

# eGov JAMAICA LIMITED

Full System Audit

Internal Audit Report

## CONFORMITY REPORTS – APPLICATION ENGINEERING

Audit of: Application Engineering	Auditor: Mark A. Campbell	Audit Criteria: Application Architecture and Design Unit Standard Operating Procedures	Auditees:
<p>Audit Evidence:</p> <p>Resource request for developer seen</p>			
<p>Evaluation:</p> <p>Based on the evaluation of process step: Request for Application Engineer Staff It was determined that the organization was Meeting the requirements of (Application Architecture and Design unit standard) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [X] Which is listed in the evidence column of the report.</p>			
<p>Effectiveness:</p>			

## CONFORMITY REPORTS – APPLICATION ENGINEERING

Audit of: Application Engineering	Auditor: Mark A. Campbell	Audit Criteria: ISO 9001:2015 8.3.6. Control of Changes	Auditees:
<p>Audit Evidence:</p> <p>Merge Request File seen. The merge request is a function a pushes software code to the Github repository. A request would be made by a developer and a Senior Developer would perform the merge request which serves the function of a review of the code.</p>			
<p>Evaluation:</p> <p>Based on the evaluation of process step: Coding It was determined that the organization was Meeting the requirements of (Business Analysis SOP v2.0 ) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [X] Which is listed in the evidence column of the report.</p>			
<p>Effectiveness:</p>			

### CONFORMITY REPORTS – APPLICATION ENGINEERING

Audit of: Application Engineering	Auditor: Mark A. Campbell	Audit Criteria: Application Architecture and Design Unit Standard Operating Procedures	Auditees:
<p>Audit Evidence:</p> <p>Report from the code repository seen ( Sonarqubelicensingweb). The Sonarqube code repository has the capability to generate reports showing which developer modify the software program</p>			
<p>Evaluation:</p> <p>Based on the evaluation of process step: Track code changes It was determined that the organization was Meeting the requirements of (Business Analysis SOP v2.0 ) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [X] Which is listed in the evidence column of the report.</p>			
<p>Effectiveness:</p>			

### CONFORMITY REPORTS – APPLICATION ENGINEERING

Audit of: Application Engineering	Auditor: Mark A. Campbell	Audit Criteria: ISO 9001:2015 Clause 8.3.4	Auditees:
<p>Audit Evidence:</p> <p>SOP seen</p>			
<p>Evaluation:</p> <p>Based on the evaluation of process step: Testing It was determined that the organization was Meeting the requirements of (Business Analysis SOP v2.0 ) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [X] Which is listed in the evidence column of the report.</p>			
<p>Effectiveness:</p>			

### CONFORMITY REPORTS – APPLICATION ENGINEERING

Audit of: Application Engineering	Auditor: Mark A. Campbell	Audit Criteria: ISO 9001:2015 Clause 8.3.4	Auditees:
<p>Audit Evidence:</p> <p>SOP for each department (QA, Programmers, UX, Appl. Architecture and Design). Internal standards (Coding, Versioning, Unit Testing) seen</p>			
<p>Evaluation:</p> <p>Based on the evaluation of process step: Governance It was determined that the organization was Meeting the requirements of (Application Architecture and Design unit standard) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [X] Which is listed in the evidence column of the report.</p>			
<p>Effectiveness:</p>			

### CONFORMITY REPORTS – APPLICATION ENGINEERING

Audit of: Application Engineering	Auditor: Mark A. Campbell	Audit Criteria: ISO 9001:2015 Clause 7.4 Communication	Auditees:
<p>Audit Evidence:</p> <p>More recent agile health report seen</p>			
<p>Evaluation:</p> <p>Based on the evaluation of process step: Team Feedback It was determined that the organization was Meeting the requirements of (Application Architecture and Design unit standard) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [X] Which is listed in the evidence column of the report.</p>			
<p>Effectiveness:</p>			

### CONFORMITY REPORTS – APPLICATION ENGINEERING

Audit of: Application Engineering	Auditor: Mark A. Campbell	Audit Criteria: ISO 9001 2015 clause 7.2 & 7.3	Auditees:
<p>Audit Evidence:</p>			

Various SOP seen (Main AE SOP, QM, UI-UX, Unit Test, Coding ) Auditee expressed that staff are made aware of roles and responsibilities through the staff orientation sessions, job description that they are given, their work plans and the various standard operating procedures.

Evaluation:

Based on the evaluation of process step: Head of Department It was determined that the organization was Meeting the requirements of (Application Architecture and Design unit standard) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [X] Which is listed in the evidence column of the report.

Effectiveness:

### CONFORMITY REPORTS – APPLICATION ENGINEERING

Audit of: Application Engineering	Auditor: Mark A. Campbell	Audit Criteria: ISO 9001 2015 clause 7.2 & 7.3	Auditees:
Audit Evidence:			
Training register seen training course outline seen			
Evaluation:			
Based on the evaluation of process step: Head of Department It was determined that the organization was Meeting the requirements of (Application Architecture and Design unit standard) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [X] Which is listed in the evidence column of the report.			
Effectiveness:			

### CONFORMITY REPORTS – APPLICATION ENGINEERING

Audit of: Application Engineering	Auditor: Mark A. Campbell	Audit Criteria: ISO 9001:2015 Clause 8.3.4	Auditees:
Audit Evidence:			
unit has a copy of procedures			
Evaluation:			
Based on the evaluation of process step: Application Architecture and Design Unit			

Standard Operating Procedures It was determined that the organization was Meeting the requirements of (Application Architecture and Design unit standard) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [X] Which is listed in the evidence column of the report.

Effectiveness:

## CONFORMITY REPORTS – APPLICATION ENGINEERING

Audit of: Application Engineering	Auditor: Mark A. Campbell	Audit Criteria: ISO 9001:2015 Clause 8.3.5	Auditees:
Audit Evidence:			
TA_1.png seen LSA_1.png seen			
Evaluation:			
Based on the evaluation of process step: Deployment It was determined that the organization was Meeting the requirements of (Application Architecture and Design unit standard) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [X] Which is listed in the evidence column of the report.			
Effectiveness:			

## NONCONFORMITY REPORT

Incident Identification Number: 000000.00001

Non-Conformity Report #: 1	Auditor (s): Mark A. Campbell	Date: June 22, 2022
Audit of : Application Engineering	Audit Criteria: ISO 9001:2015 Clause 8.3.4	
Statement of Nonconformity:		
Based on the evaluation of process step: Testing It was determined that the organization was not Meeting the requirements of (Business Analysis SOP v2.0 ) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [ ] Which is listed in the evidence column of the report.		
Responsible Party: Shernette Barham		

Auditor Signature:	Signature:
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NONCONFORMITY REPORT		
Incident Identification Number: 000000.00002		
Non-Conformity Report #: 2	Auditor (s): Mark A. Campbell	Date: June 22, 2022
Audit of : Application Engineering	Audit Criteria: ISO 9001:2015 Clause 7.1.2 - People	
<p>Statement of Nonconformity:</p> <p>Based on the evaluation of process step: Resource Assignment It was determined that the organization was not Meeting the requirements of (Application Architecture and Design unit standard) And the relevant ISO 90001 -2015 standard based on: A. interview with requisite managers [X] and officers [ ] B. documented information retained by the department [X] Which is listed in the evidence column of the report.</p> <p>Responsible Party: Shernette Barham</p>		
Auditor Signature:		Signature: