# Final Year B.Tech. Project-II Report

On

# AI-Powered Therapy Analytics for Mental Health Using Sentiment Analysis

For the Degree of
Bachelor of Technology
In
Computer Science and Engineering

Submitted By

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# Department of Computer Science and Engineering Walchand College of Engineering, Sangli

(An Autonomous Institute) **AY 2024-25** 



# Walchand College of Engineering, Sangli.

(An Autonomous Institute)

## **Department of Computer Science and Engineering**

#### **CERTIFICATE**

This is to certify that the Project Report entitled, AI-Powered Therapy Analytics for Mental
Health Using Sentiment Analysis
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to Walchand College of Engineering, Sangli, India, is a record of bonfire Project work of course "PROJECT II - (6CS492)" carried out by Onkar Yemul, Anurag Takalkar, Omkar Auti, Akash Metkari under my supervision and guidance and is worthy of consideration for the award of the degree of Bachelor of Technology in Computer Science & Engineering during the academic year 2024-25.

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# **Declaration**

We hereby declare that work presented in this project report titled "AI-Powered Therapy Analytics for Mental Health Using Sentiment Analysis" submitted by us in the partial fulfilment of the requirement of the award of the degree of Bachelor of Technology (B.Tech.) Submitted in the Department of Computer Science & Engineering, Walchand College of Engineering, Sangli, is an authentic record of my project work carried out under the guidance of Prof. M. K. Chavan.

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# **Abstract**

Mental health is a critical determinant of an individual's overall well-being, influencing physical health, cognitive functioning, and social interactions. According to the World Health Organization (WHO), nearly 970 million people worldwide suffer from mental disorders, with depression and anxiety being the most prevalent. Studies indicate that mental health disorders contribute to 14.3% of global deaths annually, either directly or through related physical health conditions. Furthermore, untreated mental health conditions can reduce life expectancy by 10 to 20 years, emphasizing the urgent need for early detection and intervention.

This research leverages Natural Language Processing (NLP) and Sentiment Analysis to assess emotional states by analysing Cognitive Behavioural Therapy (CBT) audio recordings and textual inputs. Research suggests that social media behaviour and speech patterns can predict depressive symptoms with up to 80% accuracy, making linguistic and acoustic analysis a valuable tool for mental health assessment. Machine learning models process CBT session transcripts and audio features to extract emotional cues, helping individuals gain deeper insights into their mental wellbeing.

To enhance accessibility and user engagement, the results are visualized on an interactive dashboard, providing real-time mental health insights based on audio and text analysis. Users can track emotional trends, monitor progress, and receive data-driven feedback to support self-improvement strategies.

Despite the technological advancements, privacy concerns remain a key challenge. Studies show that over 60% of individuals are apprehensive about the misuse of personal health data. To address this, the proposed system integrates end-to-end encryption, federated learning models, and anonymization techniques to ensure data security while enabling effective mental health assessments.

This study aims to bridge the gap between mental health awareness and early detection by combining CBT-driven AI analytics with interactive visualization tools. By integrating machine learning with behavioural data analysis, this research contributes to personalized, data-driven mental health interventions, ultimately fostering improved psychological well-being and quality of life.

#### **Keywords:**

Sentiment Analysis, Mental Health, BERT, FL-BERT+DO, Deep Learning, Text Classification, CNN, LSTM, Federated Learning, Visualization Dashboards

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## 1.Introduction

Mental health has become a growing concern in today's fast-paced and digitally driven world, with increasing cases of stress, anxiety, depression, and other psychological disorders being reported globally. The growing volume of user-generated textual data on digital platforms provides an opportunity to explore linguistic patterns associated with mental health conditions. Sentiment analysis and emotion detection techniques, powered by Natural Language Processing (NLP), have emerged as effective tools for understanding psychological states through text data.

Recent advancements in Deep Learning and NLP have significantly improved the ability to classify and interpret emotional and psychological content. Models such as Convolutional Neural Networks (CNN), Long Short-Term Memory (LSTM), and transformer-based architectures like BERT (Bidirectional Encoder Representations from Transformers) have demonstrated enhanced performance in mental health classification tasks. Furthermore, hybrid models combining CNN and LSTM and optimized variants like Fine-Tuned BERT with Dropout Optimization (FL-BERT + DO) offer greater accuracy and contextual understanding.

In addition to classification, the integration of interactive dashboards, AI-powered session analysis tools, and client progress visualization platforms has further enabled the practical application of these technologies in therapeutic environments. Ethical considerations such as data privacy, model bias mitigation, and fairness are also critical to ensure responsible deployment of such systems in real-world mental health care settings.

#### 1.1 Motivation:

- **Rising Mental Health Challenges:** In today's fast-paced and digitally connected world, mental health issues such as depression, anxiety, stress, and suicidal thoughts are becoming increasingly common. This project is motivated by the need to proactively address these growing psychological concerns using technology.
- Lack of Early Detection Systems: Mental health conditions often go undiagnosed due to the lack of timely detection and professional support. This project aims to fill that gap by using machine learning and NLP techniques to identify early signs of mental health disorders through textual data.
- Need for Technology-Driven Psychological Support: Traditional therapy approaches alone are often not scalable. By leveraging AI-powered classification models and interactive dashboards, we aim to enhance support systems available to both therapists and individuals seeking help.
- Providing Meaningful Insights from Everyday Communication: People often express their emotions through messages, social media posts, or journals. This project uses such data to uncover hidden emotional patterns and psychological conditions, thereby offering deeper insights into mental well-being.
- Improving Clinical Decision-Making and Therapy Outcomes: Through visual dashboards, session analysis modules, and automated documentation tools, the project

- supports mental health professionals in making informed decisions, monitoring progress, and enhancing therapy outcomes.
- Bridging the Gap Between Data and Actionable Solutions: A major problem today is the abundance of mental health-related data without actionable insights. This project bridges that gap by transforming raw textual data into meaningful classifications and visualizations that drive real-world interventions.
- Ensuring Ethical and Inclusive Mental Health Solutions: The motivation also lies in creating responsible AI systems that ensure data privacy, reduce bias in model predictions, and promote inclusivity in mental health care delivery.

## 1.2 Objectives:

# 1. To identify and address challenges faced by therapists and clients in mental health assessment and emotional diagnosis through AI

- Analyze limitations of traditional mental health assessments, including subjectivity, delayed diagnosis, and inconsistent monitoring.
- Develop AI-powered tools to automate emotion recognition and session analysis for more objective, real-time support.

#### 2. To acquire and preprocess a comprehensive dataset relevant for emotion analysis

- Collect and merge diverse mental health-related datasets (e.g., therapy transcripts, emotion-labelled text) to ensure balanced class representation.
- Apply preprocessing techniques such as noise removal, tokenization, lemmatization, and stemming to prepare clean input data.

# 3. To explore and understand the applicability of deep learning architecture for emotion and sentiment classification in clinical text

- Study and compare deep learning models like CNN, LSTM, and BERT for their ability to capture linguistic and contextual emotional cues.
- Evaluate architecture suitability for psychological text analysis based on model complexity, interpretability, and sequence handling.

#### 4. To develop and fine-tune robust multi-class classification models

- Implement models that classify text into multiple mental health categories such as Depression, Anxiety, and Bipolar Disorder.
- Fine-tune hyperparameters, embedding techniques, and training configurations to optimize performance on imbalanced emotional data.

# 5. To evaluate model performance using various evaluation metrics to determine the most effective algorithm

- Use metrics like Accuracy, Precision, Recall, and F1-score to assess classification effectiveness across all mental health classes.
- Perform cross-validation and confusion matrix analysis to understand misclassification trends and areas for improvement.

# 6. To achieve higher accuracy than existing baseline models and identify key factors contributing to this improvement

- Benchmark developed models against prior research results and identify performance gaps.
- Analyze the influence of model architecture, feature engineering, and preprocessing choices on overall accuracy gains.

#### 7. To design Interactive Visualization Dashboards for Clinical Use

- Build dashboards to track emotional trends, therapy progress, and cognitive triggers visually.
- Enable therapists to interpret session data easily through charts, timelines, and AI-powered session summaries.

#### 8. To explore Future Scope, Ethical Considerations, and Real-World Applications

- Investigate use cases such as AI-powered mental health chatbots, early detection tools, and personalized therapy assistants.
- Ensure responsible AI deployment by addressing privacy, data security, bias mitigation, and model transparency.

### 1.3 Problem Statement:

To develop and analyse an **AI-driven mental health assessment system** that leverages Cognitive Behavioural Therapy (CBT) **audio recordings and text inputs**, integrating Natural Language Processing (NLP) and **Sentiment Analysis** for emotional state detection. The system will provide **real-time insights through an interactive dashboard**, enabling users to track their mental well-being and progress. Additionally, robust privacy measures, federated learning, will be implemented to ensure secure and confidential data handling.

## 2. Literature Review

Recent advancements in Natural Language Processing (NLP) have significantly improved the accuracy and efficiency of sentiment analysis systems.

Bello et al. [1] proposed a BERT-based sentiment analysis framework integrated with deep learning classifiers like CNN, RNN, and BiLSTM, achieving state-of-the-art performance on tweet datasets. Their study demonstrated that the BERT transformer model outperforms traditional methods such as Word2Vec, CNN, and RNN due to its superior contextual word representation capabilities.

Similarly, Atandoh et al. [2] introduced an integrated deep learning paradigm called B-MLCNN, which achieved remarkable accuracy across multiple datasets including IMDB and Amazon reviews. The model outperformed baseline architectures such as BERT and CNN, and statistical validation using the Friedman test confirmed its superior performance.

Zhang et al. [3] advanced this field further by combining BERT embedding with a sliced multihead self-attention Bi-GRU model, enhancing both classification accuracy and training efficiency compared to conventional RNN-based approaches.

In another study, Aslan et al. [4] developed a TCNN-Bi-LSTM model using FastText embeddings to analyze sentiments of tweets related to COVID-19 vaccines. Their model significantly outperformed baseline deep learning and traditional machine learning techniques, highlighting the effectiveness of FastText over other embedding techniques like GloVe and TF-IDF in this context.

In the legal domain, Abimbola et al. [5] designed a CNN-LSTM hybrid model for sentiment classification in Canadian maritime case law, achieving an impressive accuracy of 98.05%. The CNN component extracted prominent features from the text, while the LSTM effectively captured temporal dependencies and contextual meaning.

A broader survey conducted by Rahman et al. [6] presented a state-of-the-art review on sentiment analysis, discussing various models, applications, and ongoing challenges, while emphasizing the importance of NLP in decision-making and customer behaviour analysis.

Furthermore, Ahsan et al. [7] addressed privacy concerns in mental health sentiment analysis by proposing a FL-BERT+DO model, which integrates federated learning with data obfuscation techniques. Their framework not only provided high predictive performance but also ensured enhanced data privacy, outperforming baseline FL-DP methods in both accuracy and privacy protection.

# 3. Proposed Methodology

# 3.1 Dataset Description

#### **Data Overview**

The dataset is categorized into six mental health statuses as seen in table 1:

Table 1 summarizes the distribution of samples across six distinct emotional categories—happy, sadness, anger, fear, love, and surprise. It highlights the class imbalance that exists in the dataset, with 'happy' and 'sadness' dominating the data.

Emotions	Count
happy	7028
sadness	6265
anger	2992
fear	2651
love	1641
surprise	879

Table 1: Emotions vs count

The following bar chart visualizes the count of instances across various emotional classes, reinforcing the class imbalance observed in the dataset as shown in fig.1.

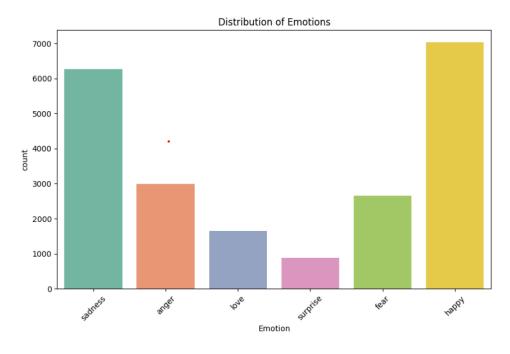


Fig1. Distribution of Emotions of Given Dataset

Below is the pie chart, shown in fig. 2, showing the proportional representation of each emotion in the dataset, enabling a clearer view of class distribution.

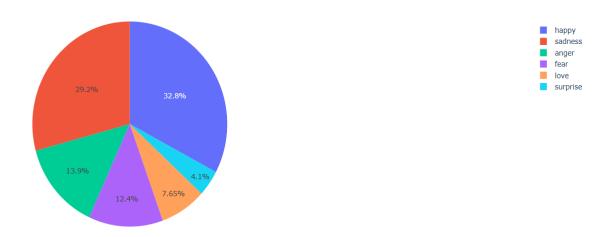


Fig2. Pie-Chart representing Percentage of statements of Emotions across Dataset

Each record in the dataset comprises:

- unique id: A unique identifier for each entry.
- statement: The textual data or post.
- Emotion: The assigned category based on sentiment and mental health analysis.

# 3.2 Data Preprocessing

To prepare the data for sentiment analysis, the text was subjected to cleaning, tokenization, stopword removal, lemmatization, and stemming using NLTK. This ensured that the processed statements retained essential linguistic features while reducing noise.

# 3.2.1 Text Cleaning

- Lowercasing: Converts all text to lowercase.
- Removing Special Characters & Punctuation: Eliminates non-alphabetic characters.
- Tokenization: Splits text into individual words.
- Stopword Removal: Removes common words that do not contribute to sentiment (e.g., "the," "is," "and").

#### 3.2.2 Text Normalization

- Lemmatization: Converts words to their base form (e.g., "running"  $\rightarrow$  "run").
- Stemming: Further reduces words to their root form (e.g., "running" → "run")

#### 3.2.3 Word Frequency Analysis

A word frequency analysis was conducted on the processed text for each mental health category.

Fig. 3 represents the histogram which shows how the length of text statements varies across the dataset. It helps in setting sequence lengths for model input.

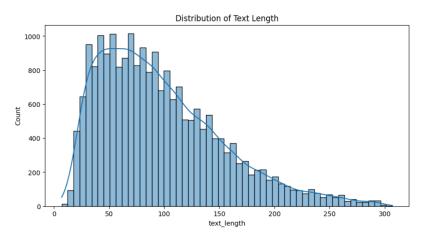


Fig3. Distribution of Text Length vs Count

Below figure displays how average character count per statement varies per emotional class, which is useful in understanding the verbosity of text samples as depicted in fig.4.

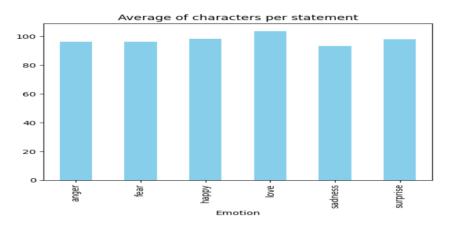


Fig4. Distribution of Characters per statement

Below figure ie. Figure 5 shows the line plot indicating the average word count per statement across emotional categories, providing insights into linguistic expression across emotions.

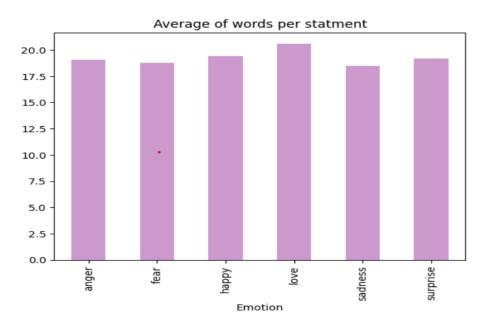


Fig5. Average of words per statement

Using word clouds and word importance ranking, the most commonly occurring words in each category were identified as shown in fig. 6.

Figure 6 show this visualization by displaying frequently occurring words in each emotional category, with size indicating frequency. It aids in identifying dominant emotional terms.



Fig.6 Word cloud of various Emotions

# 3.3 Algorithmic Methodology

### 3.3.1 Why Do We Require Algorithms for Sentiment Analysis?

The following table shown in table 1, provides a comparative summary of traditional and deep learning methods for sentiment analysis, helping justify the model selection in this work.

Technique	Strengths	Limitations
Lexicon-Based Approach	Simple and does not need training data.	Limited to predefined words, lacks context.
Corpus-Based Approach	Expands vocabulary dynamically.	Requires large datasets for good accuracy.
Dictionary-Based Approach	Uses existing dictionaries for analysis.	Cannot handle slang or new words.
Machine Learning Approach	Learns patterns from data; adapts to different domains.	Requires labelled data; computationally expensive.
Naïve Bayes Classifier	Fast, scalable, and works well for text classification.	Assumes feature independence, which is often incorrect.
Support Vector Machine	Handles high-dimensional data effectively.	Computationally expensive, slow for large data.
Neural Networks	Captures complex patterns; highly accurate.	Requires high computing power; prone to overfitting.
Decision Tree Classifier	Easy to interpret and implement.	Less precise; requires feature engineering.
Hybrid Approaches	Combines multiple methods for better accuracy.	Computationally expensive and difficult to tune.

Table 1: Different Techniques of Sentiment Analysis with strength and Limitations

Sentiment analysis involves the process of extracting opinions, emotions, and attitudes from textual data. However, human language is highly complex, with varying grammatical structures, contextual meanings, and emotional undertones. Manually analysing large volumes of text is impractical and inaccurate. Therefore, machine learning and deep learning algorithms are employed to automate this process effectively.

These algorithms are essential because:

- They **learn hidden patterns** from data automatically.
- They capture contextual sentiment in varying linguistic forms.
- They scale easily to massive datasets.
- They **improve classification performance** over time with more data.

Using deep learning models like CNN, LSTM, ensemble models, and Fine-Tuned BERT with Dropout Optimization (FL-BERT + DO) helps capture both local syntactic patterns and long-range dependencies in text while ensuring contextual awareness.

# 3.3.2. Algorithms and Their Mechanisms / Architecture with Sentiment Analysis

## **Convolutional Neural Network (CNN)**

- 1. Sequence Analysis and Padding:
  - Length Distribution: Histograms of tokenized sentence lengths are plotted for both train and test sets to determine an appropriate maximum sequence length.
  - Padding: All sequences are padded to a fixed maximum length (30 tokens) using pad sequences, ensuring uniform input dimensions for the CNN.
- 2. Label Encoding:
  - Encoding Labels: Emotion labels are encoded into integers using Label Encoder.
  - One-Hot Encoding: These integer labels are then one-hot encoded using to\_categorical to prepare them for training with a SoftMax output layer.
  - Class Mapping: A mapping of original emotion labels to encoded integers is printed for reference.

#### 3. Model Architecture (CNN):

Fig. 7 shows the architectural diagram of CNN illustrating the flow of data through the CNN model, starting from embedding to convolutional layers and leading to dense layers for emotion classification.

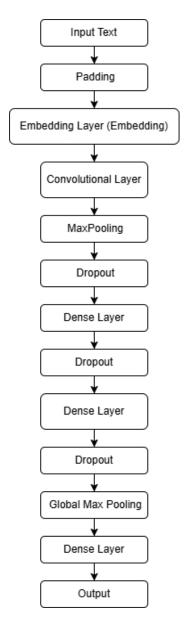


Fig7. Architectural Diagram of CNN

- Embedding Layer: Converts input word indices to 300-dimensional dense vectors. Convolutional Layer: A Conv1D layer with 64 filters and a kernel size of 8 is applied to capture local n-gram patterns in text.
- Pooling and Dropout: A MaxPooling1D layer reduces dimensionality, and a Dropout layer (rate = 0.1) helps prevent overfitting.
- Fully Connected Layers: Two dense layers (with 8 and 4 units respectively) and additional dropout are used to learn complex representations.
- Global Pooling: GlobalMaxPooling1D reduces the feature map into a single vector. Output Layer: A Dense layer with 6 units (one per emotion class) and SoftMax activation is used for multi-class classification.

• Compilation: The model is compiled using the Adam optimizer (with a learning rate of 0.0001) and categorical\_crossentropy as the loss function.

#### 4. Model Training:

- Training Loop: The model is trained for 10 epochs with a batch size of 64.
- Validation: Evaluation is performed on the test set after each epoch to track overfitting and generalization.

Fig. 8. show a graph demonstrating the model's learning progression over epochs. It shows how accuracy increases with increasing no. of epochs.

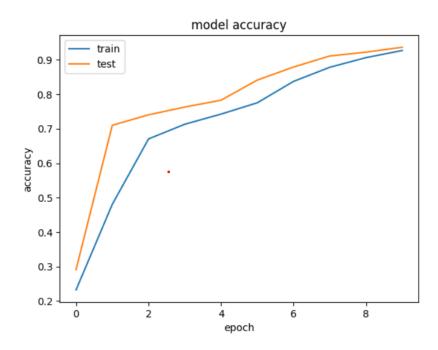


Fig8. CNN Model Accuracy vs Epoch

The graphs shown in fig. 9 demonstrate the model's learning progression over epochs. A decreasing loss and increasing accuracy indicate successful learning.

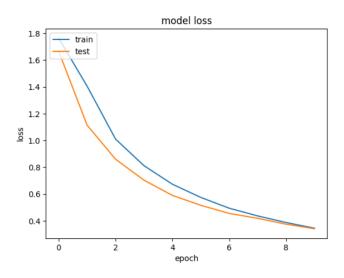


Fig9. CNN Model Loss vs Epoch

#### 5. Evaluation and Visualization:

• Classification Metrics: Predictions on the test set are compared with true labels using classification\_report, showing precision, recall, and F1-score for each emotion class.

The confusion matrix plotted in fig. 10 compares predicted versus actual emotion classes by CNN model, helping identify misclassifications and performance consistency.

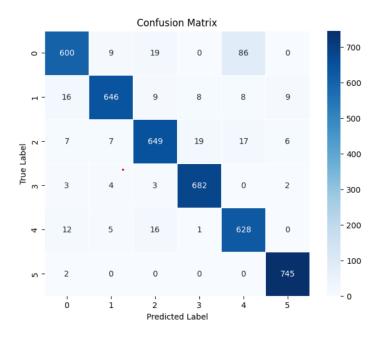


Fig10. CNN Confusion Matrix

#### 8. Inference on New Texts:

- Text Input: Example sentences and paragraphs are tokenized, padded, and passed to the trained model.
- Prediction and Decoding: Predicted class indices are converted back to emotion labels using the saved Label Encoder.
- Confidence Scores: SoftMax probabilities for each emotion class are displayed for interpretation of model certainty.
- 9. Model Saving and Deployment:
  - Saving Artifacts: The trained model (.h5), tokenizer (tokenizer.pkl), and label encoder (label\_encoder.pkl) are saved to disk for reuse.
  - Reloading for Inference: These saved files can be reloaded to classify new text without retraining the model.

# **Long Short-Term Memory (LSTM)**

The model was built using the Sequential API from TensorFlow Keras, designed for multi-class emotion classification based on text input.

Following Fig. 11 shows the architecture diagram of LSTM indicating the various layers and components used, showing its structure to capture temporal dependencies in text.

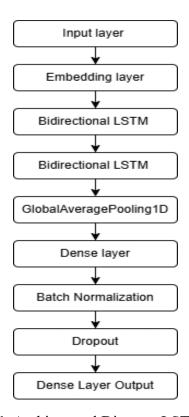


Fig11. Architectural Diagram LSTM

- Input Layer: Receives padded sequences of 50 tokens per text input.
- Embedding Layer: Converts tokens into dense 128-dimensional vectors, enabling the model to learn semantic relationships between words.
- Bidirectional LSTM Layers (×2): Two stacked Bidirectional LSTM layers, each with 256 units and a dropout rate of 0.2, allow the model to capture contextual dependencies from both past and future word sequences.
- GlobalAveragePooling1D: Aggregates the LSTM outputs by averaging across time steps, reducing the dimensionality for the dense layers.
- Dense Layers:
  - One dense layer with 512 units and ReLU activation, followed by Batch Normalization and Dropout (0.1) to prevent overfitting.
  - Another dense layer with 128 units, also followed by Batch Normalization and Dropout (0.1).
- Output Layer: A SoftMax-activated layer with 6 units, corresponding to the six emotion classes, to output class probabilities.

The model was compiled using the Adam optimizer with a learning rate of 0.001 and categorical cross entropy loss, suitable for handling multiple emotion categories. To enhance training efficiency and generalization, the following callbacks were applied:

- Early Stopping: Stops training if validation loss doesn't improve for 3 consecutive epochs and restores the best model weights.
- ReduceLROnPlateau: Automatically reduces the learning rate by half if the validation loss plateaus for 2 epochs.
- Model Checkpoint: Saves the best-performing model (based on validation loss) to a file named best\_model.h5.

#### Evaluation and Visualization:

• Classification Metrics: Predictions on the test set are compared with true labels using classification\_report, showing precision, recall, and F1-score for each emotion class.

The normalized confusion matrix as plotted in fig. 12 compares predicted versus actual emotion classes by LSTM model, helping identify misclassifications and performance consistency.

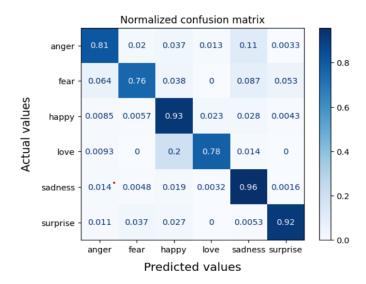


Fig12. Confusion Matrix LSTM

The following plot as shown in fig. 13 highlights how model loss evolves during training, useful for spotting overfitting or underfitting.

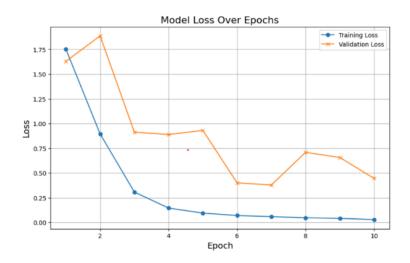


Fig13. LSTM Model Loss vs Epoch

### **Ensemble of CNN and LSTM**

As shown in below Fig. 14 which depicts the hybrid model architecture combining CNN's spatial pattern recognition with LSTM's temporal sequence to enhance emotion classification.

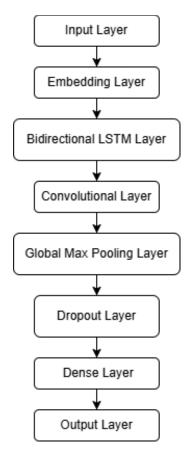


Fig14. Architectural Diagram of CNN + LSTM

The details of each step is given below:

**Input Layer:** The model begins with the input layer, where each text sample is converted into a sequence of tokens. To ensure uniform input dimensions, all sequences are either padded or truncated to a fixed length, typically 100 tokens. This step allows the model to process batches of data efficiently while preserving sufficient context from the text for accurate sentiment analysis.

**Embedding Layer:** The embedding layer transforms each token in the sequence into a dense vector representation. Pre-trained word embeddings such as GloVe or Word2Vec are commonly used here, which help the model capture the semantic meaning of words. Each token index is mapped to a vector of dimension 100 or 300, resulting in an output of shape (sequence length, embedding dimension). This layer essentially converts sparse token indices into meaningful, dense vectors that carry contextual information about the words.

Convolutional Layer (1D CNN): Next, the embedded sequences are passed through a 1D convolutional layer. This layer applies multiple filters (typically 100) with a kernel size of 3 to capture local patterns and key phrases in the text. The convolution operation slides across the sequence, detecting important n-gram features like bigrams and trigrams that are crucial for

sentiment cues. For example, phrases such as "not good" or "very bad" are effectively captured at this stage.

**Max Pooling Layer:** Following the convolutional layer, a max pooling layer is applied to reduce the dimensionality of the feature maps. By selecting the maximum value from each pooling window, this layer retains only the most significant features while discarding less relevant information. This not only reduces the computational complexity but also helps the model become more robust by focusing on the most important local features extracted by the CNN.

**LSTM Layer:** The pooled features are then fed into an LSTM (Long Short-Term Memory) layer. With 128 memory units, this layer processes the sequential data to capture long-term dependencies and contextual relationships within the text. The LSTM's gating mechanisms enable it to remember important information over longer distances, which is especially beneficial in sentiment analysis where words like "not" can change the meaning of words that appear later in the sentence.

**Dense Layer:** After the LSTM layer, the output is passed through a fully connected dense layer with 64 neurons. This layer applies a ReLU activation function to introduce non-linearity and further transforms the learned features into a higher-level representation. This helps the model to better differentiate between subtle variations in sentiment expressions, thereby enhancing its classification ability.

**Output Layer:** Finally, the model ends with an output layer that generates the sentiment prediction. For binary classification tasks, a single neuron with a sigmoid activation function is used to output a probability between 0 and 1, indicating positive or negative sentiment. In the case of multi-class sentiment classification, the output layer uses a SoftMax activation function with multiple neurons, each representing a sentiment class such as positive, neutral, or negative.

#### Evaluation and Visualization:

• Classification Metrics: Predictions on the test set are compared with true labels using classification\_report, showing precision, recall, and F1-score for each emotion class.

Fig. 15 shows the confusion matrix obtained by ensemble of CNN and LSTM which compares predicted versus actual emotion classes, helping identify misclassifications and performance consistency. These visuals assess the hybrid model's classification performance.

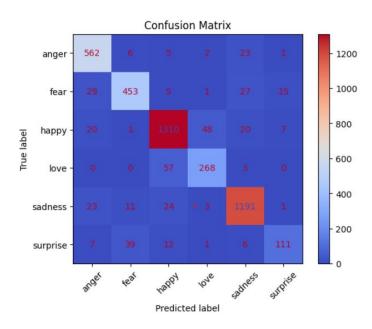


Fig15. Confusion Matrix – Ensemble CNN + LSTM

Below Fig. 16. shows a graph demonstrating the model's learning progression over epochs. It shows how accuracy increases with increasing no. of epochs for both training and validation set.

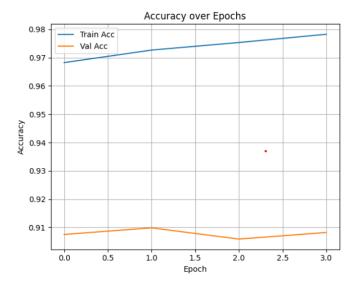


Fig16. Ensemble CNN + LSTM Accuracy vs Epoch

The below figure 17 shows Loss over Epochs graph depicting the loss curves over epochs for both training and validation sets. The training loss (blue line) is steadily decreasing, indicating that the model is learning and fitting well on the training data. However, the validation loss (orange line) is increasing over epochs, suggesting that the model is starting to overfit and its performance on unseen data is degrading.

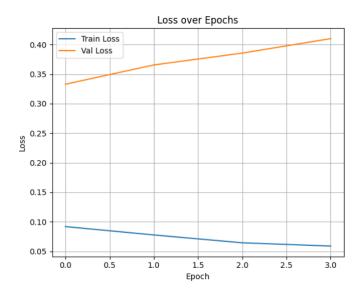


Fig17. Ensemble CNN + LSTM Loss vs Epoch

This divergence between training and validation loss as shown in figure 17 highlights the need for regularization or early stopping to prevent overfitting.

The accuracy achieved for our dataset is 90.75%.

## **BERT (Bidirectional Encoder Representations from Transformers )**

Following figure 18 shows Architectural diagram of BERT representing the structure of the BERT transformer used in the study, showcasing its attention-based encoding mechanism ideal for contextual understanding.

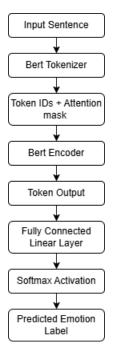


Fig18. Architectural diagram of BERT

#### 1. Data Preprocessing:

- Loading Data: The dataset (Emotion\_final.csv) is loaded and cleaned by selecting only the relevant columns (Text and Emotion).
- Label Encoding: Emotion labels are encoded into numeric values using Label Encoder, preparing them for model training.
- Text Tokenization: The BERT tokenizer (Bert-base-uncased) is used to tokenize the text with truncation and padding to fit BERT's input requirements.

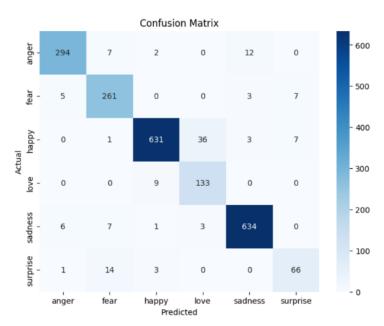
#### 2. Dataset Preparation:

- Data Splitting: The dataset is split into training and validation sets (90/10 split) using train test split.
- Dataset Class Creation: A custom PyTorch dataset class is defined to format the tokenized text and corresponding labels into tensors.

#### 3. Model Initialization:

- Pre-trained Model: A pre-trained BERT model (BertForSequenceClassification) is loaded with the number of output labels equal to the number of emotion classes.
- Device Allocation: The model is moved to GPU if available to speed up training and inference.

Fig. 19 shows the confusion matrix obtained by BERT which compares predicted versus actual emotion classes and it evaluates BERT's multi-class classification accuracy across emotional categories.



#### Fig19. Confusion Matrix – BERT

#### 4. Training Setup:

- Training Arguments: Training parameters like batch size, epochs, logging, and evaluation strategy are defined using Training Arguments.
- Model Training: The model is trained using Hugging Face's Trainer class on the prepared dataset.

#### 5. Evaluation and Prediction:

- Evaluation: The trained model is evaluated on the validation set using metrics such as precision, recall, and F1-score.
- Prediction: The model predicts emotions on new, unseen texts. Predictions are decoded back to emotion labels using the inverse of the label encoder.
- Visualization: Classification report and confusion matrix are used to analyze model performance visually.

# Federated Fine-Tuned BERT with Dropout Optimization (FL-BERT + DO)

Privacy Concerns and Solutions in Federated Learning: Privacy Concerns:

- Data Exposure: In traditional machine learning, centralized data storage exposes personal or sensitive data to risks such as data breaches.
- Data Sharing: Sharing raw data between clients and servers increases the chance of leakage of sensitive information, such as user interactions, preferences, or behaviours.

How Federated Learning Solves Privacy Issues:

- 1. Data Remains Local: In federated learning (FL), data stays on the client device (e.g., smartphones, edge devices). Only model updates (gradients/weights) are sent to the server, significantly reducing the exposure of sensitive data.
- 2. Model Updates Instead of Raw Data: Instead of sending raw data, FL sends only the model updates after local training. This ensures that the server never has access to raw user data, maintaining privacy.
- 3. Federated Averaging (FedAvg): The global model is updated by averaging the local updates from each client. This ensures that individual client data is not directly accessible, and only the knowledge gained from the model updates is shared.
- 4. Differential Privacy: To further safeguard user privacy, differential privacy techniques can be applied to the model updates. This ensures that the model updates do not inadvertently reveal sensitive information about any individual client.
- 5. Homomorphic Encryption: For additional security, federated learning can leverage homomorphic encryption, which allows computations to be performed on encrypted data. This means that even if a malicious actor intercepts the updates, they cannot access the raw data or model parameters.

6. Secure Aggregation: Secure aggregation protocols ensure that the server can aggregate model updates from clients without gaining access to the individual updates. This prevents the server from inferring any sensitive information about the individual clients' data.

## Methodology:

#### 1. Setup and Dependencies:

The necessary libraries are installed for federated learning (Flower), NLP (Hugging Face Transformers), deep learning (PyTorch), data handling (pandas), and machine learning tools (scikit-learn).

#### 2. Data Preprocessing:

- Loading Data: The dataset (Emotion\_final.csv) is loaded and examined to check the data structure, missing values, and class distribution.
- Label Encoding: The categorical emotion labels are encoded into numeric labels using Label Encoder, allowing the model to process the data effectively.
- Text Tokenization: The text data is tokenized using the BERT tokenizer, with truncation and padding applied to ensure all text inputs are of the correct size for BERT.

The below figure 20 demonstrates the proposed Federated Learning setup with BERT and Dropout Optimization, offering privacy-preserving sentiment analysis.

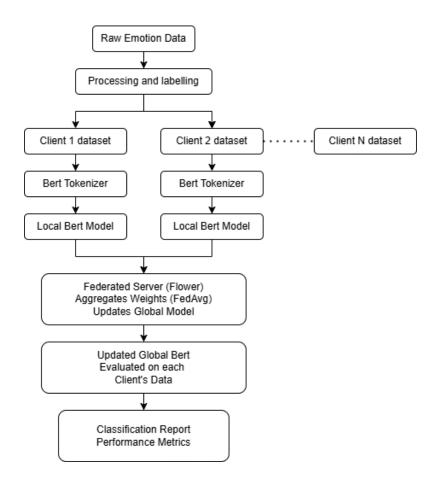


Fig20. Architectural diagram of FL-BERT with Dropout Optimization

#### 3. Dataset Preparation

- Custom Dataset: A custom PyTorch Dataset class is created to handle the tokenized data and labels, converting them into tensors compatible with BERT.
- Client Data Splitting: The dataset is shuffled and divided into multiple parts (simulating clients in federated learning). Each client will train on their own data split.

#### 4. Federated Learning Setup

- Model Initialization: A fresh BERT model is initialized for sequence classification, with the number of output labels set according to the unique emotions.
- Local Training: Each client trains a local model using their partitioned dataset. The model is updated with local data through several federated rounds.
- Model Aggregation (FedAvg): After each round, the weights from each client are aggregated to form a global model using Federated Averaging (FedAvg).

#### 5. Model Evaluation

- Local Evaluation: After training, the performance of the model is evaluated on each client's validation dataset, and metrics are recorded.
- Global Evaluation: The final global model is evaluated on the validation data of one client (typically client 0) to assess overall performance.

#### 6. Predictions on New Data

• Inference: The trained global model is used to predict emotions for new text inputs. The output includes predicted emotions with confidence scores and class probabilities.

#### 7. Model Saving and Loading

• The final global model and tokenizer are saved for future use. The model can later be loaded, and inference can be performed on new data without retraining.

Following confusion matrix obtained from FL-BERT + DO which compares predicted classes versus actual emotion classes and shows classification performance under a federated and privacy-enhanced model, reinforcing accuracy across distributed nodes

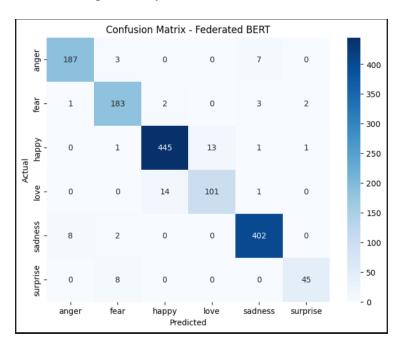


Fig21. Confusion Matrix – FL-BERT with Dropout Optimisation

#### 8. Confusion Matrix and Classification Report

- Confusion Matrix: A confusion matrix is plotted to visualize model performance, showing how well the model distinguishes between different emotions.
- Classification Report: A detailed classification report is generated for each client and the final global model, showing precision, recall, F1-score, and accuracy for each emotion class.

# 3.4 Treatment Progress Tracking & Dashboard Integration

The Dashboard is the central hub of the Therapy Management Platform, designed to provide therapists and clients with real-time insights, collaborative tools, and secure access to critical

session data. Built with responsiveness and usability in mind, it ensures a seamless experience across all devices.

Below Use case diagram as shown in fig. 22 describes interactions between users and the AI-powered therapy analytics platform. It maps therapist and client activities such as session analysis, progress tracking, and emotional insight generation.

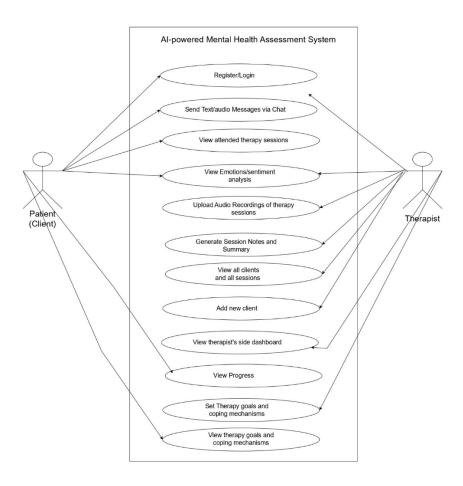


Fig22. Use Case Diagram (Dashboard)

# **Key Features:**

#### Real-Time Updates:

- Leveraging Supabase's subscription service, the dashboard delivers instant updates for:
  - New messages
  - Active sessions
  - Session logs
- This ensures both clients and therapists stay synchronized without needing to refresh the page.

#### Data Visualization:

- Bar charts and line charts are used to illustrate trends in:
  - Emotional patterns over time
  - Session frequency
  - o Client engagement levels
- These visuals make it easier for therapists to make informed decisions and track client progress effectively.

#### Session Notes and Summaries:

- Session transcripts are stored as JSON data, which is:
  - Parsed and cleaned
  - o Displayed in a readable, structured format
- Notes can be edited and shared between therapist and client in real-time.

#### **Emotion Analysis:**

- Each message and audio recording is analysed using the CNN/BERT-based emotion detection model.
- Emotions like happiness, sadness, anger, fear, love, and surprise are detected and displayed on the dashboard, giving therapists deeper insight into client states.

#### Role-Based Functionality:

- The dashboard interface and features adapt based on the user's role (therapist or client).
- Security controls ensure each user only sees information relevant to their responsibilities.

#### Supabase Integration:

- Supabase handles:
  - o Authentication (login, signup)
  - o Database operations (session storage, updates)
  - o Real-time communication (message sync, alerts)

#### Responsive Design:

- The dashboard is fully responsive, optimized for mobile, tablet, and desktop devices.
- A modern UI framework ensures smooth transitions and an intuitive layout.

#### User-Friendly Interface:

• Clean typography, clear iconography, and intuitive navigation make the dashboard accessible to users with varying levels of tech proficiency.

#### Client-Therapist Collaboration:

- Shared visibility into notes, analytics, and conversations improves communication and trust.
- Enables therapists to tailor sessions based on emotional trends and past feedback.

#### Security and Privacy:

- Role-based access control (RBAC) is enforced throughout.
- All sensitive data is encrypted and securely transmitted between users and servers.

The dashboard empowers therapists and clients by providing real-time data, emotional insights, and collaborative tools. It acts as a powerful, user-centric interface that enhances therapy sessions with modern technology, intuitive design, and strong security practices.

# 5. Applications

# 1. Applications in the Medical Field:

- 1. Mental Health Early Detection Systems: Used in healthcare facilities to detect early signs of psychological disorders through patients' communication patterns.
- AI-Based Clinical Decision Support Tools: Assists therapists and psychiatrists in diagnosing and monitoring mental health conditions by analyzing patient records and conversations.
- 3. Therapeutic Progress Monitoring Dashboards: Empowers clinicians with real-time visual dashboards that track patient mood patterns, triggers, and therapeutic milestones.
- 4. Mental Health Chatbots and Virtual Counsellors: AI-powered chatbots integrated with sentiment analysis can provide 24/7 support and pre-screening for mental health assistance.

# 2. Applications in the Non-Medical Field:

- 1. Workplace Mental Wellness Monitoring Systems: Can be deployed in organizations to monitor employee stress and emotional well-being through anonymous textual feedback analysis.
- 2. Sentiment Analysis in Customer Support: Helps businesses gauge customer mood and mental state from service chats or reviews, improving empathy in customer service.
- 3. Content Moderation on Social Media Platforms: Detects suicidal or depressive content to initiate timely interventions or flag harmful language trends.
- 4. Educational Mental Health Tools: Used in schools and universities to identify students struggling with anxiety, stress, or other psychological challenges via written assignments or feedback.

## 6. Result

Below table 3 outlines the metrics such as precision, recall, accuracy, and F1-score used to assess model performance and their respective definitions:

Metric	Definition
Accuracy	Measures correct predictions overall.
Precision	Measures the proportion of correctly identified positive sentiments.
Recall	Measures the model's ability to identify positive cases.
F1-Score	Harmonic mean of precision and recall for balanced evaluation.

Table3: Evaluation Metrics used over Models

For CNN the model achieved an overall accuracy of 94%, demonstrating strong performance across all six emotion classes. Precision, recall, and F1-scores for each class ranged between 0.88 to 0.99, indicating reliable and balanced classification. The highest F1-score was observed in class 5-surprise (0.97), while all other classes maintained competitive metrics. These results confirm the effectiveness of the model in emotion recognition from text data. Evaluation Metrics

For LSTM the model achieved an overall accuracy of **89%** on the test set, indicating robust performance in classifying emotional text. Precision, recall, and F1-scores across all six emotion classes ranged from **0.76 to 0.96**, with particularly strong performance in class 4-sadness (F1-score: 0.92) and class 5-surprise (F1-score: 0.91). The balanced scores reflect the model's consistency in detecting various emotional tones effectively.

For LSTM+CNN ensemble the model attained an overall **accuracy of 90.75%**, demonstrating effective emotion classification across six categories. The highest F1-scores were observed for *sadness* (0.94) and *happy* (0.93), indicating strong detection of these emotions. While *surprise* had a relatively lower F1-score of 0.71, the macro and weighted averages remained high, showcasing balanced performance across classes.

For BERT the model achieved an **accuracy of 94%**, with strong performance across most emotion categories. The highest F1-scores were for *sadness* (0.97) and *happy* (0.95), indicating accurate classification in these areas. While *love* and *surprise* had slightly lower F1-scores, the overall results remain consistent, with a balanced performance reflected in both the macro and weighted averages.

For FL- BERT- DO the model achieved a high **accuracy of 95%** with consistently strong performance across different emotion categories. The F1-scores for *happy* (0.97) and *sadness* (0.97) were particularly high, demonstrating strong classification abilities for these emotions. While *love* and *surprise* had slightly lower F1-scores, the overall results reflect a solid and balanced model performance, as indicated by both the macro and weighted averages.

The following table shown in table 4 compares the final performance of all implemented models including CNN, LSTM, BERT, and FL-BERT+DO, highlighting the superior accuracy achieved by the latter.

Model/ Metric	Accuracy
CNN	94%
LSTM	89%
Ensemble CNN + LSTM	90.75%
BERT	94%
FL-BERT + DO	95%

Table4: Accuracy Obtained over Models

The performance of various models was evaluated based on accuracy, with FL-BERT + DO achieving the highest accuracy at 95%, demonstrating the effectiveness of Federated Learning combined with Dropout optimization. BERT and CNN both performed well, each achieving an accuracy of 94%, indicating strong capabilities in emotion classification. The Ensemble CNN + LSTM model achieved 90.75%, which is a reasonable improvement over the LSTM's individual performance of 89%. These results suggest that combining models like CNN and LSTM can provide a performance boost, but the use of advanced models like BERT and FL-BERT shows significant promise in achieving higher accuracy levels.

## 7. Conclusion

The proposed project has successfully demonstrated the effectiveness of deep learning and transformer-based models in detecting and classifying various mental health conditions from textual data. Through comprehensive preprocessing and model development, significant improvements in classification accuracy were observed using models such as CNN, LSTM, and the fine-tuned BERT with Dropout Optimization (FL-BERT + DO). The evaluation metrics, including accuracy, precision, recall, and F1-score, indicate that the ensemble and transformer-based approaches outperform traditional models in recognizing subtle linguistic patterns associated with mental health statuses like Depression, Anxiety, Suicidal tendencies, Stress, Bipolar Disorder, and Personality Disorders.

Moreover, the development of interactive dashboards and session analysis modules has provided an enhanced framework for therapists to track client progress, analyze behavioural trends, and support clinical decisions with real-time insights. The project not only proves the feasibility of **AI-driven mental health monitoring** but also emphasizes its practical value in clinical support, early detection, and efficient documentation. The integration of ethical considerations, such as data privacy and bias mitigation, ensures the model's readiness for real-world deployment in mental health care systems.

# 8. Future Scope

Building on the success of this project, several future directions can further enhance the impact and applicability of AI in mental health monitoring:

- 1. Multimodal Data Integration: Incorporating additional data sources such as facial expressions, and physiological signals (e.g., heart rate, sleep patterns) can provide a more holistic understanding of a user's mental state.
- 2. Real-time Monitoring and Intervention: Developing real-time systems that not only detect mental health risks but also trigger alerts or interventions (e.g., notifying caregivers or suggesting coping strategies) could significantly enhance patient outcomes.
- 3. Personalized Mental Health Models: Future models can focus on personalization by adapting to individual linguistic styles and behavioural patterns over time, thereby improving accuracy and relevance in mental health assessments.
- 4. Longitudinal Analysis and Progress Tracking: Expanding the system to support long-term analysis will help therapists monitor changes in a patient's mental health over extended periods, facilitating early relapse detection and adaptive treatment planning.
- 5. Cross-cultural and Multilingual Capabilities: Enhancing model performance across diverse cultural and language contexts can broaden the applicability of the system globally, especially in underrepresented regions.
- 6. Integration with Healthcare Systems: Seamless integration into Electronic Health Record (EHR) systems can streamline documentation, promote collaborative care, and support evidence-based decision-making.

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