Caribbean Products Limited to Quality Circle International Limited

Service Management

Internal Audit Report

Audit Dates: April 20, 2018 - April 20, 2018

Audit Report: Service Management	Audit: Report No. 16
Internal Audit	
Caribbean Products Company Limited	

Audited Facility: Caribbean Products Company Limited

Address: 228 Spanish Town Road, Kingston 11

Audit Team: auditor1, auditor2

Date Of Audit: April 20, 2018 - April 20, 2018

Scope Of Audit: This audit applies to the Food Safety Management System (FSMS) implemented at Caribbean Products Company Limited, manufacturers of edible Oils and Fats. It covers processes from the receipt of raw and packaging

material to distribution of products from th

Contact Person: Ereca Peart

<u>Summary of Non-Conformities and Opportunities for Improvement</u> Identified in The Internal Audit Process

Process #	Process Names	Non	Opportunities for
		Conformances	Improvements
1	Engineering	8	
2	Food Defense	7	
3	Pest Control	2	
4	Refining, Bleaching, Deodorizing of Oils	5	
	and Fats		
5	Waste Disposal	7	
	TOTAL	29	0

AUDIT BRIEF

Audit Ref	15293	343732	Audit of:		Service	
					Management	
Date Scheduled	April 2	20, 2018 -	Locations		Locations:	
	April 20	0, 2018				
Audit Team:		Process Own	ner(s):	proces	ssowner-	
auditor1, auditor2				process	sowner	
Audit Team Leader:						
leadauditor1						
Durnosa:						

Purpose:

To determine the effectiveness of the FSMS implemented at Caribbean Products Company Ltd. by determining conformity to FSSC 22000 and the company's internal requirements, the effectiveness of the program and any opportunities for improvements.

Background and Context:

Caribbean Products Company Limited, an Oils and Fats processing facility with local and export customers is seeking to enhance the safety of its products through the implementation of FSSC 22000:2013. This audit seeks to assess the conformity of the Food

Scope:

This audit applies to the Food Safety Management System (FSMS) implemented at Caribbean Products Company Limited, manufacturers of edible Oils and Fats. It covers processes from the receipt of raw and packaging material to distribution of products from th

Criteria:

ISO 22000:2005, ISO/TS22002-1:2009, FSSC Additional Requirements and Company procedures.

Objectives:

To assess the conformance of the Food Safety Management System (FSMS) implemented at Caribbean Products Company Limited to the requirements of FSSC 22000 and to identify any opportunities for improving the system.

Caribbean Products Company Limited Audit Plan

Opening Meeting:

Who: auditor1, auditor2, processowner

When: Friday, 'April' '20', 2018

Where: Training Room, Caribbean Products Company Limited

What to cover: The scope of the audit, the schedule for activities and the responsibilities of the auditors and auditees.

The Audit

Sequence of activities • Review of procedures and documents related to identified process and the requirements of FSSC 22000 which includes observation, checking and questioning. • See Audit Schedule • Summary of Findings

Closing Meeting:

Who: N/A

When: To be determined

Where: Training Room, Caribbean Products Company Limited

AUDIT SCHEDULE

Processes	Auditor	Auditee	Date/Time
Engineering	auditor2		March 30, 2018
			12:55 PM - FApril 4,
			2018 3:39 AM
Pest Control	auditor2		April 26, 2018 7:53
			AM - FApril 26, 2018
			5:25 PM
Refining,	auditor1		April 25, 2018 6:42
Bleaching,			PM - FApril 27, 2018
Deodorizing of Oils			2:22 PM
and Fats			
Waste Disposal	auditor2		April 25, 2018 2:22
			PM - FApril 26, 2018
			2:22 PM
Food Defense	auditor1		April 18, 2018 7:41
			PM - FOctober 4,
			2018 1:16 PM

CONFORMITY REPORTS - PEST CONTROL

CONI			0 - 1 L01	CONT	NOL		
Audit of: Pest	Au	ditor: auditor2	Audit Criter	ia: Not	Auditees:		
Control			Applicable				
Audit Evidence:							
wev							
Evaluation:							
wev							
Effectiveness:							
		NONCONFOR	MITY REP	ORT			
lı	ncid	ent Identification	Number: 000	000.000	01		
Non-Conformity Rep	ort	Auditor (s): audi	tor2	Date: A	pril 26, 2018		
#: 1		, ,			•		
Audit of : Pest Contr	ol	Audit Criteria: c	Audit Criteria: criteria1				
Statement of Noncor	nforr	mity:					
		•					
123							
Responsible Party: p	oroce	essowner					
Auditor Signature:			Signature:				
		NONCONFOR	MITY REP	ORT			
				0			
lı lı	ncid	ent Identification	Number: 000	000 0000	12		
Non-Conformity Rep					pril 26, 2018		
#: 2	, 0, 1	/ taanor (o). ada	1012	Date. 7	.p 20, 2010		
Audit of : Pest Contro	ol	Audit Criteria: c	riteria1				
Statement of Noncor			THO TICK				
		Tilley.					
123							
120							
Responsible Party: p)roc4	2990WnAr					
Auditor Signature:	71000	J J J J J J J J J J J J J J J J J J J	Signature:				
/ Addition Originature.			olgilature.				
1			1				

CONFORMITY REPORTS – WASTE DISPOSAL

Audit of: Waste	Au	ditor: auditor2	Audit Criter	ia:	Auditees:
Disposal			criteria2		
Audit Evidence:					
456					
Evaluation:					
456					
Effectiveness:					
		NONCONFOR	MITV DED	OPT	
	ı	NONCON ON	AVIII I IXLE	OKI	
	ncid	ent Identification	Number: 000	000.000	03
Non-Conformity Rep		Auditor (s): aud			pril 26, 2018
#: 1					•
Audit of : Waste		Audit Criteria: c	riteria1		
Disposal					
Statement of Noncor	nforr	nity:			
Comments testing					
Responsible Party: p	roce	essowner			
Auditor Signature:			Signature:		
		NONCONFOR	MITY REP	ORT	
_					
		ent Identification			
Non-Conformity Rep	ort	Auditor (s): aud	itor2	Date: A	pril 26, 2018
#: 2 Audit of : Waste		Audit Critoria: N	lot		_
Disposal		Audit Criteria: Not Applicable			
Statement of Noncor					
		, .			
Comments TTT					
Responsible Party: p	oroce	essowner			

Auditor Signature:		Signature:	
	NONCONFOR	MITY REP	ORT
	ent Identification I	Number: 000	000.00005
Non-Conformity Report #: 3	, ,		Date: April 26, 2018
Audit of : Waste Disposal	Audit Criteria: cr	riteria1	
Statement of Nonconform	mity:		
Comments testing			
Responsible Party: proce	essowner		
Auditor Signature:			
	NONCONFOR	MITY RFP	ORT
Incid	ent Identification I	Number: 000	000.0006
Non-Conformity Report #: 4	Auditor (s): audi	tor2	Date: April 26, 2018
Audit of : Waste	Audit Criteria: N	ot	
	Applicable		
Statement of Nonconform	mity:		
Comments TTT			
Responsible Party: proce	essowner		
Auditor Signature:		Signature:	
	•		
	NONCONFOR	MITY REP	ORT
1	ont Idontification	Number - 2000	000 00007
Non-Conformity Report	ent Identification I		000.00007 Date: April 26, 2018
I MOLITOURIUM NEPOR	Auditor (s): auditor2		. Dals. Avii 20. 2010
· ·	Additor (3). addi	to12	_ a.apa, _a
#: 5 Audit of : Waste	Audit Criteria: cr		

Statement of Nonconform	nity:				
123					
Responsible Party: proce	essowner				
Auditor Signature: Signature:					
	NONCONFOR		ODT		
1	NONCONFOR	WILLY KEP	OR I		
	ent Identification				
Non-Conformity Report #: 6	Auditor (s): audi	tor2	Date: April 26, 2018		
Audit of : Waste	Audit Criteria: cı	riteria1			
Disposal Statement of Nanconform	mit.				
Statement of Nonconform	filly:				
123					
Responsible Party: proce	essowner				
Auditor Signature:	3000 111101	Signature:			
	NONCONFOR	MITY REP	ORT		
1		NIl 000	000 0000		
Non-Conformity Report	ent Identification Auditor (s): audi		Date: April 26, 2018		
#: 7	Additor (3). addi	1012	Date: April 20, 2010		
Audit of : Waste	Audit Criteria: cı	riteria2			
Disposal					
Statement of Nonconform	nity:				
789					
Responsible Party: proce					
TINGSPULISING FAILY, PIUCE	200MDAr				
	essowner	Signature:			
Auditor Signature:	essowner	Signature:			

CONFORMITY REPORTS – REFINING, BLEACHING, DEODORIZING OF OILS AND FATS

		OF OILS AI	ND LA 19		
Audit of: Refining,	Auc	ditor: auditor1	Audit Criteria	ι:	Auditees:
Bleaching,			criteria2		
Deodorizing of Oils					
and Fats					
Audit Evidence:			•		
456					
Evaluation:					
456					
Effectiveness:					
CONFORMITY REI		DTC DEEINII	NG BLEAC	HING	DEODODIZING
JOINI OINIVIITI INLI) Oiv			i ilivo,	DEODOMZING
		OF OILS AI		1	
Audit of: Refining,	Aud	ditor: auditor1	Audit Criteria	i: Not	Auditees:
Bleaching,			Applicable		
Deodorizing of Oils					
and Fats					
Audit Evidence:					
erg					
Evaluation:					
ergerg					
Effectiveness:					
	l	NONCONFOR	MITY REPO	ORT	
lı lı	ncide	ent Identification	Number: 0000	00.000	10
Non-Conformity Rep	ort	Auditor (s): audi	itor1	Date: A	pril 27, 2018
#: 1		()			•
Audit of : Refining,		Audit Criteria: c	riteria1		
Bleaching, Deodorizin	na				
of Oils and Fats	٦				
Statement of Noncor	nform	 nitv:			
		,			
123					

Responsible Party: processowner		1 -		
Auditor Signature:		Signature:		
	NONCONFOR	MITY REP	ORT	
	ent Identification			
Non-Conformity Report #: 2	Auditor (s): audi	tor1	Date: April 27, 2018	
Audit of : Refining,	Audit Criteria: c	riteria1		
Bleaching, Deodorizing				
of Oils and Fats				
Statement of Nonconfori	mity:			
123				
120				
Responsible Party: proce	essowner			
Auditor Signature:		Signature:		
	NONCONFOR	MITY DED	ODT	
	NONCONFOR	IVIIII KEP	OKI	
Incid	ent Identification	Number: 000	000 00012	
Non-Conformity Report	Auditor (s): audi		Date: April 27, 2018	
#: 3	(1)		, , ,	
Audit of : Refining,	Audit Criteria: c	riteria1		
Bleaching, Deodorizing				
of Oils and Fats				
Statement of Nonconform	mity:			
123				
123				
Responsible Party: proce	essowner			
Auditor Signature:		Signature:		
	NONCONTOR	MITY DED	ODT	
	NONCONFOR	IVIIIY KEP	UKI	
Incid	ent Identification	Number: 000	000 00013	
Incident Identification Number: 000000.00013				

Non-Conformity Repor	t Auditor (s): aud	itor1	Date: A	pril 27, 2018
#: 4				
Audit of : Refining,	Audit Criteria: c	riteria1		
Bleaching, Deodorizing of Oils and Fats				
Statement of Nonconfo	l prmity:			
123				
Posponsible Party: pro	COSSOMBOL			
Responsible Party: pro Auditor Signature:	Cessowner	Signature:		
/ tuation digitature.		Oignature.		
	NONCONFOR	MITY REP	ORT	
Inc	dont Identification	Number 000	000 000	1.4
Non-Conformity Repor	dent Identification t Auditor (s): aud			pril 27, 2018
#: 5	Additor (3). add	itor i	Date. A	φπ 27, 2010
Audit of : Refining,	Audit Criteria: c	riteria2		
Bleaching, Deodorizing				
of Oils and Fats				
Statement of Nonconfo	ormity:			
789				
Responsible Party: pro	coccownor			
Auditor Signature:	cessowner	Signature:		
/ Addition Originature.		Olgitature.		
CONFO	RMITY REPOR	TS – ENG	INEERI	NG
Audit of:	uditor: auditor2	Audit Criter	ia:	Auditees:
Engineering		criteria2		
Audit Evidence:				
450				
456 Evaluation:				
Evaluation.				
456				
Effectiveness:				

	NONCONFOR	MITY REP	ORT
	NONCON ON		OKI
Incid	ent Identification	Number: 000	000.00015
Non-Conformity Report	Auditor (s): audi		Date: April 4, 2018
#: 1	()		,
Audit of : Engineering	Audit Criteria: ci	riteria1	
Statement of Nonconform	nity:		
Comments testing			
Responsible Party: proce	ossownor		
Auditor Signature:	2330WHEI	Signature:	
Addition Digitature.		Oignature.	
	NONCONFOR	MITY REP	ORT
Incid	ent Identification	Number: 000	
Non-Conformity Report	Auditor (s): audi	tor2	Date: April 4, 2018
#: 2			
Audit of : Engineering	Audit Criteria: N	ot	
Statement of Nonconform	Applicable		
Statement of Noncomon	ility.		
Comments TTT			
Responsible Party: proce	essowner		
Auditor Signature:		Signature:	
	NONCONFOR	MAITY DED	ODT
	NONCONFOR	WILLY REP	ORI
Inoid	ent Identification	Number: 000	000 00017
Non-Conformity Report	Auditor (s): audi		Date: April 4, 2018
#: 3	Additor (3). addi	1012	Date: April 4, 2010
Audit of : Engineering	Audit Criteria: ci	riteria1	
Statement of Nonconform	nity:		
Comments testing			

Deen eneible Dewly nyee			
Responsible Party: processowner		Cianatura	
Auditor Signature:		Signature:	
	NONCONFOR	MITY REE	PORT
	NONCON OR		OKI
Incid	ent Identification I	Number: 000	000.00018
Non-Conformity Report	,		Date: April 4, 2018
#: 4	Additor (3). additor2		, , , ,
Audit of : Engineering	Audit Criteria: Not		
	Applicable		
Statement of Nonconform			
Comments TTT			
Responsible Party: proce	essowner		
Auditor Signature:		Signature:	
	NONOONEOD	MITYPE	
	NONCONFOR	MITY REP	ORI
1	(I I	.ll 000	000 00040
	ent Identification I		
Non-Conformity Report	Auditor (s): auditor2		Date: April 4, 2018
#: 5 Audit of : Engineering	Audit Critoria, oritoria		
Statement of Nonconform	Audit Criteria: criteria1		
Statement of Noncomon	Tilty.		
123			
120			
Responsible Party: proce	essowner		
Auditor Signature:		Signature:	
, tagitar enginatarer			
	NONCONFOR	MITY REP	ORT
Incid	ent Identification N	Number: 000	000.00020
Non-Conformity Report	Auditor (s): auditor2		Date: April 4, 2018
#: 6	, ,		•
Audit of : Engineering	Audit Criteria: criteria1		
Statement of Nonconformity:			

400				
123				
Posponsible Party: proce	oeeownor.			
Responsible Party: proce	3220MHGI	Signature:	Cianoturo	
Auditor Signature:		Signature:		
NONCONFORMITY REPORT				
1	NONCONFOR	WILLY REP	OKI	
Incid	ent Identification	Number: 000	000.00021	
Non-Conformity Report #: 7	Auditor (s): audi	tor2	Date: April 4, 2018	
Audit of : Engineering	Audit Criteria: cı	riteria2		
Statement of Nonconforr		1101162		
789				
Responsible Party: proce	essowner			
Auditor Signature:		Signature:		
	NONCONFOR	MITY REP	ORT	
Incident Identification Number: 000000.00022				
Non-Conformity Report			Date: April 4, 2018	
#: 8	ridante: (e): dadne: 2		, , , , , , , , , , , , , , , , , , ,	
Audit of : Engineering	Audit Criteria: criteria1			
Statement of Nonconformity:				
100				
123				
Responsible Party: processowner				
Auditor Signature:	Auditor Signature:		Signature:	
L				

CONFORMITY REPORTS – FOOD DEFENSE

Audit of: Food	Auditor: auditor1	Audit Criteria:	Auditees:
Defense		criteria2	

Audit Evidence:				
456				
Evaluation:				
456				
Effectiveness:				
	NONCONFOR	MITY REP	ORT	
In all al		N	000 00000	
Non-Conformity Report	ent Identification		Date: October 4, 2018	
#: 1	Additor (5). addi	tor i	Date: October 4, 2016	
Audit of : Food Defense	Audit Criteria: cı	riteria1		
Statement of Nonconforr				
	•			
123				
Doonanaible Dortu proce	2000111201			
Responsible Party: proce Auditor Signature:	essowner	Signature:		
Additor Orginature.		Oignature.		
	NONCONFOR	MITY REP	PORT	
	ent Identification		I	
Non-Conformity Report	Auditor (s): auditor1		Date: October 4, 2018	
#: 2 Audit of : Food Defense	Audit Criteria: criteria1			
Statement of Nonconformity:				
123				
Responsible Party: processowner				
Auditor Signature:		Signature:		
NONCONFORMITY REPORT				
Incident Identification Number: 000000.00025				

Non-Conformity Report #: 3	Auditor (s): audi	tor1	Date: October 4, 2018	
Audit of : Food Defense	Audit Critoria: critoria1			
Statement of Nonconform		itoria i		
Comments testing				
Responsible Party: proce	essowner			
Auditor Signature:	Signature:			
	NONCONFOR	MUTY DED	ODT	
	NONCONFOR	MILLY REP	ORI	
la aid	ont Idontification I	NI	000 0000	
Non-Conformity Report	ent Identification Auditor (s): audi			
#: 4	Additor (5). addi	tor r	Date: October 4, 2018	
Audit of : Food Defense	Audit Criteria: Not			
	Applicable			
Statement of Nonconforr				
	-			
Comments TTT				
Responsible Party: proce	essowner	0:		
Auditor Signature:	itor Signature:		Signature:	
	NONCONFOR	MITV DED	OPT	
'	NONCON ON	IVIII I IXLE	OKI	
Incid	ent Identification	Number: 000	000 00027	
Non-Conformity Report	Auditor (s): auditor1		Date: October 4, 2018	
#: 5	7 10.0.101 (0)1 0.0.0.			
Audit of : Food Defense	Audit Criteria: criteria1			
Statement of Nonconformity:				
123				
Responsible Party: proce	essowner	Olava a trave		
Auditor Signature:	or Signature: Sig		Signature:	

NONCONFORMITY REPORT				
Incide	ent Identification	Number: 000	000.00028	
Non-Conformity Report	Auditor (s): auditor1		Date: October 4, 2018	
#: 6	, ,			
Audit of : Food Defense	Audit Criteria: ci	riteria1		
Statement of Nonconformity:				
123				
Danas a sible Destruction				
Responsible Party: processowner				
Auditor Signature:		Signature:		
	NONCONFOR	MITY REP	ORT	
'	1101100111 011			
Incide	ent Identification	Number: 000	000.00029	
Non-Conformity Report			Date: October 4, 2018	
#: 7	,			
Audit of : Food Defense	Audit Criteria: criteria2			
Statement of Nonconformity:				
789				
Responsible Party: processowner				
Auditor Signature: Signature		Signature:		