

eGov JAMAICA LIMITED

Full System Audit

Internal Audit Report

CONFORMITY REPORTS – 1 SECURITY ASSESSMENT (SITRM - OSS)

Audit of: 1 Security Assessment (SITRM - OSS)	Auditor: Sophia Taylor	Audit Criteria: ISO 9001:2015 Clause 6.1.1(a)	Auditees:
Audit Evidence:			
The vulnerability tracking sheet 2019			
Evaluation:			
Based on the findings from the tracking sheet 2019 I can conclude that they do in fact track vulnerabilities according to the requirements of ISO9001:2015 clause 6.1.1 (a) which states that When planning for the quality management system, the organization shall consider the issues and requirements and determine the risks and opportunities that need to be addressed to: • Give assurance that the quality management system can achieve its intended result(s).			
Effectiveness:			

CONFORMITY REPORTS – 1 SECURITY ASSESSMENT (SITRM - OSS)

Audit of: 1 Security Assessment (SITRM - OSS)	Auditor: Sophia Taylor	Audit Criteria: ISO 9001:2015 Clause 5.3	Auditees:
Audit Evidence:			
Job descriptions for all roles provided			
Evaluation:			
Based on the findings from the Job Descriptions which is given to each staff upon entry into the organization according to the requirements of ISO9001:2015 clause 5.3 which states that Top management shall ensure that the responsibilities and authorities for relevant roles are assigned, communicated and understood within the organization.			
Effectiveness:			

CONFORMITY REPORTS – 1 SECURITY ASSESSMENT (SITRM -

OSS)

Audit of: 1 Security Assessment (SITRM - OSS)	Auditor: Sophia Taylor	Audit Criteria: ISO 9001:2015 Clause 6.1.1(a)	Auditees:
Audit Evidence:			
Risk Assessment Document 2021			
Evaluation:			
Based on the findings from the Risk Assessment Document 2021 I can conclude that they do in fact track risks according to the requirements of ISO9001:2015 clause 6.1.1 (a) which states that When planning for the quality management system, the organization shall consider the issues and requirements and determine the risks and opportunities that need to be addressed to: • Give assurance that the quality management system can achieve its intended result(s).			
Effectiveness:			

CONFORMITY REPORTS – 1 SECURITY ASSESSMENT (SITRM - OSS)

Audit of: 1 Security Assessment (SITRM - OSS)	Auditor: Sophia Taylor	Audit Criteria: ISO 9001:2015 Clause 6.1.1(a)	Auditees:
Audit Evidence:			
Risk Assessment Document 2021			
Evaluation:			
Based on the findings from the Risk Assessment Document 2021 I can conclude that they do in fact identify mitigating controls for risks identified within the organization according to the requirements of ISO9001:2015 clause 6.1.1 (a) which states that When planning for the quality management system, the organization shall consider the issues and requirements and determine the risks and opportunities that need to be addressed to: • Give assurance that the quality management system can achieve its intended result(s). • Enhance desirable effects. • Prevent, or reduce, undesired effects.			
Effectiveness:			

CONFORMITY REPORTS – 1 SECURITY ASSESSMENT (SITRM -

OSS)

Audit of: 1 Security Assessment (SITRM - OSS)	Auditor: Sophia Taylor	Audit Criteria: ISO 9001:2015 Clause 6.1.1(d)	Auditees:
Audit Evidence:			
Risk Assessment Document 2021			
Evaluation:			
Based on the findings from the Risk Assessment Document 2021 I see where mitigating controls are captured according to the requirements of ISO9001:2015 clause 6.1.1 (d) which states that The organization shall monitor and review the information about these external and internal issues.			
Effectiveness:			

CONFORMITY REPORTS – 1 SECURITY ASSESSMENT (SITRM - OSS)

Audit of: 1 Security Assessment (SITRM - OSS)	Auditor: Sophia Taylor	Audit Criteria: ISO 9001:2015 Clause 6.2.1 (f)	Auditees:
Audit Evidence:			
Operation Support Services Monthly Report December 2021			
Evaluation:			
Based on the findings from the Operation Support Services Monthly Report December 2021 I see where Key performance indicators are tracked on a monthly basis and are captured according to the requirements of ISO9001:2015 clause 6.2.1 (f) which states that The organization shall maintain documented information on the quality objectives.			
Effectiveness:			

NONCONFORMITY REPORT

Incident Identification Number: 000000.00001

Non-Conformity Report #: 1	Auditor (s): Sophia Taylor	Date: June 22, 2022
Audit of : 1 Security Assessment (SITRM - OSS)	Audit Criteria: ISO 9001:2015 Clause 5.1.1 (g)	

Statement of Nonconformity:

There is a shortage of human resources manage vulnerabilities within the department. Due to the shortage of human resource, the unit can find themselves in a situation of not being able to deliver effectively on requests. Based on the clause: 5.1.1 (g) Top management shall demonstrate leadership and commitment with respect to the quality management system by: e) ensuring that the resources needed for the quality management system are available; 6.3 (d) When the organization determines the need for changes to the quality management system, the changes shall be carried out in a planned manner. The organization shall consider: • The availability of resources.

Responsible Party: Devon Sterling

Auditor Signature:

Signature: