### **Approximation Algorithms**

#### Introduction

- Many problems of practical significance are NPcomplete and can be solved in following ways:
- 1. If the actual inputs are small, an algorithm with exponential running time may be perfectly satisfactory.
- 2. Identify important special cases that can be solved in polynomial time.
- 3. Approximation Algorithm
  - Algorithms that runs in polynomial time and always produce a solution close to the optimal.

### **Performance Ratios**

• An algorithm for a problem has an approximation ratio of  $\rho(n)$  if, for any input of size n, the cost C of the solution produced by the algorithm is within a factor of  $\rho(n)$  of the cost  $C^*$  of an optimal solution:

$$\max\left(\frac{C}{C^*}, \frac{C^*}{C}\right) \leq \rho(n)$$

- If an algorithm achieves an approximation ratio of  $\rho(n)$ , it is called as a  $\rho(n)$  -approximation algorithm.
- $\rho(n) \ge 1$ 
  - 1-approximation algorithm produces an optimal solution.

- Definitions of approximation ratio and  $\rho(n)$ -approximation algorithm can be applied to both minimization and maximization problems.
- For a maximization problem,
  - $-0 < C \le C^*$ , and the ratio  $C^*/C$  gives the factor by which the cost of an optimal solution is larger than the cost of the approximate solution.
- For a minimization problem,
  - $-0 < C^* \le C$ , and the ratio  $C/C^*$  gives the factor by which the cost of the approximate solution is larger than the cost of an optimal solution.

- Inputs to  $(1 + \varepsilon)$ -approximation algorithm
  - An instance of the problem.
  - A value  $\varepsilon > 0$ .
- Polynomial-time approximation scheme (PTAS)
  - Runs in time polynomial in size n of its input instance.
  - Running time increases very rapidly as ε decreases.
  - Example:  $O(n^{2/\varepsilon})$
- Fully polynomial-time approximation scheme (FPTAS)
  - Runs in time polynomial in both  $1/\epsilon$  and size n of its input instance.
  - Running time increases by a constant-factor with any constant-factor decrease in  $\varepsilon$ .
  - Example:  $O((1/\varepsilon)^2 n^3)$

## **Traveling Salesman Problem**

### **Traveling Salesman Problem**

- Given a complete undirected graph G = (V, E) with a nonnegative integer cost c(u,v) associated with each edge  $(u,v) \in E$ , find a hamiltonian cycle (a tour) of G with minimum cost.
- Consider two cases:
  - with and without triangle inequality.
  - -c satisfies triangle inequality, if for all vertices u,  $v, w \in V$ ,  $c(u,w) \le c(u,v) + c(v,w)$
- Finding an optimal solution is NP-complete in both cases.

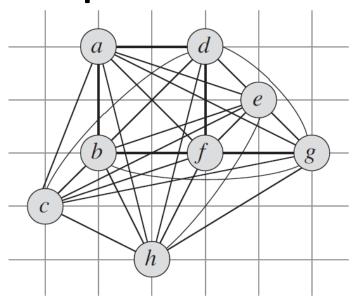
### TSP with Triangle Inequality

- Compute a minimum spanning tree, whose weight gives a lower bound on the length of an optimal traveling-salesman tour.
- Use the minimum spanning tree to create a tour whose cost is no more than twice that of the minimum spanning tree's weight, as long as the cost function satisfies the triangle inequality.
- Assuming,
  - -G a complete undirected graph.
  - -c a cost function satisfying the triangle inequality.

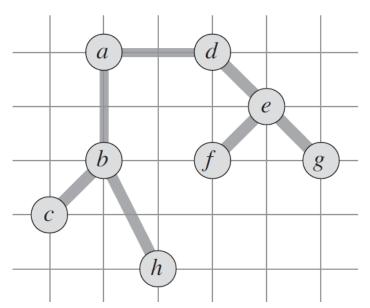
### APPROX-TSP-TOUR(G,c)

- 1. Select a vertex  $r \in G.V$  to be a "root" vertex
- 2. Compute a minimum spanning tree T for G from root r using MST-PRIM(G,c,r)
- 3. Let *H* be a list of vertices, ordered according to when they are first visited in a preorder tree walk of *T*
- 4. **return** the hamiltonian cycle *H*

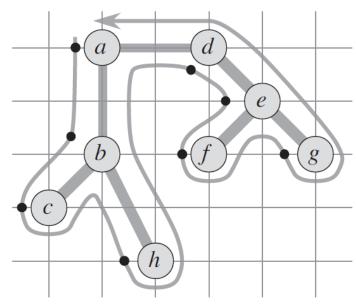
### Example



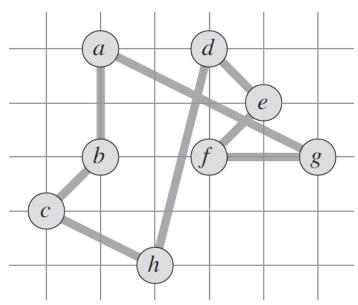
1. A complete undirected graph. Vertices lie on intersections of integer grid lines. For example, *f* is one unit to the right and two units up from *h*. The cost function between two points is the ordinary Euclidean distance.



2. A minimum spanning tree *T* of the complete graph, as computed by MST-PRIM. Vertex *a* is the root vertex. Only edges in the minimum spanning tree are shown. The vertices happen to be labeled in such a way that they are added to the main tree by MST-PRIM in alphabetical order.

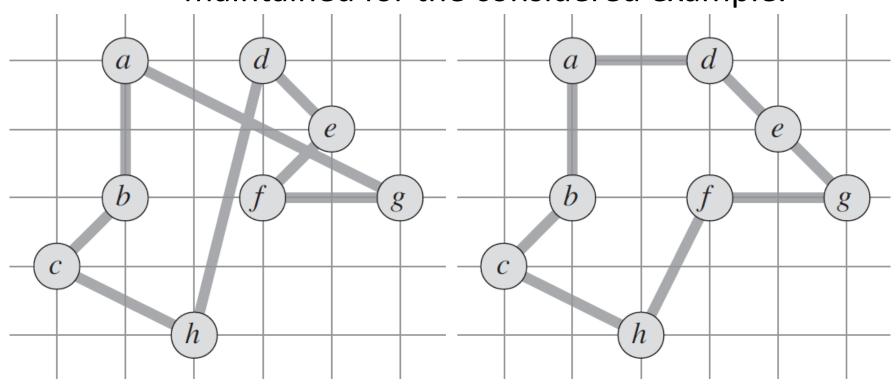


3. A walk of *T*, starting at *a*. A full walk of the tree visits the vertices in the order *a b c b h b a d e f e g e d a*. A preorder walk of *T* lists a vertex just when it is first encountered, as indicated by the dot next to each vertex, yielding the ordering *a b c h d e f g*.



4. A tour obtained by visiting the vertices in the order given by the preorder walk, which is the tour *H* returned by APPROX-TSP-TOUR. Its total cost is approximately 19.074.

It is known that APPROX-TSP-TOUR is a Contd... polynomial-time 2-approximation algorithm, i.e. 19.074 <= 2 \* 14.715. The relation is clearly maintained for the considered example.



Tour H obtained using APPROX-TSP-TOUR. Cost = 19.074

An optimal tour H\* for the original complete graph. Cost = 14.715

#### Theorem:

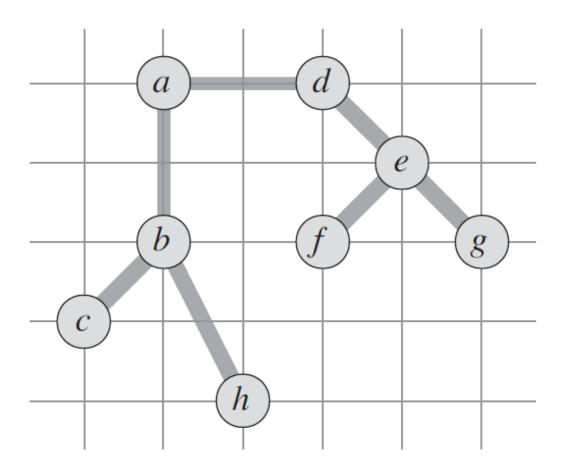
Approx-TSP-Tour is a polynomial-time 2approximation algorithm for the travelingsalesman problem with the triangle inequality.

- Polynomial running time obvious, simple MSTPRIM takes  $\theta(|V|^2)$ , computing pre-order walk takes no longer.
- Correctness obvious, pre-order walk is always a tour.

- Let  $H^*$  denotes an optimal tour for given set of vertices.
- Deleting any edge from  $H^*$  gives a spanning tree.
- Thus, weight of minimum spanning tree (*T*) is lower bound on cost of optimal tour:

$$c(T) \le c(H^*)$$

- A full walk of *T* lists vertices when they are first visited, and also when they are returned to, after visiting a subtree.
- The full walk (W) of our example gives the order



a, b, c, b, h, b, a, d, e, f, e, g, e, d, a

Full walk W traverses every edge exactly twice, thus

$$c(W) = 2c(T)$$

• Together with  $c(T) \le c(H^*)$ , this gives

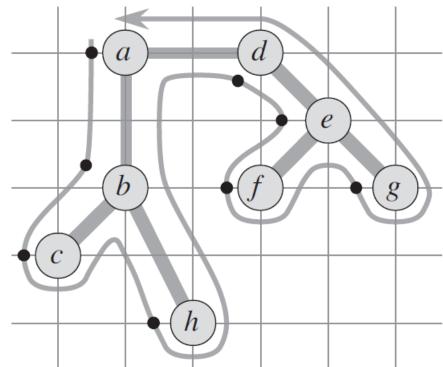
$$c(W) = 2c(T) \le 2c(H^*)$$

- Find a connection between cost of W and cost of "our" tour.
  - Problem: W is in general not a proper tour, since vertices may be visited more than once.
  - But: using the triangle inequality, we can delete a visit to any vertex from W and cost does not increase.
  - Deleting a vertex v from walk W between visits to u and wmeans going from u directly to w, without visiting v.

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We can consecutively remove all multiple visits to

any vertex.



- Example:
  - full walk *a*, *b*, *c*, *b*, *h*, *b*, *a*, *d*, *e*, *f*, *e*, *g*, *e*, *d*, *a*
  - becomes a, b, c, h, d, e, f, g

- This ordering (with multiple visits deleted) is identical to that obtained by pre-order walk of T (with each vertex visited only once).
- It certainly is a Hamiltonian cycle. Let's call it H.
- H is just what is computed by APPROX-TSP-TOUR.
- H is obtained by deleting vertices from W, thus

$$c(H) \le c(W)$$

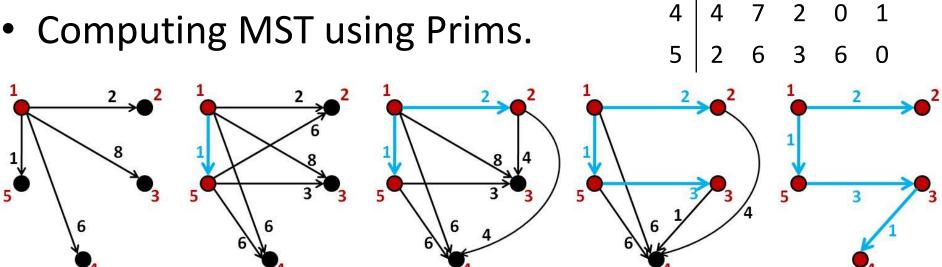
Conclusion:

$$c(H) \le c(W) \le 2c(H^*)$$

- Although factor 2 looks nice, there are better algorithms.
- There's a 3/2 approximation algorithm by Christofides(with triangle inequality).
- In general, TSP cost function c does not satisfy triangle inequality.
- Theorem:
  - If P  $\neq$  NP, then for any constant  $\rho \geq 1$ , there is no polynomial time approximation algorithm with approximation ratio  $\rho$  for the general traveling-salesman problem.

### Example

- Let the starting vertex be '1'.



(iii)

Preorder traversal of MST

(ii)

- -12534, or
- -15342

(i)

0 2 8 6 1

3 0 1 5

0

(iv)

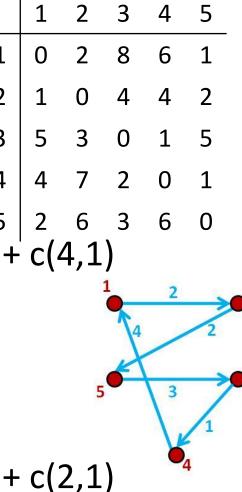
- Computing tour cost.
  - -12534

- Cost = 
$$c(1,2) + c(2,5) + c(5,3) + c(3,4) + c(4,1)$$
  
=  $2 + 2 + 3 + 1 + 4 = 12$ 

OR

$$-15342$$

- Cost = 
$$c(1,5) + c(5,3) + c(3,4) + c(4,2) + c(2,1)$$
  
=  $1 + 3 + 1 + 7 + 1 = 13$ 



### Proving 2-approximation algorithm

- To prove,
  - —The cost of optimal tour is required.
- To compute optimal tour use Held-Karp algorithm, also called Bellman–Held–Karp algorithm.
- It is a dynamic programming algorithm to solve the Traveling Salesman Problem (TSP).
- In worst case,
  - -Time complexity is  $O(2^n n^2)$
  - -Space complexity is  $O(2^n n)$

### Held-Karp Algorithm

- Let,
  - -N be the number of cities i.e.  $\{1, 2, \ldots, N\}$ .
  - -1 is the 'start' city.
  - $-d_{ij}$  is the distance between city i and city j.
  - $-S \subseteq \{2,\ldots,N\}$  of cities and,
  - For  $c \in S$ , let D(S, c) be the minimum distance, starting at city 1, visiting all cities in S and finishing at city c.

$$D(S,c) = \min_{x \in S} \left( D(S-c,x) + d_{xc} \right)$$

-k be the size of S.

### Example (Same as in slide 21)

• 
$$k = 0, S = \emptyset$$
  
-  $D(\emptyset,2) = 2, \quad p(\emptyset,2) = 1$   
-  $D(\emptyset,3) = 8, \quad p(\emptyset,3) = 1$   
-  $D(\emptyset,4) = 6, \quad p(\emptyset,4) = 1$   
-  $D(\emptyset,5) = 1, \quad p(\emptyset,5) = 1$ 

	1	2	3	4 6 4 1 0 6	5
1	0	2	8	6	1
2	1	0	4	4	2
3	5	3	0	1	5
4	4	7	2	0	1
5	2	6	3	6	0

• 
$$k = 1, S = \{2\}$$
  
 $-D(\{2\},3) = D(\emptyset,2) + d_{23} = 2 + 4 = 6, \quad p(\{2\},3) = 2$   
 $-D(\{2\},4) = D(\emptyset,2) + d_{24} = 2 + 4 = 6, \quad p(\{2\},4) = 2$   
 $-D(\{2\},5) = D(\emptyset,2) + d_{25} = 2 + 2 = 4, \quad p(\{2\},5) = 2$ 

• 
$$k = 1, S = \{3\}$$
  
-  $D(\{3\}, 2) = D(\emptyset, 3) + d_{22}$ 

- 
$$D({3},2) = D(\emptyset,3) + d_{32} = 8 + 3 = 11,$$

- 
$$D({3},4) = D(\emptyset,3) + d_{34} = 8 + 1 = 9,$$

- 
$$D({3},5) = D(\emptyset,3) + d_{35} = 8 + 5 = 13,$$

$$p({3},2) = 3$$

$$p({3},4) = 3$$

$$p({3},5) = 3$$

• 
$$k = 1, S = \{4\}$$

$$-D({4},2) = D(\emptyset,4) + d_{42} = 6 + 7 = 13,$$

$$-D({4},3) = D(\emptyset,4) + d_{43} = 6 + 2 = 8,$$

$$-D({4},5) = D(\emptyset,4) + d_{45} = 6 + 1 = 7,$$

$$p({4},2) = 4$$

$$p({4},3) = 4$$

$$p({4},5) = 4$$

• 
$$k = 1, S = \{5\}$$

$$-D({5},2) = D(\emptyset,5) + d_{52} = 1 + 6 = 7,$$

$$-D({5},3) = D(\emptyset,5) + d_{53} = 1 + 3 = 4,$$

- 
$$D({5},4) = D(\emptyset,5) + d_{54} = 1 + 6 = 7,$$

$$p({5},2) = 5$$

$$p({5},3) = 5$$

$$p({5},4) = 5$$

```
• k = 2, S = \{2,3\}

- D(\{2,3\},4) = \min(D(\{2\},3) + \mathbf{d_{34}}, D(\{3\},2) + \mathbf{d_{24}})

= \min(\underline{\mathbf{6} + \mathbf{1}}, 11 + 4) = 7, \qquad p(\{2,3\},4) = 3

- D(\{2,3\},5) = \min(D(\{2\},3) + \mathbf{d_{35}}, D(\{3\},2) + \mathbf{d_{25}})

= \min(\underline{\mathbf{6} + \mathbf{5}}, 11 + 2) = 11, \qquad p(\{2,3\},5) = 3
```

• 
$$k = 2, S = \{2,4\}$$
  
-  $D(\{2,4\},3) = \min(D(\{2\},4) + \mathbf{d_{43}}, D(\{4\},2) + \mathbf{d_{23}})$   
=  $\min(\underline{\mathbf{6} + 2}, 13 + 4) = 8, \qquad p(\{2,4\},3) = 4$   
-  $D(\{2,4\},5) = \min(D(\{2\},4) + \mathbf{d_{45}}, D(\{4\},2) + \mathbf{d_{25}})$   
=  $\min(\underline{\mathbf{6} + 1}, 13 + 2) = 7, \qquad p(\{2,3\},5) = 4$ 

• 
$$k = 2, S = \{2,5\}$$
  
-  $D(\{2,5\},3) = \min(D(\{2\},5) + \mathbf{d}_{53}, D(\{5\},2) + \mathbf{d}_{23})$   
=  $\min(\mathbf{4} + \mathbf{3}, 7 + 4) = 7, \qquad p(\{2,5\},3) = 5$   
-  $D(\{2,5\},4) = \min(D(\{2\},5) + \mathbf{d}_{54}, D(\{5\},2) + \mathbf{d}_{24})$   
=  $\min(\mathbf{4} + \mathbf{6}, 7 + 4) = 10, \qquad p(\{2,5\},4) = 5$ 

2 1 0 4 4 2

3 5 3 0 1 5

4 4 7 2 0 1

```
• k = 2, S = \{3,4\}

- D(\{3,4\},2) = \min(D(\{3\},4) + d_{42}, D(\{4\},3) + d_{32})

= \min(9 + 7, 8 + 3) = 11, p(\{3,4\},2) = 3

- D(\{3,4\},5) = \min(D(\{3\},4) + d_{45}, D(\{4\},3) + d_{35})

= \min(9 + 1, 8 + 5) = 10, p(\{3,4\},5) = 4
```

• 
$$k = 2, S = \{3,5\}$$
  
-  $D(\{3,5\},2) = \min(D(\{3\},5) + d_{52}, D(\{5\},3) + d_{32})$   
=  $\min(13 + 6, \underline{4 + 3}) = 7, \qquad p(\{3,5\},2) = 3$   
-  $D(\{3,5\},4) = \min(D(\{3\},5) + d_{54}, D(\{5\},3) + d_{34})$   
=  $\min(13 + 6, \underline{4 + 1}) = 5, \qquad p(\{3,5\},4) = 3$ 

• 
$$k = 2, S = \{4,5\}$$
  
-  $D(\{4,5\},2) = \min(\mathbf{D}(\{4\},5) + \mathbf{d}_{52}, D(\{5\},4) + \mathbf{d}_{42})$   
=  $\min(\mathbf{7 + 6}, 7 + 7) = 13, \qquad p(\{4,5\},2) = 5$   
-  $D(\{4,5\},3) = \min(D(\{4\},5) + \mathbf{d}_{53}, \mathbf{D}(\{5\},4) + \mathbf{d}_{43})$   
=  $\min(7 + 3, \mathbf{7 + 2}) = 9, \qquad p(\{4,5\},3) = 4$ 

2 1 0 4 4 2

3 5 3 0 1 5

4 4 7 2 0 1

• 
$$k = 3, S = \{2,3,4\}$$
  
-  $D(\{2,3,4\},5) = \min(D(\{2,3\},4) + d_{45}, D(\{2,4\},3) + d_{35}, D(\{3,4\},2) + d_{25})$   
=  $\min(\underline{7+1}, 8+5, 11+2) = 8$ ,  $p(\{2,3,4\},5) = 4$ 

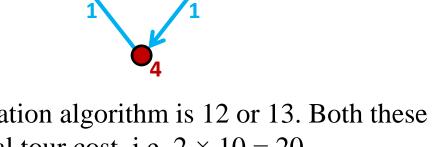
• 
$$k = 3, S = \{2,3,5\}$$
  
-  $D(\{2,3,5\},4) = \min(D(\{2,3\},5) + d_{54}, D(\{2,5\},3) + d_{34}, D(\{3,5\},2) + d_{24})$   
=  $\min(11 + 6, 7 + 1, 7 + 4) = 8,$   $p(\{2,3,5\},4) = 3$ 

• 
$$k = 3, S = \{2,4,5\}$$
  
-  $D(\{2,4,5\},3) = \min(D(\{2,4\},5) + \mathbf{d}_{53}, D(\{2,5\},4) + \mathbf{d}_{43}, D(\{4,5\},2) + \mathbf{d}_{23})$   
=  $\min(\underline{7+3}, 10+2, 13+4) = 10, \qquad p(\{2,4,5\},3) = 5$ 

• 
$$k = 3, S = \{3,4,5\}$$
  
-  $D(\{3,4,5\},2) = \min(D(\{3,4\},5) + d_{52}, D(\{3,5\},4) + d_{42}, D(\{4,5\},3) + d_{32})$   
=  $\min(10 + 6, 5 + 7, 9 + 3) = 12,$   $p(\{3,4,5\},2) = 4$ 

• 
$$k = 4, S = \{2,3,4,5\}$$
  
•  $D(\{2,3,4,5\},1) = \min(D(\{2,3,4\},5) + \mathbf{d_{51}}, D(\{2,3,5\},4) + \mathbf{d_{41}}, b)$   
•  $D(\{2,4,5\},3) + \mathbf{d_{31}}, D(\{3,4,5\},2) + \mathbf{d_{21}})$   
•  $D(\{2,3,4,5\},3) + \mathbf{d_{31}}, D(\{3,4,5\},2) + \mathbf{d_{21}})$   
•  $D(\{2,3,4,5\},3) + \mathbf{d_{31}}, D(\{3,4,5\},2) + \mathbf{d_{21}})$ 

- $p({2,3,4,5},1) = 5$
- $p(\{2,3,4\},5) = 4$
- $p({2,3},4) = 3$
- $p(\{2\},3) = 2$
- $p(\{\emptyset\},2)=1$
- Optimal tour is 1 2 3 4 5 1 with cost 10.



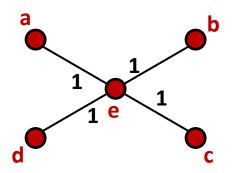
- Cost of tour obtained using 2-approximation algorithm is 12 or 13. Both these cost values are less than twice of optimal tour cost, i.e.  $2 \times 10 = 20$ .
- Hence proved.

#### Christofides' Algorithm (3/2 approximation algorithm)

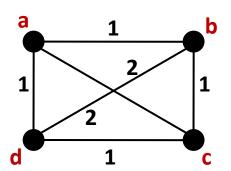
- 1. Find a minimum spanning tree of G.
- 2. Compute a minimum cost perfect matching M on the set of odd-degree vertices of MST. Add M to MST to obtain an Eulerian graph.
- 3. Find a Eulerian tour J of the Eulearian graph.
- 4. Convert J to a tour T by going through the vertices in the same order of T, skipping vertices that were already visited.

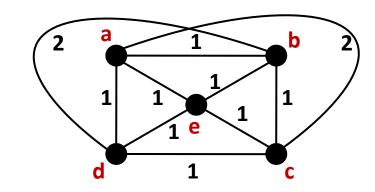
### Example – 1

1. Compute MST.

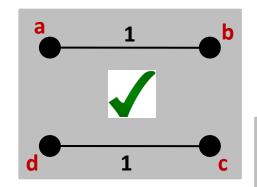


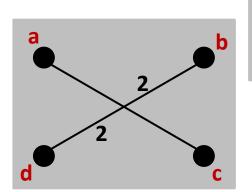
2. Compute sub-graph with set of odd-degree vertices of MST.

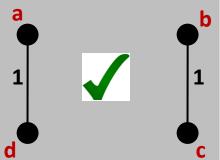




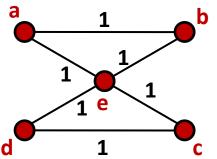
3. Compute minimum cost perfect matching



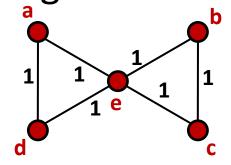




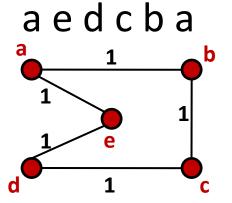
Add minimum cost perfect matching to MST.



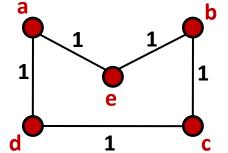
OR



- Compute Eulerian tour (Pick any possible tour)
   a e d c e b a
   OR
   a e b c e d a
- Compute required tour by removing repeated cities.

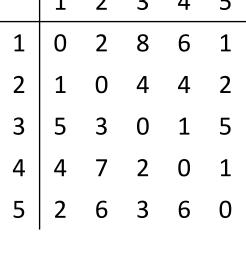


aebcda

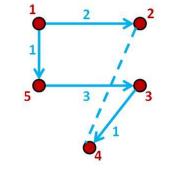


# Example – 2 (Same as in slide 21) $\frac{1}{2}$

- Computing MST.
- Compute minimum cost perfect matching with set of odd-degree vertices of MST.
- Eulerian Tour (has no repeated city) -124351. Cost = 15, or
  - 12 7 3 3 1. 0030 13, 01
    - -153421. Cost = 13.

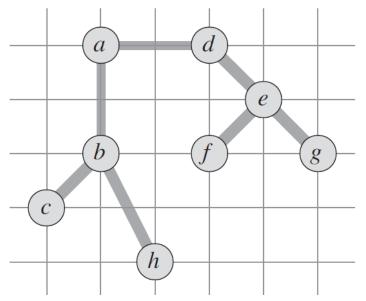


#### **Updated MST**

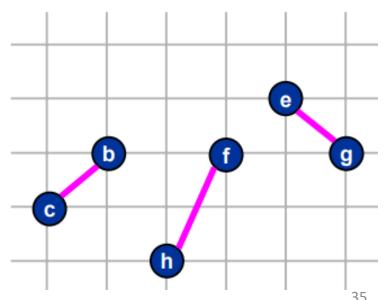


### Example – 3 (Same as in slide 10)

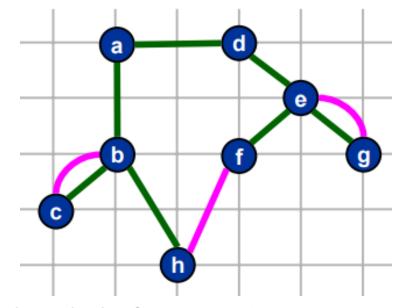
Computing MST.



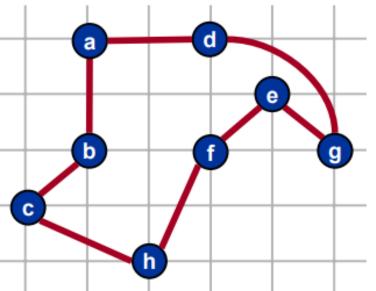
 Compute minimum cost perfect matching with set of odd-degree vertices of MST.



Updated MST.



- Eulerian tour: a b c b h f e g e d a
- Required tour:a b c h f e g d a. Cost = 15.543
- Another possible Eulerian tour
   a d e g e f h b c b a
- Required tour:a d e g f h b c a. Cost = 15.877



### 0/1 Knapsack Problem

## 0/1 Knapsack Problem

- Given:
  - −A knapsack of size C.
  - -n distinct items.
  - Each item i has weight  $w_i$  and profit  $p_i$ .
- Goal:
  - Find subset of the items such that,

    Total profit  $\sum x_i p_i$  is maximum, and

$$\sum x_i w_i \le C$$
, where  $x_i \in \{0,1\}$ 

- Knapsack is NP-hard.
- No polynomial time algorithm exists to solve it.
- It does have a pseudo-polynomial time algorithm using dynamic programming.
- This algorithm finds the optimal solution.
- Let,
  - -K[i][j] be the optimal solution of this instance.
  - -K[i][j] represents the value of the most valuable subsets of the first i items that fit into the knapsack of capacity j.

## Pseudocode – DP\_Knapsack()

- for i = 0 to n
- K[i][0] = 0
- for j = 0 to C
- K[0][j] = 0
- for i = 1 to n
- for j = 1 to C
- if  $j < w_i$
- K[i][j] = K[i-1][j]
- else
- $K[i][j] = \max(K[i-1][j], p_i + K[i-1][j-w_i])$

Total running time =  $O(n^2P_{max})$ 

 $P_{max}$  = profit of the most profitable object.

## Pseudocode – DP\_Knapsack\_GetSelected(i,j)

- if j > 0
- if K[i][j] == K[i-1][j]
- DP\_Knapsack\_GetSelected(i-1, j)
- else
- print [Item *i* is selected]
- DP\_Knapsack\_GetSelected $(i-1, j-w_i)$

- Note:
  - First function call is DP\_Knapsack\_GetSelected(n, C)

## Example

- Capacity of a Knapsack is 8.
- Size of K is 5 rows and9 columns

Item #	Profit	Weight
1	15	1
2	10	5
3	9	3
4	5	4

p <sub>i</sub>	w <sub>i</sub>		0	1	2	3	4	5	6	7	8
		0	0	0	0	0	0	0	0	0	0
15	1	1	0								
10	5	2	0								
9	3	3	0								
5	4	4	0								

p <sub>i</sub>	w <sub>i</sub>		0	1	2	3	4	5	6	7	8
		0	0	0	0	0	0	0	0	0	0
15	1	1	0	15	15	15	15	15	15	15	15
10	5	2	0								
9	3	3	0								
5	4	4	0								

$$i = 1$$
,  $w_1 = 1$ ,  $p_1 = 15$ .

- $j = 1.1 == 1.K[1][1] = max(K[0][1], p_1 + K[0][0]) = max(0, 15 + 0) = 15.$
- $j = 2.2 > 1. K[1][2] = max(K[0][2], p_1 + K[0][1]) = max(0, 15 + 0) = 15.$
- $j = 3.3 > 1. K[1][3] = max(K[0][3], p_1 + K[0][2]) = max(0, 15 + 0) = 15.$
- $j = 4.4 > 1. K[1][4] = max(K[0][4], p_1 + K[0][3]) = max(0, 15 + 0) = 15.$
- $j = 5.5 > 1. K[1][5] = max(K[0][5], p_1 + K[0][4]) = max(0, 15 + 0) = 15.$
- $j = 6.6 > 1. K[1][6] = max(K[0][6], p_1 + K[0][5]) = max(0, 15 + 0) = 15.$
- $j = 7.7 > 1. K[1][7] = max(K[0][7], p_1 + K[0][6]) = max(0, 15 + 0) = 15.$
- $j = 8.8 > 1. K[1][8] = max(K[0][8], p_1 + K[0][7]) = max(0, 15 + 0) = 15.$

p <sub>i</sub>	w <sub>i</sub>		0	1	2	3	4	5	6	7	8
		0	0	0	0	0	0	0	0	0	0
15	1	1	0	15	15	15	15	15	15	15	15
10	5	2	0	15	15	15	15	15	25	25	25
9	3	3	0								
5	4	4	0								

$$i = 2$$
,  $w_2 = 5$ ,  $p_2 = 10$ .

- j = 1. 1 < 5. K[2][1] = K[1][1] = 15.
- j = 2.2 < 5. K[2][2] = K[1][2] = 15.
- j = 3.3 < 5. K[2][3] = K[1][3] = 15.
- j = 4.4 < 5. K[2][4] = K[1][4] = 15.
- $j = 5.5 == 5. K[2][5] = max(K[1][5], p_2 + K[1][0]) = max(15, 10 + 0) = 15.$
- $j = 6.6 > 5. K[2][6] = max(K[1][6], p_2 + K[1][1]) = max(15, 10 + 15) = 25.$
- $j = 7.7 > 5. K[2][7] = max(K[1][7], p_2 + K[1][2]) = max(15, 10 + 15) = 25.$
- $j = 8.8 > 5. K[2][8] = max(K[1][8], p_2 + K[1][3]) = max(15, 10 + 15) = 25.$

p <sub>i</sub>	w <sub>i</sub>		0	1	2	3	4	5	6	7	8
		0	0	0	0	0	0	0	0	0	0
15	1	1	0	15	15	15	15	15	15	15	15
10	5	2	0	15	15	15	15	15	25	25	25
9	3	3	0	15	15	15	24	24	25	25	25
5	4	4	0								

$$i = 3$$
,  $w_3 = 3$ ,  $p_3 = 9$ .

- j = 1.1 < 3. K[3][1] = K[2][1] = 15.
- j = 2.2 < 3. K[3][2] = K[2][2] = 15.
- $j = 3.3 == 3. K[3][3] = max(K[2][3], p_3 + K[2][0]) = max(15, 9 + 0) = 15.$
- $j = 4.4 > 3. K[3][4] = max(K[2][4], p_3 + K[2][1]) = max(15, 9 + 15) = 24.$
- $j = 5.5 > 3. K[3][5] = max(K[2][5], p_3 + K[2][2]) = max(15, 9 + 15) = 24.$
- $j = 6.6 > 3. K[3][6] = max(K[2][6], p_3 + K[2][3]) = max(25, 9 + 15) = 25.$
- $j = 7.7 > 3. K[3][7] = max(K[2][7], p_3 + K[2][4]) = max(25, 9 + 15) = 25.$
- $j = 8.8 > 3. K[3][8] = max(K[2][8], p_3 + K[2][5]) = max(25, 9 + 15) = 25.$

p <sub>i</sub>	w <sub>i</sub>		0	1	2	3	4	5	6	7	8
		0	0	0	0	0	0	0	0	0	0
15	1	1	0	15	15	15	15	15	15	15	15
10	5	2	0	15	15	15	15	15	25	25	25
9	3	3	0	15	15	15	24	24	25	25	25
5	4	4	0	15	15	15	24	24	25	25	29

$$i = 4$$
,  $w_4 = 4$ ,  $p_4 = 5$ .

- j = 1. 1 < 4. K[4][1] = K[3][1] = 15.
- j = 2.2 < 4. K[4][2] = K[3][2] = 15.
- j = 3.3 < 4. K[4][3] = K[3][3] = 15.
- j = 4. 4 == 4.  $K[4][4] = max(K[3][4], p_4 + K[3][0]) = max(<u>24</u>, 5 + 0) = 24$ .
- $j = 5.5 > 4. K[4][5] = max(K[3][5], p_4 + K[3][1]) = max(24, 5 + 15) = 24.$
- $j = 6.6 > 4. K[4][6] = max(K[3][6], p_4 + K[3][2]) = max(25, 5 + 15) = 25.$
- $j = 7.7 > 4. K[4][7] = max(K[3][7], p_4 + K[3][3]) = max(25, 5 + 15) = 25.$
- $j = 8.8 > 4. K[4][8] = max(K[3][8], p_4 + K[3][4]) = max(25, 5 + 24) = 29.$

p <sub>i</sub>	w <sub>i</sub>		0	1	2	3	4	5	6	7	8
		0	0	0	0	0	0	0	0	0	0
15	1	1	0	15	15	15	15	15	15	15	15
10	5	2	0	15	15	15	15	15	25	25	25
9	3	3	0	15	15	15	24	24	25	25	25
5	4	4	0	15	15	15	24	24	25	25	29

- DP\_Knapsack\_GetSelected(4,8)
  - -8 > 0. K[4][8] != K[3][8]. Item 4 is selected.
- DP\_Knapsack\_GetSelected(3,4)
  - -4 > 0. K[3][4] != K[2][4]. Item 3 is selected.
- DP\_Knapsack\_GetSelected(2,1)
  - -1 > 0. K[2][1] == K[1][1].
- DP\_Knapsack\_GetSelected(1,1)
  - -1 > 0. K[1][1] != K[0][1]. Item 1 is selected.
- DP\_Knapsack\_GetSelected(0,0)
  - -0 == 0. Stop.

Selected Item #	Profit	Weight
4	5	4
3	9	3
1	15	1
Total	29	8

# FPTAS (Fully Polynomial Time Approximation Scheme) for 0/1 Knapsack

- Given  $\varepsilon > 0$ , let  $K = \frac{\varepsilon \times P_{\text{max}}}{n}$ , where  $P_{\text{max}}$  is profit of the most profitable object among n objects.
- for j = 1 to n,  $P_j' = \left| \frac{P_j}{K} \right|$
- Solve the problem with updated profit values using dynamic programming.
- Return the solution.

$$|P_{approx} \ge (1 - \varepsilon)P_{optimal}|$$

## Example

- $\varepsilon = 1/50$ ,  $P_{max} = 28343199$ , n = 5.
- K = 113372.796
- Capacity of a Knapsack is 11.

Item #	Profit	Weight	Floor(Profit/K)
1	134221	1	1
2	656342	2	5
3	1810013	5	15
4	22217800	6	195
5	28343199	7	250

P' <sub>i</sub>	w <sub>i</sub>		0	1	2	3	4	5	6	7	8	9	10	11
		0	0	0	0	0	0	0	0	0	0	0	0	0
1	1	1	0	1	1	1	1	1	1	1	1	1	1	1
5	2	2	0	1	5	6	6	6	6	6	6	6	6	6
15	5	3	0	1	5	6	6	15	16	20	21	21	21	21
195	6	4	0	1	5	6	6	15	195	196	200	201	201	210
250	7	5	0	1	5	6	6	15	195	250	251	255	256	256

Selected Item #	Profit	Weight	Actual Profit
5	250	7	28343199
2	5	2	656342
1	1	1	134221
Total	256	10	29133762

For this example, 
$$P_{approx} = P_{optimal} = 29133762$$

# Solve using FPTAS

- $\varepsilon = \frac{1}{2}$ .
- Capacity of a Knapsack is 9.

Item #	Profit	Weight
1	25	2
2	31	3
3	48	6
4	56	7
5	16	5
6	27	9
7	31	4