## Lecture 5: SQL Part IV

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## Today's Lecture

- 1. Aggregation & Group By
  - ACTIVITY: Fancy SQL Part I
- 2. Advanced SQL-izing
  - ACTIVITY: Fancy SQL Part II

# 1. Aggregation & GROUP BY

## What you will learn about in this section

- 1. Aggregation operators
- 2. GROUP BY
- 3. GROUP BY: with HAVING, semantics
- 4. ACTIVITY: Fancy SQL Pt. I

## Aggregation

```
SELECT AVG(price)
FROM Product
WHERE maker = "Toyota"
```

```
SELECT COUNT(*)
FROM Product
WHERE year > 1995
```

- SQL supports several aggregation operations:
  - SUM, COUNT, MIN, MAX, AVG

Except COUNT, all aggregations apply to a single attribute

### Aggregation: COUNT

COUNT applies to duplicates, unless otherwise stated

```
SELECT COUNT(category)
FROM Product
WHERE year > 1995
```

*Note: Same as COUNT(\*). Why?* 

#### We probably want:

```
SELECT COUNT(DISTINCT category)
FROM Product
WHERE year > 1995
```

#### More Examples

Purchase(product, date, price, quantity)

SELECT SUM(price \* quantity)
FROM Purchase



What do these mean?

SELECT SUM(price \* quantity)
FROM Purchase
WHERE product = 'bagel'

## Simple Aggregations

#### Purchase

Product	Date	Price	Quantity
bagel	10/21	1	20
banana	10/3	0.5	10
banana	10/10	1	10
bagel	10/25	1.50	20

SELECT SUM(price \* quantity)
FROM Purchase

WHERE product = 'bagel'

50 (= 1\*20 + 1.50\*20)

## Grouping and Aggregation

Purchase(product, date, price, quantity)

```
SELECT
        product,
        SUM(price * quantity) AS TotalSales
```

Purchase FROM

WHERE date > '10/1/2005'

**GROUP** BY product

Find total sales after 10/1/2005 per product.

Let's see what this means...

## Grouping and Aggregation

#### Semantics of the query:

- 1. Compute the FROM and WHERE clauses
- 2. Group by the attributes in the GROUP BY
- 3. Compute the **SELECT** clause: grouped attributes and aggregates

## 1. Compute the FROM and WHERE clauses

SELECT product, SUM(price\*quantity) AS TotalSales

FROM Purchase

WHERE date > '10/1/2005'

GROUP BY product



Product	Date	Price	Quantity
Bagel	10/21	1	20
Bagel	10/25	1.50	20
Banana	10/3	0.5	10
Banana	10/10	1	10

## 2. Group by the attributes in the GROUP BY

SELECT product, SUM(price\*quantity) AS TotalSales
FROM Purchase
WHERE date > '10/1/2005'
GROUP BY product

Product	Date	Price	Quantity
Bagel	10/21	1	20
Bagel	10/25	1.50	20
Banana	10/3	0.5	10
Banana	10/10	1	10



Product	Date	Price	Quantity
D 1	10/21	1	20
Bagel	10/25	1.50	20
Danana	10/3	0.5	10
Banana	10/10	1	10

# 3. Compute the SELECT clause: grouped attributes and aggregates

SELECT product, SUM(price\*quantity) AS TotalSales
FROM Purchase
WHERE date > '10/1/2005'
GROUP BY product

Product	Date	Price	Quantity
Bagel	10/21	1	20
	10/25	1.50	20
Banana	10/3	0.5	10
	10/10	1	10



Product	TotalSales
Bagel	50
Banana	15

#### GROUP BY v.s. Nested Quereis

```
SELECT product, Sum(price*quantity) AS TotalSales
FROM Purchase
WHERE date > '10/1/2005'
GROUP BY product
```

```
SELECT DISTINCT x.product,
    (SELECT Sum(y.price*y.quantity)
    FROM Purchase y
    WHERE x.product = y.product
        AND y.date > '10/1/2005') AS TotalSales
FROM Purchase x
WHERE x.date > '10/1/2005'
```

#### **HAVING Clause**

SELECT product, SUM(price\*quantity)

FROM Purchase

WHERE date > '10/1/2005'

**GROUP BY product** 

HAVING SUM(quantity) > 100

HAVING clauses contains conditions on aggregates

Whereas WHERE clauses condition on individual tuples...

Same query as before, except that we consider only products that have more than 100 buyers

### General form of Grouping and Aggregation

```
SELECT S
FROM R<sub>1</sub>,..., R<sub>n</sub>
WHERE C<sub>1</sub>
GROUP BY a<sub>1</sub>,..., a<sub>k</sub>
HAVING C<sub>2</sub>
```

Why?

- S = Can ONLY contain attributes  $a_1,...,a_k$  and/or aggregates over other attributes
- $C_1$  = is any condition on the attributes in  $R_1,...,R_n$
- C<sub>2</sub> = is any condition on the aggregate expressions

## General form of Grouping and Aggregation

SELECT	S
FROM	$R_1,, R_n$
WHERE	$C_1^{-}$
GROUP BY	a <sub>1</sub> ,,a <sub>k</sub>
HAVING	$C_2^-$

#### Evaluation steps:

- 1. Evaluate FROM-WHERE: apply condition  $C_1$  on the attributes in  $R_1,...,R_n$
- 2. GROUP BY the attributes  $a_1,...,a_k$
- 3. Apply condition  $C_2$  to each group (may have aggregates)
- 4. Compute aggregates in S and return the result

### Group-by v.s. Nested Query

```
Author(<u>login</u>, name)
Wrote(login, url)
```

- Find authors who wrote ≥ 10 documents:
- Attempt 1: with nested queries

```
SELECT DISTINCT Author.name
FROM Author
WHERE (
     SELECT Count(Wrote.url)
     FROM Wrote
     WHERE Author.login = Wrote.login) > 10
```

This is SQL by a novice

#### Group-by v.s. Nested Query

- Find all authors who wrote at least 10 documents:
- Attempt 2: SQL style (with GROUP BY)

```
SELECT Author.name
FROM Author, Wrote
WHERE Author.login = Wrote.login
GROUP BY Author.name
HAVING COUNT(Wrote.url) > 10
```

This is SQL by an expert

No need for DISTINCT: automatically from GROUP BY

### Group-by vs. Nested Query

Which way is more efficient?

- Attempt #1- With nested: How many times do we do a SFW query over all of the Wrote relations?
- Attempt #2- With group-by: How about when written this way?

With GROUP BY can be <u>much</u> more efficient!

# Activity-5-1.ipynb

# 2. Advanced SQL-izing

## What you will learn about in this section

- 1. Quantifiers
- 2. NULLs
- 3. Outer Joins
- 4. ACTIVITY: Fancy SQL Pt. II

## (سورها) Quantifiers

Product(name, price, company)
Company(name, city)

```
SELECT DISTINCT Company.name
FROM Company, Product
WHERE Company.name = Product.company
AND Product.price < 100</pre>
```

Find all companies that make <u>some</u> products with price < 100

An <u>existential quantifier</u> is a logical quantifier (roughly) of the form "there exists"

Existential: easy ! ۞

سور وجودى: آسان
( وجور دارد )

#### Quantifiers

```
Product(name, price, company)
Company(name, city)
```

```
SELECT DISTINCT Company.cname
FROM Company
WHERE Company.name NOT IN(
    SELECT Product.company
    FROM Product.price >= 100)
```

A <u>universal quantifier</u> is of the form "for all"

Find all companies with products <u>all</u> having price < 100



Find all companies that make <u>only</u> products with price < 100

Universal: hard!

- De Morgan's Laws
  - $\bullet \neg (p(a) \land p(b)) \Leftrightarrow \neg p(a) \lor \neg p(b)$
  - $\bullet \neg (p(a) \lor p(b)) \Leftrightarrow \neg p(a) \land \neg p(b)$
- Generalized De Morgan's Laws
  - $\bullet \neg (p(a_1) \land \dots \land p(a_n)) \Leftrightarrow \neg p(a_1) \lor \dots \lor \neg p(a_n)$
  - $\bullet \neg (p(a_1) \lor \dots \lor p(a_n)) \Leftrightarrow \neg p(a_1) \land \dots \land \neg p(a_n),$
  - •where  $A=\{a_1,\ldots,a_n\}$

• Since:

$$\bullet p(a_1) \land ... \land p(a_n) \Leftrightarrow \forall x \in A (p(x))$$
  

$$\bullet p(a_1) \lor ... \lor p(a_n) \Leftrightarrow \exists x \in A (p(x)),$$

• Generalized De Morgan's Laws become:

$$\bullet \neg (\forall x \in \mathbf{A} (p(x))) \Leftrightarrow \exists x \in \mathbf{A} (\neg p(x))$$
$$\bullet \neg (\exists x \in \mathbf{A} (p(x))) \Leftrightarrow \forall x \in \mathbf{A} (\neg p(x))$$

• and also:

$$\bullet \forall x \in \mathbf{A} (p(x)) \Leftrightarrow \neg (\exists x \in \mathbf{A} (\neg p(x)))$$

$$\bullet \exists x \in \mathbf{A} (p(x)) \Leftrightarrow \neg ( \forall x \in \mathbf{A} (\neg p(x)))$$

- Set inclusion:  $A \subseteq B$ 
  - $\bullet \forall x \in A (x \in B) \Leftrightarrow \neg (\exists x \in A (x \notin B)) \Leftrightarrow$
  - $\bullet \neg (\exists x \in A \neg (\exists y \in B (x=y)))$
- Set equality:  $A=B \Leftrightarrow A \subseteq B \land B \subseteq A$
- Implication
  - $\bullet p(a) \to p(b) \Leftrightarrow \neg p(a) \lor p(b)$
  - •¬  $(p(a) \rightarrow p(b)) \Leftrightarrow p(a) \land \neg p(b)$

- Min aggregate: a = min(A), where  $a \in A$ •  $\forall x \in A (a \le x) \Leftrightarrow \neg (\exists x \in A (a > x))$
- Max aggregate: a = max(A), where  $a \in A$ •  $\forall x \in A (x \le a) \Leftrightarrow \neg (\exists x \in A (x > a))$

#### **NULLS** in SQL

- Whenever we don't have a value, we can put a NULL
- Can mean many things:
  - Value does not exists
  - Value exists but is unknown
  - Value not applicable
  - Etc.
- The schema specifies for each attribute if can be null (*nullable* attribute) or not
- How does SQL cope with tables that have NULLs?

- For numerical operations, NULL -> NULL:
  - If x = NULL then 4\*(3-x)/7 is still NULL
- For boolean operations, in SQL there are three values:

```
FALSE = 0
UNKNOWN = 0.5
TRUE = 1
```

• If x= NULL then x="Joe" is UNKNOWN

```
    C1 AND C2 = min(C1, C2)
    C1 OR C2 = max(C1, C2)
    NOT C1 = 1 - C1
```

```
SELECT *
FROM Person
WHERE (age < 25)
  AND (height > 6 AND weight > 190)
```

Won't return e.g. (age=20 height=NULL weight=200)!

Rule in SQL: include only tuples that yield TRUE (1.0)

#### رفتار ناخواسته:

```
SELECT *
FROM Person
WHERE age < 25 OR age >= 25
```

بعضی از افراد برگردانده نمیشوند.

#### Can test for NULL explicitly:

- x IS NULL
- x IS NOT NULL

```
SELECT *
FROM Person
WHERE age < 25 OR age >= 25
OR age IS NULL
```

Now it includes all Persons!

#### **RECAP: Inner Joins**

By default, joins in SQL are "inner joins":

Product(name, category)
Purchase(prodName, store)

```
SELECT Product.name, Purchase.store
FROM Product
JOIN Purchase ON Product.name = Purchase.prodName
```

```
SELECT Product.name, Purchase.store
FROM Product, Purchase
WHERE Product.name = Purchase.prodName
```

Both equivalent: Both INNER JOINS!

#### Inner Joins + NULLS = Lost data?

By default, joins in SQL are "inner joins":

Product(name, category)
Purchase(prodName, store)

```
SELECT Product.name, Purchase.store
FROM Product
JOIN Purchase ON Product.name = Purchase.prodName
```

```
SELECT Product.name, Purchase.store
FROM Product, Purchase
WHERE Product.name = Purchase.prodName
```

However: Products that never sold (with no Purchase tuple) will be lost!

#### **Outer Joins**

- An outer join returns tuples from the joined relations that don't have a corresponding tuple in the other relations
  - I.e. If we join relations A and B on a.X = b.X, and there is an entry in A with X=5, but none in B with X=5...
    - A LEFT OUTER JOIN will return a tuple (a, NULL)!
- Left outer joins in SQL:

```
SELECT Product.name, Purchase.store
FROM Product
LEFT OUTER JOIN Purchase ON
          Product.name = Purchase.prodName
```

Now we'll get products even if they didn't sell

#### **INNER JOIN:**

#### **Product**

name	category
Gizmo	gadget
Camera	Photo
OneClick	Photo

#### **Purchase**

prodName	store
Gizmo	Wiz
Camera	Ritz
Camera	Wiz

SELECT Product.name, Purchase.store
FROM Product
INNER JOIN Purchase
ON Product.name = Purchase.prodName

Note: another equivalent way to write an INNER JOIN!

name	store
Gizmo	Wiz
Camera	Ritz
Camera	Wiz

#### LEFT OUTER JOIN:

#### **Product**

name	category
Gizmo	gadget
Camera	Photo
OneClick	Photo

#### **Purchase**

prodName	store
Gizmo	Wiz
Camera	Ritz
Camera	Wiz

SELECT Product.name, Purchase.store

FROM Product

LEFT OUTER JOIN Purchase

ON Product.name = Purchase.prodName



#### Other Outer Joins

- Left outer join:
  - Include the left tuple even if there's no match
- Right outer join:
  - Include the right tuple even if there's no match
- Full outer join:
  - Include the both left and right tuples even if there's no match

# Activity-5-2.ipynb

### Summary

# SQL is a rich programming language that handles the way data is processed <u>declaratively</u>