

# <sup>1</sup> Formally Verified Liveness with Synchronous <sup>2</sup> Multiparty Session Types in Rocq

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## <sup>7</sup> — Abstract —

<sup>8</sup> Multiparty session types (MPST) offer a framework for the description of communication-based  
<sup>9</sup> protocols involving multiple participants. In the *top-down* approach to MPST, the communication  
<sup>10</sup> pattern of the session is described using a *global type*. Then the global type is *projected* on to a *local*  
<sup>11</sup> *type* for each participant, and the individual processes making up the session are type-checked against  
<sup>12</sup> these projections. Typed sessions possess certain desirable properties such as *safety*, *deadlock-freedom*  
<sup>13</sup> and *liveness* (also called *lock-freedom*).

<sup>14</sup> In this work, we present the first mechanised proof of liveness for synchronous multiparty session  
<sup>15</sup> types in the Rocq Proof Assistant. Building on recent work, we represent global and local types as  
<sup>16</sup> coinductive trees using the paco library. We use a coinductively defined *subtyping* relation on local  
<sup>17</sup> types together with another coinductively defined *plain-merge* projection relation relating local and  
<sup>18</sup> global types . We then *associate* collections of local types, or *local type contexts*, with global types  
<sup>19</sup> using this projection and subtyping relations, and prove an *operational correspondence* between a  
<sup>20</sup> local type context and its associated global type. We then utilize this association relation to prove  
<sup>21</sup> the safety and liveness of associated local type contexts and, consequently, the multiparty sessions  
<sup>22</sup> typed by these contexts.

<sup>23</sup> Besides clarifying the often informal proofs of liveness found in the MPST literature, our Rocq  
<sup>24</sup> mechanisation also enables the certification of lock-freedom properties of communication protocols.  
<sup>25</sup> Our contribution amounts to around 12K lines of Rocq code.

<sup>26</sup> **2012 ACM Subject Classification** Replace ccsdesc macro with valid one

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## <sup>30</sup> 1 Introduction

<sup>31</sup> Multiparty session types [20] provide a type discipline for the correct-by-construction spe-  
<sup>32</sup> cification of message-passing protocols. Desirable protocol properties guaranteed by session  
<sup>33</sup> types include *safety* (the labels and types of senders' payloads cohere with the capabilities of  
<sup>34</sup> the receivers), *deadlock-freedom* (also called *progress* or *non-stuck property* [15]) (it is possible  
<sup>35</sup> for the session to progress so long as it has at least one active participant), and *liveness* (also  
<sup>36</sup> called *lock-freedom* [44] or *starvation-freedom* [9]) (if a process is waiting to send and receive  
<sup>37</sup> then a communication involving it eventually happens).

<sup>38</sup> There exists two common methodologies for multiparty session types. In the *bottom-up*  
<sup>39</sup> approach, the individual processes making up the session are typed using a collection of  
<sup>40</sup> *participants* and *local types*, that is, a *local type context*, and the properties of the session is  
<sup>41</sup> examined by model-checking this local type context. Contrastingly, in the *top-down* approach  
<sup>42</sup> sessions are typed by a *global type* that is related to the processes using endpoint *projections*  
<sup>43</sup> and *subtyping*. The structure of the global type ensures that the desired properties are  
<sup>44</sup> satisfied by the session. These two approaches have their advantages and disadvantages:



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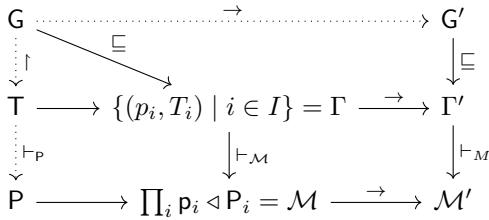
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**Figure 1** Design overview. The dotted lines correspond to relations inherited from [15] while the solid lines denote relations that are new, or substantially rewritten, in this paper.

the bottom-up approach is generally able to type more sessions, while type-checking and type-inferring in the top-down approach tend to be more efficient than model-checking the bottom-up system [43].

In this work, we present the Rocq [5] formalisation of a synchronous MPST that ensures the aforementioned properties for typed sessions. Our type system uses an *association* relation ( $\sqsubseteq$ ) [47, 34] defined using (coinductive plain) projection [41] and subtyping, in order to relate local type contexts and global types. This association relation ensures *operational correspondence* between the labelled transition system (LTS) semantics we define for local type contexts and global types. We then type ( $\vdash_{\mathcal{M}}$ ) sessions using local type contexts that are associated with global types, which ensure that the local type context, and hence the session, is well-behaved in some sense. Whenever an associated local type context  $\Gamma$  types a session  $\mathcal{M}$ , our type system guarantees the following properties:

- 57 1. **Subject Reduction** (Theorem 6.2): If  $\mathcal{M}$  can progress into  $\mathcal{M}'$ , then  $\Gamma$  can progress  
 58 into  $\Gamma'$  such that  $\Gamma'$  types  $\mathcal{M}'$ .

59 2. **Session Fidelity** (Theorem 6.5): If  $\Gamma$  can progress into  $\Gamma'$ , then  $\mathcal{M}$  can progress into  
 60  $\mathcal{M}'$  such that  $\mathcal{M}'$  is typable by  $\Gamma'$ .

61 3. **Safety** (Theorem 6.7): If  $\mathcal{M}$  can progress into  $\mathcal{M}'$  by one or more communications,  
 62 participant  $p$  in  $\mathcal{M}'$  sends to participant  $q$  and  $q$  receives from  $p$ , then the labels of  $p$  and  
 63  $q$  cohere.

64 4. **Deadlock-Freedom** (Theorem 6.3): Either every participant in  $\mathcal{M}$  has terminated, or  
 65  $\mathcal{M}$  can progress.

66 5. **Liveness** (Theorem 6.16): If participant  $p$  attempts to communicate with participant  $q$   
 67 in  $\mathcal{M}$ , then  $\mathcal{M}$  can progress (in possibly multiple steps) into a session  $\mathcal{M}'$  where that  
 68 communication has occurred.

<sup>69</sup> To our knowledge, this work presents the first mechanisation of liveness for multiparty session types in a proof assistant.  
<sup>70</sup>

Our Rocq implementation builds upon the recent formalisation of subject reduction for MPST by Ekici et. al. [15], which itself is based on [18]. The methodology in [15] takes an equirecursive approach where an inductive syntactic global or local type is identified with the coinductive tree obtained by fully unfolding the recursion. It then defines a coinductive projection relation between global and local type trees, the LTS semantics for global type trees, and typing rules for the session calculus outlined in [18]. We extensively use these definitions and the lemmas concerning them, but we still depart from and extend [15] in numerous ways by introducing local typing contexts, their correspondence with global types and a new typing relation. Our addition to the code amounts to around 12K lines of Rocq code.

<sup>81</sup> As with [15], our implementation heavily uses the parameterized coinduction technique  
<sup>82</sup> of the paco [21] library. Namely, our liveness property is defined using possibly infinite

83 *execution traces* which we represent as coinductive streams. The relevant predicates on these  
 84 traces, such as fairness, are then defined using linear temporal logic (LTL)[35]. The LTL  
 85 modalities eventually ( $\diamond$ ) and always ( $\square$ ) can be expressed as least and greatest fixpoints  
 86 respectively using expansion laws. This allows us to represent the properties that use these  
 87 modalities as inductive and coinductive predicates in Rocq. This approach, together with  
 88 the proof techniques provided by paco, results in compositional and clear proofs.

89 **Outline.** In Section 2 we define our session calculus and its LTS semantics. In Section 3  
 90 we introduce local and global type trees. In Section 4 we give LTS semantics to local type  
 91 contexts and global types, and detail the association relation between them. In Section 5  
 92 we define safety and liveness for local type contexts, and prove that they hold for contexts  
 93 associated with a global type tree. In Section 6 we give the typing rules for our session  
 94 calculus, and prove the desired properties of these typable sessions.

## 95 2 The Session Calculus

96 We introduce the simple synchronous session calculus that our type system will be used  
 97 on.

### 98 2.1 Processes and Sessions

99 ► **Definition 2.1** (Expressions and Processes). *We define processes as follows:*

$$100 \quad P ::= p!\ell(e).P \mid \sum_{i \in I} p?\ell_i(x_i).P_i \mid \text{if } e \text{ then } P \text{ else } P \mid \mu X.P \mid X \mid 0$$

101 where  $e$  is an expression that can be a variable, a value such as `true`,  $0$  or  $-3$ , or a term  
 102 built from expressions by applying the operators `succ`, `neg`,  $\neg$ , non-deterministic choice  $\oplus$   
 103 and  $>$ .

104  $p!\ell(e).P$  is a process that sends the value of expression  $e$  with label  $\ell$  to participant  $p$ , and  
 105 continues with process  $P$ .  $\sum_{i \in I} p?\ell_i(x_i).P_i$  is a process that may receive a value from  $p$  with  
 106 any label  $\ell_i$  where  $i \in I$ , binding the result to  $x_i$  and continuing with  $P_i$ , depending on  
 107 which  $\ell_i$  the value was received from.  $X$  is a recursion variable,  $\mu X.P$  is a recursive process,  
 108 if  $e$  then  $P$  else  $P$  is a conditional and  $0$  is a terminated process.

109 Processes can be composed in parallel into sessions.

110 ► **Definition 2.2** (Multiparty Sessions). *Multiparty sessions are defined as follows.*

$$111 \quad M ::= p \triangleleft P \mid (M \mid M) \mid \mathcal{O}$$

112  $p \triangleleft P$  denotes that participant  $p$  is running the process  $P$ ,  $|$  indicates parallel composition. We  
 113 write  $\prod_{i \in I} p_i \triangleleft P_i$  to denote the session formed by  $p_i$  running  $P_i$  in parallel for all  $i \in I$ .  $\mathcal{O}$  is  
 114 an empty session with no participants, that is, the unit of parallel composition.

115 ► **Remark 2.3.** Note that  $\mathcal{O}$  is different than  $p \triangleleft 0$  as  $p$  is a participant in the latter but not  
 116 the former. This differs from previous work, e.g. in [18] the unit of parallel composition  
 117 is  $p \triangleleft 0$  while in [15] there is no unit. The unitless approach of [15] results in a lot of  
 118 repetition in the code, for an example see their definition of `unfoldP` which contains two of  
 119 every constructor: one for when the session is composed of exactly two processes, and one for  
 120 when it's composed of three or more. Therefore we chose to add an unit element to parallel  
 121 composition. However, we didn't make that unit  $p \triangleleft 0$  in order to reuse some of the lemmas  
 122 from [15] that use the fact that structural congruence preserves participants.

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123 In Rocq processes and sessions are expressed in the following way

124

```
Inductive process : Type ≡
| p_send : part → label → expr → process → process
| p_recv : part → list(option process) → process
| p_ite : expr → process → process → process
| p_rec : process → process
| p_var : nat → process
| p_inact : process.

Inductive session : Type ≡
| s_ind : part → process → session
| s_par : session → session → session
| s_zero : session.

Notation "p '←-' p'" ≡ (s_ind p P) (at level 50, no associativity).
Notation "s1 '|||' s2" ≡ (s_par s1 s2) (at level 50, no associativity).
```

## 125 2.2 Structural Congruence and Operational Semantics

126 We define a structural congruence relation  $\equiv$  on sessions which expresses the commutativity,  
127 associativity and unit of the parallel composition operator.

$$\begin{array}{ll} [\text{SC-SYM}] & p \triangleleft P \mid q \triangleleft Q \equiv q \triangleleft Q \mid p \triangleleft P \\ & (\mathbf{p} \triangleleft P \mid q \triangleleft Q) \mid r \triangleleft R \equiv p \triangleleft P \mid (q \triangleleft Q \mid r \triangleleft R) \\ \\ [\text{SC-O}] & p \triangleleft P \mid \mathcal{O} \equiv p \triangleleft P \end{array}$$

126 **Table 1** Structural Congruence over Sessions

128 We now give the operational semantics for sessions by the means of a labelled transition  
129 system. We will be giving two types of semantics: one which contains silent  $\tau$  transitions,  
130 and another, *reactive* semantics [44] which doesn't contain explicit  $\tau$  reductions while still  
131 considering  $\beta$  reductions up to silent actions. We will mostly be using the reactive semantics  
132 throughout this paper, for the advantages of this approach see Remark 6.4.

### 133 2.2.1 Semantics With Silent Transitions

134 We have two kinds of transitions, *silent* ( $\tau$ ) and *observable* ( $\beta$ ). Correspondingly, we have  
135 two kinds of *transition labels*,  $\tau$  and  $(p, q)\ell$  where  $p, q$  are participants and  $\ell$  is a message  
136 label. We omit the semantics of expressions, they are standard and can be found in [18,  
137 Table 1]. We write  $e \downarrow v$  when expression  $e$  evaluates to value  $v$ .

138 In Table 2, [R-COMM] describes a synchronous communication from  $p$  to  $q$  via message  
139 label  $\ell_j$ . [R-REC] unfolds recursion, [R-COND] and [R-COND] express how to evaluate  
140 conditionals, and [R-STRUCT] shows that the reduction respects the structural pre-congruence.  
141 We write  $\mathcal{M} \rightarrow \mathcal{N}$  if  $\mathcal{M} \xrightarrow{\lambda} \mathcal{N}$  for some transition label  $\lambda$ . We write  $\rightarrow^*$  to denote the  
142 reflexive transitive closure of  $\rightarrow$ .

## 143 2.3 Reactive Semantics

144 In reactive semantics  $\tau$  transitions are captured by an *unfolding* relation ( $\Rightarrow$ ), and  $\beta$  reductions  
145 are defined up to this unfolding.

146  $\mathcal{M} \Rightarrow \mathcal{N}$  means that  $\mathcal{M}$  can transition to  $\mathcal{N}$  through some internal actions, or  $\tau$  transitions  
147 in the semantics of Section 2.2.1. We say that  $\mathcal{M}$  *unfolds* to  $\mathcal{N}$ . In Rocq it's captured by  
148 the predicate `unfoldP : session → session → Prop`.

$\frac{[R\text{-COMM}]}{p \triangleleft \sum_{i \in I} q? \ell_i(x_i). P_i \mid q \triangleleft p! \ell_j(e). Q \mid N \xrightarrow{(p,q)\ell_j} p \triangleleft P_j[v/x_j] \mid q \triangleleft Q \mid N}$	$\frac{[R\text{-COND}] \quad e \downarrow \text{true}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid N \xrightarrow{\tau} p \triangleleft P \mid N}$
$\frac{[R\text{-CONDF}] \quad e \downarrow \text{false}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid N \xrightarrow{\tau} p \triangleleft Q \mid N}$	$\frac{[R\text{-STRUCT}] \quad N'_1 \equiv N_1 \quad N_1 \xrightarrow{\lambda} N_2 \quad N_2 \equiv N'_2}{N'_1 \xrightarrow{\lambda} N'_2}$

■ **Table 2** Operational Semantics of Sessions

$\frac{[UNF\text{-STRUCT}] \quad M \equiv N}{M \Rightarrow N}$	$\frac{[UNF\text{-REC}] \quad p \triangleleft \mu X. P \mid N \Rightarrow p \triangleleft P[\mu X. P/X] \mid N}{p \triangleleft \mu X. P \mid N}$	$\frac{[UNF\text{-COND}] \quad e \downarrow \text{true}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid N \Rightarrow p \triangleleft P \mid N}$
$\frac{[UNF\text{-CONDF}] \quad e \downarrow \text{false}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid N \Rightarrow p \triangleleft Q \mid N}$		$\frac{[UNF\text{-TRANS}] \quad M \Rightarrow M' \quad M' \Rightarrow N}{M \Rightarrow N}$

■ **Table 3** Unfolding of Sessions

149 [R-COMM] captures communications between processes, and [R-UNFOLD] lets us consider  
150 reductions up to unfoldings. In Rocq, `betaP_1bl M lambda M'` denotes  $M \xrightarrow{\lambda} M'$ . We write  
151  $M \rightarrow M'$  if  $M \xrightarrow{\lambda} M'$  for some  $\lambda$ , which is written `betaP M M'` in Rocq. We write  $\rightarrow^*$  to  
152 denote the reflexive transitive closure of  $\rightarrow$ , which is called `betaRtc` in Rocq.

### 153 3 The Type System

154 We introduce local and global types and trees and the subtyping and projection relations  
155 based on [18]. We start by defining the sorts that will be used to type expressions, and local  
156 types that will be used to type single processes.

#### 157 3.1 Local Types and Type Trees

158 ► **Definition 3.1** (Sorts). *We define sorts as follows:*

159  $S ::= \text{int} \mid \text{bool} \mid \text{nat}$

160 and the corresponding Rocq

```
Inductive sort : Type ≡
| sbool : sort
| sint : sort
| snat : sort.
```

161

162 ► **Definition 3.2.** *Local types are defined inductively with the following syntax:*

163  $\mathbb{T} ::= \text{end} \mid p \oplus \{\ell_i(S_i). \mathbb{T}_i\}_{i \in I} \mid p \& \{\ell_i(S_i). \mathbb{T}_i\}_{i \in I} \mid t \mid \mu t. \mathbb{T}$

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$$\begin{array}{c}
 [\text{R-COMM}] \\
 \frac{j \in I \quad e \downarrow v}{\mathbf{p} \triangleleft \sum_{i \in I} \mathbf{q}?\ell_i(x_i).\mathbf{P}_i \mid \mathbf{q} \triangleleft \mathbf{p}!\ell_j(\mathbf{e}).\mathbf{Q} \mid \mathcal{N} \xrightarrow{(\mathbf{p},\mathbf{q})\ell_j} \mathbf{p} \triangleleft \mathbf{P}_j[v/x_j] \mid \mathbf{q} \triangleleft \mathbf{Q} \mid \mathcal{N}}
 \end{array}$$

$$[\text{R-UNFOLD}] \\
 \frac{\mathcal{M} \Rightarrow \mathcal{M}' \quad \mathcal{M}' \xrightarrow{\lambda} \mathcal{N}' \quad \mathcal{N}' \Rightarrow \mathcal{N}}{\mathcal{M} \xrightarrow{\lambda} \mathcal{N}}$$

Table 4 Reactive Semantics of Sessions

164 Informally, in the above definition, `end` represents a role that has finished communicating.  
165  $\mathbf{p} \oplus \{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$  denotes a role that may, from any  $i \in I$ , receive a value of sort  $S_i$  with  
166 message label  $\ell_i$  and continue with  $\mathbb{T}_i$ . Similarly,  $\mathbf{p} \& \{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$  represents a role that may  
167 choose to send a value of sort  $S_i$  with message label  $\ell_i$  and continue with  $\mathbb{T}_i$  for any  $i \in I$ .  
168  $\mu t.\mathbb{T}$  represents a recursive type where  $t$  is a type variable. We assume that the indexing  
169 sets  $I$  are always non-empty. We also assume that recursion is always guarded.

170 We employ an equirecursive approach based on the standard techniques from [33] where  
171  $\mu t.\mathbb{T}$  is considered to be equivalent to its unfolding  $\mathbb{T}[\mu t.\mathbb{T}/t]$ . This enables us to identify  
172 a recursive type with the possibly infinite local type tree obtained by fully unfolding its  
173 recursive subterms.

174 ▶ **Definition 3.3.** Local type trees are defined coinductively with the following syntax:

175  $\mathbb{T} ::= \text{end} \mid \mathbf{p} \& \{\ell_i(S_i).\mathbb{T}_i\}_{i \in I} \mid \mathbf{p} \oplus \{\ell_i(S_i).\mathbb{T}_i\}_{i \in I}$

176 The corresponding Rocq definition is given below.

```

CoInductive ltt: Type ≡
| ltt_end : ltt
| ltt_recv: part → list (option(sort*ltt)) → ltt
| ltt_send: part → list (option(sort*ltt)) → ltt.

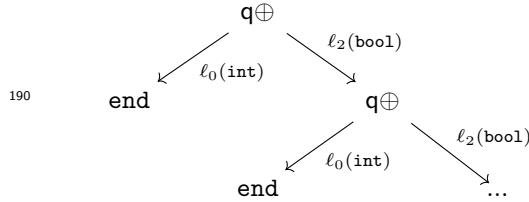
```

177

178 In Rocq we represent the continuations using a `list` of `option` types. In a continuation `gcs`  
179 : `list (option(sort*ltt))`, index `k` (using zero-indexing) being equal to `Some (s_k, T_k)`  
180 means that  $\ell_k(S_k).\mathbb{T}_k$  is available in the continuation. Similarly index `k` being equal to `None`  
181 or being out of bounds of the list means that the message label  $\ell_k$  is not present in the  
182 continuation.

183 ▶ **Remark 3.4.** Note that Rocq allows us to create types such as `ltt_send q []` which don't  
184 correspond to well-formed local types as the continuation is empty. In our implementation  
185 we define a predicate `wfltt : ltt → Prop` capturing that all the continuations in the local  
186 type tree are non-empty. Henceforth we assume that all local types we mention satisfy this  
187 property.

188 ▶ **Example 3.5.** Let local type  $\mathbb{T} = \mu t.\mathbf{q} \oplus \{\ell_0(\text{int}).\text{end}, \ell_2(\text{bool}).t\}$ . This is equivalent to  
189 the following infinite local type tree:



and the following Rocq code

```
CoFixpoint T ≡ ltt_send q [Some (sint, ltt_end), None, Some (sbool, T)]
```

192

193 We omit the details of the translation between local types and local type trees, the technic-  
194 alities of our approach is explained in [18], and the Rocq implementation of translation is  
195 detailed in [15]. From now on we work exclusively on local type trees.

196 ▶ **Remark 3.6.** We will occasionally be talking about equality (=) between coinductively  
197 defined trees in Rocq. Rocq's Leibniz equality is not strong enough to treat as equal the  
198 types that we will deem to be the same. To do that, we define a coinductive predicate  
199 `lttIsoC` that captures isomorphism between coinductive trees and take as an axiom that  
200 `lttIsoC T1 T2 → T1=T2`. Technical details can be found in [15].

### 201 3.2 Subtyping

202 We define the subsorting relation on sorts and the subtyping relation on local type trees.

203 ▶ **Definition 3.7** (Subsorting and Subtyping). *Subsorting  $\leq$  is the least reflexive binary  
204 relation that satisfies `nat`  $\leq$  `int`. Subtyping  $\leqslant$  is the largest relation between local type trees  
205 coinductively defined by the following rules:*

$$\begin{array}{c}
 \frac{\forall i \in I : S'_i \leq S_i \quad T_i \leqslant T'_i}{\text{[SUB-END]} \quad \text{end} \leqslant \text{end}} \quad \frac{\forall i \in I : S'_i \leq S_i \quad T_i \leqslant T'_i}{\text{[SUB-IN]} \quad p \& \{\ell_i(S_i).T_i\}_{i \in I} \leqslant p \& \{\ell_i(S'_i).T'_i\}_{i \in I}} \\
 \\ 
 \frac{\forall i \in I : S_i \leq S'_i \quad T_i \leqslant T'_i}{\text{[SUB-OUT]} \quad p \oplus \{\ell_i(S_i).T_i\}_{i \in I} \leqslant p \oplus \{\ell_i(S'_i).T'_i\}_{i \in I}}
 \end{array}$$

207 Intuitively,  $T_1 \leqslant T_2$  means that a role of type  $T_1$  can be supplied anywhere a role of type  $T_2$   
208 is needed. [SUB-IN] captures the fact that we can supply a role that is able to receive more  
209 labels than specified, and [SUB-OUT] captures that we can supply a role that has fewer labels  
210 available to send. Note the contravariance of the sorts in [SUB-IN], if the supertype demands  
211 the ability to receive an `nat` then the subtype can receive `nat` or `int`.

212 In Rocq we express coinductive relations such as subtyping using the Paco library [21].  
213 The idea behind Paco is to formulate the coinductive predicate as the greatest fixpoint of  
214 an inductive relation parameterised by another relation `R` representing the "accumulated  
215 knowledge" obtained during the course of the proof. Hence our subtyping relation looks like  
216 the following:

```
Inductive subtype (R: ltt → ltt → Prop): ltt → ltt → Prop ≡
| sub_end: subtype R ltt_end ltt_end
| sub_in : ∀ p xs ys,
  wfrec subsort R ys xs →
  subtype R (ltt_recv p xs) (ltt_recv p ys)
| sub_out : ∀ p xs ys,
  wfsend subsort R xs ys →
  subtype R (ltt_send p xs) (ltt_send p ys).
```

217

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```
218   Definition subtypeC 11 12 ≡ paco2 subtype bot2 11 12.
```

219 In definition of the inductive relation `subtype`, constructors `sub_in` and `sub_out` correspond  
220 to [SUB-IN] and [SUB-OUT] with `wfrec` and `wfsend` expressing the premises of those rules. Then  
221 `subtypeC` defines the coinductive subtyping relation as a greatest fixed point. Given that  
222 the relation `subtype` is monotone (proven in [15]), `paco2 subtype bot2` generates the greatest  
223 fixed point of `subtype` with the "accumulated knowledge" parameter set to the empty relation  
224 `bot2`. The `2` at the end of `paco2` and `bot2` stands for the arity of the predicates.

### 225 3.3 Global Types and Type Trees

226 While local types specify the behaviour of one role in a protocol, global types give a bird's  
227 eye view of the whole protocol.

228 ▶ **Definition 3.8** (Global type). *We define global types inductively as follows:*

$$229 \quad \mathbb{G} ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I} \mid t \mid \mu T.\mathbb{G}$$

230 We further inductively define the function `pt(G)` that denotes the participants of type `G`:

$$231 \quad \text{pt}(\text{end}) = \text{pt}(t) = \emptyset$$

$$232 \quad \text{pt}(p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I}) = \{p, q\} \cup \bigcup_{i \in I} \text{pt}(\mathbb{G}_i)$$

$$233 \quad \text{pt}(\mu T.\mathbb{G}) = \text{pt}(\mathbb{G})$$

234 `end` denotes a protocol that has ended,  $p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I}$  denotes a protocol where for  
235 any  $i \in I$ , participant `p` may send a value of sort  $S_i$  to another participant `q` via message  
236 label  $\ell_i$ , after which the protocol continues as  $\mathbb{G}_i$ .

237 As in the case of local types, we adopt an equirecursive approach and work exclusively  
238 on possibly infinite global type trees.

239 ▶ **Definition 3.9** (Global type trees). *We define global type trees coinductively as follows:*

$$240 \quad \mathbb{G} ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).\mathbb{G}_i\}_{i \in I}$$

241 with the corresponding Rocq code

```
242   CoInductive gtt: Type ≡
| gtt_end : gtt
| gtt_send : part → part → list (option (sort*gtt)) → gtt.
```

243 We extend the function `pt` onto trees by defining  $\text{pt}(\mathbb{G}) = \text{pt}(\mathbb{G})$  where the global type  
244 `G` corresponds to the global type tree `G`. Technical details of this definition such as well-  
245 definedness can be found in [15, 18].

246 In Rocq `pt` is captured with the predicate `isgPartsC` : `part → gtt → Prop`, where  
247 `isgPartsC p G` denotes  $p \in \text{pt}(\mathbb{G})$ .

### 248 3.4 Projection

249 We now define projections with plain merging.

250 ▶ **Definition 3.10** (Projection). *The projection of a global type tree onto a participant `r` is the  
251 largest relation  $\upharpoonright_r$  between global type trees and local type trees such that, whenever  $\mathbb{G} \upharpoonright_r \mathbb{T}$ :*

- 252   ■  $r \notin \text{pt}\{G\}$  implies  $T = \text{end}$ ; [PROJ-END]  
 253   ■  $G = p \rightarrow r : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = p \& \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \upharpoonright_r T_i$  [PROJ-IN]  
 254   ■  $G = r \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = q \oplus \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \upharpoonright_r T_i$  [PROJ-OUT]  
 255   ■  $G = p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  and  $r \notin \{p, q\}$  implies that there are  $T_i, i \in I$  such that  
 256    $T = \sqcap_{i \in I} T_i$  and  $\forall i \in I, G \upharpoonright_r T_i$  [PROJ-CONT]  
 257   where  $\sqcap$  is the merging operator. We also define plain merge  $\sqcap$  as

258   
$$T_1 \sqcap T_2 = \begin{cases} T_1 & \text{if } T_1 = T_2 \\ \text{undefined} & \text{otherwise} \end{cases}$$

259   Informally, the projection of a global type tree  $G$  onto a participant  $r$  extracts a specification  
 260   for participant  $r$  from the protocol whose bird's-eye view is given by  $G$ . [PROJ-END]  
 261   expresses that if  $r$  is not a participant of  $G$  then  $r$  does nothing in the protocol. [PROJ-IN]  
 262   and [PROJ-OUT] handle the cases where  $r$  is involved in a communication in the root of  $G$ .  
 263   [PROJ-CONT] says that, if  $r$  is not involved in the root communication of  $G$ , then the only  
 264   way it knows its role in the protocol is if there is a role for it that works no matter what  
 265   choices  $p$  and  $q$  make in their communication. This "works no matter the choices of the other  
 266   participants" property is captured by the merge operations.

267   In Rocq these constructions are expressed with the inductive `isMerge` and the coinductive  
 268   projectionC. `isMerge t xs` holds if the plain merge of the types in `xs` is equal to `t`.

```
Variant projection (R: gtt → part → ltt → Prop): gtt → part → ltt → Prop ≡
| proj_end : ∀ g r,
  (isgPartsC r g → False) →
  projection R g r (ltt_end)
| proj_in : ∀ p r xs ys,
  p ≠ r →
  (isgPartsC r (gtt_send p r xs)) →
  List.Forall2 (fun u v => (u = None ∧ v = None) ∨ (∃ s g t, u = Some(s, g) ∧ v = Some(s, t) ∧ R g r t)) xs ys →
  projection R (gtt_send p r xs) r (ltt_recv p ys)
| proj_out : ...
| proj_cont: ∀ p q r xs ys t,
  p ≠ q →
  q ≠ r →
  p ≠ r →
  (isgPartsC r (gtt_send p q xs)) →
  List.Forall2 (fun u v => (u = None ∧ v = None) ∨
  (∃ s g t, u = Some(s, g) ∧ v = Some(t, g) ∧ R g r t)) xs ys →
  isMerge t ys →
  projection R (gtt_send p q xs) r t.
Definition projectionC g r t ≡ paco3 projection bot3 g r t.
```

269

270   As in the definition of `subtypeC`, `projectionC` is defined as a parameterised greatest fixed point  
 271   using Paco. The premises of the rules [PROJ-IN], [PROJ-OUT] and [PROJ-CONT] are captured  
 272   using the Rocq standard library predicate `List.Forall2` :  $\forall A B : \text{Type}, (P:A \rightarrow B \rightarrow$   
 273   `Prop`) (`xs:list A`) (`ys:list B`) : `Prop` that holds if  $P x y$  holds for every  $x, y$  where the  
 274   index of  $x$  in `xs` is the same as the index of  $y$  in the index of `ys`.

275   We have the following fact about projections that lets us regard it as a partial function:

276   ► **Lemma 3.11.** *If `projectionC G p T` and `projectionC G p T'` then  $T = T'$ .*

277   We write  $G \upharpoonright r = T$  when  $G \upharpoonright_r T$ . Furthermore we will be frequently be making assertions  
 278   about subtypes of projections of a global type e.g.  $T \leqslant G \upharpoonright r$ . In our Rocq implementation  
 279   we define the predicate `issubProj` as a shorthand for this.

280

```
Definition issubProj (t:ltt) (g:gtt) (p:part) ≡
  ∃ tg, projectionC g p tg ∧ subtypeC t tg.
```

281 **3.5 Balancedness, Global Tree Contexts and Grafting**

282 We introduce an important constraint on the types of global type trees we will consider,  
 283 balancedness.

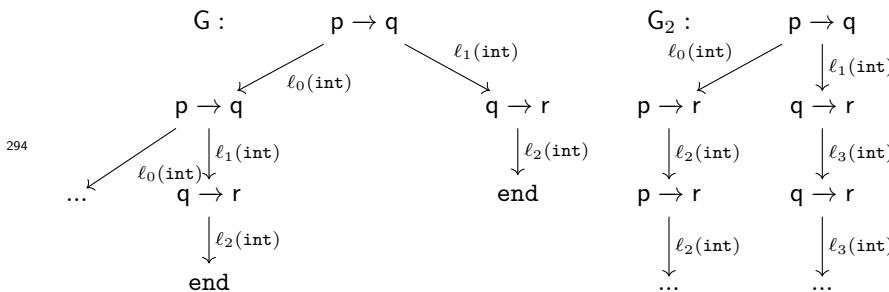
284 ► **Definition 3.12** (Balanced Global Type Trees). *A global tree  $G$  is balanced if for any subtree  
 285  $G'$  of  $G$ , there exists  $k$  such that for all  $p \in \text{pt}(G')$ ,  $p$  occurs on every path from the root of  
 286  $G'$  of length at least  $k$ .*

287 In Rocq balancedness is expressed with the predicate `balancedG` ( $G : \text{gtt}$ )

288 We omit the technical details of this definition and the Rocq implementation, they can be  
 289 found in [18] and [15].

290 ► **Example 3.13.** The global type tree  $G$  given below is unbalanced as constantly following  
 291 the left branch gives an infinite path where  $r$  doesn't occur despite being a participant of the  
 292 tree. There is no such path for  $G_2$ , hence  $G_2$  is balanced.

293



295 Intuitively, balancedness is a regularity condition that imposes a notion of *liveness* on  
 296 the protocol described by the global type tree. For example,  $G$  in Example 3.13 describes  
 297 a defective protocol as it is possible for  $p$  and  $q$  to constantly communicate through  $\ell_0$  and  
 298 leave  $r$  waiting to receive from  $q$  a communication that will never come. We will be exploring  
 299 these liveness properties from Section 4 onwards.

300 One other reason for formulating balancedness is that it allows us to use the "grafting"  
 301 technique, turning proofs by coinduction on infinite trees to proofs by induction on finite  
 302 global type tree contexts.

303 ► **Definition 3.14** (Global Type Tree Context). *Global type tree contexts are defined inductively  
 304 with the following syntax:*

305  $\mathcal{G} ::= p \rightarrow q : \{\ell_i(S_i).\mathcal{G}_i\}_{i \in I} \mid [\ ]_i$

306 In Rocq global type tree contexts are represented by the type `gtth`

```

Inductive gtth: Type ≡
| gtth_hol   : fin → gtth
| gtth_send  : part → part → list (option (sort * gtth)) → gtth.
  
```

308 We additionally define `pt` and `ishParts` on contexts analogously to `pt` and `isgPartsC` on trees.

309 A global type tree context can be thought of as the finite prefix of a global type tree, where  
 310 holes  $[ ]_i$  indicate the cutoff points. Global type tree contexts are related to global type trees  
 311 with the grafting operation.

312 ► **Definition 3.15** (Grafting). *Given a global type tree context  $\mathcal{G}$  whose holes are in the  
313 indexing set  $I$  and a set of global types  $\{G_i\}_{i \in I}$ , the grafting  $\mathcal{G}[G_i]_{i \in I}$  denotes the global type  
314 tree obtained by substituting  $[ ]_i$  with  $G_i$  in  $G_{cx}$ .*

315 In Rocq the indexed set  $\{G_i\}_{i \in I}$  is represented using a list (option gtt). Grafting is  
316 expressed by the following inductive relation:

```
317 Inductive typ_gtth : list (option gtt) → gtt → gtt → Prop.
```

318 typ\_gtth gs gcx gt means that the grafting of the set of global type trees gs onto the context  
319 gcx results in the tree gt.

320 Furthermore, we have the following lemma that relates global type tree contexts to  
321 balanced global type trees.

322 ► **Lemma 3.16** (Proper Grafting Lemma, [15]). *If  $\mathcal{G}$  is a balanced global type tree and  
323 isgPartsC p  $\mathcal{G}$ , then there is a global type tree context  $\mathcal{G}_{ctx}$  and an option list of global type  
324 trees gs such that typ\_gtth gs  $\mathcal{G}_{ctx} \mathcal{G}$ , ~ ishParts p  $\mathcal{G}_{ctx}$  and every Some element of gs is of  
325 shape gtt\_end, gtt\_send p q or gtt\_send q p.*

326 3.16 enables us to represent a coinductive global type tree featuring participant p as the  
327 grafting of a context that doesn't contain p with a list of trees that are all of a certain  
328 structure. If typ\_gtth gs  $\mathcal{G}_{ctx} \mathcal{G}$ , ~ ishParts p  $\mathcal{G}_{ctx}$  and every Some element of gs is of shape  
329 gtt\_end, gtt\_send p q or gtt\_send q p, then we call the pair gs and  $\mathcal{G}_{ctx}$  as the p-grafting  
330 of  $\mathcal{G}$ , expressed in Rocq as typ\_p\_gtth gs  $\mathcal{G}_{ctx} p \mathcal{G}$ . When we don't care about the contents  
331 of gs we may just say that  $\mathcal{G}$  is p-grafted by  $\mathcal{G}_{ctx}$ .

332 ► **Remark 3.17.** From now on, all the global type trees we will be referring to are assumed  
333 to be balanced. When talking about the Rocq implementation, any  $\mathcal{G} : gtt$  we mention is  
334 assumed to satisfy the predicate wfgC  $\mathcal{G}$ , expressing that  $\mathcal{G}$  corresponds to some global type  
335 and that  $\mathcal{G}$  is balanced.

336 Furthermore, we will often require that a global type is projectable onto all its participants.  
337 This is captured by the predicate projectableA  $\mathcal{G} = \forall p, \exists T, \text{projectionC } \mathcal{G} p T$ . As with  
338 wfgC, we will be assuming that all types we mention are projectable.

## 339 4 Semantics of Types

340 In this section we introduce local type contexts, and define Labelled Transition System  
341 semantics on these constructs.

### 342 4.1 Typing Contexts

343 We start by defining typing contexts as finite mappings of participants to local type trees.

► **Definition 4.1** (Typing Contexts).

344  $\Gamma ::= \emptyset \mid \Gamma, p : T$

345 Intuitively,  $p : T$  means that participant p is associated with a process that has the type  
346 tree T. We write  $\text{dom}(\Gamma)$  to denote the set of participants occurring in  $\Gamma$ . We write  $\Gamma(p)$  for  
347 the type of p in  $\Gamma$ . We define the composition  $\Gamma_1, \Gamma_2$  iff  $\text{dom}(\Gamma_1) \cap \text{dom}(\Gamma_2) = \emptyset$ .

348 In the Rocq implementation we implement local typing contexts as finite maps of  
349 participants, which are represented as natural numbers, and local type trees.

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this section  
might go

```
350
Module M  $\triangleq$  MMMaps.RBT.Make(Nat).
Module MF  $\triangleq$  MMMaps.Facts.Properties Nat M.
Definition tctx: Type  $\triangleq$  M.t ltt.
```

350

351 In our implementation, we extensively use the MMMaps library [28], which defines finite maps  
 352 using red-black trees and provides many useful functions and theorems about them. We give  
 353 some of the most important ones below:

- 354   ■ M.add p t g: Adds value t with the key p to the finite map g.
- 355   ■ M.find p g: If the key p is in the finite map g and is associated with the value t, returns  
     356     Some t, else returns None.
- 357   ■ M.In p g: A Prop that holds iff p is in g.
- 358   ■ M.mem p g: A bool that is equal to true if p is in g, and false otherwise.
- 359   ■ M.Equal g1 g2: Unfolds to  $\forall p, M.\text{find } p \text{ g1} = M.\text{find } p \text{ g2}$ . For our purposes, if  
     360     M.Equal g1 g2 then g1 and g2 are indistinguishable. This is made formal in the MMMaps  
     361     library with the assertion that M.Equal forms a setoid, and theorems asserting that most  
     362     functions on maps respect M.Equal by showing that they form Proper morphisms [38,  
     363     Generalized Rewriting].
- 364   ■ M.merge f g1 g2 where f: key  $\rightarrow$  option value  $\rightarrow$  option value:  
     365     Creates a finite map whose keys are the keys in g1 or g2, where the value of the key p is  
     366     defined as f p (M.find p g1) (M.find p g2).
- 367   ■ MF.Disjoint g1 g2: A Prop that holds iff the keys of g1 and g2 are disjoint.
- 368   ■ M.Eqdom g1 g2: A Prop that holds iff g1 and g2 have the same domains.
- 369   One important function that we define is disj\_merge, which merges disjoint maps and is  
 370   used to represent the composition of typing contexts.

371

372 We give LTS semantics to typing contexts, for which we first define the transition labels.

373 ▶ **Definition 4.2** (Transition labels). *A transition label  $\alpha$  has the following form:*

- |                                   |   |
|-----------------------------------|---|
| 374 $\alpha ::= p : q \& \ell(S)$ | $(p \text{ receives } \ell(S) \text{ from } q)$       |
| 375      $p : q \oplus \ell(S)$   | $(p \text{ sends } \ell(S) \text{ to } q)$            |
| 376      $(p, q)\ell$             | $(\ell \text{ is transmitted from } p \text{ to } q)$ |

377

378 and in Rocq

```
379
Notation opt_lbl  $\triangleq$  nat.
Inductive label: Type  $\triangleq$ 
| lrecv: part  $\rightarrow$  part  $\rightarrow$  option sort  $\rightarrow$  opt_lbl  $\rightarrow$  label
| lsend: part  $\rightarrow$  part  $\rightarrow$  option sort  $\rightarrow$  opt_lbl  $\rightarrow$  label
| lcomm: part  $\rightarrow$  part  $\rightarrow$  opt_lbl  $\rightarrow$  label.
```

379

380 We also define the function subject( $\alpha$ ) as subject( $p : q \& \ell(S)$ ) = subject( $p : q \oplus \ell(S)$ ) = {p}  
 381 and subject((p, q) $\ell$ ) = {p, q}.

382 In Rocq we represent subject( $\alpha$ ) with the predicate isSubj1 p alpha that holds iff p  $\in$   
 383 subject( $\alpha$ ).

```
Definition ispSubj1 r l  $\triangleq$ 
  match l with
    | lsend p q _ _  $\Rightarrow$  p=r
    | lrecv p q _ _  $\Rightarrow$  p=r
    | lcomm p q _ _  $\Rightarrow$  p=r  $\vee$  q=r
  end.
```

384

385 ► Remark 4.3. From now on, we assume the all the types in the local type contexts always  
 386 have non-empty continuations. In Rocq terms, if  $T$  is in context `gamma` then `wfltt T` holds.  
 387 This is expressed by the predicate `wfltt: tctx  $\rightarrow$  Prop`.

## 388 4.2 Local Type Context Reductions

389 Next we define labelled transitions for local type contexts.

390 ► **Definition 4.4** (Typing context reductions). *The typing context transition  $\xrightarrow{\alpha}$  is defined  
 391 inductively by the following rules:*

$$\frac{k \in I}{\frac{}{p : q \& \{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{p:q \& \ell_k(S_k)} p : T_k}} [\Gamma - \&]$$

$$\frac{k \in I}{\frac{}{p : q \oplus \{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{p:q \oplus \ell_k(S_k)} p : T_k}} [\Gamma - \oplus] \quad \frac{\Gamma \xrightarrow{\alpha} \Gamma'}{\Gamma, p : T \xrightarrow{\alpha} \Gamma', p : T} [\Gamma -,]$$

$$\frac{\Gamma_1 \xrightarrow{p:q \oplus \ell(S)} \Gamma'_1 \quad \Gamma_2 \xrightarrow{q:p \& \ell(S')} \Gamma'_2 \quad S \leq S'}{\Gamma_1, \Gamma_2 \xrightarrow{(p,q)\ell} \Gamma'_1, \Gamma'_2} [\Gamma - \oplus \&]$$

393 We write  $\Gamma \xrightarrow{\alpha}$  if there exists  $\Gamma'$  such that  $\Gamma \xrightarrow{\alpha} \Gamma'$ . We define a reduction  $\Gamma \rightarrow \Gamma'$  that holds  
 394 iff  $\Gamma \xrightarrow{(p,q)\ell} \Gamma'$  for some  $p, q, \ell$ . We write  $\Gamma \rightarrow$  iff  $\Gamma \rightarrow \Gamma'$  for some  $\Gamma'$ . We write  $\rightarrow^*$  for  
 395 the reflexive transitive closure of  $\rightarrow$ .

396  $[\Gamma - \oplus]$  and  $[\Gamma - \&]$ , express a single participant sending or receiving.  $[\Gamma - \oplus \&]$  expresses a  
 397 synchronized communication where one participant sends while another receives, and they  
 398 both progress with their continuation.  $[\Gamma -,]$  shows how to extend a context.

399 In Rocq typing context reductions are defined the following way:

```
Inductive tctxR: tctx  $\rightarrow$  label  $\rightarrow$  tctx  $\rightarrow$  Prop  $\triangleq$ 
| Rsend:  $\forall p q xs n s T,$ 
   $p \neq q \rightarrow$ 
   $\text{onth } n \text{ xs} = \text{Some } (s, T) \rightarrow$ 
   $\text{tctxR } (\text{M.add } p (\text{itt\_send } q xs) \text{ M.empty}) (\text{lsend } p q (\text{Some } s) n) (\text{M.add } p T \text{ M.empty})$ 
| Rrecv: ...
| Rcomm:  $\forall p q g1 g1' g2 g2' s s' n$  (H1: MF.Disjoint g1 g2) (H2: MF.Disjoint g1' g2'),  

   $p \neq q \rightarrow$ 
   $\text{tctxR } g1 (\text{lsend } p q (\text{Some } s) n) g1' \rightarrow$ 
   $\text{tctxR } g2 (\text{lrecv } q p (\text{Some } s') n) g2' \rightarrow$ 
   $\text{subsort } s s' \rightarrow$ 
   $\text{tctxR } (\text{disj\_merge } g1 g2 H1) (\text{lcomm } p q n) (\text{disj\_merge } g1' g2' H2)$ 
| RvarI:  $\forall g1 g1' g2 g2' p T,$ 
   $\text{tctxR } g1 g1' \rightarrow$ 
   $\text{M.mem } p g = \text{false} \rightarrow$ 
   $\text{tctxR } (\text{M.add } p T g) 1 (\text{M.add } p T g') \rightarrow$ 
| Restruct:  $\forall g1 g1' g2 g2' l, \text{tctxR } g1' 1 g2' \rightarrow$ 
   $\text{M.Equal } g1 g1' \rightarrow$ 
   $\text{M.Equal } g2 g2' \rightarrow$ 
   $\text{tctxR } g1 1 g2' \rightarrow$ 
```

400

401 Rsend, Rrecv and RvarI are straightforward translations of  $[\Gamma - \&]$ ,  $[\Gamma - \oplus]$  and  $[\Gamma -,]$ .  
 402 Rcomm captures  $[\Gamma - \oplus \&]$  using the `disj_merge` function we defined for the compositions, and

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403 requires a proof that the contexts given are disjoint to be applied. `RStruct` captures the  
 404 indistinguishability of local contexts under `M.Equal`.

this can be  
cut

405 We give an example to illustrate typing context reductions.

406 ▶ **Example 4.5.** Let

$$\begin{aligned} 407 \quad T_p &= q \oplus \{\ell_0(\text{int}).T_p, \ell_1(\text{int}).\text{end}\} \\ 408 \quad T_q &= p \& \{\ell_0(\text{int}).T_q, \ell_1(\text{int}).r \oplus \{\ell_2(\text{int}).\text{end}\}\} \\ 409 \quad T_r &= q \& \{\ell_2(\text{int}).\text{end}\} \end{aligned}$$

410

411 and  $\Gamma = p : T_p, q : T_q, r : T_r$ . We have the following one step reductions from  $\Gamma$ :

$$412 \quad \Gamma \xrightarrow{p:q \oplus \ell_0(\text{int})} \Gamma \quad (1)$$

$$413 \quad \Gamma \xrightarrow{q:p \& \ell_0(\text{int})} \Gamma \quad (2)$$

$$414 \quad \Gamma \xrightarrow{(p,q)\ell_0} \Gamma \quad (3)$$

$$415 \quad \Gamma \xrightarrow{r:q \& \ell_2(\text{int})} p : T_p, q : T_q, r : \text{end} \quad (4)$$

$$416 \quad \Gamma \xrightarrow{p:q \oplus \ell_1(\text{int})} p : \text{end}, q : T_q, r : T_r \quad (5)$$

$$417 \quad \Gamma \xrightarrow{q:p \& \ell_1(\text{int})} p : T_p, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : T_r \quad (6)$$

$$418 \quad \Gamma \xrightarrow{(p,q)\ell_1} p : \text{end}, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : T_r \quad (7)$$

419 and by (3) and (7) we have the synchronized reductions  $\Gamma \rightarrow \Gamma$  and

420  $\Gamma \rightarrow \Gamma' = p : \text{end}, q : r \oplus \{\ell_2(\text{int}).\text{end}\}, r : T_r$ . Further reducing  $\Gamma'$  we get

$$421 \quad \Gamma' \xrightarrow{q:r \oplus \ell_2(\text{int})} p : \text{end}, q : \text{end}, r : T_r \quad (8)$$

$$422 \quad \Gamma' \xrightarrow{r:q \& \ell_2(\text{int})} p : \text{end}, q : r \oplus \{\ell_3(\text{int}).\text{end}\}, r : \text{end} \quad (9)$$

$$423 \quad \Gamma' \xrightarrow{(q,r)\ell_2} p : \text{end}, q : \text{end}, r : \text{end} \quad (10)$$

424 and by (10) we have the reduction  $\Gamma' \rightarrow p : \text{end}, q : \text{end}, r : \text{end} = \Gamma_{\text{end}}$ , which results in a  
 425 context that can't be reduced any further.

426 In Rocq,  $\Gamma$  is defined the following way:

```
427
Definition prt_p ≡ 0.
Definition prt_q ≡ 1.
Definition prt_r ≡ 2.
CoFixpoint T_p ≡ ltt_send prt_q [Some (sint,T_p); Some (sint, ltt_end); None].
CoFixpoint T_q ≡ ltt_recv prt_p [Some (sint,T_q); Some (sint, ltt_send prt_r [None;None;Some (sint, ltt_end)]); None].
Definition T_r ≡ ltt_recv prt_q [None;None; Some (sint, ltt_end)].
Definition gamma ≡ M.add prt_p T_p (M.add prt_q T_q (M.add prt_r T_r M.empty)).
```

427

428 Now Equation (1) can be stated with the following piece of Rocq

```
429
Lemma red_1 : tctxR gamma (lsend prt_p prt_q (Some sint) 0) gamma.
```

430 **4.3 Global Type Reductions**

431 As with local typing contexts, we can also define reductions for global types.

432 ► **Definition 4.6** (Global type reductions). *The global type transition  $\xrightarrow{\alpha}$  is defined coinductively  
433 as follows.*

$$\begin{array}{c}
 k \in I \\
 \hline \hline
 \frac{}{\mathbf{p} \rightarrow \mathbf{q} : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{(\mathbf{p}, \mathbf{q})\ell_k} G_k} [\text{GR-}\oplus\&] \\
 \hline \hline
 \frac{\forall i \in I \ G_i \xrightarrow{\alpha} G'_i \quad \text{subject}(\alpha) \cap \{\mathbf{p}, \mathbf{q}\} = \emptyset \quad \forall i \in I \ \{\mathbf{p}, \mathbf{q}\} \subseteq \text{pt}\{G_i\}}{\mathbf{p} \rightarrow \mathbf{q} : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{\alpha} \mathbf{p} \rightarrow \mathbf{q} : \{\ell_i(S_i).G'_i\}_{i \in I}} [\text{GR-CTX}]
 \end{array}$$

435 In Rocq  $G \xrightarrow{(\mathbf{p}, \mathbf{q})\ell_k} G'$  is expressed with the coinductively defined (via Paco) predicate `gttstepC`  
436  $G \ G' \ p \ q \ k$ .

437 [GR- $\oplus\&$ ] says that a global type tree with root  $\mathbf{p} \rightarrow \mathbf{q}$  can transition to any of its children  
438 corresponding to the message label chosen by  $\mathbf{p}$ . [GR-CTX] says that if the subjects of  $\alpha$   
439 are disjoint from the root and all its children can transition via  $\alpha$ , then the whole tree can  
440 also transition via  $\alpha$ , with the root remaining the same and just the subtrees of its children  
441 transitioning.

442 **4.4 Association Between Local Type Contexts and Global Types**

443 We have defined local type contexts which specifies protocols bottom-up by directly describing  
444 the roles of every participant, and global types, which give a top-down view of the whole  
445 protocol, and the transition relations on them. We now relate these local and global definitions  
446 by defining *association* between local type context and global types.

447 ► **Definition 4.7** (Association). *A local typing context  $\Gamma$  is associated with a global type tree  
448  $G$ , written  $\Gamma \sqsubseteq G$ , if the following hold:*  
449 ■ For all  $\mathbf{p} \in \text{pt}(G)$ ,  $\mathbf{p} \in \text{dom}(\Gamma)$  and  $\Gamma(\mathbf{p}) \leqslant G \upharpoonright \mathbf{p}$ .  
450 ■ For all  $\mathbf{p} \notin \text{pt}(G)$ , either  $\mathbf{p} \notin \text{dom}(\Gamma)$  or  $\Gamma(\mathbf{p}) = \text{end}$ .  
451 In Rocq this is defined with the following:

```

Definition assoc (g: tctx) (gt:gtt) ≡
  ∀ p, (isgPartsC p gt → ∃ Tp, M.find p g=Some Tp ∧
    issubProj Tp gt p) ∧
  (¬ isgPartsC p gt → ∀ Tpx, M.find p g = Some Tpx → Tpx=ltt_end).
  
```

453 Informally,  $\Gamma \sqsubseteq G$  says that the local type trees in  $\Gamma$  obey the specification described by the  
454 global type tree  $G$ .

455 ► **Example 4.8.** In Example 4.5, we have that  $\Gamma \sqsubseteq G$  where

456  $G := \mathbf{p} \rightarrow \mathbf{q} : \{\ell_0(\text{int}).G, \ell_1(\text{int}).\mathbf{q} \rightarrow \mathbf{r} : \{\ell_2(\text{int}).\text{end}\}\}$

457 Note that  $G$  is the global type that was shown to be unbalanced in Example 3.13. In fact,  
458 we have  $\Gamma(\mathbf{s}) = G \upharpoonright \mathbf{s}$  for  $\mathbf{s} \in \{\mathbf{p}, \mathbf{q}, \mathbf{r}\}$ . Similarly, we have  $\Gamma' \sqsubseteq G'$  where

459  $G' := \mathbf{q} \rightarrow \mathbf{r} : \{\ell_2(\text{int}).\text{end}\}$

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460 It is desirable to have the association be preserved under local type context and global  
 461 type reductions, that is, when one of the associated constructs "takes a step" so should the  
 462 other. We formalise this property with soundness and completeness theorems.

463 ▶ **Theorem 4.9** (Soundness of Association). *If  $\text{assoc } \text{gamma} \ G$  and  $\text{gttstepC } G \ G' \ p \ q \ \text{ell}$ ,  
 464 then there is a local type context  $\text{gamma}'$ , a global type tree  $G'$ , and a message label  $\text{ell}'$  such  
 465 that  $\text{gttStepC } G \ G' \ p \ q \ \text{ell}'$ ,  $\text{assoc } \text{gamma}' \ G'$ , and  $\text{tctxR } \text{gamma} \ (\text{lcomm } p \ q \ \text{ell}') \ \text{gamma}'$ .*

466 ▶ **Theorem 4.10** (Completeness of Association). *If  $\text{assoc } \text{gamma} \ G$  and  $\text{tctxR } \text{gamma} \ (\text{lcomm } p \ q \ \text{ell}) \ \text{gamma}'$ ,  
 467 then there exists a global type tree  $G'$  such that  $\text{assoc } \text{gamma}' \ G'$  and  $\text{gttstepC } G \ G' \ p \ q \ \text{ell}$ .*

468 ▶ **Remark 4.11.** Note that in the statement of soundness we allow the message label for the  
 469 local type context reduction to be different to the message label for the global type reduction.  
 470 This is because our use of subtyping in association causes the entries in the local type context  
 471 to be less expressive than the types obtained by projecting the global type. For example  
 472 consider

$$474 \quad \Gamma = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$$

475 and

$$476 \quad G = p \rightarrow q : \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$$

477 We have  $\Gamma \sqsubseteq G$  and  $G \xrightarrow{(p,q)\ell_1}$ . However  $\Gamma \xrightarrow{(p,q)\ell_1}$  is not a valid transition. Note that  
 478 soundness still requires that  $\Gamma \xrightarrow{(p,q)\ell_x}$  for some  $x$ , which is satisfied in this case by the valid  
 479 transition  $\Gamma \xrightarrow{(p,q)\ell_0}$ .

## 480 5 Properties of Local Type Contexts

481 We now use the LTS semantics to define some desirable properties on type contexts and their  
 482 reduction sequences. Namely, we formulate safety, liveness and fairness properties based on  
 483 the definitions in [47].

### 484 5.1 Safety

485 We start by defining safety:

486 ▶ **Definition 5.1** (Safe Type Contexts). *We define  $\text{safe}$  coinductively as the largest set of type  
 487 contexts such that whenever we have  $\Gamma \in \text{safe}$ :*

$$488 \quad \begin{array}{l} \Gamma \xrightarrow{p:q \oplus \ell(S)} \text{ and } \Gamma \xrightarrow{q:p \& \ell'(S')} \text{ implies } \Gamma \xrightarrow{(p,q)\ell} \\ \Gamma \rightarrow \Gamma' \text{ implies } \Gamma' \in \text{safe} \end{array} \quad \begin{array}{l} [\text{S-}\&\oplus] \\ [\text{S-}\rightarrow] \end{array}$$

490 We write  $\text{safe}(\Gamma)$  if  $\Gamma \in \text{safe}$ .

491 Informally, safety says that if  $p$  and  $q$  communicate with each other and  $p$  requests to send a  
 492 value using message label  $\ell$ , then  $q$  should be able to receive that message label. Furthermore,  
 493 this property should be preserved under any typing context reductions. Being a coinductive  
 494 property, to show that  $\text{safe}(\Gamma)$  it suffices to give a set  $\varphi$  such that  $\Gamma \in \varphi$  and  $\varphi$  satisfies  
 495  $[\text{S-}\&\oplus]$  and  $[\text{S-}\rightarrow]$ . This amounts to showing that every element of  $\Gamma'$  of the set of reducts  
 496 of  $\Gamma$ , defined  $\varphi := \{\Gamma' \mid \Gamma \rightarrow^* \Gamma'\}$ , satisfies  $[\text{S-}\&\oplus]$ . We illustrate this with some examples:

497 ► **Example 5.2.** Let  $\Gamma_A = p : \text{end}$ , then  $\Gamma_A$  is safe: the set of reducts is  $\{\Gamma_A\}$  and this set  
 498 respects  $[\text{S-}\oplus\&]$  as its elements can't reduce, and it respects  $[\text{S-}\rightarrow]$  as it's closed with  
 499 respect to  $\rightarrow$ .

500 Let  $\Gamma_B = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{nat}).\text{end}\}$ .  $\Gamma_B$  is not safe as we have  
 501  $\Gamma_B \xrightarrow{p:q \oplus \ell_0}$  and  $\Gamma_B \xrightarrow{q:p \& \ell_0}$  but we don't have  $\Gamma_B \xrightarrow{(p,q)\ell_0}$  as  $\text{int} \not\leq \text{nat}$ .

502 Let  $\Gamma_C = p : q \oplus \{\ell_1(\text{int}).q \oplus \{\ell_0(\text{int}).\text{end}\}\}, q : p \& \{\ell_1(\text{int}).p \& \{\ell_0(\text{nat}).\text{end}\}\}$ .  $\Gamma_C$  is not  
 503 safe as we have  $\Gamma_C \xrightarrow{(p,q)\ell_1} \Gamma_B$  and  $\Gamma_B$  is not safe.

504 Consider  $\Gamma$  from Example 4.5. All the reducts satisfy  $[\text{S-}\&\oplus]$ , hence  $\Gamma$  is safe.

505 Being a coinductive property, `safe` can be expressed in Rocq using Paco:

```
Definition weak_safety (c: tctx) ≡
  ∀ p q s s' k k', tctxRE (lsend p q (Some s) k) c → tctxRE (lrecv q p (Some s') k') c →
    tctxRE (lcomm p q k) c.

Inductive safe (R: tctx → Prop): tctx → Prop ≡
  | safety_red : ∀ c, weak_safety c → (∀ p q c' k,
    tctxR c (lcomm p q k) c' → R c')
  → safe R c.

Definition safeC c ≡ paco1 safe bot1 c.
```

506  
 507 `weak_safety` corresponds  $[\text{S-}\&\oplus]$  where `tctxRE l c` is shorthand for  $\exists c'$ , `tctxR c l c'`. In  
 508 the inductive `safe`, the constructor `safety_red` corresponds to  $[\text{S-}\rightarrow]$ . Then `safeC` is defined  
 509 as the greatest fixed point of `safe`.

510 We have that local type contexts with associated global types are always safe.

511 ► **Theorem 5.3 (Safety by Association).** If `assoc gamma g` then `safeC gamma`.

512 **Proof.**  $[\text{S-}\&\oplus]$  follows by inverting the projection and the subtyping, and  $[\text{S-}\rightarrow]$  holds by  
 513 Theorem 4.10. ◀

## 5.2 Linear Time Properties

514 We now focus our attention to fairness and liveness. In this paper we have defined LTS  
 515 semantics on three types of constructs: sessions, local type contexts and global types. We will  
 516 appropriately define liveness properties on all three of these systems, so it will be convenient  
 517 to define a general notion of valid reduction paths (also known as *runs* or *executions* [2,  
 518 2.1.1]) along with a general statement of some Linear Temporal Logic [35] constructs.

519 We start by defining the general notion of a reduction path [2, Def. 2.6] using possibly  
 520 infinite cosequences.

521 ► **Definition 5.4 (Reduction Paths).** A finite reduction path is an alternating sequence of  
 522 states and labels  $S_0 \lambda_0 S_1 \lambda_1 \dots S_n$  such that  $S_i \xrightarrow{\lambda_i} S_{i+1}$  for all  $0 \leq i < n$ . An infinite reduction  
 523 path is an alternating sequence of states and labels  $S_0 \lambda_0 S_1 \lambda_1 \dots S_n$  such that  $S_i \xrightarrow{\lambda_i} S_{i+1}$  for  
 524 all  $0 \leq i$ .

525 We won't be distinguishing between finite and infinite reduction paths and refer to them  
 526 both as just *(reduction) paths*. Note that the above definition is general for LTSs, by *state* we  
 527 will be referring to local type contexts, global types or sessions, depending on the contexts.

528 In Rocq, we define reduction paths using possibly infinite cosequences of pairs of states  
 529 (which will be `tctx`, `gtt` or `session` in this paper) and option label:

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```

CoInductive coseq (A: Type): Type ≡
| conil : coseq A
| cocons: A → coseq A → coseq A.
Notation local_path ≡ (coseq (tctx*option label)).
Notation global_path ≡ (coseq (gtt*option label)).
Notation session_path ≡ (coseq (session*option label)).

```

531

532 Note the use of `option label`, where we employ `None` to represent transitions into the  
 533 end of the list, `conil`. For example,  $S_0 \xrightarrow{\lambda_0} S_1 \xrightarrow{\lambda_1} S_2$  would be represented in  
 534 Rocq as `cocons (s_0, Some lambda_0) (cocons (s_1, Some lambda_1) (cocons (s_2, None)`  
 535 `conil))`, and `cocons (s_1, Some lambda)` `conil` would not be considered a valid path.

536 Note that this definition doesn't require the transitions in the `coseq` to actually be valid.  
 537 We achieve that using the coinductive predicate `valid_path_GC A:Type (V: A → label →`  
 538 `A → Prop)`, where the parameter `V` is a *transition validity predicate*, capturing if a one-step  
 539 transition is valid. For all `V`, `valid_path_GC V conil` and  $\forall x, \text{valid\_path\_GC } V (\text{cocons } (x,$   
 540 `None) conil) hold, and valid_path_GC V cocons (x, Some l) (cocons (y, l') xs) holds if  
 541 the transition validity predicate V x l y and valid_path_GC V (cocons (y, l') xs) hold. We  
 542 use different V based on our application, for example in the context of local type context  
 543 reductions the predicate is defined as follows:`

544

```

Definition local_path_vcriteria ≡ (fun x1 l x2 =>
  match (x1,l,x2) with
  | ((g1,lcomm p q ell),g2) => tctxR g1 (lcomm p q ell) g2
  | _ => False
).

```

545 That is, we only allow synchronised communications in a valid local type context reduction  
 546 path.

547 We can now define fairness and liveness on paths. We first restate the definition of fairness  
 548 and liveness for local type context paths from [47], and use that to motivate our use of more  
 549 general LTL constructs.

550 ▶ **Definition 5.5** (Fair, Live Paths). *We say that a local type context path  $\Gamma_0 \xrightarrow{\lambda_0} \Gamma_1 \xrightarrow{\lambda_1} \dots$  is  
 551 fair if, for all  $n \in N : \Gamma_n \xrightarrow{(p,q)\ell} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \lambda_k = (p,q)\ell'$ , and  
 552 therefore  $\Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$ . We say that a path  $(\Gamma_n)_{n \in N}$  is live iff,  $\forall n \in N :$*   
 553 1.  $\forall n \in N : \Gamma_n \xrightarrow{p:q \oplus \ell(S)} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$   
 554 2.  $\forall n \in N : \Gamma_n \xrightarrow{q:p \& \ell(S)} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$

555 ▶ **Definition 5.6** (Live Local Type Context). *A local type context  $\Gamma$  is live if whenever  $\Gamma \rightarrow^* \Gamma'$ ,  
 556 every fair path starting from  $\Gamma'$  is also live.*

557 In general, fairness assumptions are used so that only the reduction sequences that are  
 558 "well-behaved" in some sense are considered when formulating other properties [45]. For our  
 559 purposes we define fairness such that, in a fair path, if at any point `p` attempts to send to `q`  
 560 and `q` attempts to send to `p` then eventually a communication between `p` and `q` takes place.  
 561 Then live paths are defined to be paths such that whenever `p` attempts to send to `q` or `q`  
 562 attempts to send to `p`, eventually a `p` to `q` communication takes place. Informally, this means  
 563 that every communication request is eventually answered. Then live typing contexts are  
 564 defined to be the  $\Gamma$  where all fair paths that start from  $\Gamma$  are also live.

565 ▶ **Example 5.7.** Consider the contexts  $\Gamma, \Gamma'$  and  $\Gamma_{\text{end}}$  from Example 4.5. One possible  
 566 reduction path is  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \dots$ . Denote this path as  $(\Gamma_n)_{n \in \mathbb{N}}$ , where  $\Gamma_n = \Gamma$  for  
 567 all  $n \in \mathbb{N}$ . By reductions (3) and (7), we have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0}$  and  $\Gamma_n \xrightarrow{(p,q)\ell_1}$  as the only

possible synchronised reductions from  $\Gamma_n$ . Accordingly, we also have  $\forall n, \Gamma_n \xrightarrow{(\mathbf{p},\mathbf{q})\ell_0} \Gamma_{n+1}$  in the path so this path is fair. However, this path is not live as we have by reduction (4) that  $\Gamma_1 \xrightarrow{r:\mathbf{q}\&\ell_2(\text{int})} \Gamma_1$  but there is no  $n, \ell'$  with  $\Gamma_n \xrightarrow{(\mathbf{q},r)\ell'} \Gamma_{n+1}$  in the path. Consequently,  $\Gamma$  is not a live type context.

Now consider the reduction path  $\Gamma \xrightarrow{(\mathbf{p},\mathbf{q})\ell_0} \Gamma \xrightarrow{(\mathbf{p},\mathbf{q})\ell_0} \Gamma' \xrightarrow{(\mathbf{q},r)\ell_2} \Gamma_{\text{end}}$ , denoted by  $(\Gamma'_n)_{n \in \{1..4\}}$ . This path is fair with respect to reductions from  $\Gamma'_1$  and  $\Gamma'_2$  as shown above, and it's fair with respect to reductions from  $\Gamma'_3$  as reduction (10) is the only one available from  $\Gamma'_3$  and we have  $\Gamma'_3 \xrightarrow{(\mathbf{q},r)\ell_2} \Gamma'_4$  as needed. Furthermore, this path is live: the reduction  $\Gamma_1 \xrightarrow{r:\mathbf{q}\&\ell_2(\text{int})} \Gamma_1$  that causes  $(\Gamma_n)$  to fail liveness is handled by the reduction  $\Gamma'_3 \xrightarrow{(\mathbf{q},r)\ell_2} \Gamma'_4$  in this case.

Definition 5.5, while intuitive, is not really convenient for a Rocq formalisation due to the existential statements contained in them. It would be ideal if these properties could be expressed as a least or greatest fixed point, which could then be formalised via Rocq's inductive or coinductive (via Paco) types. To do that, we turn to Linear Temporal Logic (LTL) [35].

these may go

► **Definition 5.8** (Linear Temporal Logic). *The syntax of LTL formulas  $\psi$  are defined inductively with boolean connectives  $\wedge, \vee, \neg$ , atomic propositions  $P, Q, \dots$ , and temporal operators  $\square$  (always),  $\diamond$  (eventually),  $\circ$  next and  $\mathcal{U}$ . Atomic propositions are evaluated over pairs of states and transitions  $(S, i, \lambda_i)$  (for the final state  $S_n$  in a finite reduction path we take that there is a null transition from  $S_n$ , corresponding to a `None` transition in Rocq) while LTL formulas are evaluated over reduction paths<sup>1</sup>. The satisfaction relation  $\rho \models \psi$  (where  $\rho = S_0 \xrightarrow{\lambda_0} S_1 \dots$  is a reduction path, and  $\rho_i$  is the suffix of  $\rho$  starting from index  $i$ ) is given by the following:*

- $\rho \models P \iff (S_0, \lambda_0) \models P$ .
- $\rho \models \psi_1 \wedge \psi_2 \iff \rho \models \psi_1 \text{ and } \rho \models \psi_2$
- $\rho \models \neg \psi_1 \iff \text{not } \rho \models \psi_1$
- $\rho \models \circ \psi_1 \iff \rho_1 \models \psi_1$
- $\rho \models \diamond \psi_1 \iff \exists k \geq 0, \rho_k \models \psi_1$
- $\rho \models \square \psi_1 \iff \forall k \geq 0, \rho_k \models \psi_1$
- $\rho \models \psi_1 \mathcal{U} \psi_2 \iff \exists k \geq 0, \rho_k \models \psi_2 \text{ and } \forall j < k, \rho_j \models \psi_1$

Fairness and liveness for local type context paths Definition 5.5 can be defined in Linear Temporal Logic (LTL). Specifically, define atomic propositions  $\text{enabledComm}_{\mathbf{p},\mathbf{q},\ell}$  such that  $(\Gamma, \lambda) \models \text{enabledComm}_{\mathbf{p},\mathbf{q},\ell} \iff \Gamma \xrightarrow{(\mathbf{p},\mathbf{q})\ell}$ , and  $\text{headComm}_{\mathbf{p},\mathbf{q}}$  that holds iff  $\lambda = (\mathbf{p}, \mathbf{q})\ell$  for some  $\ell$ . Then fairness can be expressed in LTL with: for all  $\mathbf{p}, \mathbf{q}$ ,

$$\square(\text{enabledComm}_{\mathbf{p},\mathbf{q},\ell} \implies \diamond(\text{headComm}_{\mathbf{p},\mathbf{q}}))$$

Similarly, by defining  $\text{enabledSend}_{\mathbf{p},\mathbf{q},\ell,S}$  that holds iff  $\Gamma \xrightarrow{\mathbf{p}: \mathbf{q} \oplus \ell(S)}$  and analogously  $\text{enabledRecv}$ , liveness can be defined as

$$\begin{aligned} \square((\text{enabledSend}_{\mathbf{p},\mathbf{q},\ell,S} \implies \diamond(\text{headComm}_{\mathbf{p},\mathbf{q}})) \wedge \\ (\text{enabledRecv}_{\mathbf{p},\mathbf{q},\ell,S} \implies \diamond(\text{headComm}_{\mathbf{q},\mathbf{p}}))) \end{aligned}$$

<sup>1</sup> These semantics assume that the reduction paths are infinite. In our implementation we do a slight-of-hand and, for the purposes of the  $\square$  operator, treat a terminating path as entering a dump state  $S_\perp$  (which corresponds to `conil` in Rocq) and looping there infinitely.

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607     The reason we defined the properties using LTL properties is that the operators  $\Diamond$  and  $\Box$   
 608     can be characterised as least and greatest fixed points using their expansion laws [2, Chapter  
 609     5.14]:

- 610     ■  $\Diamond P$  is the least solution to  $\Diamond P \equiv P \vee \Diamond(\Diamond P)$
- 611     ■  $\Box P$  is the greatest solution to  $\Box P \equiv P \wedge \Box(\Box P)$
- 612     ■  $P \sqcup Q$  is the least solution to  $P \sqcup Q \equiv Q \vee (P \wedge \Diamond(P \sqcup Q))$

613     Thus fairness and liveness correspond to greatest fixed points, which can be defined coinductively.

615     In Rocq, we implement the LTL operators  $\Diamond$  and  $\Box$  inductively and coinductively (with  
 616     Paco), in the following way:

```

Inductive eventually {A: Type} (F: coseq A → Prop): coseq A → Prop △
| evh: ∀ xs, F xs → eventually F xs
| evc: ∀ x xs, eventually F xs → eventually F (cocons x xs).

Inductive until {A: Type} (F: coseq A → Prop) (G: coseq A → Prop) : coseq A → Prop △
| untilh : ∀ xs, G xs → until F G xs
| untilc: ∀ x xs, F (cocons x xs) → until F G xs → until F G (cocons x xs).

Inductive alwaysG {A: Type} (F: coseq A → Prop) (R: coseq A → Prop): coseq A → Prop △
| alwn: F conil → alwaysG F R conil
| alwc: ∀ x xs, F (cocons x xs) → R xs → alwaysG F R (cocons x xs).

Definition alwaysCG {A: Type} (F: coseq A → Prop) △= paco1 (alwaysG F) bot1.

```

617

618     Note the use of the constructor `alwn` in the definition `alwaysG` to handle finite paths.

619     Using these LTL constructs we can define fairness and liveness on paths.

```

Definition fair_path_local_inner (pt: local_path): Prop △=
  ∀ p q n, to_path_prop (tctxRE (lcomm p q n)) False pt → eventually (headComm p q) pt.
Definition fair_path △= alwaysCG fair_path_local_inner.

Definition live_path_inner (pt: local_path) : Prop △=
  ∀ p q s n,
  (to_path_prop (tctxRE (lsend p q (Some s) n)) False pt → eventually (headComm p q) pt) ∧
  (to_path_prop (tctxRE (lrecv p q (Some s) n)) False pt → eventually (headComm q p) pt).
Definition live_path △= alwaysCG live_path_inner.

```

620

621     For instance, the fairness of the first reduction path for  $\Gamma$  given in Example 5.7 can be  
 622     expressed with the following:

```

CoFixpoint inf_pq_path △= cocons (gamma, (lcomm prt_p prt_q) 0) inf_pq_path.
Theorem inf_pq_path_fair : fairness inf_pq_path.

```

623

624

625     ► Remark 5.9. Note that the LTS of local type contexts has the property that, once a  
 626     transition between participants  $p$  and  $q$  is enabled, it stays enabled until a transition  
 627     between  $p$  and  $q$  occurs. This makes `fair_path` equivalent to the standard formulas [2,  
 628     Definition 5.25] for strong fairness ( $\Box\Diamond\text{enabledComm}_{p,q} \implies \Box\Diamond\text{headComm}_{p,q}$ ) and weak  
 629     fairness ( $\Diamond\Box\text{enabledComm}_{p,q} \implies \Box\Diamond\text{headComm}_{p,q}$ ).

### 630     5.3 Rocq Proof of Liveness by Association

631     We now detail the Rocq Proof that associated local type contexts are also live.

632     ► Remark 5.10. We once again emphasise that all global types mentioned are assumed to  
 633     be balanced (Definition 3.12). Indeed association with non-balanced global types doesn't  
 634     guarantee liveness. As an example, consider  $\Gamma$  from Example 4.5, which is associated with  $G$   
 635     from Example 4.8. Yet we have shown in Example 5.7 that  $\Gamma$  is not a live type context. This  
 636     is not surprising as Example 3.13 shows that  $G$  is not balanced.

637     Our proof proceeds in the following way:

- 638 1. Formulate an analogue of fairness and liveness for global type reduction paths.  
 639 2. Prove that all global types are live for this notion of liveness.  
 640 3. Show that if  $G : \text{gtt}$  is live and  $\text{assoc } \gamma G$ , then  $\gamma$  is also live.  
 641 First we define fairness and liveness for global types, analogous to Definition 5.5.

642 ▶ **Definition 5.11** (Fairness and Liveness for Global Types). *We say that the label  $\lambda$  is enabled  
 643 at  $G$  if the context  $\{p_i : G \mid p_i \in \text{pt}\{G\}\}$  can transition via  $\lambda$ . More explicitly, and in  
 644 Rocq terms,*

```
Definition global_label_enabled 1 g  $\triangleq$  match 1 with
| lsend p q (Some s) n  $\Rightarrow$   $\exists$  xs g',
  projectionC g p (lts_send q xs)  $\wedge$  onth n xs=Some (s,g')
| lrecv p q (Some s) n  $\Rightarrow$   $\exists$  xs g',
  projectionC g p (lts_recv q xs)  $\wedge$  onth n xs=Some (s,g')
| lcomm p q n  $\Rightarrow$   $\exists$  g', gtstepC g g' p q n
| _  $\Rightarrow$  False end.
```

645

646 With this definition of enabling, fairness and liveness are defined exactly as in Definition 5.5.  
 647 A global type reduction path is fair if the following holds:

648  $\square(\text{enabledComm}_{p,q,\ell} \implies \Diamond(\text{headComm}_{p,q}))$

649 and liveness is expressed with the following:

650  $\square((\text{enabledSend}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{p,q})) \wedge$   
 651  $(\text{enabledRecv}_{p,q,\ell,S} \implies \Diamond(\text{headComm}_{q,p})))$

652 where  $\text{enabledSend}$ ,  $\text{enabledRecv}$  and  $\text{enabledComm}$  correspond to the match arms in the definition  
 653 of  $\text{global\_label\_enabled}$  (Note that the names  $\text{enabledSend}$  and  $\text{enabledRecv}$  are chosen  
 654 for consistency with Definition 5.5, there aren't actually any transitions with label  $p : q \oplus \ell(S)$   
 655 in the transition system for global types). A global type  $G$  is live if whenever  $G \rightarrow^* G'$ , any  
 656 fair path starting from  $G'$  is also live.

657 Now our goal is to prove that all (well-formed, balanced, projectable)  $G$  are live under this  
 658 definition. This is where the notion of grafting (Definition 3.12) becomes important, as the  
 659 proof essentially proceeds by well-founded induction on the height of the tree obtained by  
 660 grafting.

661 We first introduce some definitions on global type tree contexts (Definition 3.14).

662 ▶ **Definition 5.12** (Global Type Context Equality, Proper Prefixes and Height). *We consider  
 663 two global type tree contexts to be equal if they are the same up to the relabelling the indices  
 664 of their leaves. More precisely,*

```
Inductive gtth_eq: gtth  $\rightarrow$  gtth  $\rightarrow$  Prop  $\triangleq$ 
| gtth_eq_hol :  $\forall$  n m, gtth_eq (gtth_hol n) (gtth_hol m)
| gtth_eq_send :  $\forall$  xs ys p q ,
  Forall2 (fun u v  $\Rightarrow$  (u=None  $\wedge$  v=None)  $\vee$  ( $\exists$  s g1 g2, u=Some (s,g1)  $\wedge$  v=Some (s,g2)  $\wedge$  gtth_eq g1 g2)) xs ys  $\rightarrow$ 
    gtth_eq (gtth_send p q xs) (gtth_send p q ys).
```

665

666 Informally, we say that the global type context  $G'$  is a proper prefix of  $G$  if we can obtain  $G'$   
 667 by changing some subtrees of  $G$  with context holes such that none of the holes in  $G$  are present  
 668 in  $G'$ . Alternatively, we can characterise it as akin to  $\text{gtth\_eq}$  except where the context holes  
 669 in  $G'$  are assumed to be "jokers" that can be matched with any global type context that's not  
 670 just a context hole. In Rocq:

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```

Inductive is_tree_proper_prefix : gtth → gtth → Prop ≡
| tree_proper_prefix_hole : ∀ n p q xs, is_tree_proper_prefix (gtth_hol n) (gtth_send p q xs)
| tree_proper_prefix_tree : ∀ p q xs ys,
  Forall2 (fun u v => (u=Some (s,g1) ∧ v=Some (s,g2)) ∧
    ∃ s g1 g2, u=Some (s,g1) ∧ v=Some (s,g2) ∧
    is_tree_proper_prefix g1 g2
  ) xs ys →
  is_tree_proper_prefix (gtth_send p q xs) (gtth_send p q ys).

```

671

give examples<sup>672</sup>

673 We also define a function `gtth_height` : `gtth` → `Nat` that computes the height [13] of a  
 674 global type tree context. Context holes i.e. leaves have height 0, and the height of an internal  
 675 node is the maximum of the height of their children plus one.

```

Fixpoint gtth_height (gh : gtth) : nat ≡
  match gh with
  | gtth_hol n => 0
  | gtth_send p q xs =>
    list_max (map (fun u=> match u with
      | None => 0
      | Some (s,x) => gtth_height x end) xs) + 1 end.

```

676

677 `gtth_height`, `gtth_eq` and `is_tree_proper_prefix` interact in the expected way.

678 ► **Lemma 5.13.** If `gtth_eq gx gx'` then `gtth_height gx = gtth_height gx'`.

679 ► **Lemma 5.14.** If `is_tree_proper_prefix gx gx'` then `gtth_height gx < gtth_height gx'`.

680 Our motivation for introducing these constructs on global type tree contexts is the following  
 681 *multigrafting* lemma:

682 ► **Lemma 5.15 (Multigrafting).** Let `projectionC g p (ltt_send q xsq)` or `projectionC g`  
 683 `p (ltt_recv q xsq)`, `projectionC g q Tq`, `g` is `p`-grafted by `ctx_p` and `gs_p`, and `g` is `q`-  
 684 grafted by `ctx_q` and `gs_q`. Then either `is_tree_proper_prefix ctx_q ctx_p` or `gtth_eq`  
 685 `ctx_p ctx_q`. Furthermore, if `gtth_eq ctx_p ctx_q` then `projectionC g q (ltt_send p xsq)`  
 686 or `projectionC g q (ltt_recv p xsq)` for some `xsq`.

687 **Proof.** By induction on the global type context `ctx_p`. ◀

688 example 689 We also have that global type reductions that don't involve participant `p` can't increase  
 690 the height of the `p`-grafting, established by the following lemma:

691 ► **Lemma 5.16.** Suppose `g : gtt` is `p`-grafted by `gx : gtth` and `gs : list (option gtt)`, `gttstepC`  
 692 `g g' s t ell` where `p ≠ s` and `p ≠ t`, and `g'` is `p`-grafted by `gx'` and `gs'`. Then  
 693 (i) If `ishParts s gx` or `ishParts t gx`, then `gtth_height gx' < gtth_height gx`  
 694 (ii) In general, `gtth_height gx' ≤ gtth_height gx`

695 **Proof.** We define a inductive predicate `gttstepH` : `gtth` → `part` → `part` → `part` →  
 696 `gtth` → `Prop` with the property that if `gttstepC g g' p q ell` for some `r ≠ p, q`, and  
 697 tree contexts `gx` and `gx'` `r`-graft `g` and `g'` respectively, then `gttstepH gx p q ell gx'`  
 698 (`gttstepH_consistent`). The results then follow by induction on the relation `gttstepH`  
 699 `gx s t ell gx'`. ◀

700 We can now prove the liveness of global types. The bulk of the work goes in to proving the  
 701 following lemma:

702 ► **Lemma 5.17.** Let `xs` be a fair global type reduction path starting with `g`.

703 (i) If `projectionC g p (ltt_send q xsq)` for some `xsq`, then a `lcomm p q ell` transition  
 704 takes place in `xs` for some message label `ell`.

705    (ii) If  $\text{projectionC } g \ p \ (\text{lcomm } q \ p \ \text{ell})$  for some  $\text{xs}$ , then a  $\text{lcomm } q \ p \ \text{ell}$  transition  
 706    takes place in  $\text{xs}$  for some message label  $\text{ell}$ .

707    **Proof.** We outline the proof for (i), the case for (ii) is symmetric.

708    Rephrasing slightly, we prove the following: forall  $n : \text{nat}$  and global type reduction path  
 709     $\text{xs}$ , if the head  $g$  of  $\text{xs}$  is  $p$ -grafted by  $\text{ctx\_p}$  and  $\text{gtth\_height ctx\_p} = n$ , the lemma holds.  
 710    We proceed by strong induction on  $n$ , that is, the tree context height of  $\text{ctx\_p}$ .

711    Let  $(\text{ctx\_q}, \text{gs\_q})$  be the  $q$ -grafting of  $g$ . By Lemma 5.15 we have that either  $\text{gtth\_eq}$   
 712     $\text{ctx\_q ctx\_p}$  (a) or  $\text{is\_tree\_proper\_prefix ctx\_q ctx\_p}$  (b). In case (a), we have that  
 713     $\text{projectionC } g \ q \ (\text{lcomm } p \ \text{xsq})$ , hence by (cite simul subproj or something here) and  
 714    fairness of  $\text{xs}$ , we have that a  $\text{lcomm } p \ q \ \text{ell}$  transition eventually occurs in  $\text{xs}$ , as required.

715    In case (b), by Lemma 5.14 we have  $\text{gtth\_height ctx\_q} < \text{gtth\_height ctx\_p}$ , so by the  
 716    induction hypothesis a transition involving  $q$  eventually happens in  $\text{xs}$ . Assume wlog that  
 717    this transition has label  $\text{lcomm } q \ r \ \text{ell}$ , or, in the pen-and-paper notation,  $(q, r)\ell$ . Now  
 718    consider the prefix of  $\text{xs}$  where the transition happens:  $g \xrightarrow{\lambda} g_1 \rightarrow \dots g' \xrightarrow{(q,r)\ell} g''$ . Let  
 719     $g'$  be  $p$ -grafted by the global tree context  $\text{ctx}'_p$ , and  $g''$  by  $\text{ctx}''_p$ . By Lemma 5.16,  
 720     $\text{gtth\_height ctx}'_p < \text{gtth\_height ctx}''_p \leq \text{gtth\_height ctx\_p}$ . Then, by the induction  
 721    hypothesis, the suffix of  $\text{xs}$  starting with  $g''$  must eventually have a transition  $\text{lcomm } p \ q \ \text{ell}'$   
 722    for some  $\text{ell}'$ , therefore  $\text{xs}$  eventually has the desired transition too. ◀

723    Lemma 5.17 proves that any fair global type reduction path is also a live path, from which  
 724    the liveness of global types immediately follows.

725    ▶ **Corollary 5.18.** All global types are live.

726    We can now leverage the simulation established by Theorem 4.10 to prove the liveness  
 727    (Definition 5.5) of local typing context reduction paths.

728    We start by lifting association (Definition 4.7) to reduction paths.

729    ▶ **Definition 5.19 (Path Association).** Path association is defined coinductively by the following  
 730    rules:

- 731    (i) The empty path is associated with the empty path.
- 732    (ii) If  $\Gamma \xrightarrow{\lambda_0} \rho$  is path-associated with  $G \xrightarrow{\lambda_1} \rho'$  where ( $\rho$  and  $\rho'$  are local and global reduction  
 733    paths, respectively), then  $\lambda_0 = \lambda_1$  and  $\rho$  is path-associated with  $\rho'$ .

```
Variant path_assoc (R:local_path → global_path → Prop): local_path → global_path → Prop ≡
| path_assoc_nil : path_assoc R conil conil
| path_assoc_xs : ∀ g gamma l xs ys, assoc gamma g → R xs ys →
path_assoc R (cocons (gamma, l) xs) (cocons (g, l) ys).
```

```
Definition path_assocC ≡ paco2 path_assoc bot2.
```

734

735    Informally, a local type context reduction path is path-associated with a global type reduction  
 736    path if their matching elements are associated and have the same transition labels.

737    We show that reduction paths starting with associated local types can be path-associated.

738

739    ▶ **Lemma 5.20.** If  $\text{assoc } \gamma g$ , then any local type context reduction path starting with  
 740     $\gamma$  is associated with a global type reduction path starting with  $g$ .

741    **Proof.** Let the local reduction path be  $\gamma \xrightarrow{\lambda} \gamma_1 \xrightarrow{\lambda_1} \dots$ . We construct a path-  
 742    associated global reduction path. By Theorem 4.10 there is a  $g_1 : \text{gtt}$  such that  $g \xrightarrow{\lambda} g_1$   
 743    and  $\text{assoc } \gamma_1 g_1$ , hence the path-associated global type reduction path starts with  $g$

maybe just  
give the defi-  
nition as a  
cofixpoint?

744  $\xrightarrow{\lambda} g_1$ . We can repeat this procedure to the remaining path starting with  $\text{gamma\_1} \xrightarrow{\lambda_1} \dots$   
 745 to get  $g_2 : \text{gtt}$  such that  $\text{assoc gamma\_2 } g_2$  and  $g_1 \xrightarrow{\lambda_1} g_2$ . Repeating this, we get  $g \xrightarrow{\lambda} g_1 \xrightarrow{\lambda_1} \dots$  as the desired path associated with  $\text{gamma} \xrightarrow{\lambda} \text{gamma\_1} \xrightarrow{\lambda_1} \dots$ . ◀

747 ▶ **Remark 5.21.** In the Rocq implementation the construction above is implemented as a  
 748 `CoFixpoint` returning a `coseq`. Theorem 4.10 is implemented as an `E` statement that lives in  
 749 `Prop`, hence we need to use the `constructive_indefinite_description` axiom to obtain the  
 750 witness to be used in the construction.

751 We also have the following correspondence between fairness and liveness properties for  
 752 associated global and local reduction paths.

753 ▶ **Lemma 5.22.** *For a local reduction path  $xs$  and global reduction path  $ys$ , if  $\text{path\_assoc}$   
 754  $xs \ ys$  then*

- 755 (i) *If  $xs$  is fair then so is  $ys$*
- 756 (ii) *If  $ys$  is live then so is  $xs$*

757 As a corollary of Lemma 5.22, Lemma 5.20 and Lemma 5.17 we have the following:

758 ▶ **Corollary 5.23.** *If  $\text{assoc gamma } g$ , then any fair local reduction path starting from  $\text{gamma}$  is  
 759 live.*

760 **Proof.** Let  $xs$  be the fair local reduction path starting with  $\text{gamma}$ . By Lemma 5.20 there is  
 761 a global path  $ys$  associated with it. By Lemma 5.22 (i)  $ys$  is fair, and by Lemma 5.17  $ys$  is  
 762 live, so by Lemma 5.22 (ii)  $xs$  is also live. ◀

763 Liveness of contexts follows directly from Corollary 5.23.

764 ▶ **Theorem 5.24 (Liveness by Association).** *If  $\text{assoc gamma } g$  then  $\text{gamma}$  is live.*

765 **Proof.** Suppose  $\text{gamma} \rightarrow^* \text{gamma}'$ , then by Theorem 4.10  $\text{assoc gamma}' \ g'$  for some  $g'$ , and  
 766 hence by Corollary 5.23 any fair path starting from  $\text{gamma}'$  is live, as needed. ◀

## 767 6 Properties of Sessions

768 We give typing rules for the session calculus introduced in 2, and prove subject reduction and  
 769 progress for them. Then we define a liveness property for sessions, and show that processes  
 770 typable by a local type context that's associated with a global type tree are guaranteed to  
 771 satisfy this liveness property.

### 772 6.1 Typing rules

773 We give typing rules for our session calculus based on [18] and [15].

774 We distinguish between two kinds of typing judgements and type contexts.

- 775 1. A local type context  $\Gamma$  associates participants with local type trees, as defined in `cdef-type-ctx`. Local type contexts are used to type sessions (Definition 2.2) i.e. a set of pairs of participants and single processes composed in parallel. We express such judgements as  $\Gamma \vdash_M M$ , or as `typ_sess M gamma` or  $\text{gamma} \vdash M$  in Rocq.
- 779 2. A process variable context  $\Theta_T$  associates process variables with local type trees, and an expression variable context  $\Theta_e$  assigns sorts to expression variables. Variable contexts are used to type single processes and expressions (Definition 2.1). Such judgements are expressed as  $\Theta_T, \Theta_e \vdash_P P : T$ , or in Rocq as `typ_proc theta_T theta_e P T` or `theta_T, theta_e \vdash P : T`.

$$\begin{array}{ccccccc}
 \Theta \vdash_P n : \text{nat} & \Theta \vdash_P i : \text{int} & \Theta \vdash_P \text{true} : \text{bool} & \Theta \vdash_P \text{false} : \text{bool} & \Theta, x : S \vdash_P x : S \\
 \frac{\Theta \vdash_P e : \text{nat}}{\Theta \vdash_P \text{succ } e : \text{nat}} & \frac{\Theta \vdash_P e : \text{int}}{\Theta \vdash_P \text{neg } e : \text{int}} & \frac{\Theta \vdash_P e : \text{bool}}{\Theta \vdash_P \neg e : \text{bool}} & & & & \\
 \frac{\Theta \vdash_P e_1 : S \quad \Theta \vdash_P e_2 : S}{\Theta \vdash_P e_1 \oplus e_2 : S} & \frac{\Theta \vdash_P e_1 : \text{int} \quad \Theta \vdash_P e_2 : \text{int}}{\Theta \vdash_P e_1 > e_2 : \text{bool}} & & & \frac{\Theta \vdash_P e : S \quad S \leq S'}{\Theta \vdash_P e : S'} & &
 \end{array}$$

Table 5 Typing expressions

$$\begin{array}{c}
 \begin{array}{ccccc}
 [\text{T-END}] & [\text{T-VAR}] & [\text{T-REC}] & [\text{T-IF}] & \\
 \Theta \vdash_P 0 : \text{end} & \Theta, X : T \vdash_P X : T & \frac{\Theta, X : T \vdash_P P : T}{\Theta \vdash_P \mu X.P : T} & \frac{\Theta \vdash_P e : \text{bool} \quad \Theta \vdash_P P_1 : T \quad \Theta \vdash_P P_2 : T}{\Theta \vdash_P \text{if } e \text{ then } P_1 \text{ else } P_2 : T} & \\
 \end{array} \\
 \begin{array}{ccc}
 [\text{T-SUB}] & [\text{T-IN}] & [\text{T-OUT}] \\
 \Theta \vdash_P P : T \quad T \leqslant T' & \frac{\forall i \in I, \quad \Theta, x_i : S_i \vdash_P P_i : T_i}{\Theta \vdash_P \sum_{i \in I} p? \ell_i(x_i).P_i : p\&\{\ell_i(S_i).T_i\}_{i \in I}} & \frac{\Theta \vdash_P e : S \quad \Theta \vdash_P P : T}{\Theta \vdash_P p! \ell(e).P : p \oplus \{\ell(S).T\}}
 \end{array}
 \end{array}$$

Table 6 Typing processes

784 Table 5 and Table 6 state the standard typing rules for expressions and processes which  
 785 we don't elaborate on. We have a single rule for typing sessions:

$$\frac{[\text{T-SESS}]}{\forall i \in I : \quad \vdash_P P_i : \Gamma(p_i) \quad \Gamma \sqsubseteq G} \quad \frac{}{\Gamma \vdash_M \prod_i p_i \triangleleft P_i}$$

787 [T-SESS] says that a session made of the parallel composition of processes  $\prod_i p_i \triangleleft P_i$  can  
 788 be typed by an associated local context  $\Gamma$  if the local type of participant  $p_i$  in  $\Gamma$  types the  
 789 process

## 790 6.2 Subject Reduction, Progress and Session Fidelity

791 The subject reduction, progress and non-stuck theorems from [15] also hold in this setting,  
 792 with minor changes in their statements and proofs. We won't discuss these proofs in detail.

give theorem  
no

793 ▶ **Lemma 6.1.** If  $\gamma \vdash_M M$  and  $M \Rightarrow M'$  then  $\text{typ\_sess } M' \ \gamma$ .

794 **Proof.** By induction on  $\text{unfoldP } M M'$ . ◀

795 ▶ **Theorem 6.2** (Subject Reduction). If  $\gamma \vdash_M M$  and  $M \xrightarrow{(p,q)\ell} M'$ , then there exists a  
 796 typing context  $\gamma'$  such that  $\gamma \xrightarrow{(p,q)\ell} \gamma'$  and  $\gamma' \vdash_M M'$ .

797 ▶ **Theorem 6.3** (Progress). If  $\gamma \vdash_M M$ , one of the following hold :

- 798 1. Either  $M \Rightarrow M_{\text{inact}}$  where every process making up  $M_{\text{inact}}$  is inactive, i.e.  $M_{\text{inact}} \equiv \prod_{i=1}^n p_i \triangleleft 0$  for some  $n$ .
- 799 2. Or there is a  $M'$  such that  $M \rightarrow M'$ .

801 ▶ **Remark 6.4.** Note that in Theorem 6.2 one transition between sessions corresponds to  
 802 exactly one transition between local type contexts with the same label. That is, every session  
 803 transition is observed by the corresponding type. This is the main reason for our choice of

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804 reactive semantics (Section 2.3) as  $\tau$  transitions are not observed by the type in ordinary  
 805 semantics. In other words, with  $\tau$ -semantics the typing relation is a *weak simulation* [30],  
 806 while it turns into a strong simulation with reactive semantics. For our Rocq implementation  
 807 working with the strong simulation turns out to be more convenient.

808 We can also prove the following correspondence result in the reverse direction to Theorem 6.2,  
 809 analogous to Theorem 4.9.

810 ▶ **Theorem 6.5** (Session Fidelity). *If  $\gamma \vdash_M M$  and  $\gamma \xrightarrow{(p,q)\ell} \gamma'$ , there exists a  
 811 message label  $\ell'$ , a context  $\gamma''$ , and a session  $M'$  such that  $M \xrightarrow{(p,q)\ell'} M'$ ,  $\gamma \xrightarrow{(p,q)\ell'} \gamma''$   
 812 and  $\text{typ\_sess } M' \gamma \gamma''$ .*

813 **Proof.** By inverting the local type context transition and the typing. ◀

814 ▶ **Remark 6.6.** Again we note that by Theorem 6.5 a single-step context reduction induces a  
 815 single-step session reduction on the type. With the  $\tau$ -semantics the session reduction induced  
 816 by the context reduction would be multistep.

817 Now the following type safety property follows from the above theorems:

818 ▶ **Theorem 6.7** (Type Safety). *If  $\gamma \vdash_M M$  and  $M \rightarrow^* M' \Rightarrow p \leftarrow p_{\text{send}} q \text{ ell } P \parallel q$   
 819  $\leftarrow p_{\text{recv}} p \text{ xs } \parallel M'$ , then  $\text{onth ell xs} \neq \text{None}$ .*

### 820 6.3 Session Liveness

821 We state the liveness property we are interested in proving, and show that typable sessions  
 822 have this property.

823 ▶ **Definition 6.8** (Session Liveness). *Session  $M$  is live iff*

- 824 1.  $M \rightarrow^* M' \Rightarrow q \triangleleft p! \ell_i(x_i).Q \mid N$  implies  $M' \rightarrow^* M'' \Rightarrow q \triangleleft Q \mid N'$  for some  $M'', N'$
- 825 2.  $M \rightarrow^* M' \Rightarrow q \triangleleft \bigwedge_{i \in I} p? \ell_i(x_i).Q_i \mid N$  implies  $M' \rightarrow^* M'' \Rightarrow q \triangleleft Q_i[v/x_i] \mid N'$  for some  
 $M'', N', i, v$ .

827 In Rocq we express this with the following:

```
828 Definition live_sess Mp ≡ ∀ M, betaRtc Mp M →
  (forall p q ell e P? M', p ≠ q → unfoldP M ((p ← p_send q ell e P)) \ \ \ \ \ M') → ∃ M'',
  betaRtc M ((p ← P)) \ \ \ \ \ M'')
  ^
  (forall p q llp M', p ≠ q → unfoldP M ((p ← p_recv q llp)) \ \ \ \ \ M') →
  ∃ M'', P' e k, onth k llp = Some P' ∧ betaRtc M ((p ← subst_expr_proc P' e 0) \ \ \ \ \ M'')).
```

829 Session liveness, analogous to liveness for typing contexts (Definition 5.5), says that when  
 830  $M$  is live, if  $M$  reduces to a session  $M'$  containing a participant that's attempting to send  
 831 or receive, then  $M'$  reduces to a session where that communication has happened. It's also  
 832 called *lock-freedom* in related work ([44, 31]).

833 We now prove that typed sessions are live. Our proof follows the following steps:

- 834 1. Formulate a "fairness" property for typable sessions, with the property that any finite  
 835 session reduction path can be extended to a fair session reduction path.
  - 836 2. Lift the typing relation to reduction paths, and show that fair session reduction paths  
 837 are typed by fair local type context reduction paths.
  - 838 3. Prove that a certain transition eventually happens in the local context reduction path,  
 839 and that this means the desired transition is enabled in the session reduction path.
- 840 We first state a "fairness" (the reason for the quotes is explained in Remark 6.10) property  
 841 for session reduction paths, analogous to fairness for local type context reduction paths  
 842 (Definition 5.5).

843 ► **Definition 6.9** ("Fairness" of Sessions). We say that a  $(p, q)\ell$  transition is enabled at  $\mathcal{M}$  if  
 844  $\mathcal{M} \xrightarrow{(p,q)\ell} \mathcal{M}'$  for some  $\mathcal{M}'$ . A session reduction path is fair if the following LTL property  
 845 holds:

846  $\square(\text{enabledComm}_{p,q,\ell} \implies \Diamond(\text{headComm}_{p,q}))$

847 ► **Remark 6.10.** Definition 6.9 is not actually a sensible fairness property for our reactive  
 848 semantics, mainly because it doesn't satisfy the *feasibility* [45] property stating that any  
 849 finite execution can be extended to a fair execution. Consider the following session:

850  $\mathcal{M} = p \triangleleft \text{if}(\text{true} \oplus \text{false}) \text{ then } q! \ell_1(\text{true}) \text{ else } r! \ell_2(\text{true}).\mathbf{0} \mid q \triangleleft p? \ell_1(\mathbf{x}).\mathbf{0} \mid r \triangleleft p? \ell_2(\mathbf{x}).\mathbf{0}$

851 We have that  $\mathcal{M} \xrightarrow{(p,q)\ell_1} \mathcal{M}'$  where  $\mathcal{M}' = p \triangleleft \mathbf{0} \mid q \triangleleft \mathbf{0} \mid r \triangleleft p? \ell_2(\mathbf{x}).\mathbf{0}$ , and also  $\mathcal{M} \xrightarrow{(p,r)\ell_2} \mathcal{M}''$   
 852 for another  $\mathcal{M}''$ . Now consider the reduction path  $\rho = \mathcal{M} \xrightarrow{(p,q)\ell_1} \mathcal{M}'$ .  $(p, r)\ell_2$  is enabled at  
 853  $\mathcal{M}$  so in a fair path it should eventually be executed, however no extension of  $\rho$  can contain  
 854 such a transition as  $\mathcal{M}'$  has no remaining transitions. Nevertheless, it turns out that there  
 855 is a fair reduction path starting from every typable session (Lemma 6.14), and this will be  
 856 enough to prove our desired liveness property.

857 We can now lift the typing relation to reduction paths, just like we did in Definition 5.19.

858 ► **Definition 6.11** (Path Typing). Path typing is a relation between session reduction paths  
 859 and local type context reduction paths, defined coinductively by the following rules:

- 860 (i) The empty session reductoin path is typed with the empty context reduction path.
- 861 (ii) If  $\mathcal{M} \xrightarrow{\lambda_0} \rho$  is typed by  $\Gamma \xrightarrow{\lambda_1} \rho'$  where ( $\rho$  and  $\rho'$  are session and local type context  
 862 reduction paths, respectively), then  $\lambda_0 = \lambda_1$  and  $\rho$  is typed by  $\rho'$ .

863 Similar to Lemma 5.20, we can show that if the head of the path is typable then so is the  
 864 whole path.

865 ► **Lemma 6.12.** If  $\text{typ\_sess } M \text{ gamma}$ , then any session reduction path  $xs$  starting with  $M$  is  
 866 typed by a local context reduction path  $ys$  starting with  $\text{gamma}$ .

867 **Proof.** We can construct a local context reduction path that types the session path. The  
 868 construction exactly like Lemma 5.20 but elements of the output stream are generated by  
 869 Theorem 6.2 instead of Theorem 4.10. ◀

870 We also have that typing path preserves fairness.

871 ► **Lemma 6.13.** If session path  $xs$  is typed by the local context path  $ys$ , and  $xs$  is fair, then  
 872 so is  $ys$ .

873 The final lemma we need in order to prove liveness is that there exists a fair reduction path  
 874 from every typable session.

875 ► **Lemma 6.14** (Fair Path Existence). If  $\text{typ\_sess } M \text{ gamma}$ , then there is a fair session  
 876 reduction path  $xs$  starting from  $M$ .

877 **Proof.** We can construct a fair path starting from  $M$  by repeatedly cycling through all  
 878 participants, checking if there is a transition involving that participant, and executing that  
 879 transition if there is. ◀

880 ► Remark 6.15. The Rocq implementation of Lemma 6.14 computes a `CoFixpoint`  
 881 corresponding to the fair path constructed above. As in Lemma 5.20, we use  
 882 `constructive_indefinite_description` to turn existence statements in `Prop` to dependent  
 883 pairs. We also assume the informative law of excluded middle (`excluded_middle_informative`)  
 884 in order to carry out the "check if there is a transition" step in the algorithm above. When  
 885 proving that the constructed path is fair, we sometimes rely on the LTL constructs we  
 886 outlined in Section 5.2 reminiscent of the techniques employed in [4].

887 We can now prove that typed sessions are live.

888 ► **Theorem 6.16** (Liveness by Typing). *For a session  $M_p$ , if  $\exists \gamma \in \Gamma \vdash_M M_p$  then  
 889  $\text{live\_sess } M_p$ .*

890 **Proof.** We detail the proof for the send case of Definition 6.8, the case for the receive is  
 891 similar. Suppose that  $M_p \rightarrow^* M$  and  $M \Rightarrow ((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel M')$ . Our goal is  
 892 to show that there exists a  $M''$  such that  $M \rightarrow^* ((p \leftarrow P') \parallel M'')$ . First, observe that  
 893 by [R-UNFOLD] it suffices to show that  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel M') \rightarrow^* M''$  for  
 894 some  $M''$ . Also note that  $\gamma \vdash_M M$  for some  $\gamma$  by Theorem 6.2, therefore  $\gamma \vdash_M ((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel M')$  by Lemma 6.1.

895 Now let  $xs$  be a fair reduction path starting from  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel M')$ ,  
 896 which exists by Lemma 6.14. Let  $ys$  be the local context reduction path starting with  $\gamma$   
 897 that types  $xs$ , which exists by Lemma 6.12. Now  $ys$  is fair by Lemma 6.13. Therefore by  
 898 Theorem 5.24  $ys$  is live, so a  $\text{lcomm } p \text{ q ell}'$  transition eventually occurs in  $ys$  for some  
 899  $\text{ell}'$ . Therefore  $ys = \gamma \rightarrow^* \gamma_0 \xrightarrow{(p,q)\ell'} \gamma_1 \rightarrow \dots$  for some  $\gamma_0, \gamma_1$ . Now  
 900 consider the session  $M_0$  typed by  $\gamma_0$  in  $xs$ . We have  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel$   
 901  $M'') \rightarrow^* M_0$  by  $M_0$  being on  $xs$ . We also have that  $M_0 \xrightarrow{(p,q)\ell''} M_1$  for some  $\ell''$ ,  $M_1$  by  
 902 Theorem 6.5. Now observe that  $M_0 \equiv ((p \leftarrow p_{\text{send}} q \text{ ell } e P') \parallel M'')$  for some  $M''$  as  
 903 no transitions involving  $p$  have happened on the reduction path to  $M_0$ . Therefore  $\ell = \ell''$ , so  
 904  $M_1 \equiv ((p \leftarrow P') \parallel M'')$  for some  $M''$ , as needed. ◀

## 906 7 Conclusion and Related Work

907 **Liveness Properties.** Examinations of liveness, also called *lock-freedom*, guarantees of  
 908 multiparty session types abound in literature, e.g. [32, 24, 47, 37, 3]. Most of these papers use  
 909 the definition liveness proposed by Padovani [31], which doesn't make the fairness assumptions  
 910 that characterize the property [17] explicit. Contrastingly, van Glabbeek et. al. [44] examine  
 911 several notions of fairness and the liveness properties induced by them, and devise a type  
 912 system with flexible choices [7] that captures the strongest of these properties, the one  
 913 induced by the *justness* [45] assumption. In their terminology, Definition 6.8 corresponds  
 914 to liveness under strong fairness of transitions (ST), which is the weakest of the properties  
 915 considered in that paper. They also show that their type system is complete i.e. every live  
 916 process can be typed. We haven't presented any completeness results in this paper. Indeed,  
 917 our type system is not complete for Definition 6.8, even if we restrict our attention to safe  
 918 and race-free sessions. For example, the session described in [44, Example 9] is live but not  
 919 typable by a context associated with a balanced global type in our system.

920 Fairness assumptions are also made explicit in recent work by Ciccone et. al [11, 12]  
 921 which use generalized inference systems with coaxioms [1] to characterize *fair termination*,  
 922 which is stronger than Definition 6.8, but enjoys good composition properties.

923 **Mechanisation.** Mechanisation of session types in proof assistants is a relatively new  
 924 effort. Our formalisation is built on recent work by Ekici et. al. [15] which uses a coinductive

925 representation of global and local types to prove subject reduction and progress. Their work  
926 uses a typing relation between global types and sessions while ours uses one between associated  
927 local type contexts and sessions. This necessitates the rewriting of subject reduction and  
928 progress proofs in addition to the operational correspondence, safety and liveness properties  
929 we have proved. Other recent results mechanised in Rocq include Ekici and Yoshida's [16]  
930 work on the completeness of asynchronous subtyping, and Tirore's work [40, 42, 41] on  
931 projections and subject reduction for  $\pi$ -calculus.

932 Castro-Perez et. al. [9] devise a multiparty session type system that dispenses with  
933 projections and local types by defining the typing relation directly on the LTS specifying the  
934 global protocol, and formalise the results in Agda. Ciccone's PhD thesis [10] presents an  
935 Agda formalisation of fair termination for binary session types. Binary session types were also  
936 implemented in Agda by Thiemann [39] and in Idris by Brady[6]. Several implementations  
937 of binary session types are also present for Haskell [25, 29, 36].

938 Implementations of session types that are more geared towards practical verification  
939 include the Actris framework [19, 22] which enriches the seperation logic of Iris [23] with  
940 binary session types to certify deadlock-freedom. In general, verification of liveness properties,  
941 with or without session types, in concurrent seperation logic is an active research area that  
942 has produced tools such as TaDa [14], FOS [26] and LiLo [27] in the past few years. Further  
943 verification tools employing multiparty session types are Jacobs's Multiparty GV [22] based  
944 on the functional language of Wadler's GV [46], and Castro-Perez et. al's Zooid [8], which  
945 supports the extraction of certifiably safe and live protocols.

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