

# <sup>1</sup> Formally Verified Liveness with Synchronous <sup>2</sup> Multiparty Session Types in Rocq

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## <sup>7</sup> —— Abstract ——

<sup>8</sup> Multiparty session types (MPST) offer a framework for the description of communication-based  
<sup>9</sup> protocols involving multiple participants. In the *top-down* approach to MPST, the communication  
<sup>10</sup> pattern of the session is described using a *global type*. Then the global type is *projected* on to a *local*  
<sup>11</sup> *type* for each participant, and the individual processes making up the session are type-checked against  
<sup>12</sup> these projections. Typed sessions possess certain desirable properties such as *safety*, *deadlock-freedom*  
<sup>13</sup> and *liveness* (also called *lock-freedom*).

<sup>14</sup> In this work, we present the first mechanised proof of liveness for synchronous multiparty session  
<sup>15</sup> types in the Rocq Proof Assistant. Building on recent work, we represent global and local types as  
<sup>16</sup> coinductive trees using the paco library. We use a coinductively defined *subtyping* relation on local  
<sup>17</sup> types together with another coinductively defined *plain-merge* projection relation relating local and  
<sup>18</sup> global types . We then *associate* collections of local types, or *local type contexts*, with global types  
<sup>19</sup> using this projection and subtyping relations, and prove an *operational correspondence* between a  
<sup>20</sup> local type context and its associated global type. We then utilize this association relation to prove  
<sup>21</sup> the safety and liveness of associated local type contexts and, consequently, the multiparty sessions  
<sup>22</sup> typed by these contexts.

<sup>23</sup> Besides clarifying the often informal proofs of liveness found in the MPST literature, our Rocq  
<sup>24</sup> mechanisation also enables the certification of lock-freedom properties of communication protocols.  
<sup>25</sup> Our contribution amounts to around 12K lines of Rocq code.

<sup>26</sup> **2012 ACM Subject Classification** Replace ccsdesc macro with valid one

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## <sup>30</sup> 1 Introduction

<sup>31</sup> Multiparty session types [19] provide a type discipline for the correct-by-construction spe-  
<sup>32</sup> cification of message-passing protocols. Desirable protocol properties guaranteed by session  
<sup>33</sup> types include *communication safety* (the labels and types of senders' payloads cohere with  
<sup>34</sup> the capabilities of the receivers), *deadlock-freedom* (also called *progress* or *non-stuck property*  
<sup>35</sup> [13]) (it is possible for the session to progress so long as it has at least one active participant),  
<sup>36</sup> and *liveness* (also called *lock-freedom* [41] or *starvation-freedom* [8]) (if a process is waiting  
<sup>37</sup> to send and receive then a communication involving it eventually happens).

<sup>38</sup> There exists two common methodologies for multiparty session types. In the *bottom-up*  
<sup>39</sup> approach, the individual processes making up the session are typed using a collection of  
<sup>40</sup> *participants* and *local types*, that is, a *local type context*, and the properties of the session is  
<sup>41</sup> examined by model-checking this local type context. Contrastingly, in the *top-down* approach  
<sup>42</sup> sessions are typed by a *global type* that is related to the processes using endpoint *projections*  
<sup>43</sup> and *subtyping*. The structure of the global type ensures that the desired properties are  
<sup>44</sup> satisfied by the session. These two approaches have their advantages and disadvantages:



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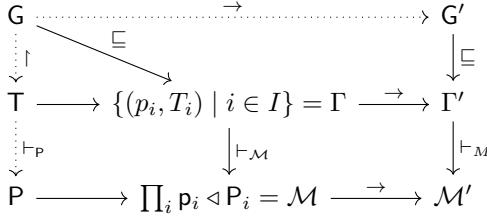
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**Figure 1** Design overview. The dotted lines correspond to relations inherited from [13] while the solid lines denote relations that are new, or substantially rewritten, in this paper.

45 the bottom-up approach is generally able to type more sessions, while type-checking and  
 46 type-inferring in the top-down approach tend to be more efficient than model-checking the  
 47 bottom-up system [40].

48 In this work, we present the Rocq [4] formalisation of a synchronous MPST that that  
 49 ensures the aforementioned properties for typed sessions. Our type system uses an *association*  
 50 relation ( $\sqsubseteq$ ) [44, 32] defined using (coinductive plain) projection [38] and subtyping, in order  
 51 to relate local type contexts and global types. This association relation ensures *operational*  
 52 *correspondence* between the labelled transition system (LTS) semantics we define for local  
 53 type contexts and global types. We then type ( $\vdash_M$ ) sessions using local type contexts that are  
 54 associated with global types, which ensure that the local type context, and hence the session,  
 55 is well-behaved in some sense. Whenever an associated local type context  $\Gamma$  types a session  
 56  $M$ , our type system guarantees safety (Theorem 6.5), deadlock-freedom (Theorem 6.6) and  
 57 liveness (Theorem 6.9). To our knowledge, this work presents the first mechanisation of  
 58 liveness for multiparty session types in a proof assistant.

59 Our Rocq implementation builds upon the recent formalisation of subject reduction for  
 60 MPST by Ekici et. al. [13], which itself is based on [17]. The methodology in [13] takes an  
 61 equirecursive approach where an inductive syntactic global or local type is identified with  
 62 the coinductive tree obtained by fully unfolding the recursion. It then defines a coinductive  
 63 projection relation between global and local type trees, the LTS semantics for global type  
 64 trees, and typing rules for the session calculus outlined in [17]. We extensively use these  
 65 definitions and the lemmas concerning them, but we still depart from and extend [13] in  
 66 numerous ways by introducing local typing contexts, their correspondence with global types  
 67 and a new typing relation. Our addition to the code amounts to around 12K lines of Rocq  
 68 code.

69 As with [13], our implementation heavily uses the parameterized coinduction technique  
 70 of the paco [20] library. Namely, our liveness property is defined using possibly infinite  
 71 *execution traces* which we represent as coinductive streams. The relevant predicates on these  
 72 traces, such as fairness, are then defined as mixed inductive-coinductive predicates using  
 73 linear temporal logic (LTL)[33]. This approach, together with the proof techniques provided  
 74 by paco, results in compositional and clear proofs.

75 **Outline.** In Section 2 we define our session calculus and its LTS semantics. In Section 3  
 76 we recapitulate the definitions of local and global type trees, and the subtyping and projection  
 77 relations on them, from [13]. In Section 4 we give LTS semantics to local type contexts and  
 78 global types, and detail the association relation between them. In Section 5 we define safety  
 79 and liveness for local type contexts, and prove that they hold for contexts associated with a  
 80 global type tree. In Section 6 we give the typing rules for our session calculus, and prove the  
 81 desired properties of these typable sessions.

82    **2 The Session Calculus**

83    We introduce the simple synchronous session calculus that our type system will be used  
 84    on.

85    **2.1 Processes and Sessions**

86    ▶ **Definition 2.1** (Expressions and Processes). *We define processes as follows:*

87     $P ::= p!\ell(e).P \mid \sum_{i \in I} p?\ell_i(x_i).P_i \mid \text{if } e \text{ then } P \text{ else } P \mid \mu X.P \mid X \mid 0$

88    where  $e$  is an expression that can be a variable, a value such as `true`, `0` or `-3`, or a term  
 89    built from expressions by applying the operators `succ`, `neg`, `~`, non-deterministic choice  $\oplus$   
 90    and  $>$ .

91     $p!\ell(e).P$  is a process that sends the value of expression  $e$  with label  $\ell$  to participant  $p$ , and  
 92    continues with process  $P$ .  $\sum_{i \in I} p?\ell_i(x_i).P_i$  is a process that may receive a value from  $p$  with  
 93    any label  $\ell_i$  where  $i \in I$ , binding the result to  $x_i$  and continuing with  $P_i$ , depending on  
 94    which  $\ell_i$  the value was received from.  $X$  is a recursion variable,  $\mu X.P$  is a recursive process,  
 95    if  $e$  then  $P$  else  $P$  is a conditional and  $0$  is a terminated process.

96    Processes can be composed in parallel into sessions.

97    ▶ **Definition 2.2** (Multiparty Sessions). *Multiparty sessions are defined as follows.*

98     $\mathcal{M} ::= p \triangleleft P \mid (\mathcal{M} \mid \mathcal{M}) \mid \mathcal{O}$

99     $p \triangleleft P$  denotes that participant  $p$  is running the process  $P$ ,  $\mid$  indicates parallel composition.

100    We write  $\prod_{i \in I} p_i \triangleleft P_i$  to denote the session formed by  $p_i$  running  $P_i$  in parallel for all  $i \in I$ .

101     $\mathcal{O}$  is an empty session with no participants, that is, the unit of parallel composition. In  
 102    Rocq processes and sessions are defined with the inductive types `process` and `session`.

```
Inductive process : Type ≡
| p_send : part → label → expr → process → process
| p_recv : part → list(option process) → process
| p_ite : expr → process → process → process
| p_rec : process → process
| p_var : nat → process
| p_inact : process.
```

```
Inductive session: Type ≡
| s_ind : part → process → session
| s_par : session → session → session
| s_zero : session.
Notation "p '←--> P" ≡ (s_ind p P) (at level 50, no associativity).
Notation "s1 '|||' s2" ≡ (s_par s1 s2) (at level 50, no associativity).
```

104    **2.2 Structural Congruence and Operational Semantics**

105    We define a structural congruence relation  $\equiv$  on sessions which expresses the commutativity,  
 106    associativity and unit of the parallel composition operator.

$$\begin{array}{c} [\text{SC-SYM}] \quad p \triangleleft P \mid q \triangleleft Q \equiv q \triangleleft Q \mid p \triangleleft P \quad [\text{SC-ASSOC}] \quad (p \triangleleft P \mid q \triangleleft Q) \mid r \triangleleft R \equiv p \triangleleft P \mid (q \triangleleft Q \mid r \triangleleft R) \quad [\text{SC-O}] \\ p \triangleleft P \mid \mathcal{O} \equiv p \triangleleft P \end{array}$$

■ **Table 1** Structural Congruence over Sessions

107    We omit the semantics for expressions, they are standard and can be found in e.g. [17].  
 108    We now give the operational semantics for sessions by the means of a labelled transition  
 109    system. We use labelled reactive semantics [41, 6] which doesn't contain explicit silent  $\tau$

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110 actions for internal reductions (that is, evaluation of if expressions and unfolding of recursion)  
 111 while still considering  $\beta$  reductions up to those internal reductions by using an unfolding  
 112 relation. This stands in contrast to the more standard semantics used in [13, 17, 41]. For  
 113 the advantages of our approach see Remark 6.4.

114 In reactive semantics silent transitions are captured by an *unfolding* relation ( $\Rightarrow$ ), and  $\beta$   
 reductions are defined up to this unfolding (Table 2).

$\frac{\begin{array}{c} [\text{R-COMM}] \\ j \in I \quad e \downarrow v \\ \hline p \triangleleft \sum_{i \in I} q? \ell_i(x_i). P_i \mid q \triangleleft p! \ell_j(e). Q \mid N \xrightarrow{(p,q)\ell_j} p \triangleleft P_j[v/x_j] \mid q \triangleleft Q \mid N \end{array}}{p \triangleleft \sum_{i \in I} q? \ell_i(x_i). P_i \mid q \triangleleft p! \ell_j(e). Q \mid N' \xrightarrow{(p,q)\ell_j} p \triangleleft P_j[v/x_j] \mid q \triangleleft Q \mid N'}$	$\frac{[\text{UNF-TRANS}]}{M \Rightarrow M' \quad M' \Rightarrow N \quad M \Rightarrow N}$
$\frac{\begin{array}{c} [\text{R-UNFOLD}] \\ M \Rightarrow M' \quad M' \xrightarrow{\lambda} N' \quad N' \Rightarrow N \\ \hline M \xrightarrow{\lambda} N \end{array}}{p \triangleleft \mu X. P \mid N \Rightarrow p \triangleleft P[\mu X. P/X] \mid N}$	$\frac{\begin{array}{c} [\text{UNF-STRUCT}] \\ M \equiv N \\ \hline M \Rightarrow N \end{array}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid N \Rightarrow p \triangleleft P \mid N}$
$\frac{\begin{array}{c} [\text{UNF-CONDT}] \\ e \downarrow \text{true} \\ \hline p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid N \end{array}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid N \Rightarrow p \triangleleft Q \mid N}$	$\frac{\begin{array}{c} [\text{UNF-CONDF}] \\ e \downarrow \text{false} \\ \hline p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid N \end{array}}{p \triangleleft \text{if } e \text{ then } P \text{ else } Q \mid N \Rightarrow p \triangleleft Q \mid N}$

■ Table 2 Unfolding and Reductions of Sessions

115  
 116 In Table 2,  $M \Rightarrow N$  means that  $M$  can transition to  $N$  through some internal actions,  
 117 that is, a reduction that doesn't involve a communication. We say that  $M$  *unfolds* to  $N$ .  
 118 Then [R-COMM] captures communications between processes, and [R-UNFOLD] lets us  
 119 consider reductions up to unfoldings.

120 In Rocq the unfolding captured by the predicate `unfoldP : session → session → Prop`  
 121 and, `betaP_1b1 M lambda M'` denotes  $M \xrightarrow{\lambda} M'$ . We write  $M \rightarrow M'$  if  $M \xrightarrow{\lambda} M'$  for  
 122 some  $\lambda$ , which is written `betaP M M'` in Rocq. We write  $\rightarrow^*$  to denote the reflexive transitive  
 123 closure of  $\rightarrow$ , which is called `betaRtc` in Rocq.

## 124 3 The Type System

125 We briefly recap the core definitions of local and global type trees, subtyping and projection  
 126 from [17]. We take an equirecursive approach and work directly on the possibly infinite local  
 127 and global type trees obtained by unfolding the recursion in guarded syntactic types, details  
 128 of this approach can be found in [13] and hence are omitted here.

### 129 3.1 Local Type Trees

130 We start by defining the sorts that will be used to type expressions, and local types that will  
 131 be used to type single processes.

132 ► **Definition 3.1** (Sorts and Local Type Trees). *We define three atomic sorts: `int`, `bool` and  
 133 `nat`. Local type trees are then defined coinductively with the following syntax:*

$\begin{aligned} T ::= & \quad \text{end} \\ & \mid p\&\{\ell_i(S_i).T_i\}_{i \in I} \\ & \mid p\oplus\{\ell_i(S_i).T_i\}_{i \in I} \end{aligned}$	<pre style="font-family: monospace; margin: 0;">Inductive sort: Type ≡   sbool: sort   sint: sort   snat: sort. CoInductive ltt: Type ≡   ltt_end: ltt   ltt_recv: part → list (option(sort*ltt)) → ltt   ltt_send: part → list (option(sort*ltt)) → ltt.</pre>
--	---

135 In the above definition, `end` represents a role that has finished communicating.  
 136  $p \oplus \{\ell_i(S_i).T\}_{i \in I}$  denotes a role that may, from any  $i \in I$ , receive a value of sort  $S_i$  with  
 137 message label  $\ell_i$  and continue with  $T_i$ . Similarly,  $p \& \{\ell_i(S_i).T_i\}_{i \in I}$  represents a role that may  
 138 choose to send a value of sort  $S_i$  with message label  $\ell_i$  and continue with  $T_i$  for any  $i \in I$ .

139 In Rocq we represent the continuations using a `list` of `option` types. In a continuation  
 140 `gcs : list (option(sort*ltt))`, index  $k$  (using zero-indexing) being equal to `Some (s_k,`  
 141  $T_k)$  means that  $\ell_k(S_k).T_k$  is available in the continuation. Similarly index  $k$  being equal to  
 142 `None` or being out of bounds of the list means that the message label  $\ell_k$  is not present in the  
 143 continuation. The function `onth` formalises this convention in Rocq.

144 ► **Remark 3.2.** Note that Rocq allows us to create types such as `ltt_send q []` which don't  
 145 correspond to well-formed local types as the continuation is empty. In our implementation  
 146 we define a predicate `wfltt : ltt → Prop` capturing that all the continuations in the local  
 147 type tree are non-empty. Henceforth we assume that all local types we mention satisfy this  
 148 property.

## 149 3.2 Subtyping

150 We define the subsorting relation on sorts and the process-oriented [16] subtyping relation  
 151 on local type trees.

152 ► **Definition 3.3** (Subsorting and Subtyping). *Subsorting  $\leq$  is the least reflexive binary  
 153 relation that satisfies `nat ≤ int`. Subtyping  $\leqslant$  is the largest relation between local type trees  
 154 coinductively defined by the following rules:*

$$\begin{array}{c} \text{155} \quad \frac{}{\text{end} \leqslant \text{end}} \quad \frac{\forall i \in I : \quad S'_i \leq S_i \quad T_i \leqslant T'_i}{p \& \{\ell_i(S_i).T_i\}_{i \in I \cup J} \leqslant p \& \{\ell_i(S'_i).T'_i\}_{i \in I}} = \text{[SUB-IN]} \\ \frac{\forall i \in I : \quad S_i \leq S'_i \quad T_i \leqslant T'_i}{p \oplus \{\ell_i(S_i).T_i\}_{i \in I} \leqslant p \oplus \{\ell_i(S'_i).T'_i\}_{i \in I \cup J}} = \text{[SUB-OUT]} \end{array}$$

156 Intuitively,  $T_1 \leq T_2$  means that a role of type  $T_1$  can be supplied anywhere a role of type  $T_2$   
 157 is needed. [SUB-IN] captures the fact that we can supply a role that is able to receive more  
 158 labels than specified, and [SUB-OUT] captures that we can supply a role that has fewer labels  
 159 available to send. Note the contravariance of the sorts in [SUB-IN], if the supertype demands  
 160 the ability to receive an `nat` then the subtype can receive `nat` or `int`.

161 In Rocq, the subtyping relation `subtypeC : ltt → ltt → Prop` is expressed as a greatest  
 162 fixpoint using the `Paco` library [20], for details of we refer to [17].

## 163 3.3 Global Type Trees

164 We now define global types which give a bird's eye view of the whole protocol. As before, we  
 165 work directly on infinite trees and omit the details which can be found in [13].

166 ► **Definition 3.4** (Global type trees). *We define global type trees coinductively as follows:*

167

$G ::= \text{end} \mid p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$

```
CoInductive gtt: Type ≡
| gtt_end : gtt
| gtt_send : part → part → list (option (sort*gtt)) → gtt.
```

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168 `end` denotes a protocol that has ended,  $p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  denotes a protocol where for  
 169 any  $i \in I$ , participant  $p$  may send a value of sort  $S_i$  to another participant  $q$  via message label  
 170  $\ell_i$ , after which the protocol continues as  $G_i$ . We further define a function  $\text{pt}(G)$  that denotes  
 171 the participants of the global type  $G$  as the least solution <sup>1</sup> to the following equations:

$$172 \quad \text{pt}(\text{end}) = \emptyset \quad \text{pt}(p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}) = \{p, q\} \cup \bigcup_{i \in I} \text{pt}(G_i)$$

173 We extend the function  $\text{pt}$  onto trees by defining  $\text{pt}(G) = \text{pt}(\mathbb{G})$  where the global type  
 174  $\mathbb{G}$  corresponds to the global type tree  $G$ . Technical details of this definition such as well-  
 175 definedness can be found in [13, 17]. In Rocq  $\text{pt}$  is captured with the predicate `isgPartsC`  
 176 : `part` → `gtt` → `Prop`, where `isgPartsC p G` denotes  $p \in \text{pt}(G)$ .

### 177 3.4 Projection

178 We now define coinductive projections with plain merging (see [40] for a survey of other  
 179 notions of merge).

180 ► **Definition 3.5** (Projection). *The projection of a global type tree onto a participant  $r$  is the  
 181 largest relation  $\upharpoonright_r$  between global type trees and local type trees such that, whenever  $G \upharpoonright_r T$ :*  
 182 ■  $r \notin \text{pt}\{G\}$  implies  $T = \text{end}$ ; [PROJ-END]  
 183 ■  $G = p \rightarrow r : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = p \& \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \upharpoonright_r T_i$  [PROJ-IN]  
 184 ■  $G = r \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  implies  $T = q \oplus \{\ell_i(S_i).T_i\}_{i \in I}$  and  $\forall i \in I, G \upharpoonright_r T_i$  [PROJ-OUT]  
 185 ■  $G = p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I}$  and  $r \notin \{p, q\}$  implies that  $\forall i \in I, G_i \upharpoonright_r T$  [PROJ-CONT]

186 Informally, the projection of a global type tree  $G$  onto a participant  $r$  extracts a role for  
 187 participant  $r$  from the protocol whose bird's-eye view is given by  $G$ . [PROJ-END] expresses that  
 188 if  $r$  is not a participant of  $G$  then  $r$  does nothing in the protocol. [PROJ-IN] and [PROJ-OUT]  
 189 handle the cases where  $r$  is involved in a communication in the root of  $G$ . [PROJ-CONT] says  
 190 that, if  $r$  is not involved in the root communication of  $G$  and all continuations of  $G$  project  
 191 on to the same type, then  $G$  also projects on to that type. In Rocq, projection is defined as a  
 192 Paco greatest fixpoint as the relation `projectionC` : `gtt` → `part` → `ltt` → `Prop`.

193 We further have the following fact about projections that lets us regard it as a partial  
 194 function:

195 ► **Lemma 3.6** ([13]). *If  $\text{projectionC } G \ p \ T$  and  $\text{projectionC } G \ p \ T'$  then  $T = T'$ .*

196 We write  $G \upharpoonright r = T$  when  $G \upharpoonright_r T$ . Furthermore we will be frequently be making assertions  
 197 about subtypes of projections of a global type e.g.  $T \leqslant G \upharpoonright r$ . In our Rocq implementation  
 198 we define the predicate `issubProj` : `ltt` → `gtt` → `part` → `Prop` as a shorthand for this.

### 199 3.5 Balancedness, Global Tree Contexts and Grafting

200 We introduce an important constraint on the types of global type trees we will consider,  
 201 balancedness. We omit the technical details of The definition and the Rocq implementation,  
 202 they can be found in [17] and [13].

203 ► **Definition 3.7** (Balanced Global Type Trees). *A global tree  $G$  is balanced if for any subtree  
 204  $G'$  of  $G$ , there exists  $k$  such that for all  $p \in \text{pt}(G')$ ,  $p$  occurs on every path from the root of  
 205  $G'$  of length at least  $k$ .*

---

<sup>1</sup> Here we adopt a simplified presentation as  $\text{pt}(G)$  is actually defined by extending it from an inductively defined function on syntactic types, we refer to [13] for details.

206      Balancedness is a regularity condition that imposes a notion of *liveness* on the protocol  
 207    described by the global type tree. Indeed, our liveness results in Section 6 hold only for  
 208    balanced global types. Another reason for formulating balancedness is that it allows us to  
 209    use the "grafting" technique, turning proofs by coinduction on infinite trees to proofs by  
 210    induction on finite global type tree contexts.

211    ▶ **Definition 3.8** (Global Type Tree Contexts and Grafting). *Global type tree contexts are*  
 212    *defined inductively with the following syntax:*

213     $\mathcal{G} ::= \text{p} \rightarrow \text{q} : \{\ell_i(S_i).\mathcal{G}_i\}_{i \in I} \mid [ ]_i$

```
Inductive gtth: Type ≈
| gtth_hol   : fin → gtth
| gtth_send  : part → part → list (option (sort * gtth))
→ gtth.
```

214    Given a global type tree context  $\mathcal{G}$  whose holes are in the indexing set  $I$  and a set of global  
 215    types  $\{\mathcal{G}_i\}_{i \in I}$ , the grafting  $\mathcal{G}[\mathcal{G}_i]_{i \in I}$  denotes the global type tree obtained by substituting  $[ ]_i$   
 216    with  $\mathcal{G}_i$  in  $\mathcal{G}$ .

217    In Rocq the indexed set  $\{\mathcal{G}_i\}_{i \in I}$  is represented using a list (option gtt). Grafting is  
 218    expressed with the inductive relation typ\_gtth : list (option gtt) → gtth → gtt →  
 219    Prop. typ\_gtth gs gctx gt means that the grafting of the set of global type trees gs onto the  
 220    context gctx results in the tree gt. We additionally define pt and isgParts on global type tree  
 221    contexts analogously to pt and isgPartsC on trees.

222    A global type tree context can be thought of as the finite prefix of a global type tree, where  
 223    holes  $[ ]_i$  indicate the cutoff points. Global type tree contexts are related to global type  
 224    trees with the *grafting* operation that fills in the holes with type trees. The following lemma  
 225    relates global type tree contexts to balanced global type trees. In particular, it allows us to  
 226    turn proofs by coinduction on infinite trees to proofs by induction on the grafting context.

227    ▶ **Lemma 3.9** (Proper Grafting Lemma, [13]). *If G is a balanced global type tree and isgPartsC*  
 228    *p G, then there is a global type tree context Gctx and an option list of global type trees gs*  
 229    *such that typ\_gtth gs Gctx G, ~ ishParts p Gctx and every Some element of gs is of shape*  
 230    *gtt\_end, gtt\_send p q or gtt\_send q p. We refer to Gctx and gs as the p-grafting of G. When*  
 231    *we don't care about gs we may just say that G is p-grafted by Gctx.*

232    ▶ **Remark 3.10.** From now on, all the global type trees we will be referring to are assumed  
 233    to be balanced. When talking about the Rocq implementation, any  $G : \text{gtt}$  we mention  
 234    is assumed to satisfy the predicate wfgC G, expressing that G corresponds to some global  
 235    type and that G is balanced. Furthermore, we will often require that a global type is  
 236    projectable onto all its participants. This is captured by the predicate projectableA G =  $\forall$   
 237    p,  $\exists T$ , projectionC G p T. As with wfgC, we will be assuming that all types we mention  
 238    are projectable.

## 239    4 Semantics of Types

240    In this section we introduce local type contexts, and define Labelled Transition System  
 241    semantics on these constructs.

242 **4.1 Local Type Contexts and Reductions**

243 We start by defining typing contexts as finite mappings of participants to local type trees.

► **Definition 4.1** (Typing Contexts).

244 
$$\Gamma ::= \emptyset \mid \Gamma, p : T$$

```
Module M ≜ MMaps.RBT.Make(Nat).
Module MF ≜ MMaps.Facts.Properties Nat M.
Definition tctx: Type ≜ M.t Itt.
```

245 Intuitively,  $p : T$  means that participant  $p$  is associated with a process that has the type tree  $T$ . We write  $\text{dom}(\Gamma)$  to denote the set of participants occurring in  $\Gamma$ . We write  $\Gamma(p)$  for 246 the type of  $p$  in  $\Gamma$ . We define the composition  $\Gamma_1, \Gamma_2$  iff  $\text{dom}(\Gamma_1) \cap \text{dom}(\Gamma_2) = \emptyset$ .  
247248 In the Rocq implementation we implement local typing contexts as finite maps of  
249 participants, which are represented as natural numbers, and local type trees. We use  
250 the red-black tree based finite map implementation of the MMaps library [27].251 ► **Remark 4.2.** From now on, we assume the all the types in the local type contexts always  
252 have non-empty continuations. In Rocq terms, if  $T$  is in context `gamma` then `wfLTT T` holds.  
253 This is expressed by the predicate `tctx_wf: tctx → Prop`.254 We now give LTS semantics to local typing contexts, for which we first define the transition  
255 labels.256 ► **Definition 4.3** (Transition labels). *A transition label  $\alpha$  has the following form:*

257 
$$\begin{aligned} \alpha ::= & p : q\&\ell(S) & (p \text{ receives a value of sort } S \text{ from } q \text{ with message label } \ell) \\ & \mid p : q\oplus\ell(S) & (p \text{ sends a value of sort } S \text{ to } q \text{ with message label } \ell) \\ & \mid (p, q) & (A \text{ synchronised communication from } p \text{ to } q \text{ occurs via label } \ell) \end{aligned}$$

260

261 Next we define labelled transitions for local type contexts.

262 ► **Definition 4.4** (Typing context reductions). *The typing context transition  $\xrightarrow{\alpha}$  is defined  
263 inductively by the following rules:*

264 
$$\frac{k \in I}{p : q\&\{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{p:q\&\ell_k(S_k)} p : T_k} [\Gamma\&] \quad \frac{k \in I}{p : q\oplus\{\ell_i(S_i).T_i\}_{i \in I} \xrightarrow{p:q\oplus\ell_k(S_k)} p : T_k} [\Gamma\oplus]$$

$$\frac{\Gamma \xrightarrow{\alpha} \Gamma'}{\Gamma, p : T \xrightarrow{\alpha} \Gamma', p : T} [\Gamma-,] \quad \frac{\Gamma_1 \xrightarrow{p:q\oplus\ell(S)} \Gamma'_1 \quad \Gamma_2 \xrightarrow{q:p\&\ell(S')} \Gamma'_2 \quad S \leq S'}{\Gamma_1, \Gamma_2 \xrightarrow{(p,q)\ell} \Gamma'_1, \Gamma'_2} [\Gamma\oplus\&]$$

265 We write  $\Gamma \xrightarrow{\alpha}$  if there exists  $\Gamma'$  such that  $\Gamma \xrightarrow{a} \Gamma'$ . We define a reduction  $\Gamma \rightarrow \Gamma'$  that holds  
266 iff  $\Gamma \xrightarrow{(p,q)\ell} \Gamma'$  for some  $p, q, \ell$ . We write  $\Gamma \rightarrow$  iff  $\Gamma \rightarrow \Gamma'$  for some  $\Gamma'$ . We write  $\rightarrow^*$  for  
267 the reflexive transitive closure of  $\rightarrow$ .268  $[\Gamma\oplus]$  and  $[\Gamma\&]$ , express a single participant sending or receiving.  $[\Gamma\oplus\&]$  expresses a  
269 synchronised communication where one participant sends while another receives, and they  
270 both progress with their continuation.  $[\Gamma-,]$  shows how to extend a context.271 In Rocq typing context reductions are defined with the predicate `tctxR`.

```
Notation opt_lbl ≜ nat.
Inductive label: Type ≜
| lrecv: part → part → option sort → opt_lbl → label
| lsend: part → part → option sort → opt_lbl → label
| lcomm: part → part → opt_lbl → label.
Inductive tctxR: tctx → label → tctx → Prop ≜
```

272

```

| Rsend: ...
| Rrecv: ...
| Rcomm: ...
| RvarI: ...
| Rstruct:  $\vee g1\ g1'\ g2\ g2' \ 1, \text{tctxR}\ g1'\ 1\ g2' \rightarrow$ 
          M.Equal g1 g1'  $\rightarrow$  M.Equal g2 g2'  $\rightarrow$  tctxR g1 1 g2.

```

273

The first four constructors in the definition of `tctxR` corresponds to the rules in Definition 4.4, and `Rstruct` expresses the indistinguishability of local contexts under the `M.Equal` predicate from the MMaps library.

We illustrate typing context reductions with an example.

278 ▶ **Example 4.5.** Let

$$\begin{aligned} T_p &= q \oplus \{\ell_0(\text{int}).T_p, \ell_1(\text{int}).\text{end}\} \\ T_q &= p \& \{\ell_0(\text{int}).T_q, \ell_1(\text{int}).r \oplus \{\ell_2(\text{int}).\text{end}\}\} \\ T_r &= q \& \{\ell_2(\text{int}).\text{end}\} \end{aligned}$$

282 and  $\Gamma = \{p : T_p, q : T_q, r : T_r\}$ . We have the reductions  $\Gamma \xrightarrow{p:q \oplus \ell_0(\text{int})} \Gamma$  and  $\Gamma \xrightarrow{q:p \& \ell_0(\text{int})} \Gamma$ , which synchronise to give the reduction and  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma$ . Similarly via synchronised communication of  $p$  and  $q$  via message label  $\ell_1$  we get  $\Gamma \xrightarrow{(p,q)\ell_1} \Gamma'$  where  $\Gamma'$  is defined as  $\{p : \text{end}, q : r \oplus \{\ell_2(\text{int}).\text{end}\}, r : T_r\}$ . We further have that  $\Gamma' \xrightarrow{(q,r)\ell_2} \Gamma_{\text{end}}$  where  $\Gamma_{\text{end}}$  is defined as  $\{p : \text{end}, q : \text{end}, r : \text{end}\}$ .

287 In Rocq,  $\Gamma$  is defined the following way .

```

Definition prt_p  $\triangleq$  0.
Definition prt_q  $\triangleq$  1.
Definition prt_r  $\triangleq$  2.
CoFixpoint T_p  $\triangleq$  ltt_send prt_q [Some (sint,T_p); Some (sint,ltt_end); None].
CoFixpoint T_q  $\triangleq$  ltt_recv prt_p [Some (sint,T_q); Some (sint, ltt_send prt_r [None;None;Some (sint,ltt_end)]); None].
Definition T_r  $\triangleq$  ltt_recv prt_q [None,None; Some (sint,ltt_end)].
Definition gamma  $\triangleq$  M.add prt_p T_p (M.add prt_q T_q (M.add prt_r T_r M.empty)).

```

288

289 Now  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma$  can be expressed as `tctxR gamma (lsend prt_p prt_q (Some sint) 0) gamma`.

## 290 4.2 Global Type Reductions

291 As with local typing contexts, we can also define reductions for global types.

292 ▶ **Definition 4.6** (Global type reductions). *The global type transition  $\xrightarrow{\alpha}$  is defined coinductively as follows.*

$$\begin{array}{c} k \in I \\ \hline \hline p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{(p,q)\ell_k} G_k \\ \hline \hline \forall i \in I \ G_i \xrightarrow{\alpha} G'_i \quad \text{subject}(\alpha) \cap \{p, q\} = \emptyset \quad \forall i \in I \ \{p, q\} \subseteq \text{pt}\{G_i\} \\ \hline \hline p \rightarrow q : \{\ell_i(S_i).G_i\}_{i \in I} \xrightarrow{\alpha} p \rightarrow q : \{\ell_i(S_i).G'_i\}_{i \in I} \end{array} \quad [\text{GR-Ctx}]$$

295 [GR-⊕&] says that a global type tree with root  $p \rightarrow q$  can transition to any of its children  
 296 corresponding to the message label chosen by  $p$ . [GR-Ctx] says that if the subjects of  $\alpha$   
 297 are disjoint from the root and all its children can transition via  $\alpha$ , then the whole tree can  
 298 also transition via  $\alpha$ , with the root remaining the same and just the subtrees of its children  
 299 transitioning. In Rocq global type reductions are expressed using the coinductively defined  
 300 predicate `gttstepC`. For example,  $G \xrightarrow{(p,q)\ell_k} G'$  translates to `gttstepC G G' p q k`. We refer  
 301 to [13] for details.

### 302 4.3 Association Between Local Type Contexts and Global Types

303 We have defined local type contexts which specifies protocols bottom-up by directly describing  
 304 the roles of every participant, and global types, which give a top-down view of the whole  
 305 protocol, and the transition relations on them. We now relate these local and global definitions  
 306 by defining *association* between local type context and global types.

307 ▶ **Definition 4.7** (Association ). A local typing context  $\Gamma$  is associated with a global type  
 308 tree  $G$ , written  $\Gamma \sqsubseteq G$ , if the following hold:

- 309 ■ For all  $p \in \text{pt}(G)$ ,  $p \in \text{dom}(\Gamma)$  and  $\Gamma(p) \leqslant G \upharpoonright p$ .
- 310 ■ For all  $p \notin \text{pt}(G)$ , either  $p \notin \text{dom}(\Gamma)$  or  $\Gamma(p) = \text{end}$ .

311 In Rocq this is defined with the following:

```
312 Definition assoc (g: tctx) (gt:gtt) ≡
  ∨ p, (isgPartsC p gt → ∃ Tp, M.find p g=Some Tp ∧
  issubProj Tp gt p) ∧
  (~ isgPartsC p gt → ∨ Tpx, M.find p g = Some Tpx → Tpx=ltt_end).
```

313 Informally,  $\Gamma \sqsubseteq G$  says that the local type trees in  $\Gamma$  obey the specification described by the  
 314 global type tree  $G$ .

315 ▶ **Example 4.8.** In Example 4.5, we have that  $\Gamma \sqsubseteq G$  where  $G := p \rightarrow q : \{\ell_0(\text{int}).G, \ell_1(\text{int}).q \rightarrow r : \{\ell_2(\text{int}).\text{end}\}\}$ . In fact, we have  $\Gamma(s) = G \upharpoonright s$  for  $s \in \{p, q, r\}$ .  
 316 Similarly, we have  $\Gamma' \sqsubseteq G'$  where  $G' := q \rightarrow r : \{\ell_2(\text{int}).\text{end}\}$

318 It is desirable to have the association be preserved under local type context and global  
 319 type reductions, that is, when one of the associated constructs "takes a step" so should the  
 320 other. We formalise this property with soundness and completeness theorems.

321 ▶ **Theorem 4.9** (Soundness of Association ). If `assoc gamma G and gttstepC G G' p q ell`,  
 322 then there is a local type context  $\gamma'$ , a global type tree  $G''$  and a message label  $\ell'$  such  
 323 that `gttStepC G G' p q ell', assoc gamma' G'' and tctxR gamma (lcomm p q ell') gamma'`.

324 ▶ **Theorem 4.10** (Completeness of Association ). If `assoc gamma G and tctxR gamma (lcomm p q ell) gamma'`, then there exists a global type tree  $G'$  such that `assoc gamma' G'` and `gttstepC G G' p q ell`.

327 ▶ **Remark 4.11.** Note that in the statement of soundness we allow the message label for  
 328 the local type context reduction to be different to the message label for the global type  
 329 reduction. This is because our use of subtyping in association causes the entries in the  
 330 local type context to be less expressive than the types obtained by projecting the global  
 331 type. For example consider  $\Gamma = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$  and  
 332  $G = p \rightarrow q : \{\ell_0(\text{int}).\text{end}, \ell_1(\text{int}).\text{end}\}$ . We have  $\Gamma \sqsubseteq G$  and  $\Gamma \xrightarrow{(p,q)\ell_1}$ . However  $\Gamma \xrightarrow{(p,q)\ell_1}$  is  
 333 not a valid transition.

## 334 5 Properties of Local Type Contexts

335 We now use the LTS semantics to define some desirable properties on type contexts and their  
 336 reduction sequences. Namely, we formulate safety, fairness and liveness properties based on  
 337 the definitions in [44].

## 338 5.1 Safety

339 We start by defining the *safety* property that plays an important role in bottom-up session  
 340 type systems [35]:

341 ► **Definition 5.1** (Safe Type Contexts). *We define `safe` coinductively as the largest set of type  
 342 contexts such that whenever we have  $\Gamma \in \text{safe}$ :*

$$\begin{array}{c} \Gamma \xrightarrow{\mathbf{p}:q \oplus \ell(S)} \text{and } \Gamma \xrightarrow{q:p \& \ell'(S')} \text{implies } \Gamma \xrightarrow{(p,q)\ell} \\ \Gamma \rightarrow \Gamma' \text{ implies } \Gamma' \in \text{safe} \end{array} \quad \begin{array}{l} [\text{S-}\&\oplus] \\ [\text{S-}\rightarrow] \end{array}$$

345 We write `safe`( $\Gamma$ ) if  $\Gamma \in \text{safe}$ .

Safety says that if  $p$  and  $q$  communicate with each other and  $p$  requests to send a value using message label  $\ell$ , then  $q$  should be able to receive that message label. Furthermore, this property should be preserved under any typing context reductions.

346 Being a coinductive property, to show that `safe`( $\Gamma$ ) it suffices to give a set  $\varphi$  such that  
 347  $\Gamma \in \varphi$  and  $\varphi$  satisfies `[S-]\&\oplus` and `[S-]\rightarrow`. This amounts to showing that every element of  $\Gamma'$   
 349 of the set of reducts of  $\Gamma$ , defined  $\varphi := \{\Gamma' \mid \Gamma \rightarrow^* \Gamma'\}$ , satisfies `[S-]\&\oplus`. We illustrate this  
 350 with some examples:

351 ► **Example 5.2.** Let  $\Gamma = p : q \oplus \{\ell_0(\text{int}).\text{end}\}, q : p \& \{\ell_0(\text{nat}).\text{end}\}$ .  $\Gamma$  is not safe as we  
 352 have  $\Gamma \xrightarrow{\mathbf{p}:q \oplus \ell_0}$  and  $\Gamma \xrightarrow{q:p \& \ell_0}$  but we don't have  $\Gamma \xrightarrow{(p,q)\ell_0}$  as `int`  $\not\leq \text{nat}$ .

353 Consider  $\Gamma$  from Example 4.5. All the reducts satisfy `[S-]\&\oplus`, hence  $\Gamma$  is safe.

354 In Rocq, we define `safe` coinductively with Paco:

```
Definition weak_safety (c: tctx) ≡
  ∀ p q s s' k k', tctxRE (lsend p q (Some s) k) c → tctxRE (lrecv q p (Some s') k') c → tctxRE (lcomm p q k) c.
Inductive safe (R: tctx → Prop): tctx → Prop ≡
| safety_red : ∀ c, weak_safety c → (∀ p q c' k, tctxR c (lcomm p q k) c' → R c') → safe R c.
Definition safeC c ≡ paco1 safe bot1 c.
```

355

356 `weak_safety` corresponds `[S-]\&\oplus` where `tctxRE l c` is shorthand for  $\exists c' \text{ tctxR } c \text{ l } c'$ . In  
 357 the inductive `safe`, the constructor `safety_red` corresponds to `[S-]\rightarrow`. Then `safeC` is defined  
 358 as the greatest fixed point of `safe`.

359 We have that local type contexts with associated global types are always safe.

360 ► **Theorem 5.3** (Safety by Association ). *If `assoc gamma g` then `safeC gamma`.*

## 361 5.2 Fairness and Liveness

362 We now focus our attention to fairness and liveness. We first restate the definition of fairness  
 363 and liveness for local type context paths from [44].

364 ► **Definition 5.4** (Fair, Live Paths). *A local type context reduction path (also called executions  
 365 or runs) is a possibly infinite sequence of transitions  $\Gamma_0 \xrightarrow{\lambda_0} \Gamma_1 \xrightarrow{\lambda_1} \dots$  such that  $\lambda_i$  is a  
 366 synchronous transition label, that is, of the form  $(p,q)\ell$ , for all  $i$ .*

367 We say that a local type context reduction path  $\Gamma_0 \xrightarrow{\lambda_0} \Gamma_1 \xrightarrow{\lambda_1} \dots$  is fair if, for all  
 368  $n \in N : \Gamma_n \xrightarrow{(p,q)\ell} \text{ implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \lambda_k = (p,q)\ell'$ , and therefore  
 369  $\Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$ . We say that a path  $(\Gamma_n)_{n \in N}$  is live iff,  $\forall n \in N :$

## 23:12 Dummy short title

- 370 1.  $\forall n \in N : \Gamma_n \xrightarrow{p:q \oplus \ell(S)} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$   
 371 2.  $\forall n \in N : \Gamma_n \xrightarrow{q:p \& \ell(S)} \text{implies } \exists k, \ell' \text{ such that } N \ni k \geq n \text{ and } \Gamma_k \xrightarrow{(p,q)\ell'} \Gamma_{k+1}$

372 ▶ **Definition 5.5** (Live Local Type Context). A local type context  $\Gamma$  is live if whenever  $\Gamma \rightarrow^* \Gamma'$ ,  
 373 every fair path starting from  $\Gamma'$  is also live.

In general, fairness assumptions are used so that only the reduction sequences that are "well-behaved" in some sense are considered when formulating other properties [42]. We define fairness such that, in a fair path, whenever a synchronous transition  $(p, q)\ell$  is enabled, a communication between  $p$  and  $q$  is eventually executed. Then live paths are defined to be paths such that whenever  $p$  attempts to send to  $q$  or  $q$  attempts to receive from  $p$ , eventually a  $p$  to  $q$  communication takes place. Informally, this means that every communication request is eventually answered. Live typing contexts are then defined to be the  $\Gamma$  such that whenever  $\Gamma$  can evolve (in possibly multiple steps) into  $\Gamma'$ , all fair paths that start from  $\Gamma'$  are also live.

374 ▶ **Example 5.6.** Consider the contexts  $\Gamma, \Gamma'$  and  $\Gamma_{\text{end}}$  from Example 4.5. One possible  
 375 reduction path is  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \dots$ . Denote this path as  $(\Gamma_n)_{n \in \mathbb{N}}$ , where  $\Gamma_n = \Gamma$   
 376 for all  $n \in \mathbb{N}$ . We have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0}$  and  $\Gamma_n \xrightarrow{(p,q)\ell_1}$  as the only possible synchronised  
 377 reductions from  $\Gamma_n$ . Accordingly, we also have  $\forall n, \Gamma_n \xrightarrow{(p,q)\ell_0} \Gamma_{n+1}$  in the path so this path  
 378 is fair. However, this path is not live as we have  $\Gamma_1 \xrightarrow{r:q \& \ell_2(\text{int})}$  but there is no  $n, \ell'$  with  
 379  $\Gamma_n \xrightarrow{(q,r)\ell'} \Gamma_{n+1}$  in the path. Consequently,  $\Gamma$  is not a live type context.

380 Now consider the reduction path  $\Gamma \xrightarrow{(p,q)\ell_0} \Gamma \xrightarrow{(p,q)\ell_0} \Gamma' \xrightarrow{(q,r)\ell_2} \Gamma_{\text{end}}$ . This path is fair and  
 381 live as it contains the  $(q, r)$  transition from the counterexample above.

383 Definition 5.4, while intuitive, is not really convenient for a Rocq formalisation due to the  
 384 existential statements it contains. It would be ideal if these properties could be expressed  
 385 as a least or greatest fixed point, which could then be formalised via Rocq's inductive or  
 386 (via Paco) coinductive types. To achieve this, we recast fairness and liveness for local type  
 387 context paths in Linear Temporal Logic (LTL) [33]. The LTL operators *eventually* ( $\diamond$ ) and  
 388 *always* ( $\Box$ ) can be characterised as least and greatest fixed points using their expansion laws  
 389 [2, Chapter 5.14]. Hence they can be implemented in Rocq as the inductive type **eventually**  
 390 and the coinductive type **alwaysCG** . We can further represent reduction paths as  
 391 *cosequences*, or *streams*. Then the Rocq definition of Definition 5.4 amounts to the following  
 392 :

```
393 CoInductive coseq (A: Type) : Type ≡
| conil : coseq A
| ccons : A → coseq A → coseq A.
Notation local_path ≡ (coseq (tctx*option label)).
```

```
Definition fair_path_local_inner (pt: local_path) : Prop ≡
  ∀ p q n, to_path_prop (tctxRE (lcomm p q n)) False pt →
  eventually (headComm p q) pt.
Definition fair_path ≡ alwaysCG fair_path_local_inner.
Definition live_path_inner (pt: local_path) : Prop ≡ ∀ p q s n,
  (to_path_prop (tctxRE (lsend p q (Some s) n)) False pt →
  eventually (headComm p q) pt) ∧
  (to_path_prop (tctxRE (lrecv p q (Some s) n)) False pt →
  eventually (headComm q p) pt).
Definition live_path ≡ alwaysCG live_path_inner.
```

394 With these definitions we can now prove that local type contexts associated with a global  
 395 type are live, which is the most involved of the results mechanised in this work.

396 ▶ **Remark 5.7.** We once again emphasise that all global types mentioned are assumed to  
 397 be balanced (Definition 3.7). Indeed association with non-balanced global types doesn't  
 398 guarantee liveness. As an example, consider  $\Gamma$  from Example 4.5, which is associated with  $G$

399 from Example 4.8. Yet we have shown in Example 5.6 that  $\Gamma$  is not a live type context. This  
 400 is not surprising as  $G$  is not balanced.

401 ► **Theorem 5.8** (Liveness by Association ). *If `assoc gamma g` then `gamma` is live.*

402 **Proof.** (Simplified, Outline) Our proof proceeds in two steps. First, we prove that the typing  
 403 context obtained by direct projections<sup>2</sup> of  $g$ , that is,  $\text{gamma\_proj} = \{p_i : G \upharpoonright_{p_i} \mid p_i \in \text{pt}\{G\}\}$ ,  
 404 is live. We then leverage Theorem 4.10 to show that if  $\text{gamma\_proj}$  is live, so is  $\text{gamma}$ .

405 Suppose  $\text{gamma\_proj} \xrightarrow{p:q \oplus \ell(S)}$  (the case for the receive is similar and omitted), and  $xs$  is a  
 406 fair local type context reduction path beginning with  $\text{gamma\_proj}$ . To show that  $xs$  is live we  
 407 need to show the existence of a  $(p, q)\ell$  transition in  $xs$ . We achieve this by taking the height  
 408 of the  $p$ -grafting of the global type associated with the head of  $xs$  as our induction invariant.  
 409 We show (, , ) that this invariant keeps decreasing until a  $(p, q)\ell$  transition is enabled  
 410 on the path, at which point our fairness assumption forces that transition to fire .

411 In the second step of the proof we extend association on to paths to get, for each local  
 412 type context reduction path  $xs$  that begins with  $\text{gamma}$ , another local type context reduction  
 413 path  $ys$  beginning with  $\text{gamma\_proj}$  such that the elements of  $xs$  are subtypes (subtyping  
 414 on contexts defined pointwise) of the corresponding elements of  $ys$ . This is obtained from  
 415 Theorem 4.10, however the statement of Theorem 4.10 is implemented as an  $\exists$  statement  
 416 that lives in `Prop`, hence we need to use the `constructive_indefinite_description` axiom to  
 417 construct a `CoFixpoint` returning the desired cosequence  $ys$ . The proof then follows by the  
 418 definition of subtyping (Definition 3.3). ◀

## 419 6 Properties of Sessions

420 We give typing rules for the session calculus introduced in 2, and prove subject reduction  
 421 and deadlock freedom for them. Then we define a liveness property for sessions, and show  
 422 that processes typable by a local type context that's associated with a global type tree are  
 423 guaranteed to satisfy this liveness property.

### 424 6.1 Typing rules

425 We give typing rules for our session calculus based on [17] and [13]. We have two kinds of  
 426 typing judgements and type contexts.  $\Theta_T, \Theta_e \vdash_P P : T$  says that the single process  $P$  can be  
 427 typed with local type  $T$  using expression and type variables from  $\Theta_T, \Theta_e$ . On the other hand,  
 428  $\Gamma \vdash_M M$  expresses that session  $M$  can be typed by the local type context (Definition 4.1)  
 429 Typing rules for expressions are standard and can be found in e.g. [17], and are therefore  
 430 omitted.  $\Gamma$ .

431 Table 3 states the standard [13, 17] typing rules for processes, which we don't elaborate  
 432 on. We additionally have a single rule for typing sessions:

$$\frac{\begin{array}{c} [\text{T-SESS}] \\ \forall i \in I : \quad \vdash_P P_i : \Gamma(p_i) \quad \Gamma \sqsubseteq G \end{array}}{\Gamma \vdash_M \prod_i p_i \triangleleft P_i}$$

---

<sup>2</sup> Note that the actual Rocq proof defines an equivalent "enabledness" predicate on global types instead of working with direct projections. The outline given here is a slightly simplified presentation.

$$\begin{array}{c}
\frac{[\text{T-END}]}{\Theta \vdash_P 0 : \text{end}} \quad \frac{[\text{T-VAR}]}{\Theta, X : T \vdash_P X : T} \quad \frac{[\text{T-REC}]}{\Theta, X : T \vdash_P P : T} \quad \frac{[\text{T-IF}]}{\Theta \vdash_P e : \text{bool} \quad \Theta \vdash_P P_1 : T \quad \Theta \vdash_P P_2 : T}{\Theta \vdash_P \mu X. P : T} \\
\frac{[\text{T-SUB}]}{\Theta \vdash_P P : T \quad T \leqslant T'} \quad \frac{[\text{T-IN}]}{\forall i \in I, \quad \Theta, x_i : S_i \vdash_P P_i : T_i}{\Theta \vdash_P \sum_{i \in I} p? \ell_i(x_i). P_i : p\& \{\ell_i(S_i). T_i\}_{i \in I}} \quad \frac{[\text{T-OUT}]}{\Theta \vdash_P e : S \quad \Theta \vdash_P P : T}{\Theta \vdash_P p! \ell(e). P : p \oplus \{\ell(S). T\}}
\end{array}$$

Table 3 Typing processes

434 [T-SESS] says that a session made of the parallel composition of processes  $\prod_i p_i \triangleleft P_i$  can  
435 be typed by an associated local context  $\Gamma$  if the local type of participant  $p_i$  in  $\Gamma$  types the  
436 process

## 437 6.2 Properties of Typed Sessions

438 We can now prove some properties of typed sessions. The following theorems relating session  
439 reductions to types underlie our results.

440 ▶ **Lemma 6.1** (Typing after Unfolding  $\rightarrow$ ). If  $\gamma \vdash_M M$  and  $M \Rightarrow M'$ , then  $\text{typ\_sess } M' \ \gamma$ .

441 ▶ **Theorem 6.2** (Subject Reduction  $\rightarrow$ ). If  $\gamma \vdash_M M$  and  $M \xrightarrow{(p,q)\ell} M'$ , then there exists a  
442 typing context  $\gamma'$  such that  $\gamma \xrightarrow{(p,q)\ell} \gamma'$  and  $\gamma' \vdash_M M$ .

443 ▶ **Theorem 6.3** (Session Fidelity  $\rightarrow$ ). If  $\gamma \vdash_M M$  and  $\gamma \xrightarrow{(p,q)\ell} \gamma'$ , there exists  
444 a message label  $\ell'$ , a context  $\gamma''$ , and a session  $M'$  such that  $M \xrightarrow{(p,q)\ell'} M'$ ,  $\gamma \xrightarrow{(p,q)\ell'} \gamma''$   
445 and  $\text{typ\_sess } M' \ \gamma''$ .

Lemma 6.1 says that typing is preserved after unfolding. Theorem 6.2 shows that the typing context reduces along with the session it types. Theorem 6.3 is an analogue of Theorem 6.2 in the opposite direction.

446 ▶ **Remark 6.4.** Note that in Theorem 6.2 one transition between sessions corresponds to exactly one transition between local type contexts with the same label. That is, every session transition is observed by the corresponding type. This is the main reason for our choice of reactive semantics (Section 2.2) as  $\tau$  transitions are not observed by the type in ordinary semantics. In other words, with  $\tau$ -semantics the typing relation is a *weak simulation* [29], while it turns into a strong simulation with reactive semantics. For our Rocq implementation working with the strong simulation turns out to be more convenient.

454 Now we can prove two of our main results, communication safety and deadlock freedom:

455 ▶ **Theorem 6.5** (Communication Safety  $\rightarrow$ ). If  $\gamma \vdash_M M$  and  $M \rightarrow^* M' \Rightarrow (p \leftarrow p\_\text{send}$   
456  $q \ \text{ell } P \ ||| q \leftarrow p\_\text{recv } p \ \text{xs} \ ||| M'')$ , then  $\text{onth ell xs} \neq \text{None}$ .

Theorem 6.5 means that typed sessions evolve to sessions where if participant  $p$  wants to send to  $q$  with label  $\ell$ , and  $q$  is listening to receive from  $p$ , then  $q$  is able to receive with label  $\ell$ .

► **Theorem 6.6** (Deadlock Freedom ). If  $\gamma \vdash_{\mathcal{M}} M$ , one of the following hold :

- 459 1. Either  $M \Rightarrow M_{inact}$  where every process making up  $M_{inact}$  is inactive, i.e.  $M_{inact}$   
 460  $\equiv \prod_{i=1}^n p_i \triangleleft 0$  for some  $n$ .  
 461 2. Or there is a  $M'$  such that  $M \rightarrow M'$ .

Theorem 6.6 says that the only way a typed session has no reductions available is if it has terminated.

462

<sup>463</sup> The final, and the most intricate, session property we prove is liveness.

► **Definition 6.7** (Session Liveness ). *Session  $M$  is live iff*

- 465    1.  $\mathcal{M} \longrightarrow^* \mathcal{M}' \Rightarrow q \lhd p! \ell_i(x_i).Q \mid \mathcal{N}$  implies  $\mathcal{M}' \longrightarrow^* \mathcal{M}'' \Rightarrow q \lhd Q \mid \mathcal{N}'$  for some  $\mathcal{M}'', \mathcal{N}''$   
 466    2.  $\mathcal{M} \longrightarrow^* \mathcal{M}' \Rightarrow q \lhd \bigwedge_{i \in I} p? \ell_i(x_i).Q_i \mid \mathcal{N}$  implies  $\mathcal{M}' \longrightarrow^* \mathcal{M}'' \Rightarrow q \lhd Q_i[v/x_i] \mid \mathcal{N}'$  for some  
 467         $\mathcal{M}'', \mathcal{N}', i, v$ .

<sup>468</sup> In Rocq this is expressed with the predicate `live_sess` .

```

definition live_send_mp = v, betac tc mp M ->
  (v p q e P' M', p ≠ q → unfold MP ((p ← p_send q ell e P') ||| M') -> ∃ M'', betac tc M ((p ← P') \ \ \ \ \ \ | \ | \ | M''))
  ^ 
  (v p q l1p M', p ≠ q → unfold MP ((p ← p_recv q l1p) ||| M') ->
   ∃ M', b, onth k l1p = Some P' ∧ betac tc M ((p ← subst_expr Proc P' e 0 0) ||| M''))

```

469

Session liveness, analogous to liveness for typing contexts (Definition 5.4), says that when  $\mathcal{M}$  is live, if  $\mathcal{M}$  reduces to a session  $\mathcal{M}'$  containing a participant that's attempting to send or receive, then  $\mathcal{M}'$  reduces to a session where that communication has happened. It's also called *lock-freedom* in related work ([41, 30]).

470

<sup>471</sup> We now detail the proof that typed sessions are live. First we prove the following lemma:

472 ► **Lemma 6.8** (Fair Extension of Typed Sessions  $\bowtie$ ). If  $\text{typ\_sess } M \gamma$ , then there exists a  
 473 session reduction path  $xs$  starting from  $M$  such that the following fairness property holds:  
 474 ■ On  $xs$ , whenever a transition with label  $(p, q)\ell$  is enabled, a transition with label  $(p, q)\ell'$   
 475 eventually occurs for some  $\ell'$ .

**Proof.** The desired path can be constructed by repeatedly cycling through all participants, checking if there is a transition involving that participant, and executing that transition if there is. As in the proof of Theorem 5.8, the construction in Lemma 6.8 uses the `constructive_indefinite_description` axiom to construct a consequence as a `CoFixpoint`. Additionally, we use the axiom `excluded_middle_informative` for the "check if there is a transition involving a participant" part of the scheduling algorithm. The use of this axiom is probably not necessary but it makes the proof easier. Correctness of the algorithm follows from Theorem 6.2 and Theorem 6.3. ◀

Lemma 6.8 defines a "fairness" property for sessions analogous to Definition 5.4. It then shows that there exists a fair path from any typable session. This resembles the *feasibility* property expected from sensible notions of fairness [42], which states that any partial path can be extended into a fair one<sup>3</sup>.

484

485 ► **Theorem 6.9** (Liveness by Typing ). For a session  $M_p$ , if  $\exists \gamma \text{ gamma } \vdash_M M_p$  then  
 486  $\text{live\_sess } M_p$ .

487 **Proof.** We detail the proof for the send case of Definition 6.7, the case for the receive is  
 488 similar. Suppose that  $M_p \rightarrow^* M$  and  $M \Rightarrow ((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M')$ . Our goal is  
 489 to show that there exists a  $M''$  such that  $M \rightarrow^* ((p \leftarrow P') ||| M'')$ . First, observe that  
 490 by [R-UNFOLD] it suffices to show that  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M') \rightarrow^* M''$  for  
 491 some  $M''$ . Also note that  $\gamma \vdash_M M$  for some  $\gamma$  by Theorem 6.2, therefore  $\gamma \vdash_M ((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M')$  by Lemma 6.1.

492 Now let  $xs$  be a fair session reduction path starting from  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M')$ , which exists by Lemma 6.8. By Theorem 6.2, let  $ys$  be a local type context  
 493 reduction path starting with  $\gamma$  such that every session in  $xs$  is typed by the context at  
 494 the corresponding index of  $ys$ , and the transitions of  $xs$  and  $ys$  at every step match. Now it  
 495 can be shown that  $ys$  is fair . Therefore by Theorem 5.8  $ys$  is live, so a  $\text{lcomm } p \ q \text{ ell}'$   
 496 transition eventually occurs in  $ys$  for some  $\text{ell}'$ . Therefore  $ys = \gamma \rightarrow^* \gamma_0 \xrightarrow{(p,q)\ell'} \gamma_1 \rightarrow \dots$  for some  $\gamma_0, \gamma_1$ . Now consider the session  $M_0$  typed by  $\gamma_0$  in  
 497  $xs$ . We have  $((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M') \rightarrow^* M_0$  by  $M_0$  being on  $xs$ . We also have  
 498 that  $M_0 \xrightarrow{(p,q)\ell''} M_1$  for some  $\ell''$ ,  $M_1$  by Theorem 6.3. Now observe that  $M_0 \equiv ((p \leftarrow p_{\text{send}} q \text{ ell } e P') ||| M'')$  for some  $M''$  as no transitions involving  $p$  have happened on  
 499 the reduction path to  $M_0$ . Therefore  $\ell = \ell''$ , so  $M_1 \equiv ((p \leftarrow P') ||| M'')$  for some  $M''$ , as  
 500 needed. ◀

## 505 7 Conclusion and Related Work

506 In this work we have mechanised the semantics of local and global types, proved a corres-  
 507 pondence between them, and used this correspondence to prove safety, deadlock-freedom  
 508 and liveness for the typed sessions in simple message-passing calculus. To our knowledge,  
 509 our liveness result is the first mechanised one of its kind, and is the most challenging of the  
 510 theorems we formalised. Our implementation illustrates some of the difficulties encountered  
 511 when mechanising liveness properties in general. These include the use of mixed inductive-  
 512 coinductive reasoning and the absence of a clear general proof technique. In particular, the  
 513 induction on the tree context height used in Theorem 5.8 requires some care to set up, and  
 514 is not the most obvious way of implementing the proof in Rocq. Our earlier unsuccesful  
 515 attempts at that proof included one which proceeded by induction on the grafting (Defin-  
 516 ition 3.8) of local type trees, which turned out to be a defective induction variable. Still,  
 517 our work illustrates the power of parameterised coinduction in the verification of liveness  
 518 properties, and provides a framework for the verification of further linear time properties on  
 519 session types.

520 **Related Work.** Examinations of liveness, also called *lock-freedom*, guarantees of multi-  
 521 party session types abound in literature, e.g. [31, 23, 44, 35, 3]. Most of these papers use the  
 522 definition liveness proposed by Padovani [30], which doesn't make the fairness assumptions  
 523 that characterize the property [15] explicit. Contrastingly, van Glabbeek et. al. [41] examine  
 524 several notions of fairness and the liveness properties induced by them, and devise a type  
 525 system with flexible choices [6] that captures the strongest of these properties, the one

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<sup>3</sup> Note that this fairness property for sessions is not actually feasible as there are partial paths starting with an untypable session that can't be extended into a fair one. Nevertheless, Lemma 6.8 turns out to be enough to prove our liveness property.

526 induced by the *justness* [42] assumption. In their terminology, Definition 6.7 corresponds  
527 to liveness under strong fairness of transitions (ST), which is the weakest of the properties  
528 considered in that paper. They also show that their type system is complete i.e. every live  
529 process can be typed. We haven't presented any completeness results in this paper. Indeed,  
530 our type system is not complete for Definition 6.7, even if we restrict our attention to safe  
531 and race-free sessions. For example, the session described in [41, Example 9] is live but not  
532 typable by a context associated with a balanced global type in our system.

533 Fairness assumptions are also made explicit in recent work by Ciccone et. al [10, 11]  
534 which use generalized inference systems with coaxioms [1] to characterize *fair termination*,  
535 which is stronger than Definition 6.7, but enjoys good compositionality properties.

536 Mechanisation of session types in proof assistants is a relatively new effort. Our formal-  
537 isation is built on recent work by Ekici et. al. [13] which uses a coinductive representation of  
538 global and local types to prove subject reduction and progress. Their work uses a typing  
539 relation between global types and sessions while ours uses one between associated local type  
540 contexts and sessions. This necessitates the rewriting of subject reduction and progress proofs  
541 in addition to the novel operational correspondence, safety and liveness properties we have  
542 proved. Other recent results mechanised in Rocq include Ekici and Yoshida's [14] work on  
543 the completeness of asynchronous subtyping, and Tirore's work [37, 39, 38] on projections  
544 and subject reduction for  $\pi$ -calculus.

545 Castro-Perez et. al. [8] devise a multiparty session type system that dispenses with  
546 projections and local types by defining the typing relation directly on the LTS specifying the  
547 global protocol, and formalise the results in Agda. Ciccone's PhD thesis [9] presents an Agda  
548 formalisation of fair termination for binary session types. Binary session types were also  
549 implemented in Agda by Thiemann [36] and in Idris by Brady[5]. Several implementations  
550 of binary session types are also present for Haskell [24, 28, 34].

551 Implementations of session types that are more geared towards practical verification  
552 include the Actris framework [18, 21] which enriches the separation logic of Iris [22] with  
553 binary session types to certify deadlock-freedom. In general, verification of liveness properties,  
554 with or without session types, in concurrent separation logic is an active research area that  
555 has produced tools such as TaDa [12], FOS [25] and LiLo [26] in the past few years. Further  
556 verification tools employing multiparty session types are Jacobs's Multiparty GV [21] based  
557 on the functional language of Wadler's GV [43], and Castro-Perez et. al's Zooid [7], which  
558 supports the extraction of certifiably safe and live protocols.

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