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# Risk Management Plan — Fallback Test System

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*Based on ISO/IEC 42001 clause 6.1 and Annex C.*

**Organization:** Test Company

**System:** Fallback Test System

**Domain:** testing

**AI Act Classification:** minimal

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## 1. Purpose

Identify, analyse, evaluate and treat risks related to AI systems operated by Test Company.

## 2. Scope

All AI systems operated by Test Company, including the Fallback Test System system, pilots and third-party models.

## 3. Methodology

- ISO 31000 principles (Identify → Analyse → Evaluate → Treat → Monitor).
- Risk register maintained in this document; update after incidents or significant model changes.

## 4. Roles and Responsibilities

Role	Responsibility
AI Governance Lead	Maintains the risk register; reports to top management.
System Owner	Identifies operational risks; owns mitigation actions.
Compliance Officer	Aligns risks with legal/ethical requirements.

## 5. Risk Register (example rows)

ID	Risk	Likelihood	Impact	Level	Mitigation	Owner	Status
R-001	Bias in training data	M	H	High	Fairness metrics, re-sampling, threshold review	ML Lead	Open
R-002	Data leakage	L	H	Med	DLP controls, access review, secrets scanning	Data Steward	Mitigated
R-003	Model drift	M	H	High	Weekly PSI checks, retrain triggers, rollback	MLOps	Ongoing

## 6. Monitoring & Review

Quarterly review or after incidents; metrics: open risks, MTTR, residual risk trend.

## 7. References

ISO/IEC 42001 §6.1; ISO/IEC 23894; EU AI Act Annex IV §6.