

Lecture 7

Convolutional Neural Networks

CMSC 35246: Deep Learning

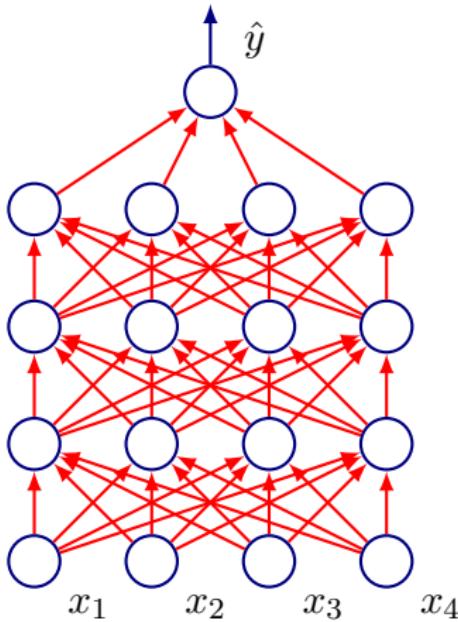
Shubhendu Trivedi
&
Risi Kondor

University of Chicago

April 17, 2017

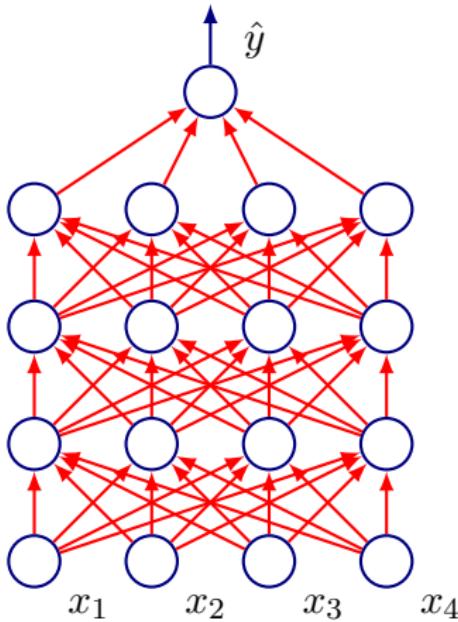


We saw before:



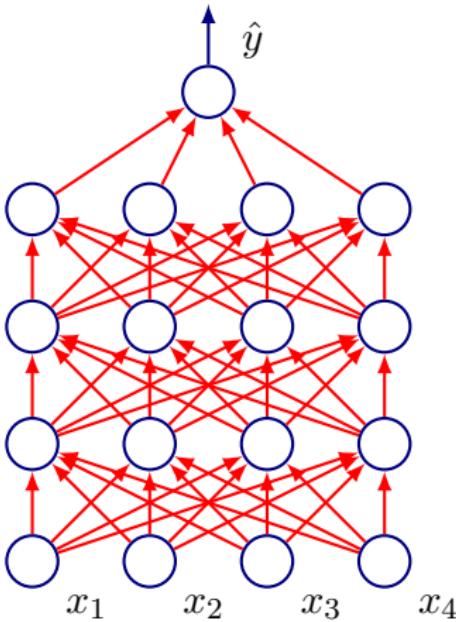
- A series of matrix multiplications:

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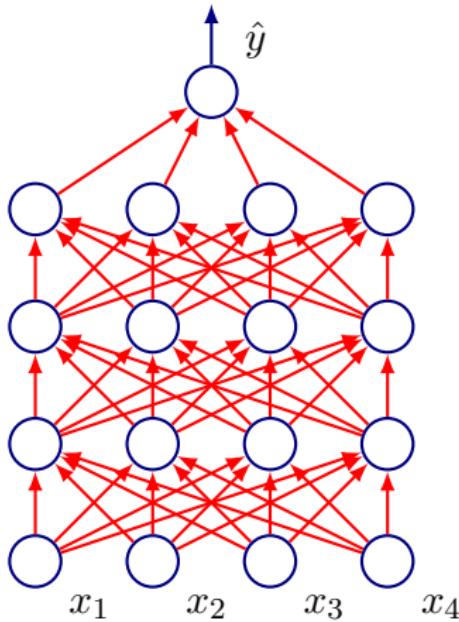
- A series of matrix multiplications:
- $\mathbf{x} \mapsto$

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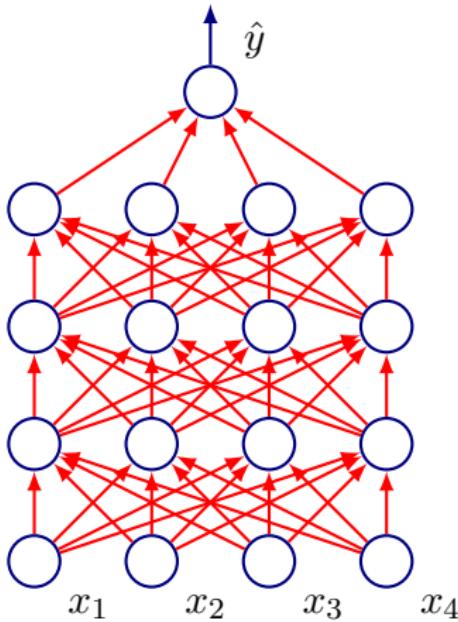
- A series of matrix multiplications:
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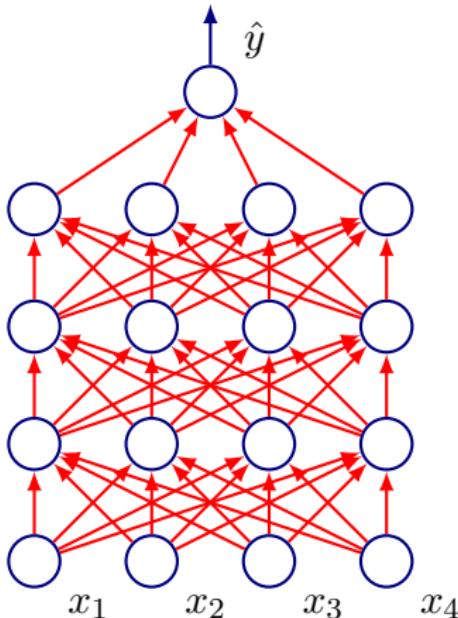
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Convolutional Networks

- Neural Networks that use convolution in place of general matrix multiplication in atleast one layer

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- Next:

Convolutional Networks

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 - What is convolution?

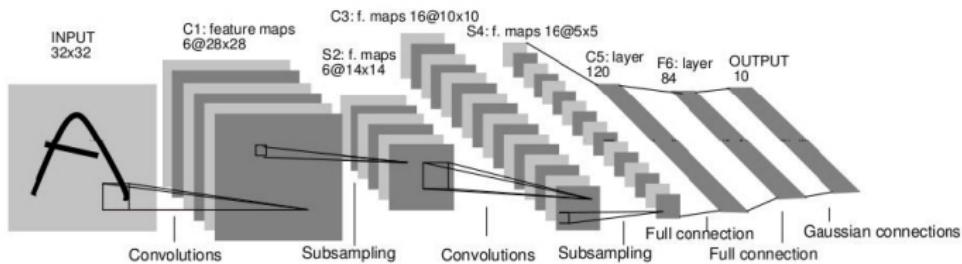
Convolutional Networks

- Neural Networks that use convolution in place of general matrix multiplication in atleast one layer
- Next:
 - What is convolution?
 - What is pooling?

Convolutional Networks

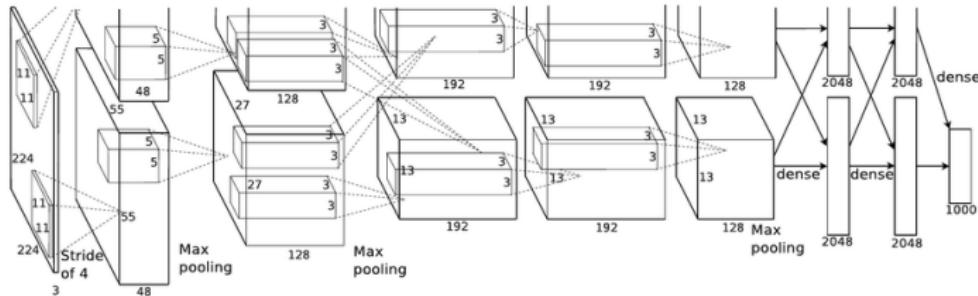
- Neural Networks that use convolution in place of general matrix multiplication in atleast one layer
- Next:
 - What is convolution?
 - What is pooling?
 - What is the motivation for such architectures (remember LeNet?)

LeNet-5 (LeCun, 1998)



- The original Convolutional Neural Network model goes back to 1989 (LeCun)

AlexNet (Krizhevsky, Sutskever, Hinton 2012)



- ImageNet 2012 15.4% error rate

Convolutional Neural Networks

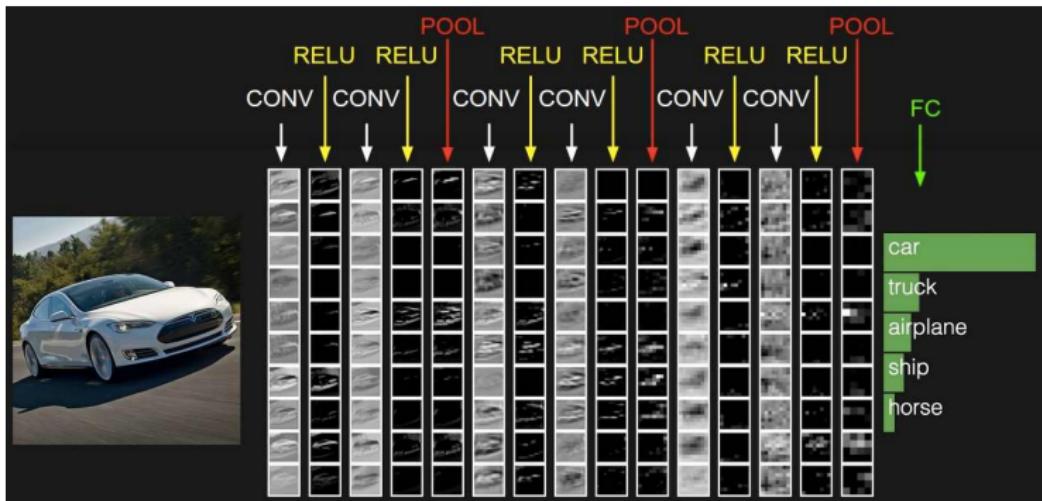
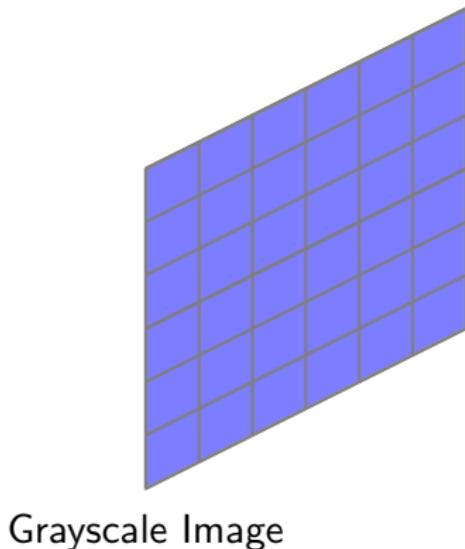


Figure: Andrej Karpathy

Now let's deconstruct them...

Convolution

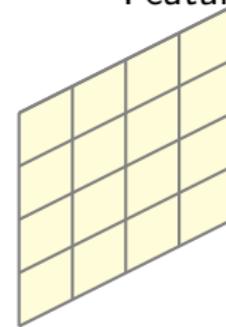


Grayscale Image

Kernel

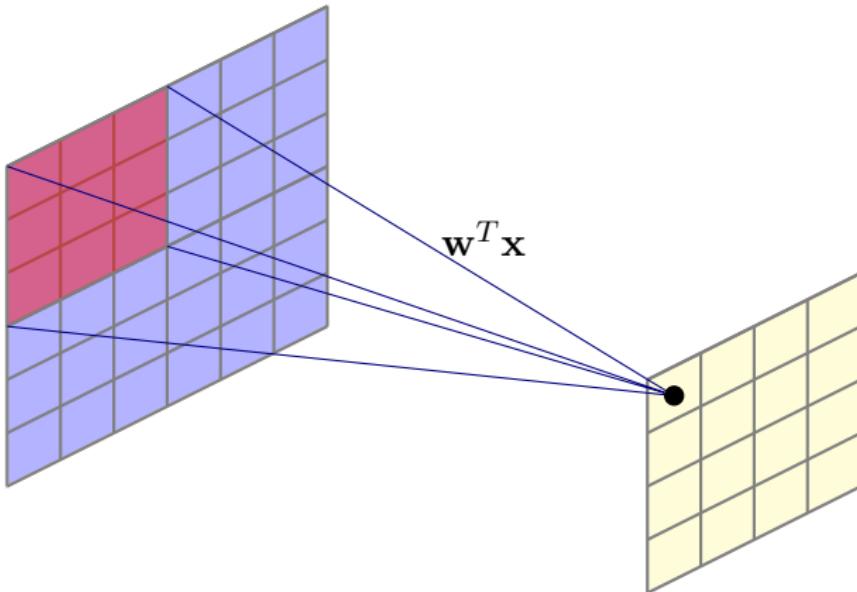
| | | |
|-------|-------|-------|
| w_7 | w_8 | w_9 |
| w_4 | w_5 | w_6 |
| w_1 | w_2 | w_3 |

Feature Map

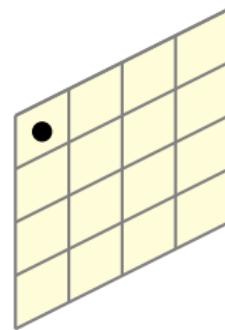
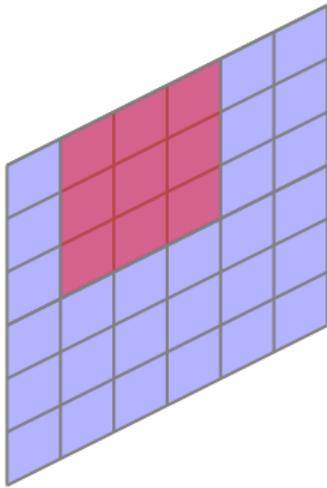


- Convolve image with kernel having weights w (learned by backpropagation)

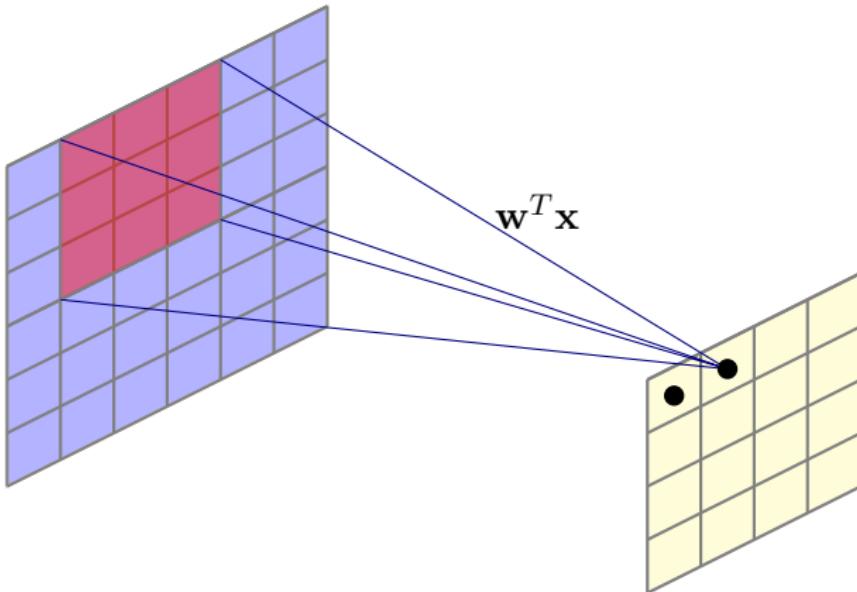
Convolution



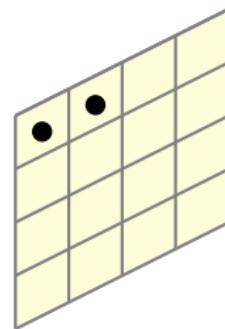
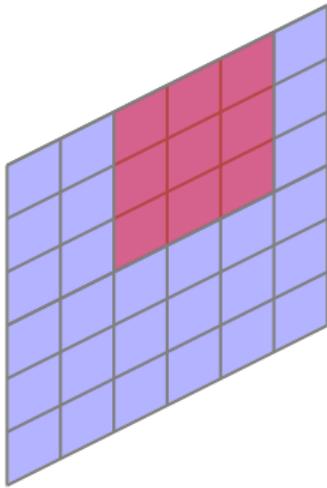
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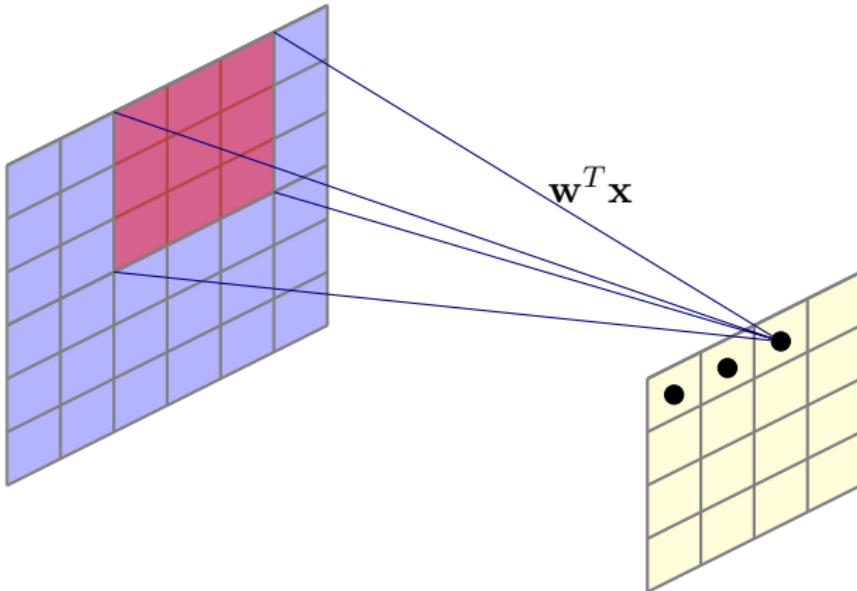
Convolution



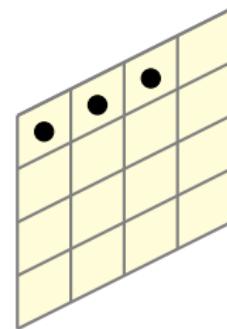
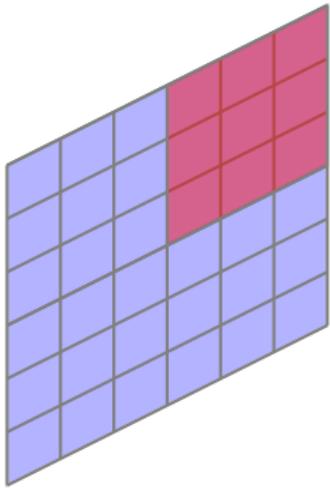
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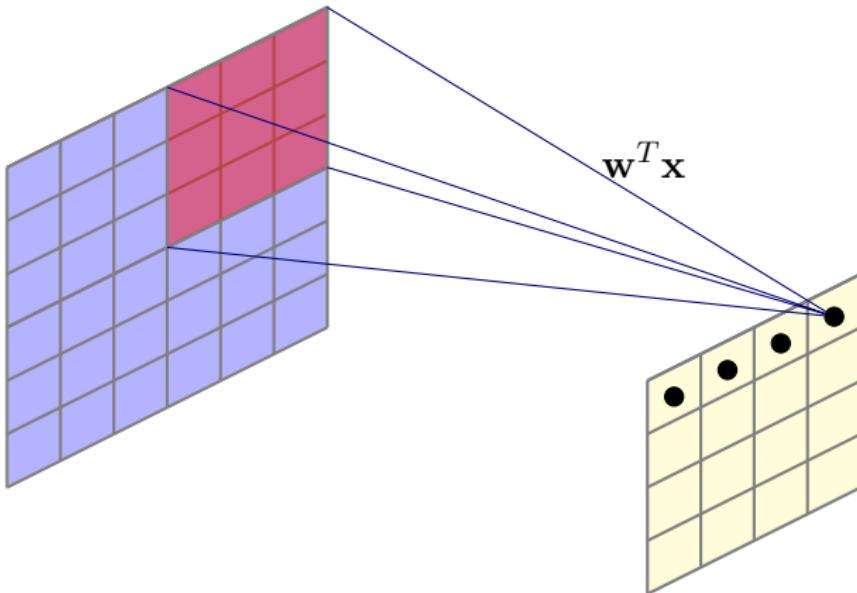
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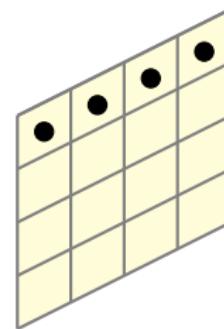
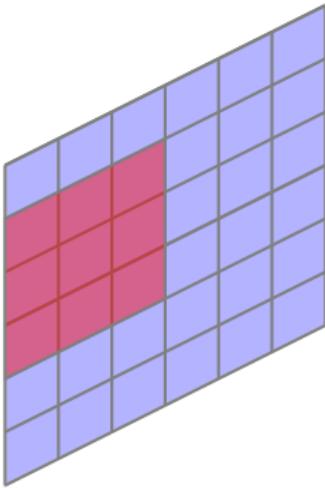
Convolution



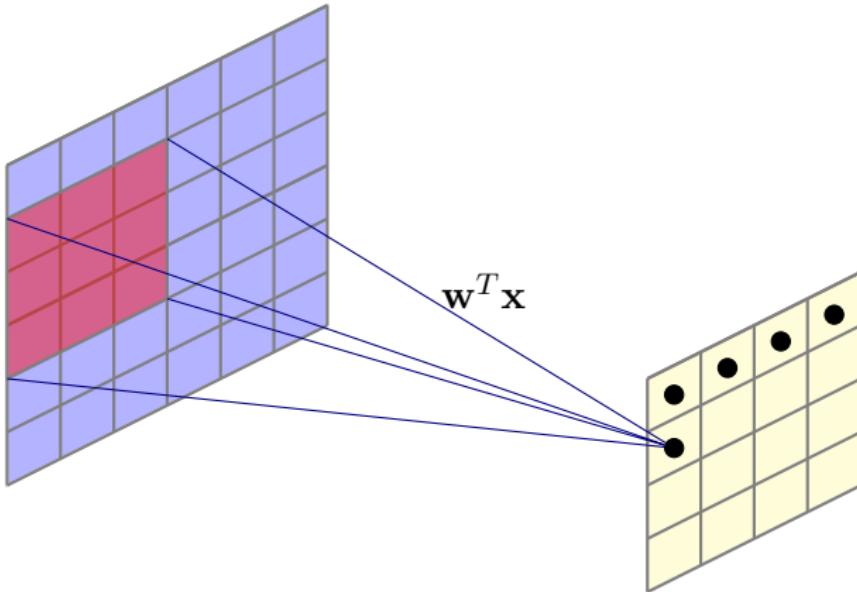
Convolution



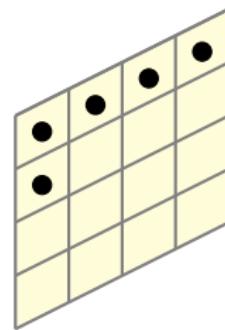
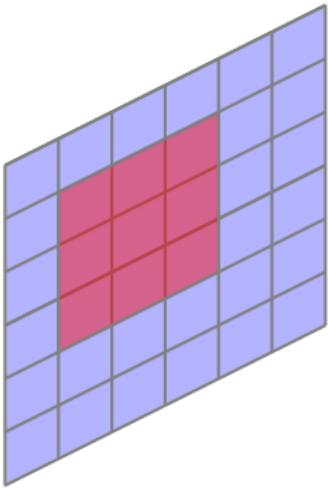
Convolution



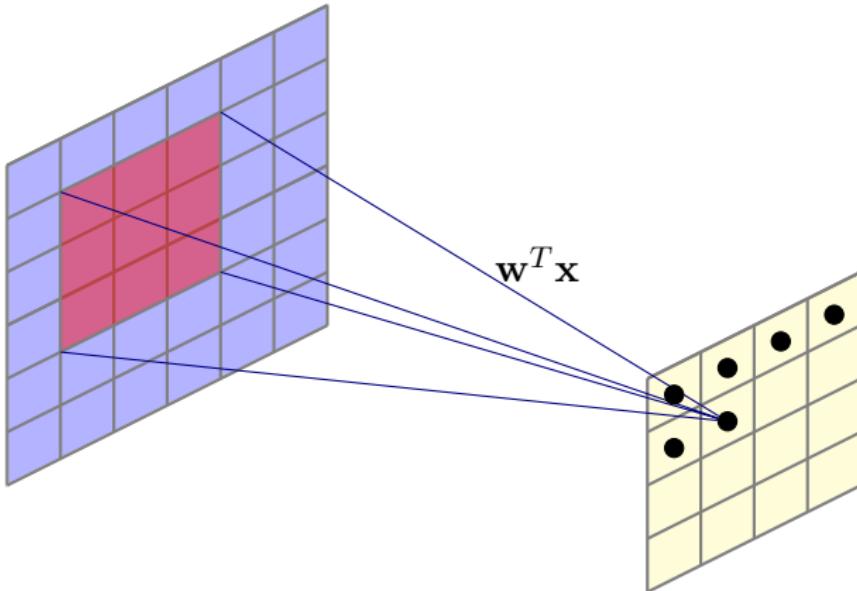
Convolution



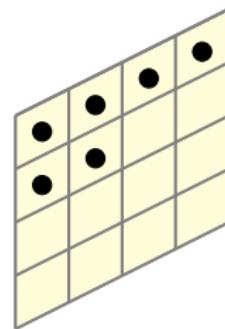
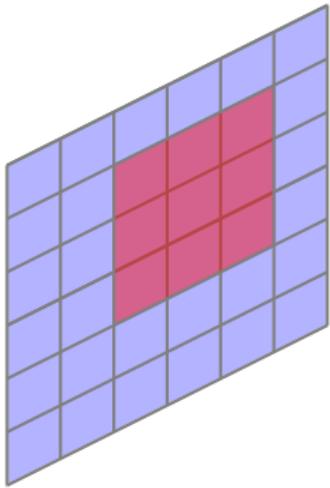
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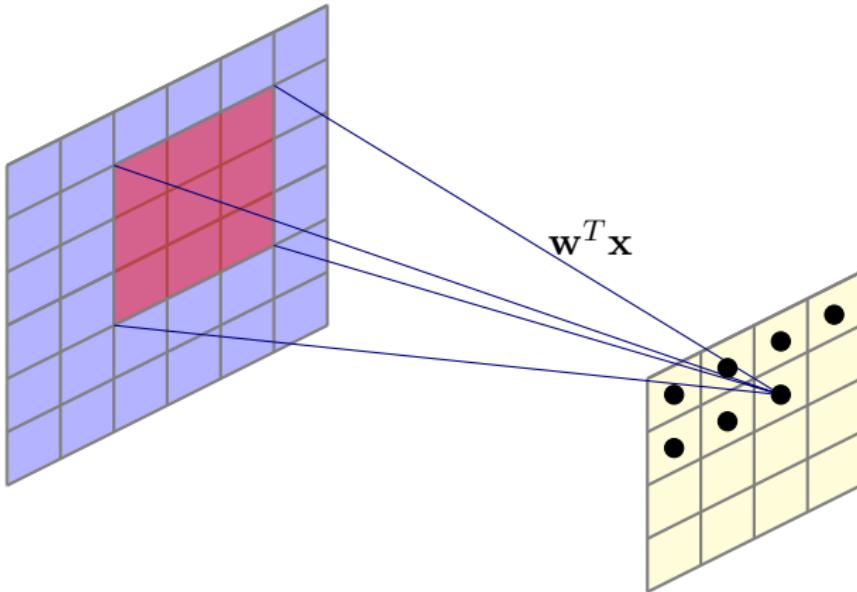
Convolution



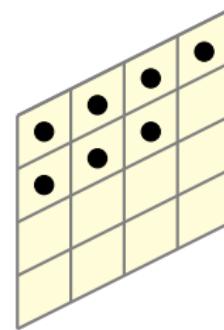
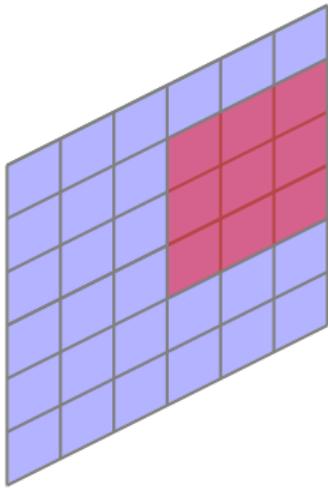
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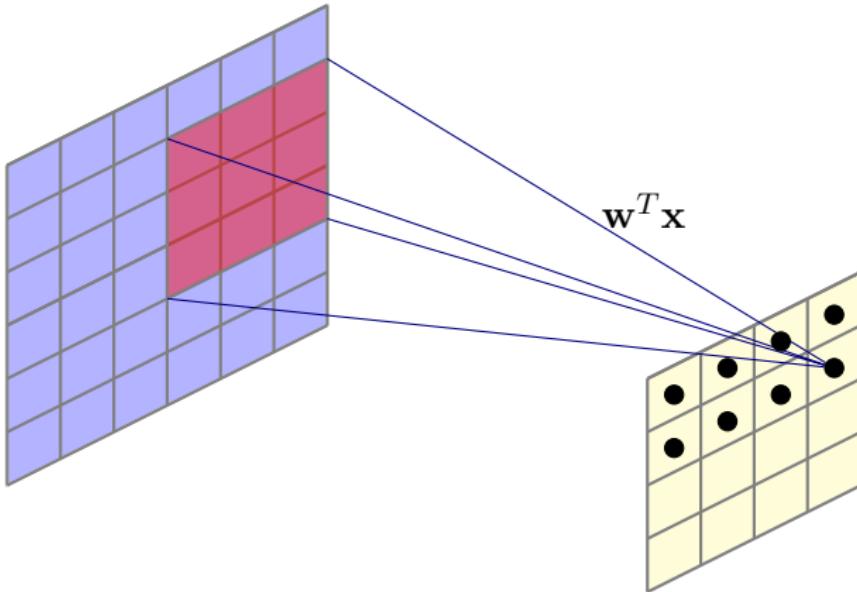
Convolution



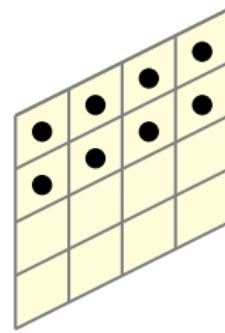
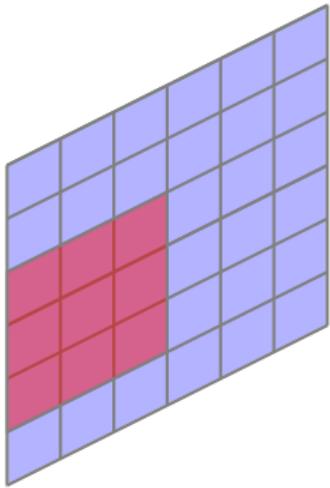
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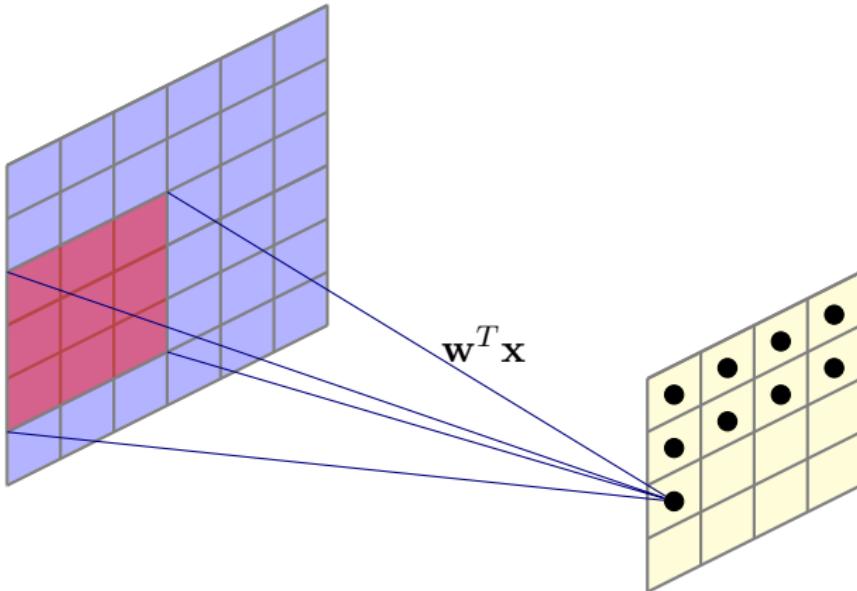
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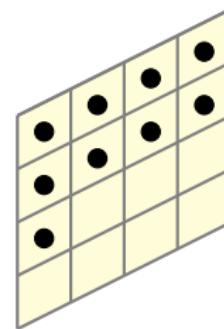
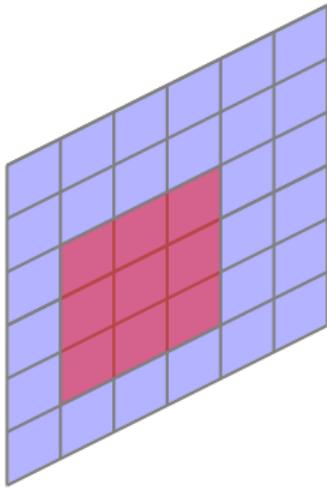
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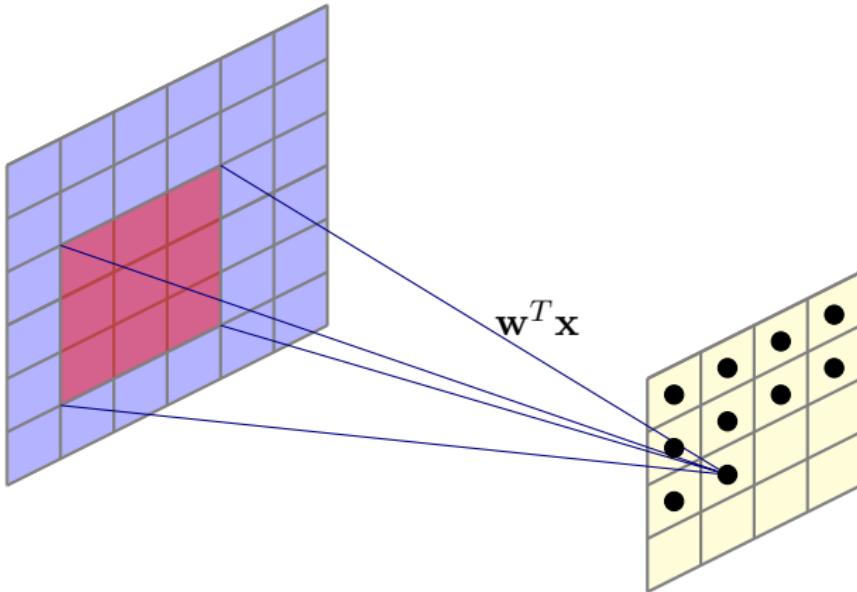
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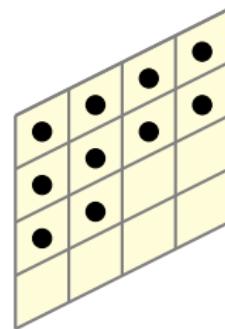
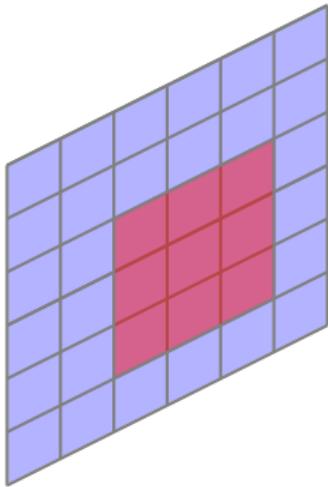
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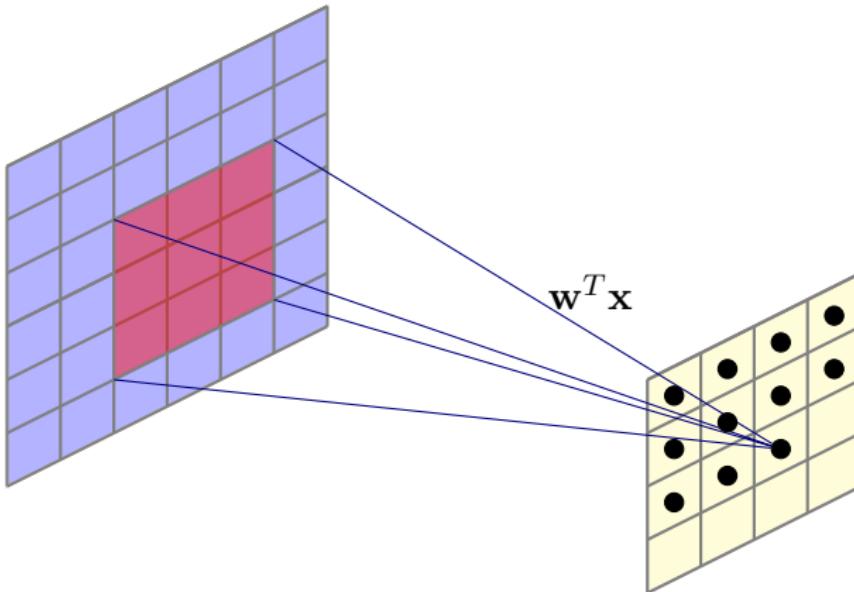
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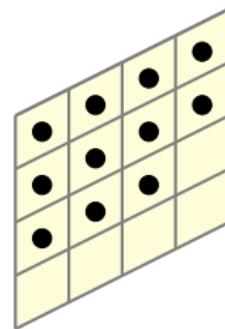
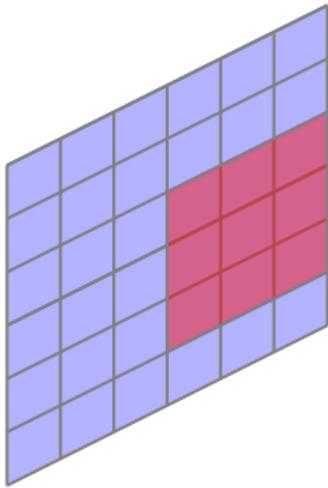
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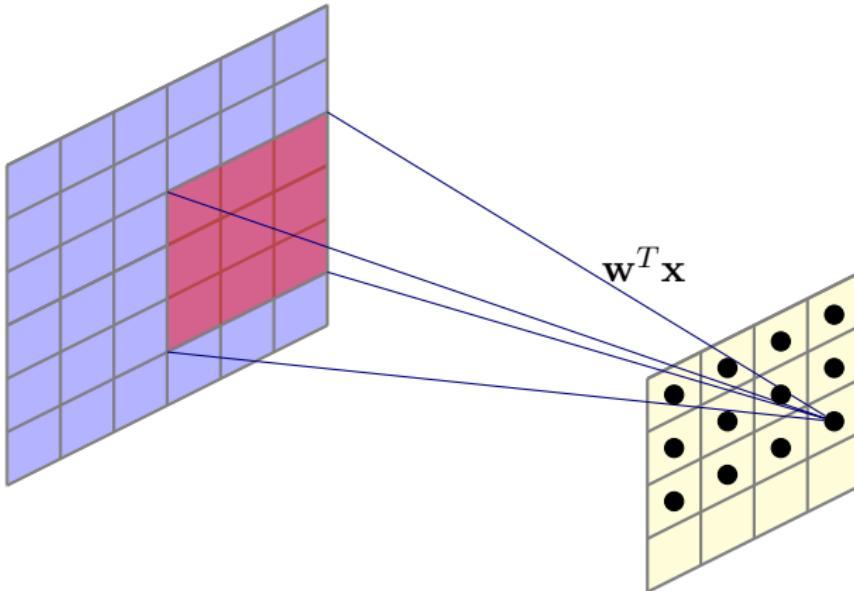
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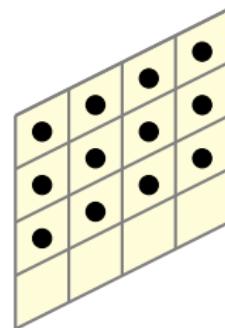
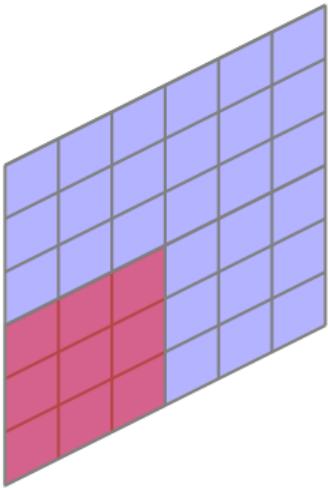
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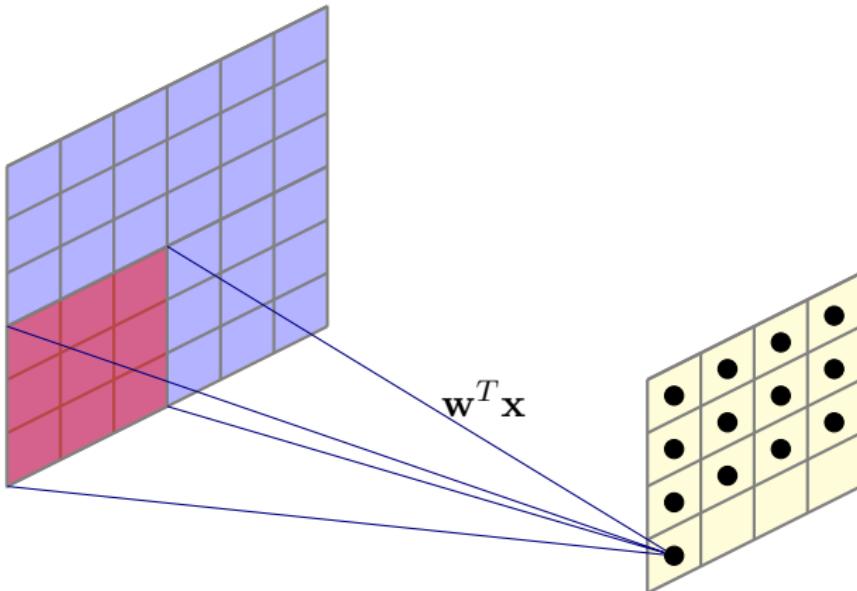
Convolution



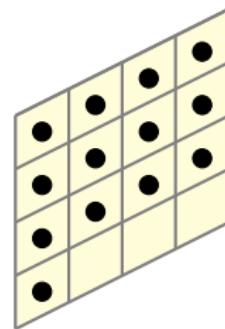
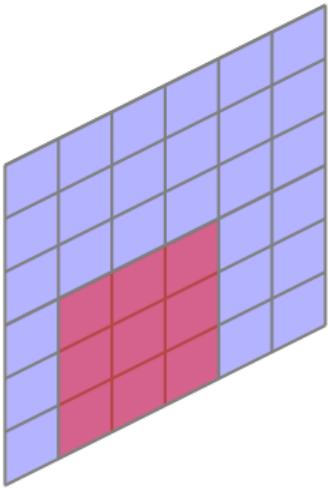
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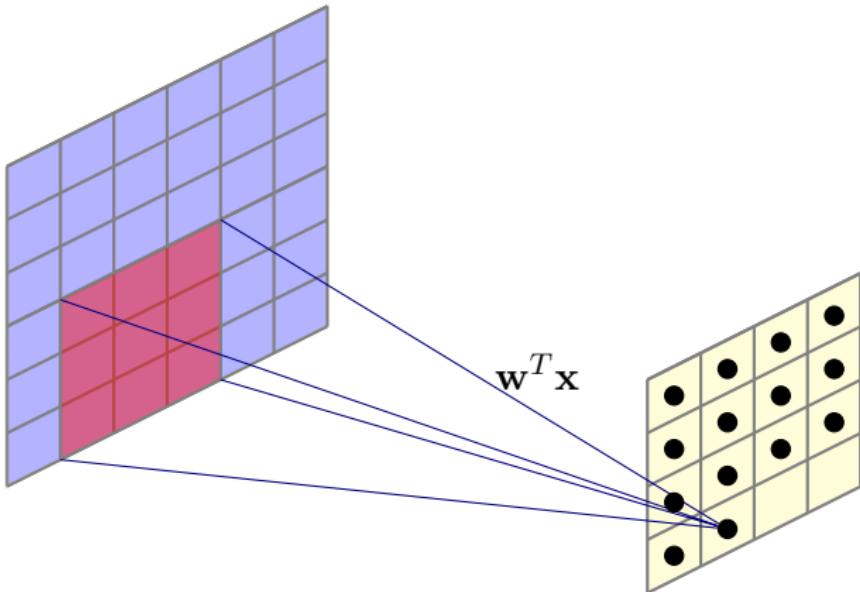
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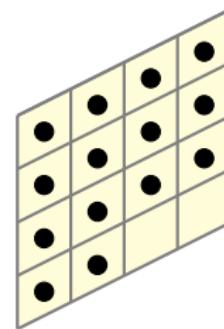
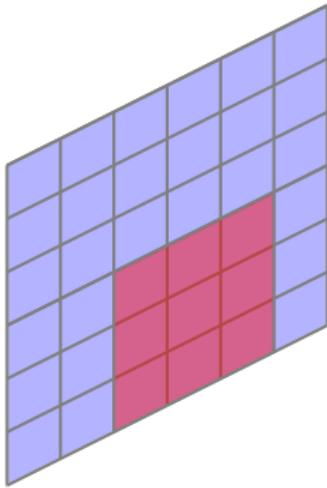
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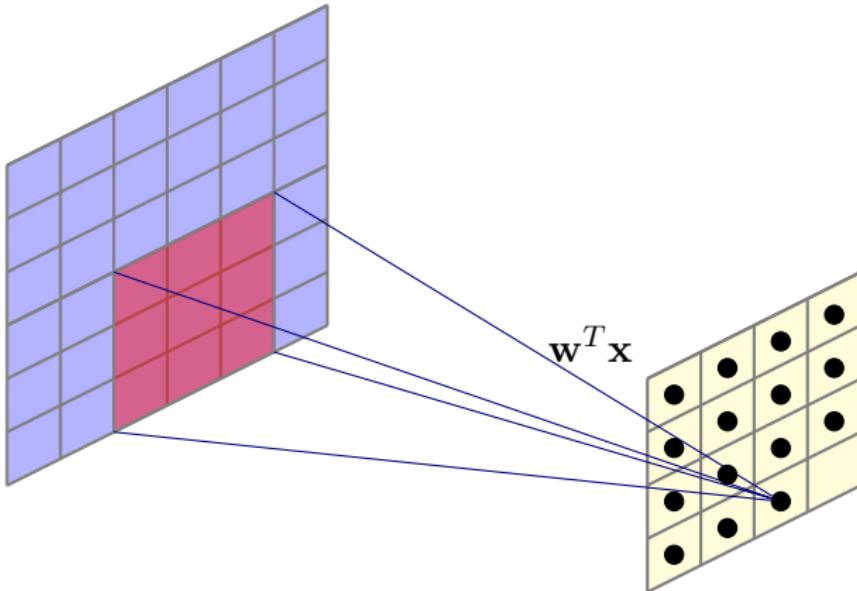
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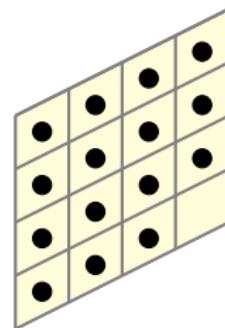
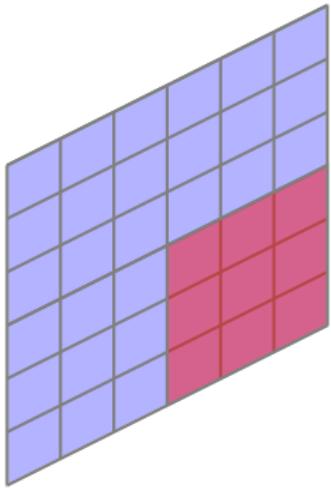
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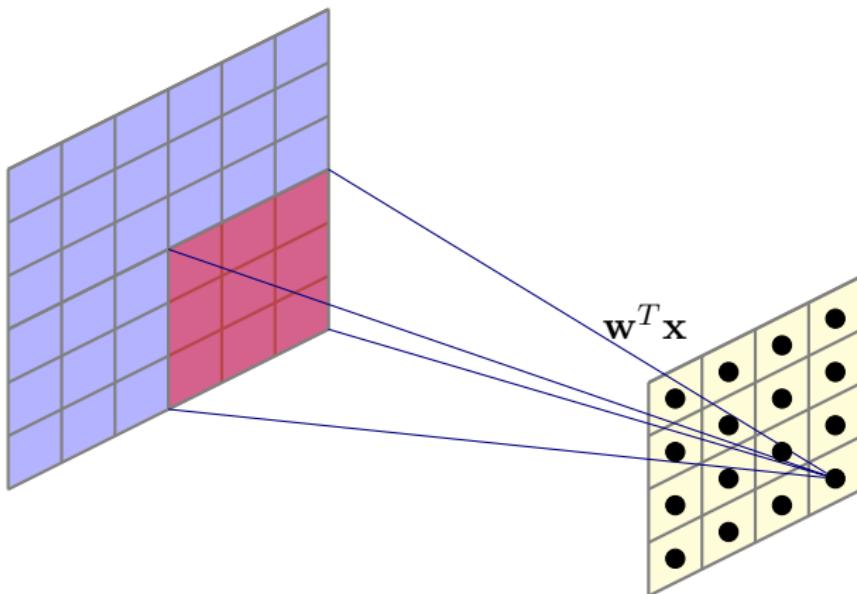
Convolution



Convolution



Convolution



- What is the number of parameters?

Output Size

- We used stride of 1, kernel with receptive field of size 3 by 3

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- In previous example: $N = 6, K = 3, S = 1$, **Output size** = 4

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- Output size:

$$\frac{N - K}{S} + 1$$

- In previous example: $N = 6, K = 3, S = 1$, **Output size** = 4
- For $N = 8, K = 3, S = 1$, **output size** is 6

Zero Padding

- Often, we want the output of a convolution to have the same size as the input. Solution: Zero padding.

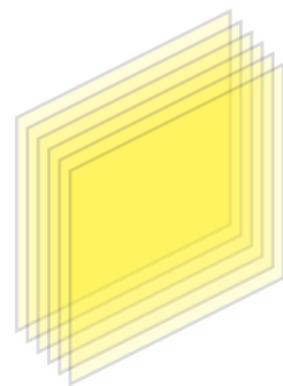
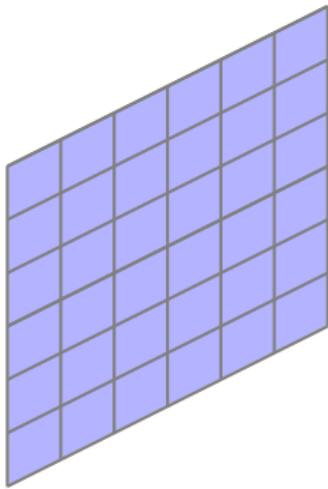
Zero Padding

- Often, we want the output of a convolution to have the same size as the input. Solution: Zero padding.
- In our previous example:

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 0 | | | | | | | 0 |
| 0 | | | | | | | 0 |
| 0 | | | | | | | 0 |
| 0 | | | | | | | 0 |
| 0 | | | | | | | 0 |
| 0 | | | | | | | 0 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

- Common to see convolution layers with stride of 1, filters of size K , and zero padding with $\frac{K-1}{2}$ to preserve size

Learn Multiple Filters



Learn Multiple Filters

- If we use 100 filters, we get 100 feature maps

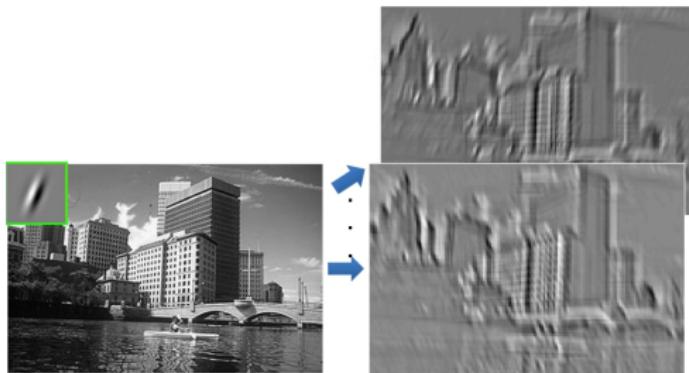


Figure: I. Kokkinos

In General

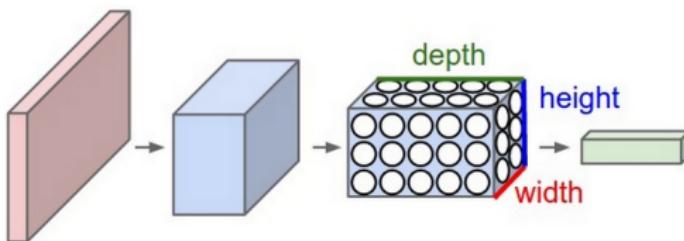
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 - Suppose input is of size $W_1 \times H_1 \times D_1$
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 - We obtain another volume of dimensions $W_2 \times H_2 \times D_2$
 - As before:

$$W_2 = \frac{W_1 - K}{S} + 1 \text{ and } H_2 = \frac{H_1 - K}{S} + 1$$

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- For convolutional layer:
 - Suppose input is of size $W_1 \times H_1 \times D_1$
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 - As before:

$$W_2 = \frac{W_1 - K}{S} + 1 \text{ and } H_2 = \frac{H_1 - K}{S} + 1$$

- Depths will be equal

Convolutional Layer Parameters

Example volume: $28 \times 28 \times 3$ (RGB Image)

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100 3×3 filters, stride 1

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Example volume: $28 \times 28 \times 3$ (RGB Image)

100 3×3 filters, stride 1

What is the zero padding needed to preserve size?

Number of parameters in this layer?

For every filter: $3 \times 3 \times 3 + 1 = 28$ parameters

Convolutional Layer Parameters

Example volume: $28 \times 28 \times 3$ (RGB Image)

100 3×3 filters, stride 1

What is the zero padding needed to preserve size?

Number of parameters in this layer?

For every filter: $3 \times 3 \times 3 + 1 = 28$ parameters

Total parameters: $100 \times 28 = 2800$

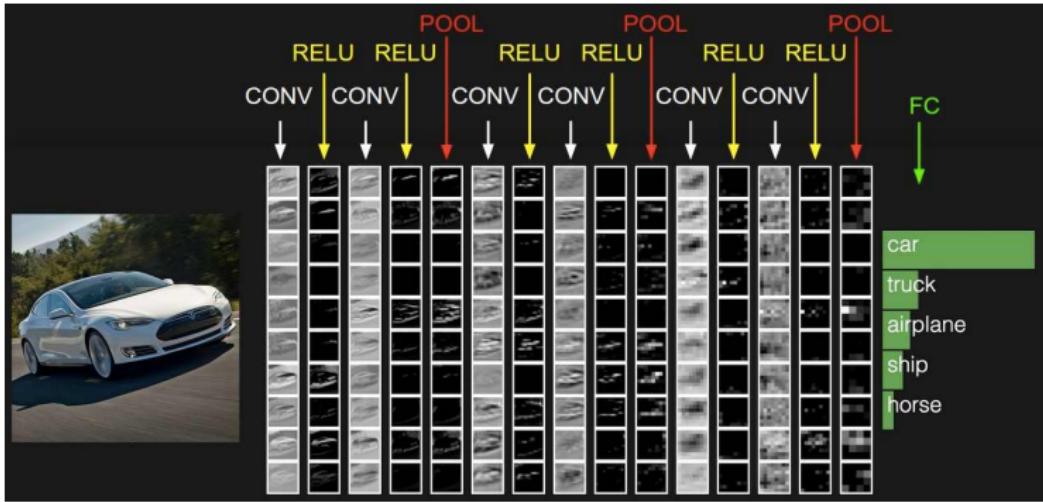
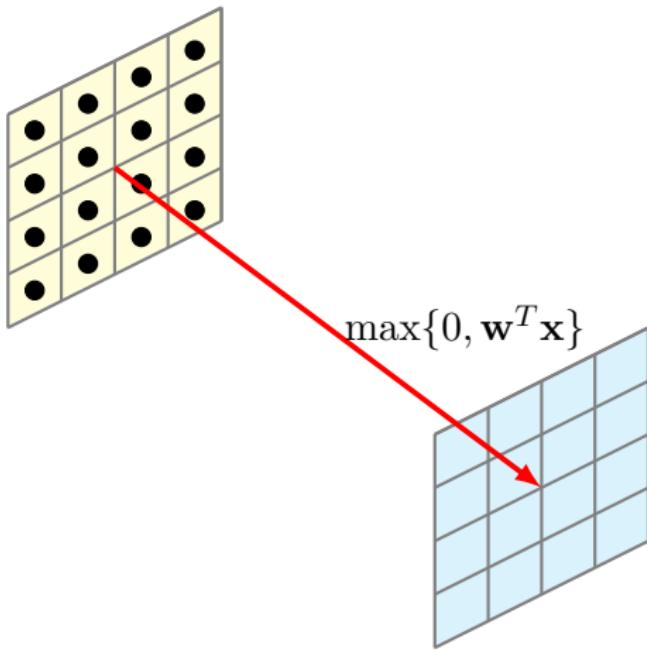


Figure: Andrej Karpathy

Non-Linearity



- After obtaining feature map, apply an elementwise non-linearity to obtain a transformed feature map (same size)

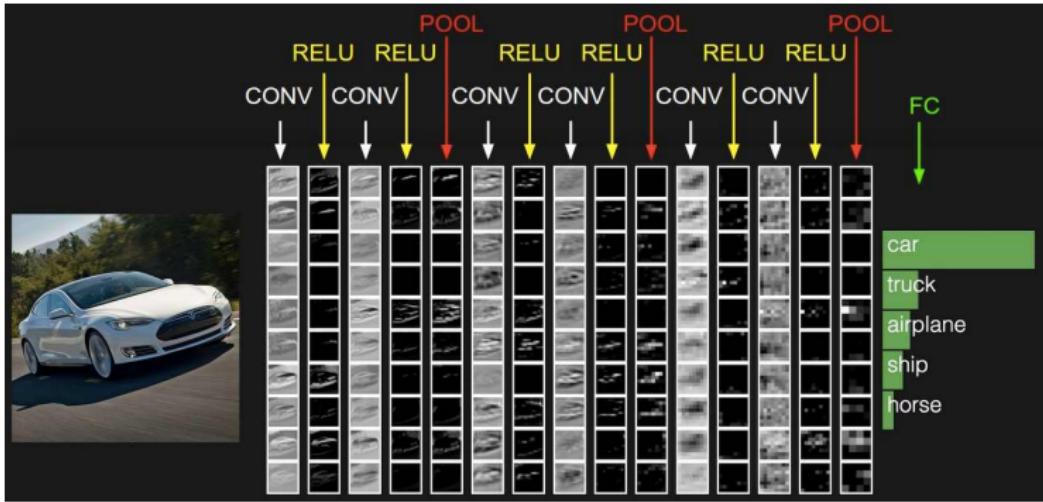
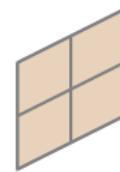
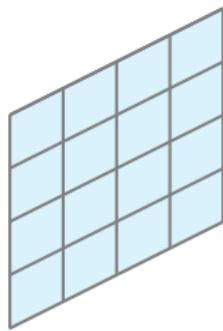
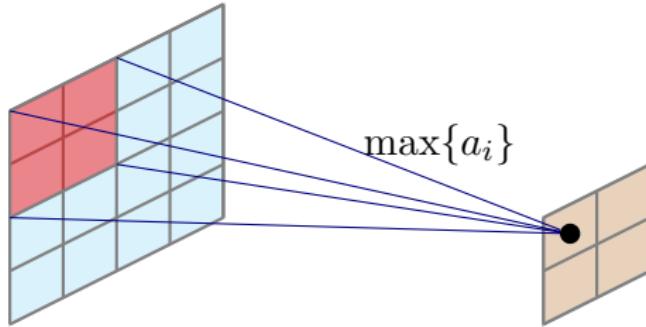


Figure: Andrej Karpathy

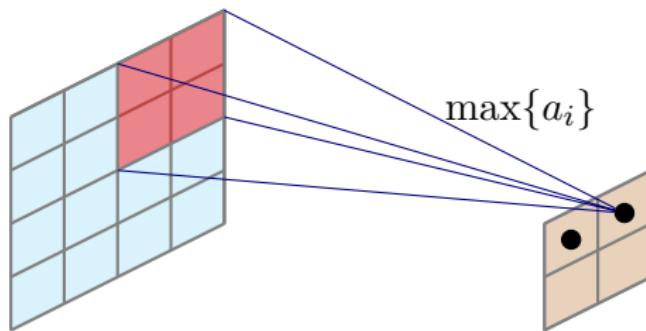
Pooling



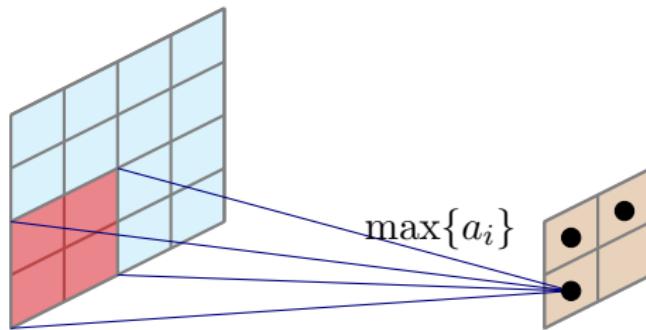
Pooling



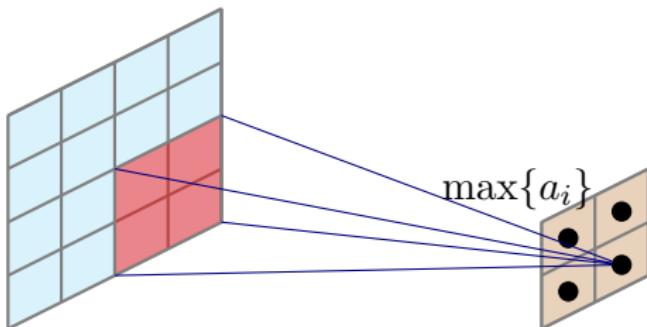
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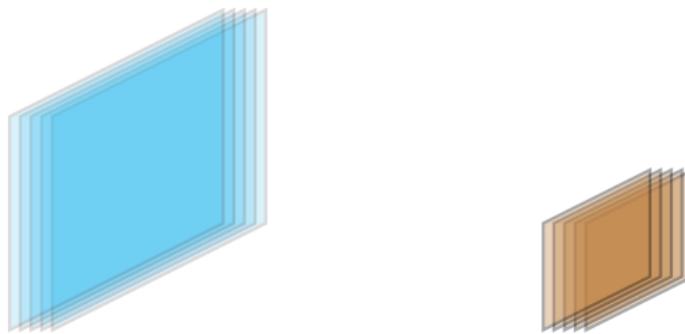


Pooling



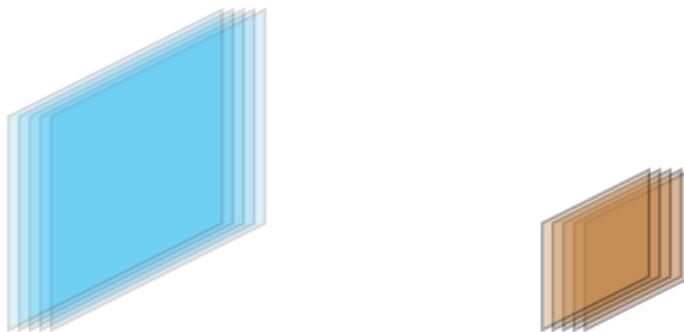
- Other options: Average pooling, L2-norm pooling, random pooling

Pooling



- We have multiple feature maps, and get an equal number of subsampled maps

Pooling



- We have multiple feature maps, and get an equal number of subsampled maps
- This changes if cross channel pooling is done

So what's left: Fully Connected Layers

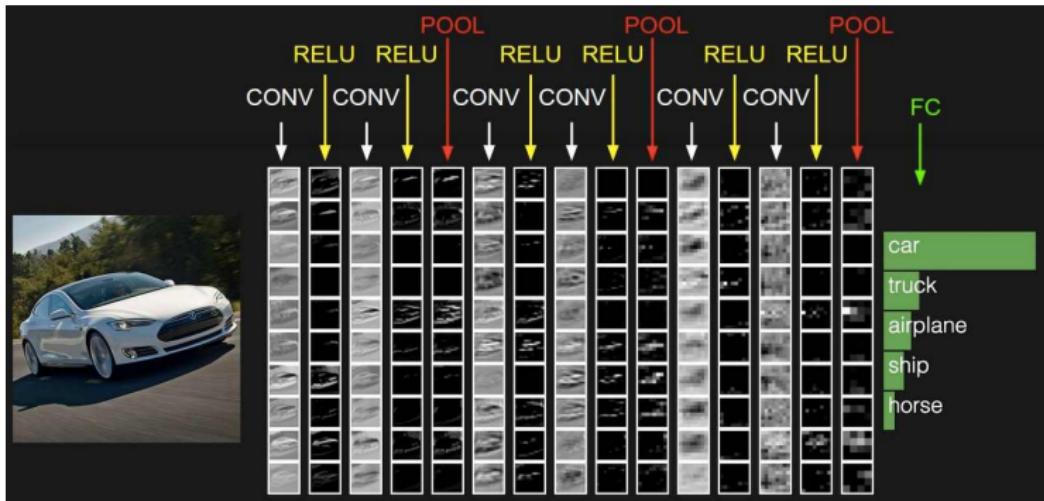
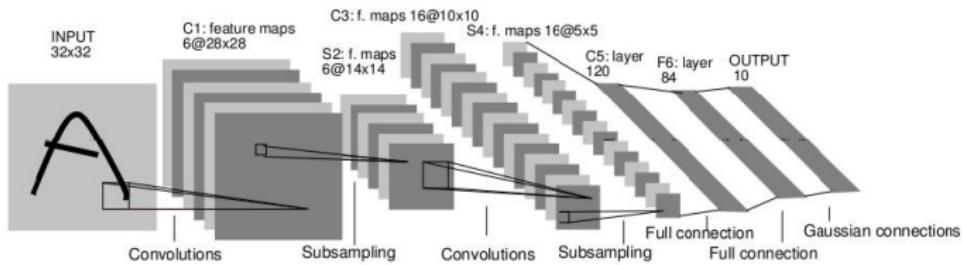


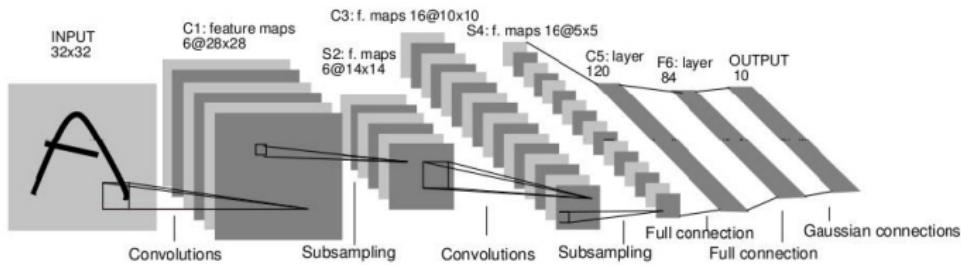
Figure: Andrej Karpathy

LeNet-5



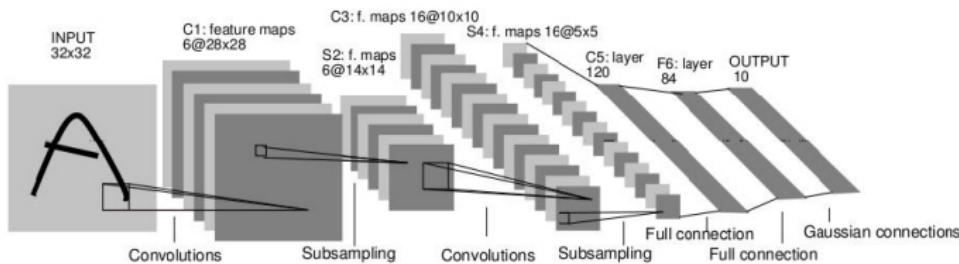
- Filters are of size 5×5 , stride 1

LeNet-5



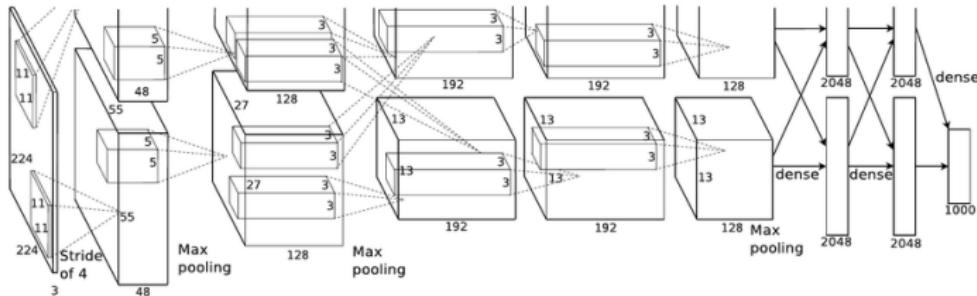
- Filters are of size 5×5 , stride 1
- Pooling is 2×2 , with stride 2

LeNet-5



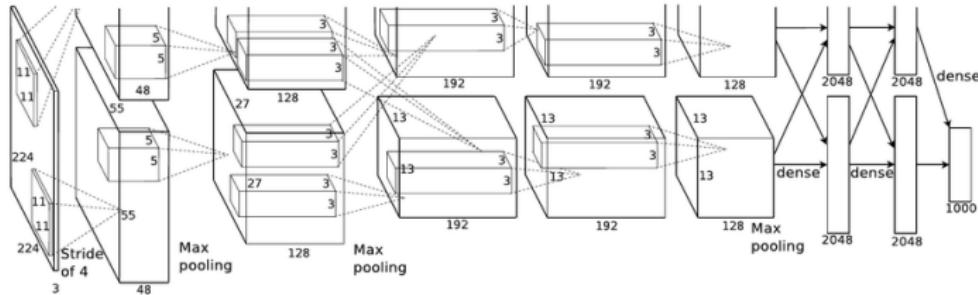
- Filters are of size 5×5 , stride 1
- Pooling is 2×2 , with stride 2
- How many parameters?

AlexNet



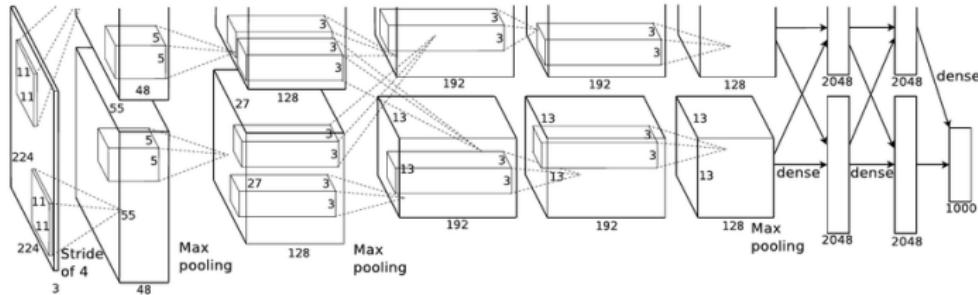
- Input image: 227 X 227 X 3
- First convolutional layer: 96 filters with K = 11 applied with stride = 4
- Width and height of output: $\frac{227-11}{4} + 1 = 55$

AlexNet



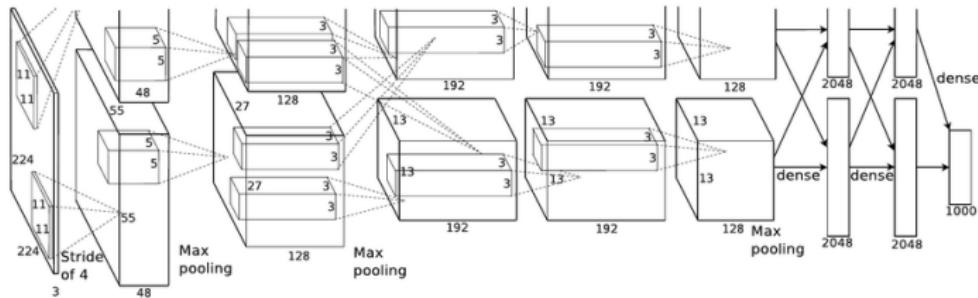
- Number of parameters in first layer?

AlexNet



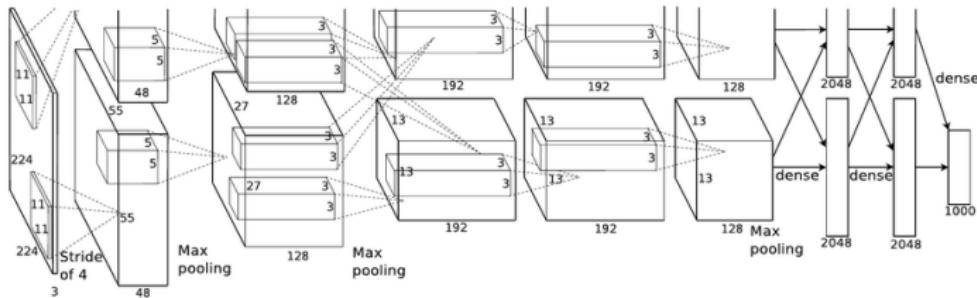
- Number of parameters in first layer?
- $11 \times 11 \times 3 \times 96 = 34848$

AlexNet



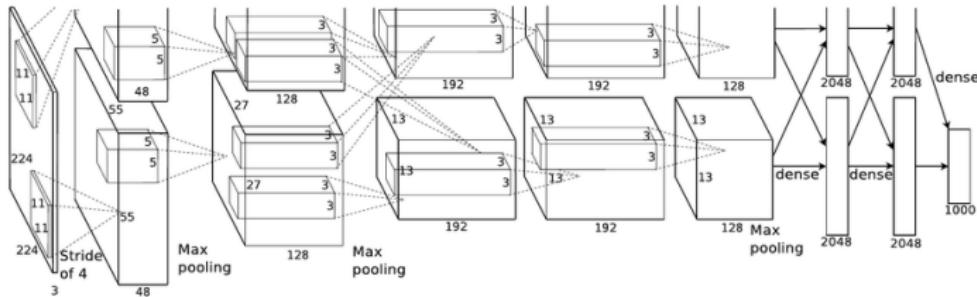
- Next layer: Pooling with **3 X 3 filters, stride of 2**

AlexNet



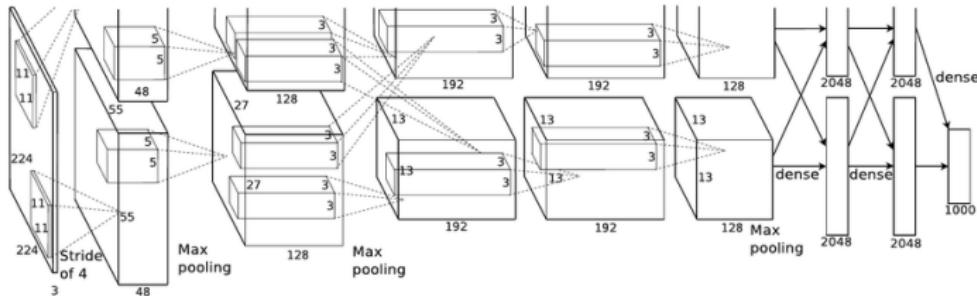
- Next layer: Pooling with **3 X 3 filters, stride of 2**
- Size of output volume: 27

AlexNet



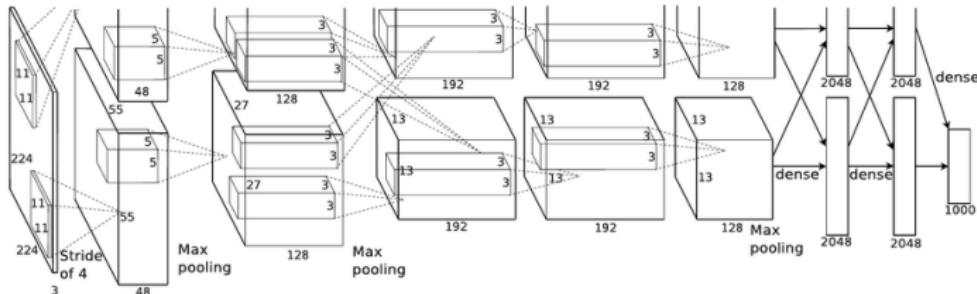
- Next layer: Pooling with **3 X 3 filters, stride of 2**
- Size of output volume: **27**
- Number of parameters?

AlexNet



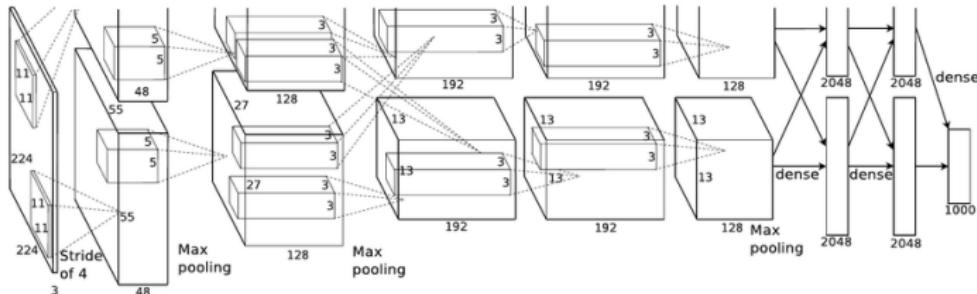
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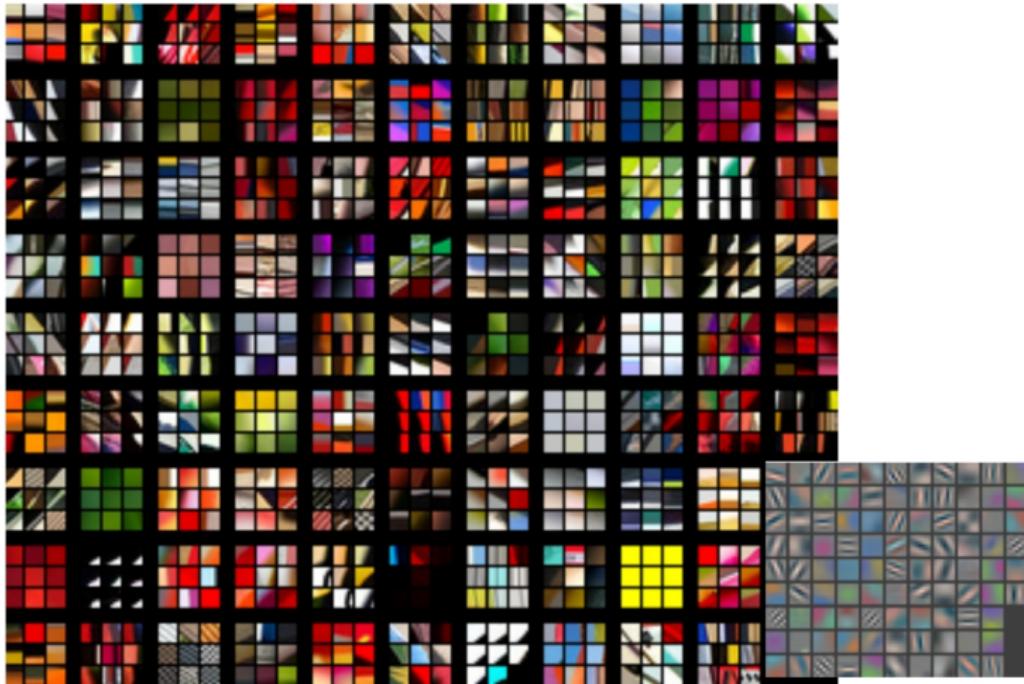


- Popularized the use of ReLUs
- Used heavy data augmentation (flipped images, random crops of size 227 by 227)
- Parameters: Dropout rate 0.5, Batch size = 128, Weight decay term: 0.0005 ,Momentum term $\alpha = 0.9$, learning rate $\eta = 0.01$, manually reduced by factor of ten on monitoring validation loss.



Short Digression: How do the features look like?

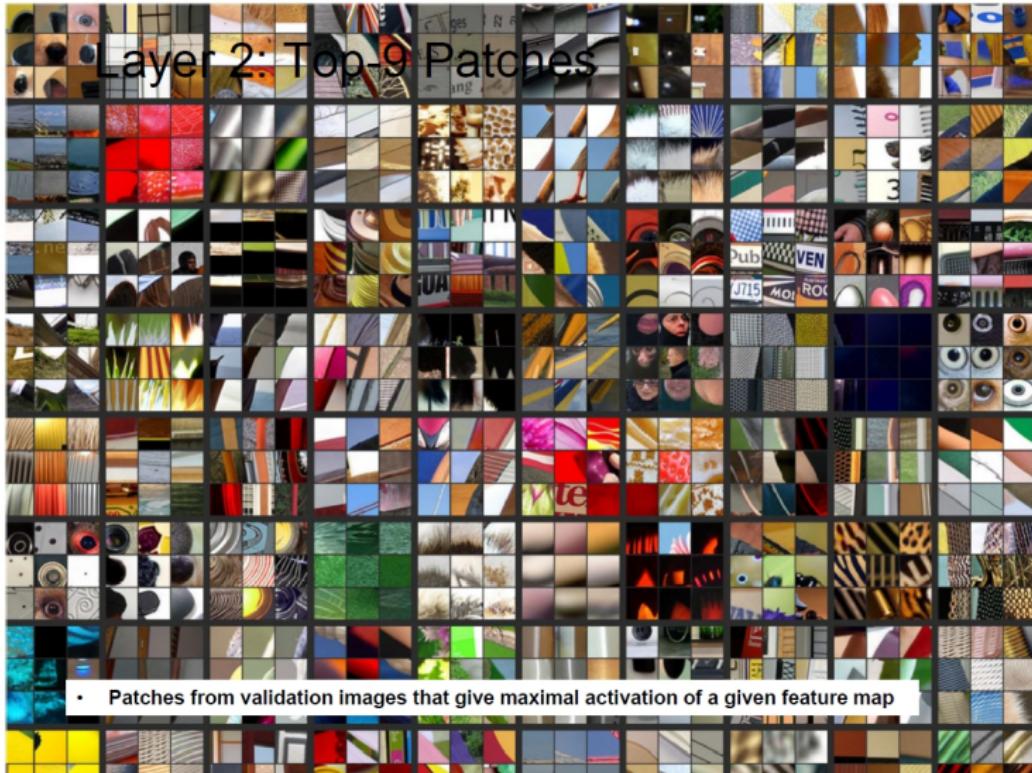
Layer 1 filters



This and the next few illustrations are from Rob Fergus

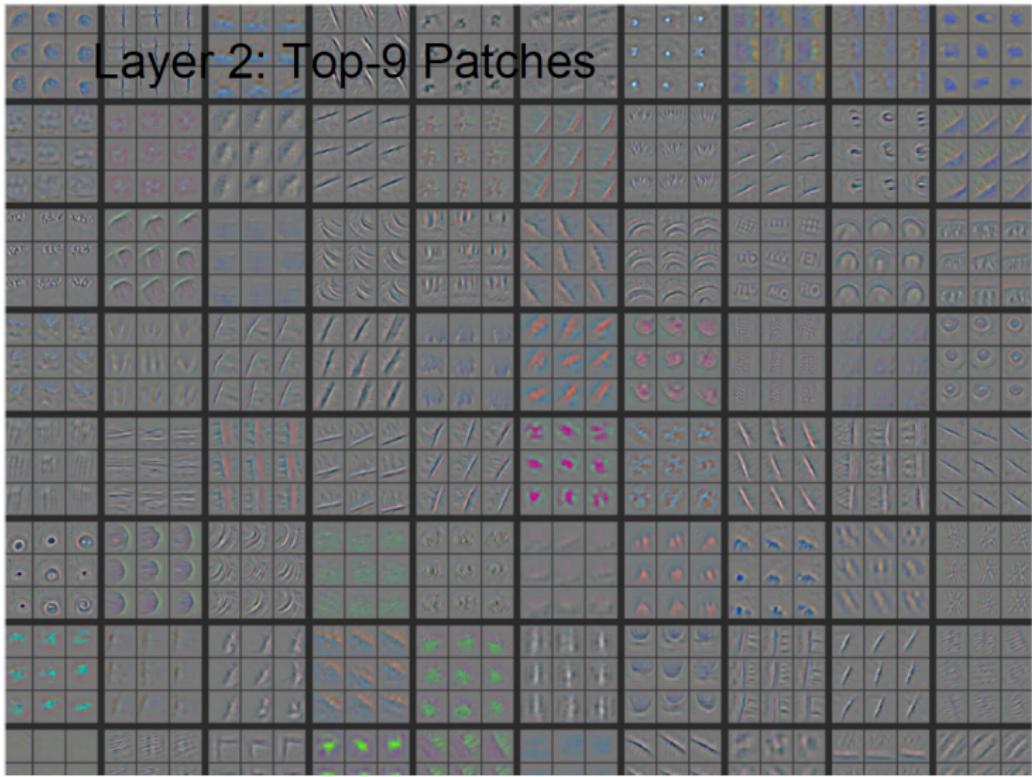


Layer 2 Patches



Layer 2 Patches

Layer 2: Top-9 Patches



Layer 3 Patches

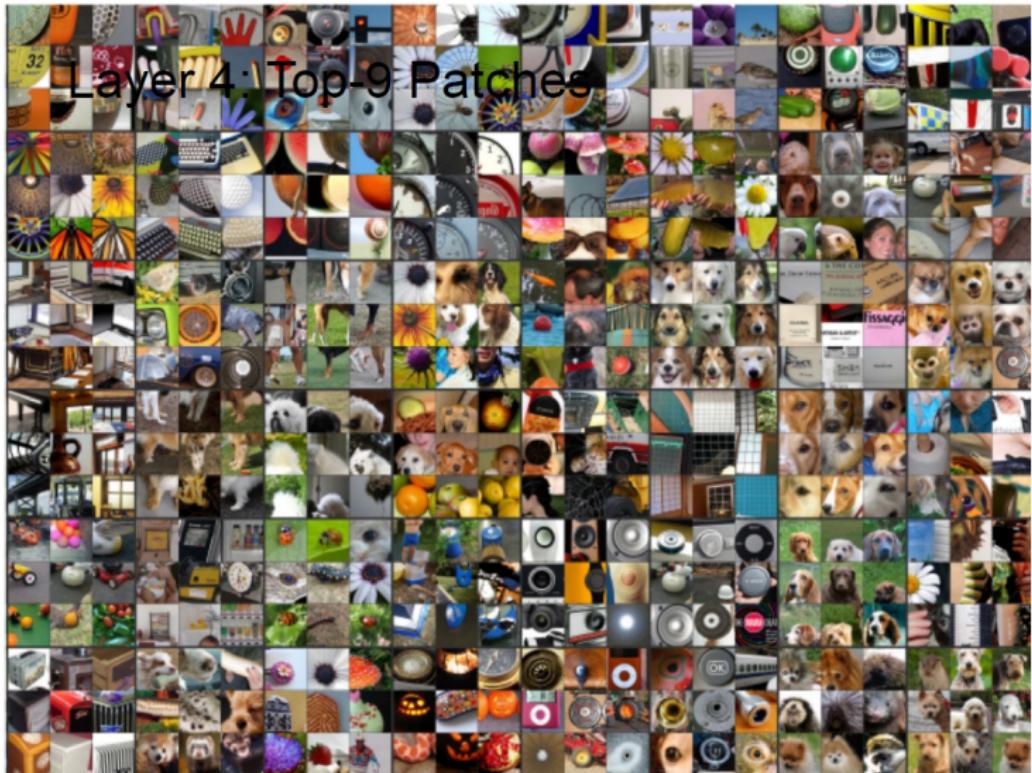


Layer 3 Patches

Layer 3: Top-9 Patches



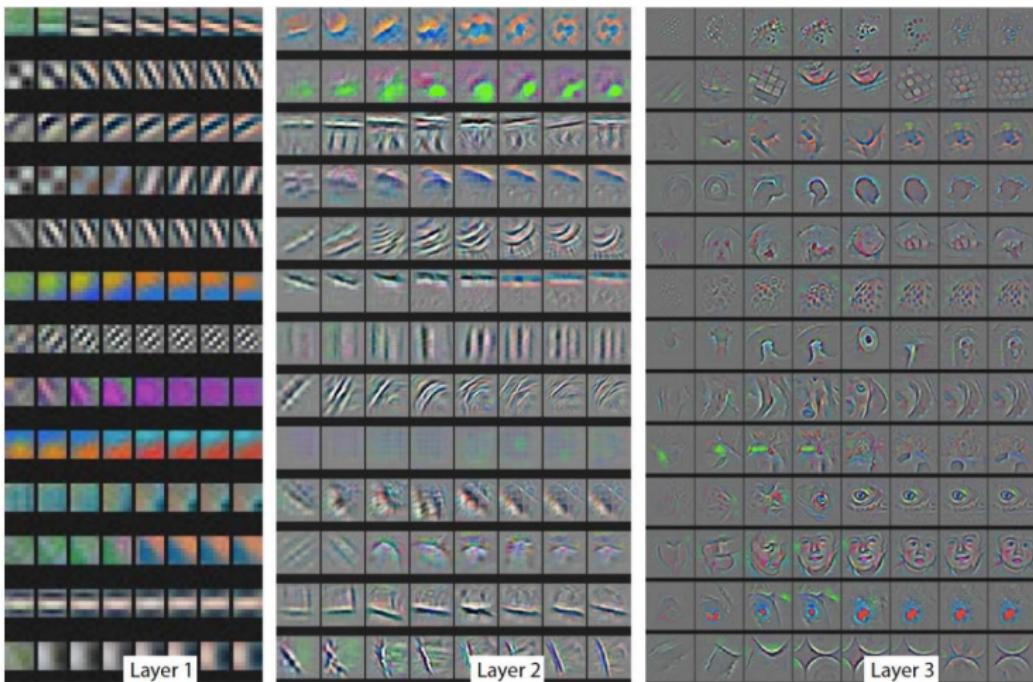
Layer 4 Patches



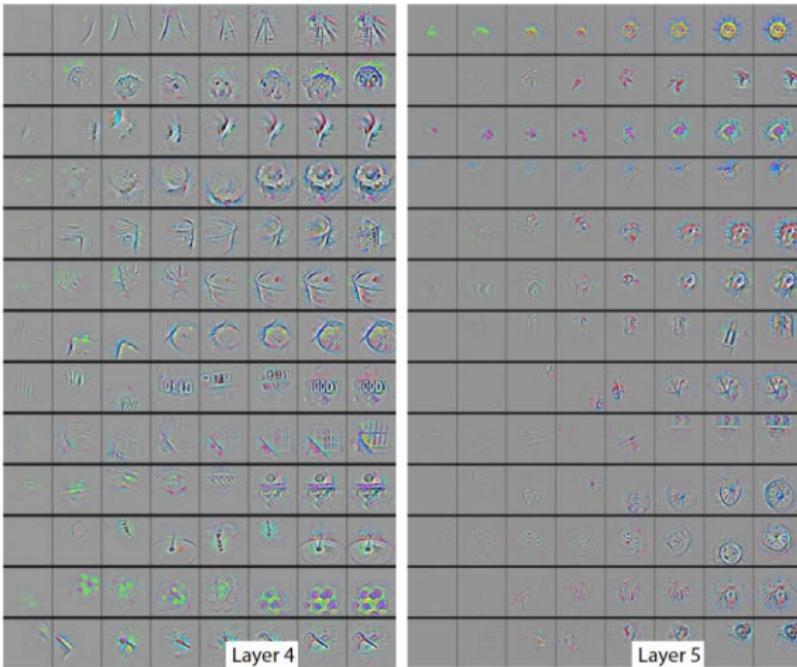
Layer 4 Patches



Evolution of Filters



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Caveat?



Back to Architectures

ImageNet 2013

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- ImageNet 2013: 14.8 % (reduced from 15.4 %) (top 5 errors)

VGGNet(Simonyan and Zisserman, 2014)

| ConvNet Configuration | | | | | |
|-------------------------------------|------------------|------------------------|------------------------|------------------------|------------------------|
| A | A-LRN | B | C | D | E |
| 11 weight layers | 11 weight layers | 13 weight layers | 16 weight layers | 16 weight layers | 19 weight layers |
| input (224×224 RGB image) | | | | | |
| conv3-64 | conv3-64 LRN | conv3-64 conv3-64 | conv3-64 conv3-64 | conv3-64 conv3-64 | conv3-64 conv3-64 |
| maxpool | | | | | |
| conv3-128 | conv3-128 | conv3-128 conv3-128 | conv3-128 conv3-128 | conv3-128 conv3-128 | conv3-128 conv3-128 |
| maxpool | | | | | |
| conv3-256 | conv3-256 | conv3-256 | conv3-256 | conv3-256 | conv3-256 |
| conv3-256 | conv3-256 | conv3-256 | conv3-256 | conv3-256 | conv3-256 |
| maxpool | | | | | |
| conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 |
| conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 |
| maxpool | | | | | |
| conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 |
| conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 |
| maxpool | | | | | |
| FC-4096 | | | | | |
| FC-4096 | | | | | |
| FC-1000 | | | | | |
| soft-max | | | | | |

Table 2: Number of parameters (in millions).

| Network | A-A-LRN | B | C | D | E |
|----------------------|---------|-----|-----|-----|-----|
| Number of parameters | 133 | 133 | 134 | 138 | 144 |

- Best model: Column D.
- Error: 7.3 % (top five error)

VGGNet(Simonyan and Zisserman, 2014)

- Total number of parameters: 138 Million (calculate!)
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 - Most parameters are in the fully connected layers

Going Deeper

Classification: ImageNet Challenge top-5 error

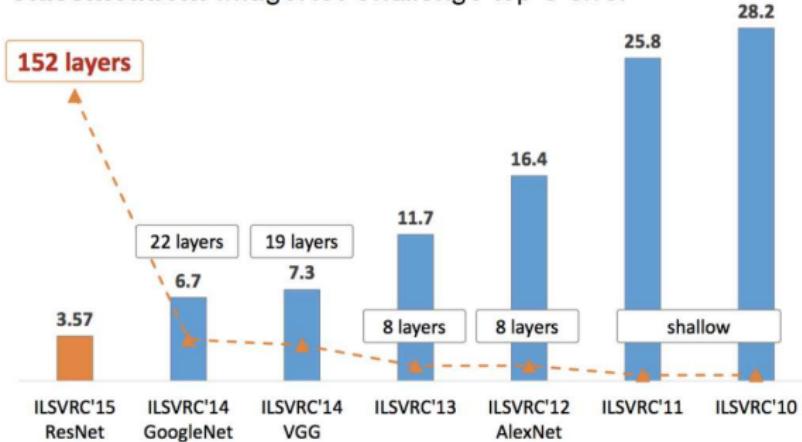
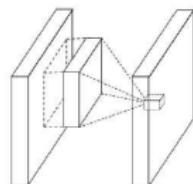
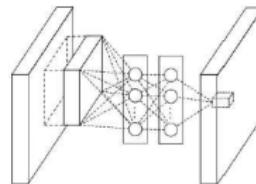


Figure: Kaiming He, MSR

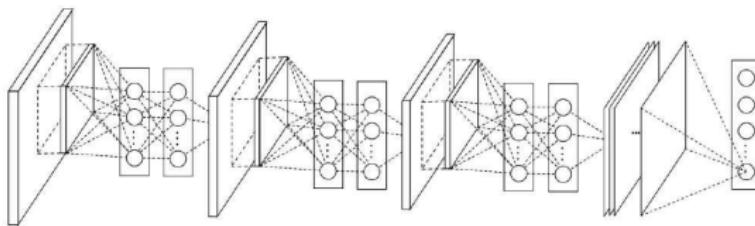
Network in Network



(a) Linear convolution layer

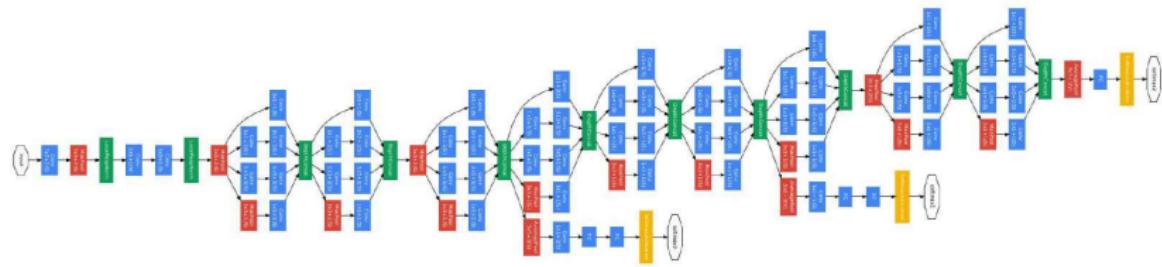


(b) Mipconv layer



M. Lin, Q. Chen, S. Yan, *Network in Network*, ICLR 2014

Google LeNet



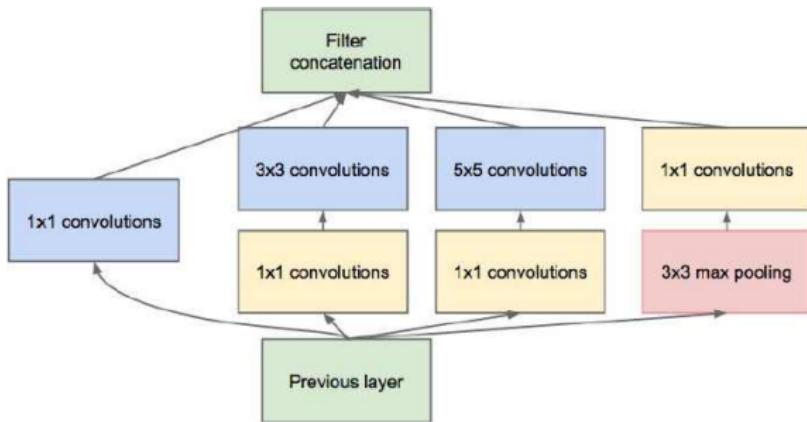
C.

Szegedy et al, *Going Deeper With Convolutions*, CVPR 2015

- Error: 6.7 % (top five error)



The Inception Module



- Parallel paths with different receptive field sizes - capture sparse patterns of correlation in stack of feature maps
- Also include auxiliary classifiers for ease of training
- Also note 1 by 1 convolutions

Google LeNet

| type | patch size/ stride | output size | depth | #1×1 | #3×3 reduce | #3×3 | #5×5 reduce | #5×5 | pool proj | params | ops |
|----------------|-----------------------|----------------|-------|------|----------------|------|----------------|------|--------------|--------|------|
| convolution | 7×7/2 | 112×112×64 | 1 | | | | | | | 2.7K | 34M |
| max pool | 3×3/2 | 56×56×64 | 0 | | | | | | | | |
| convolution | 3×3/1 | 56×56×192 | 2 | | 64 | 192 | | | | 112K | 360M |
| max pool | 3×3/2 | 28×28×192 | 0 | | | | | | | | |
| inception (3a) | | 28×28×256 | 2 | 64 | 96 | 128 | 16 | 32 | 32 | 159K | 128M |
| inception (3b) | | 28×28×480 | 2 | 128 | 128 | 192 | 32 | 96 | 64 | 380K | 304M |
| max pool | 3×3/2 | 14×14×480 | 0 | | | | | | | | |
| inception (4a) | | 14×14×512 | 2 | 192 | 96 | 208 | 16 | 48 | 64 | 364K | 73M |
| inception (4b) | | 14×14×512 | 2 | 160 | 112 | 224 | 24 | 64 | 64 | 437K | 88M |
| inception (4c) | | 14×14×512 | 2 | 128 | 128 | 256 | 24 | 64 | 64 | 463K | 100M |
| inception (4d) | | 14×14×528 | 2 | 112 | 144 | 288 | 32 | 64 | 64 | 580K | 119M |
| inception (4e) | | 14×14×832 | 2 | 256 | 160 | 320 | 32 | 128 | 128 | 840K | 170M |
| max pool | 3×3/2 | 7×7×832 | 0 | | | | | | | | |
| inception (5a) | | 7×7×832 | 2 | 256 | 160 | 320 | 32 | 128 | 128 | 1072K | 54M |
| inception (5b) | | 7×7×1024 | 2 | 384 | 192 | 384 | 48 | 128 | 128 | 1388K | 71M |
| avg pool | 7×7/1 | 1×1×1024 | 0 | | | | | | | | |
| dropout (40%) | | 1×1×1024 | 0 | | | | | | | | |
| linear | | 1×1×1000 | 1 | | | | | | | 1000K | 1M |
| softmax | | 1×1×1000 | 0 | | | | | | | | |

C. Szegedy et al, Going Deeper With Convolutions, CVPR 2015

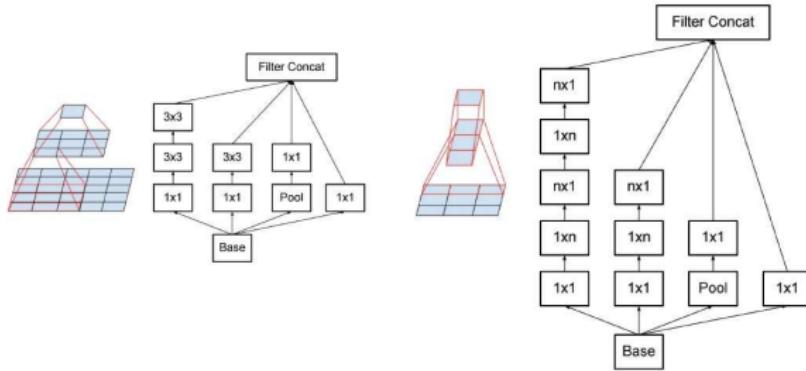
Google LeNet

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Google LeNet

- Has 5 Million or 12X fewer parameters than AlexNet
- Gets rid of fully connected layers

Inception v2, v3



C. Szegedy et al, Rethinking the Inception Architecture for Computer Vision, CVPR 2016

- Use Batch Normalization during training to reduce dependence on auxiliary classifiers
- More aggressive factorization of filters

Why do CNNs make sense? (Brain Stuff next time)

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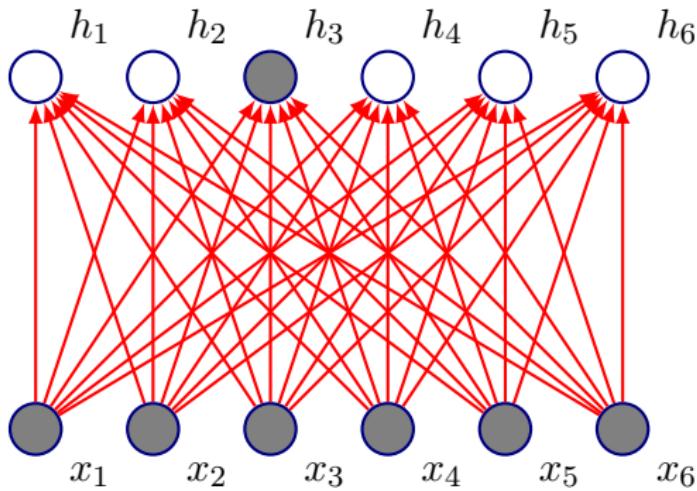
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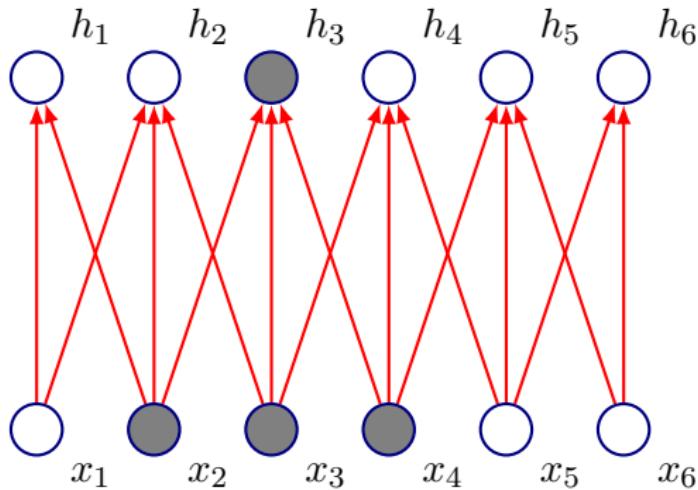
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 - Convolutional networks have *sparse interactions* by making kernel smaller than input
 - \Rightarrow need to store fewer parameters, computing output needs fewer operations ($O(m \times n)$ versus $O(k \times n)$)

Motivation: Sparse Connectivity



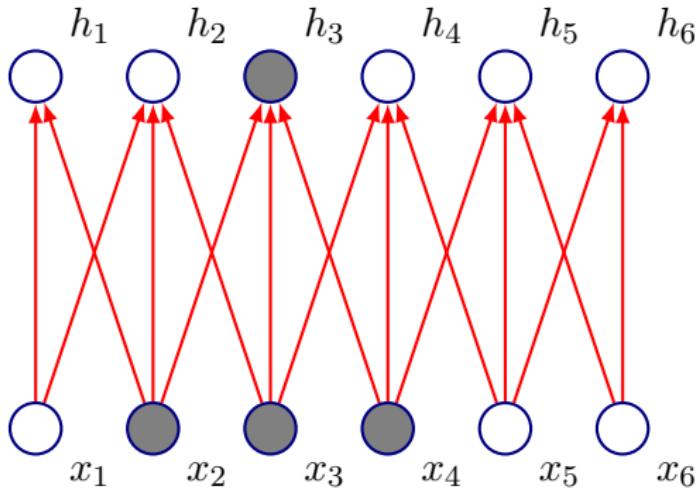
- Fully connected network: h_3 is computed by full matrix multiplication with no sparse connectivity

Motivation: Sparse Connectivity



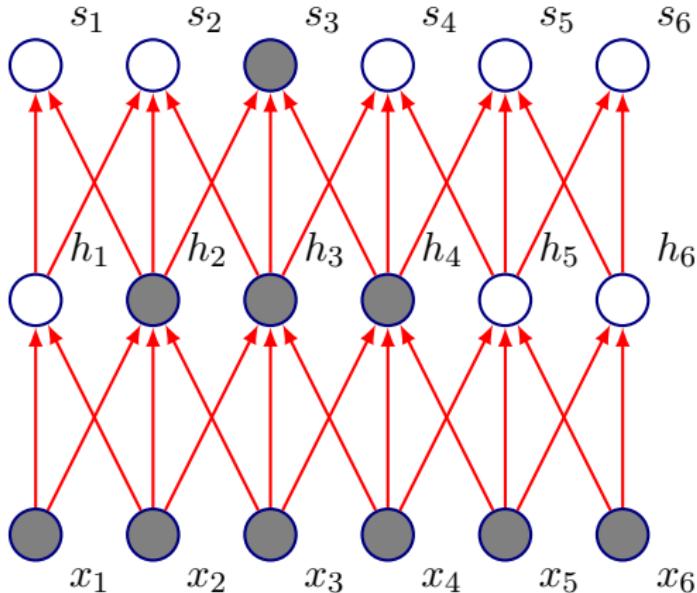
- Kernel of size 3, moved with stride of 1

Motivation: Sparse Connectivity



- Kernel of size 3, moved with stride of 1
- h_3 only depends on x_2, x_3, x_4

Motivation: Sparse Connectivity



- Connections in CNNs are sparse, but units in deeper layers are connected to all of the input (larger receptive field sizes)

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- Plain vanilla NN: Each element of \mathbf{W} is used exactly once to compute output of a layer

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- Storage improves dramatically as $k \ll m, n$

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$$S(i, j) = (I * K)(i, j) = \sum_m \sum_n I(i + m, j + n)K(m, n)$$

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- **Equivariance:** f is equivariant to g if $f(g(\mathbf{x})) = g(f(\mathbf{x}))$
- The form of parameter sharing used by CNNs causes each layer to be equivariant to translation
- That is, if g is any function that translates the input, the convolution function is equivariant to g

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- Images: If we move an object in the image, its representation will move the same amount in the output

Motivation: Equivariance

- Implication: While processing time series data, convolution produces a timeline that shows when different features appeared (if an event is shifted in time in the input, the same representation will appear in the output)
- Images: If we move an object in the image, its representation will move the same amount in the output
- This property is useful when we know some local function is useful everywhere (e.g. edge detectors)

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- Convolution is not equivariant to other operations such as change in scale or rotation

Pooling: Motivation

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- Reminder: Invariance: $f(g(\mathbf{x})) = f(\mathbf{x})$
- If input is translated by small amount: values of most pooled outputs don't change

Pooling: Invariance

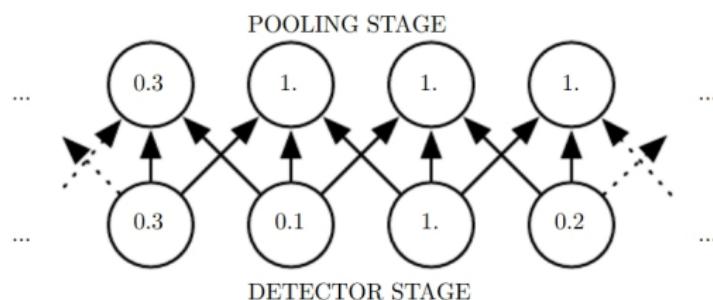
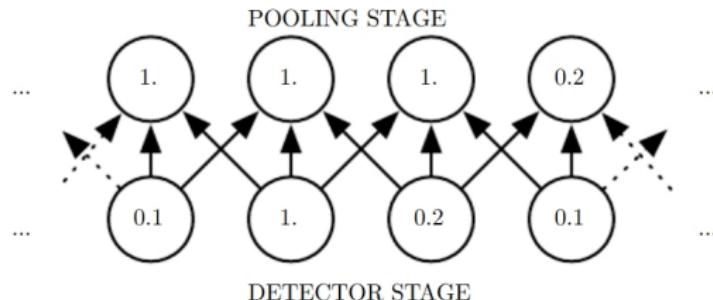


Figure: Goodfellow et al.



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- **One more advantage:** Since pooling is used for downsampling, it can be used to handle inputs of varying sizes

Next time

- More Architectures
- Variants on the CNN idea
- More motivation
- Group Equivariance
- Equivariance to Rotation

Quiz!

