

## Database Management System

- Database is a collection of inter related data which helps in efficient retrieval and manipulation of data and organizes data in the form of tables, views, schemas etc.
- DDL - Data Definition Language  
Create, Alter, Drop, Truncate, Rename
- DML - Data Manipulation Language  
Insert, Update, Delete
- DBMS over File System  
Reduced redundancy of data, Easy of data access  
Reduced inconsistency of data, Backup and Recovery of data
- 3 Tier Architecture
  - External Level - User can view the data
  - Conceptual Level - User cannot view the data but only the structure
  - Physical Level - User only knows where the data is stored.

Data Independence - Change of data at one level should not affect other level

Physical Data Independence and Conceptual Data Independence

### Phases of Database Design

- 1) Data Collection
- 2) Conceptual Design - ER Model
- 3) Logical Design - Table Creation
- 4) Physical Design - Data Entry

### Data Abstraction - Hide irrelevant details

Physical - Lowest level of abstraction. It ever tells how the data is stored in memory

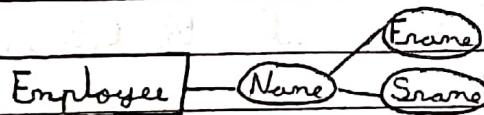
Logical - Information stored in databases can be viewed

View - Only a particular part of database can be viewed

- Database Objects  $\rightarrow$  Table, View, Index, Sequence, Synonym
- Disadvantages of DBMS
  - 1) Cost of hardware and software
  - 2) Training cost
  - 3) Data conversion
  - 4) Difficult to maintain integrity due to many users

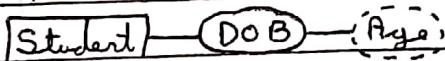
- ER Diagram  $\rightarrow$  Provides a conceptual design of the database
- 1) Entity - Object with real world existence. Eg - Student  
Each entity has its attributes (properties)
- Strong Entity - Has its own distinct primary key.
- Weak Entity - Cannot form distinct primary key from its attributes  
It depends on strong entity for primary key.
- Entity Set - Collection of all entities of same type

- 2) Attribute  $\rightarrow$  Properties which describes entity.
- Key Attribute - Primary Key (Roll No.)
- Composite Attribute - One attribute composed of many other attributes



Multivalued Attribute - Attribute having more than one value

Derived Attribute - Attribute derived from other attributes



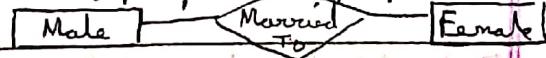
- 3) Relationship - Association among several entities

Degree of relationship - Number of participating entities. Eg - Many

Cardinality - One to One

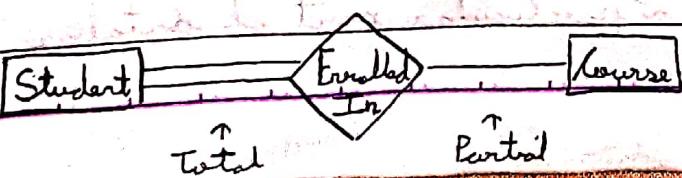
- One to Many (Teacher - Students)

- Many to Many (Student - Course)



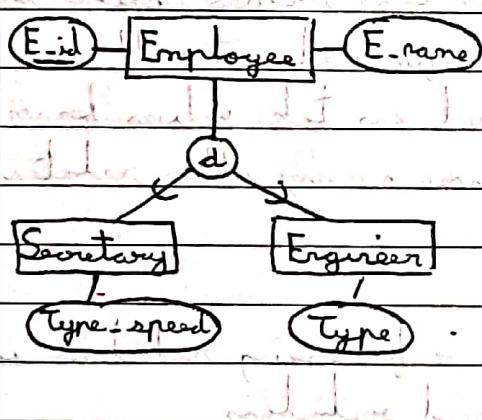
Participation - Total - Each entity must participate

Partial - Entity may or may not participate



- Enhanced ER Diagram (EER)
- 1) Super Class - An entity having its sub groupings is called as super class
- 2) Sub Class - An entity which is a sub group of super class is also called subclass
- 3) Specialization - Top Down approach of sub class / super class relationship

Generalization - Bottom Up approach of sub class / superclass relationship



User - If an entity is a union of 2 other entities (both of them being independent) then its a User. We denote it as ① instead of ②

If we consider car and truck as → car      truck  
independent entities (ie does not inherit attributes of vehicle) then Vehicle can be considered as a union of car and truck

- In One to Many Relationship, we need minimum of 2 tables
- In Many to Many Relationship, we need minimum of 3 tables.
- In One to One Relationship with total participation, we require 1 table and in case of no total participation, we need 2 min-tables
- Aggregation - Converting a part of relation into an entity
- Impediment Mismatch - Problems which occur due to difference between database model and programming language model  
Eg - Datatype mismatch

- Relational Model - Represents data in the form of tables
  - Attributes form the columns of the table
  - Domain of Attribute - Possible values an attribute can take
  - Each row represents a tuple
  - Values of some attributes in a tuple may be unknown and is represented by NULL
  - Relational Model should satisfy 13 Good Rules
  - Degree - Number of attributes
  - Cardinality - Number of tuples
- Constraints** - Set of rules for performing insertions, deletions, manipulations
- 1) Domain Constraint - An attribute can take values inside the domain
  - 2) Key Constraint - A key should be unique and not null
  - 3) Referential Integrity - When one attribute can take values from other attributes of same or any other relation

### Anomalies

- 1) Insertion - We can't insert a row in referencing relation if it is not present in referenced relation
- 2) Deletion - } same as insertion
- 3) Update - } same as insertion

**Delete/Update cascade** - It will delete the tuples from referencing relation if the value used by referencing attribute is deleted/updated from referenced relation

- Keys
- 1) Candidate Key - Minimal set of attributes which uniquely identifies a tuple  
More than one possible
  - 2) Superkey - Set of attributes which uniquely identifies a tuple  
Adding one more attribute to CK gives SK. CK is a SK
  - 3) Primary Key - One CK chosen among all is called primary key
  - 4) Alternate Key - CK other than PK, is called alternate key
  - 5) Foreign Key - Used to reference one attribute to another

## - Schema Design Strategies

- 1) Top Down Approach
- 2) Bottom Up Approach
- 3) Inside Out Approach
- 4) Mixed Approach

## - Schema Integration

- 1) Identifying Conflicts - Name Conflicts, Type Conflicts, Domain Conflicts, Constraint Conflict
- 2) Modifying Views to normalize are another
- 3) Merging of views and restructuring

## - Relational Algebra - Foundation for databases and SQL

- 1) Projection ( $\pi$ ) - Used to select columns data. By default, it removes duplicates
- 2) Selection ( $\sigma$ ) - Used to select required tuples
- 3) Rename ( $\rho$ ) - Rename or attributes
- 4) Set Operations - Union ( $\cup$ ), Intersection ( $\cap$ ), Set Difference ( $-$ )
- 5) Cartesian Product ( $\times$ )
- 6) Join ( $\bowtie$ ) -  $\bowtie$  (conditions), Left Outer Join ( $\bowtie_L$ ), Right Outer Join ( $\bowtie_R$ ), Full Outer Join ( $\bowtie_F$ )

## - Normalization - Process of organising data to reduce or eliminate data redundancy

Problems - Size of database increases

Functional Dependency -  $A \rightarrow B$ . For all instances of particular value of  $A$ , there is same value of  $B$ . If  $A \rightarrow B$ ,  $B \not\rightarrow A$

- 1) Trivial -  $ABC \rightarrow AB$ ,  $ABC \rightarrow A$ ,  $ABC \rightarrow BC$
- 2) Non Trivial -  $Id \rightarrow Name$
- 3) Semi Trivial -  $AB \rightarrow BC$

## - First Normal Form - No multi valued attributes in the table

## - Second Normal Form - No partial dependency and is 1NF

Partial Dependency - Proper Subset of any candidate key gives non prime attribute

- Third Normal Form - No transitive dependency and is 2NF  
Transitive Dependency - Non-prime attribute derives another non-prime attribute
- Boyce Codd Normal Form (BCNF) -  $X \rightarrow Y$ ,  $X$  should be a superkey  
Prime attribute must be derived  
BCNF is free from redundancy.  
A relation with only 2 attributes is always in BCNF  
If all attributes are prime attributes, relation is in 3NF  
A relation with singletons candidate keys is always in 2NF

$$X \rightarrow B$$

If all  $X$  is Super Key, BCNF

If  $X$  is Super key or  $B$  is a prime attribute, 3NF

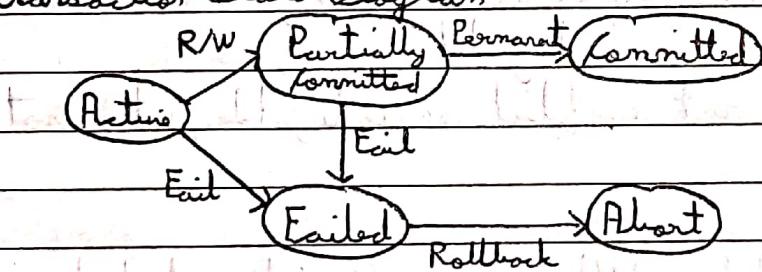
If  $X$  is a proper subset of CK and  $B$  is non-prime, 2NF fails

- Lossless Decomposition - If a relation is decomposed, it should give the original relation back when combined  
 $\text{attr}(R_1) \cup \text{attr}(R_2) \Rightarrow \text{attr}(R)$   
 $\text{attr}(R_1) \cap \text{attr}(R_2) \neq \text{null}$   
 $\text{attr}(R_1) \cap \text{attr}(R_2) \Rightarrow \text{attr}(R_1) / \text{attr}(R_2)$

- Dependency Preserving - If a relation is decomposed, it should give the original functional dependencies back when combined
- Fourth Normal Form - No multivalued dependency and is BCNF
- Fifth Normal Form - Lossless Join
- Denormalization - Process in which we add redundant data to one or more table to avoid costly joins in relational database. It is applied after the process of normalization.  
However updates and inserts are expensive and difficult

- Transaction - Set of logically related operations. It includes
  - 1) Read - Reads value from database and stores it in buffer in main memory
  - 2) Write - Writes value back to database from buffer
  - 3) Commit - Changes are made permanent
  - 4) Rollback - If a transaction is not able to execute all operations successfully, all changes done by transaction are undone
- ACID Properties
  - 1) Atomicity - Either all of them should be executed or none
  - 2) Consistency - Transactions should not leave the database in inconsistent state
  - 3) Isolation - Results should not be visible to others before commit
  - 4) Durability - Once committed, changes made should be permanent

### Transaction State Diagram



- Locking protocols are used as a means of concurrency control
- Data Structure - Hash Table

Atomicity is managed by Transaction Management Component

Isolation is managed by Concurrency Control Component

Durability is managed by Recovery Management Component

A transaction should be performed only if ACID properties are satisfied

- Concurrency - Multiple transactions at one time
  - 1) Waiting Time Decreases
  - 2) Response Time Decreases
  - 3) Resource Utilization Increases
  - 4) Efficiency Increases

- Dirty Read - If a transaction reads a value of another uncommitted transaction, it is called dirty read.
- Schedule - Order of performing a transactions
  - Serial Schedule - Non conflict switching. Always consistent
  - Non Serial Schedule - Conflict switching between transactions
- Conflicting Transaction - If 2 transactions operate on same data and one of the operations is write operation, then the transaction is said to be conflicting.
- Conflict Serializability - When a non serial schedule can be proved equivalent to a serial schedule, by swapping non conflicting instructions. A conflict serializable schedule is consistent.

If a schedule is conflict serializable, then it is consistent. Also it is view serializable. However if its not conflict serializable, it is not necessarily inconsistent.

- View Serializability - When a non serial schedule can be proved view equivalent to all possible serial schedule.

First check for conflict serializability, if it is conflict serializable, it is view serializable. If it is not conflict serializable, check for blind writes, if no blind writes, then it is not view serializable. If blind writes exist, we need to prove view equivalent by checking if the initial reads, final writes and intermediate reads are performed by same transaction.

Create Index index-name On Persons (Last Name)

If we use Unique Index, duplicates are not allowed

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- Concurrency Control Techniques - Using and creating protocols such that conflict serializability, view serializability and consistency is maintained

- 1) Time Stamping Protocol - Each transaction can be assigned a time stamp for entry into the system to avoid conflicts
- 2) Lock Based Protocol - Transaction should acquire lock on the data, so that no other transaction can use the same data.

- Deadlock - A system is in a deadlock state when every transaction in the set is waiting for another transaction in the set.

Starvation - If a particular transaction is not able to be executed or does not get a chance to be executed.

### Deadlock Prevention

- 1) To ensure no hold and wait, each transaction locks all the data before it begins execution.
- 2) To ensure no cycle wait, impose an order of data acquire.

- Indexing - Method to optimize the performance of the database by providing faster retrieval of data.

It is a data structure technique to quickly locate and access data.  
It consists of search key and reference pointer.

The size of index file is much smaller than the database, hence faster access.

(Unsorted) Dense Index - There exists an index record for every data file (value)

(Sorted) Sparse Index - Index record exists for only few items in data file  
(Not every record has an index)

Multi-Level Indexing - Create index table for an index table. Index file is always sorted. Implemented with B/B+ tree.

B-Tree - Search, Insert, Delete - Log n

B+ Tree can store more entries as compared to B Tree

In B+ tree, all keys are present in the leaf nodes, hence insertion and deletion is easier and searching is faster.

- 1) Primary Indexing - Main File should be sorted. Primary key is used as anchor attribute. Sparse Indexing.  
Number of index = Number of block  
Number of access =  $\log_2 N + 1$
  - 2) Clustered Indexing - Main file should be sorted. Secondary key (Non key) is used as anchor attribute. Sparse and Dense Indexing. There will be an index to each value (unique)
  - 3) Secondary Indexing - Main file unsorted. Dense Indexing. Each value has to be indexed.  
Number of index = Number of entries
- SQL - Structured Query Language  
Query Language to create, maintain and retrieve data from relational databases
  - View is a virtual table based on result set of a statement. It prevents exposure of entire data, just the data required is displayed  
Create View view\_name As Select customer\_name from customers where country = "Brazil"
  - Trigger is a stored procedure which is automatically invoked when special event in database occurs
  - Stored procedure - It is type of function so the code can be reused again and again  
Create Procedure SelectAllCustomers As Select \* from customers Go Exec SelectAllCustomers
  - Cursor is used to retrieve data one row at a time unlike SQL commands which operate on all rows at one time. Used when records needs to be updated in a row by row manner