

# eGov JAMAICA LIMITED

Full System Audit

Internal Audit Report

## CONFORMITY REPORTS – DISASTER RECOVERY & BACKUP ( NOC - OSS)

Audit of: Disaster Recovery & Backup (NOC - OSS)	Auditor: Stacey Earle	Audit Criteria: ISO 9001 6.2 (6.2.1)	Auditees:
Audit Evidence:			
Business Management Continuity Policy -December 2021			
Evaluation:			
Based on the Disaster Recovery Plan I can conclude that there is an updated document in place. According to the requirement of the ISO 9001 Standard which states: The organization shall plan: a) actions to address these risks and opportunities; b) how to: 1) integrate and implement the actions into its quality management system processes (see 4.4); 2) evaluate the effectiveness of these actions.			
Effectiveness:			

## CONFORMITY REPORTS – DISASTER RECOVERY & BACKUP ( NOC - OSS)

Audit of: Disaster Recovery & Backup (NOC - OSS)	Auditor: Stacey Earle	Audit Criteria: ISO 9001:2015 Clause 8.5.4 - Preservation	Auditees:
Audit Evidence:			
Backup Daily Status Report			
Evaluation:			
Based on the ISO Standard 9001: 2015 which stipulates: "The organization shall preserve the outputs during production and service provision, to the extent necessary to ensure conformity to requirements". NOTE Preservation can include identification, handling, contamination control, packaging, storage, transmission or transportation, and protection.			
Effectiveness:			

## CONFORMITY REPORTS – DISASTER RECOVERY & BACKUP ( NOC -

### OSS)

Audit of: Disaster Recovery & Backup (NOC - OSS)	Auditor: Stacey Earle	Audit Criteria: NOC Operational Procedure Manual - Backup and Recovery Strategies Schedule Section 2.16.2	Auditees:
Audit Evidence:			
Backup Log Status Report			
Evaluation:			
Based on the ISO 9001 Standards which stipulates..The organization shall identify, review and control changes made during, or subsequent to, the design and development of products and services, to the extent necessary to ensure that there is no adverse impact on conformity to requirements and the assessment of the NOC Policy document it can be concluded that the organization is in conformance			
Effectiveness:			

### CONFORMITY REPORTS – DISASTER RECOVERY & BACKUP ( NOC - OSS)

Audit of: Disaster Recovery & Backup (NOC - OSS)	Auditor: Stacey Earle	Audit Criteria: IT Disaster Recovery Plan - Communicating a Disruptive Event Section:10 (10.1-10.2)	Auditees:
Audit Evidence:			
IT Disaster Recovery Plan			
Evaluation:			
Based on the IT Disaster Recovery Plan stipulates the flow of communication among stakeholders.			
Effectiveness:			

### CONFORMITY REPORTS – DISASTER RECOVERY & BACKUP ( NOC -

## OSS)

Audit of: Disaster Recovery & Backup (NOC - OSS)	Auditor: Stacey Earle	Audit Criteria: ISO 22301:2019 Clause 8.2.2 Business Impact Analysis	Auditees:
<p>Audit Evidence:</p> <p>IT Security Policies and Risk Assessment Methodology dtd Dec 9, 2011, was assessed.</p>			
<p>Evaluation:</p> <p>In keeping with the ISO 9001 standard. IT Security Policies were seen and interviewed with the process owner who stated that as part of the establishment of eGov's Information Security Management System (ISMS), undertook a comprehensive assessment of the information security risks facing the organization. That risk assessment is refreshed periodically and includes a re-examination of the risks, updated risk evaluations, and updated risk treatment plans. Therefore it can be concluded that the organization has a strategy in place to manage and address risks and opportunities proportionate to the potential impact on the conformity of the organization's services delivery?</p>			
<p>Effectiveness:</p>			

<b>NONCONFORMITY REPORT</b>		
Incident Identification Number: 000000.00001		
Non-Conformity Report #: 1	Auditor (s): Stacey Earle	Date: June 22, 2022
Audit of : Disaster Recovery & Backup (NOC - OSS)	Audit Criteria: ISO 22301:2019 Clause 3.4 Business Continuity Plan	
<p>Statement of Nonconformity:</p> <p>The Process owner has stated that they are in the process of finalizing the organization's business continuity plan. The document is still in draft and cannot be shared at this time</p>		
Responsible Party: Devon Sterling		
Auditor Signature:		Signature: