The non-monotonicity of moist adiabatic warming

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5 ABSTRACT:

6 1. Introduction

The Clausius-Clapeyron relation implies the potential for a warmer atmosphere to hold more water vapor (Emanuel 1994). This principle is the basis for the positive water vapor feedback (Held and Soden 2000) and various scaling theories in response to warming including extreme precipitation, Hadley cell edge, jet stream position, tropopause height, and CAPE (O'Gorman 2015; Shaw and Voigt 2016; Romps 2016).

In the tropics, convection couples the surface with the free troposphere. Because the timescale of radiative cooling is slower (order of days) compared to convection (order of hours), the tropical atmosphere is to first order in a state of quasi-equilibrium where the climatological free-tropospheric temperature follows a convectively neutral temperature profile set by the surface temperature and humidity Arakawa and Schubert (1974). Although processes like convective entrainment influence the details of this coupling (Miyawaki et al. 2020; Keil et al. 2021), moist adiabatic adjustment serves as a useful first-order approximation (Held 1993). The top-heavy warming profile predicted by moist adiabatic adjustment (Fig. 1b) is a robust feature in climate models and observations, despite historical challenges in observational records (Vallis et al. 2015; Santer et al. 2005).

The top-heavy warming profile predicted by the moist adiabat is important because it increases 21 dry static stability. The spatial variations in the dry static stability response influences the structure 22 of tropical convergence zones because horizontal free-tropospheric gradients, while weak, exist 23 (Neelin and Held 1987; Bao et al. 2022). This structure also defines the tropical lapse rate feedback, a key negative feedback for global climate sensitivity (Hansen et al. 1984). The lapse rate feedback 25 partially cancels the water vapor feedback and scales in tandem because amplified warming in the 26 upper troposphere is a consequence of enhanced latent heat release (Held and Shell 2012). In a 27 moist adiabatic atmosphere that is saturated at the surface, total latent heat release $L_{\nu}(q_s^* - q_{top}^*)$ where L_v is the latent heat of vaporization, q_s^* is surface saturation specific humidity, and q_{top}^* is the 29 cloud top saturation specific humidity. $q_{\text{top}}^* \to 0$ as $T \to 0$ in a moist adiabatic atmosphere because 30 the moist adiabat does not predict a stratosphere¹. Thus we expect total latent heat release in a moist adiabatic atmosphere to scale as q_s^* , which increases monotonically with surface temperature as expected from the Clausius-Clapeyron relation (Fig. 1a).

¹Romps (2016) presents a more realistic analysis of how q_{top}^* varies with T_s by assuming a fixed tropopause temperature = 200 K (see Hartmann and Larson 2002; Seeley et al. 2019, for evidence supporting this assumption). q_{top}^* exhibits super Clausius-Clapeyron scaling driven by decreasing cloud top pressure with warming.

- Given the monotonic increase in surface specific humidity with surface temperature, one might expect moist adiabatic warming to also increase monotonically with the initial surface temperature at all levels. However, it is a non-monotonic function of initial surface temperature at fixed pressure levels (Fig. 1c, see Appendix A for details on the calculation). This non-monotonicity arises in height coordinates (Fig. A1), with or without latent heat of fusion (see Appendix B and Fig. B1), and across different empirical formula for saturation vapor pressure (see Appendix C and Fig. C1). While previous work has acknowledged the existence of this non-monotonicity (Byrne and O'Gorman 2013; Levine and Boos 2016), an explanation for the non-monotonicity in moist adiabatic warming does not yet exist in the literature.
- This raises the question: What physical mechanism drives this non-monotonic warming? Here we derive a thermodynamic explanation for the origin of non-monotonicity in moist adiabatic warming and its cascading effects on buoyancy and vertical velocity.

2. Theory of Non-Monotonic Warming

We start by defining the moist adiabatic temperature profile in pressure coordinates T(p) in terms of the moist adiabatic lapse rate $\Gamma_m = dT/dp$:

$$T(p) = T_s + \int_{p_s}^{p} \Gamma_m \, dp' \tag{1}$$

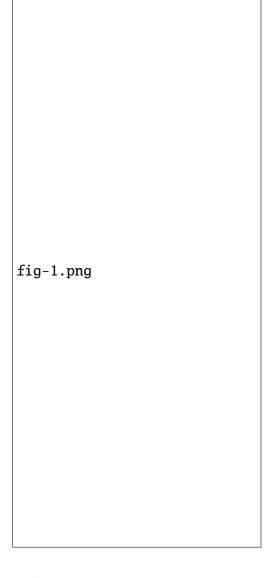
where T_s is surface temperature. We assume the atmosphere is saturated from the surface. The difference between a perturbed and baseline state (Δ) then follows as

$$\Delta T(p) = \Delta T_s + \int_{p_s}^{p} \Delta \Gamma_m \, dp' \tag{2}$$

For a small perturbation, $\Delta\Gamma_m$ can be approximated using a first-order Taylor expansion: $\Delta\Gamma_m \approx \frac{d\Gamma_m}{dT_s} \Delta T_s$. Substituting this into Eq. (2) gives:

$$\Delta T(p) \approx \Delta T_s + \left(\int_{p_s}^p \frac{d\Gamma_m}{dT_s} dp' \right) \Delta T_s$$
 (3)

Thus the non-monotonicity in moist adiabatic warming is encoded into $d\Gamma_m/dT_s$, the sensitivity of the moist adiabatic lapse rate to surface temperature. Indeed, $d\Gamma_m/dT_s$ is non-monotonic



- Fig. 1. (a) Surface saturation specific humidity increases monotonically with surface temperature. (b) Vertical profiles of moist adiabatic warming to a 4 K surface warming, plotted against pressure, for $T_s = 280$, 290, 300, 310, and 320 K. Warming decreases with initial surface temperature at lower levels while it increases with initial surface temperature at higher levels. (c) Moist adiabatic warming varies non-monotonically with initial surface temperature at all levels, e.g. at 500, 400, 300, and 200 hPa. Moist adiabatic warming peaks at warmer initial surface temperatures at higher levels.
- with respect to temperature (dashed line shows the local minima of $d\Gamma_m/dT_s$ in Fig. 2a). Note that $d\Gamma_m/dT_s$ is mostly negative in the troposphere (Fig. 2b). This is consistent with amplified warming aloft because the integral in Eq. (2 is from high to low pressure, which introduces a negative sign.

 Γ_m is a function of local state variables $\Gamma_m(T,p)$. Thus to make progress in understanding $d\Gamma_m/dT_s$, we must rewrite $d\Gamma_m/dT_s$ in terms of derivatives with respect to the local state variables (T,p). To do this we first use the chain rule:

$$\frac{d\Gamma_m}{dT_s} = \left(\frac{\partial \Gamma_m}{\partial T}\right)_p \cdot \frac{dT}{dT_s} + \left(\frac{\partial \Gamma_m}{\partial p}\right)_T \cdot \frac{dp}{dT_s} \tag{4}$$

- The second term $\frac{dp}{dT_0} = 0$ because pressure is the vertical coordinate and is an independent variable.
- Recognizing that by definition $\Gamma_m = \frac{dT}{dp}$,

$$\frac{d}{dp} \left(\frac{dT}{dT_s} \right) = \left(\frac{\partial \Gamma_m}{\partial T} \right)_p \cdot \frac{dT}{dT_s} \tag{5}$$

This is an ordinary differential equation for $\frac{dT}{dT_s}$ as a function of pressure. The solution with the boundary condition $\frac{dT}{dT_s}(p_s) = 1$, is:

$$\frac{dT}{dT_s} = \exp\left(\int_{p_s}^{p} \left(\frac{\partial \Gamma_m}{\partial T}\right)_p dp'\right) \tag{6}$$

Substituting Eq. (6) back into Eq. (4) gives:

$$\frac{d\Gamma_m}{dT_s} = \left(\frac{\partial \Gamma_m}{\partial T}\right)_p \cdot \exp\left(\int_{p_s}^p \left(\frac{\partial \Gamma_m}{\partial T}\right)_{p'} dp'\right) \tag{7}$$

level p. The integral describes how a small surface temperature perturbation dT_s influences Γ_m through the sum of all Γ_m changes that occur below p.

The non-monotonicity can arise from either 1) $(\partial \Gamma_m/\partial T)_p$ being non-monotonic and the integral acting to amplify it or 2) $(\partial \Gamma_m/\partial T)_p$ being monotonic but sign changes in $(\partial \Gamma_m/\partial T)_p$ leads to the integral being non-monotonic. Numerical solutions show that $(\partial \Gamma_m/\partial T)_p$ is non-monotonic (dash-dot line shows the local minima of $d\Gamma_m/dT$ in Fig. 2c), which is further amplified by the integral term (Fig. 2d).

where $(\partial \Gamma_m/\partial T)_p$ is the moist adiabatic lapse rate sensitivity to local temperature T at pressure

Why is $(\partial \Gamma_m/\partial T)_p$ non-monotonic with T? To understand this we solve for Γ_m from the first law of thermodynamics for adiabatic, non-precipitating, and reversible ascent of a saturated air parcel,

which is equivalent to the conservation of saturation moist static energy:

$$c_p dT - \alpha dp + L_v dq^* = 0 (8)$$

- where c_p is the specific heat capacity of air at constant pressure, α is specific volume, L_{ν} is the
- latent heat of vaporization, and q^* is the saturation specific humidity. We assume 1) $c_p \approx c_{pd}$,
- neglecting the role of water of all phases on the specific heat capacity and 2) $\alpha \approx \alpha_d = R_d T/p$,
- 86 neglecting the virtual effect of water vapor on density.
- Use the chain rule to expand dq^* :

$$dq^* = \left(\frac{\partial q^*}{\partial T}\right)_p dT + \left(\frac{\partial q^*}{\partial p}\right)_T dp \tag{9}$$

Substituting Eq. (9) into Eq. (8) and rearranging gives

$$\left(c_{pd} + L_{\nu} \left(\frac{\partial q^*}{\partial T}\right)_p\right) dT = \left(\alpha_d - L_{\nu} \left(\frac{\partial q^*}{\partial p}\right)_T\right) dp \tag{10}$$

- We can derive closed-form expressions for the q^* derivatives using the Clausius-Clapeyron relation
- and Dalton's Law. These q^* derivatives describe the role of phase equilibrium shifts in q^* with T
- and p on the effective heat capacity and specific volume of the air parcel, respectively:

$$c_L \equiv L_v \left(\frac{\partial q^*}{\partial T}\right)_p \approx \frac{L_v^2 q^*}{R_v T^2} \tag{11}$$

$$\alpha_L \equiv -L_v \left(\frac{\partial q^*}{\partial p} \right)_T \approx \frac{L_v q^*}{p} \tag{12}$$

- where the approximation arises from assuming saturation vapor pressure $e^* \ll p$.
- c_L can be thought of as a latent heat capacity, representing the enhanced thermal inertia due to
- the fact that latent heating buffers some of the cooling from expansion. Thus c_L acts to increase
- the heat capacity of the air parcel such that it has an effective heat capacity $c_{pd} + c_L$.
- α_L can be thought of as a latent specific volume, representing the enhanced expansion of air with
- 97 ascent due to the fact that lower pressure shifts the phase equilibrium of water to favor the vapor

- phase over liquid. Thus α_L acts to increase the volume of air such that it has an effective specific volume $\alpha_d + \alpha_L$.
- Now solving for the moist adiabatic lapse rate $\Gamma_m = dT/dp$:

$$\Gamma_m = \frac{dT}{dp} = \frac{\alpha_d + \alpha_L}{c_{pd} + c_L} \tag{13}$$

$$=\Gamma_d \cdot \frac{1 + \frac{\alpha_L}{\alpha_d}}{1 + \frac{c_L}{c_{pd}}} \tag{14}$$

where $\Gamma_d = \alpha_d/c_{pd}$ is the dry adiabatic lapse rate in pressure coordinates and the two nondimensional terms represent the fractional increase in effective heat capacity and specific volume due to phase equilibrium changes:

$$\tilde{c} = \frac{c_L}{c_{pd}} = \frac{L_v^2 q^*}{c_{pd} R_v T^2} \tag{15}$$

$$\tilde{\alpha} = \frac{\alpha_L}{\alpha_d} = \frac{L_v q^*}{R_d T} = \frac{R_v c_{pd} T}{R_d L_v} \tilde{c} = k \tilde{c}$$
(16)

Substituting Eq. (15) and Eq. (16) into Eq. (14) gives:

$$\Gamma_m = \Gamma_d \cdot \frac{1 + k\tilde{c}}{1 + \tilde{c}} \tag{17}$$

For typical values in Earth's atmosphere ($R_v = 461 \text{ J kg}^{-1} \text{ K}^{-1}$, $R_d = 287 \text{ J kg}^{-1} \text{ K}^{-1}$, $c_{pd} = 1005$ J kg⁻¹ K⁻¹, $L_v = 2.5 \times 10^6 \text{ J kg}^{-1}$, and $T \in [200, 320] \text{ K}$), the factor $k = \frac{R_v c_{pd} T}{R_d L_v} \in [0.13, 0.21]$. Thus k is a weak function of temperature and is a quasi-constant of order 10^{-1} . In contrast, \tilde{c} scales exponentially with temperature (through q^*) and varies from $\tilde{c}(200 \text{ K}) \sim 10^{-4}$ to $\tilde{c}(320 \text{ K}) \sim 10^1$. Thus the temperature sensitivity of Γ_m is controlled by \tilde{c} . Because Γ_m is bounded between Γ_d (dry limit, $\tilde{c} \to 0$) and $k\Gamma_d$ (moist limit, $\tilde{c} \to \infty$), the magnitude of $\partial \Gamma_m/\partial T$ must peak at some intermediate \tilde{c} else Γ_m would be unbounded.

Where does the magnitude of $\partial \Gamma_m/\partial T$ reach its peak value? To solve this we use the quotient rule on Eq. (13):

$$\frac{\partial \Gamma_m}{\partial T} = \underbrace{\frac{1}{c_{pd} + c_L} \frac{\partial (\alpha_d + \alpha_L)}{\partial T}}_{\text{latent volume sensitivity}} + \underbrace{\left(-\frac{\alpha_d + \alpha_L}{(c_{pd} + c_L)^2} \frac{\partial c_L}{\partial T}\right)}_{\text{latent heat capacity sensitivity}}$$
(18)

The latent volume sensitivity varies monotonically with T_s (Fig. 3a, c). The latent heat capacity sensitivity varies non-monotonically with T_s (Fig. 3b, d). Thus we further decompose the latent heat capacity sensitivity to probe its origin:

$$-\frac{\alpha_d + \alpha_L}{(c_{pd} + c_L)^2} \frac{\partial c_L}{\partial T} = -\frac{1}{p} \cdot (1 + \tilde{\alpha}) \cdot \frac{R_d}{c_{pd}} \frac{\partial \log c_L}{\partial \log T} \cdot f_d \cdot f_L \tag{19}$$

117 where

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$$f_d \equiv c_d / (c_{pd} + c_L) \tag{20}$$

 $f_L \equiv c_L/(c_{pd} + c_L) \tag{21}$

and $f_d + f_L = 1$. f_d and f_L represent the dry and latent fractions of effective heat capacity.

Eq. (19) shows the latent heat capacity sensitivity is a product of four terms that vary 120 monotonically with T. $\tilde{\alpha} = L_v q^*/(\alpha_d p)$ scales exponentially with T through q^* (red line in Fig. 4a). The fractional change in latent heat capacity to a fractional change in temperature 122 $\partial \log c_L/\partial \log T = L_v/(R_vT) - 2$ so it weakly decreases with T (blue line in Fig. 4a). The product 123 of these two terms is weakly non-monotonic in T with a local minimum where $\tilde{\alpha} \approx R_{\nu}T/L_{\nu}$ (white line in Fig. 4b). At low T, $\tilde{\alpha}$ is small so the product is dominated by the decrease in $\partial \log c_L/\partial \log T$. 125 At high T, $\tilde{\alpha}$ is large so the product is dominated by the exponential increase in $\tilde{\alpha}$. However, the 126 non-monotonicity of these two terms are not the source of the peak in the magnitude of $\partial \Gamma_m/\partial T$, 127 which requires a local maximum, not a minimum. 128

The dry fraction of effective heat capacity $f_d = c_{pd}/(c_{pd} + c_L)$ logistically decreases with T because c_{pd} is a constant while latent heat capacity c_L increases exponentially with T through q^* (blue line in Fig. 4c). The latent fraction of effective heat capacity $f_L = c_L/(c_{pd} + c_L)$ logistically increases with T (red line in Fig. 4c). The product $f_d \cdot f_L$ is maximized when $f_d = f_L$, or $c_L = c_{pd}$ (black line in Fig. 4d).

What is the physical intuition behind the peak at $c_L = c_{pd}$? Recall that c_L quantifies the enhancement of effective heat capacity due to latent heat of condensation offsetting adiabatic cooling. The q^* derivative in c_L requires two ingredients: 1) cooling from expansion and 2) water vapor. f_d and f_L represent the fractional availability of the two ingredients. At low T, condensation is limited by the availability of water vapor (red line in Fig. 4c). At high T condensation is limited by adiabatic cooling (blue line in Fig. 4c). The peak in latent heat capacity sensitivity corresponds

to where the availability of cooling and water vapor are equally limiting (black line in Fig. 4c).

Thus the non-monotonicity in $\partial \Gamma_m/\partial T$ and moist adiabatic warming arises from the competition between the two limiting factors of condensation.

How well does the condition $c_L = c_{pd}$ capture the actual peak in $\partial \Gamma_m/\partial T$? The theory slightly overpredicts the T_s where the magnitude of $\partial \Gamma_m/\partial T$ peaks (compare solid and dash-dot lines in Fig. 5). This error is due to the weak non-monotonicity in the product $(1+\tilde{\alpha})R_d/c_{pd}\partial \log(c_L)/\partial \log(T)$ which decreases with pressure (Fig. 4b). The error maximizes at the surface where the theory predicts a peak T_s that is 1.6 K warmer than the true peak T_s .

The error in T_s predicted by the theory and the true peak of Γ_m/dT_s grows with height because 148 the integral term in Eq. (7) amplifies the error in $\partial \Gamma_m/\partial T$ at each level below. This error maximizes at 420 hPa where $c_L = c_{pd}$ predicts a peak T_s that is 2.0 K warmer than the true peak T_s (compare 150 solid and dashed lines in Fig. 5). This error is further compounded for T_s corresponding to the peak 151 of moist adiabatic warming ΔT (Eq. 3), leading to a maximum error of 6.6 K at 420 hPa (compare 152 solid and dotted lines in Fig. 5). Thus the condition $c_L = c_{pd}$ is a useful first-order estimate of T_s where moist adiabatic warming peaks. Importantly the theory successfully captures the shift to 154 warmer peak T_s with height, which is due to the fact that temperature decreases with height and thus the transition from the vapor limited to cooling limited regime occurs at a warmer surface temperature with height. 157

180 3. Implications of non-monotonicity in moist adiabatic warming on convection

The non-monotonic warming of a moist adiabat has implications for the dynamics of convection. For example, Romps (2016) showed that parcel buoyancy is a non-monotonic function of surface temperature. Specifically the criterion where B peaks is $\beta = 2c_{pd}$ where

$$\beta = c_{pd} + L_v \frac{\partial q^*}{\partial T} = c_{pd} + c_L \tag{22}$$

Thus the criterion that maximizes B is equivalent to where moist adiabatic warming peaks, $c_{pd} = c_L$.

Below, we show this is true if the entrainment parameter $a = PE\epsilon/g^2$ is small and derive a more general criterion that maximizes B.

 $^{{}^2}PE$ is precipitation efficiency, ϵ is the fractional entrainment rate, and g is gravitational acceleration. See Romps (2016) for the derivation of the entraining plume model.



Fig. 2. (a) The sensitivity of the moist adiabatic lapse rate to surface temperature, $d\Gamma_m/dT_s$, varies non-158 monotonically with surface temperature. (b) $d\Gamma_m/dT_s$ has a local minimum across surface temperature at all 159 pressure levels, e.g. across 500, 400, 300, and 200 hPa. A minimum in $d\Gamma_m/dT_s$ corresponds to a maximum 160 in moist adiabatic warming (Fig. 1b) because the integral bounds in Eq. 3 decreases from p_s to p, which 161 introduces a negative sign. The local minimum shifts toward warmer with surface temperature at higher levels. 162 (c) The sensitivity of the moist adiabatic lapse rate to the local temperature at pressure p, $\partial \Gamma_m/\partial T$, also varies 163 non-monotonically with surface temperature. (d) The integral term in Eq. (7) amplifies the non-monotonicity of 164 $\partial \Gamma_m / \partial T$. (a) is the product of (c) and (d). 165

Buoyancy B is the normalized virtual temperature (or equivalently, density) difference between the rising parcel $T_{v,p}$ and the environment $T_{v,e}$. Here we neglect the virtual effects of water and we

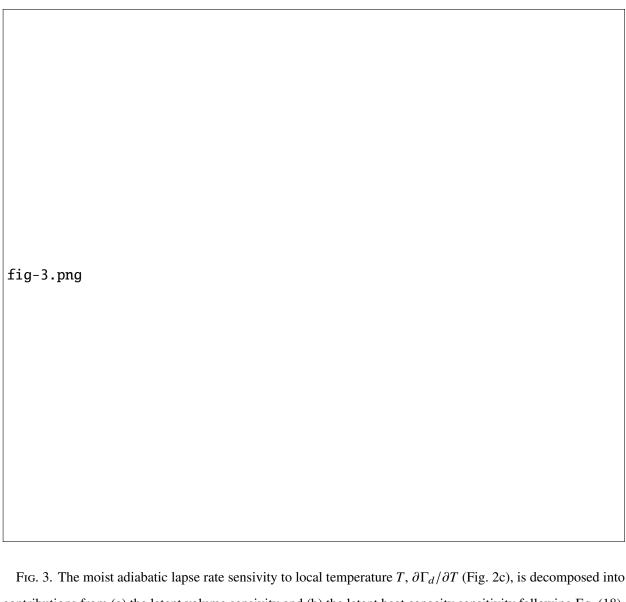


Fig. 3. The moist adiabatic lapse rate sensivity to local temperature T, $\partial \Gamma_d/\partial T$ (Fig. 2c), is decomposed into contributions from (a) the latent volume sensivity and (b) the latent heat capacity sensitivity following Eq. (18). (c) The latent volume sensitivity monotonically increases increases with local temperature T across all pressure levels, e.g. across 500, 400, 300, and 200 hPa. (d) The latent heat capacity sensitivity has a local minimum that shifts toward warmer surface temperature at higher levels, consistent with the behavior of $d\Gamma_d/dT_s$ (Fig. 2b).

use standard temperature:

$$B \approx \frac{g}{T_e} (T_p - T_e) \tag{23}$$

As before, we express temperature profiles in terms of T_s and the integral of their respective lapse rates. We assume the parcel follows a moist adiabatic lapse rate, Γ_m , while the environment is

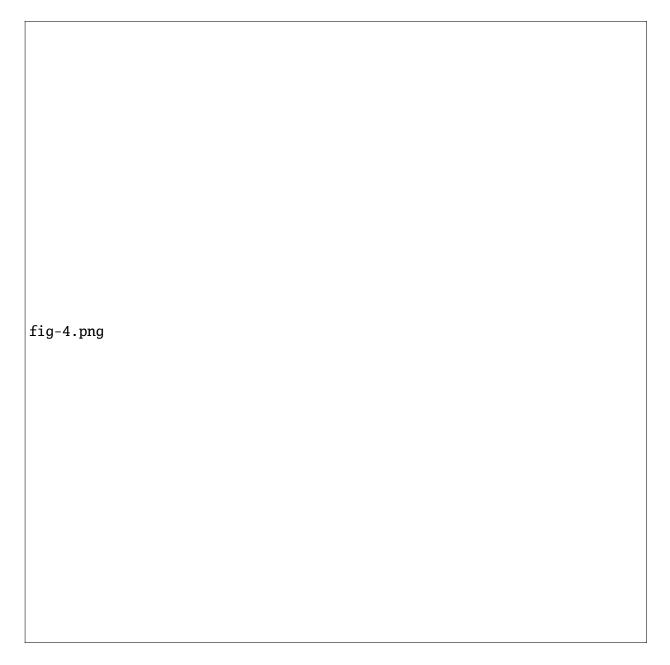


Fig. 4. The latent volume sensitivity is decomposed into a product of four terms (Eq. 19) that varies 171 monotonically with local temperature T, where local means at pressure p. (a) The latent volume ratio $\tilde{\alpha}$ increases 172 exponentially with local temperature (red line) while the fractional change in latent heat capacity c_L to a fractional 173 change in local temperature T decreases linearly with T (blue line). The product of the two is weakly non-174 monotonic with T where the product has a local minimum (purple line). (b) The local minimum approximately 175 occurs where $\tilde{\alpha} = R_{\nu}T/L_{\nu}$ (white line). (c) The latent heat capacity fraction f_L increases logistically with local 176 temperature T (red line) while the dry heat capacity fraction f_d decreases logistically with T (blue line). The 177 product of the two is non-monotonic with T where the product has a local maximum (purple line). (d) The local 178 maximum occurs where $c_L = c_{pd}$ (black line). 179

neutrally buoyant with respect to an entraining lapse rate, Γ_e following the zero-buoyancy plume model introduced by Singh and O'Gorman (2013).

$$T_p = T_s + \int_{p_s}^{p} \Gamma_m(p') dp'$$
 (24)

$$T_e = T_s + \int_{p_s}^{p} \Gamma_e(p') dp'$$
 (25)

Substituting Eq. (24) and (25) into the definition of buoyancy Eq. (23) yields:

$$B \approx \frac{g}{T_e} \int_{p_s}^{p} \delta \Gamma \, dp' \tag{26}$$

where $\delta\Gamma = \Gamma_e - \Gamma_m$. We use the same entraining plume model as in Romps (2016) but express the lapse rate in pressure coordinates:

$$\Gamma_e = \Gamma_d \cdot \frac{(1+a)\alpha_d + \alpha_L}{(1+a)c_{pd} + c_L} \tag{27}$$

Substituting Eq. (13) and (27) into Eq. (26) and simplifying gives:

$$B = \frac{g}{T_e} \int_{p_s}^{p} \Gamma_d \cdot \frac{a(1-k)\tilde{c}}{(1+a+\tilde{c})(1+\tilde{c})} dp'$$
 (28)

If we assume that a does not vary with T_s , T_e increases monotonically with T_s at all p. The origin of the non-monotonicity of B must be in the integrand, $\delta\Gamma$. B depends on T primarily through \tilde{c} , which scales exponentially with T through q^* , whereas Γ_d and k are linear functions of T. In the limit of $\tilde{c} \to 0$ (cold and dry), $\delta\Gamma$ scales as \tilde{c} , which increases with T. In the limit of $\tilde{c} \to \infty$ (warm and humid), $\delta\Gamma$ scales as \tilde{c}^{-1} , which decreases with increasing T. Thus the integrand maximizes at some intermediate \tilde{c} .

To solve for the condition that maximizes buoyancy we solve for the \tilde{c} derivative of the integrand $\delta\Gamma$ in Eq. (28) and set it to zero:

$$\frac{d}{d\tilde{c}} \left(\Gamma_d \cdot \frac{a(1-k)\tilde{c}}{(1+a+\tilde{c})(1+\tilde{c})} \right) = 0 \tag{29}$$

If we assume that a, k, and Γ_d do not vary with T, the solution to Eq. (29) is

$$\tilde{c}_{\text{peak}} = \sqrt{1+a} \tag{30}$$

Thus the condition that maximizes buoyancy is $c_L = \sqrt{1 + ac_{pd}}$. In the limit of weak entrainment $a \to 0$, this reduces to $c_L = c_{pd}$. In the presence of entrainment, buoyancy peaks at a higher c_L and thus higher T_s all else equal. Entrainment reduces the latent heat released by the cooling parcel given the same q^* so it shifts the critical point that separates the vapor limited and cooling limited regimes toward higher q^* .

How important is the factor $\sqrt{1+a}$? For an entrainment rate representative of Earth's current climate a=0.2, the difference in peak T_s that corresponds to $c_L=c_{pd}$ and $c_L=\sqrt{1+a}c_{pd}$ are < 1.49 K (compare red and solid black line in Fig. 6a). This difference decreases with height and becomes negligibly small around the tropopause (e.g., 0.33 K at p=100 hPa), which explains why the criteria $c_L=c_{pd}$ works well for explaining the non-monotonicity of CAPE (Romps 2016). However, for stronger entrainment rates and for understanding the non-monotonicity of buoyancy in the lower troposphere the factor $\sqrt{1+a}$ can be important (e.g., 4.38 K for a=0.7 at the surface; compare red and solid black line in Fig. 6b).

How well do these criteria capture the T_s that maximizes buoyancy across the troposphere? We will first focus on $\delta\Gamma$, i.e. the integrand in Eq. (26). For a=0.2 both criteria capture the T_s that corresponds to the peak in $\delta\Gamma$ well (< 1.39 K for $c_L=\sqrt{1+a}c_{pd}$, < 2.87 K for $c_L=c_{pd}$, compare red and solid black line to dashed line in Fig. 6a). The small error arises even for the $c_L=\sqrt{1+a}c_{pd}$ criterion because $\Gamma_d(1-k)$ is weakly non-monotonic with T (Γ_d increases with T and (1-k) decreases with T), which we ignored in order to analytically solve Eq. (29). This error is amplified as we integrate $\delta\Gamma$ to obtain buoyancy Eq. (26) because the errors in the location of peak $\delta\Gamma$ from each level below accumulates for the location of peak B compare red and solid black line to dotted line in Fig. 6a).

For a higher entrainment parameter a=0.7 the importance of the factor $\sqrt{1+a}$ becomes clear. The error in T_s that corresponds to the peak in $\delta\Gamma$ is < 3.39 K for the $c_L=\sqrt{1+a}c_{pd}$ criterion compared to < 5.83 K for the $c_L=c_{pd}$ criterion (compare red and solid black line to dashed line in Fig. 6b). The error in T_s that corresponds to the peak in buoyancy is surprisingly lower for the $c_L=c_{pd}$ criterion (< 3.37 K) compared to the $c_L=\sqrt{1+a}c_{pd}$ criterion (< 4.66 K, compare red and solid black lines to dotted black line in Fig. 6b). This is because $c_L = c_{pd}$ underpredicts T_s for peak B in the lower troposphere, which offsets the growth of the larger error in peak $\delta\Gamma$ (compare solid black and dotted lines in Fig. 6b). The criteria $c_L = c_{pd}$ predicts the T_s of peak buoyancy better than $c_L = c_{pd}\sqrt{1+a}$ in some cases because of a cancelation of errors rather than for the right physical reason. For example the criteria $c_L = c_{pd}$ predicts no shift in T_s that maximizes B to perturbations in a while the criterion $c_L = \sqrt{1+a}c_{pd}$ captures the shift in peak $\delta\Gamma$ and B toward warmer T_s with increasing entrainment (Fig. 6c).

This non-monotonic behavior of buoyancy extends to the strength of the convective updraft. We model the updraft's specific kinetic energy, $\frac{1}{2}w^2$, using Eq. (1) from Del Genio et al. (2007):

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$$\frac{d}{dz}\left(\frac{1}{2}w^2\right) = a'B(z) - (1+b')\epsilon(z)w^2 \tag{31}$$

where a' and b' are dimensionless constants. We use a' = 1/6 and b' = 2/3 following Del Genio et al. (2007). $\epsilon(z)$ is the fractional entrainment rate, which is calculated following Eq. (3) in Romps (2016) with entrainment parameter a = 0.2 and precipitation efficiency PE = 0.35. Since w(z) is determined by the integral of the net force, which includes buoyancy, we expect the non-monotonic dependence on T_s extends to the vertical velocity profile as well.

Numerically integrating Eq. (31) confirms this expectation. The resulting vertical velocity varies non-monotonically with T_s (Fig. 7b). This leads w(z) becoming more top-heavy with warming, i.e. w decreases in the lower troposphere and increases in the upper troposphere (Fig. 7a).

Are these findings relevant to Earth's atmosphere, where convection is not strictly moist adiabatic and vertical velocity is subject to details and constraints not considered here such as cloud microphysics and radiative cooling? Singh and O'Gorman (2015) shows the 99.99th percentile vertical velocity in CM1 exhibits a response to surface temperature variations that is qualitatively consistent with Eq. (31) (compare our Fig. 7a to their Fig. 2). However, in their model the decrease in vertical velocity with warming is confined to the lower troposphere below $p \approx 900$ hPa whereas Eq. (31) predicts a decrease in vertical velocity with warming over a deeper layer of the atmosphere (e.g., below $z \approx 11$ km at 300 K). Thus to better understand the applicability and robustness of our results we analyzed vertical velocity in 9 convective-resolving models simulating radiative convective equilibrium in a 100 km x 100 km domain from the RCEMIP project (Wing et al. 2018). We look at the mean vertical velocity profiles for w exceeding the 99.9th percentile at each height level over the last 25 days of each simulation. The 99.9th percentile corresponds to the fastest 1000 samples of w per level per model. We focus on the strongest convective updrafts because the convective core of the strongest updrafts are most conducive to follow parcel profiles that are close to a moist adiabat (Riehl and Malkus 1958).

The vertical velocity profiles from the RCEMIP simulations show diverse $w_{>99.9}$ responses to 266 variations in surface temperature (295, 300, and 305 K, see Fig. 8). Some models exhibit a 267 clear top-heavy shift in $w_{>99.9}$ with warming (e.g., CM1, DAM, UCLA-CRM, UKMO, WRF) 268 accompanied by a decrease in $w_{>99.9}$ in the lower troposphere that is qualitatively consistent with 269 the moist adiabatic theory (Fig. 7a). SAM shows a top-heavy shift in $w_{>99.9}$ without a clear 270 decrease in $w_{>99.9}$ in the lower troposphere. In the remaining models the $w_{>99.9}$ response exhibits 271 non-monotonicity with T_s but the peak $w_{>99.9}$ does not necessarily increase. For example DALES 272 and SCALE predict a non-monotonic response in $w_{>99.9}$ with T_s at $z \approx 8$ km but the peak $w_{>99.9}$ 273 weakens from $T_s = 300$ to 305 K. MesoNH also predicts a decrease in peak $w_{>99.9}$ from $T_s = 300$ K to 305 K but predicts a non-monotonic response in $w_{>99.9}$ with T_s at $z \approx 3$ km, much lower than 275 in DALES and SCALE. The diversity in responses likely arises from differences in model details 276 such as parameterization schemes for cloud microphysics, radiative transfer, and turbulence in addition to emergent behavior such as convective organization that influence convective dynamics 278 beyond the basic thermodynamic processes considered here. Nonetheless, the presence of non-279 monotonicity and a shift toward increasingly top-heavy updraft velocity profiles in several models suggest that the underlying mechanism controlling non-monotonicity in moist adiabatic warming 281 may be playing a role in shaping the sensitivity of updraft velocity to surface temperature in models 282 that explictly resolve convective storms.

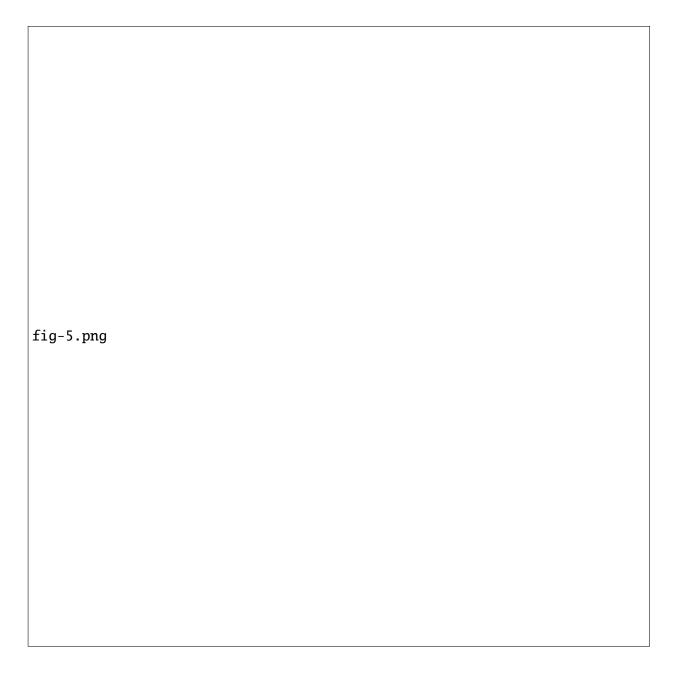
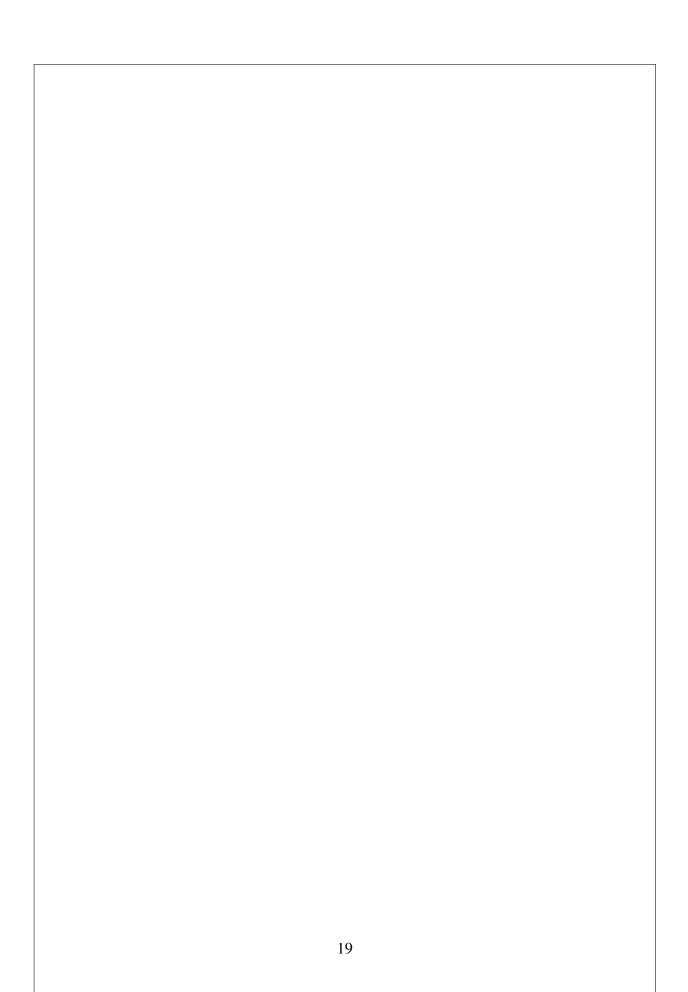


Fig. 5. Surface temperature T_s corresponding to the criteria $c_L = c_{pd}$ (solid), the minimum of the moist adiabatic lapse rate sensitivity to local temperature $\partial \Gamma_m/\partial T$ (dash dot), the minimum of the moist adiabatic lapse rate sensitivity to surface temperature $d\Gamma_m/dT_s$ (dashed), and the maximum of moist adiabatic warming ΔT (dotted). The theory most accurately captures the T_s corresponding to the minimum of $\partial \Gamma_m/\partial T$. The discrepancy between the theory and the T_s corresponding to the minimum of $d\Gamma_m/dT_s$ and ΔT are larger because the error at pressure p is the accumulation of errors at levels below p (see Eq. 7 and 3).



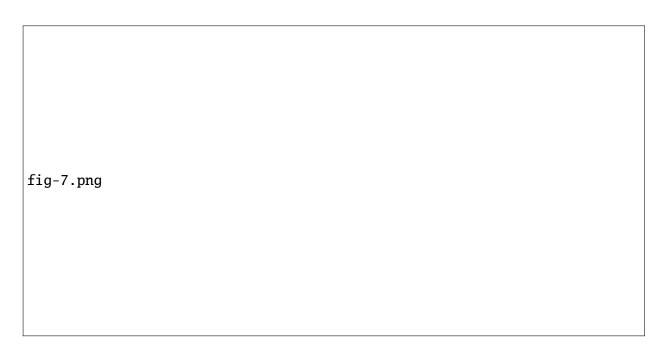


Fig. 7. (a) Vertical profiles of vertical velocity, calculated by numerically integrating Eq. (31) in height 296 using buoyancy B from Eq. (23). Vertical velocity decreases with surface temperature at lower levels while it increases with surface temperature at higher levels. (b) Vertical velocity varies non-monotonically with surface temperature at all levels, e.g. at 5, 10, 15, and 20 km. Vertical velocity peaks at warmer surface temperatures at 299 higher levels consistent with the behavior of buoyancy (Fig. 6a) and moist adiabatic warming (Fig. 5).

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4. Summary and Discussion

Moist adiabatic warming varies non-monotonically with respect to surface temperature. The 307 non-monotonicity arises because of a competition between two limiting factors of condensation: availability of water vapor and adiabatic cooling. At low surface temperatures, condensation is 309 limited by the availability of water vapor and thus moist adiabatic warming scales like Clausius-310 Clapeyron while at high surface temperatures condensation is limited by adiabatic cooling. The surface temperature where moist adiabatic warming peaks approximately follows $c_L = c_{pd}$, where 312 $c_L = L_v \partial q^* / \partial T$. The non-monotonicity of moist adiabatic warming propagates to buoyancy 313 because buoyancy scales as the difference between an entraining lapse rate and the moist adiabatic lapse rate. The surface temperature where buoyancy peaks approximately follows $c_L = c_{pd} \sqrt{1+a}$, 315 where a is the entrainment parameter as defined in Romps (2016). Finally the non-monotonicity of 316 buoyancy propagates to the vertical velocity profile of convective updrafts. Cloud resolving models 317 simulating radiative convective equilibrium exhibit diverse but qualitatively consistent responses in strong convective updrafts to surface temperature changes. 319

Seeley and Romps (2016) first noted the importance of the $c_L = c_{pd}$ criteria to explain why 320 buoyancy profiles are top heavy. Buoyancy maximizes where the saturation moist static energy difference between the environment and parcel (δh^*) are expressed as a temperature difference 322 (sensible enthalpy difference, $c_{pd}\delta T$) rather than a humidity difference (latent enthalpy difference, 323 $L_{\nu}\delta q^*$). Thus the ratio $\tilde{c} = c_L/c_{pd} = L_{\nu}\delta q^*/(c_{pd}\delta T)$ quantifies the transition in the layers of the atmosphere where δh^* is expressed largely in terms of $L_{\nu}\delta q^*$ (lower troposphere, where $\tilde{c}\gg 1$) 325 and in terms of $c_{pd}\delta T$ (upper troposphere, where $\tilde{c}\ll 1$). Seeley and Romps (2015) showed that 326 buoyancy at a fixed level varies non-monotonically with surface temperature (see their Fig. 2a) 327 and Romps (2016) explained the non-monotonicity of buoyancy to explain the non-monotonicity of CAPE with surface temperature. Following the same reasoning as in Seeley and Romps (2016), 329 Romps (2016) shows that buoyancy and thus CAPE peaks where $c_L = c_{pd}$. Here we find the 330 same criterion $c_L = c_{pd}$ also explains the non-monotonicity in moist adiabatic warming. We also expand on Romps (2016) by showing that a more general criterion for the T_s corresponding to the 332 peak in buoyancy is $c_L = c_{pd}\sqrt{1+a}$, which reduces to Romps (2016)'s criterion in the limit of 333 zero entrainment. The factor $\sqrt{1+a}$ is insignificant in Earth's current climate (e.g. for a=0.2, $\sqrt{1+a}$ = 1.09) thus Romps (2016)'s criterion works well for understanding the non-monotonicity

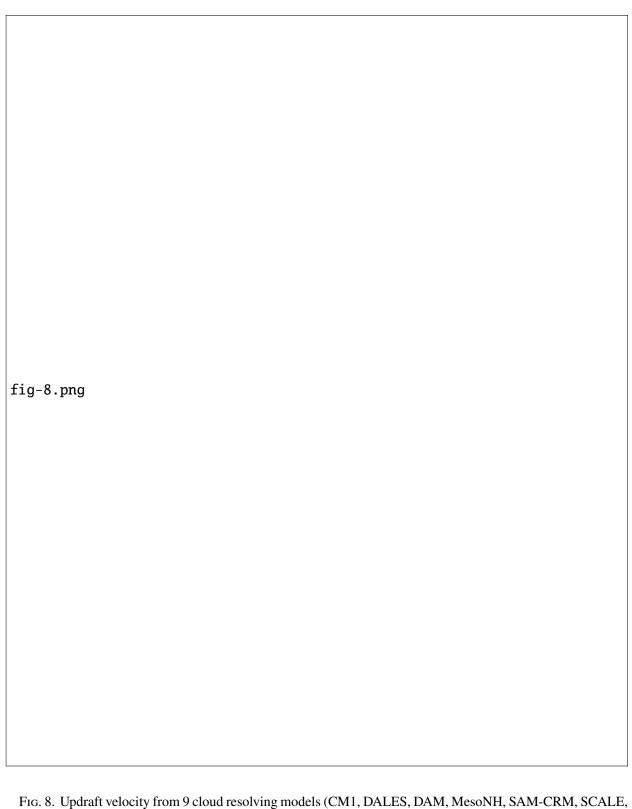


Fig. 8. Updraft velocity from 9 cloud resolving models (CM1, DALES, DAM, MesoNH, SAM-CRM, SCALE,

UCLA-CRM, UKMO-CASIM, and WRF) that participated in RCEMIP (Wing et al. 2018). The simulations are

on a 100 km \times 100 km periodic domain for uniform sea surface temperatures set to 295 (blue), 300 (black), and

305 K (red). Updraft velocity at each level is the mean of vertical velocities w that exceed the 99.9th percentile

(w>99.9).

of CAPE. However, the factor $\sqrt{1+a}$ becomes important for generalizing the theory to stronger 336 entrainment rates and for understanding the non-monotonicity of buoyancy in the lower troposphere. 337 The non-monotonicity of moist adiabatic warming may have additional implications for climate, 338 such as the organization of convection and the large-scale circulation response to warming. For 339 example, ? explain the mechanism behind the 2% K⁻¹ scaling of the mean and extreme upper-level wind response to warming by assuming the atmosphere is moist adiabatic. The non-monotonicity of moist adiabatic warming would drive a non-monotonic change in the meridional and zonal 342 temperature gradients at fixed height or pressure levels. This could serve as a thermodynamically 343 driven hypothesis for the potential of non-monotonicities to emerge in response surface warming 344 of dynamics such as the jet stream wind, extratropical cyclones, and mean overturning circulations. 345

I thank Andrew Williams, Jiawei Bao, Jonah Bloch-Johnson, Martin Singh, Acknowledgments. Stephen Po-Chedley, Nadir Jeevanjee, and two anonymous reviewers for helpful discussions and 347 feedback on the manuscript. 348

All scripts used for analysis and plots in this paper are available at Data availability statement. https://github.com/omiyawaki/miyawaki-2025-nonmonotonic-moist-adiabat. They 350 will also be archived on Zenodo upon publication. 351

APPENDIX A

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Calculation of Moist Adiabatic Profiles

The moist adiabatic profiles are calculated numerically by assuming that saturation moist static 354 energy h is conserved, where: 355

$$h = c_p T + gz + L_v q^* \tag{A1}$$

Here, T is temperature, z is height, q^* is the saturation specific humidity, g is the acceleration 356 due to gravity, c_p is the specific heat of dry air at constant pressure, and L_v is the latent heat of 357 vaporization. All thermodynamic constants are defined in Table A1. Saturation vapor pressure is 358 calculated using Eq. C1 (Bolton 1980).

The calculation proceeds in discrete vertical steps of $\Delta p = 50 \,\mathrm{Pa}$). For a given surface temperature 360 (T_s) and surface pressure (p_s) , h is first calculated at the surface (z=0) and is held constant over height. At each subsequent pressure step p_{i+1} , the height z_{i+1} is calculated using hydrostatic balance. Then, a numerical root-finding algorithm (scipy.optimize.root_scalar with the Brentq method) is used to find the temperature T_{i+1} that satisfies the condition that the h at $(T_{i+1}, p_{i+1}, z_{i+1})$ is equal to the surface h.

To demonstrate that the non-monotonic warming is independent of the vertical coordinate, the

To demonstrate that the non-monotonic warming is independent of the vertical coordinate, the results are also presented in height coordinates (Fig. A1). These profiles are obtained by following the same calculation as above except stepping in uniform intervals $\Delta z = 100$ m. The pressure p_{i+1} at height z_{i+1} is calculated using hydrostatic balance.

fig-a1.png

Fig. A1. The moist adiabatic warming response to a 4 K surface warming in pressure coordinates. (a) Vertical profiles of the temperature response (ΔT) as a function of pressure for surface temperatures (T_s) 280, 290, 300, 310, and 320 K. (b) The warming (ΔT) at 5 km, 10 km, 15 km, and 20 km as a function of T_s . The non-monotonic behavior seen in height coordinates (Fig. 1c) is also evident in pressure coordinates.

APPENDIX B

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Effect of Latent Heat of Fusion on Moist Adiabatic Warming

We assess how latent heat of fusion influences the non-monotonicity of moist adiabatic warming.
We follow the IFS Cycle 40 approximations as summarized by Flannaghan et al. (2014). The

TABLE A1. Thermodynamic constants used in the calculation of moist adiabatic profiles.

Symbol	Description	Value	Units
g	Acceleration due to gravity	9.81	${\rm m}~{\rm s}^{-2}$
c_p	Specific heat of dry air	1005.7	$\rm J \ kg^{-1} \ K^{-1}$
R_d	Gas constant for dry air	287.05	$\rm J \ kg^{-1} \ K^{-1}$
R_{v}	Gas constant for water vapor	461.5	$\rm J \ kg^{-1} \ K^{-1}$
ϵ	Ratio of gas constants (R_d/R_v)	0.622	dimensionless
p_s	Surface pressure	1000	hPa
L_{v}	Latent heat of vaporization	2.501×10^6	$\rm J~kg^{-1}$

fraction of liquid water α varies with T as follows:

$$\alpha(T) = \begin{cases} 0, & T \le T_{\text{ice}}, \\ \left(\frac{T - T_{\text{ice}}}{T_0 - T_{\text{ice}}}\right)^2 & T_{\text{ice}} < T < T_0, \\ 1 & T \ge T_0, \end{cases}$$
(B1)

where $T_{\text{ice}} = 253.15 \text{ K}$ and $T_0 = 273.15 \text{ K}$. Thus all condensate is ice below 253.15 K, all condensate is liquid above 273.15 K, and a quadratic transition occurs in between.

The saturation vapor pressure e^* is the weighted average over liquid (e_i^*) and ice (e_i^*) :

$$e^* = \alpha e_\ell^* + (1 - \alpha)e_i^* \tag{B2}$$

The saturation vapor pressure over liquid and ice is:

$$e_{\ell,i}^*(T) = a_1 \exp\left(a_3 \frac{T - T_0}{T - a_4}\right)$$
 (B3)

where over liquid $a_1 = 611.21$ Pa, $a_3 = 17.502$, $a_4 = 32.19$ K (Buck 1981) and over ice $a_1 = 611.21$

Pa, $a_3 = 22.587$, $a_4 = -0.7$ K (Alduchov and Eskridge 1996).

The effective latent heat of vaporization $L_e^*(T)$ includes both condensation and fusion:

$$L_e^*(T) = L_v + (1 - \alpha)L_f$$
 (B4)

where $L_f = 0.334 \times 10^6 \text{ J kg}^{-1}$ is the latent heat of fusion.

Moist adiabats including fusion are obtained by solving for T that conserves moist static energy with the effective latent heat L_e :

$$h = c_{pd}T + gz + L_eq^* (B5)$$

The vertical profiles of warming ΔT and the warming at fixed pressure levels versus surface temperature exhibit similar non-monotonic behavior to the case without fusion (compare Fig. 1 and B1). Latent heat of fusion introduces a secondary local maximum in the warming in the mid troposphere (500 hPa) due to the additional energy release from fusion. When the secondary peak is to the right of the primary peak the T_s corresponding to peak warming shifts to colder T_s with fusion (points below the 1:1 line in Fig. B1). As the secondary peak overlaps with the primary peak the T_s corresponding to peak warming shifts to warmer T_s with fusion (points above the 1:1 line in Fig. B1). This effect is greatest (6.03 K) at 727 hPa. Since fusion represents a secondary effect and complicates analytical treatment, we neglect it for the rest of the paper.

APPENDIX C

Effect of Saturation Vapor Pressure Formula on Moist Adiabatic Warming

The calculation of moist adiabatic warming profiles depends on the choice of the saturation vapor pressure formula. To assess the sensitivity of surface temperatures associated with peak moist adiabatic warming to different formula we test three formula: Bolton (1980), Goff-Gratch (List 1949), and Murphy and Koop (2005).

The Bolton (1980) formula is:

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$$e^* = 6.112 \exp\left(\frac{17.67(T - 273.15)}{T - 29.65}\right)$$
 [hPa], (C1)

The Goff-Gratch formula is:

$$\log_{10} e^* = -7.90298 \left(\frac{373.16}{T} - 1 \right) + 5.02808 \log_{10} \left(\frac{373.16}{T} \right)$$

$$-1.3816 \times 10^{-7} \left(10^{11.344(1-T/373.16)} - 1 \right)$$

$$+8.1328 \times 10^{-3} \left(10^{-3.49149(373.16/T-1)} - 1 \right)$$

$$+ \log_{10} (1013.246) \quad [\text{hPa}] \quad (C2)$$

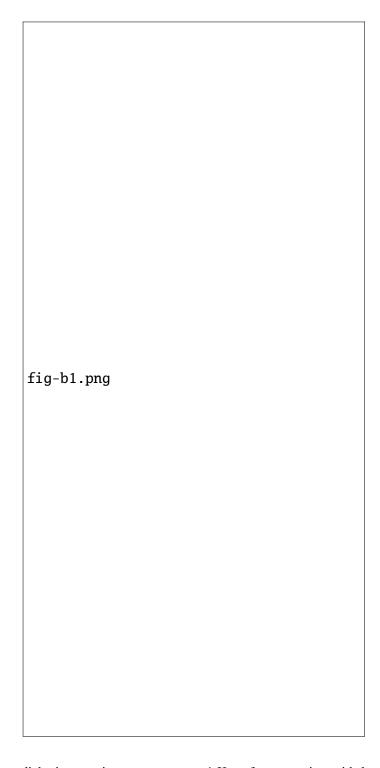


Fig. B1. The moist adiabatic warming response to a 4 K surface warming with latent heat of fusion. (a)
Vertical profiles of the temperature response (ΔT) as a function of pressure for surface temperatures (T_s) of 280,
290, 300, 310, and 320 K. (b) The warming (ΔT) at fixed pressure levels of 500, 400, 300, and 200 hPa as a
function of T_s . (c) T_s corresponding to peak warming with and without fusion.

The Murphy and Koop (2005) formula is:

$$\ln e^* = 54.842763 - \frac{6763.22}{T} - 4.210 \ln T + 0.000367T + \tanh (0.0415(T - 218.8)) \left(53.878 - \frac{1331.22}{T} - 9.44523 \ln T + 0.014025T \right)$$
 [Pa], (C3)

- where T is in Kelvin for all 3 formula.
- Bolton (1980) is sufficiently accurate for the purposes of evaluating the T_s that leads to maxima in moist adiabatic warming (Fig. C1). The differences in peak T_s across the three saturation vapor pressure formula are small, with the largest deviation being 0.27 K between Bolton and Goff-Gratch and 0.34 K between Bolton and Murphy-Koop. Thus we use Bolton (1980) for the rest of the paper.

fig-c1.png

Fig. C1. (a) T_s corresponding to peak warming using Bolton (1980) and Goff-Gratch saturation vapor pressure formula. (b) Same as (a) but comparing Bolton (1980) and Murphy and Koop (2005).

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