

Deep Reinforcement Learning and its Applications in Games

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Facebook AI Research



Overview

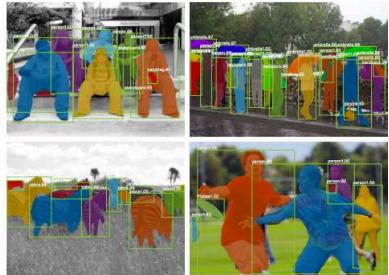
- Introduction: AI and Games
- Basic knowledge in Reinforcement Learning
 - Q-learning
 - Policy gradient
 - Actor-critic models
 - Game related approaches
- Case study
 - AlphaGo Fan and AlphaGo Zero
 - Our work
 - DarkForest
 - Doom AI bot
 - ELF platform



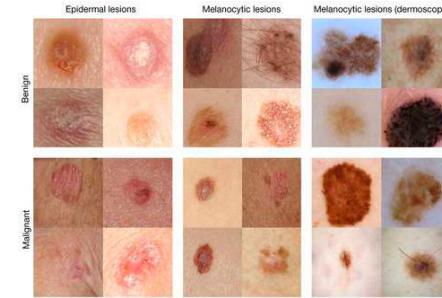
Part I: Introduction



AI works in a lot of situations



Object Recognition



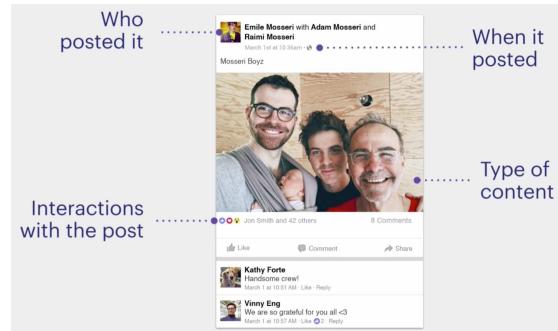
Medical



Translation



Speech Recognition



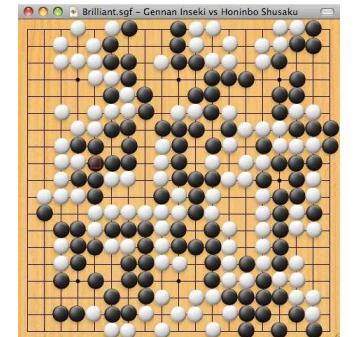
Personalization



Surveillance



Smart Design



Board game



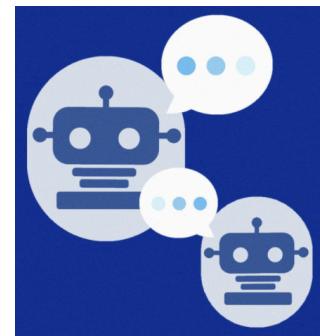
What AI still needs to improve



Home Robotics



Autonomous Driving



ChatBot



StarCraft



Question Answering

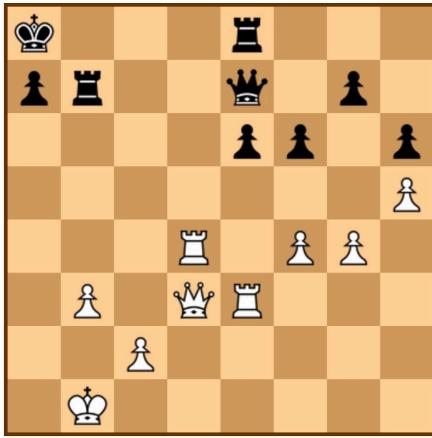
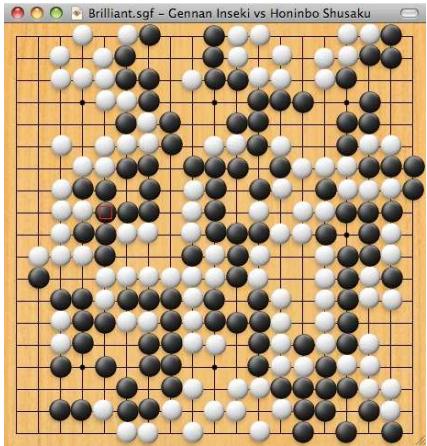
Less supervised data

Complicated/Unknown environments with lots of corner cases.

Common Sense



The Charm of Games

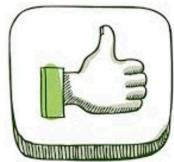


Complicated long-term strategies.

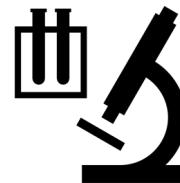
Realistic Worlds



Game as a Vehicle of AI



Infinite supply of
fully labeled data



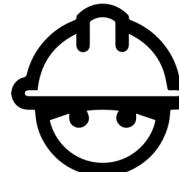
Controllable and replicable



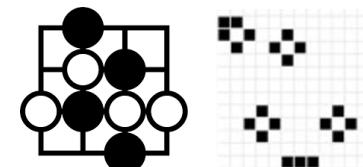
Low cost per sample



Faster than real-time



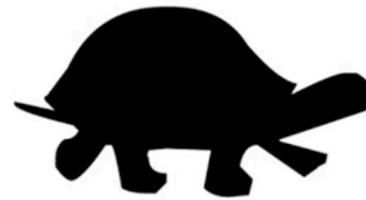
Less safety and
ethical concerns



Complicated dynamics
with simple rules.



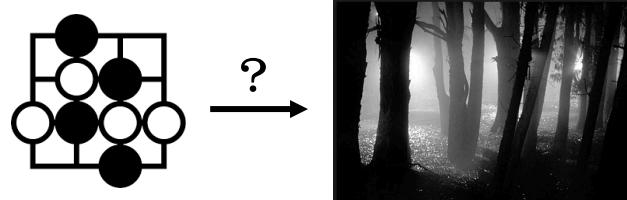
Game as a Vehicle of AI



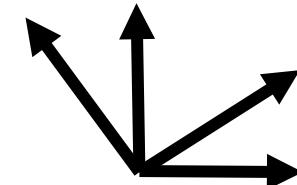
Algorithm is slow and data-inefficient



Require a lot of resources.



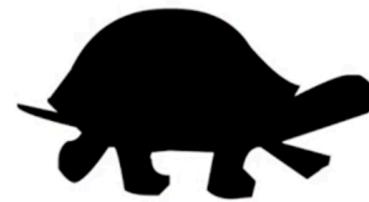
Abstract game to real-world



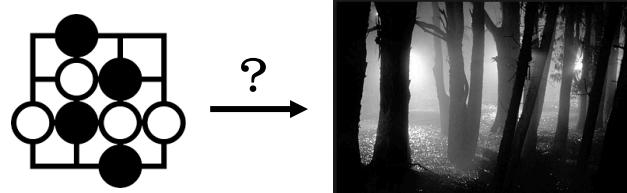
Hard to benchmark the progress



Game as a Vehicle of AI



Algorithm is slow and data-inefficient

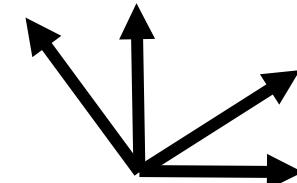


Abstract game to real-world

Better Algorithm/System



Require a lot of resources.



Hard to benchmark the progress

Better Environment



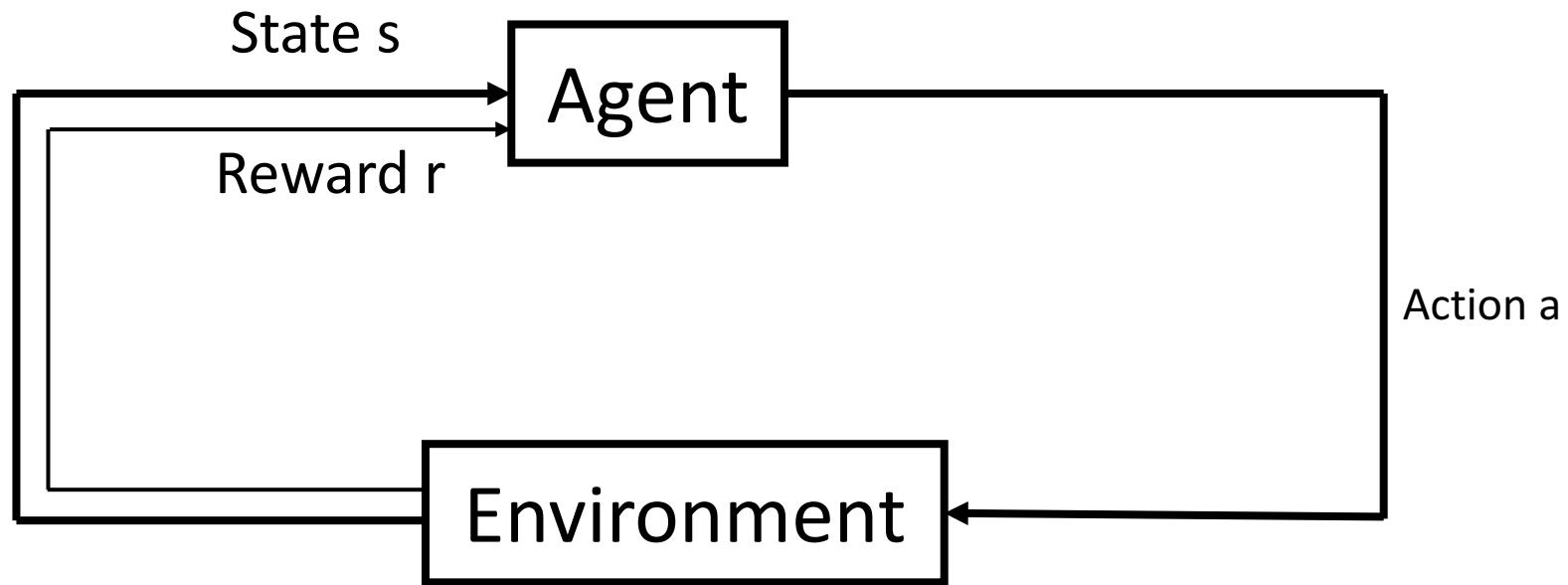
What's new in Game environment?

- Data are generated on the fly
- Agent not only learns from the data, but also choose which data to learn.

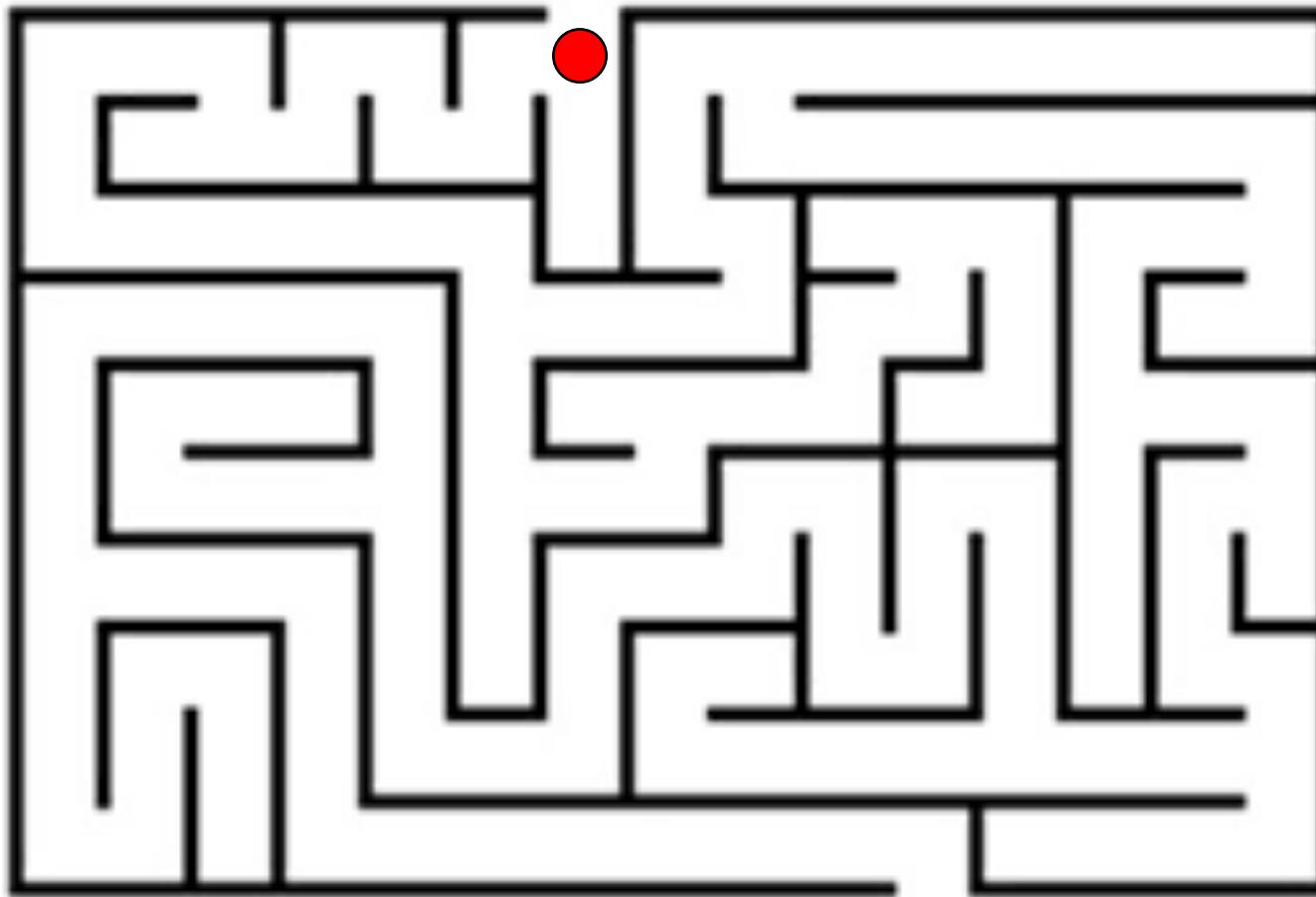
Part II: Reinforcement Learning



What is Reinforcement Learning?



What is Reinforcement Learning?



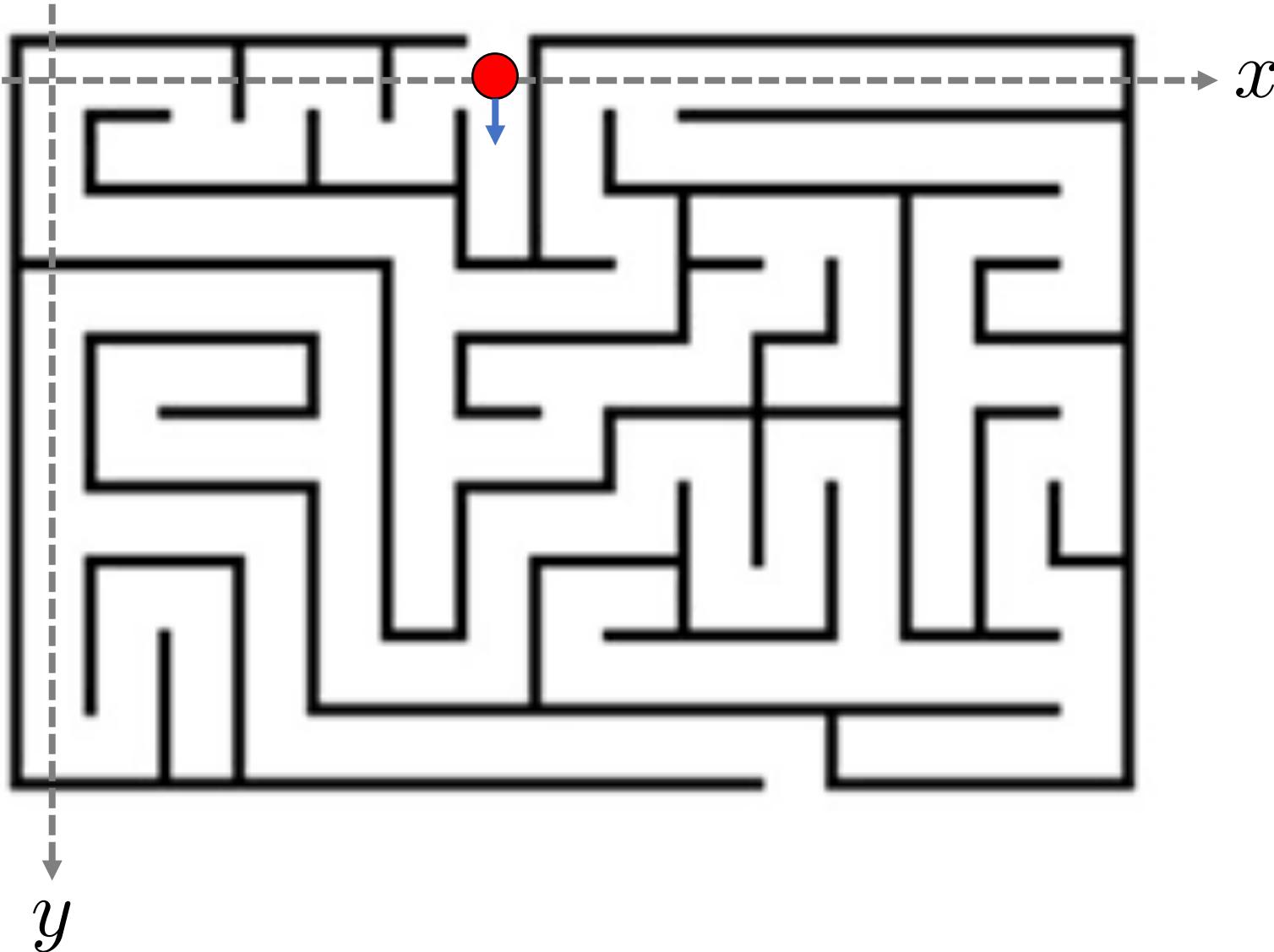
State:
where you are?

Action:
left/right/up/down

Next state:
where you are after the action?



What is Reinforcement Learning?

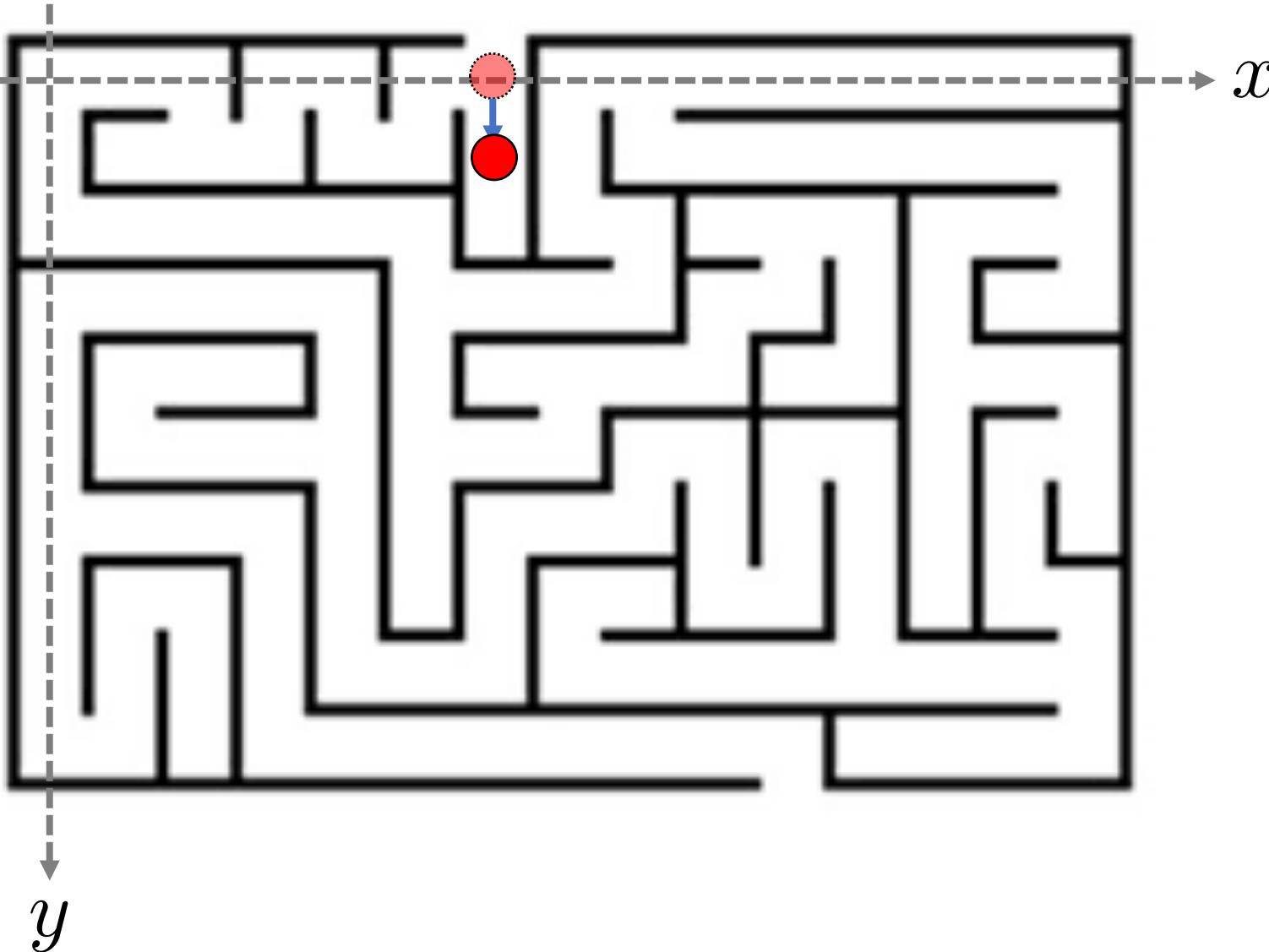


State:
 $(x, y) = (6, 0)$

Actions:
Left: $x -= 1$
Right: $x += 1$
Up: $y -= 1$
Down: $y += 1$



What is Reinforcement Learning?



State:

$$s = (x, y) = (6, 0)$$

Actions:

Left: $x \leftarrow x - 1$

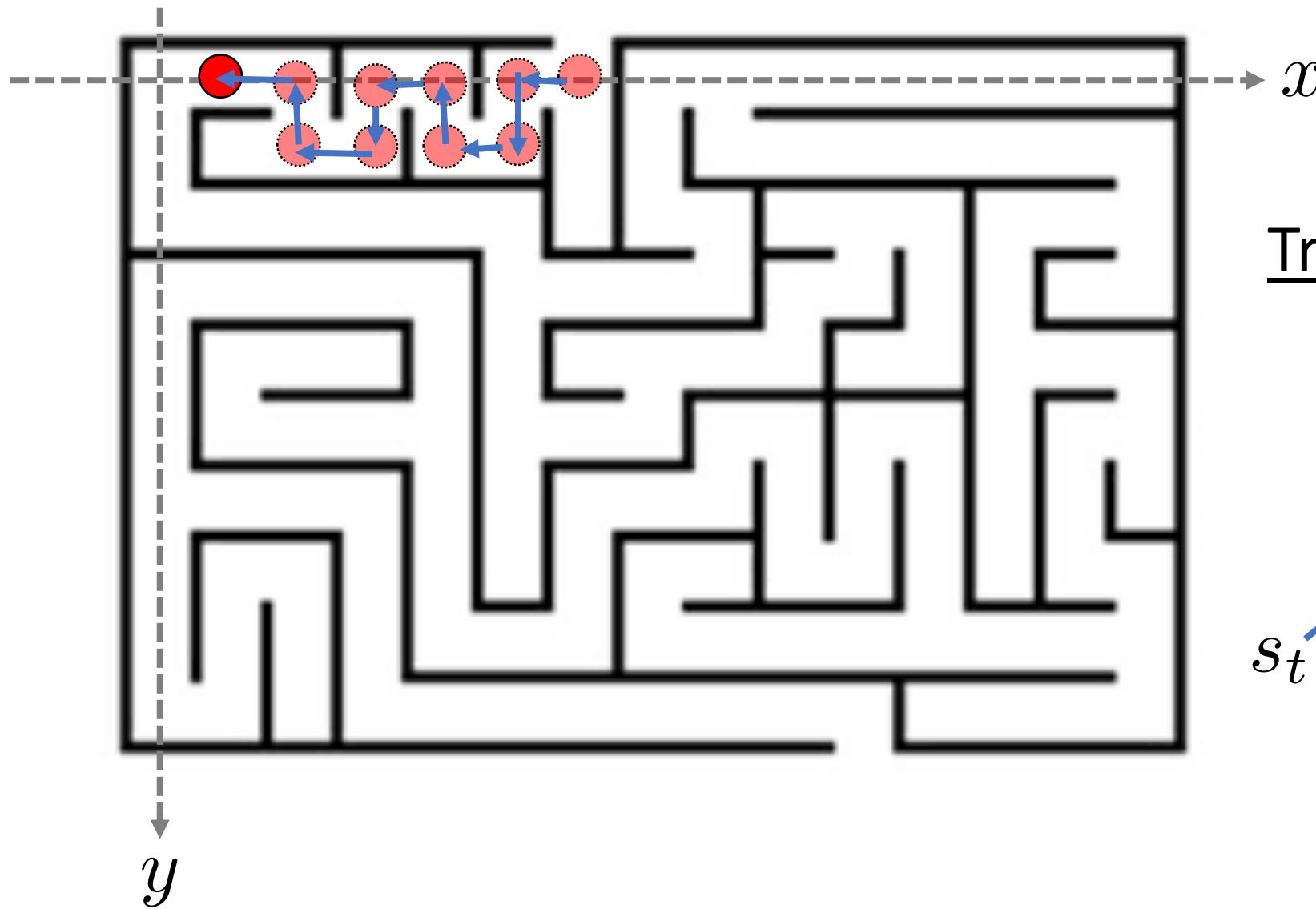
Right: $x \leftarrow x + 1$

Up: $y \leftarrow y - 1$

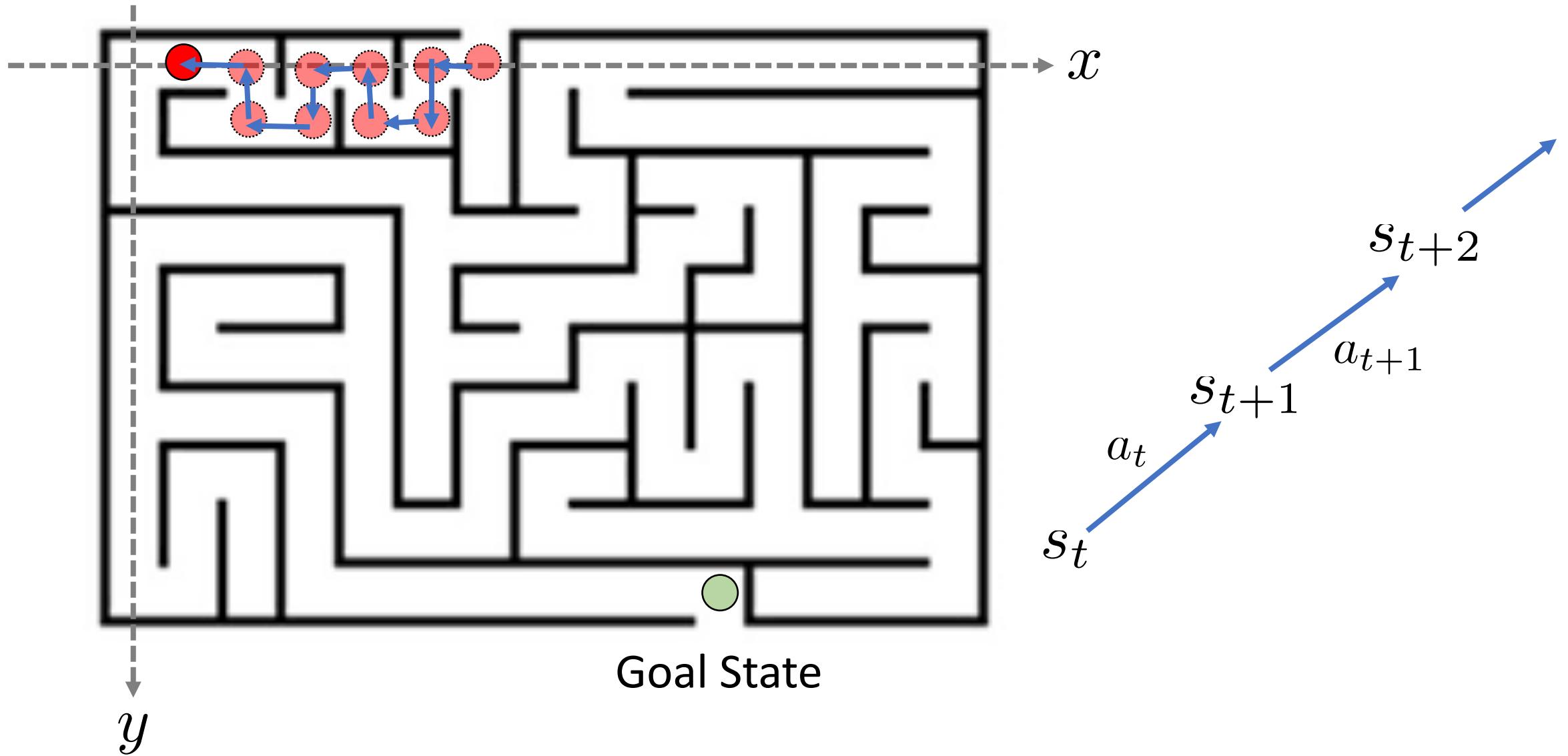
Down: $y \leftarrow y + 1$



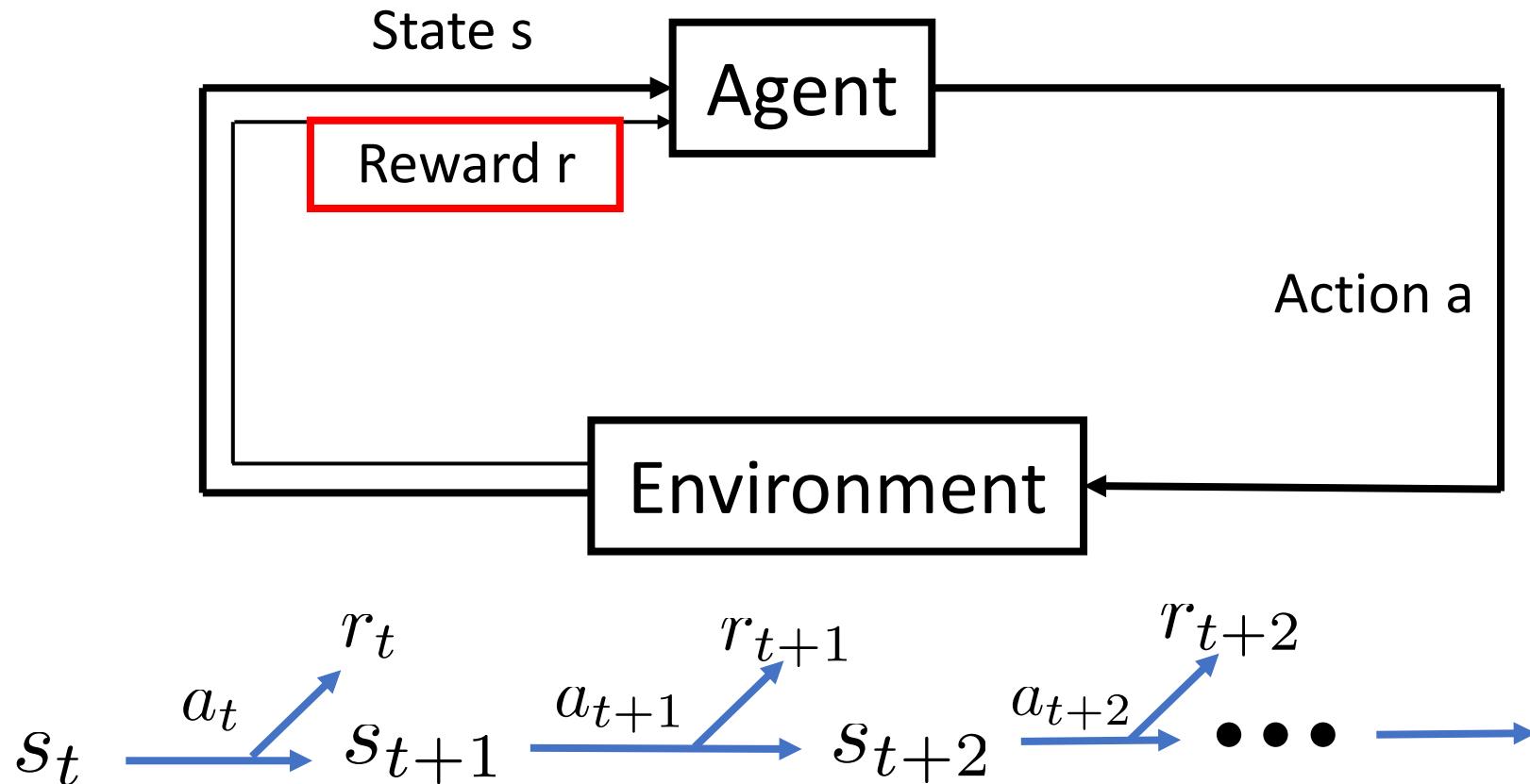
What is Reinforcement Learning?



Goal of Reinforcement Learning



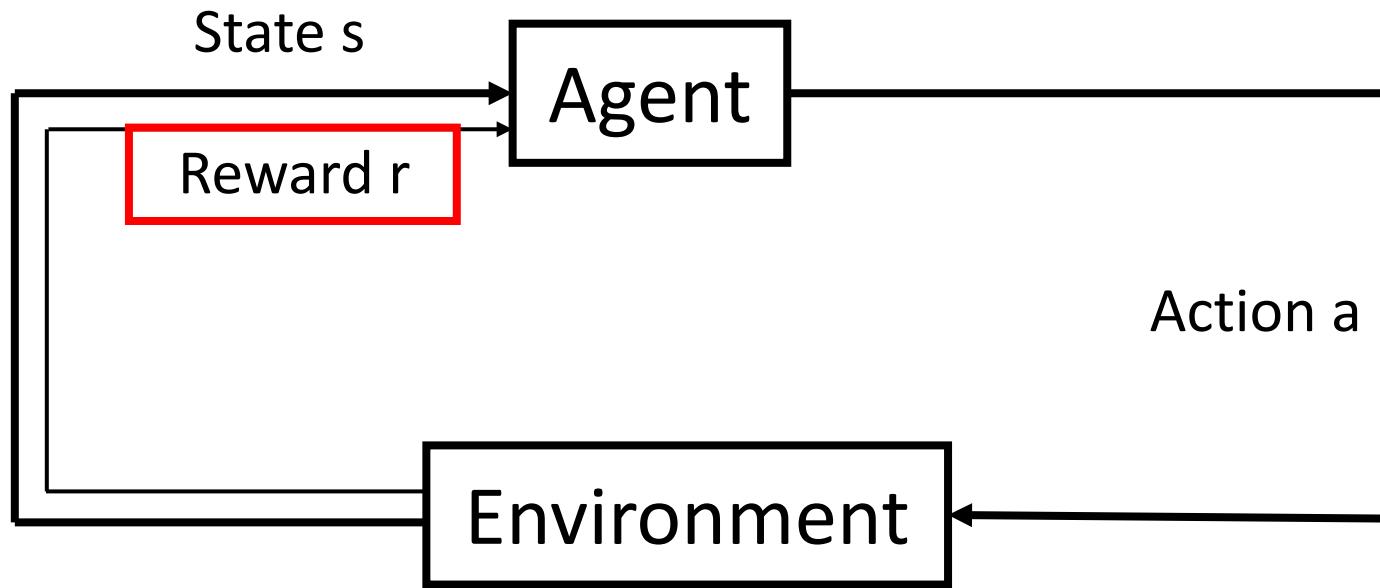
Goal of Reinforcement Learning



Maximize long-term reward: $\max \sum_{t'=t}^{+\infty} \gamma^{t'-t} r_t$



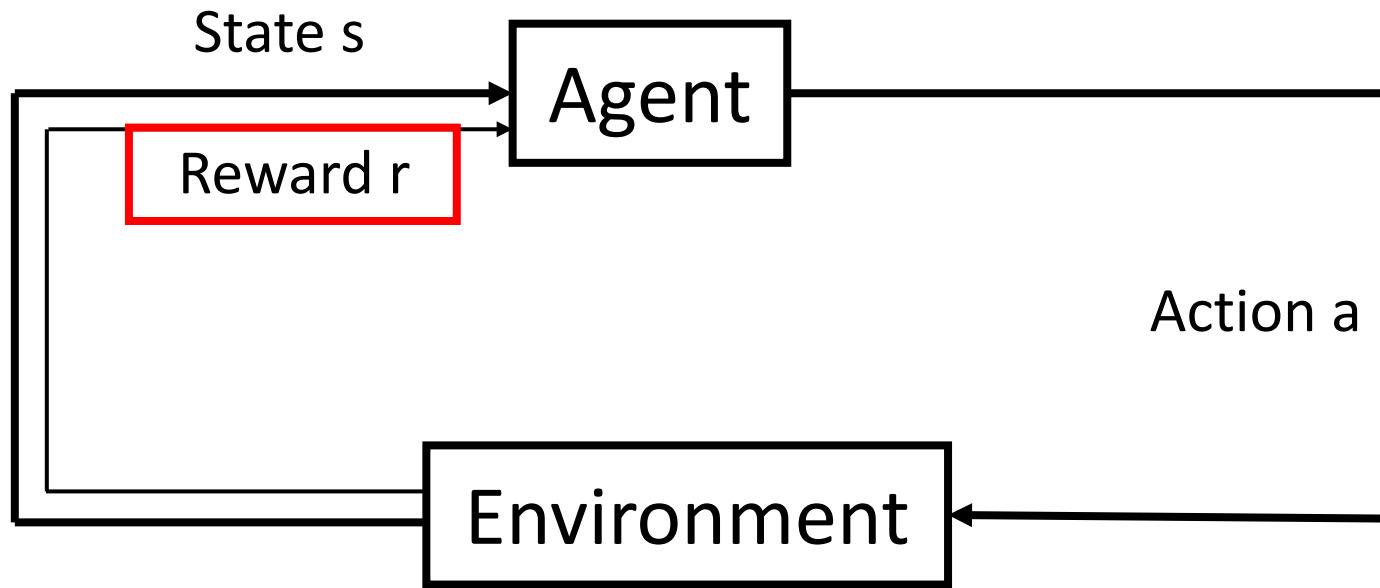
Key Quantities



$V(s)$ Maximal reward you can get starting from S
“Value” of state S



Key Quantities



$Q(s, a)$ Maximal reward you can get starting from S
“Q-function” of state S and action a



Bellman Equations

$$Q^*(s, a) = r(s, a) + \gamma \max_{a'} Q^*(s'(s, a), a')$$

$$V^*(s) = \max_a r(s, a) + \gamma V^*(s'(s, a))$$

Optimal solution



Algorithm

Iteratively table filling

Tabular Q-learning

$$Q^{(n)}(s, a) \leftarrow r(s, a) + \gamma \max_{a'} Q^{(n-1)}(s'(s, a), a')$$

Value Iteration

$$V^{(n)}(s) \leftarrow \max_a r(s, a) + \gamma V^{(n-1)}(s'(s, a))$$

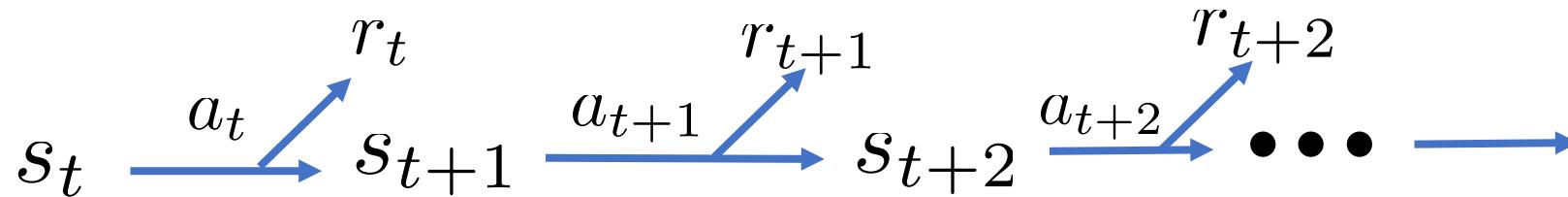
As long as we can enumerate ***all possible*** states and actions



On trajectories

Q-learning

$$Q^{(n)}(s_t, a_t) \leftarrow r(s_t, a_t) + \gamma \max_{a'} Q^{(n-1)}(s_{t+1}, a')$$



On trajectories

Q-learning

$$Q_{\theta}^{(n)}(s_t, a_t) \leftarrow r(s_t, a_t) + \gamma \max_{a'} Q_{\theta}^{(n-1)}(s_{t+1}, a')$$

Parametric function

$Q_{\theta}(s, a)$ now have generalization capability

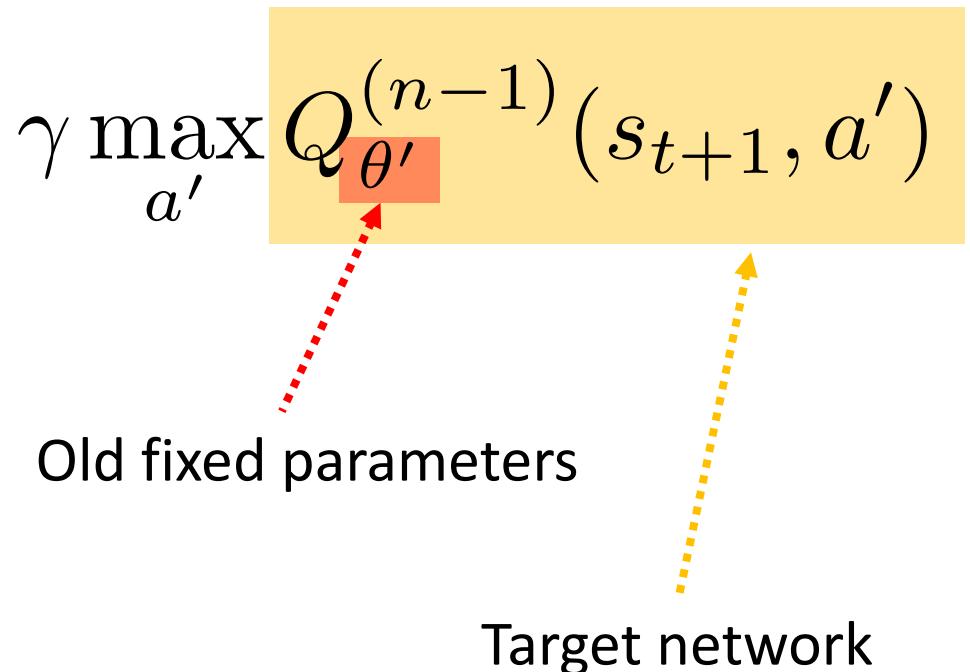
How could you take the gradient w.r.t θ ?



On trajectories

Q-learning

$$Q_{\theta}^{(n)}(s_t, a_t) \leftarrow r(s_t, a_t) + \gamma \max_{a'} Q_{\theta'}^{(n-1)}(s_{t+1}, a')$$



On trajectories

Q-learning

$$Q_{\theta}(s_t, a_t) \leftarrow (1 - \alpha)Q_{\theta}(s_t, a_t) + \alpha \left[r(s_t, a_t) + \gamma \max_{a'} Q_{\theta'}(s_{t+1}, a') \right]$$

[Mnih et al. Nature 2015]



Sample trajectories

Q-learning

$$Q_{\theta}^{(n)}(s_t, a_t) \leftarrow r(s_t, a_t) + \gamma \max_{a'} Q_{\theta}^{(n-1)}(s_{t+1}, a')$$

How could we sample a trajectory in the state space?

Behavior policy $\beta(\cdot|s)$



On-policy versus Off-policy approaches

Off-policy, sampled by some behavior policy $\beta(\cdot|s)$

- Expert behaviors (imitation learning)

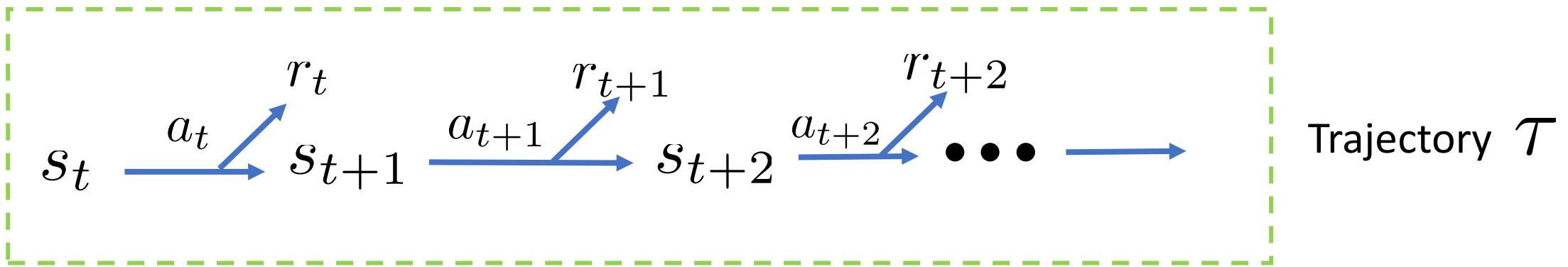
- Supervised learning

On-policy, sampled by the current models $Q^{(n)}(s, a)$ $\pi(\cdot|s)$

Agent not only learns from the data, but also chooses which data to learn.



Policy gradient



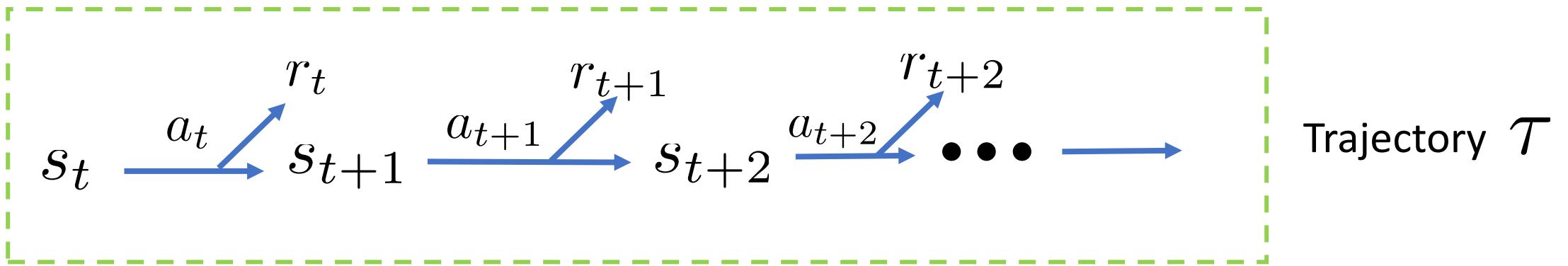
$$J(\theta) = \mathbb{E}_{\tau \sim p_\theta(\tau)} [r(\tau)]$$

$\pi_\theta(a|s)$ Probability of taking action a
given state S

$r(\tau)$ Cumulative reward along a trajectory \mathcal{T}



Policy gradient

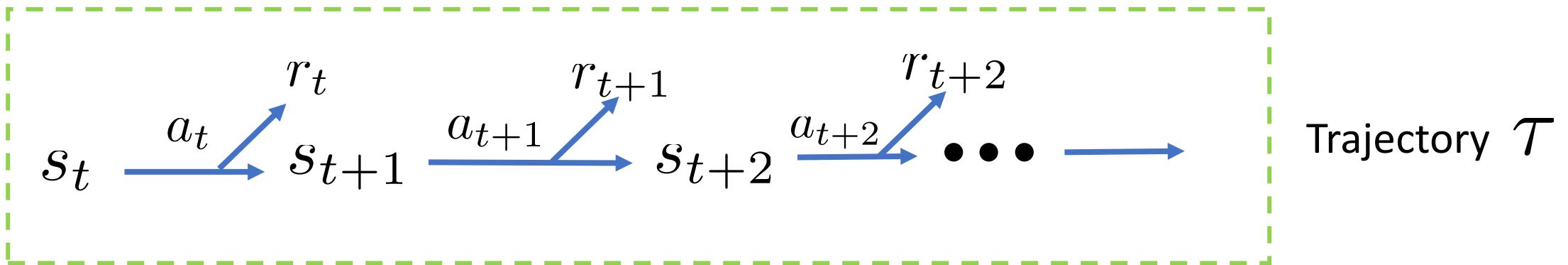


$$J(\theta) = \mathbb{E}_{\tau \sim p_\theta(\tau)} [r(\tau)]$$

$$\nabla_\theta J(\theta) = \mathbb{E}_{\tau \sim p_\theta(\tau)} [r(\tau) \nabla_\theta \log p_\theta(\tau)]$$



Policy gradient



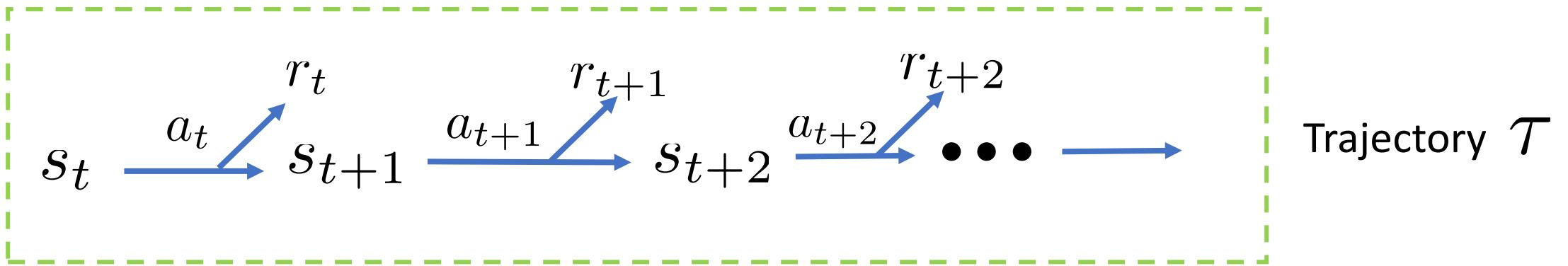
$$\nabla_{\theta} J(\theta) = \mathbb{E}_{\tau \sim p_{\theta}(\tau)} [r(\tau) \nabla_{\theta} \log p_{\theta}(\tau)]$$

$$\log p_{\theta}(\tau) = \log p(s_1) + \sum_{t=1}^T \log \pi_{\theta}(a_t | s_t) + \sum_{t=1}^T \log p(s_{t+1} | s_t, a_t)$$

Independent of θ



Policy gradient



$$\nabla_{\theta} J(\theta) = \mathbb{E}_{\tau \sim p_{\theta}(\tau)} \left[r(\tau) \sum_{t=1}^T \nabla_{\theta} \log \pi_{\theta}(a_t | s_t) \right]$$

Estimated by sampling $\pi_{\theta}(a|s)$



Baseline

$$\mathbb{E}_{\tau \sim p_\theta(\tau)} [\nabla_\theta \log p_\theta(\tau)] \equiv 0$$

$$\nabla_\theta J(\theta) = \mathbb{E}_{\tau \sim p_\theta(\tau)} [r(\tau) \nabla_\theta \log p_\theta(\tau)]$$

$$\nabla_\theta J(\theta) = \mathbb{E}_{\tau \sim p_\theta(\tau)} [(r(\tau) - b) \nabla_\theta \log p_\theta(\tau)]$$



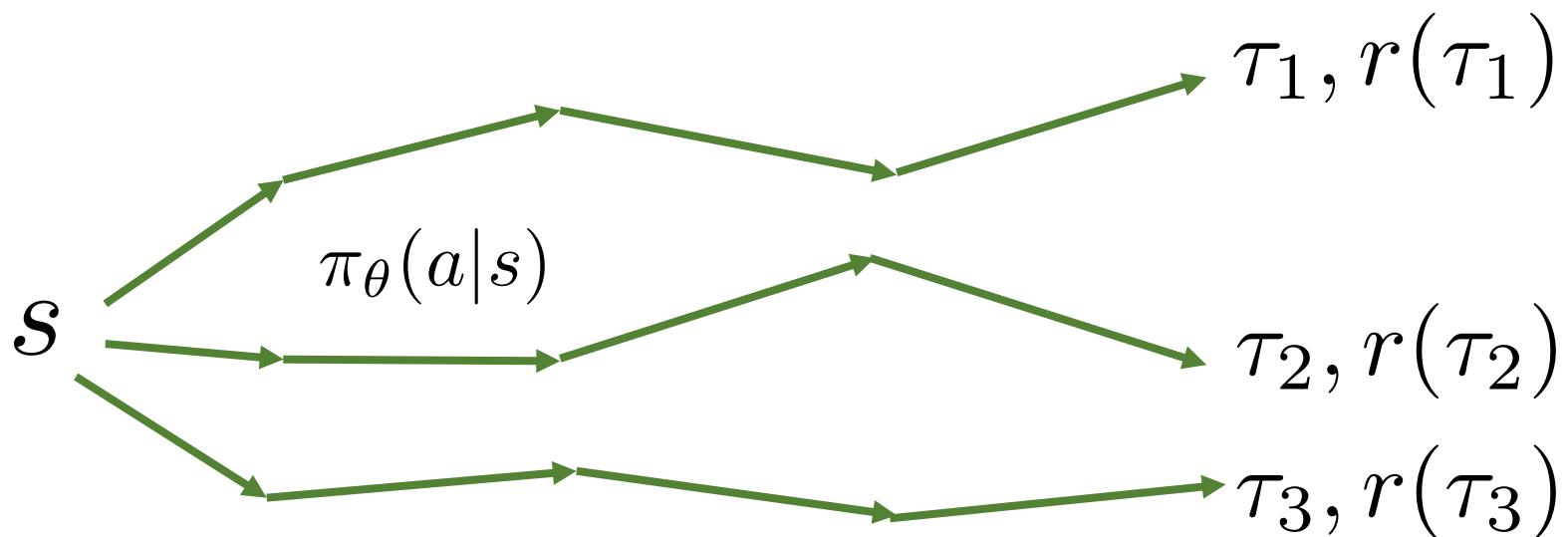
Can be any function that only depends on state.



REINFORCE

$$r(\tau)$$

Actual reward obtained by rolling out from S



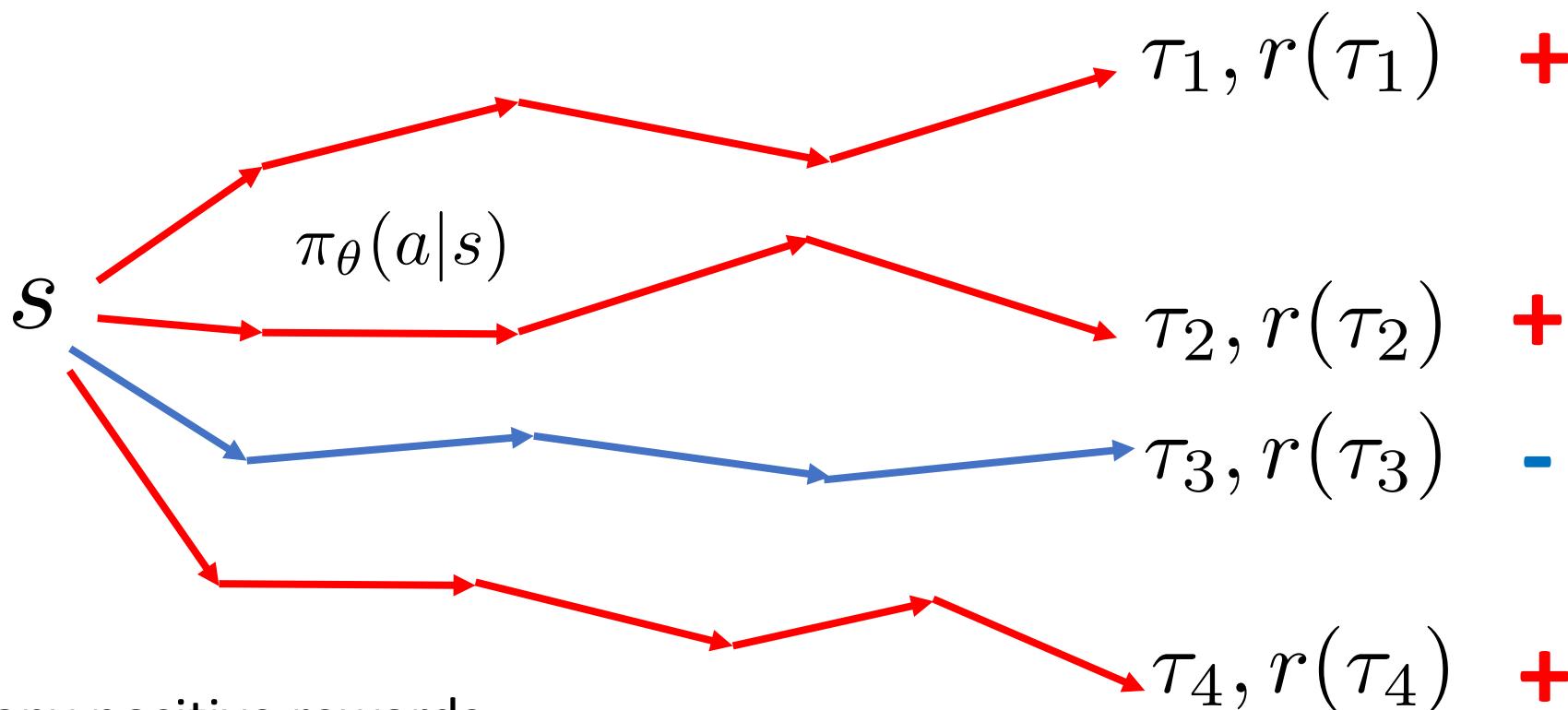
$$\nabla_\theta J(\theta) \approx \frac{1}{N} \sum_{i=1}^N \left[r_i(\tau) \sum_{t=1}^T \nabla_\theta \log \pi_\theta(a_t^i | s_t^i) \right]$$



REINFORCE

$$r(\tau)$$

Actual reward obtained by rolling out from S



Too many positive rewards.

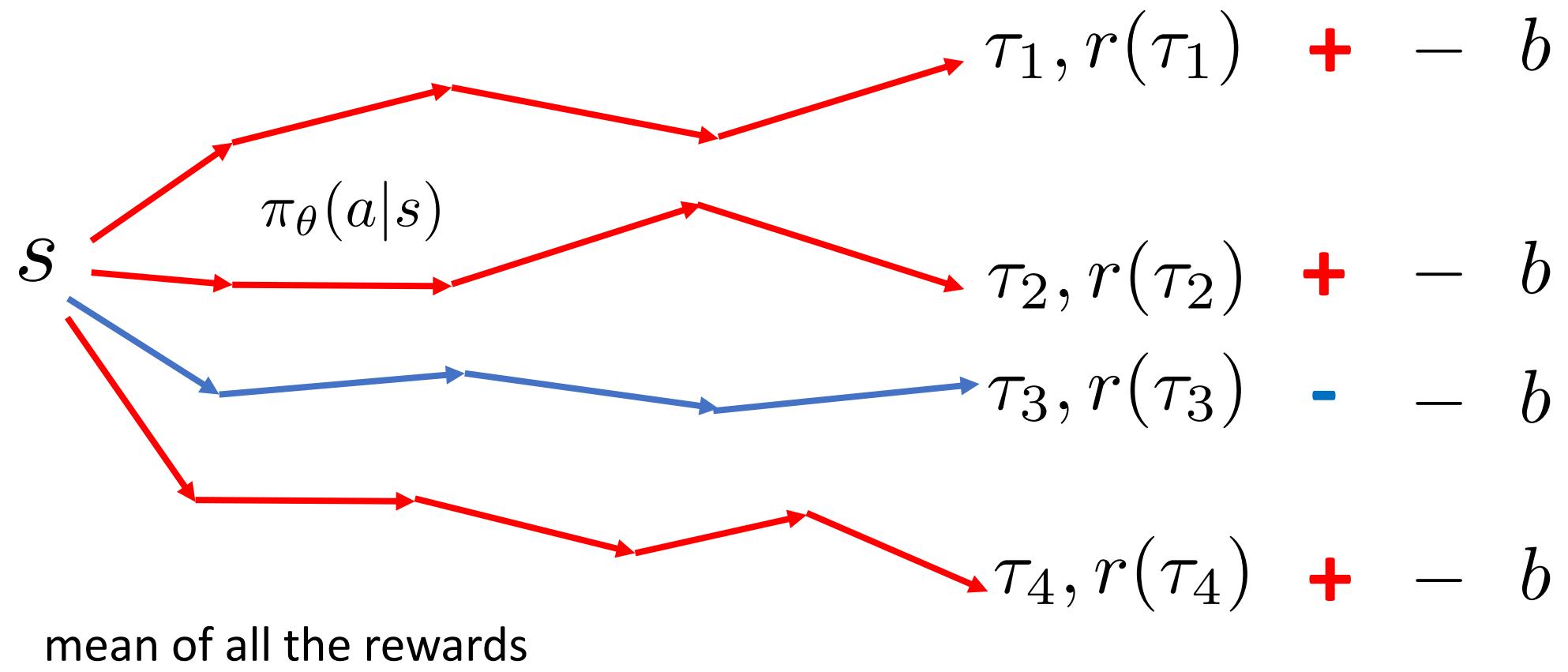
We only want to pick the best of the best.



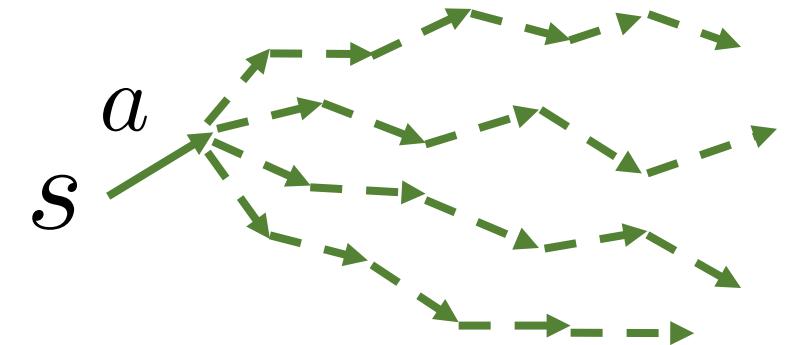
REINFORCE

$r(\tau)$

Actual reward obtained by rolling out from S



Actor-Critic Models



$r(\tau) \approx Q_\theta^\pi(s, a)$ Rollout return as a parametric function (critic)

Estimated from TD difference in the data

$b(s) = V_\theta(s)$ Use the value function as the baseline

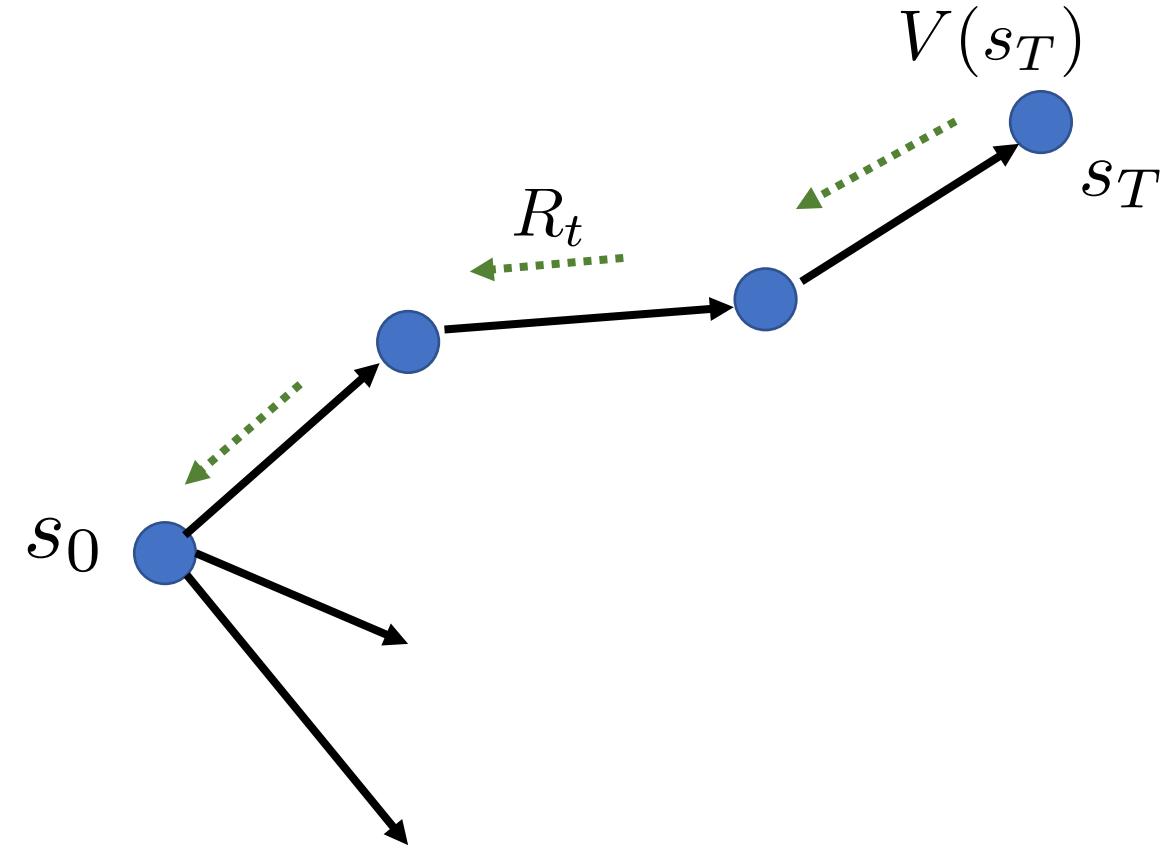
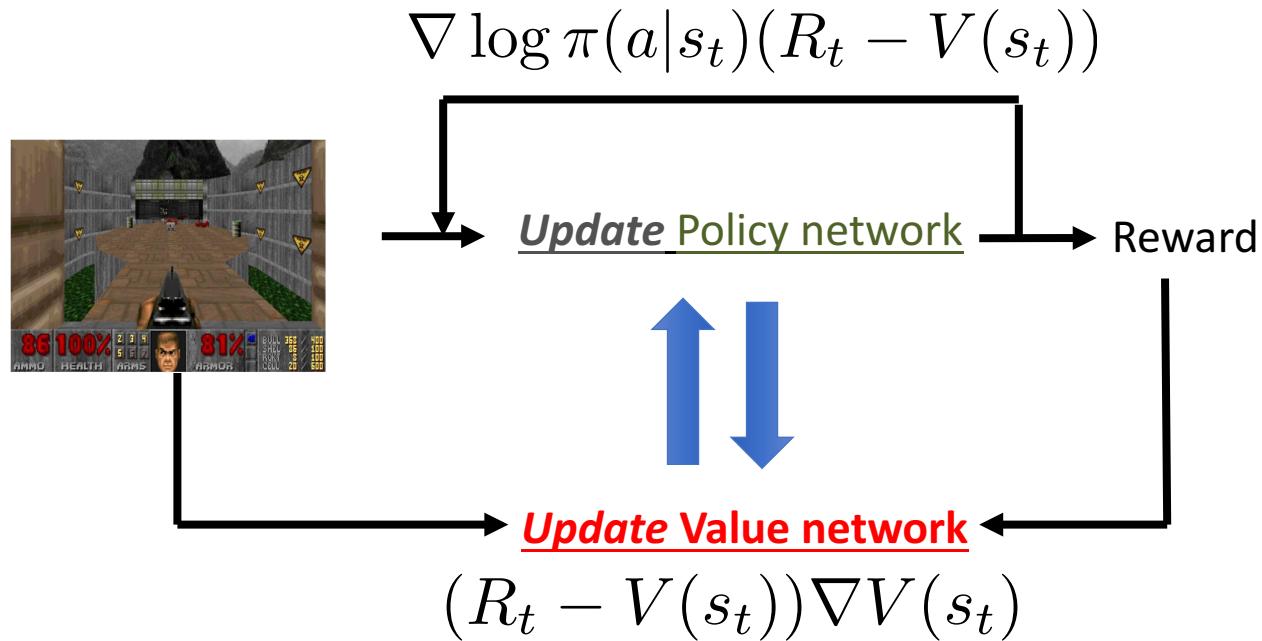
$$r(\tau) - b(s) \approx Q_\theta^\pi(s, a) - V_\theta(s) = A_\theta^\pi(s, a)$$

Advantage function

“Advantageous Actor-Critic”



A2C / A3C



Encourage actions leading to states with high-than-expected value.
Encourage value function to converge to the true cumulative rewards.
Keep the diversity of actions



Part III: Algorithm used in Games



How Game AI works

Even with a super-super computer,
it is not possible to search the entire space.

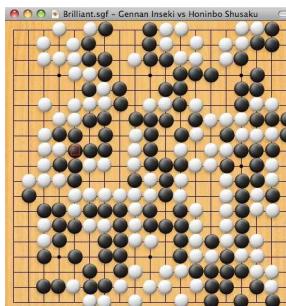


How Game AI works

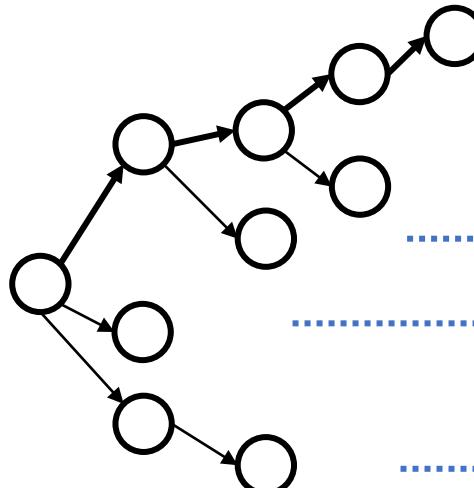
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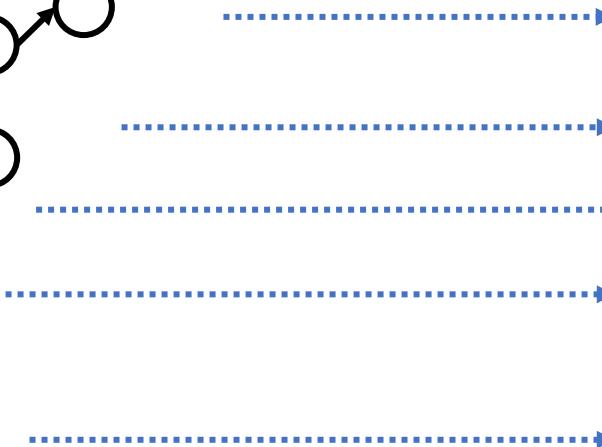
Lufei Ruan vs. Yifan Hou (2010)



Current game situation



Extensive search



Evaluate

Black wins
White wins
Black wins
White wins
Black wins

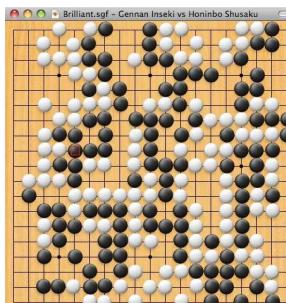
Consequence



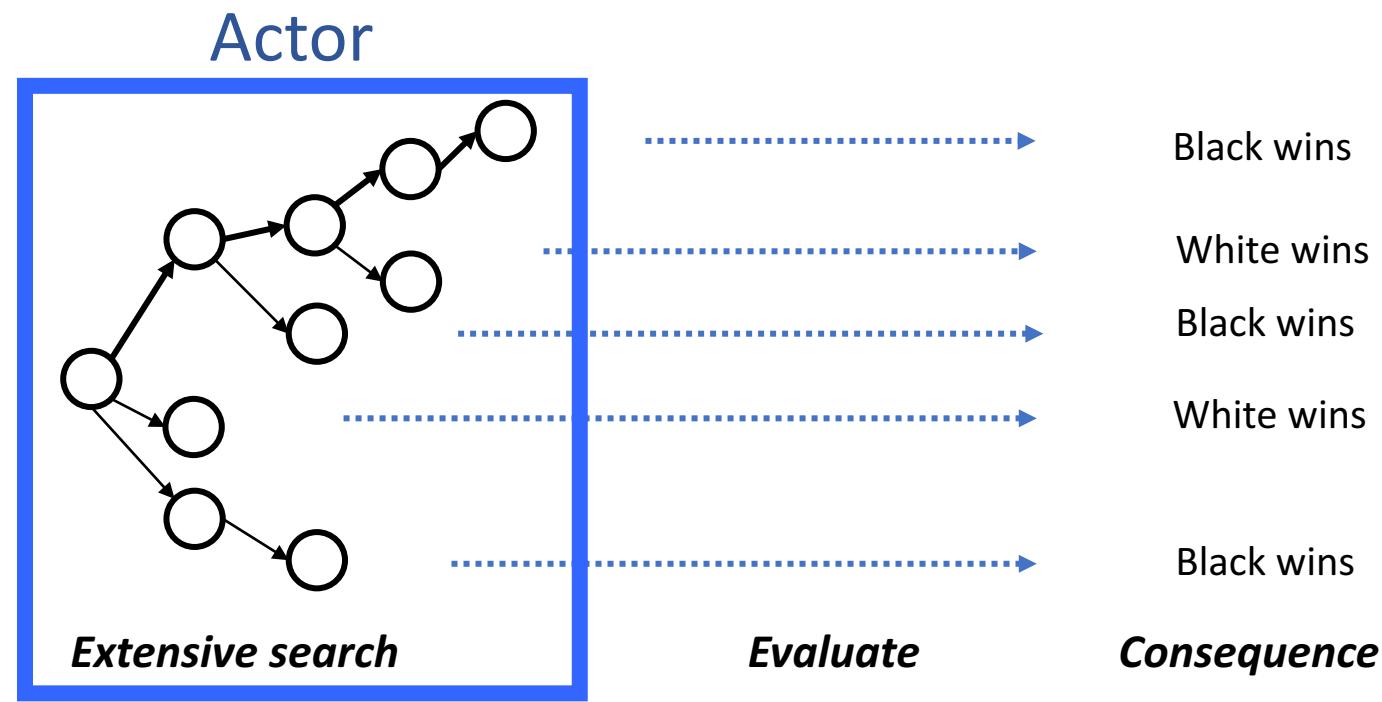
How Game AI works

How many action do you have per step?

- | | | |
|--------------------------------------|---|---------------------------------------------------------------|
| Checker: a few possible moves | → | Alpha-beta pruning + Iterative deepening [Major Chess engine] |
| Poker: a few possible moves | → | Counterfactual Regret Minimization [Libratus, DeepStack] |
| Chess: 30-40 possible moves | → | Monte-Carlo Tree Search + UCB exploration [Major Go engine] |
| Go: 100-200 possible moves | → | Monte-Carlo Tree Search + UCB exploration [Major Go engine] |
| StarCraft: 50^{100} possible moves | → | ??? |

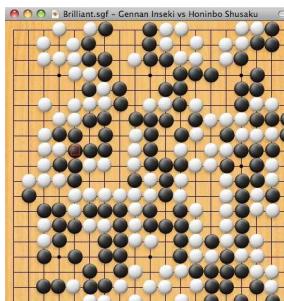
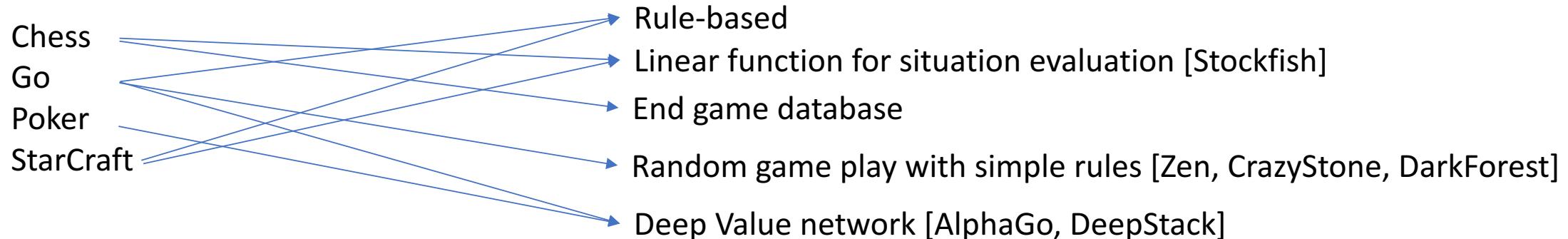


Current game situation

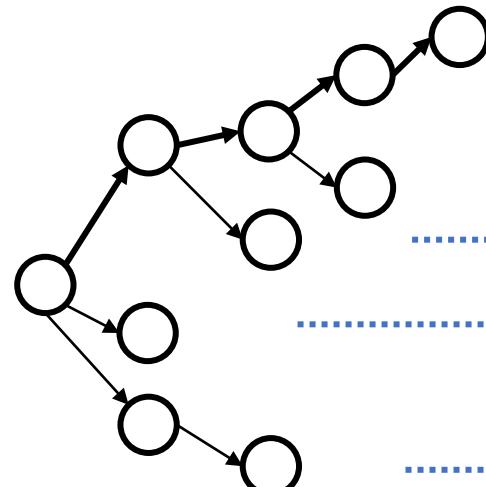


How Game AI works

How complicated is the game situation? How deep is the game?

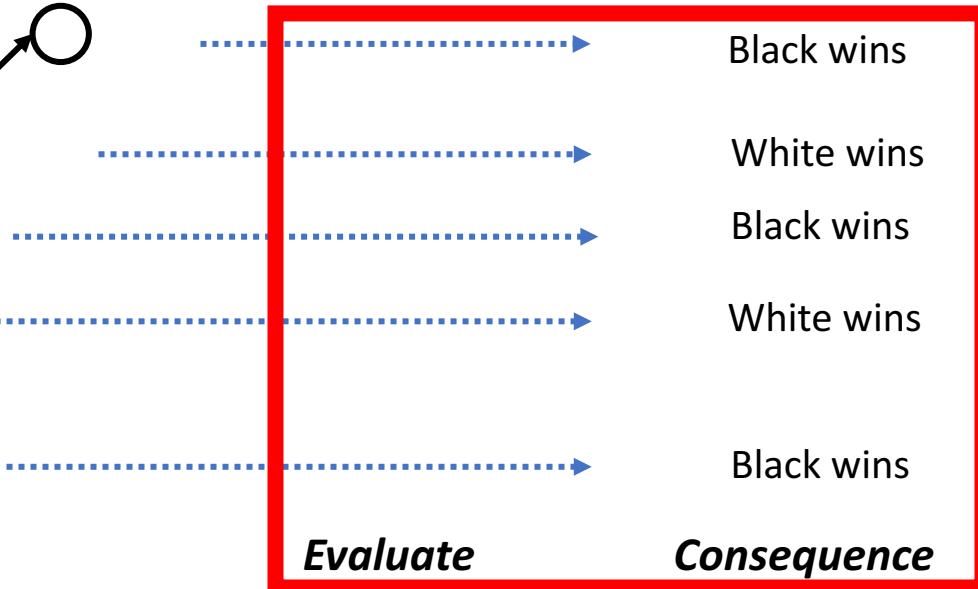


Current game situation



Extensive search

Critic



How to model Policy/Value function?

Non-smooth + high-dimensional

Sensitive to situations. One stone changes in Go leads to different game.

Traditional approach

- Many manual steps
- Conflicting parameters, not scalable.
- Need strong domain knowledge.

Deep Learning

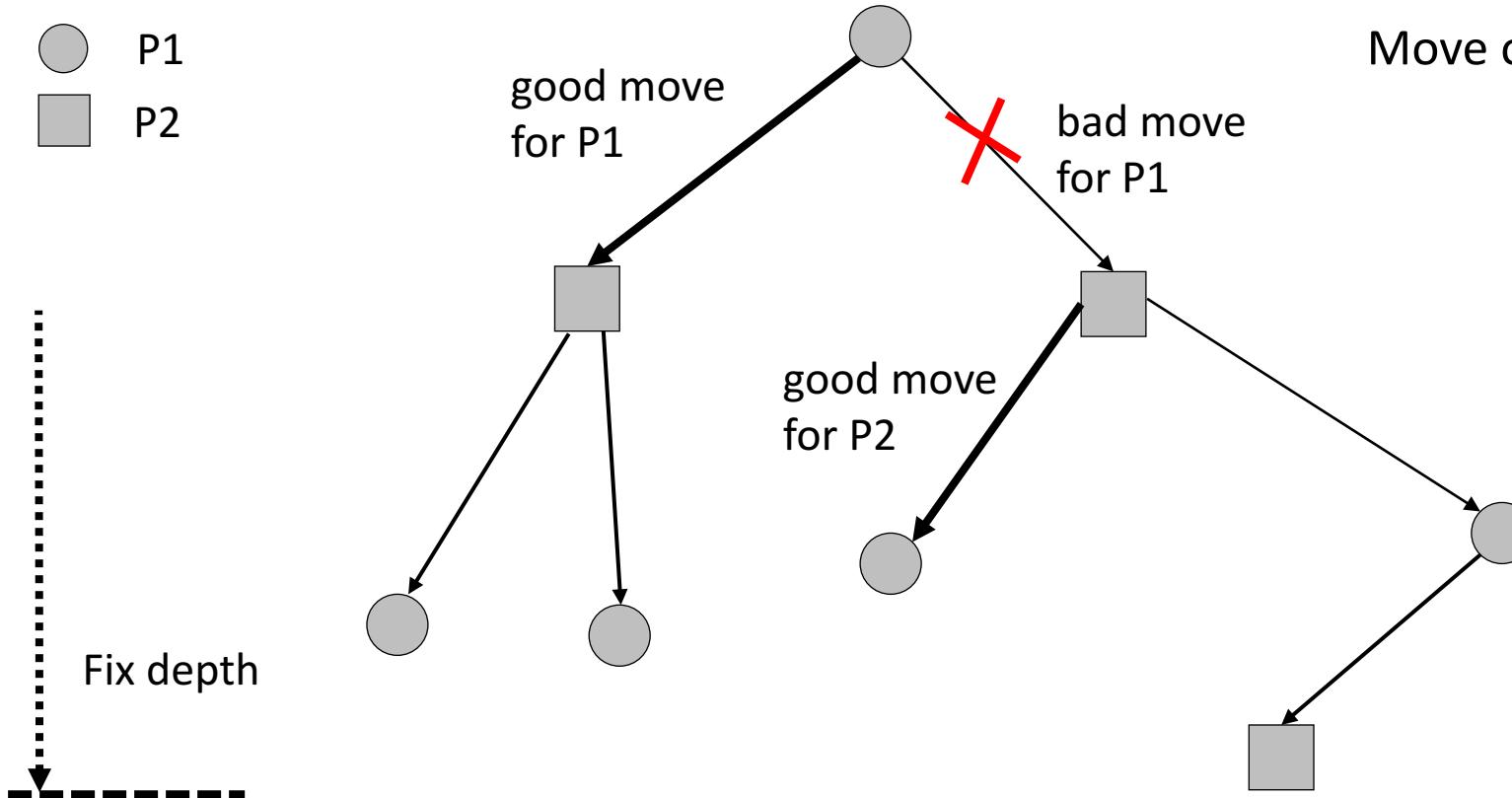
- End-to-End training
 - Lots of data, less tuning.
- Minimal domain knowledge.
- Amazing performance



Alpha-beta Pruning



● P1
■ P2

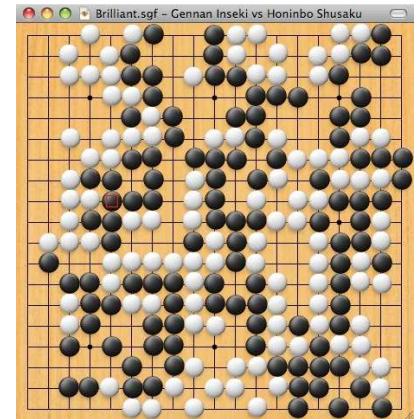


A good counter move eliminates other choices.
Move order is important!

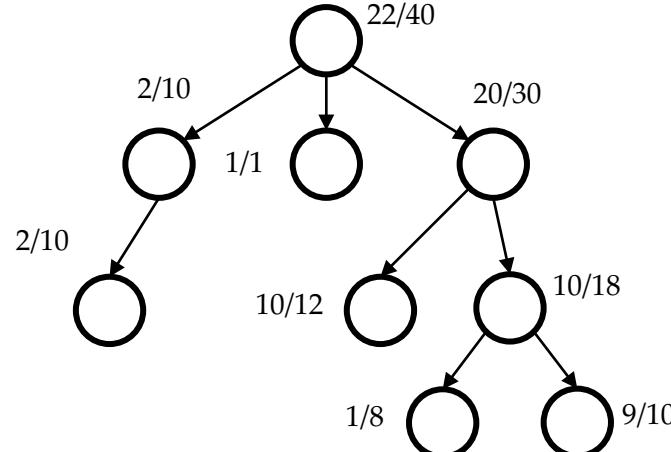


Monte Carlo Tree Search

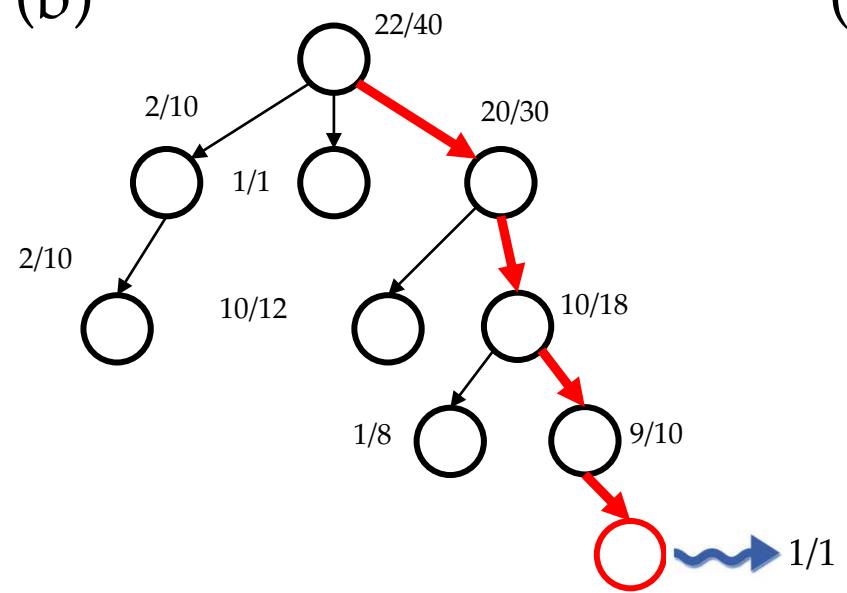
Aggregate win rates, and search towards the good nodes.



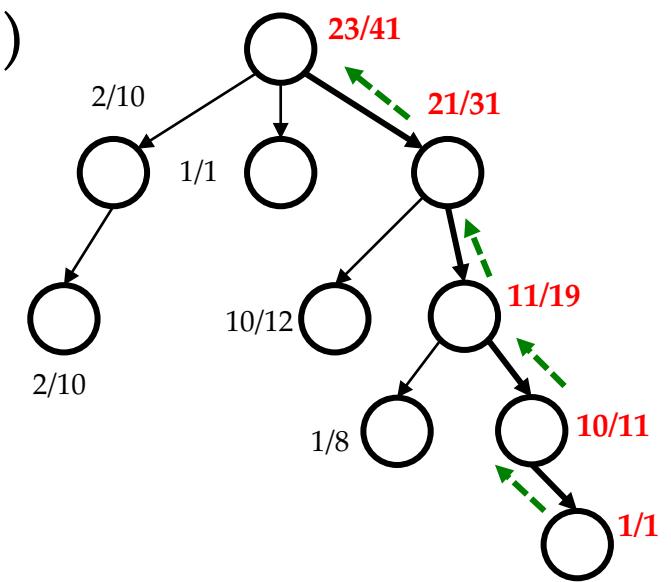
(a)



(b)



(c)



→ Tree policy
~~~~ Default policy

$$a_t = \underset{a}{\operatorname{argmax}}(Q(s_t, a) + u(s_t, a))$$

$$u(s, a) \propto \frac{P(s, a)}{1 + N(s, a)} \text{ PUCT}$$

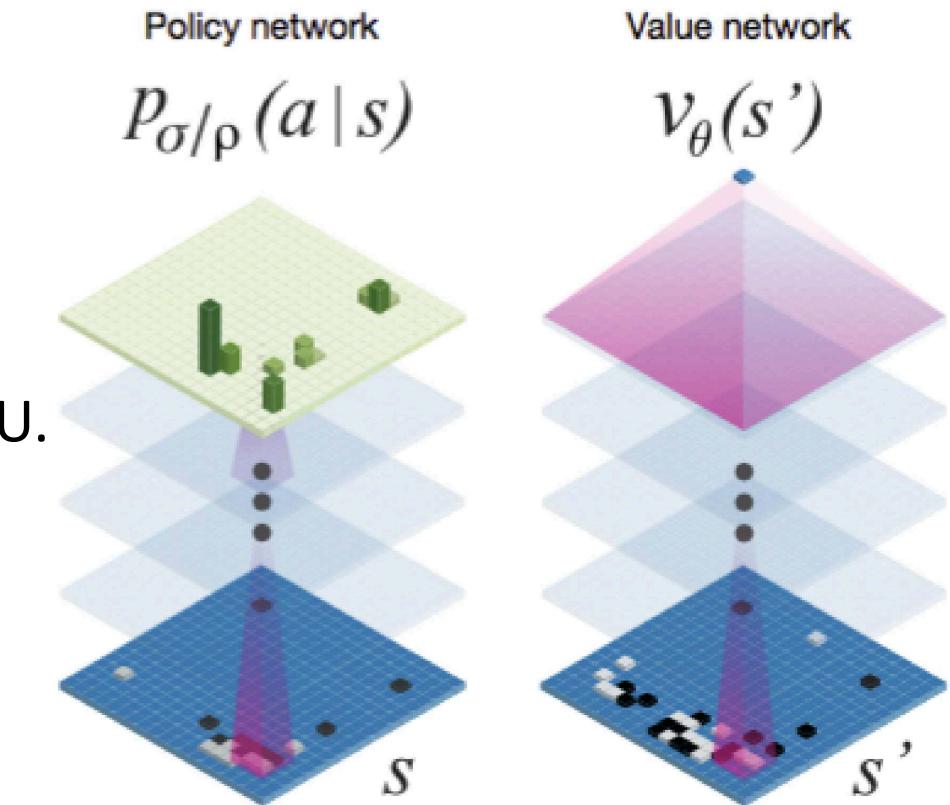


# Part IV: Case Study



# AlphaGo Fan

- Computations
  - Train with many GPUs and inference with TPU.
- Policy network
  - Trained supervised from human replays.
  - Self-play network with RL.
- High quality playout/rollout policy
  - 2 microsecond per move, ~~24.2%~~ accuracy. ~30%
  - Thousands of times faster than DCNN prediction.
- Value network
  - Predicts game consequence for current situation.
  - Trained on 30M self-play games.



# AlphaGo Fan

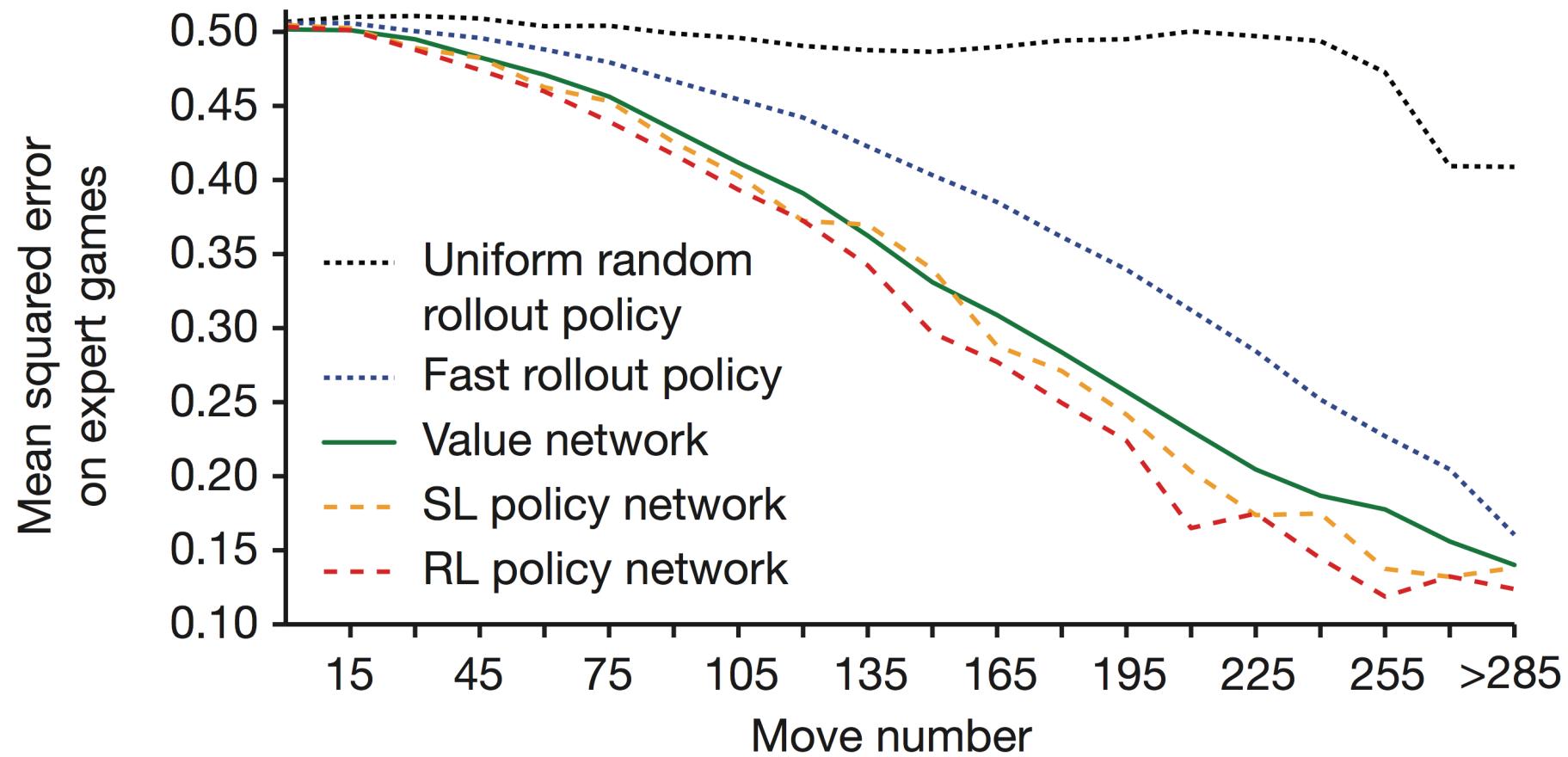
- Policy network SL (trained with human games)

| Architecture |            |          | Evaluation      |                  |            |            |                |                   |
|--------------|------------|----------|-----------------|------------------|------------|------------|----------------|-------------------|
| Filters      | Symmetries | Features | Test accuracy % | Train accuracy % | Raw wins % | net wins % | AlphaGo wins % | Forward time (ms) |
| 128          | 1          | 48       | 54.6            | 57.0             | 36         |            | 53             | 2.8               |
| 192          | 1          | 48       | 55.4            | 58.0             | 50         |            | 50             | 4.8               |
| 256          | 1          | 48       | 55.9            | 59.1             | 67         |            | 55             | 7.1               |
| 256          | 2          | 48       | 56.5            | 59.8             | 67         |            | 38             | 13.9              |
| 256          | 4          | 48       | 56.9            | 60.2             | 69         |            | 14             | 27.6              |
| 256          | 8          | 48       | 57.0            | 60.4             | 69         |            | 5              | 55.3              |
| 192          | 1          | 4        | 47.6            | 51.4             | 25         |            | 15             | 4.8               |
| 192          | 1          | 12       | 54.7            | 57.1             | 30         |            | 34             | 4.8               |
| 192          | 1          | 20       | 54.7            | 57.2             | 38         |            | 40             | 4.8               |
| 192          | 8          | 4        | 49.2            | 53.2             | 24         |            | 2              | 36.8              |
| 192          | 8          | 12       | 55.7            | 58.3             | 32         |            | 3              | 36.8              |
| 192          | 8          | 20       | 55.8            | 58.4             | 42         |            | 3              | 36.8              |



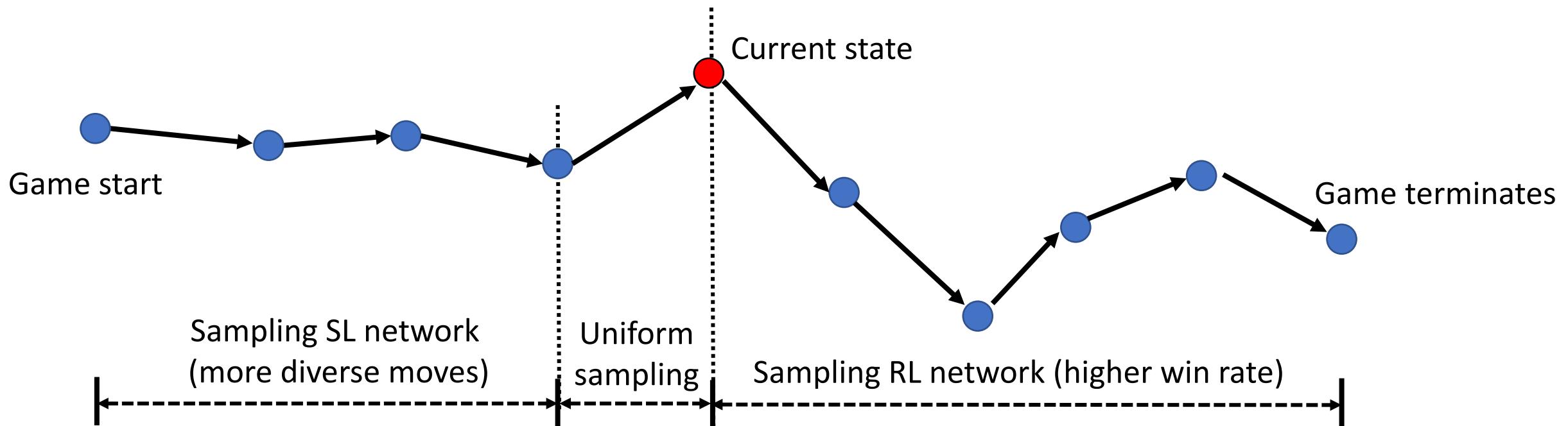
# AlphaGo Fan

- Fast Rollout (2 microsecond), ~30% accuracy



# AlphaGo Fan

- Value Network (trained via 30M self-played games)
- How data are collected?



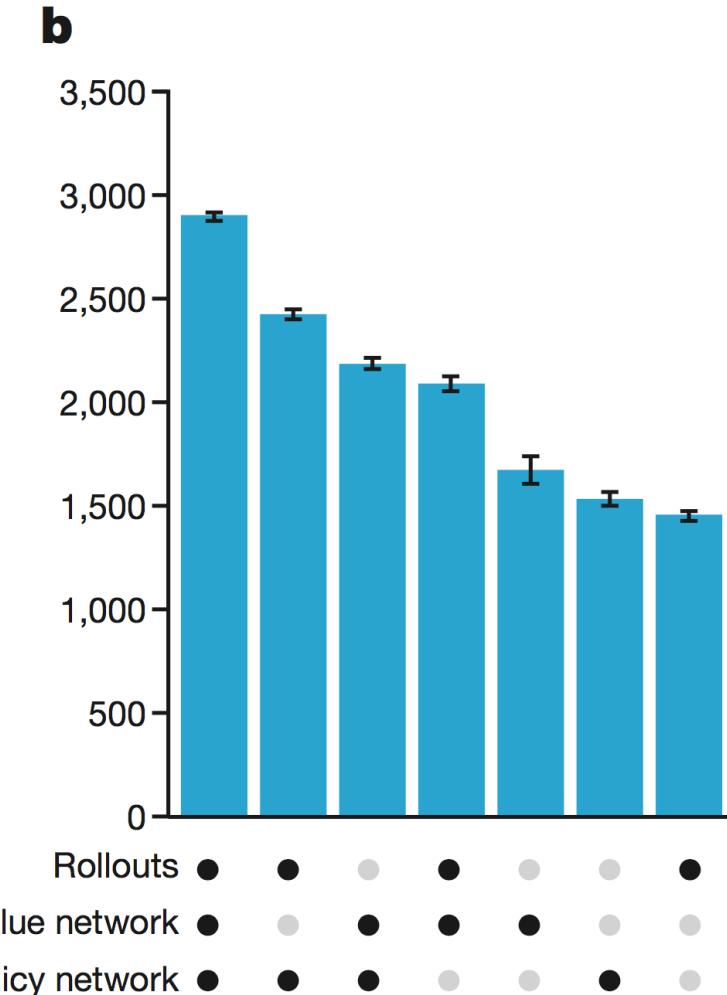
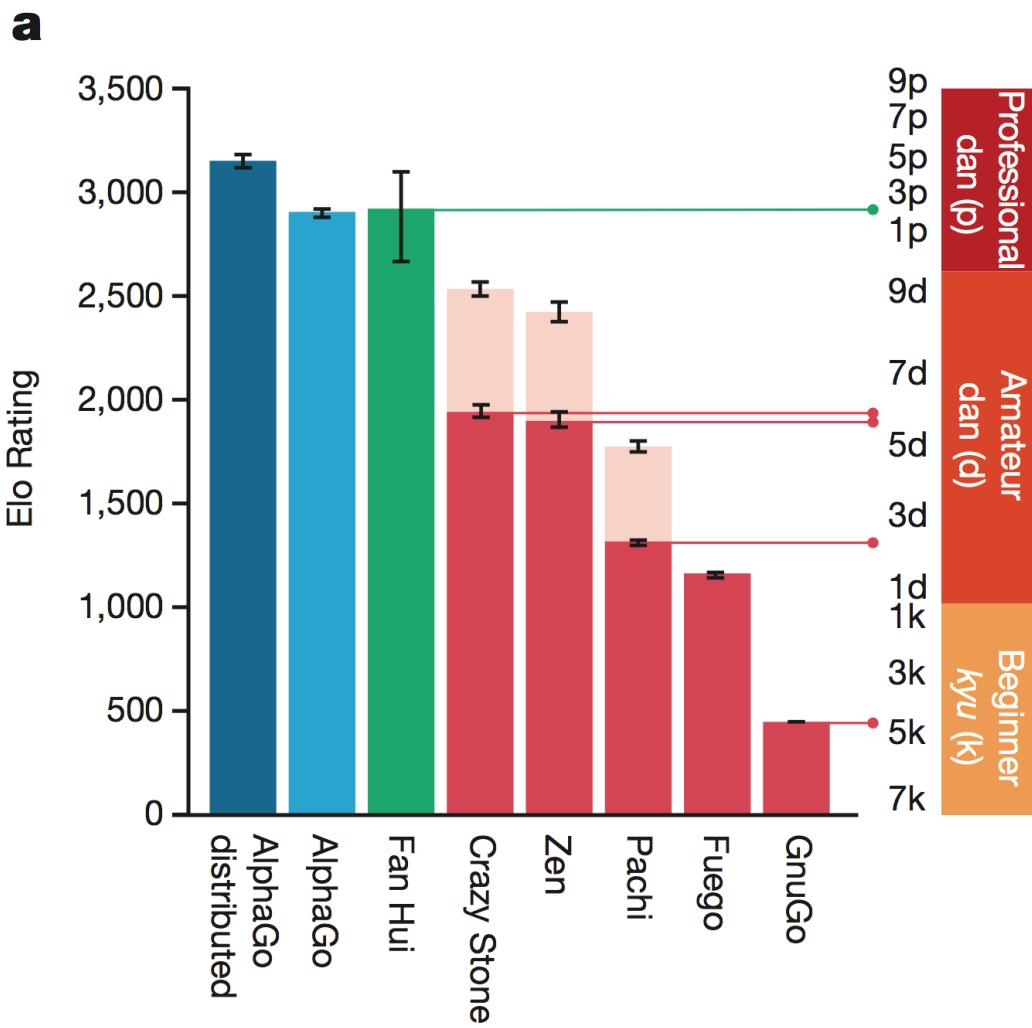
# AlphaGo Fan

- Value Network (trained via 30M self-played games)

| Short name     | Policy network | Value network | Rollouts | Mixing constant | Policy GPUs | Value GPUs | Elo rating |
|----------------|----------------|---------------|----------|-----------------|-------------|------------|------------|
| $\alpha_{rvp}$ | $p_\sigma$     | $v_\theta$    | $p_\pi$  | $\lambda = 0.5$ | 2           | 6          | 2890       |
| $\alpha_{vp}$  | $p_\sigma$     | $v_\theta$    | –        | $\lambda = 0$   | 2           | 6          | 2177       |
| $\alpha_{rp}$  | $p_\sigma$     | –             | $p_\pi$  | $\lambda = 1$   | 8           | 0          | 2416       |
| $\alpha_{rv}$  | $[p_\tau]$     | $v_\theta$    | $p_\pi$  | $\lambda = 0.5$ | 0           | 8          | 2077       |
| $\alpha_v$     | $[p_\tau]$     | $v_\theta$    | –        | $\lambda = 0$   | 0           | 8          | 1655       |
| $\alpha_r$     | $[p_\tau]$     | –             | $p_\pi$  | $\lambda = 1$   | 0           | 0          | 1457       |
| $\alpha_p$     | $p_\sigma$     | –             | –        | –               | 0           | 0          | 1517       |



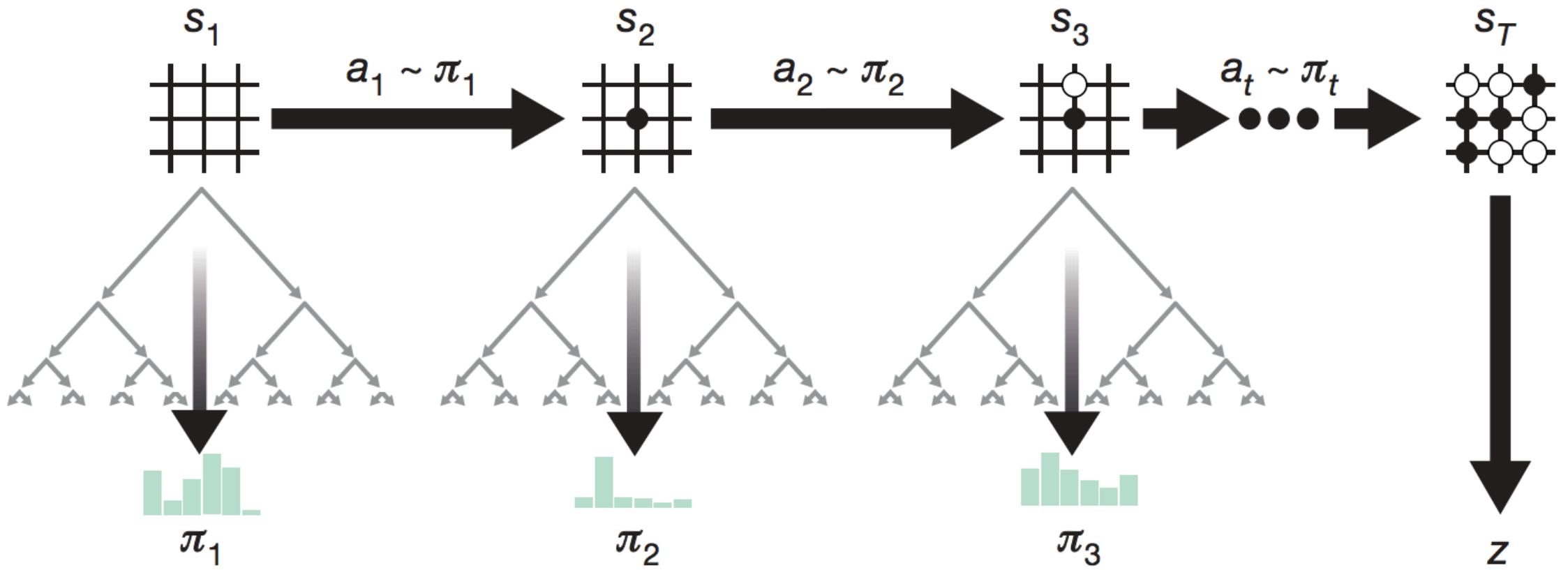
# AlphaGo Fan



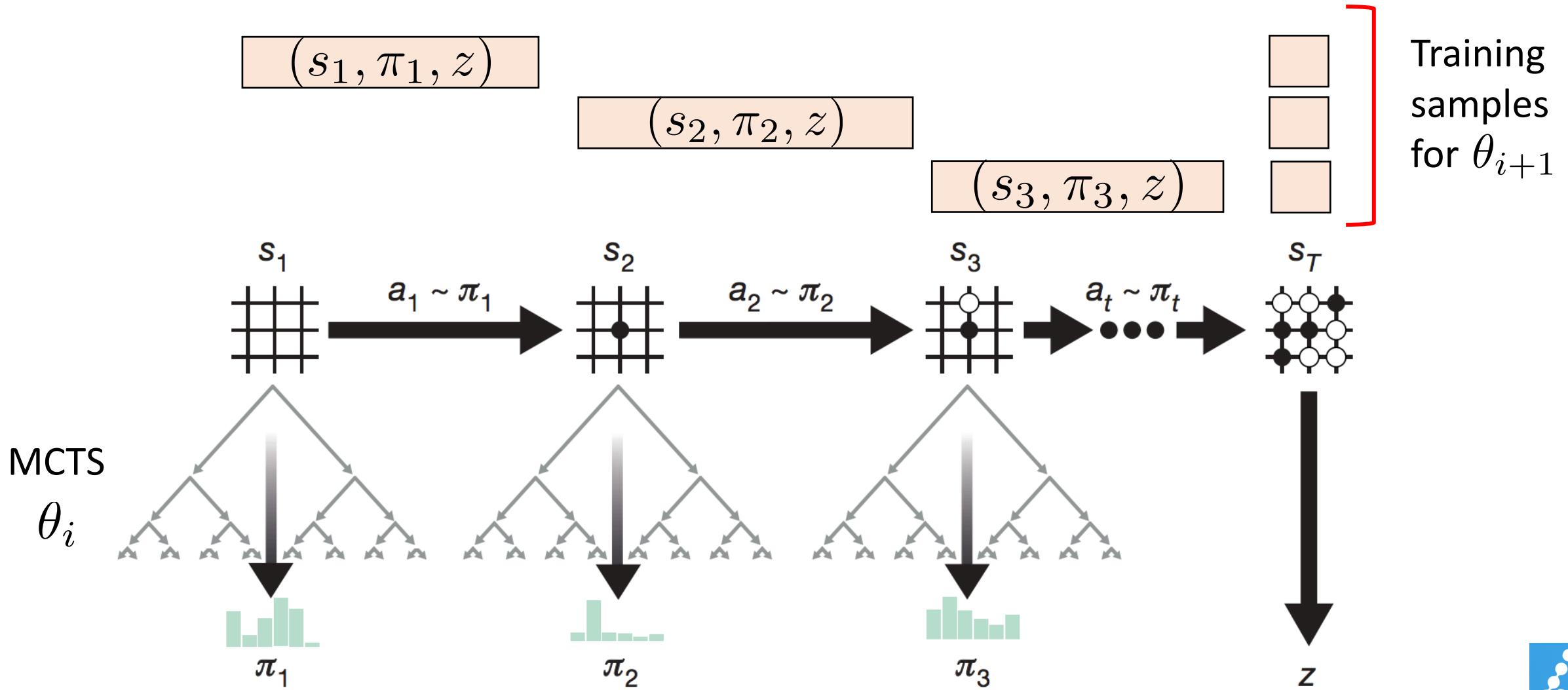
*“Mastering the game of Go with deep neural networks and tree search”, Silver et al, Nature 2016*



# AlphaGo Zero



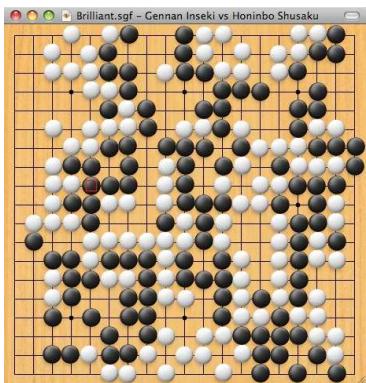
# AlphaGo Zero



# AlphaGo Zero

$$J(\theta) = (z - V_\theta)^2 - \pi^T \log p_\theta + c\|\theta\|^2$$

$(s, \pi, z)$



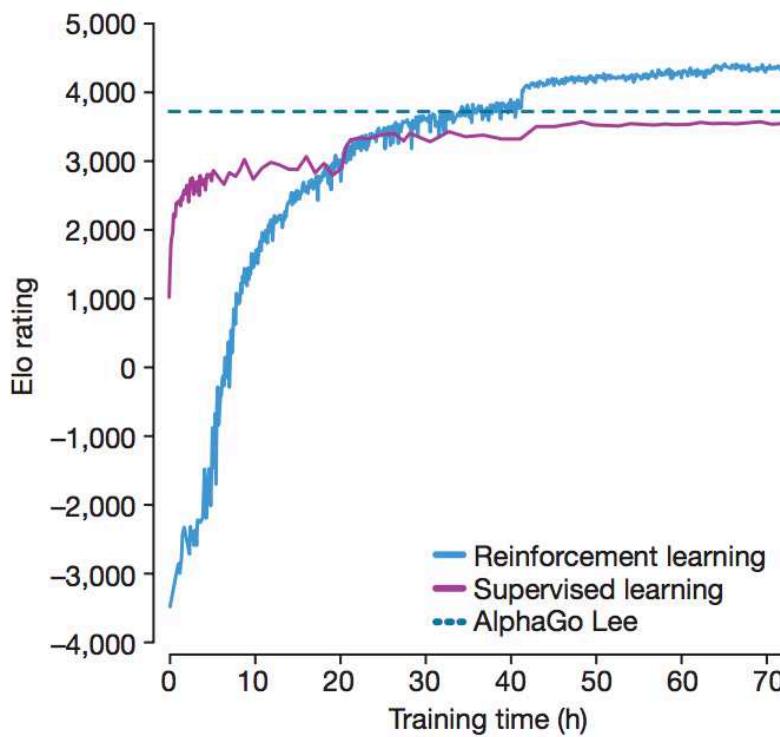
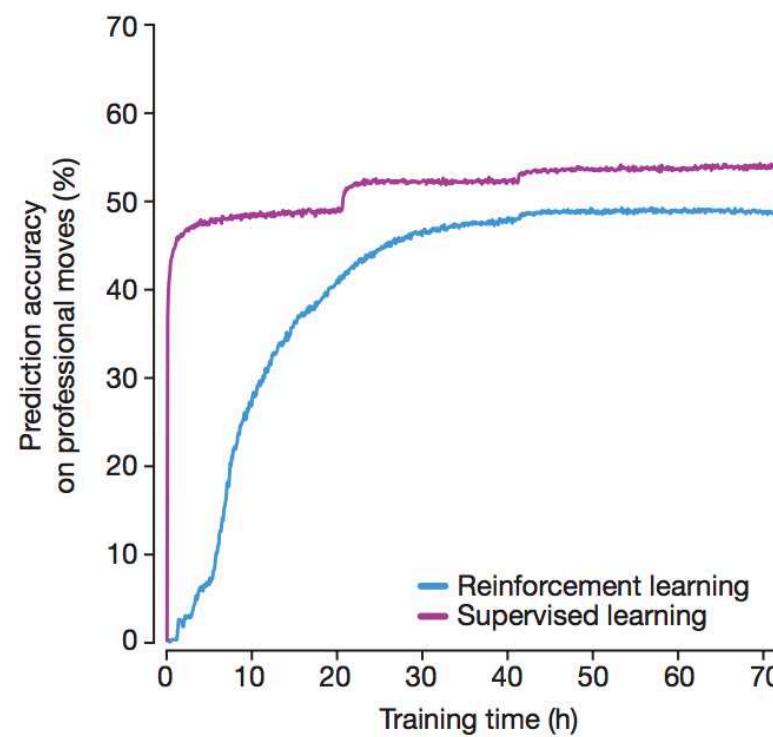
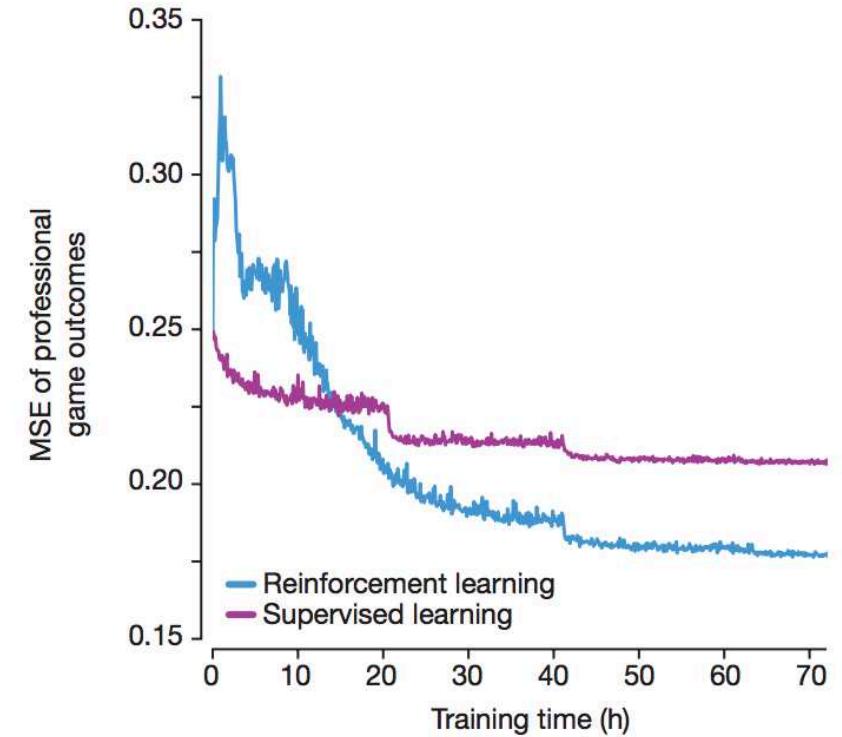
ResNet

$p_\theta(s)$   
 $V_\theta(s)$

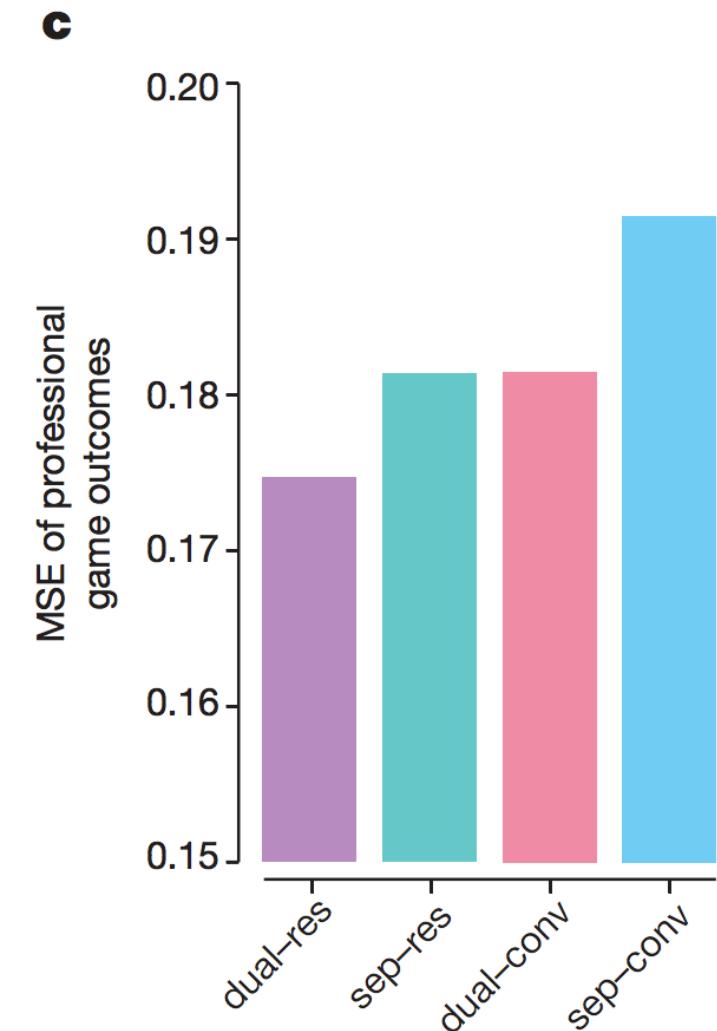
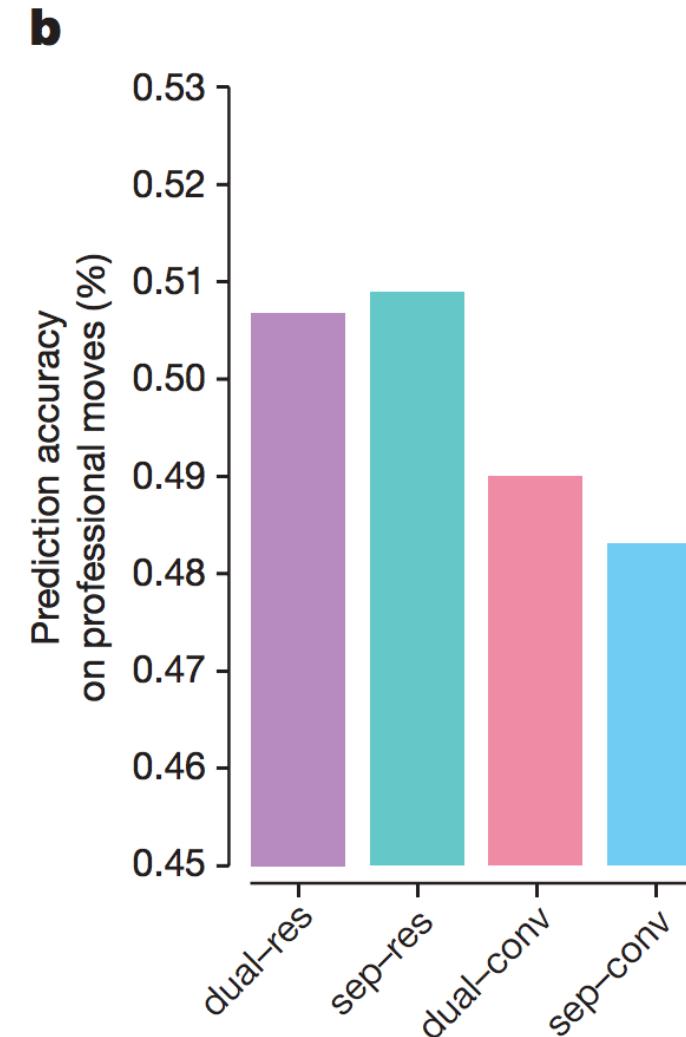
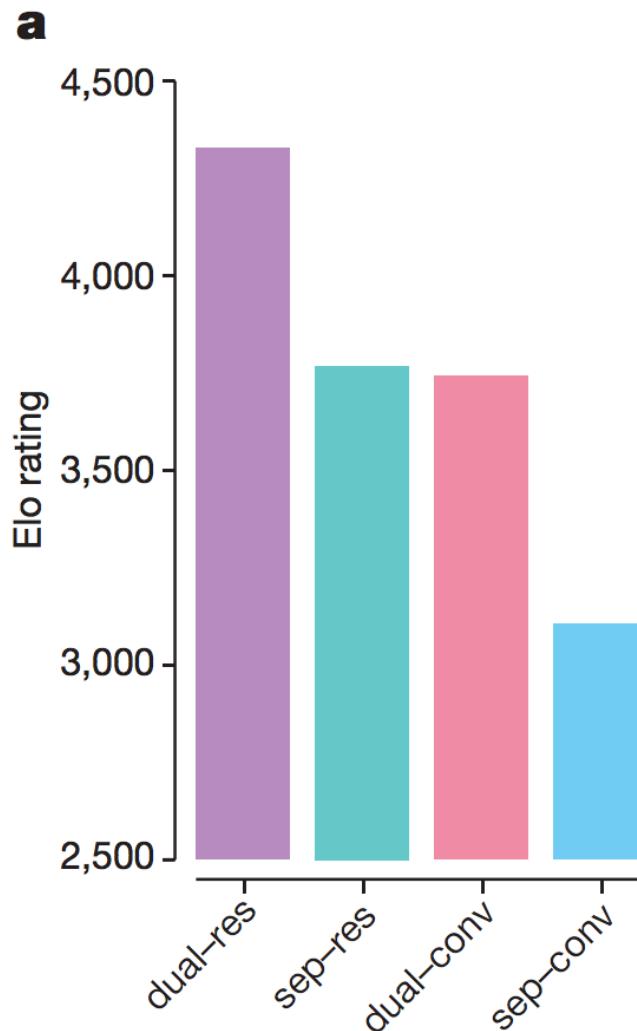
$s$



# AlphaGo Zero

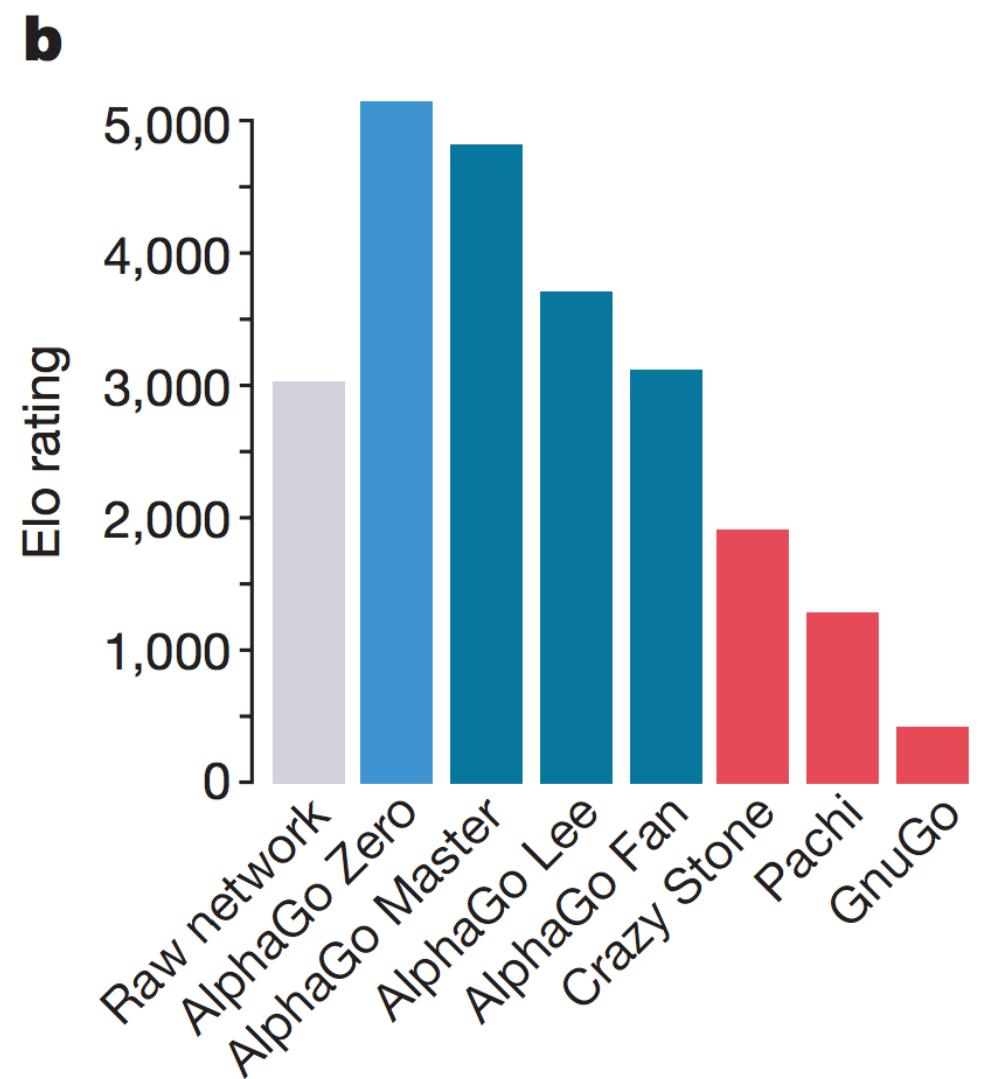
**a****b****c**

# Using ResNet and shared network



# AlphaGo Zero Strength

- 3 days version
  - 4.9M Games, 1600 rollouts/move
  - 20 block ResNet
  - Defeat AlphaGo Lee by 100:0.
- 40 days version
  - 29M Games, 1600 rollouts/move
  - 40 blocks ResNet.
  - Defeat AlphaGo Master by 89:11



# Computation Time

## Game Playing: 4 TPUs

### Supervised network training

64 GPU (32 batchsize/GPU)

0.7 million mini-batch of size 2048 (370ms per batch)

### Training data generation

4.9 M Games \* 1600 rollouts/move (0.4s) \* (~250 move/game)  
= 15.5 years

15.5 years / 3 days = 1890 machines

*Using TPU, single rollout 0.25ms*

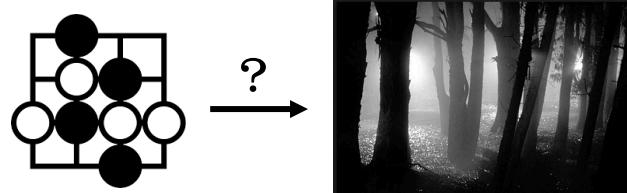
4.7k game situations/sec  
18.9 games / sec



# Game as a Vehicle of AI



Algorithm is slow and data-inefficient

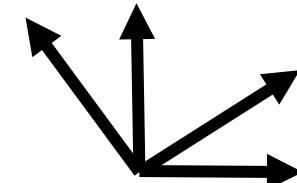


Abstract game to real-world

Better Algorithm/System



Require a lot of resources.



Hard to benchmark the progress

Better Environment

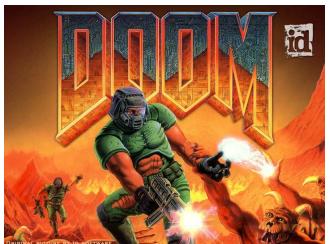


# Our work

## Better Algorithm/System



DarkForest Go Engine  
(Yuandong Tian, Yan Zhu, ICLR16)



Doom AI  
(Yuxin Wu, Yuandong Tian, ICLR17)

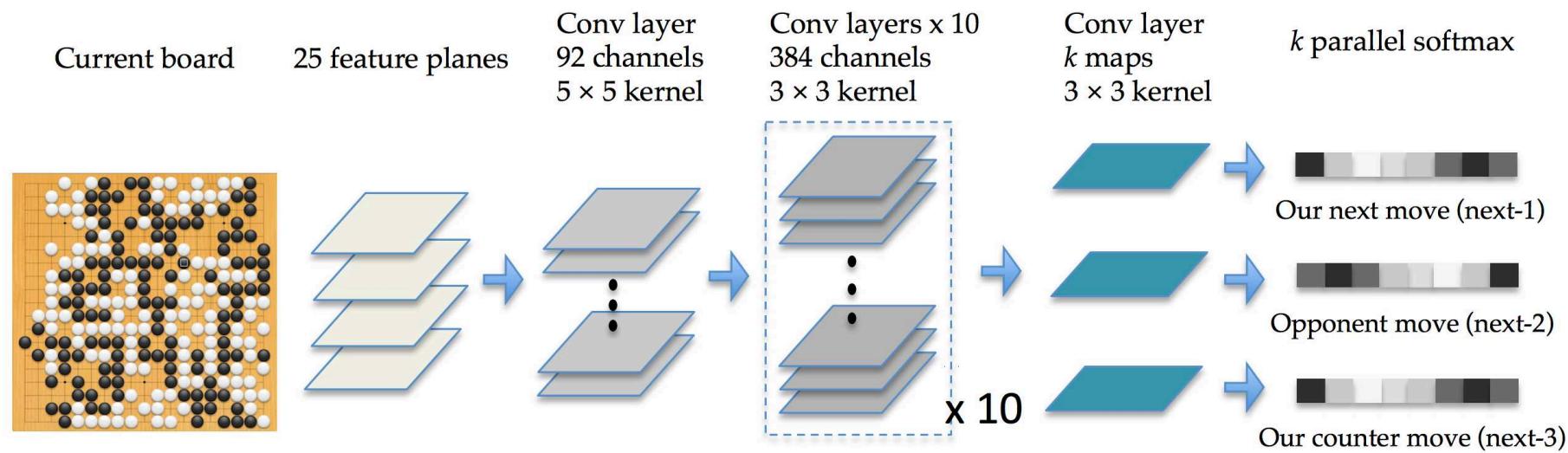
## Better Environment

ELF: Extensive Lightweight and Flexible Framework  
(Yuandong Tian et al, arXiv)



# Our computer Go player : DarkForest

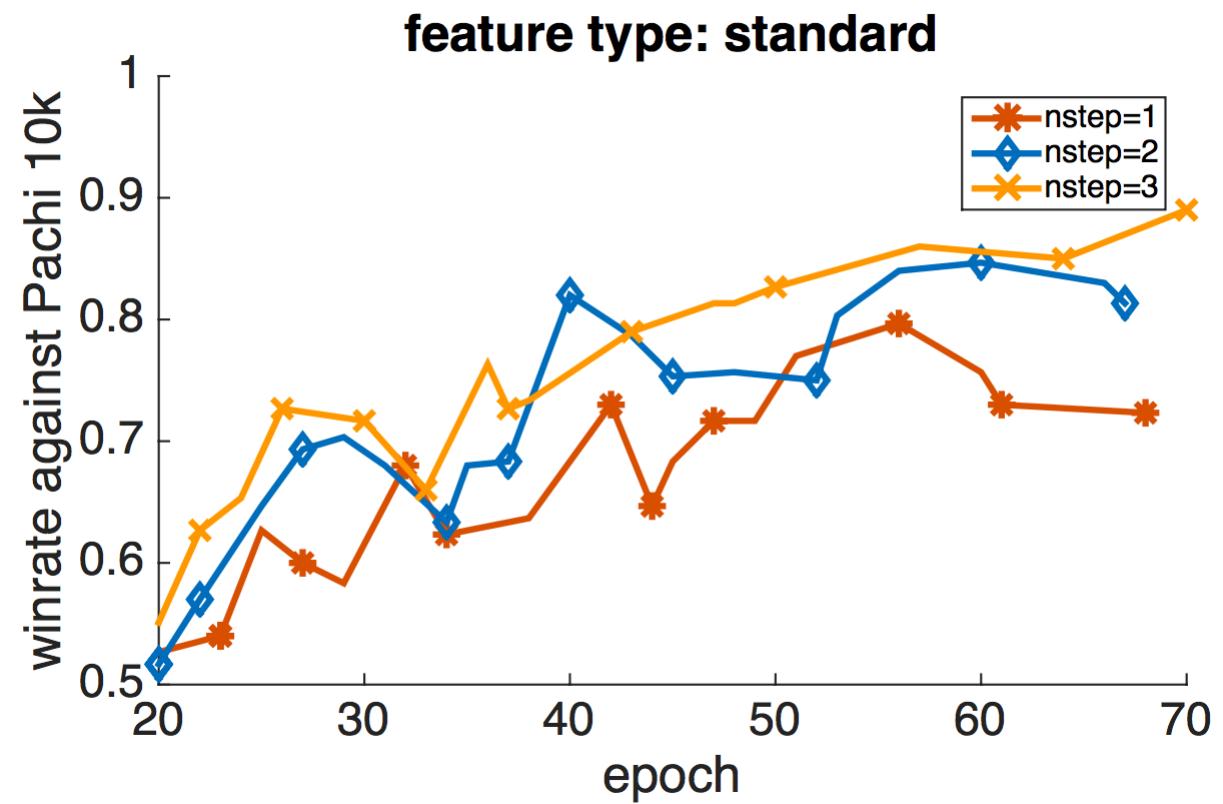
- DCNN as a tree policy
  - Predict next k moves (rather than next move)
  - Trained on 170k KGS dataset/80k GoGoD, **57.1%** accuracy.
  - KGS 3D without search (0.1s per move)
  - Release 3 month before AlphaGo, < 1% GPUs (from Aja Huang)



# Our computer Go player : DarkForest

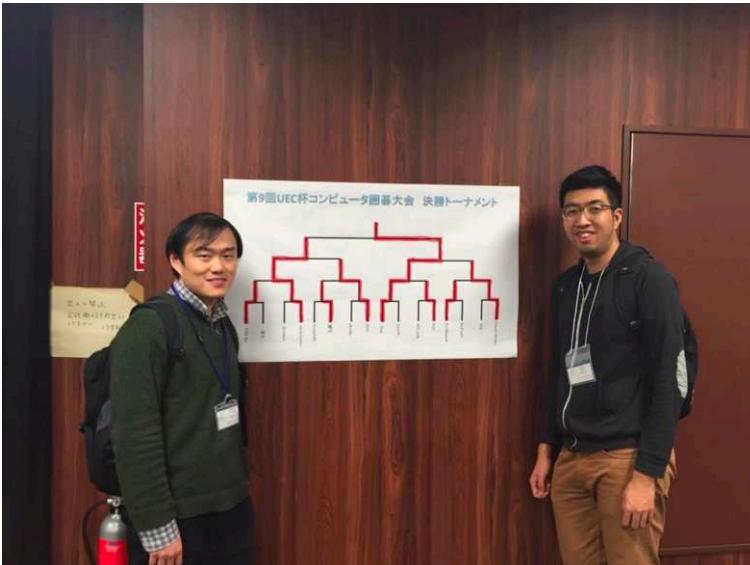
| Name                         |
|------------------------------|
| Our/enemy liberties          |
| Ko location                  |
| Our/enemy stones/empty place |
| Our/enemy stone history      |
| Opponent rank                |

Feature used for DCNN



# Our computer Go player : DarkForest

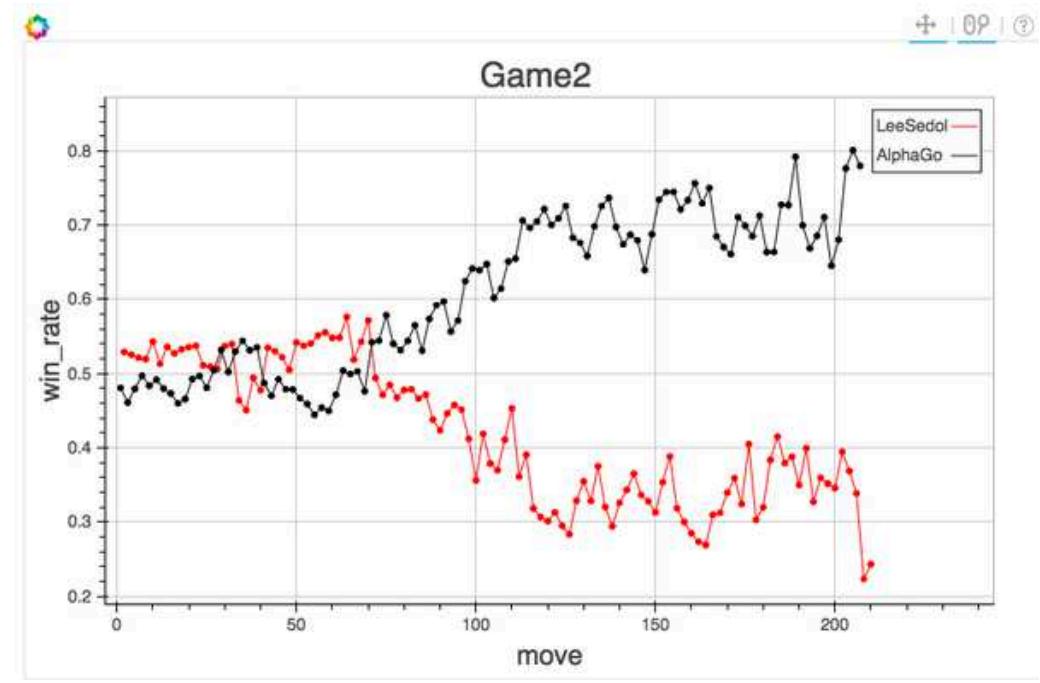
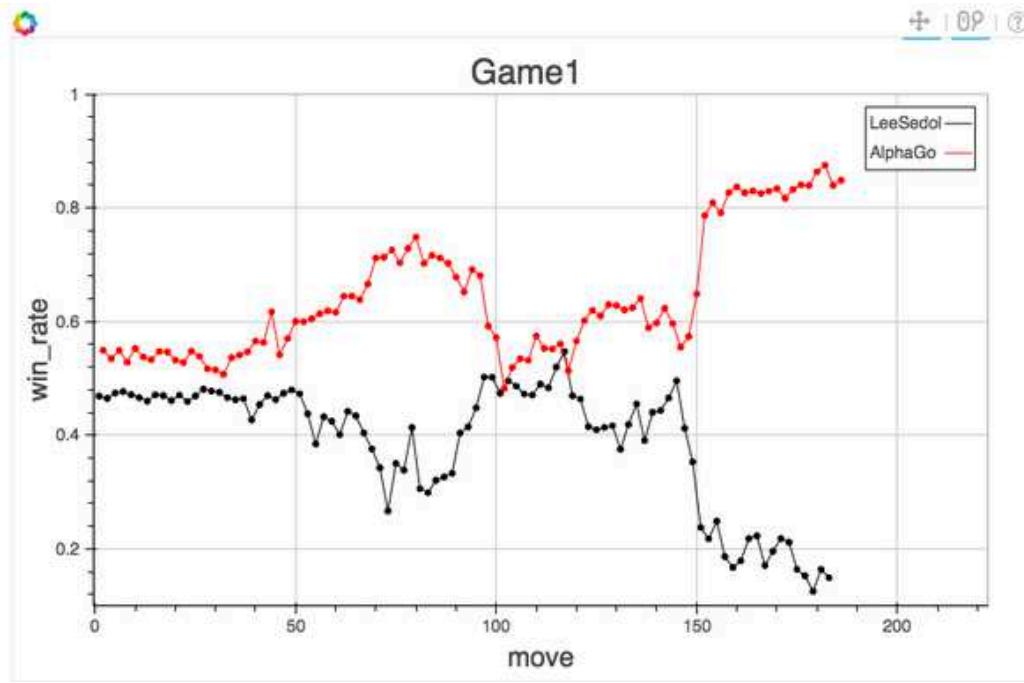
- DCNN+MCTS
  - Use top3/5 moves from DCNN, 75k rollouts.
  - Stable KGS 5d. Open source. <https://github.com/facebookresearch/darkforestGo>
  - 3<sup>rd</sup> place on KGS January Tournaments
  - 2<sup>nd</sup> place in 9<sup>th</sup> UEC Computer Go Competition (Not this time ☺)



DarkForest versus Koichi Kobayashi (9p)



# Win Rate analysis (using DarkForest) (AlphaGo versus Lee Sedol)



# Win Rate analysis (using DarkForest)



# First Person Shooter (FPS) Game

Yuxin Wu, Yuandong Tian, ICLR 2017

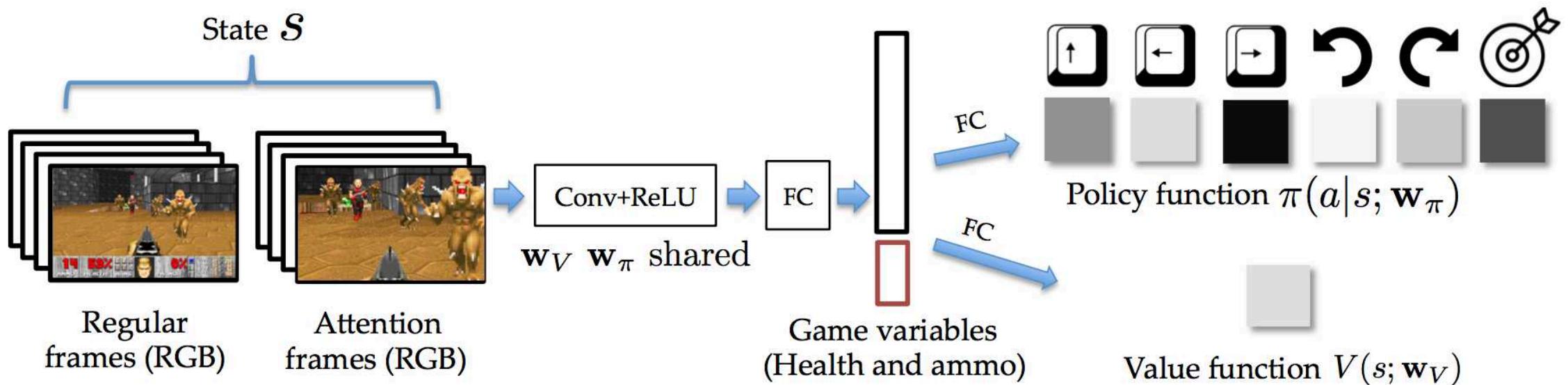


Yuxin Wu

Play the game from the raw image!



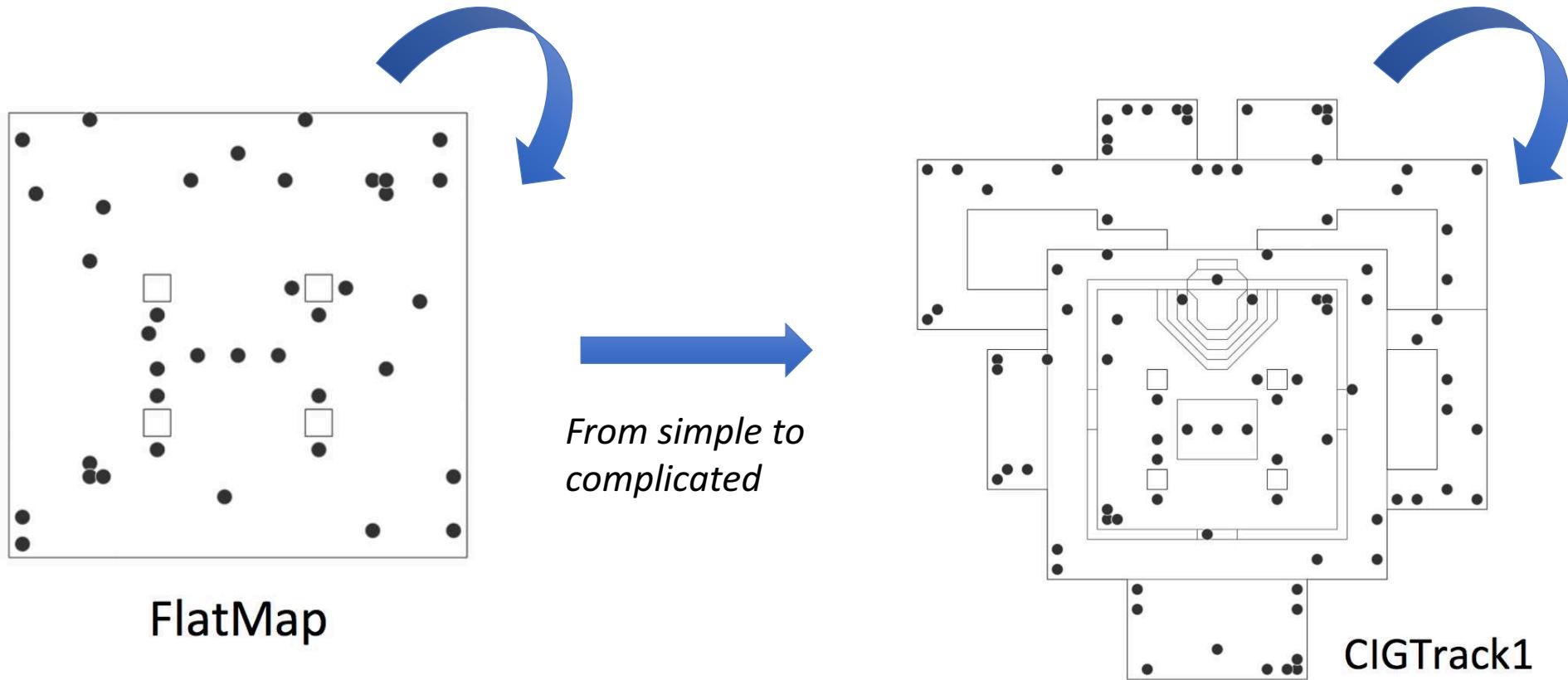
# Network Structure



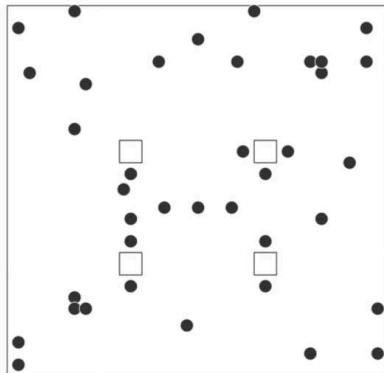
Simple Frame Stacking is very useful (rather than Using LSTM)



# Curriculum Training

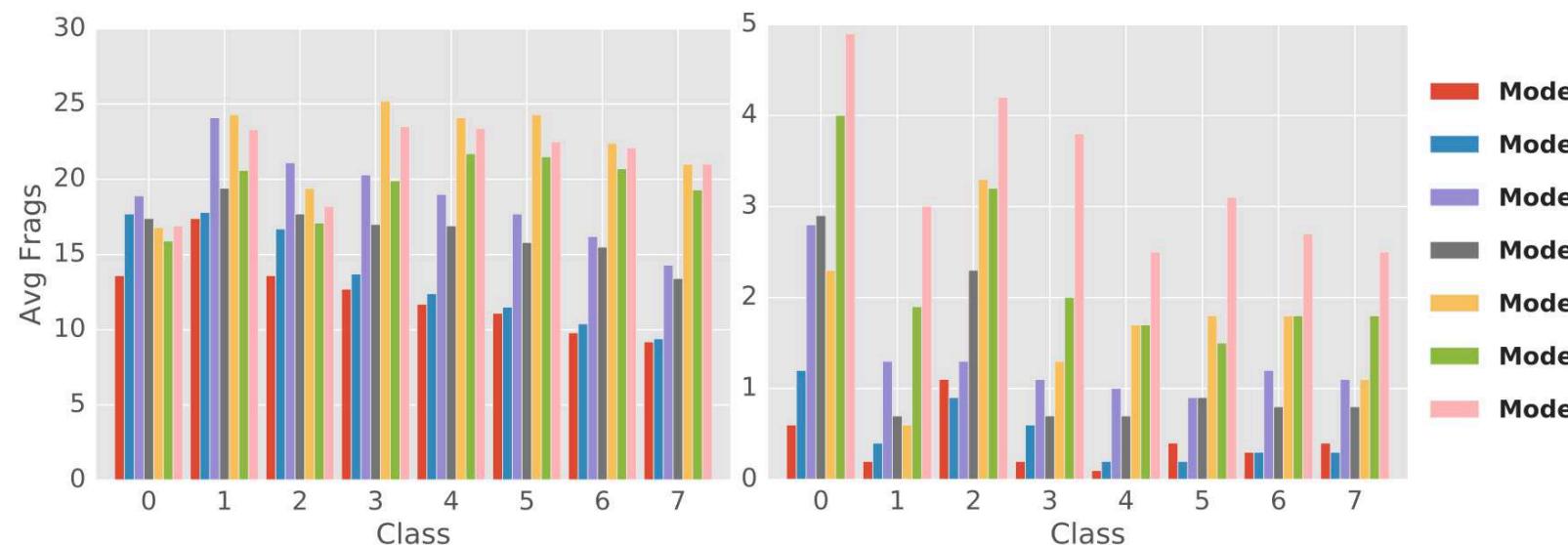


# Curriculum Training



FlatMap

|        | Class 0 | Class 1 | Class 2 | Class 3 | Class 4 | Class 5 | Class 6 | Class 7 |
|--------|---------|---------|---------|---------|---------|---------|---------|---------|
| Speed  | 0.2     | 0.2     | 0.4     | 0.4     | 0.6     | 0.8     | 0.8     | 1.0     |
| Health | 40      | 40      | 40      | 60      | 60      | 60      | 80      | 100     |



# VizDoom AI Competition 2016 (Track1)

We won the first place!

| Rank | Bot    | 1  | 2   | 3   | 4  | 5  | 6  | 7  | 8  | 9   | 10 | 11 | Total frags |
|------|--------|----|-----|-----|----|----|----|----|----|-----|----|----|-------------|
| 1    | F1     | 56 | 62  | n/a | 54 | 47 | 43 | 47 | 55 | 50  | 48 | 50 | 559         |
| 2    | Arnold | 36 | 34  | 42  | 36 | 36 | 45 | 36 | 39 | n/a | 33 | 36 | 413         |
| 3    | CLYDE  | 37 | n/a | 38  | 32 | 37 | 30 | 46 | 42 | 33  | 24 | 44 | 393         |

Videos:

<https://www.youtube.com/watch?v=94EPSjQH38Y>

<https://www.youtube.com/watch?v=Qv4esGWOg7w&t=394s>





14

93%

1

AMMO

HEALTH

FRAGS



01

ARMOR

0 / 200  
0 / 50  
14 / 50  
0 / 300

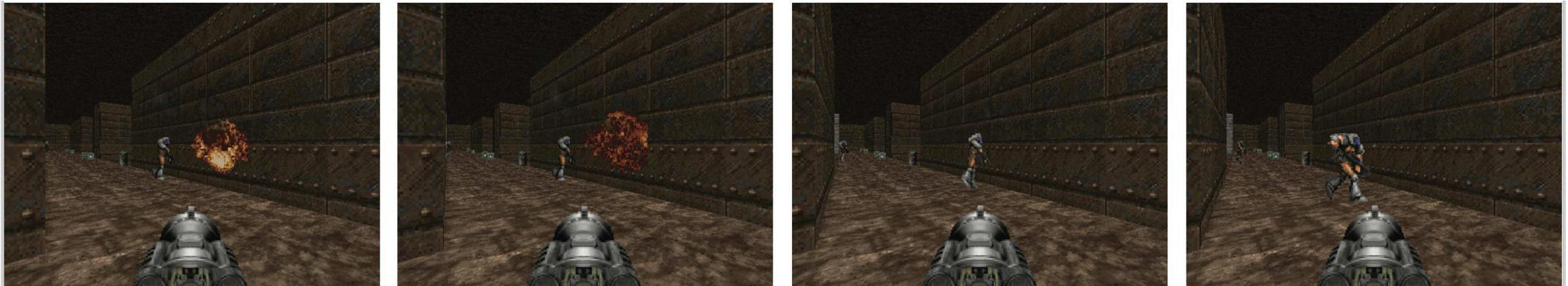


# Visualization of Value functions

Best 4 frames (agent is about to shoot the enemy)



Worst 4 frames (agent missed the shoot and is out of ammo)



# ELF: Extensive, Lightweight and Flexible Framework for Game Research

Yuandong Tian, Qucheng Gong, Wendy Shang, Yuxin Wu, Larry Zitnick (NIPS 2017 Oral)

<https://github.com/facebookresearch/ELF>



- Extensive
  - Any games with C++ interfaces can be incorporated.
- Lightweight
  - Fast. Mini-RTS (40K FPS per core)
  - Minimal resource usage (1GPU+several CPUs)
  - Fast training (a couple of hours for a RTS game)
- Flexible
  - Environment-Actor topology
  - Parametrized game environments.
  - Choice of different RL methods.



Qucheng Gong



Wendy Shang



Yuxin Wu

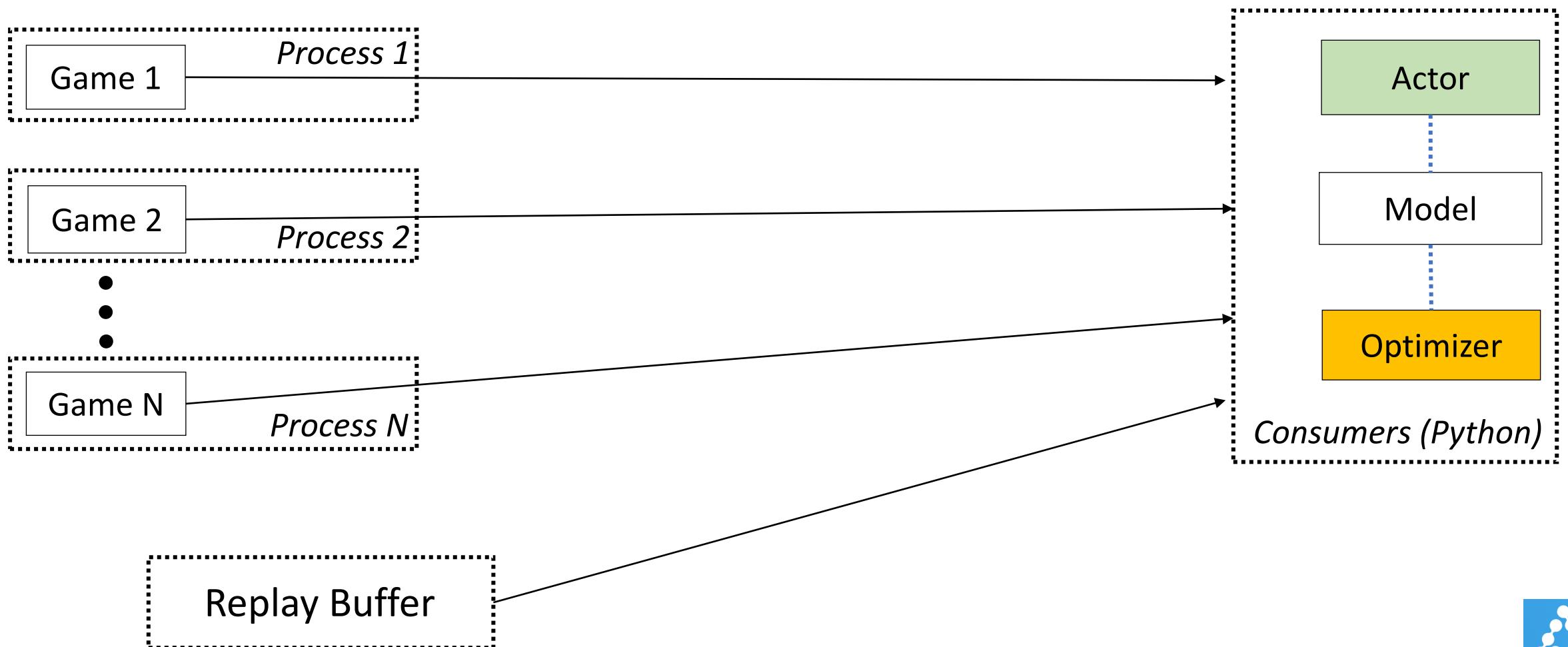


Larry Zitnick

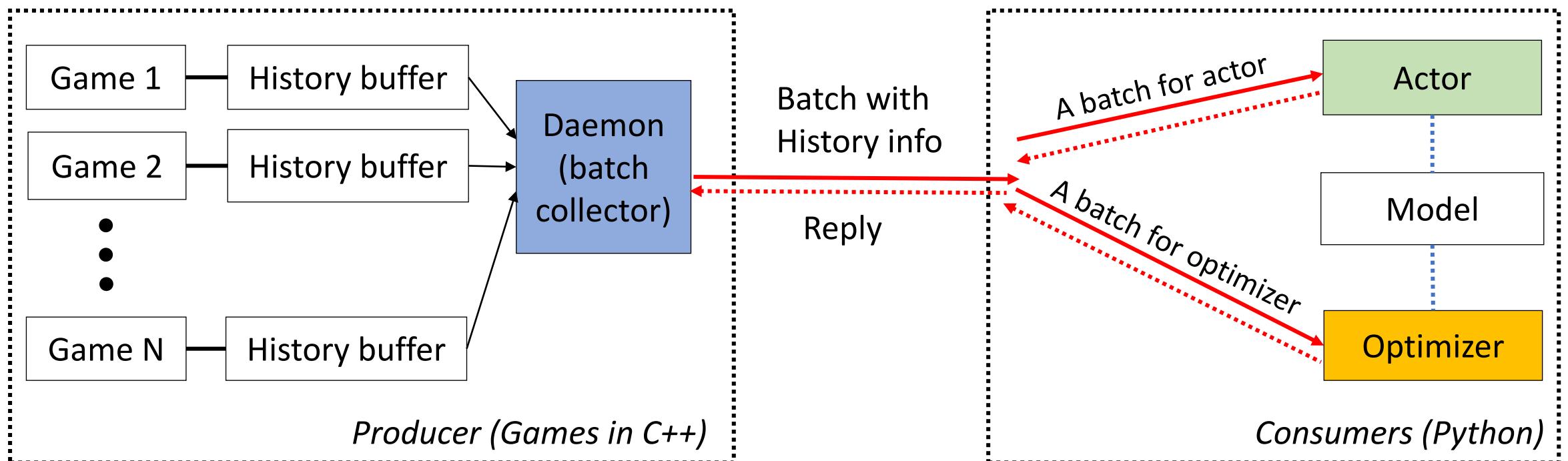
Arxiv: <https://arxiv.org/abs/1707.01067>



# How RL system works



# ELF design



Plug-and-play; no worry about the concurrency anymore.





# Possible Usage

- Game Research
  - Board game (Chess, Go, etc)
  - Real-time Strategy Game
- Complicated RL algorithms.
- Discrete/Continuous control
  - Robotics
- Dialog and Q&A System



# Initialization

```
# Sample Usage
# We run 1024 games concurrently.
num_games = 1024

# Every time we wait for an arbitrary set of 256 games and return.
batchsize = 256

# The return states contain key 's', 'r' and 'terminal'
# and the reply contains key 'a', 'V' and 'pi', which is to be filled from the Python side.
# Their definitions are defined in the C++ wrapper of the game.
desc = dict(
    actor = dict(
        batchsize=args.batchsize,
        input=dict(T=1, keys=set(["s", "last_r", "last_terminal"])),
        reply=dict(T=1, keys=set(["pi", "V", "a"]))
    )
)

GameContext = InitializeGame(num_games, batchsize, desc)
```



# Main Loop

```
# Start all game threads
GameContext.Start()

while True:
    # Wait until a batch of game states are returned.
    # Note that these game instances will be blocked.
    batch = GameContext.Wait()
    if batch.desc == "actor":
        # Apply a model to the game state. you can do forward/backward propagation here.
        output = model(batch)

        # Sample from the output to get the actions of this batch.
        reply['pi'][:] = output['pi']
        reply['a'][:] = SampleFromDistribution(output)
        reply['V'][:] = output["V"]

    # Resume games.
    GameContext.Steps()

# Stop all game threads.
GameContext.Stop()
```



# Training

```
desc = dict(
    actor = dict(
        batchsize=args.batchsize,
        input=dict(T=1, keys=set(["s", "last_r", "last_terminal"])),
        reply=dict(T=1, keys=set(["pi", "v", "a"]))
    ),
    train = dict(
        batchsize=args.batchsize,
        input=dict(T=6, keys=set(["s", "last_r", "last_terminal", "a", "pi"])),
        reply=None
    )
)
while True:
    ...
    if batch["desc"] == "actor":
        # Act given the current states to move the game environment forward.
        # It could be an act for a game, for its internal MCTS search, etc.
    elif batch["desc"] == "train":
        # Train your model. All the previous actions of the games and
        # their probabilities can be made available.
    ...

```



# Self-Play

```
desc = dict(
    actor0 = dict(
        batchsize=args.batchsize,
        input=dict(T=1, keys=set(["s", "last_r", "last_terminal"])),
        reply=dict(T=1, keys=set(["pi", "v", "a"])),
        filter=dict(id=0)
    ),
    actor1 = dict(
        batchsize=args.batchsize,
        input=dict(T=1, keys=set(["s", "last_r", "last_terminal"])),
        reply=dict(T=1, keys=set(["pi", "v", "a"])),
        filter=dict(id=1)
    ),
    train = dict(
        batchsize=args.batchsize,
        input=dict(T=6, keys=set(["s", "last_r", "last_terminal", "a", "pi"])),
        reply=None,
        filter=dict(id=0)
    )
)
while True:
    ...
    if batch["desc"] == "actor0":
        # Act for player 0
    elif batch["desc"] == "actor1":
        # Act for player 1
    elif batch["desc"] == "train":
        # Train your model only for player 0.
    ...

```

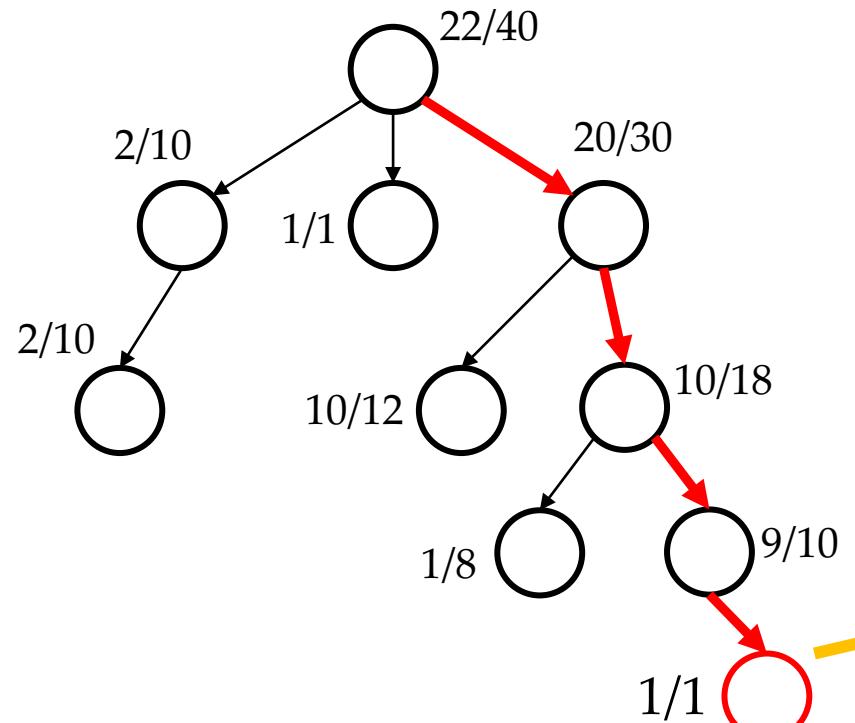


# Multi-Agent

```
desc = { }
for i in range(num_agents):
    desc["actor%d" % i] = dict(
        batchsize=args.batchsize,
        input=dict(T=1, keys=set(["s", "last_r", "last_terminal"])),
        reply=dict(T=1, keys=set(["pi", "v", "a"])),
        filter=dict(id=i)
)
while True:
    ...
    for i in range(num_agents):
        if batch["desc"] == "actor%d" % i:
            # Act for player i
    ...
```



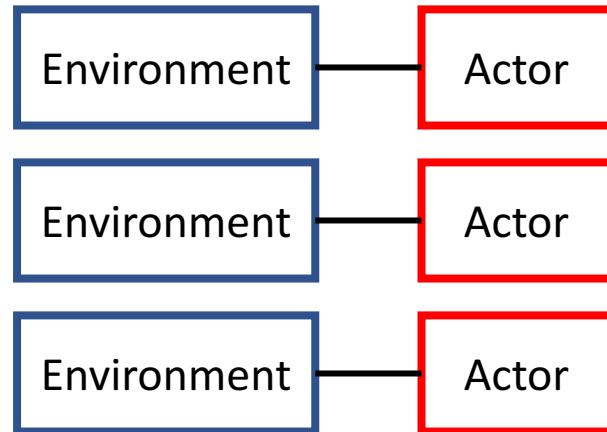
# Monte-Carlo Tree Search



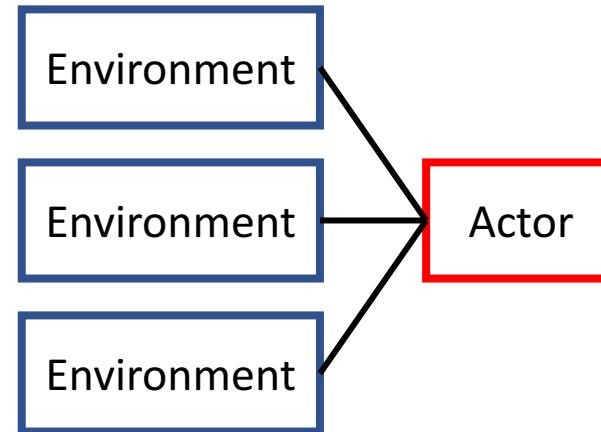
```
desc = dict()
actor = dict(
    batchsize=args.batchsize,
    input=
        dict(T=1,
            keys=set([
                "s", "last_r", "last_terminal"])),
    reply=dict(T=1, keys=set(["pi", "v", "a"])),
)
while True:
    batch = GameContext.Wait()
    if batch["desc"] == "actor":
        # Act for player. During MCTS search, one
        # game instance could send multiple requests
        # for python side to respond.
    GameContext.Step()
```



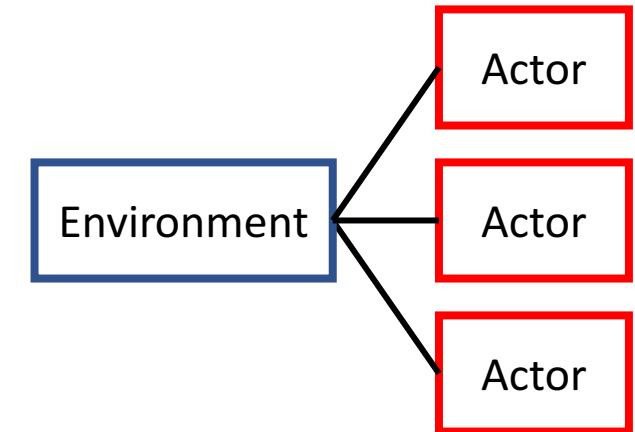
# Flexible Environment-Actor topology



(a) One-to-One  
Vanilla A3C



(b) Many-to-One  
BatchA3C, GA3C



(c) One-to-Many  
Self-Play,  
Monte-Carlo Tree Search



# RLPytorch

- A RL platform in PyTorch
- A3C in 30 lines.
- Interfacing with dict.

```
# A3C
def update(self, batch):
    ''' Actor critic model '''
    R = deepcopy(batch["V"][T - 1])
    batchsize = R.size(0)
    R.resize_(batchsize, 1)

    for t in range(T - 2, -1, -1):
        # Forward pass
        curr = self.model_interface.forward("model", batch.hist(t))

        # Compute the reward.
        R = R * self.args.discount + batch["r"][t]
        # If we see any terminal signal, do not backprop
        for i, terminal in enumerate(batch["terminal"][t]):
            if terminal: R[t][i] = curr["V"].data[i]

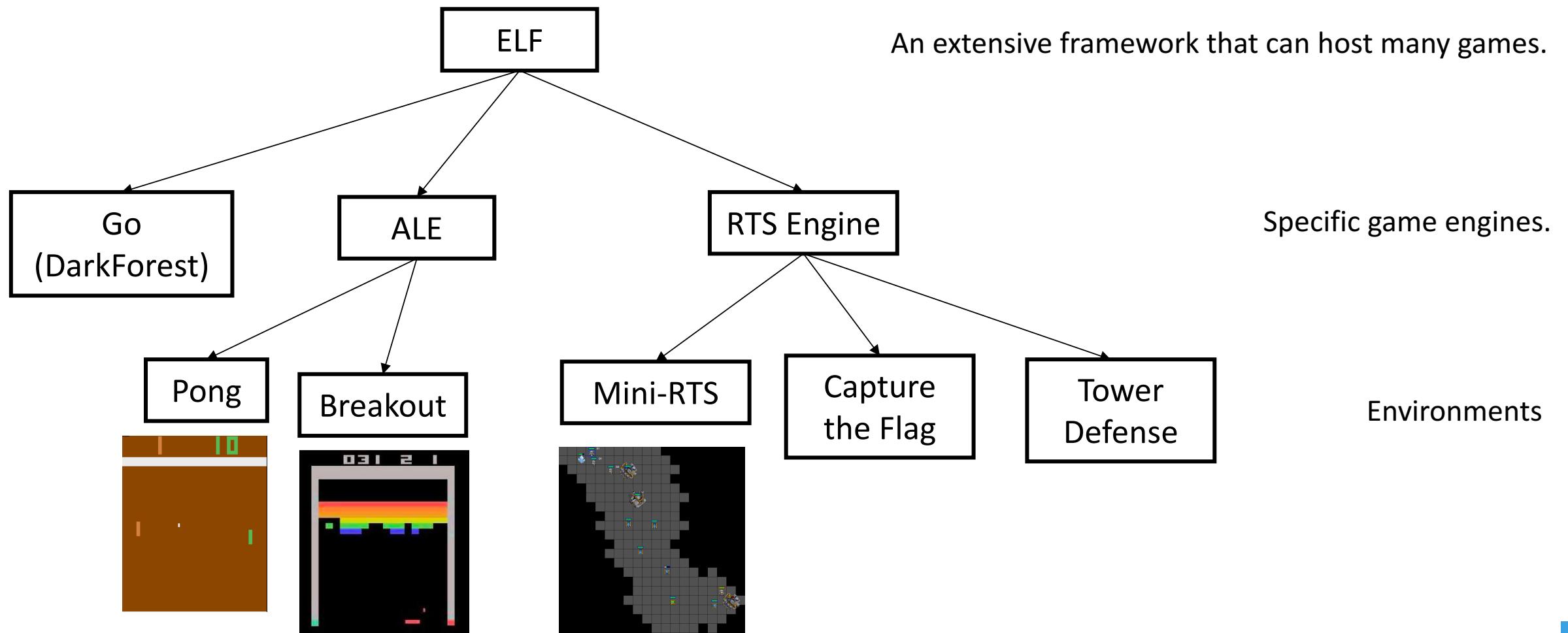
        # We need to set it beforehand.
        self.policy_gradient_weights = R - curr["V"].data

        # Compute policy gradient error:
        errs = self._compute_policy_entropy_err(curr["pi"], batch["a"][t])
        # Compute critic error
        value_err = self.value_loss(curr["V"], Variable(R))

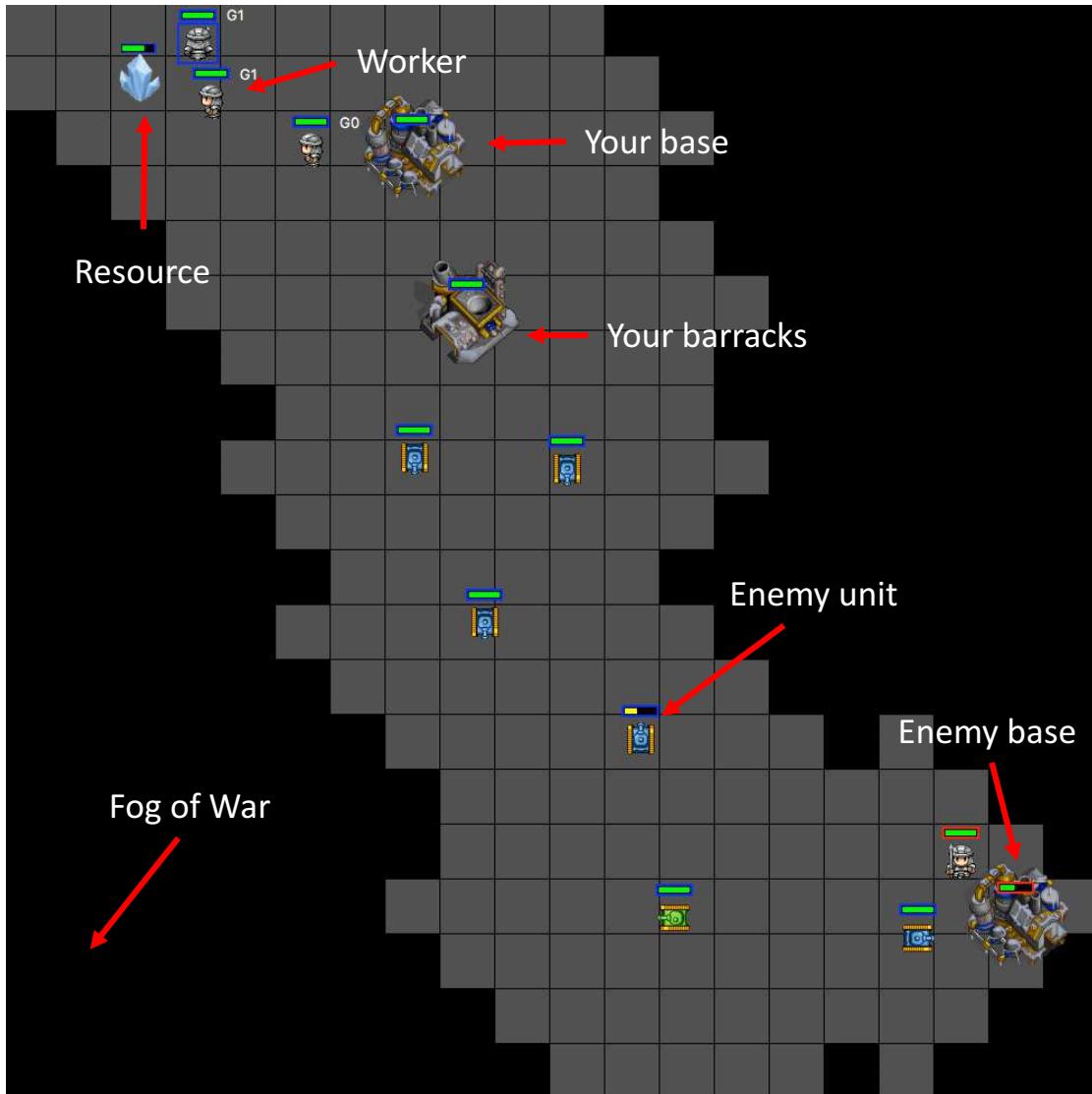
        overall_err = value_err + errs["policy_err"]
        overall_err += errs["entropy_err"] * self.args.entropy_ratio
        overall_err.backward()
```



# Architecture Hierarchy



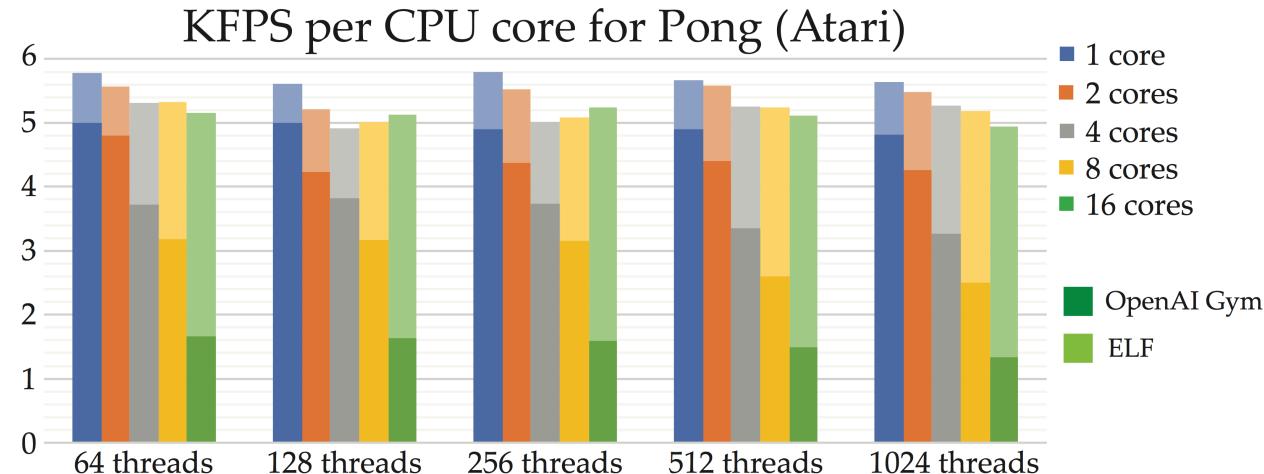
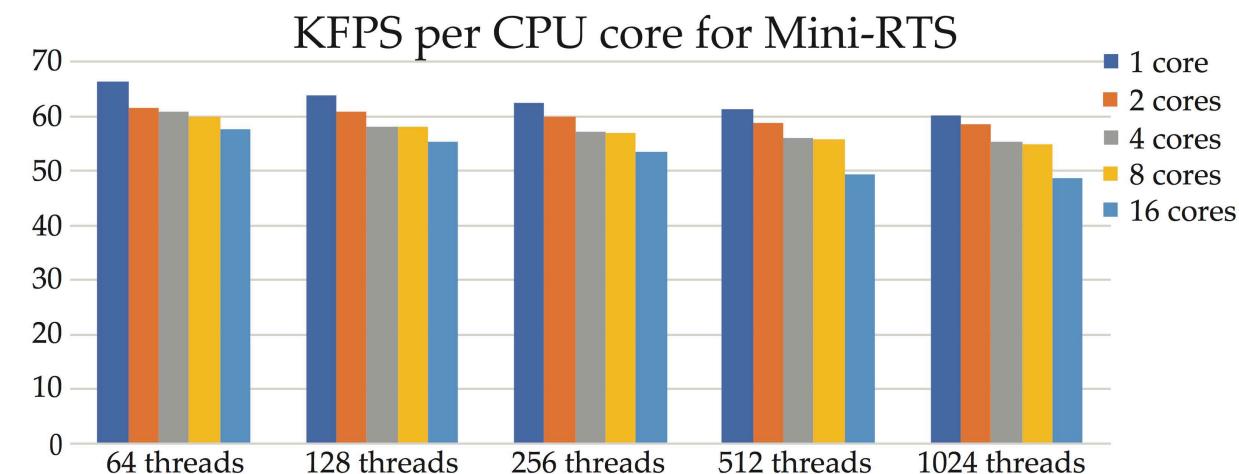
# A miniature RTS engine



| Game Name        | Descriptions                                                 | Avg Game Length |
|------------------|--------------------------------------------------------------|-----------------|
| Mini-RTS         | Gather resource and build troops to destroy opponent's base. | 1000-6000 ticks |
| Capture the Flag | Capture the flag and bring it to your own base               | 1000-4000 ticks |
| Tower Defense    | Builds defensive towers to block enemy invasion.             | 1000-2000 ticks |



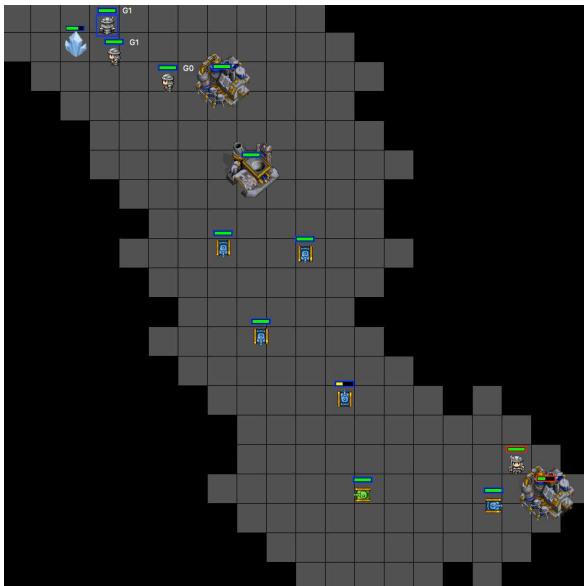
# Simulation Speed



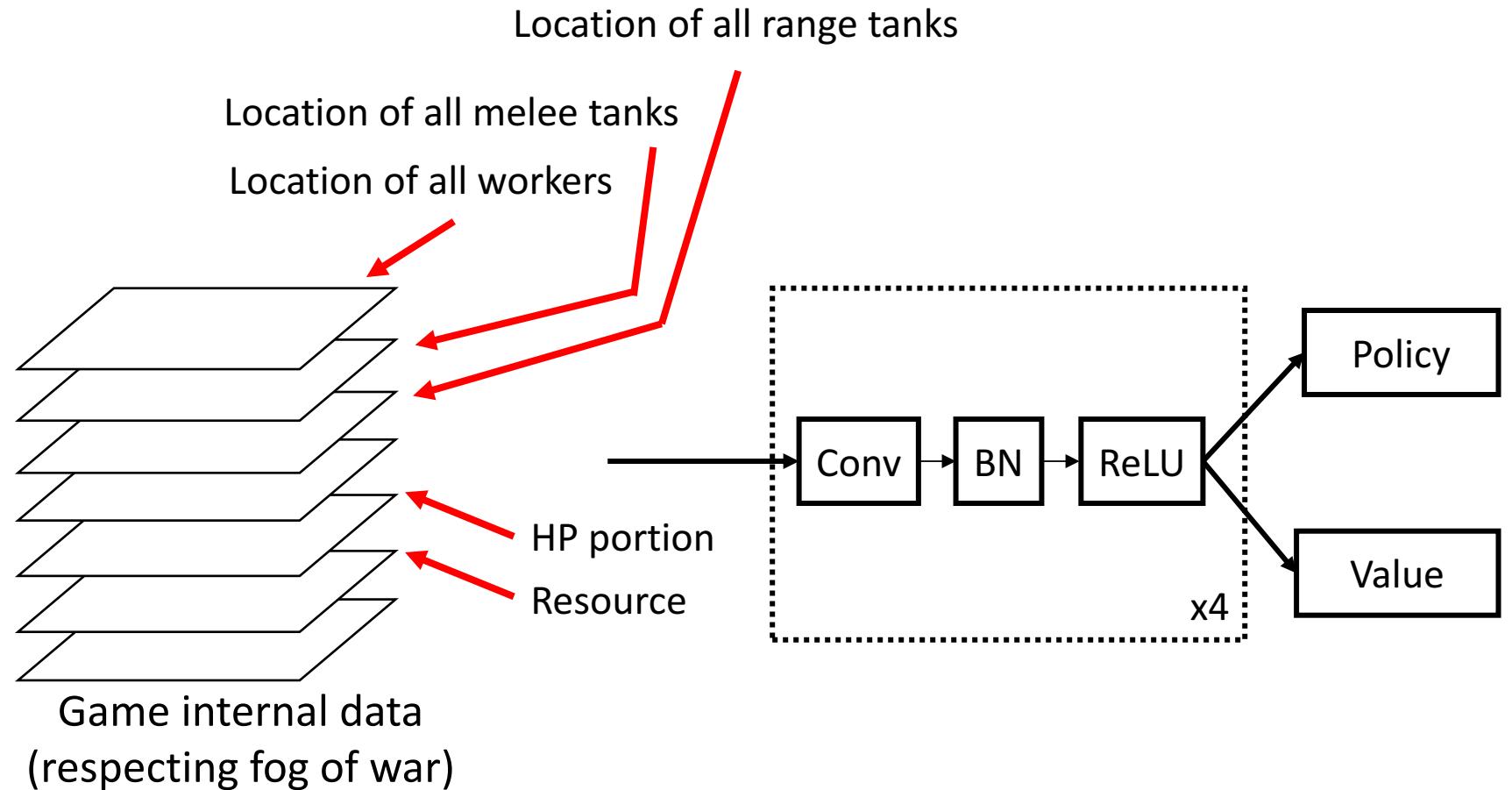
| Platform | ALE                            | RLE     | Universe             | Malmo         |
|----------|--------------------------------|---------|----------------------|---------------|
| FPS      | 6000                           | 530     | 60                   | 120           |
| Platform | DeepMind Lab                   | VizDoom | TorchCraft           | Mini-RTS      |
| FPS      | 287(C) / 866(G)<br>6CPU + 1GPU | 7,000   | 2,000 (Frameskip=50) | <u>40,000</u> |



# Training AI



Game visualization



Using Internal Game data and A3C.  
Reward is only available once the game is over.



# MiniRTS



Building that can build workers and collect resources.



Resource unit that contains 1000 minerals.



Building that can build melee attacker and range attacker.



Worker who can build barracks and gather resource.  
Low speed in movement and low attack damage.



Tank with high HP, medium movement speed, short attack range, high attack damage.



Tank with low HP, high movement speed, long attack range and medium attack damage.



# Training AI

9 discrete actions.

| No. | Action name          | Descriptions                                                                                                                                                                 |
|-----|----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1   | IDLE                 | Do nothing                                                                                                                                                                   |
| 2   | BUILD WORKER         | If the base is idle, build a worker                                                                                                                                          |
| 3   | BUILD BARRACK        | Move a worker (gathering or idle) to an empty place and build a barrack.                                                                                                     |
| 4   | BUILD MELEE ATTACKER | If we have an idle barrack, build an melee attacker.                                                                                                                         |
| 5   | BUILD RANGE ATTACKER | If we have an idle barrack, build a range attacker.                                                                                                                          |
| 6   | HIT AND RUN          | If we have range attackers, move towards opponent base and attack. Take advantage of their long attack range and high movement speed to hit and run if enemy counter-attack. |
| 7   | ATTACK               | All melee and range attackers attack the opponent's base.                                                                                                                    |
| 8   | ATTACK IN RANGE      | All melee and range attackers attack enemies in sight.                                                                                                                       |
| 9   | ALL DEFEND           | All troops attack enemy troops near the base and resource.                                                                                                                   |

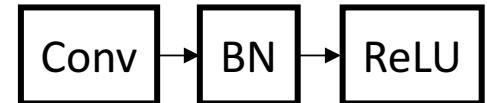


# Win rate against rule-based AI

Frame skip (how often AI makes decisions)

| Frame skip | AI_SIMPLE         | AI_HIT_AND_RUN    |
|------------|-------------------|-------------------|
| 50         | 68.4( $\pm 4.3$ ) | 63.6( $\pm 7.9$ ) |
| 20         | 61.4( $\pm 5.8$ ) | 55.4( $\pm 4.7$ ) |
| 10         | 52.8( $\pm 2.4$ ) | 51.1( $\pm 5.0$ ) |

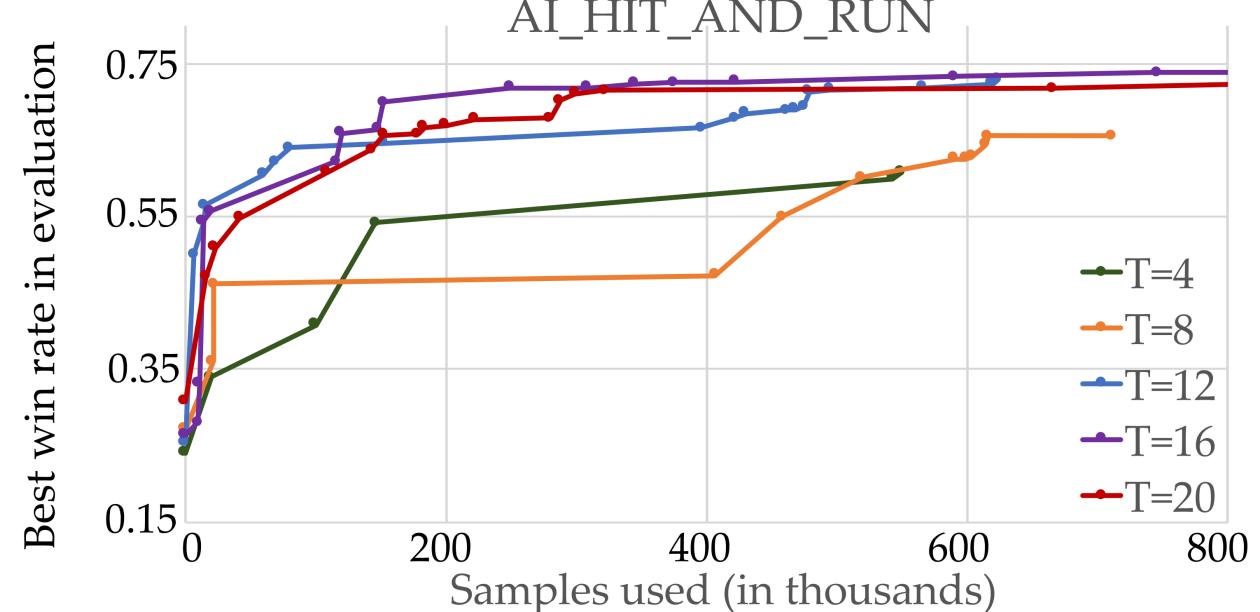
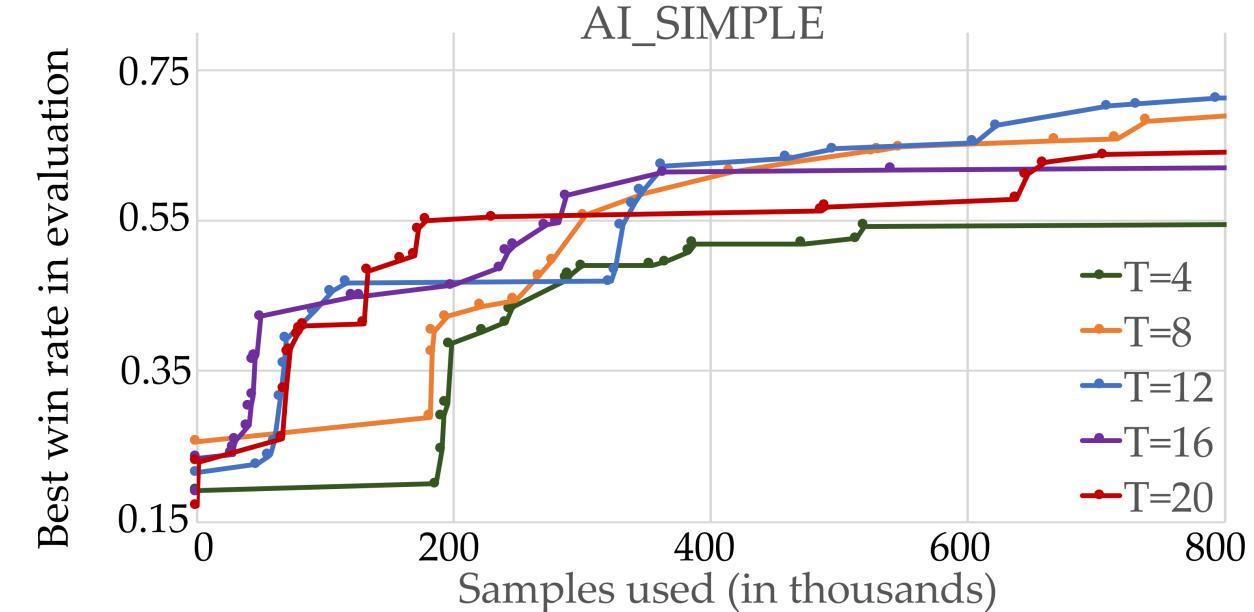
Network Architecture



|                 | SIMPLE<br>(median) | SIMPLE<br>(mean/std) | HIT_AND_RUN<br>(median) | HIT_AND_RUN<br>(mean/std) |
|-----------------|--------------------|----------------------|-------------------------|---------------------------|
| ReLU            | 52.8               | 54.7( $\pm 4.2$ )    | 60.4                    | 57.0( $\pm 6.8$ )         |
| Leaky ReLU      | 59.8               | 61.0( $\pm 2.6$ )    | 60.2                    | 60.3( $\pm 3.3$ )         |
| ReLU + BN       | 61.0               | 64.4( $\pm 7.4$ )    | 55.6                    | 57.5( $\pm 6.8$ )         |
| Leaky ReLU + BN | 72.2               | 68.4( $\pm 4.3$ )    | 65.5                    | 63.6( $\pm 7.9$ )         |



# Effect of T-steps

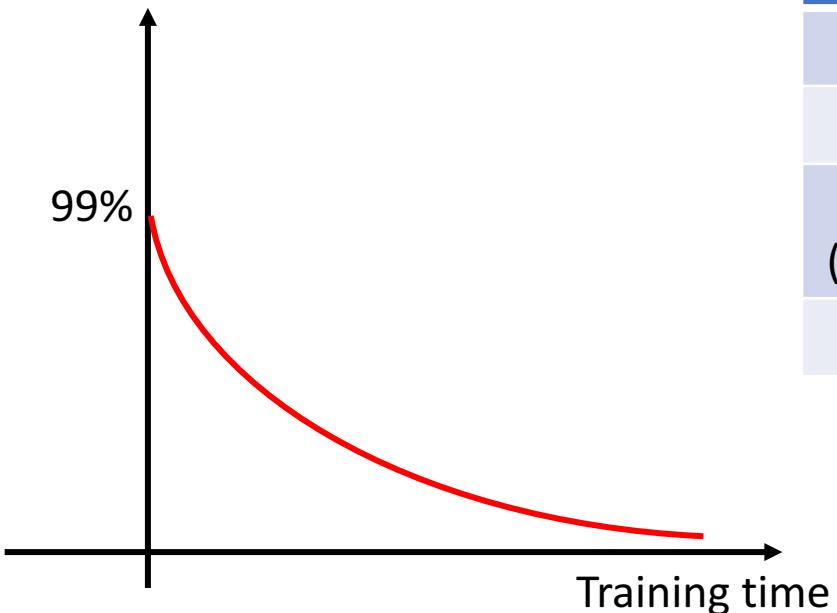


Large T is better.



# Transfer Learning and Curriculum Training

Mixture of SIMPLE\_AI  
and Trained AI



|                             | AI_SIMPLE                          | AI_HIT_AND_RUN                     | Combined<br>(50%SIMPLE+50% H&R)   |
|-----------------------------|------------------------------------|------------------------------------|-----------------------------------|
| SIMPLE                      | <b>68.4 (<math>\pm 4.3</math>)</b> | 26.6( $\pm 7.6$ )                  | 47.5( $\pm 5.1$ )                 |
| HIT_AND_RUN                 | 34.6( $\pm 13.1$ )                 | <b>63.6 (<math>\pm 7.9</math>)</b> | 49.1( $\pm 10.5$ )                |
| Combined<br>(No curriculum) | 49.4( $\pm 10.0$ )                 | 46.0( $\pm 15.3$ )                 | 47.7( $\pm 11.0$ )                |
| Combined                    | 51.8( $\pm 10.6$ )                 | 54.7( $\pm 11.2$ )                 | <b>53.2(<math>\pm 8.5</math>)</b> |

Highest win rate against AI\_SIMPLE: 80%

|                                | AI_SIMPLE                          | AI_HIT_AND_RUN                     | CAPTURE_THE_FLAG                   |
|--------------------------------|------------------------------------|------------------------------------|------------------------------------|
| Without<br>curriculum training | 66.0 ( $\pm 2.4$ )                 | 54.4 ( $\pm 15.9$ )                | 54.2 ( $\pm 20.0$ )                |
| With<br>curriculum training    | <b>68.4 (<math>\pm 4.3</math>)</b> | <b>63.6 (<math>\pm 7.9</math>)</b> | <b>59.9 (<math>\pm 7.4</math>)</b> |

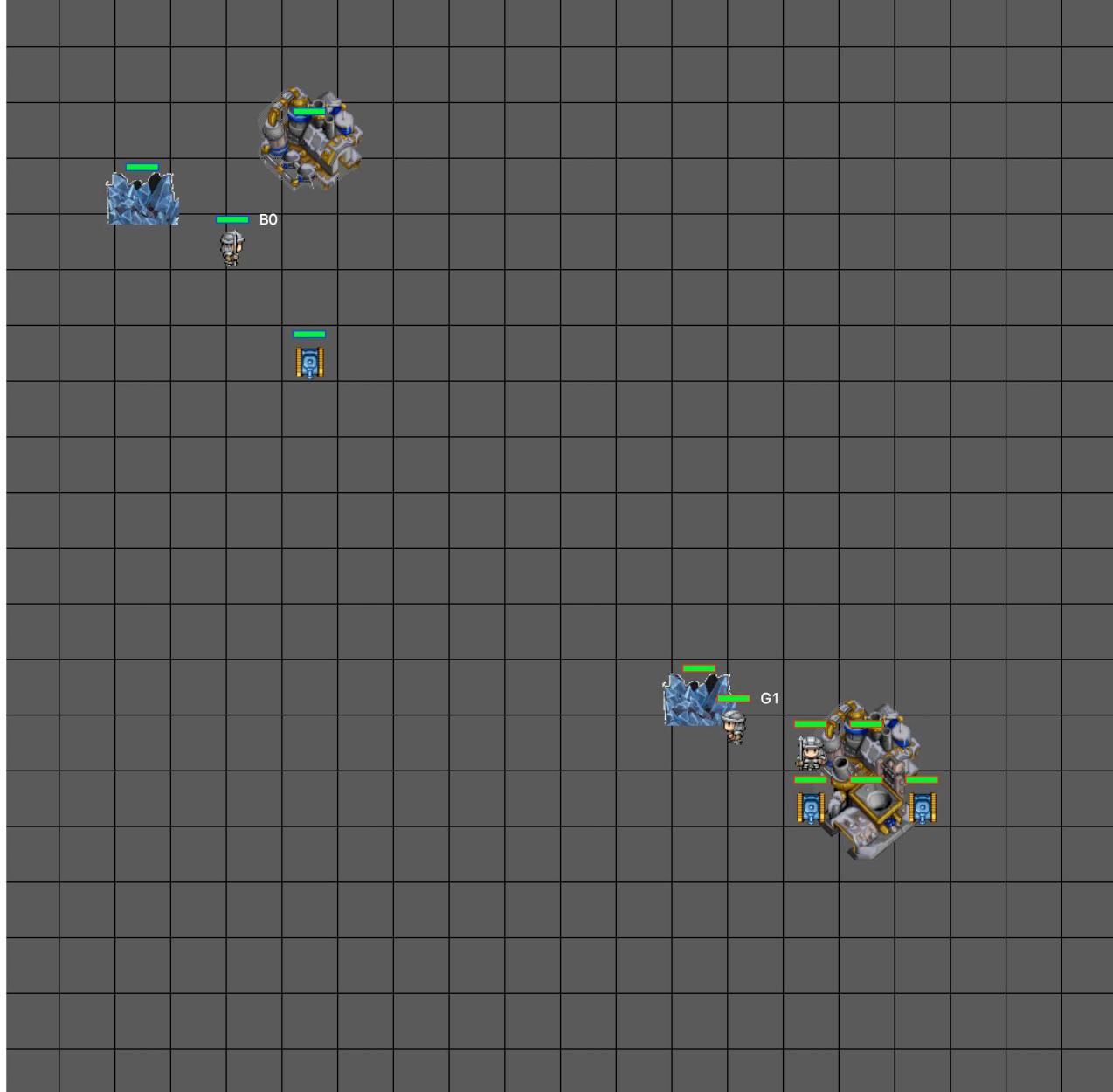


# Monte Carlo Tree Search

|        | MiniRTS (AI_SIMPLE) | MiniRTS (Hit_and_Run) |
|--------|---------------------|-----------------------|
| Random | 24.2 ( $\pm 3.9$ )  | 25.9 ( $\pm 0.6$ )    |
| MCTS   | 73.2 ( $\pm 0.6$ )  | 62.7 ( $\pm 2.0$ )    |

MCTS evaluation is repeated on 1000 games, using 800 rollouts.  
MCTS uses complete information and perfect dynamics





Thanks!