Caribbean Products Limited to Quality Circle International Limited

Service Management

Internal Audit Report

Audit Dates: April 20, 2018 - April 20, 2018

Audit Report: Service Management	Audit: Report No. 4
Internal Audit	
Caribbean Products Company Limited	

Audited Facility: Caribbean Products Company Limited

Address: 228 Spanish Town Road, Kingston 11

Audit Team: auditor1, auditor2

Date Of Audit: April 20, 2018 - April 20, 2018

Scope Of Audit: This audit applies to the Food Safety Management System (FSMS) implemented at Caribbean Products Company Limited, manufacturers of edible Oils and Fats. It covers processes from the receipt of raw and packaging

material to distribution of products from th

Contact Person: Ereca Peart

<u>Summary of Non-Conformities and Opportunities for Improvement</u> Identified in The Internal Audit Process

Process #	Process Names	Non	Opportunities for
		Conformances	Improvements
1	Engineering	8	
2	Food Defense	7	
3	Pest Control	2	
4	Refining, Bleaching, Deodorizing of Oils	5	
	and Fats		
5	Waste Disposal	10	
	TOTAL	32	0

AUDIT BRIEF

Audit Ref	15242	206901	Audit of:		Service
					Management
Date Scheduled	April 2	20, 2018 -	Locations		Locations:
	April 20	0, 2018			
Audit Team:		Process Own	er(s):	proces	ssowner-
auditor1, auditor2				process	sowner
Audit Team Leader:					
leadauditor1					
Purpose:					

To determine the effectiveness of the FSMS implemented at Caribbean Products Company Ltd. by determining conformity to FSSC 22000 and the company's internal requirements, the effectiveness of the program and any opportunities for improvements.

Background and Context:

Caribbean Products Company Limited, an Oils and Fats processing facility with local and export customers is seeking to enhance the safety of its products through the implementation of FSSC 22000:2013. This audit seeks to assess the conformity of the Food

Scope:

This audit applies to the Food Safety Management System (FSMS) implemented at Caribbean Products Company Limited, manufacturers of edible Oils and Fats. It covers processes from the receipt of raw and packaging material to distribution of products from th

Criteria:

ISO 22000:2005, ISO/TS22002-1:2009, FSSC Additional Requirements and Company procedures.

Objectives:

To assess the conformance of the Food Safety Management System (FSMS) implemented at Caribbean Products Company Limited to the requirements of FSSC 22000 and to identify any opportunities for improving the system.

Caribbean Products Company Limited Audit Plan

Opening Meeting:

Who: auditor1, auditor2, processowner

When: Friday, 'April' '20', 2018

Where: Training Room, Caribbean Products Company Limited

What to cover: The scope of the audit, the schedule for activities and the responsibilities of the auditors and auditees.

The Audit

Sequence of activities • Review of procedures and documents related to identified process and the requirements of FSSC 22000 which includes observation, checking and questioning. • See Audit Schedule • Summary of Findings

Closing Meeting:

Who: N/A

When: To be determined

Where: Training Room, Caribbean Products Company Limited

AUDIT SCHEDULE

Processes	Auditor	Auditee	Date/Time
Engineering	auditor2		March 30, 2018
			12:55 PM - FApril 4,
			2018 3:39 AM
Pest Control	auditor2		April 26, 2018 7:53
			AM - FApril 26, 2018
			5:25 PM
Refining,	auditor1		April 25, 2018 6:42
Bleaching,			PM - FApril 27, 2018
Deodorizing of Oils			2:22 PM
and Fats			
Waste Disposal	auditor2		April 25, 2018 2:22
			PM - FApril 26, 2018
			2:22 PM
Food Defense	auditor1		April 18, 2018 7:41
			PM - FOctober 4,
			2018 1:16 PM

CONFORMITY REPORTS – PEST CONTROL Audit of: Pest Auditor: auditor? Audit Criteria: Not Auditees:

Audit of: Pest	Auditor: auditor2		Audit Criteria	a: Not	Auditees:
Control			Applicable		
Audit Evidence:					
wev					
Evaluation:					
Evaluation.					
wev					
Effectiveness:					
CONF	ORMITY REPO	ORT	S – PEST	CONTI	ROL
Audit of: Pest	Auditor: auditor2	2	Audit Criteria	a: TBD	Auditees:
Control					
Audit Evidence:					
Evaluation:					
Effectiveness:					
Ellectiveriess.					
	NONCONF	ORI	MITY REP	ORT	
	HOHOOH	O I KI			
l	ncident Identificat	tion N	Number: 0000	000.000)1
Non-Conformity Rep					pril 26, 2018
#: 1					•
Audit of : Pest Contr	ol Audit Criteri	ia: cri	iteria1		
Statement of Nonco	nformity:				
123					
Deen en elle la Deuten e					
Responsible Party: p	Diocessowner	T	Cianotura		
Auditor Signature:			Signature:		

NONCONFORMITY REPORT				
 	ncident Identification	Number: 000	000.000	02
Non-Conformity Repo			Date: April 26, 2018	
#: 2				
Audit of : Pest Contro		riteria1		
Statement of Noncon	ntormity:			
123				
Responsible Party: p	rocessowner	T 6:		
Auditor Signature:		Signature:		
	RMITY REPORT			1
Audit of: Waste	Auditor: auditor2	Audit Criter	ia:	Auditees:
Disposal		criteria2		
Audit Evidence:				
456				
Evaluation:				
456				
Effectiveness:				
CONFO	RMITY REPORT	S – WASTF	= DISP	OSAL
Audit of: Waste	Auditor: auditor2	Audit Criter		Auditees:
Disposal		ISO90000		
Audit Evidence:		•		
Evaluation:				
L valuation.				
Effectiveness:				

CONFORMITY REPORTS – WASTE DISPOSAL

Audit of: Waste	Auditor: auditor2	Audit Criteria:	: TBD	Auditees:		
Disposal						
Audit Evidence:						
Evaluation:						
Effectiveness:						
CONFO	RMITY REPORTS	S – WASTE I	DISPO	OSAL		
Audit of: Waste Disposal	Auditor: auditor2	Audit Criteria:	TBD	Auditees:		
Audit Evidence:						
Evaluation:						
Effectiveness:						
	NONCONFOR					
	ncident Identification					
#: 1	oort Auditor (s): aud		Jate: A	pril 26, 2018		
Audit of : Waste Audit Criteria: criteria1 Disposal						
Statement of Nonco	nformity:					
Comments testing						
Responsible Party: p	orocessowner					
Auditor Signature:		Signature:				

NONCONFORMITY REPORT

Incident Identification Number: 000000.00004						
Non-Conformity Report	Auditor (s): audi	tor2	Date: April 26, 2018			
#: 2						
Audit of : Waste	Audit Criteria: N	ot				
Disposal	Applicable					
Statement of Nonconforr	nity:					
Comments TTT Responsible Party: processowner						
Auditor Signature: Signature:						
NONCONFORMITY REPORT						

NONCONFORMITY REPORT						
Incid	ent Identification N	<u> Number: 000</u>	000.00005			
Non-Conformity Report	Auditor (s): audit	or2	Date: April 26, 2018			
#: 3						
Audit of : Waste	Audit Criteria: cri	iteria1				
Disposal						
Statement of Nonconform	nity:					
Comments testing						
Responsible Party: processowner						
Auditor Signature:	r Signature: Signature:					

NONCONFORMITY REPORT							
Incia	ent Identification Number: 000	000.00006					
Non-Conformity Report Auditor (s): auditor2 Date: April 26, 2							
#: 4							
Audit of : Waste	Audit Criteria: Not						
Disposal Applicable							
Statement of Nonconformity:							
Comments TTT							

Responsible Party: processowner					
Auditor Signature:		Signature:			
	NONCONFOR	MITY REP	ORT		
'	noncon on		OTT		
Incid	ent Identification	Number: 000	000.00007		
Non-Conformity Report	Auditor (s): audi	tor2	Date: April 26, 2018		
#: 5	A 1'' O ''				
Audit of : Waste	Audit Criteria: ci	riteria1			
Disposal Statement of Nonconform	mitv:				
Statement of Noncomon	Tilty.				
123					
Responsible Party: proce	essowner	0:			
Auditor Signature:		Signature:			
	NONCONFOR	MITY REP	ORT		
Incid	ent Identification	Number: 000	8000.000		
Non-Conformity Report	Auditor (s): audi	tor2	Date: April 26, 2018		
#: 6					
Audit of : Waste Disposal	Audit Criteria: cı	riteria i			
Statement of Nonconform	l				
	incy.				
123					
Responsible Party: proce	essowner	Ciara atuma			
Auditor Signature:		Signature:			
NONCONFORMITY REPORT					
	ent Identification				
Non-Conformity Report	Auditor (s): audi	tor2	Date: April 26, 2018		
#: 7	A., dit C.::t-::-:	itorio O			
Audit of : Waste	Audit Criteria: criteria2				
l l	<u>I</u>				

Disposal				
Statement of Nonconform	nitv:			
	, .			
789				
Responsible Party: proce	essowner			
Auditor Signature:		Signature:		
	NONCONFOR	MITY REP	ORT	
Incid	ent Identification	Numbor: 000	000 00010	
Non-Conformity Report	Auditor (s): audi		Date: April 26, 2018	
#: 8	Additor (3). addi	1012	Date: April 20, 2010	
Audit of : Waste	Audit Criteria: T	BD		
Disposal	, taak omonar i			
Statement of Nonconform	mity:			
	•			
Responsible Party: proce	essowner			
Auditor Signature:		Signature:		
	NONCONFOR	MITY REP	ORT	
	ent Identification			
Non-Conformity Report	Auditor (s): audi	tor2	Date: April 26, 2018	
#: 9	_			
Audit of : Waste	Audit Criteria: T	BD		
Disposal				
Statement of Nonconform	mity:			
Responsible Party: proce	assownar			
Auditor Signature:		Signature:		
, taditor orginatoro.		Oignatare.		

	NONCONFOR			
Non-Conformity Report #: 10	dent Identification Auditor (s): aud		1	12 April 26, 2018
Audit of : Waste Disposal	Audit Criteria: T	BD		
Statement of Nonconfo	rmity:			
Responsible Party: pro	cessowner			
Auditor Signature:	<u> </u>	Signature:		
Audit of: Refining, A Bleaching, Deodorizing of Oils and Fats Audit Evidence:	OF OILS A uditor1	ND FATS Audit Criter criteria2	ia:	Auditees:
456 Evaluation:				
Effectiveness:				
ONFORMITY REPO	ORTS – REFINI OF OILS A		CHING,	DEODORIZING
Audit of: Refining, ABleaching, Deodorizing of Oils and Fats	uditor: auditor1	Audit Criter Applicable	ia: Not	Auditees:
Audit Evidence:				

Evaluation:			
ergerg			
Effectiveness:			
	NONCONFOR	MITY DED	AODT.
	NONCONFOR	WILLY KEP	ORI
Incid	ent Identification	Number: 000	000.00013
Non-Conformity Report	Auditor (s): audi	tor1	Date: April 27, 2018
#: 1			
Audit of : Refining,	Audit Criteria: ci	riteria1	
Bleaching, Deodorizing of Oils and Fats			
Statement of Nonconform	mitv:		
Ctatomont of Honoomon	····cy ·		
123			
Responsible Party: proce	essowner	Cignoturo	
Auditor Signature:		Signature:	
	NONCONFOR	MITY REP	PORT
1	()] ((() ())	N 000	000 00044
	ent Identification		000.00014 Date: April 27, 2018
Non-Conformity Report #: 2	Additor (5). addi	1011	Date. April 21, 2010
Audit of : Refining,	Audit Criteria: ci	riteria1	
Bleaching, Deodorizing			
of Oils and Fats			
Statement of Nonconform	mity:		
123			
123			
Responsible Party: proce	essowner		
Auditor Signature:		Signature:	

NONCONFORMITY REPORT

Incid	ent Identification I	Number: 000	000.00015		
Non-Conformity Report	Auditor (s): audi	tor1	Date: April 27, 2018		
#: 3					
Audit of : Refining,	Audit Criteria: criteria1				
Bleaching, Deodorizing					
of Oils and Fats					
Statement of Nonconform	nity:				
123					
D					
Responsible Party: proce	essowner	Ciara atuma			
Auditor Signature:		Signature:			
	NONCONFOR	MITV DED	OPT		
	NONCONFOR		OKI		
Incid	ent Identification I	Number: 000	000 00016		
Non-Conformity Report			Date: April 27, 2018		
#: 4	Auditor (s): auditor1		Date: April 27, 2016		
Audit of : Refining,	Audit Criteria: criteria1				
Bleaching, Deodorizing	7 tadit Ontona. o	itoria i			
of Oils and Fats					
Statement of Nonconform	mitv:				
	···· ·				
123	123				
Responsible Party: proce	essowner				
Auditor Signature:		Signature:			
	NONCONFOR	MITY REP	PORT		
Incident Identification Number: 000000.00017					
Non-Conformity Report	Auditor (s): auditor1		Date: April 27, 2018		
#: 5					
Audit of : Refining,	Audit Criteria: cr	riteria2			
Bleaching, Deodorizing					
of Oils and Fats					
Statement of Nonconform	nity:				
789					

Responsible Party: pro	ncassownar			
Auditor Signature:	JCE330WHEI	Signature:		
/ taution originature.		Oignature.		
	RMITY REPOR			
	Auditor: auditor2	Audit Criter	ia:	Auditees:
Engineering		criteria2		
Audit Evidence:				
456				
Evaluation:				
456				
456 Effectiveness:				
Enectiveness.				
	NONCONFOR	RMITY REP	ORT	
Inc	rident Identification	Number: 000	000 000	18
	cident Identification			
Non-Conformity Repo				18 pril 4, 2018
Non-Conformity Repo #: 1		itor2		
Non-Conformity Repo	rt Auditor (s): aud Audit Criteria: c	itor2		
Non-Conformity Repo #: 1 Audit of : Engineering Statement of Nonconf	rt Auditor (s): aud Audit Criteria: c	itor2		
Non-Conformity Repo #: 1 Audit of : Engineering	rt Auditor (s): aud Audit Criteria: c	itor2		
Non-Conformity Repo #: 1 Audit of : Engineering Statement of Nonconf	rt Auditor (s): aud Audit Criteria: c	itor2		
Non-Conformity Repo #: 1 Audit of : Engineering Statement of Nonconf	rt Auditor (s): aud Audit Criteria: c ormity:	itor2		
Non-Conformity Repo#: 1 Audit of : Engineering Statement of Nonconf Comments testing	rt Auditor (s): aud Audit Criteria: c ormity:	itor2		
Non-Conformity Repo#: 1 Audit of : Engineering Statement of Nonconf Comments testing Responsible Party: pre	rt Auditor (s): aud Audit Criteria: c ormity:	riteria1		
Non-Conformity Repo#: 1 Audit of : Engineering Statement of Nonconf Comments testing Responsible Party: pre	rt Auditor (s): aud Audit Criteria: c ormity:	riteria1		
Non-Conformity Repo#: 1 Audit of : Engineering Statement of Nonconf Comments testing Responsible Party: pre	rt Auditor (s): aud Audit Criteria: c ormity:	riteria1 Signature:	Date: A	
Non-Conformity Repo#: 1 Audit of : Engineering Statement of Nonconf Comments testing Responsible Party: pre Auditor Signature:	Auditor (s): aud Audit Criteria: c ormity: ocessowner NONCONFOR	signature:	Date: A	pril 4, 2018
Non-Conformity Repo#: 1 Audit of : Engineering Statement of Nonconf Comments testing Responsible Party: pre Auditor Signature:	Auditor (s): aud Audit Criteria: c ormity:	signature:	Date: A	pril 4, 2018
Non-Conformity Repo#: 1 Audit of : Engineering Statement of Nonconf Comments testing Responsible Party: pre Auditor Signature:	Auditor (s): aud Audit Criteria: cormity: Docessowner NONCONFOR	Signature: RMITY REP	Date: A	pril 4, 2018
Non-Conformity Repo#: 1 Audit of : Engineering Statement of Nonconf Comments testing Responsible Party: pre Auditor Signature: Inc Non-Conformity Repo	Auditor (s): aud Audit Criteria: cormity: Docessowner NONCONFOR	Signature: Number: 000 litor2	Date: A	pril 4, 2018
Non-Conformity Repo #: 1 Audit of : Engineering Statement of Nonconf Comments testing Responsible Party: pre Auditor Signature: Inc Non-Conformity Repo #: 2	Auditor (s): aud Audit Criteria: cormity: Docessowner NONCONFOR Cident Identification rt Auditor (s): aud	Signature: Number: 000 litor2	Date: A	pril 4, 2018

1				
Comments TTT				
Responsible Party: proce	essowner			
Auditor Signature:		Signature:		
	NONCONFOR	NATY DED	ODT	
	NONCONFOR	MITY REP	ORI	
Incid	ent Identification I	Number: 000	000.00020	
Non-Conformity Report	Auditor (s): audi	tor2	Date: April 4, 2018	
#: 3	A 134 O 34 a 35 a			
Audit of : Engineering	Audit Criteria: cr	riteria1		
Statement of Nonconform	filty.			
Comments testing				
Responsible Party: proce	essowner	0:		
Auditor Signature:		Signature:		
NONCONFORMITY REPORT				
	ent Identification I			
	n-Conformity Report Auditor (s): auditor2		Date: April 4, 2018	
#: 4	Audit Criteria: N	ot		
	Audit of : Engineering			
Statement of Nonconformity:				
Comments TTT				
Responsible Party: processowner				
Auditor Signature:	2330 WHC1	Signature:		
J 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3		3		
NONCONFORMITY REPORT				
lin ai d	Incident Identification Number: 000000.00022			

Non-Conformity Report	Auditor (s): audi	tor2	Date: April 4, 2018
#: 5			
Audit of : Engineering	Audit Criteria: cr	riteria1	
Statement of Nonconform	mity:		
123			
123			
Responsible Party: proce	essowner		
Auditor Signature:		Signature:	
	NONCONFOR	MIT/DED	ODT
	NONCONFOR	MITY REP	ORI
Incid	ent Identification I	Number: 000	000 00023
Non-Conformity Report			Date: April 4, 2018
#: 6	/ taditor (b): addi	1012	Bato. 7 pm 1, 2010
Audit of : Engineering	Audit Criteria: cr	iteria1	
Statement of Nonconform			
123			
Doon anaible Dortu nroce	2222W22r		
Responsible Party: processowner Auditor Signature: Signature:			
Additor Signature.		Signature.	
	NONCONFOR	MITY REP	ORT
Incid	ent Identification I	Number: 000	000.00024
Non-Conformity Report	Auditor (s): auditor2		Date: April 4, 2018
#: 7			
	udit of : Engineering Audit Criteria: criteria2		
Statement of Nonconform	nity:		
789			
103			
Responsible Party: proce	essowner		
Auditor Signature:		Signature:	

NONCONFORMITY REPORT					
Incident Identification Number: 000000.00025					
Non-Conformity Repor		Auditor (s): auditor2		pril 4, 2018	
#: 8					
Audit of : Engineering	Audit Criteria: c	riteria1			
Statement of Nonconfo	ormity:				
123					
Responsible Party: pro	cessowner				
Auditor Signature:		Signature:			
	RMITY REPORT			T .	
	luditor: auditor1	Audit Criter	ia:	Auditees:	
Defense		criteria2			
Audit Evidence:					
456					
Evaluation:					
E valuation.					
456					
Effectiveness:					
	NONCONFOR	RMITY REP	ORI		
la a	idant Idantification	Nicosala a ve 000	000 000	20	
Non-Conformity Repor	ident Identification the Auditor (s): aud			26 October 4, 2018	
#: 1	Additor (5). add	itor i	Date. C	7Clobel 4, 2016	
Audit of : Food Defens	e Audit Criteria: c	riteria1			
Statement of Nonconfo			l		
	,				
123	123				
Responsible Party: pro	cessowner	1 0:			
Auditor Signature:		Signature:			

NONCONFORMITY REPORT				
Incident Identification Number: 000000.00027				
Non-Conformity Report #: 2	Auditor (s): auditor1		Date: October 4, 2018	
Audit of : Food Defense	Audit Criteria: c	riteria1		
Statement of Nonconform				
123				
Responsible Party: proce	essowner			
Auditor Signature:		Signature:		
	NONCONFOR	MITY REP	ORT	
	ent Identification		T	
Non-Conformity Report	Auditor (s): audi	itor1	Date: October 4, 2018	
#: 3				
Audit of : Food Defense		riteria1		
Statement of Nonconform	nity:			
Comments testing				
Deer eneible Deuts anne				
Responsible Party: proce	essowner	C: and attended		
Auditor Signature:		Signature:		
	NONCONFOR	MITY DED	ODT	
1	NONCONFOR	WILLY REP	ORI	
1	(1 1	Nl	000 00000	
	ent Identification			
Non-Conformity Report #: 4	Auditor (s): audi	tor1	Date: October 4, 2018	
Audit of : Food Defense	Audit Criteria: N	ot		
Applicable				
Statement of Nonconform	nity:			
Comments TTT				

Responsible Party: proce	essowner		
Auditor Signature:		Signature:	
	NONCONFOR	MITY REP	PORT
Incid	ent Identification	Number: 000	000.00030
Non-Conformity Report	Auditor (s): audi	itor1	Date: October 4, 2018
#: 5			
Audit of : Food Defense	Audit Criteria: ci	riteria1	
Statement of Nonconform	nity:		
123			
Responsible Party: proce	essowner		
Auditor Signature:		Signature:	
	NONCONFOR	MITY REP	PORT
Incident Identification Number: 000000.00031			000.00031
Non-Conformity Report	Auditor (s): auditor1		Date: October 4, 2018
#: 6			
Audit of : Food Defense	Audit Criteria: criteria1		
Statement of Nonconform	nity:		
123			
Responsible Party: proce	essowner		
Auditor Signature: Signature:			
	NONCONFOR	MITY REP	PORT
Incid	ent Identification	Number: 000	000.00032
Non-Conformity Report	Auditor (s): audi	itor1	Date: October 4, 2018
#: 7	, ,		
Audit of : Food Defense	Audit Criteria: cr	riteria2	
Statement of Nonconform	nity:		
, and the second			

789	
Responsible Party: processowner	
Auditor Signature:	Signature: