaP M M'` in Rocq. We write  $\rightarrow^*$  to denote the reflexive transitive  
 138 closure of  $\rightarrow$ , which is called `betaRtc`  in Rocq.

### 139 3 The Type System

140 We briefly recap the core definitions of local and global type trees, subtyping and projection  
 141 from [16]. We take an equirecursive approach and work directly on the possibly infinite local  
 142 and global type trees obtained by unfolding the recursion in guarded syntactic types, details  
 143 of this approach can be found in [13] and hence are omitted here.

#### 144 3.1 Local Type Trees


145 We start by defining the sorts that will be used to type expressions, and local types that will  
 146 be used to type single processes.

147 ► **Definition 3.1** (Sorts and Local Type Trees). *We define three atomic sorts: `int`,  
 148 `bool` and `nat`. Local type trees are then defined coinductively with the following syntax:*

149 
$$\begin{aligned} T ::= & \text{end} \\ & | p\&\{\ell_i(S_i).T_i\}_{i \in I} \\ & | p\oplus\{\ell_i(S_i).T_i\}_{i \in I} \end{aligned}$$

```
Inductive sort: Type ≡
| sbool: sort | sint : sort | snat : sort.
CoInductive ltt: Type ≡
| ltt_end : ltt
| ltt_recv: part → list (option(sort*ltt)) → ltt
| ltt_send: part → list (option(sort*ltt)) → ltt.
```

150 In the above definition, `end` represents a role that has finished communicating.  
 151  $p\oplus\{\ell_i(S_i).T_i\}_{i \in I}$  denotes a role that may, from any  $i \in I$ , receive a value of sort  $S_i$  with  
 152 message label  $\ell_i$  and continue with  $T_i$ . Similarly,  $p\&\{\ell_i(S_i).T_i\}_{i \in I}$  represents a role that may  
 153 choose to send a value of sort  $S_i$  with message label  $\ell_i$  and continue with  $T_i$  for any  $i \in I$ .

154 In Rocq we represent the continuations using a `list` of `option` types. In a continuation  
 155 `gcs : list (option(sort*ltt))`, index `k` (using zero-indexing) being equal to `Some (s_k,`  
 156 `T_k)` means that  $\ell_k(S_k).T_k$  is available in the continuation. Similarly index `k` being equal to  
 157 `None` or being out of bounds of the list means that the message label  $\ell_k$  is not present in the  
 158 continuation. The function `onth`  formalises this convention in Rocq.

159 ► **Remark 3.2.** Note that Rocq allows us to create types such as `ltt_send q []` which don't  
 160 correspond to well-formed local types as the continuation is empty. In our implementation  
 161 we define a predicate `wfltt : ltt → Prop` capturing that all the continuations in the local  
 162 type tree are non-empty. Henceforth we assume that all local types we mention satisfy this  
 163 property.

#### 164 3.2 Subtyping

165 We define the subsorting relation on sorts and the subtyping relation on local type trees.

166 ► **Definition 3.3** (Subsorting and Subtyping). *Subsorting  $\leq$  is the least reflexive binary  
 167 relation that satisfies  $\text{nat} \leq \text{int}$ . Subtyping  $\leq$  is the largest relation between local type trees*

168 *coinductively defined by the following rules:*

$$\begin{array}{c}
 169 \quad \frac{}{\text{end} \leq \text{end}} \text{ [SUB-END]} \quad \frac{\forall i \in I : \quad S'_i \leq S_i \quad T_i \leq T'_i}{p \& \{\ell_i(S_i).T_i\}_{i \in I \cup J} \leq p \& \{\ell_i(S'_i).T'_i\}_{i \in I}} \text{ [SUB-IN]} \\
 \\
 \frac{\forall i \in I : \quad S_i \leq S'_i \quad T_i \leq T'_i}{p \oplus \{\ell_i(S_i).T_i\}_{i \in I} \leq p \oplus \{\ell_i(S'_i).T'_i\}_{i \in I \cup J}} \text{ [SUB-OUT]}
 \end{array}$$

170 Intuitively,  $T_1 \leq T_2$  means that a role of type  $T_1$  can be supplied anywhere a role of type  $T_2$   
 171 is needed. [SUB-IN] captures the fact that we can supply a role that is able to receive more  
 172 labels than specified, and [SUB-OUT] captures that we can supply a role that has fewer labels  
 173 available to send. Note the contravariance of the sorts in [SUB-IN], if the supertype demands  
 174 the ability to receive an **nat** then the subtype can receive **nat** or **int**.

175 In Rocq, the subtyping relation `subtypeC : ltt → ltt → Prop` is expressed as a greatest  
 176 fixpoint using the `Paco` library [19], for details of we refer to [16].

### 177 3.3 Global Type Trees

178 We now define global types which give a bird's eye view of the whole protocol. As before, we  
 179 work directly on infinite trees and omit the details which can be found in [13]. **end** denotes  
 180 a protocol that has ended,  $p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  denotes a protocol where for any  $i \in I$ ,  
 181 participant  $p$  may send a value of sort  $S_i$  to another participant  $q$  via message label  $\ell_i$ , after  
 182 which the protocol continues as  $G_i$ .

183 ► **Definition 3.4** (Global type trees). *We define global type trees coinductively as follows:*

184  $G ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$

```

CoInductive gtt : Type ≡
| gtt_end      : gtt
| gtt_send     : part → part → list (option (sort*gtt)) → gtt.

```

185 We further define the function  $\text{pt}(G)$  that denotes the participants of the global type  $G$  as  
 186 the least solution <sup>1</sup> to the following equations:

$$187 \quad \text{pt}(\text{end}) = \emptyset \qquad \text{pt}(p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}) = \{p, q\} \cup \bigcup_{i \in I} \text{pt}(G_i)$$

188 We extend the function  $\text{pt}$  onto trees by defining  $\text{pt}(G) = \text{pt}(\mathbb{G})$  where the global type  
 189  $\mathbb{G}$  corresponds to the global type tree  $G$ . Technical details of this definition such as well-  
 190 definedness can be found in [13, 16].

191 In Rocq  $\text{pt}$  is captured with the predicate `isgPartsC : part → gtt → Prop`, where  
 192 `isgPartsC p G` denotes  $p \in \text{pt}(G)$ .

### 193 3.4 Projection

194 We now define coinductive projections with plain merging (see [39] for a survey of other  
 195 notions of merge).

<sup>1</sup> Here we adopt a simplified presentation as  $\text{pt}(G)$  is actually defined by extending it from an inductively defined function on syntactic types, we refer to [13] for details.

196 ► **Definition 3.5** (Projection). *The projection of a global type tree onto a participant  $r$  is the*  
 197 *largest relation  $\vdash_r$  between global type trees and local type trees such that, whenever  $G \vdash_r T$ :*  
 198 ■  $r \notin \text{pt}\{G\}$  *implies*  $T = \text{end}$ ; [PROJ-END]  
 199 ■  $G = p \rightarrow r : \{\ell_i(S_i).G_i\}_{i \in I}$  *implies*  $T = p \& \{\ell_i(S_i).T_i\}_{i \in I}$  *and*  $\forall i \in I, G \vdash_r T_i$  [PROJ-IN]  
 200 ■  $G = r \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  *implies*  $T = q \oplus \{\ell_i(S_i).T_i\}_{i \in I}$  *and*  $\forall i \in I, G \vdash_r T_i$  [PROJ-OUT]  
 201 ■  $G = p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  *and*  $r \notin \{p, q\}$  *implies that*  $\forall i \in I, G_i \vdash_r T$  [PROJ-CONT]

202 Informally, the projection of a global type tree  $G$  onto a participant  $r$  extracts a role for  
 203 participant  $r$  from the protocol whose bird's-eye view is given by  $G$ . [PROJ-END] expresses that  
 204 if  $r$  is not a participant of  $G$  then  $r$  does nothing in the protocol. [PROJ-IN] and [PROJ-OUT]  
 205 handle the cases where  $r$  is involved in a communication in the root of  $G$ . [PROJ-CONT] says  
 206 that, if  $r$  is not involved in the root communication of  $G$  and all continuations of  $G$  project  
 207 on to the same type, then  $G$  also projects on to that type. In Rocq, projection is defined as a  
 208 Paco greatest fixpoint as the relation `projectionC : gtt → part → ltt → Prop`.

209 We further have the following fact about projections that lets us regard it as a partial  
 210 function:

211 ► **Lemma 3.6** ([13]). *If `projectionC G p T` and `projectionC G p T'` then  $T = T'$ .*

212 We write  $G \vdash_r T$  when  $G \vdash_r T$ . Furthermore we will be frequently be making assertions  
 213 about subtypes of projections of a global type e.g.  $T \leq G \vdash_r$ . In our Rocq implementation  
 214 we define the predicate `issubProj : ltt → gtt → part → Prop` as a shorthand for this.

### 215 3.5 Balancedness, Global Tree Contexts and Grafting

216 We introduce an important constraint on the types of global type trees we will consider,  
 217 balancedness.

218 ► **Definition 3.7** (Balanced Global Type Trees). *A global tree  $G$  is balanced if for any subtree*  
 219  *$G'$  of  $G$ , there exists  $k$  such that for all  $p \in \text{pt}(G')$ ,  $p$  occurs on every path from the root of*  
 220  *$G'$  of length at least  $k$ .*

221 We omit the technical details of this definition and the Rocq implementation, they can be  
 222 found in [16] and [13].

223 Balancedness is a regularity condition that imposes a notion of *liveness* on the protocol  
 224 described by the global type tree. Indeed, our liveness results in Section 6 hold only for  
 225 balanced global types. Another reason for formulating balancedness is that it allows us to  
 226 use the "grafting" technique, turning proofs by coinduction on infinite trees to proofs by  
 227 induction on finite global type tree contexts.

228 ► **Definition 3.8** (Global Type Tree Contexts and Grafting). *Global type tree contexts are*  
 229 *defined inductively with the following syntax:*

230  $\mathcal{G} ::= p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I} \mid [ ]_i$

```
Inductive gttth : Type :=
| gttth_hol   : fin → gttth
| gttth_send  : part → part → list (option (sort * gttth))
               → gttth.
```

231 Given a global type tree context  $\mathcal{G}$  whose holes are in the indexing set  $I$  and a set of global  
 232 types  $\{G_i\}_{i \in I}$ , the grafting  $\mathcal{G}[G_i]_{i \in I}$  denotes the global type tree obtained by substituting  $[ ]_i$   
 233 with  $G_i$  in  $\mathcal{G}$ .

234 In Rocq the indexed set  $\{G_i\}_{i \in I}$  is represented using a list (option gtt). Grafting is  
 235 expressed with the inductive relation `typ_gttth : list (option gtt) → gttth → gtt →`  
 236 `Prop`. `typ_gttth gs gcx gt` means that the grafting of the set of global type trees `gs` onto the



237 *context* `gcx` results in the tree `gt`. We additionally define `pt` and `ishParts` on global type tree  
 238 contexts analogously to `pt` and `isgPartsC` on trees.

239 A global type tree context can be thought of as the finite prefix of a global type tree, where  
 240 holes  $[ ]_i$  indicate the cutoff points. Global type tree contexts are related to global type  
 241 trees with the *grafting* operation that fills in the holes with type trees. The following lemma  
 242 relates global type tree contexts to balanced global type trees. In particular, it allows us to  
 243 turn proofs by coinduction on infinite trees to proofs by induction on the grafting context.

244 ► **Lemma 3.9** (Proper Grafting Lemma, [13]). *If  $G$  is a balanced global type tree and `isgPartsC`  
 245  $p\ G$ , then there is a global type tree context `Gctx` and an option list of global type trees `gs`  
 246 such that `typ_gtth gs Gctx G`,  $\sim$  `ishParts p Gctx` and every `Some` element of `gs` is of shape  
 247 `gtt_end`, `gtt_send p q` or `gtt_send q p`.*

248 If `typ_gtth gs Gctx G`,  $\sim$  `ishParts p Gctx` and every `Some` element of `gs` is of shape `gtt_end`,  
 249 `gtt_send p q` or `gtt_send q p`, then we call the pair `gs` and `Gctx` as the  $p$ -grafting of  $G$ ,  
 250 expressed in Rocq as `typ_p_gtth gs Gctx p G`. When we don't care about the contents of `gs`  
 251 we may just say that  $G$  is  $p$ -grafted by `Gctx`.

252 ► **Remark 3.10.** From now on, all the global type trees we will be referring to are assumed  
 253 to be balanced. When talking about the Rocq implementation, any  $G : \text{gtt}$  we mention  
 254 is assumed to satisfy the predicate `wfgC G`, expressing that  $G$  corresponds to some global  
 255 type and that  $G$  is balanced. Furthermore, we will often require that a global type is  
 256 projectable onto all its participants. This is captured by the predicate `projectableA G =  $\forall$`   
 257  $p, \exists T, \text{projectionC } G\ p\ T$ . As with `wfgC`, we will be assuming that all types we mention  
 258 are projectable.

## 259 4 Semantics of Types

260 In this section we introduce local type contexts, and define Labelled Transition System  
 261 semantics on these constructs.

### 262 4.1 Typing Contexts

263 We start by defining typing contexts as finite mappings of participants to local type trees.

264 ► **Definition 4.1** (Typing Contexts).

$\Gamma ::= \emptyset \mid \Gamma, p : T$

```
Module M  $\triangleq$  MMaps.RBT.Make(Nat).
Module MF  $\triangleq$  MMaps.Facts.Properties Nat M.
Definition tctx: Type  $\triangleq$  M.t ltt.
```

265 Intuitively,  $p : T$  means that participant  $p$  is associated with a process that has the type  
 266 tree  $T$ . We write  $\text{dom}(\Gamma)$  to denote the set of participants occurring in  $\Gamma$ . We write  $\Gamma(p)$  for  
 267 the type of  $p$  in  $\Gamma$ . We define the composition  $\Gamma_1, \Gamma_2$  iff  $\text{dom}(\Gamma_1) \cap \text{dom}(\Gamma_2) = \emptyset$ .

268 In the Rocq implementation we implement local typing contexts as finite maps of  
 269 participants, which are represented as natural numbers, and local type trees. We use  
 270 the red-black tree based finite map implementation of the MMaps library [26].

271 ► **Remark 4.2.** From now on, we assume the all the types in the local type contexts always  
 272 have non-empty continuations. In Rocq terms, if  $T$  is in context `gamma` then `wfltt T` holds.  
 273 This is expressed by the predicate `wfltt: tctx  $\rightarrow$  Prop`.



## 4.2 Local Type Context Reductions

We now give LTS semantics to local typing contexts, for which we first define the transition labels.

► **Definition 4.3** (Transition labels). *A transition label  $\alpha$  has the following form:*

$$\begin{aligned} \alpha ::= & \mathbf{p} : \mathbf{q} \& \ell(S) \quad (\mathbf{p} \text{ receives a value of sort } S \text{ from } \mathbf{q} \text{ with message label } \ell) \\ & \mid \mathbf{p} : \mathbf{q} \oplus \ell(S) \quad (\mathbf{p} \text{ sends a value of sort } S \text{ to } \mathbf{q} \text{ with message label } \ell) \\ & \mid (\mathbf{p}, \mathbf{q}) \ell \quad (A \text{ synchronized communication from } \mathbf{p} \text{ to } \mathbf{q} \text{ occurs via message label } \ell) \end{aligned}$$

Next we define labelled transitions for local type contexts.

► **Definition 4.4** (Typing context reductions). *The typing context transition  $\xrightarrow{\alpha}$  is defined inductively by the following rules:*

$$\begin{aligned} & \frac{k \in I}{\mathbf{p} : \mathbf{q} \& \{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{\mathbf{p} : \mathbf{q} \& \ell_k(S_k)} \mathbf{p} : T_k} [\Gamma-\&] \\ & \frac{k \in I}{\mathbf{p} : \mathbf{q} \oplus \{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{\mathbf{p} : \mathbf{q} \oplus \ell_k(S_k)} \mathbf{p} : T_k} [\Gamma-\oplus] \quad \frac{\Gamma \xrightarrow{\alpha} \Gamma'}{\Gamma, \mathbf{p} : T \xrightarrow{\alpha} \Gamma', \mathbf{p} : T} [\Gamma-,] \\ & \frac{\Gamma_1 \xrightarrow{\mathbf{p} : \mathbf{q} \oplus \ell(S)} \Gamma'_1 \quad \Gamma_2 \xrightarrow{\mathbf{q} : \mathbf{p} \& \ell(S')} \Gamma'_2 \quad S \leq S'}{\Gamma_1, \Gamma_2 \xrightarrow{(\mathbf{p}, \mathbf{q}) \ell} \Gamma'_1, \Gamma'_2} [\Gamma-\oplus\&] \end{aligned}$$

We write  $\Gamma \xrightarrow{\alpha}$  if there exists  $\Gamma'$  such that  $\Gamma \xrightarrow{\alpha} \Gamma'$ . We define a reduction  $\Gamma \rightarrow \Gamma'$  that holds iff  $\Gamma \xrightarrow{(\mathbf{p}, \mathbf{q}) \ell} \Gamma'$  for some  $\mathbf{p}, \mathbf{q}, \ell$ . We write  $\Gamma \rightarrow$  iff  $\Gamma \rightarrow \Gamma'$  for some  $\Gamma'$ . We write  $\rightarrow^*$  for the reflexive transitive closure of  $\rightarrow$ .

$[\Gamma-\oplus]$  and  $[\Gamma-\&]$ , express a single participant sending or receiving.  $[\Gamma-\oplus\&]$  expresses a synchronized communication where one participant sends while another receives, and they both progress with their continuation.  $[\Gamma-,]$  shows how to extend a context.

In Rocq typing context reductions are defined with the predicate `tctxR` 🐼.

```
Notation opt_lbl  $\triangleq$  nat.
Inductive label: Type  $\triangleq$ 
| lrecv: part  $\rightarrow$  part  $\rightarrow$  option sort  $\rightarrow$  opt_lbl  $\rightarrow$ 
  label
| lsend: part  $\rightarrow$  part  $\rightarrow$  option sort  $\rightarrow$  opt_lbl  $\rightarrow$ 
  label
| lcomm: part  $\rightarrow$  part  $\rightarrow$  opt_lbl  $\rightarrow$  label.
```

```
Inductive tctxR: tctx  $\rightarrow$  label  $\rightarrow$  tctx  $\rightarrow$  Prop  $\triangleq$ 
| Rsend: ...
| Rrecv: ...
| Rcomm: ...
| RvarI: ...
| Rstruct:  $\forall$  g1 g1' g2 g2' l, tctxR g1' l g2'  $\rightarrow$ 
  M.Equal g1 g1'  $\rightarrow$  M.Equal g2 g2'  $\rightarrow$  tctxR g1 l g2.
```

The first four constructors in the definition of `tctxR` corresponds to the rules in Definition 4.4, and `Rstruct` expresses the indistinguishability of local contexts under the `M.Equal` predicate from the `MMaps` library.

We illustrate typing context reductions with an example.

► **Example 4.5.** Let

$$\begin{aligned} T_p &= \mathbf{q} \oplus \{\ell_0(\text{int}).T_p, \ell_1(\text{int}).\text{end}\} \\ T_q &= \mathbf{p} \& \{\ell_0(\text{int}).T_q, \ell_1(\text{int}).\mathbf{r} \oplus \{\ell_2(\text{int}).\text{end}\}\} \\ T_r &= \mathbf{q} \& \{\ell_2(\text{int}).\text{end}\} \end{aligned}$$

## 23:10 Dummy short title

and  $\Gamma = \{p : T_p, q : T_q, r : T_r\}$ . We have the reductions  $\Gamma \xrightarrow{p:q \oplus \ell_0(\text{int})} \Gamma$  and  $\Gamma \xrightarrow{q:p \& \ell_0(\text{int})} \Gamma$ , which synchronise to give the reduction and  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma$ . Similarly via synchronised communication of  $p$  and  $q$  via message label  $\ell_1$  we get  $\Gamma \xrightarrow{(p,q)\ell_1} \Gamma'$  where  $\Gamma'$  is defined as  $\{p : \text{end}, q : r \oplus \{\ell_2(\text{int}).\text{end}\}, r : T_r\}$ . We further have that  $\Gamma' \xrightarrow{(q,r)\ell_2} \Gamma_{\text{end}}$  where  $\Gamma_{\text{end}}$  is defined as  $\{p : \text{end}, q : \text{end}, r : \text{end}\}$ .

In Rocq,  $\Gamma$  is defined the following way 🐼:

```
Definition prt_p  $\triangleq$  0.
Definition prt_q  $\triangleq$  1.
Definition prt_r  $\triangleq$  2.
CoFixpoint T_p  $\triangleq$  ltt_send prt_q [Some (sint,T_p); Some (sint,ltt_end); None].
CoFixpoint T_q  $\triangleq$  ltt_recv prt_p [Some (sint,T_q); Some (sint, ltt_send prt_r [None;None;Some (sint,ltt_end)])]; None].
Definition T_r  $\triangleq$  ltt_recv prt_q [None;None; Some (sint,ltt_end)].
Definition gamma  $\triangleq$  M.add prt_p T_p (M.add prt_q T_q (M.add prt_r T_r M.empty)).
```

Now  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma$  can be expressed as `tctxR gamma (lsend prt_p prt_q (Some sint) 0) gamma`.

### 4.3 Global Type Reductions

As with local typing contexts, we can also define reductions for global types.

► **Definition 4.6** (Global type reductions). *The global type transition  $\xrightarrow{\alpha}$  is defined coinductively as follows.*

$$\frac{k \in I}{\frac{}{p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{(p,q)\ell_k} G_k} \text{ [GR-}\oplus\&\text{]}} \quad \frac{\forall i \in I \ G_i \xrightarrow{\alpha} G'_i \quad \text{subject}(\alpha) \cap \{p, q\} = \emptyset \quad \forall i \in I \ \{p, q\} \subseteq \text{pt}\{G_i\}}{p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{\alpha} p \rightarrow q : \{\ell_i(S_i).G'_i\}_{i \in I}} \text{ [GR-Ctx]}$$

[GR- $\oplus\&$ ] says that a global type tree with root  $p \rightarrow q$  can transition to any of its children corresponding to the message label choosen by  $p$ . [GR-Ctx] says that if the subjects of  $\alpha$  are disjoint from the root and all its children can transition via  $\alpha$ , then the whole tree can also transition via  $\alpha$ , with the root remaining the same and just the subtrees of its children transitioning.

In Rocq global type reductions are expressed using the coinductively defined predicate `gttstepC`. For example,  $G \xrightarrow{(p,q)\ell_k} G'$  translates to `gttstepC G G' p q k`. We refer to [13] for details.

### 4.4 Association Between Local Type Contexts and Global Types

We have defined local type contexts which specifies protocols bottom-up by directly describing the roles of every participant, and global types, which give a top-down view of the whole protocol, and the transition relations on them. We now relate these local and global definitions by defining *association* between local type context and global types.

► **Definition 4.7** (Association). *A local typing context  $\Gamma$  is associated with a global type tree  $G$ , written  $\Gamma \sqsubseteq G$ , if the following hold:*

- For all  $p \in \text{pt}(G)$ ,  $p \in \text{dom}(\Gamma)$  and  $\Gamma(p) \leq G \upharpoonright p$ .
  - For all  $p \notin \text{pt}(G)$ , either  $p \notin \text{dom}(\Gamma)$  or  $\Gamma(p) = \text{end}$ .
- In Rocq this is defined with the following:

```

Definition assoc (g: tctx) (gt:gtt)  $\triangleq$ 
   $\forall p, (isgPartsC\ p\ gt \rightarrow \exists Tp, M.find\ p\ g = Some\ Tp \wedge$ 
     $issubProj\ Tp\ gt\ p) \wedge$ 
     $(\sim isgPartsC\ p\ gt \rightarrow \forall Tpx, M.find\ p\ g = Some\ Tpx \rightarrow Tpx = ltt\_end).$ 

```

333

334 Informally,  $\Gamma \sqsubseteq G$  says that the local type trees in  $\Gamma$  obey the specification described by the  
 335 global type tree  $G$ .

336 ► **Example 4.8.** In Example 4.5, we have that  $\Gamma \sqsubseteq G$  where

337  $G := p \rightarrow q : \{\ell_0(int).G, \ell_1(int).q \rightarrow r : \{\ell_2(int).end\}\}$

338 In fact, we have  $\Gamma(s) = G \upharpoonright s$  for  $s \in \{p, q, r\}$ . Similarly, we have  $\Gamma' \sqsubseteq G'$  where

339  $G' := q \rightarrow r : \{\ell_2(int).end\}$

340 It is desirable to have the association be preserved under local type context and global  
 341 type reductions, that is, when one of the associated constructs "takes a step" so should the  
 342 other. We formalise this property with soundness and completeness theorems.

343 ► **Theorem 4.9** (Soundness of Association). *If  $assoc\ \gamma\ G$  and  $gttstepC\ G\ G'\ p\ q\ ell$ ,  
 344 then there is a local type context  $\gamma'$ , a global type tree  $G''$  and a message label  $ell'$  such  
 345 that  $gttstepC\ G\ G''\ p\ q\ ell'$ ,  $assoc\ \gamma'\ G''$  and  $tctxR\ \gamma\ (lcomm\ p\ q\ ell')\ \gamma'$ .*

346 ► **Theorem 4.10** (Completeness of Association). *If  $assoc\ \gamma\ G$  and  $tctxR\ \gamma\ (lcomm\ p\ q\ ell)\ \gamma'$ ,  
 347 then there exists a global type tree  $G'$  such that  $assoc\ \gamma'\ G'$  and  $gttstepC\ G\ G'\ p\ q\ ell$ .*

349 ► **Remark 4.11.** Note that in the statement of soundness we allow the message label for the  
 350 local type context reduction to be different to the message label for the global type reduction.  
 351 This is because our use of subtyping in association causes the entries in the local type context  
 352 to be less expressive than the types obtained by projecting the global type. For example  
 353 consider

354  $\Gamma = p : q \oplus \{\ell_0(int).end\}, q : p \& \{\ell_0(int).end, \ell_1(int).end\}$

355 and

356  $G = p \rightarrow q : \{\ell_0(int).end, \ell_1(int).end\}$

357 We have  $\Gamma \sqsubseteq G$  and  $G \xrightarrow{(p,q)\ell_1}$ . However  $\Gamma \xrightarrow{(p,q)\ell_1}$  is not a valid transition. Note that  
 358 soundness still requires that  $\Gamma \xrightarrow{(p,q)\ell_x}$  for some  $x$ , which is satisfied in this case by the valid  
 359 transition  $\Gamma \xrightarrow{(p,q)\ell_0}$ .

## 360 5 Properties of Local Type Contexts

361 We now use the LTS semantics to define some desirable properties on type contexts and their  
 362 reduction sequences. Namely, we formulate safety, liveness and fairness properties based on  
 363 the definitions in [43].

## 5.1 Safety

We start by defining safety:

► **Definition 5.1** (Safe Type Contexts). *We define **safe** coinductively as the largest set of type contexts such that whenever we have  $\Gamma \in \text{safe}$ :*

$$\begin{aligned} \Gamma \xrightarrow{p:q \oplus \ell(S)} \text{ and } \Gamma \xrightarrow{q:p \& \ell'(S')} \text{ implies } \Gamma \xrightarrow{(p,q)\ell} & \quad [S-\&\oplus] \\ \Gamma \rightarrow \Gamma' \text{ implies } \Gamma' \in \text{safe} & \quad [S-\rightarrow] \end{aligned}$$

We write  $\text{safe}(\Gamma)$  if  $\Gamma \in \text{safe}$ .

Informally, safety says that if  $p$  and  $q$  communicate with each other and  $p$  requests to send a value using message label  $\ell$ , then  $q$  should be able to receive that message label. Furthermore, this property should be preserved under any typing context reductions. Being a coinductive property, to show that  $\text{safe}(\Gamma)$  it suffices to give a set  $\varphi$  such that  $\Gamma \in \varphi$  and  $\varphi$  satisfies  $[S-\&\oplus]$  and  $[S-\rightarrow]$ . This amounts to showing that every element of  $\Gamma'$  of the set of reducts of  $\Gamma$ , defined  $\varphi := \{\Gamma' \mid \Gamma \rightarrow^* \Gamma'\}$ , satisfies  $[S-\&\oplus]$ . We illustrate this with some examples:

► **Example 5.2.** Let  $\Gamma = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{nat}).\text{end}\}$ .  $\Gamma$  is not safe as as we have  $\Gamma \xrightarrow{p:q \oplus \ell_0}$  and  $\Gamma \xrightarrow{q:p \& \ell_0}$  but we don't have  $\Gamma \xrightarrow{(p,q)\ell_0}$  as  $\text{int} \not\leq \text{nat}$ .

Consider  $\Gamma$  from Example 4.5. All the reducts satisfy  $[S-\&\oplus]$ , hence  $\Gamma$  is safe.

Being a coinductive property, **safe** can be expressed in Rocq using Paco:

```
Definition weak_safety (c: tctx) :=
  ∀ p q s' k k', tctxRE (lsend p q (Some s) k) c → tctxRE (lrecv q p (Some s') k') c →
    tctxRE (lcomm p q k) c.

Inductive safe (R: tctx → Prop): tctx → Prop :=
  | safety_red : ∀ c, weak_safety c → (∀ p q c' k,
    tctxR c (lcomm p q k) c' → R c')
    → safe R c.

Definition safeC c := paco1 safe bot1 c.
```

**weak\_safety** corresponds  $[S-\&\oplus]$  where  $\text{tctxRE } l \ c$  is shorthand for  $\exists c', \text{tctxR } c \ l \ c'$ . In the inductive **safe**, the constructor **safety\_red** corresponds to  $[S-\rightarrow]$ . Then **safeC** is defined as the greatest fixed point of **safe**.

We have that local type contexts with associated global types are always safe.

► **Theorem 5.3** (Safety by Association 🤖). *If  $\text{assoc } \text{gamma } g$  then  $\text{safeC } \text{gamma}$ .*

## 5.2 Fairness and Liveness

We now focus our attention to fairness and liveness. We first restate the definition of fairness and liveness for local type context paths from [43].

► **Definition 5.4** (Fair, Live Paths). *A local type context reduction path (also called executions or runs) is a possibly infinite sequence of transitions  $\Gamma_0 \xrightarrow{\lambda_0} \Gamma_1 \xrightarrow{\lambda_1} \dots$  such that  $\lambda_i$  is a synchronous transition label, that is, of the form  $(p,q)\ell$ , for all  $i$ .*

*We say that a local type context reduction path  $\Gamma_0 \xrightarrow{\lambda_0} \Gamma_1 \xrightarrow{\lambda_1} \dots$  is fair if, for all  $n \in \mathbb{N} : \Gamma_n \xrightarrow{(p,q)\ell} \text{ implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \lambda_k = (p,q)\ell', \text{ and therefore } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$ . We say that a path  $(\Gamma_n)_{n \in \mathbb{N}}$  is live iff,  $\forall n \in \mathbb{N}$ :*

1.  $\forall n \in \mathbb{N} : \Gamma_n \xrightarrow{p:q \oplus \ell(S)} \text{ implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$




397 2.  $\forall n \in \mathbb{N} : \Gamma_n \xrightarrow{q:p\&\ell(S)} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$

398 ► **Definition 5.5** (Live Local Type Context). *A local type context  $\Gamma$  is live if whenever  $\Gamma \rightarrow^* \Gamma'$ ,  
399 every fair path starting from  $\Gamma'$  is also live.*

400 In general, fairness assumptions are used so that only the reduction sequences that are  
401 "well-behaved" in some sense are considered when formulating other properties [41]. For our  
402 purposes we define fairness such that, in a fair path, if at any point  $p$  attempts to send to  $q$   
403 and  $q$  attempts to send to  $p$  then eventually a communication between  $p$  and  $q$  takes place.  
404 Then live paths are defined to be paths such that whenever  $p$  attempts to send to  $q$  or  $q$   
405 attempts to send to  $p$ , eventually a  $p$  to  $q$  communication takes place. Informally, this means  
406 that every communication request is eventually answered. Then live typing contexts are  
407 defined to be the  $\Gamma$  where all fair paths that start from  $\Gamma$  are also live.

408 ► **Example 5.6.** Consider the contexts  $\Gamma, \Gamma'$  and  $\Gamma_{\text{end}}$  from Example 4.5. One possible  
409 reduction path is  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \dots$ . Denote this path as  $(\Gamma_n)_{n \in \mathbb{N}}$ , where  $\Gamma_n = \Gamma$   
410 for all  $n \in \mathbb{N}$ . We have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0}$  and  $\Gamma_n \xrightarrow{(p,q)\ell_1}$  as the only possible synchronised  
411 reductions from  $\Gamma_n$ . Accordingly, we also have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0} \Gamma_{n+1}$  in the path so this path  
412 is fair. However, this path is not live as we have  $\Gamma_1 \xrightarrow{r:q\&\ell_2(\text{int})}$  but there is no  $n, \ell'$  with  
413  $\Gamma_n \xrightarrow{(q,r)\ell'} \Gamma_{n+1}$  in the path. Consequently,  $\Gamma$  is not a live type context.

414 Now consider the reduction path  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \Gamma' \xrightarrow{(q,r)\ell_2} \Gamma_{\text{end}}$ . This path is fair and  
415 live as it contains the  $(q, r)$  transition from the counterexample above.

416 Definition 5.4, while intuitive, is not really convenient for a Rocq formalisation due to  
417 the existential statements contained in them. It would be ideal if these properties could  
418 be expressed as a least or greatest fixed point, which could then be formalised via Rocq's  
419 inductive or (via Paco) coinductive types. To achieve this, we recast fairness and liveness for  
420 local type context paths in Linear Temporal Logic (LTL) [32]. The LTL operators *eventually*  
421 ( $\Diamond$ ) and *always* ( $\Box$ ) can be characterised as least and greatest fixed points using their  
422 expansion laws [2, Chapter 5.14]. Hence they can be implemented in Rocq as the inductive  
423 type `eventually`  and the coinductive type `alwaysCG` . We can further represent reduction  
424 paths as *cosequences*, or *streams*. Then the Rocq definition of Definition 5.4 amounts to the  
425 following .


426 

```
CoInductive coseq (A: Type): Type  $\triangleq$ 
| conil : coseq A
| cocons: A  $\rightarrow$  coseq A  $\rightarrow$  coseq A.
Notation local_path  $\triangleq$  (coseq (tctx*option label)).
```

```
Definition fair_path_local_inner (pt: local_path): Prop  $\triangleq$ 
 $\forall p q n$ , to_path_prop (tctxRE (lcomm p q n)) False pt  $\rightarrow$ 
eventually (headComm p q) pt.
Definition fair_path  $\triangleq$  alwaysCG fair_path_local_inner.
Definition live_path_inner (pt: local_path) : Prop  $\triangleq$   $\forall p q s n$ ,
(to_path_prop (tctxRE (lsend p q (Some s) n)) False pt  $\rightarrow$ 
eventually (headComm p q) pt)  $\wedge$ 
(to_path_prop (tctxRE (lrecv p q (Some s) n)) False pt  $\rightarrow$ 
eventually (headComm q p) pt).
Definition live_path  $\triangleq$  alwaysCG live_path_inner.
```





427 With these definitions we can now prove that local type contexts associated with a global  
428 type are live, which is the most involved of the results mechanised in this work. We now  
429 detail the Rocq Proof that associated local type contexts are also live.


430 ► **Remark 5.7.** We once again emphasise that all global types mentioned are assumed to  
431 be balanced (Definition 3.7). Indeed association with non-balanced global types doesn't  
432 guarantee liveness. As an example, consider  $\Gamma$  from Example 4.5, which is associated with  $G$   
433 from Example 4.8. Yet we have shown in Example 5.6 that  $\Gamma$  is not a live type context. This  
434 is not surprising as  $G$  is not balanced.

► **Theorem 5.8** (Liveness by Association ). *If  $\text{assoc } \text{gamma } g$  then  $\text{gamma}$  is live.*

**Proof.** (Simplified, Outline) Our proof proceeds in two steps. First, we prove that the typing context obtained by direct projections<sup>2</sup> of  $g$ , that is,  $\text{gamma\_proj} = \{p_i : G \upharpoonright_{p_i} \mid p_i \in \text{pt}\{G\}\}$ , is live. We then leverage Theorem 4.10 to show that if  $\text{gamma\_proj}$  is live, so is  $\text{gamma}$ .

Suppose  $\text{gamma\_proj} \xrightarrow{p:q \oplus \ell(S)}$  (the case for the receive is similar and omitted), and  $\text{xs}$  is a fair local type context reduction path beginning with  $\text{gamma\_proj}$ . To show that  $\text{xs}$  is live we need to show the existence of a  $(p, q)\ell$  transition in  $\text{xs}$ . We prove the following helper lemmas:

- The height of the  $p$ -grafting of  $g$  is not smaller than the  $q$ -grafting .
- If the  $p$ -grafting and  $q$ -grafting of a global type  $g'$  have the same height, then any fair path beginning with the direct projection context of  $g'$  eventually contains a  $(p, q)\ell$  transition .
- The height of the  $p$ -grafting of  $g$  strictly decreases with every transition involving  $q$  , and doesn't increase with the transitions not involving  $q$  .

These lemmas followed by well-founded induction on the height of the  $p$ -grafting of the global type associated with the head of  $\text{xs}$  gives the desired transition .

In the second step of the proof we extend association on to paths to get, for each local type context reduction path  $\text{xs}$  that begins with  $\text{gamma}$ , another local type context reduction path  $\text{ys}$  beginning with  $\text{gamma\_proj}$  such that the elements of  $\text{xs}$  are subtypes (subtyping on contexts defined pointwise) of the corresponding elements of  $\text{ys}$ . This is obtained from Theorem 4.10, however the statement of Theorem 4.10 is implemented as an  $\exists$  statement that lives in **Prop**, hence we need to use the `constructive_indefinite_description` axiom to construct a `CoFixpoint` returning the desired cosequence  $\text{ys}$ . The proof then follows by the definition of subtyping (Definition 3.3). ◀

## 6 Properties of Sessions

We give typing rules for the session calculus introduced in 2, and prove subject reduction and deadlock freedom for them. Then we define a liveness property for sessions, and show that processes typable by a local type context that's associated with a global type tree are guaranteed to satisfy this liveness property.

### 6.1 Typing rules

We give typing rules for our session calculus based on [16] and [13].

We distinguish between two kinds of typing judgements and type contexts.

1. A local type context  $\Gamma$  associates participants with local type trees, as defined in `cdef-type-ctx`. Local type contexts are used to type sessions (Definition 2.2) i.e. a set of pairs of participants and single processes composed in parallel. We express such judgements as  $\Gamma \vdash_{\mathcal{M}} M$ , or as `typ_sess M gamma` or  $\text{gamma} \vdash M$  in `Rocq`.
2. A process variable context  $\Theta_T$  associates process variables with local type trees, and an expression variable context  $\Theta_e$  assigns sorts to expression variables. Variable contexts are used to type single processes and expressions (Definition 2.1). Such judgements are expressed as  $\Theta_T, \Theta_e \vdash_P P : T$ , or in `Rocq` as `typ_proc theta_T theta_e P T` or  $\text{theta}_T, \text{theta}_e \vdash P : T$ .

<sup>2</sup> Note that the actual `Rocq` proof defines an equivalent "enabledness" predicate on global types instead of working with direct projections. The outline given here is a slightly simplified presentation.

$$\begin{array}{c}
\frac{[T\text{-END}]}{\Theta \vdash_P 0 : \text{end}} \quad \frac{[T\text{-VAR}]}{\Theta, X : T \vdash_P X : T} \quad \frac{[T\text{-REC}]}{\Theta, X : T \vdash_P P : T} \quad \frac{[T\text{-IF}]}{\Theta \vdash_P e : \text{bool} \quad \Theta \vdash_P P_1 : T \quad \Theta \vdash_P P_2 : T} \\
\frac{[T\text{-SUB}]}{\Theta \vdash_P P : T \quad T \leq T'} \quad \frac{[T\text{-IN}]}{\Theta \vdash_P \sum_{i \in I} p_i ? \ell_i(x_i). P_i : p_i \& \{ \ell_i(S_i). T_i \}_{i \in I}} \quad \frac{[T\text{-OUT}]}{\Theta \vdash_P e : S \quad \Theta \vdash_P P : T} \\
\Theta \vdash_P P : T' \quad \Theta \vdash_P \sum_{i \in I} p_i ? \ell_i(x_i). P_i : p_i \& \{ \ell_i(S_i). T_i \}_{i \in I} \quad \Theta \vdash_P p_i ! \ell(e). P : p_i \oplus \{ \ell(S). T \}
\end{array}$$

■ **Table 4** Typing processes

Typing rules for expressions are standard and can be found in e.g. [16], and are therefore omitted. Table 4 state the standard [13, 16] typing rules for processes, which we don't elaborate on. We additionally have a single rule for typing sessions:


$$\frac{[T\text{-SESS}]}{\forall i \in I : \quad \vdash_P P_i : \Gamma(p_i) \quad \Gamma \sqsubseteq G} \quad \Gamma \vdash_{\mathcal{M}} \prod_i p_i \triangleleft P_i$$


[T-SESS] says that a session made of the parallel composition of processes  $\prod_i p_i \triangleleft P_i$  can be typed by an associated local context  $\Gamma$  if the local type of participant  $p_i$  in  $\Gamma$  types the process

## 6.2 Properties of Typed Sessions


The subject reduction, progress and non-stuck theorems from [13] also hold in this setting, with minor changes in their statements and proofs. We won't discuss these proofs in detail.

give theorem  
no

► **Lemma 6.1** (Typing after Unfolding ). If  $\text{gamma} \vdash_{\mathcal{M}} M$  and  $M \Rightarrow M'$  then  $\text{typ\_sess } M' \text{ gamma}$ .


► **Theorem 6.2** (Subject Reduction ). If  $\text{gamma} \vdash_{\mathcal{M}} M$  and  $M \xrightarrow{(p,q)\ell} M'$ , then there exists a typing context  $\text{gamma}'$  such that  $\text{gamma} \xrightarrow{(p,q)\ell} \text{gamma}'$  and  $\text{gamma} \vdash_{\mathcal{M}} M$ .

► **Remark 6.3.** Note that in Theorem 6.2 one transition between sessions corresponds to exactly one transition between local type contexts with the same label. That is, every session transition is observed by the corresponding type. This is the main reason for our choice of reactive semantics (Section 2.2) as  $\tau$  transitions are not observed by the type in ordinary semantics. In other words, with  $\tau$ -semantics the typing relation is a *weak simulation* [28], while it turns into a strong simulation with reactive semantics. For our Rocq implementation working with the strong simulation turns out be more convenient.

► **Theorem 6.4** (Deadlock Freedom ). If  $\text{gamma} \vdash_{\mathcal{M}} M$ , one of the following hold :

1. Either  $M \Rightarrow M_{\text{inact}}$  where every process making up  $M_{\text{inact}}$  is inactive, i.e.  $M_{\text{inact}} \equiv \prod_{i=1}^n p_i \triangleleft 0$  for some  $n$ .
2. Or there is a  $M'$  such that  $M \rightarrow M'$ .


We can also prove the following correspondence result in the reverse direction to Theorem 6.2, analogous to Theorem 4.9.

► **Theorem 6.5** (Session Fidelity ). If  $\text{gamma} \vdash_{\mathcal{M}} M$  and  $\text{gamma} \xrightarrow{(p,q)\ell} \text{gamma}'$ , there exists a message label  $\ell'$ , a context  $\text{gamma}''$  and a session  $M'$  such that  $M \xrightarrow{(p,q)\ell'} M'$ ,  $\text{gamma} \xrightarrow{(p,q)\ell'} \text{gamma}''$  and  $\text{typ\_sess } M' \text{ gamma}''$ .



► Remark 6.6. Again we note that by Theorem 6.5 a single-step context reduction induces a single-step session reduction on the type. With the  $\tau$ -semantics the session reduction induced by the context reduction would be multistep.


Now the following type safety property follows from the above theorems:

► **Theorem 6.7** (Type Safety ). *If  $\text{gamma} \vdash_{\mathcal{M}} M$  and  $M \rightarrow^* M' \Rightarrow (p \leftarrow p\_send\ q\ \text{ell}\ P \parallel q \leftarrow p\_recv\ p\ \text{xs} \parallel M'')$ , then  $\text{onth}\ \text{ell}\ \text{xs} \neq \text{None}$ .*

The final, and the most intricate, session property we prove is liveness.

► **Definition 6.8** (Session Liveness ). *Session  $\mathcal{M}$  is live iff*


1.  $\mathcal{M} \rightarrow^* \mathcal{M}' \Rightarrow q \triangleleft p! \ell_i(x_i).Q \mid \mathcal{N}$  implies  $\mathcal{M}' \rightarrow^* \mathcal{M}'' \Rightarrow q \triangleleft Q \mid \mathcal{N}'$  for some  $\mathcal{M}'', \mathcal{N}'$
2.  $\mathcal{M} \rightarrow^* \mathcal{M}' \Rightarrow q \triangleleft \bigwedge_{i \in I} p? \ell_i(x_i).Q_i \mid \mathcal{N}$  implies  $\mathcal{M}' \rightarrow^* \mathcal{M}'' \Rightarrow q \triangleleft Q_i[v/x_i] \mid \mathcal{N}'$  for some  $\mathcal{M}'', \mathcal{N}', i, v$ .

In Rocq this is expressed with the predicate `live_sess` :

```
Definition live_sess Mp ≡ ∀ M, betaRtc Mp M →
  (∀ p q ell e P' M', p ≠ q → unfoldP M ( (p ← p_send q ell e P') ||| M') → ∃ M'',
    betaRtc M ((p ← P') \ \ \ \ M''))
  ∧
  (∀ p q llp M', p ≠ q → unfoldP M ( (p ← p_recv q llp) ||| M') →
    ∃ M'' P' e k, onth k llp = Some P' ∧ betaRtc M ((p ← subst_expr_proc P' e 0 0) ||| M'')).
```

Session liveness, analogous to liveness for typing contexts (Definition 5.4), says that when  $\mathcal{M}$  is live, if  $\mathcal{M}$  reduces to a session  $\mathcal{M}'$  containing a participant that's attempting to send or receive, then  $\mathcal{M}'$  reduces to a session where that communication has happened. It's also called *lock-freedom* in related work ([40, 29]).

We can now prove that typed sessions are live. First we prove the following lemma:

► **Lemma 6.9** (Fair Extension of Typed Sessions ). *If  $\text{typ\_sess}\ M\ \text{gamma}$ , then there exists a session reduction path  $\text{xs}$  starting from  $M$  such that the following fairness property holds:*


■ *On  $\text{xs}$ , whenever a transition with label  $(p, q)\ell$  is enabled, a transition with label  $(p, q)\ell'$  eventually occurs for some  $\ell'$ .*

**Proof.** The desired path can be constructed by repeatedly cycling through all participants, checking if there is a transition involving that participant, and executing that transition if there is. Correctness follows from Theorem 6.2 and Theorem 6.5. ◀



Lemma 6.9 defines a "fairness" property for sessions analogous to Definition 5.4. It then shows that there exists a fair path from any typable session. This resembles the *feasibility* property expected from sensible notions of fairness [41], which states that any partial path can be extended into a fair one<sup>3</sup>.

► **Remark 6.10.** As in the proof of Theorem 5.8, the construction in Lemma 6.9 uses the `constructive_indefinite_description` axiom to construct a `CoFixpoint`. Additionally, we use the axiom `excluded_middle_informative` for the "check if there is a transition involving a participant" part of the scheduling algorithm. The use of this axiom is probably not necessary but it makes the proof easier.

<sup>3</sup> Note that this fairness property for sessions is not actually feasible as there are partial paths starting with an untypable session that can't be extended into a fair one. Nevertheless, Lemma 6.9 turns out to be enough to prove our liveness property.

539 ► **Theorem 6.11** (Liveness by Typing ). *For a session  $M_p$ , if  $\exists \text{ gamma } \text{gamma} \vdash_{\mathcal{M}} M_p$  then*  
 540  *$\text{live\_sess } M_p$ .*

541 **Proof.** We detail the proof for the send case of Definition 6.8, the case for the receive is  
 542 similar. Suppose that  $M_p \rightarrow^* M$  and  $M \Rightarrow ((p \leftarrow p\_send \ q \ \text{ell} \ e \ P') \ ||| M')$ . Our goal is  
 543 to show that there exists a  $M''$  such that  $M \rightarrow^* ((p \leftarrow P') \ ||| M'')$ . First, observe that  
 544 by [R-UNFOLD] it suffices to show that  $((p \leftarrow p\_send \ q \ \text{ell} \ e \ P') \ ||| M') \rightarrow^* M''$  for  
 545 some  $M''$ . Also note that  $\text{gamma} \vdash_{\mathcal{M}} M$  for some  $\text{gamma}$  by Theorem 6.2, therefore  $\text{gamma} \vdash_{\mathcal{M}}$   
 546  $((p \leftarrow p\_send \ q \ \text{ell} \ e \ P') \ ||| M')$  by Lemma 6.1.

547 Now let  $xs$  be a fair session reduction path starting from  $((p \leftarrow p\_send \ q \ \text{ell} \ e \ P') \ ||| M')$   
 548  $\ ||| M')$ , which exists by Lemma 6.9. By Theorem 6.2, let  $ys$  be a local type context  
 549 reduction path starting with  $\text{gamma}$  such that every session in  $xs$  is typed by the context at  
 550 the corresponding index of  $ys$ , and the transitions of  $xs$  and  $ys$  at every step match. Now it  
 551 can be shown that  $ys$  is fair . Therefore by Theorem 5.8  $ys$  is live, so a  $\text{lcomm } p \ q \ \text{ell}'$   
 552 transition eventually occurs in  $ys$  for some  $\text{ell}'$ . Therefore  $ys = \text{gamma} \rightarrow^* \text{gamma}_0 \xrightarrow{(p,q)\ell'} \text{gamma}_1$   
 553  $\rightarrow \dots$  for some  $\text{gamma}_0, \text{gamma}_1$ . Now consider the session  $M_0$  typed by  $\text{gamma}_0$  in  
 554  $xs$ . We have  $((p \leftarrow p\_send \ q \ \text{ell} \ e \ P') \ ||| M') \rightarrow^* M_0$  by  $M_0$  being on  $xs$ . We also have  
 555 that  $M_0 \xrightarrow{(p,q)\ell''} M_1$  for some  $\ell''$ ,  $M_1$  by Theorem 6.5. Now observe that  $M_0 \equiv ((p \leftarrow$   
 556  $p\_send \ q \ \text{ell} \ e \ P') \ ||| M')$  for some  $M''$  as no transitions involving  $p$  have happened on  
 557 the reduction path to  $M_0$ . Therefore  $\ell = \ell''$ , so  $M_1 \equiv ((p \leftarrow P') \ ||| M'')$  for some  $M''$ , as  
 558 needed. 

## 559 7 Conclusion and Related Work

560 **Liveness Properties.** Examinations of liveness, also called *lock-freedom*, guarantees of  
 561 multiparty session types abound in literature, e.g. [30, 22, 43, 34, 3]. Most of these papers use  
 562 the definition liveness proposed by Padovani [29], which doesn't make the fairness assumptions  
 563 that characterize the property [15] explicit. Contrastingly, van Glabbeek et. al. [40] examine  
 564 several notions of fairness and the liveness properties induced by them, and devise a type  
 565 system with flexible choices [6] that captures the strongest of these properties, the one  
 566 induced by the *justness* [41] assumption. In their terminology, Definition 6.8 corresponds  
 567 to liveness under strong fairness of transitions (ST), which is the weakest of the properties  
 568 considered in that paper. They also show that their type system is complete i.e. every live  
 569 process can be typed. We haven't presented any completeness results in this paper. Indeed,  
 570 our type system is not complete for Definition 6.8, even if we restrict our attention to safe  
 571 and race-free sessions. For example, the session described in [40, Example 9] is live but not  
 572 typable by a context associated with a balanced global type in our system.

573 Fairness assumptions are also made explicit in recent work by Ciccone et. al [10, 11]  
 574 which use generalized inference systems with coaxioms [1] to characterize *fair termination*,  
 575 which is stronger than Definition 6.8, but enjoys good composition properties.

576 **Mechanisation.** Mechanisation of session types in proof assistants is a relatively new  
 577 effort. Our formalisation is built on recent work by Ekici et. al. [13] which uses a coinductive  
 578 representation of global and local types to prove subject reduction and progress. Their work  
 579 uses a typing relation between global types and sessions while ours uses one between associated  
 580 local type contexts and sessions. This necessitates the rewriting of subject reduction and  
 581 progress proofs in addition to the operational correspondence, safety and liveness properties  
 582 we have proved. Other recent results mechanised in Rocq include Ekici and Yoshida's [14]  
 583 work on the completeness of asynchronous subtyping, and Tiore's work [36, 38, 37] on  
 584 projections and subject reduction for  $\pi$ -calculus.

Castro-Perez et. al. [8] devise a multiparty session type system that dispenses with projections and local types by defining the typing relation directly on the LTS specifying the global protocol, and formalise the results in Agda. Ciccone’s PhD thesis [9] presents an Agda formalisation of fair termination for binary session types. Binary session types were also implemented in Agda by Thiemann [35] and in Idris by Brady [5]. Several implementations of binary session types are also present for Haskell [23, 27, 33].

Implementations of session types that are more geared towards practical verification include the Actris framework [17, 20] which enriches the separation logic of Iris [21] with binary session types to certify deadlock-freedom. In general, verification of liveness properties, with or without session types, in concurrent separation logic is an active research area that has produced tools such as TaDa [12], FOS [24] and LiLo [25] in the past few years. Further verification tools employing multiparty session types are Jacobs’s Multiparty GV [20] based on the functional language of Wadler’s GV [42], and Castro-Perez et. al’s Zooid [7], which supports the extraction of certifiably safe and live protocols.

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