
MODULE *Config*

INSTANCE *Naturals*

INSTANCE *FiniteSets*

INSTANCE *Sequences*

INSTANCE *TLC*

GenerateTestCases \triangleq FALSE

Nil \triangleq "<nil>"

Change \triangleq "Change"

Rollback \triangleq "Rollback"

Commit \triangleq "Commit"

Apply \triangleq "Apply"

Pending \triangleq "Pending"

InProgress \triangleq "InProgress"

Complete \triangleq "Complete"

Aborted \triangleq "Aborted"

Canceled \triangleq "Canceled"

Failed \triangleq "Failed"

Node \triangleq {"node1"}

NumTransactions \triangleq 3

NumTerms \triangleq 1

NumConns \triangleq 1

NumStarts \triangleq 1

Path \triangleq {"path1"}

Value \triangleq {"value1", "value2"}

A transaction log.

VARIABLE *transactions*

A record of per-target configurations

VARIABLE *configuration*

A record of target masterhips

VARIABLE *mastership*

A record of node connections to the target

VARIABLE *conn*

The target state

VARIABLE *target*

A sequence of state changes used for model checking.

VARIABLE *history*

$vars \triangleq \langle transactions, configuration, mastership, conn, target, history \rangle$

LOCAL *Transaction* \triangleq INSTANCE *Transaction*

LOCAL *Configuration* \triangleq INSTANCE *Configuration*

LOCAL *Mastership* \triangleq INSTANCE *Mastership*

LOCAL *Target* \triangleq INSTANCE *Target*

$AppendChange(i) \triangleq$
 $\wedge Transaction!AppendChange(i)$

$RollbackChange(i) \triangleq$
 $\wedge Transaction!RollbackChange(i)$

$ReconcileTransaction(n, i) \triangleq$
 $\vee \wedge Transaction!ReconcileTransaction(n, i)$
 $\wedge GenerateTestCases \Rightarrow Transaction!Test!Log([node \mapsto n, index \mapsto i])$
 $\vee \wedge GenerateTestCases$
 $\wedge \neg ENABLED Transaction!ReconcileTransaction(n, i)$
 $\wedge UNCHANGED vars$
 $\wedge Transaction!Test!Log([node \mapsto n, index \mapsto i])$

$ReconcileConfiguration(n) \triangleq$
 $\vee \wedge Configuration!ReconcileConfiguration(n)$
 $\wedge UNCHANGED \langle transactions, history \rangle$
 $\wedge GenerateTestCases \Rightarrow Configuration!Test!Log([node \mapsto n])$
 $\vee \wedge GenerateTestCases$
 $\wedge \neg ENABLED Configuration!ReconcileConfiguration(n)$
 $\wedge UNCHANGED vars$
 $\wedge Configuration!Test!Log([node \mapsto n])$

$ReconcileMastership(n) \triangleq$
 $\vee \wedge Mastership!ReconcileMastership(n)$
 $\wedge UNCHANGED \langle transactions, configuration, target, history \rangle$
 $\wedge GenerateTestCases \Rightarrow Mastership!Test!Log([node \mapsto n])$

$$\begin{aligned}
& \vee \wedge \textit{GenerateTestCases} \\
& \wedge \neg \textit{ENABLED Mastership!ReconcileMastership}(n) \\
& \wedge \textit{UNCHANGED vars} \\
& \wedge \textit{Mastership!Test!Log}([node \mapsto n]) \\
\textit{ConnectNode}(n) & \triangleq \\
& \wedge \textit{Target!Connect}(n) \\
& \wedge \textit{UNCHANGED} \langle \textit{transactions}, \textit{configuration}, \textit{mastership}, \textit{history} \rangle \\
\textit{DisconnectNode}(n) & \triangleq \\
& \wedge \textit{Target!Disconnect}(n) \\
& \wedge \textit{UNCHANGED} \langle \textit{transactions}, \textit{configuration}, \textit{mastership}, \textit{history} \rangle \\
\textit{StartTarget} & \triangleq \\
& \wedge \textit{Target!Start} \\
& \wedge \textit{UNCHANGED} \langle \textit{transactions}, \textit{configuration}, \textit{mastership}, \textit{history} \rangle \\
\textit{StopTarget} & \triangleq \\
& \wedge \textit{Target!Stop} \\
& \wedge \textit{UNCHANGED} \langle \textit{transactions}, \textit{configuration}, \textit{mastership}, \textit{history} \rangle
\end{aligned}$$

Formal specification, constraints, and theorems.

$$\begin{aligned}
\textit{Init} & \triangleq \\
& \wedge \textit{transactions} = [\\
& \quad i \in \{\} \mapsto [\\
& \quad \quad \textit{phase} \mapsto \textit{Nil}, \\
& \quad \quad \textit{values} \mapsto [\\
& \quad \quad \quad p \in \{\} \mapsto \textit{Nil}], \\
& \quad \quad \textit{change} \mapsto [\\
& \quad \quad \quad \textit{commit} \mapsto \textit{Nil}, \\
& \quad \quad \quad \textit{apply} \mapsto \textit{Nil}], \\
& \quad \quad \textit{rollback} \mapsto [\\
& \quad \quad \quad \textit{commit} \mapsto \textit{Nil}, \\
& \quad \quad \quad \textit{apply} \mapsto \textit{Nil}]]] \\
& \wedge \textit{configuration} = [\\
& \quad \textit{state} \mapsto \textit{Pending}, \\
& \quad \textit{term} \mapsto 0, \\
& \quad \textit{committed} \mapsto [\\
& \quad \quad \textit{index} \mapsto 0, \\
& \quad \quad \textit{change} \mapsto 0, \\
& \quad \quad \textit{target} \mapsto 0, \\
& \quad \quad \textit{ordinal} \mapsto 0, \\
& \quad \quad \textit{transaction} \mapsto 0, \\
& \quad \quad \textit{revision} \mapsto 0, \\
& \quad \quad \textit{values} \mapsto [
\end{aligned}$$

$$\begin{aligned}
& p \in \{\} \mapsto Nil]], \\
applied \mapsto [& \\
& index \mapsto 0, \\
& target \mapsto 0, \\
& ordinal \mapsto 0, \\
& transaction \mapsto 0, \\
& revision \mapsto 0, \\
& values \mapsto [& \\
& p \in \{\} \mapsto Nil]]] \\
\wedge target = [& \\
& id \mapsto 1, \\
& running \mapsto TRUE, \\
& values \mapsto [& \\
& p \in \{\} \mapsto [& \\
& index \mapsto 0, \\
& value \mapsto Nil]]] \\
\wedge mastership = [& \\
& master \mapsto \text{CHOOSE } n \in Node : TRUE, \\
& term \mapsto 1, \\
& conn \mapsto 1] \\
\wedge conn = [& \\
& n \in Node \mapsto [& \\
& id \mapsto 1, \\
& connected \mapsto TRUE]] \\
\wedge history = \langle \rangle \\
Next \triangleq & \\
\vee \exists i \in 1 \dots NumTransactions : & \\
& \vee AppendChange(i) \\
& \vee RollbackChange(i) \\
\vee \exists n \in Node, i \in \text{DOMAIN } transactions : & \\
& ReconcileTransaction(n, i) \\
\vee \exists n \in Node : & \\
& ReconcileConfiguration(n) \\
\vee \exists n \in Node : & \\
& ReconcileMastership(n) \\
\vee \exists n \in Node : & \\
& \vee ConnectNode(n) \\
& \vee DisconnectNode(n) \\
\vee StartTarget & \\
\vee StopTarget & \\
Spec \triangleq & \\
\wedge Init & \\
\wedge \Box [Next]_{vars} &
\end{aligned}$$

$$\begin{aligned}
& \wedge \forall i \in 1 \dots \text{NumTransactions} : \\
& \quad \text{WF}_{\langle \text{transactions} \rangle}(\text{Transaction!RollbackChange}(i)) \\
& \wedge \forall n \in \text{Node}, i \in 1 \dots \text{NumTransactions} : \\
& \quad \text{WF}_{\langle \text{transactions}, \text{configuration}, \text{mastership}, \text{conn}, \text{target}, \text{history} \rangle}(\text{Transaction!ReconcileTransaction}(n, i)) \\
& \wedge \forall n \in \text{Node} : \\
& \quad \text{WF}_{\langle \text{configuration}, \text{mastership}, \text{conn}, \text{target} \rangle}(\text{Configuration!ReconcileConfiguration}(n)) \\
& \wedge \forall n \in \text{Node} : \\
& \quad \text{WF}_{\langle \text{mastership}, \text{conn} \rangle}(\text{Mastership!ReconcileMastership}(n)) \\
& \wedge \forall n \in \text{Node} : \\
& \quad \text{WF}_{\langle \text{conn}, \text{target} \rangle}(\text{Target!Connect}(n) \vee \text{Target!Disconnect}(n)) \\
& \wedge \text{WF}_{\langle \text{conn}, \text{target} \rangle}(\text{Target!Start} \vee \text{Target!Stop})
\end{aligned}$$

$$\begin{aligned}
\text{LimitTerms} & \triangleq \\
& \vee \text{mastership.term} < \text{NumTerms} \\
& \vee \wedge \text{mastership.term} = \text{NumTerms} \\
& \quad \wedge \text{mastership.master} \neq \text{Nil}
\end{aligned}$$

$$\begin{aligned}
\text{LimitConns} & \triangleq \\
& \forall n \in \text{DOMAIN conn} : \\
& \quad \vee \text{conn}[n].\text{id} < \text{NumConns} \\
& \quad \vee \wedge \text{conn}[n].\text{id} = \text{NumConns} \\
& \quad \quad \wedge \text{conn}[n].\text{connected}
\end{aligned}$$

$$\begin{aligned}
\text{LimitStarts} & \triangleq \\
& \vee \text{target.id} < 2 \\
& \vee \wedge \text{target.id} = 2 \\
& \quad \wedge \text{target.running}
\end{aligned}$$

$$\begin{aligned}
\text{TypeOK} & \triangleq \\
& \wedge \text{Transaction!TypeOK} \\
& \wedge \text{Configuration!TypeOK} \\
& \wedge \text{Mastership!TypeOK}
\end{aligned}$$

$$\begin{aligned}
\text{StatusCommitted}(i) & \triangleq \\
& \vee \wedge \text{transactions}'[i].\text{change.commit} \notin \{\text{Pending}, \text{Canceled}\} \\
& \quad \wedge \text{transactions}[i].\text{change.commit} \neq \text{transactions}'[i].\text{change.commit} \\
& \vee \wedge \text{transactions}'[i].\text{rollback.commit} \notin \{\text{Pending}, \text{Canceled}\} \\
& \quad \wedge \text{transactions}[i].\text{rollback.commit} \neq \text{transactions}'[i].\text{rollback.commit}
\end{aligned}$$

$$\begin{aligned}
\text{StatusApplied}(i) & \triangleq \\
& \vee \wedge \text{transactions}'[i].\text{change.apply} \notin \{\text{Pending}, \text{Canceled}\} \\
& \quad \wedge \text{transactions}[i].\text{change.apply} \neq \text{transactions}'[i].\text{change.apply} \\
& \vee \wedge \text{transactions}'[i].\text{rollback.apply} \notin \{\text{Pending}, \text{Canceled}\}
\end{aligned}$$

$$\wedge \text{transactions}[i].\text{rollback.apply} \neq \text{transactions}'[i].\text{rollback.apply}$$

$$\text{ValidStatus}(t, i, j) \triangleq$$

$$\begin{aligned} &\wedge j \in \text{DOMAIN } \text{history} \\ &\wedge \text{history}[j].\text{index} = i \\ &\wedge \vee \wedge \text{history}[j].\text{type} = \text{Change} \\ &\quad \wedge \text{history}[j].\text{phase} = \text{Commit} \\ &\quad \wedge t[i].\text{change.commit} = \text{history}[j].\text{status} \\ &\vee \wedge \text{history}[j].\text{type} = \text{Change} \\ &\quad \wedge \text{history}[j].\text{phase} = \text{Apply} \\ &\quad \wedge t[i].\text{change.apply} = \text{history}[j].\text{status} \\ &\vee \wedge \text{history}[j].\text{type} = \text{Rollback} \\ &\quad \wedge \text{history}[j].\text{phase} = \text{Commit} \\ &\quad \wedge t[i].\text{rollback.commit} = \text{history}[j].\text{status} \\ &\vee \wedge \text{history}[j].\text{type} = \text{Rollback} \\ &\quad \wedge \text{history}[j].\text{phase} = \text{Apply} \\ &\quad \wedge t[i].\text{rollback.apply} = \text{history}[j].\text{status} \end{aligned}$$

$$\text{ValidCommit}(t, i) \triangleq$$

$$\begin{aligned} &\text{LET } j \triangleq \text{CHOOSE } j \in \text{DOMAIN } \text{history} : \\ &\quad \wedge \text{history}[j].\text{phase} = \text{Commit} \\ &\quad \wedge \neg \exists k \in \text{DOMAIN } \text{history} : \\ &\quad \quad \wedge \text{history}[k].\text{phase} = \text{Commit} \\ &\quad \quad \wedge k > j \\ &\text{IN } \text{ValidStatus}(t, i, j) \end{aligned}$$

$$\text{ValidApply}(t, i) \triangleq$$

$$\begin{aligned} &\text{LET } j \triangleq \text{CHOOSE } j \in \text{DOMAIN } \text{history} : \\ &\quad \wedge \text{history}[j].\text{phase} = \text{Apply} \\ &\quad \wedge \neg \exists k \in \text{DOMAIN } \text{history} : \\ &\quad \quad \wedge \text{history}[k].\text{phase} = \text{Apply} \\ &\quad \quad \wedge k > j \\ &\text{IN } \text{ValidStatus}(t, i, j) \end{aligned}$$

$$\text{ConfigurationCommitted} \triangleq$$

$$\begin{aligned} &\wedge \text{configuration}'.\text{committed} \neq \text{configuration}.\text{committed} \\ &\wedge \exists i \in \text{DOMAIN } \text{history} : \text{history}[i].\text{phase} = \text{Commit} \\ &\Rightarrow \text{LET } i \triangleq \text{CHOOSE } i \in \text{DOMAIN } \text{history} : \\ &\quad \wedge \text{history}[i].\text{phase} = \text{Commit} \\ &\quad \wedge \neg \exists j \in \text{DOMAIN } \text{history} : \\ &\quad \quad \wedge \text{history}[j].\text{phase} = \text{Commit} \\ &\quad \quad \wedge j > i \\ &\text{IN } \text{ValidStatus}(\text{transactions}, \text{history}[i].\text{index}, i) \end{aligned}$$

$$\text{ConfigurationApplied} \triangleq$$

$$\wedge \text{configuration}'.\text{applied} \neq \text{configuration}.\text{applied}$$

$$\begin{aligned}
& \wedge \exists i \in \text{DOMAIN } history : history[i].phase = Apply \\
& \Rightarrow \text{LET } i \triangleq \text{CHOOSE } i \in \text{DOMAIN } history : \\
& \quad \wedge history[i].phase = Apply \\
& \quad \wedge \neg \exists j \in \text{DOMAIN } history : \\
& \quad \quad \wedge history[j].phase = Apply \\
& \quad \quad \wedge j > i \\
& \text{IN } ValidStatus(transactions, history[i].index, i) \\
\\
& StatusChanged \triangleq \\
& \quad \forall i \in 1 \dots NumTransactions : \\
& \quad \wedge i \in \text{DOMAIN } transactions \Rightarrow \\
& \quad \quad \wedge StatusCommitted(i) \Rightarrow ValidCommit(transactions', i) \\
& \quad \quad \wedge StatusApplied(i) \Rightarrow ValidApply(transactions', i) \\
\\
& Transition \triangleq \Box [ConfigurationCommitted \wedge ConfigurationApplied \wedge StatusChanged]_{(transactions, history)} \\
\\
& \text{LOCAL } IsOrderedChange(p, i) \triangleq \\
& \quad \wedge history[i].type = Change \\
& \quad \wedge history[i].phase = p \\
& \quad \wedge history[i].status = Complete \\
& \quad \wedge \neg \exists j \in \text{DOMAIN } history : \\
& \quad \quad \wedge j < i \\
& \quad \quad \wedge history[j].type = Change \\
& \quad \quad \wedge history[j].phase = p \\
& \quad \quad \wedge history[j].status = Complete \\
& \quad \quad \wedge history[j].index \geq history[i].index \\
\\
& \text{LOCAL } IsOrderedRollback(p, i) \triangleq \\
& \quad \wedge history[i].type = Rollback \\
& \quad \wedge history[i].phase = p \\
& \quad \wedge history[i].status = Complete \\
& \quad \wedge \exists j \in \text{DOMAIN } history : \\
& \quad \quad \wedge j < i \\
& \quad \quad \wedge history[j].type = Change \\
& \quad \quad \wedge history[j].status = Complete \\
& \quad \quad \wedge history[j].index = history[i].index \\
& \quad \wedge \neg \exists j \in \text{DOMAIN } history : \\
& \quad \quad \wedge j < i \\
& \quad \quad \wedge history[j].type = Change \\
& \quad \quad \wedge history[j].phase = p \\
& \quad \quad \wedge history[j].status = Complete \\
& \quad \quad \wedge history[j].index > history[i].index \\
& \quad \wedge \neg \exists k \in \text{DOMAIN } history : \\
& \quad \quad \wedge k > j \\
& \quad \quad \wedge k < i \\
& \quad \quad \wedge history[k].type = Rollback
\end{aligned}$$

$$\begin{aligned}
& \wedge \text{history}[k].\text{phase} = p \\
& \wedge \text{history}[j].\text{status} = \text{Complete} \\
& \wedge \text{history}[k].\text{index} = \text{history}[j].\text{index}
\end{aligned}$$

$\text{Order} \triangleq$

$$\begin{aligned}
& \wedge \forall i \in \text{DOMAIN } \text{history} : \\
& \quad \text{history}[i].\text{status} = \text{Complete} \Rightarrow \\
& \quad \quad \vee \text{IsOrderedChange}(\text{Commit}, i) \\
& \quad \quad \vee \text{IsOrderedChange}(\text{Apply}, i) \\
& \quad \quad \vee \text{IsOrderedRollback}(\text{Commit}, i) \\
& \quad \quad \vee \text{IsOrderedRollback}(\text{Apply}, i) \\
& \wedge \forall i \in \text{DOMAIN } \text{transactions} : \\
& \quad \wedge \text{transactions}[i].\text{change.apply} = \text{Failed} \\
& \quad \wedge \text{transactions}[i].\text{rollback.apply} \neq \text{Complete} \\
& \quad \Rightarrow \neg \exists j \in \text{DOMAIN } \text{transactions} : \\
& \quad \quad \wedge j > i \\
& \quad \quad \wedge \text{transactions}[i].\text{change.apply} \in \{\text{InProgress}, \text{Complete}\}
\end{aligned}$$

$\text{LOCAL } \text{IsChangeCommitted}(i) \triangleq$

$$\wedge \text{configuration.committed.revision} = i$$

$\text{LOCAL } \text{IsChangeApplied}(i) \triangleq$

$$\wedge \text{configuration.applied.revision} = i$$

$\text{Consistency} \triangleq$

$$\begin{aligned}
& \wedge \forall i \in \text{DOMAIN } \text{transactions} : \\
& \quad \wedge \text{IsChangeCommitted}(i) \\
& \quad \wedge \neg \exists j \in \text{DOMAIN } \text{transactions} : \\
& \quad \quad \wedge j > i \\
& \quad \quad \wedge \text{IsChangeCommitted}(j) \\
& \quad \Rightarrow \forall p \in \text{DOMAIN } \text{transactions}[i].\text{change.values} : \\
& \quad \quad \wedge \text{configuration.committed.values}[p] = \text{transactions}[i].\text{change.values}[p] \\
& \wedge \forall i \in \text{DOMAIN } \text{transactions} : \\
& \quad \wedge \text{IsChangeApplied}(i) \\
& \quad \wedge \neg \exists j \in \text{DOMAIN } \text{transactions} : \\
& \quad \quad \wedge j > i \\
& \quad \quad \wedge \text{IsChangeApplied}(j) \\
& \quad \Rightarrow \forall p \in \text{DOMAIN } \text{transactions}[i].\text{change.values} : \\
& \quad \quad \wedge \text{configuration.applied.values}[p] = \text{transactions}[i].\text{change.values}[p] \\
& \quad \quad \wedge \wedge \text{target.running} \\
& \quad \quad \wedge \text{configuration.applied.target} = \text{target.id} \\
& \quad \quad \wedge \text{configuration.state} = \text{Complete} \\
& \quad \quad \Rightarrow \text{target.values}[p] = \text{transactions}[i].\text{change.values}[p]
\end{aligned}$$

$\text{Safety} \triangleq \Box(\text{Order} \wedge \text{Consistency})$

$\text{THEOREM } \text{Spec} \Rightarrow \text{Safety}$

$\text{LOCAL } \text{IsChanging}(i) \triangleq$
 $\quad \wedge \quad i \in \text{DOMAIN } \text{transactions}$
 $\quad \wedge \quad \text{transactions}[i].\text{phase} = \text{Change}$

$\text{LOCAL } \text{IsChanged}(i) \triangleq$
 $\quad \wedge \quad i \in \text{DOMAIN } \text{transactions}$
 $\quad \wedge \quad \text{transactions}[i].\text{change.commit} \in \{\text{Complete}, \text{Failed}\}$
 $\quad \wedge \quad \text{transactions}[i].\text{change.apply} \in \{\text{Complete}, \text{Aborted}, \text{Failed}\}$

$\text{LOCAL } \text{IsRollingBack}(i) \triangleq$
 $\quad \wedge \quad i \in \text{DOMAIN } \text{transactions}$
 $\quad \wedge \quad \text{transactions}[i].\text{phase} = \text{Rollback}$

$\text{LOCAL } \text{IsRolledBack}(i) \triangleq$
 $\quad \wedge \quad i \in \text{DOMAIN } \text{transactions}$
 $\quad \wedge \quad \text{transactions}[i].\text{rollback.commit} \in \{\text{Complete}, \text{Failed}\}$
 $\quad \wedge \quad \text{transactions}[i].\text{rollback.apply} \in \{\text{Complete}, \text{Aborted}, \text{Failed}\}$

$\text{Terminates}(i) \triangleq$
 $\quad \wedge \text{IsChanging}(i) \leadsto \text{IsChanged}(i)$
 $\quad \wedge \text{IsRollingBack}(i) \leadsto \text{IsRolledBack}(i)$

$\text{Termination} \triangleq$
 $\quad \forall i \in 1 \dots \text{NumTransactions} : \text{Terminates}(i)$

$\text{Liveness} \triangleq \text{Termination}$

$\text{THEOREM } \text{Spec} \Rightarrow \text{Liveness}$
