

The Pickapeppa Company Limited

Pest Control

Internal Audit Report

Audit Dates: April 3, 2019 - May 24, 2019

Audit Report: Pest Control Internal Audit
Company Limited

Audit: Report No. 95

Audited Facility: Company Limited

Address:

Audit Team: Najorie Jennings-Dawkins

Date Of Audit: April 3, 2019 - May 24, 2019

Scope Of Audit: Pest Control Program

Contact Person:

Summary of Non-Conformities Identified in The Internal Audit Process

Process #	Process Names	Non Conformances
1	Pest Control	1
	TOTAL	1

Summary of Conformities Identified in The Internal Audit Process

Process #	Process Names	Conformances
1	Pest Control	7
	TOTAL	7

Summary of Opportunities for Improvement Identified in The Internal Audit Process

Process #	Process Names	Conformances
1	Pest Control	3
	TOTAL	3

Semi-annual scheduled audit of the Pest Control program

AUDIT BRIEF

Audit Ref	1554321261	Audit of:	Pest Control
Date Scheduled	April 3, 2019 - May 24, 2019	Locations	Boardroom
Audit Team: Najorie Jennings-Dawkins Audit Team Leader: Khamisha Williams		Process Owner(s):	Tiffani Stewart-Product Development Specialist
Purpose: To determine conformity, effectiveness, opportunity for improvement and to report non-conformity where deviations are identified.			
Background and Context: In keeping with the context of auditing of status and importance based on the potential risk posed by the process to the product.			
Scope: Pest Control Program			
Criteria: ISO 22000:2005 clause 6.2.2 ISO 22002-1:2009 clause 12 PRP 1030 21 CFR 111.15 (d) Pest control			
Objectives: To identify conformances, non-conformances effectiveness and opportunities for improvement.			

Company Limited Audit Plan

Opening Meeting:

Who: Khamisha Williams, Tiffani Stewart, Najorie Jennings-Dawkins, Oshayne Malcolm

When: Tuesday, `May` `7`, 2019

Where: Boardroom

What to cover: TBD

The Audit

TBD

Closing Meeting:

Who: Khamisha Williams, Tiffani Stewart, Najorie Jennings-Dawkins, Dianna Tomlinson

When: Wednesday, `April` `3`, 2019

Where: Boardroom

AUDIT SCHEDULE

Processes	Auditor	Auditee	Date/Time
Pest Control	Najorie Jennings-Dawkins	Tiffani Stewart	May 7, 2019 10:00 AM - FMay 7, 2019 10:30 AM

CONFORMITY REPORTS – PEST CONTROL

Audit of: Pest Control	Auditor: Najorie Jennings-Dawkins	Audit Criteria: ISO 22000:2005:6.2.2	Auditees: Tiffani Stewart
<p>Audit Evidence:</p> <p>Based on records on file, it was seen that the external pest applicator, Isaac Scott, who works for Central Pest Control and Maintenance is certified by the Pesticide Control Authority and is deemed competent in the safe and effective use of pesticides for household pest management. The certificate numbered CL0101205 is valid until the 16th of May 2021. Serviceman, Walton Nettleford who works for the same company is also certified but only to assist in disinfestation activities under the supervision of the Pest Control Applicator. His certificate, SERV0051K, expires on September 13th, 2020. Training records dated September 14, 2018 showed that Internal persons involved in pest control activities have been trained on the pest control procedure, PRP 1070. Using persons who are trained and certified to carry out pest control activities is in conformance with ISO 22002:2005 6.2.2 which states that "a) Identify the necessary competencies for personnel, whose activities have an impact on food safety; b) provide training or take other action to ensure personnel have the necessary competencies....</p>			
<p>Evaluation:</p>			
<p>Effectiveness:</p>			

CONFORMITY REPORTS – PEST CONTROL

Audit of: Pest Control	Auditor: Najorie Jennings-Dawkins	Audit Criteria: ISO 2002-1:2009; 12.6	Auditees: Tiffani Stewart
<p>Audit Evidence:</p> <p>Tiffani Stewart responded by saying that there are records that show information requested.. Such answer was confirmed from the form PRP 1071 which shows a table with the name of the chemical, place applied, quantity used, target pests, method of application, reason for application, and observation. The last date of internal pesticide application was on May 07, 2019. External pesticide application was done by Central Pest Control and Maintenance on May 10, 2019 and had all the relevant information listed on the record form, no. 072.</p>			
<p>Evaluation:</p>			
<p>Effectiveness:</p>			

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CONFORMITY REPORTS – PEST CONTROL

Audit of: Pest Control	Auditor: Najorie Jennings-Dawkins	Audit Criteria: ISO 22002-1:2009; 12.5	Auditees: Tiffani Stewart
<p>Audit Evidence:</p> <p>An updated bait map was presented by Tiffani Stewart, the person in charge of monitoring pest control activities. The bait map, PRP 1074, has both internal and external bait stations identified. The Pest Activity Register- Internal, PRP 1072 and Pest Activity Register-External, PRP 1073 show the locations of the bait traps.</p>			
<p>Evaluation:</p>			
<p>Effectiveness:</p>			

CONFORMITY REPORTS – PEST CONTROL

Audit of: Pest Control	Auditor: Najorie Jennings-Dawkins	Audit Criteria: ISO 22002-1:2009; 12.2	Auditees: Tiffani Stewart
<p>Audit Evidence:</p> <p>According to Tiffani Stewart, pests of major concern to the facility are flies, mosquitoes, rats and roaches. Based on internal records from forms PRP 1071, PRP 1072 and PRP 1073 the chemicals applied were used for all target pests given in the interview. External records from Central Pest Control & Maintenance, form numbers, 109,110,072, showed that all the target pests identified were at some point during the pesticide application targeted. According to the ISO 22002-1:2009:12.2 :Pest management programs shall identify target pests and address plans, methods, schedules, control measures and, where necessary, training requirements.</p>			
<p>Evaluation:</p>			
<p>Effectiveness:</p>			

CONFORMITY REPORTS – PEST CONTROL

Audit of: Pest Control	Auditor: Najorie Jennings-Dawkins	Audit Criteria: PRP 1070	Auditees: Tiffani Stewart
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<p>Audit Evidence:</p> <p>The procedure, PRP 1070 is used in the facility to manage how pests are controlled. According to the procedure, its purpose is : To identify, control, eliminate and determine trends of pest activities at the Pickapeppa Company Limited.</p>
<p>Evaluation:</p>
<p>Effectiveness:</p>

CONFORMITY REPORTS – PEST CONTROL

Audit of: Pest Control	Auditor: Najorie Jennings-Dawkins	Audit Criteria: ISO 22002-1:2009; 12.6	Auditees: Tiffani Stewart
<p>Audit Evidence:</p> <p>According to Tiffani Stewart, the presence of pests acts as a trigger for the factory to be sprayed. This supports the standard ISO 22002-1:2009; 12.6 which states that: eradication measures shall be put in place immediately after evidence of infestation is reported.</p>			
<p>Evaluation:</p>			
<p>Effectiveness:</p>			

CONFORMITY REPORTS – PEST CONTROL

Audit of: Pest Control	Auditor: Najorie Jennings-Dawkins	Audit Criteria: ISO 22002-1:2009; 12.5	Auditees: Tiffani Stewart
<p>Audit Evidence:</p> <p>Form Numbers PRP 1072 and PRP 1073 show the frequency at which internal and external bait traps are checked. According to the procedure PRP 1070, section 4.1, Internal bait stations are checked monthly while external ones are checked every two weeks. For the most part the dates of checks were in accordance with the frequency of the procedure.</p>			
<p>Evaluation:</p>			
<p>Effectiveness:</p>			

NONCONFORMITY REPORT		
Incident Identification Number: 000000.00001		
Non-Conformity Report #: 1	Auditor (s): Najorie Jennings-Dawkins	Date: May 7, 2019
Audit of : Pest Control	Audit Criteria: ISO 22002-1:2009; 12.3	
<p>Statement of Nonconformity:</p> <p>A walkthrough was done of the facility and it was observed that various areas are in breach of the standard which states that " Buildings shall be maintained in good repair. Holes, drains and other potential pest access points shall be sealed. External doors, windows or ventilation openings shall be designed to minimize the potential entry of pests". 1. Raw material entrance area to the corner-Grooves in zinc on the wall creates an opening that leads to the outside which serves as an entry site. 2. Onion storage- Beams of light seen coming through the eave. 3. Barrel storage 1- Openings in the zinc above windows where water pipe enters building and close to expanding metal where area is separated from bottling plant. 4. Processing- Space to the side of metal beam over cooking kettle. 5 Area opposite processing- It appeared that an opening was present in window mesh but path was not assessible for inspection due to barrels on the ground and in the pathway. 6. Utensils area- Openings present in the window mesh 7. Dining area- One window mesh was bent open and the other moved away. This creates space large enough for pests to enter. 8. Barrel storage 2: Doors were left open with no worker in sight. Additionally no bait traps were seen in the area.</p> <p>Responsible Party: Tiffani Stewart</p>		
Auditor Signature:		Signature:

OPPORTUNITY REPORT		
Incident Identification Number: 000000.00001		
Opportunity Report #: 1	Auditor (s): Najorie Jennings-Dawkins	Date: May 7, 2019
Audit of : Pest Control	Audit Criteria: PRP 1070; 4.1.7	
<p>Statement of Opportunity:</p> <p>The procedure should be updated as soon as any form of change is made. The quarterly spraying of the facility by external personnel should be added to the procedure. Evidence of dead flies in front of onion storage shows that area was</p>		

recently sprayed. However, a follow up of cleaning would be recommended after such activities.

Responsible Party: Tiffani Stewart

Auditor Signature:	Signature:
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OPPORTUNITY REPORT

Incident Identification Number: 000000.00002

Opportunity Report #: 2	Auditor (s): Najorie Jennings- Dawkins	Date: May 7, 2019
Audit of : Pest Control	Audit Criteria: ISO 22002-1:2009; 12.2	

Statement of Opportunity:

A list of all the approved chemicals could be in a document so that the facility can know which chemicals can be used in different areas, etc.

Responsible Party: Tiffani Stewart

Auditor Signature:	Signature:
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OPPORTUNITY REPORT

Incident Identification Number: 000000.00003

Opportunity Report #: 3	Auditor (s): Najorie Jennings- Dawkins	Date: May 7, 2019
Audit of : Pest Control	Audit Criteria: PRP 1070:4.1.5	

Statement of Opportunity:

Procedure needs to be updated to include the number of blocks placed in traps as it relates to pest activity. Refresher training needs to be done as the last one done was on September 14, 2018 Bait stations need to be properly identified on both the wall on the traps. Areas in front of the traps should be free from hindrances such as debris and other objects as seen at bait trap # 1 on the outside near to the garbage area.

Responsible Party: Tiffani Stewart

Auditor Signature:	Signature:
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