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——— MODULE Config -
INSTANCE Naturals
INSTANCE FiniteSets
{\tt INSTANCE}\ Sequences
LOCAL INSTANCE TLC
This section specifies constant parameters for the model.
CONSTANT None
Assume None \in \text{String}
CONSTANT Node
Assume \forall n \in Node : n \in String
CONSTANTS
   Change,
   Rollback
Event \triangleq \{Change, Rollback\}
Assume \forall e \in Event : e \in String
CONSTANTS
   Commit,
   Apply
Phase \triangleq \{Commit, Apply\}
\texttt{ASSUME} \ \forall \ p \in Phase : p \in \texttt{STRING}
CONSTANTS
   Pending,
   InProgress,
   Complete,
   Aborted,
   Failed
State \triangleq \{Pending, InProgress, Complete, Aborted, Failed\}
Done \triangleq \{Complete, Aborted, Failed\}
Assume \forall s \in State : s \in String
CONSTANT Path
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ASSUME \forall p \in Path: p \in STRING CONSTANT Value ASSUME \forall v \in Value: v \in STRING CONSTANT NumProposals ASSUME NumProposals \in Nat
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This section defines model state variables.
proposal \stackrel{\Delta}{=} [i \in 1.. Nat \mapsto [
     change \mapsto [
        values \mapsto Change,
        phase \mapsto Phase,
        state \mapsto State],
      rollback \mapsto [
        index \mapsto Nat,
        values \mapsto Change,
        phase \mapsto Phase,
        state \mapsto State]]]
configuration \stackrel{\Delta}{=} [
   committed \mapsto [
     index \mapsto Nat,
     values \mapsto Change,
   applied \mapsto \ \lceil
     index \mapsto Nat,
     values \mapsto Change,
     term \mapsto Nat]]
mastership \stackrel{\Delta}{=} [
   master \mapsto STRING,
   term \mapsto Nat,
   conn \mapsto Nat
conn \ \stackrel{\Delta}{=} \ \ [ \ n \in Node \mapsto \ [
     id \qquad \mapsto Nat,
     connected \mapsto \texttt{BOOLEAN} ]]
target \stackrel{\Delta}{=} [
   id \qquad \mapsto Nat,
   values \mapsto Change,
   running \mapsto \texttt{BOOLEAN} \ ]
VARIABLE proposal
{\tt VARIABLE}\ \ configuration
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VARIABLE mastership

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VARIABLE conn
Variable target
VARIABLE history
vars \triangleq \langle proposal, configuration, mastership, conn, target, history \rangle
This section models configuration target.
StartTarget \triangleq
    \land \neg target.running
    \wedge target' = [target \ EXCEPT \ !.id]
                                                   = target.id + 1,
                                      !.running = TRUE
    \land UNCHANGED \langle proposal, configuration, mastership, conn, history <math>\rangle
StopTarget \triangleq
    \land target.running
    \wedge target' = [target \ EXCEPT \ !.running = FALSE,
                                      !.values = [p \in \{\} \mapsto [value \mapsto None]]]
    \land conn' = [n \in Node \mapsto [conn[n] \text{ EXCEPT } !.connected = \text{FALSE}]]
    \land UNCHANGED \langle proposal, configuration, mastership, history <math>\rangle
This section models nodes connection to the configuration target.
ConnectNode(n) \triangleq
    \wedge \neg conn[n].connected
    \land target.running
    \wedge conn' = [conn \text{ EXCEPT } ![n].id]
                                                      = conn[n].id + 1,
                                   ![n].connected = TRUE]
    \land UNCHANGED \langle proposal, configuration, mastership, target, history <math>\rangle
DisconnectNode(n) \stackrel{\Delta}{=}
    \land conn[n].connected
    \wedge conn' = [conn \ EXCEPT \ ![n].connected = FALSE]
    ∧ UNCHANGED ⟨proposal, configuration, mastership, target, history⟩
This section models mastership reconciliation.
ReconcileMastership(n) \stackrel{\Delta}{=}
    \land \lor \land conn[n].connected
          \land mastership.master = None
          \land mastership' = [master \mapsto n, term \mapsto mastership.term + 1, conn \mapsto conn[n].id]
       \vee \wedge \neg conn[n].connected
          \land mastership.master = n
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\land mastership' = [mastership \ EXCEPT \ !.master = None]
\land \ UNCHANGED \ \langle proposal, \ configuration, \ conn, \ target, \ history \rangle
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This section models configuration reconciliation.
ReconcileConfiguration(n) \stackrel{\Delta}{=}
   \land \ master ship. master = n
   \land \lor \land configuration.status \neq InProgress
          \land configuration.applied.term < mastership.term
          \land configuration' = [configuration \ EXCEPT \ !.status = InProgress]
          \land UNCHANGED \langle target \rangle
       \lor \land configuration.status = InProgress
          \land configuration.applied.term < mastership.term
          \land conn[n].connected
          \land target.running
          \land target' = [target \ EXCEPT \ !.values = configuration.applied.values]
          \land configuration' = [configuration EXCEPT !.applied.term = mastership.term,
                                                             !.applied.target = target.id,
                                                                                 = Complete
                                                             !.status
   \land UNCHANGED \langle proposal, mastership, conn, history \rangle
This section models proposal reconcilation.
CommitChange(n, i) \stackrel{\Delta}{=}
   \land proposal[i].change.phase = Commit
   \land \lor \land proposal[i].change.state = Pending
          \land proposal[i].rollback.phase = None
          \land \forall j \in \text{DOMAIN } proposal : j < i \Rightarrow
               \land \lor \land proposal[j].change.phase = Commit
                     \land proposal[j].change.state \in Done
                  \lor proposal[j].change.phase = Apply
               \land proposal[j].rollback.phase \neq None \Rightarrow
                 proposal[j].rollback.phase = Apply
          \land proposal' = [proposal \ EXCEPT \ ![i].change.state = InProgress]
          \land UNCHANGED \langle configuration, history \rangle
       \lor \land proposal[i].change.state = InProgress
           Changes are validated during the commit phase. If a change fails validation,
           it will be marked failed before being applied to the configuration.
           If all the change values are valid, record the changes required to roll
           back the proposal and the index to which the rollback changes
           will roll back the configuration.
          \land \lor \text{LET } rollbackIndex \stackrel{\triangle}{=} configuration.committed.index
                     rollbackValues \stackrel{\Delta}{=} [p \in DOMAIN \ proposal[i].change.values \mapsto
                                              IF p \in DOMAIN configuration.committed.values THEN
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configuration.committed.values[p]
                                               [index \mapsto 0, value \mapsto None]
                    change Values \stackrel{\triangle}{=} [p \in \texttt{DOMAIN} \ proposal[i].change.values \mapsto
                                            proposal[i].change.values[p] @@[index \mapsto i]]
                    \land configuration' = [configuration \ EXCEPT \ !.committed.index = i,]
               ΙN
                                                                      !.committed.values = change Values
                     \land proposal' = [proposal \ EXCEPT \ ![i].change.values]
                                                                                  = change Values,
                                                           ![i].rollback.values = rollbackValues,
                                                           ![i].change.phase
                                                                                   = Apply,
                                                           ![i].change.state
                                                                                  = Pending
                     \land history' = Append(history, [type \mapsto Change, phase \mapsto Commit, index \mapsto i])
            \lor \land proposal' = [proposal \ EXCEPT \ ![i].change.state = Failed]
               \land UNCHANGED \langle configuration, history \rangle
   \land UNCHANGED \langle mastership, conn, target \rangle
ApplyChange(n, i) \triangleq
   \land proposal[i].change.phase = Apply
   \land \lor \land proposal[i].change.state = Pending
         \land proposal[i].rollback.phase = None
         \land \forall j \in \text{DOMAIN } proposal : j < i \Rightarrow
              \lor \land proposal[j].change.phase = Commit
                 \land proposal[j].rollback.state = Complete
              \lor \land proposal[j].change.phase = Apply
                 \land proposal[i].change.state = Complete
                 \land proposal[i].rollback.phase = None
              \lor \land proposal[j].change.phase = Apply
                 \land proposal[j].change.state = Failed
                 \land proposal[j].rollback.phase = Apply
                 \land proposal[j].rollback.state = Complete
         \land proposal' = [proposal \ EXCEPT \ ![i].change.state = InProgress]
         \land UNCHANGED \langle configuration, target, history \rangle
      \lor \land proposal[i].change.state = InProgress
          Verify the applied term is the current mastership term to ensure the
          configuration has been synchronized following restarts.
         \land configuration.applied.term = mastership.term
          Verify the node's connection to the target.
         \land conn[n].connected
         \land mastership.conn = conn[n].id
         \land target.running
          Model successful and failed target update requests.
         \land \lor \land target' = [target \ EXCEPT \ !.values = proposal[i].change.values @@ target.values]
               \land configuration' = [configuration \ EXCEPT \ !.applied.index = i,
                                                                !.applied.values = proposal[i].change.values@@
                                                                                          configuration.applied.values]
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\land proposal' = [proposal \ EXCEPT \ ![i].change.state = Complete]
               \land history' = Append(history, [type \mapsto Change, phase \mapsto Apply, index \mapsto i])
             If the proposal could not be applied, mark it failed but do not update the
             last applied index. The proposal must be rolled back before new proposals
             can be applied to the configuration/target.
             \lor \land proposal' = [proposal \ EXCEPT \ ![i].change.state = Failed]
                \land UNCHANGED \langle configuration, target, history \rangle
   \land UNCHANGED \langle mastership, conn \rangle
CommitRollback(n, i) \triangleq
   \land proposal[i].rollback.phase = Commit
   \land \lor \land proposal[i].rollback.state = Pending
         \land \forall j \in \text{DOMAIN } proposal : j > i \Rightarrow
               \lor \land proposal[j].rollback.phase = Commit
                  \land proposal[j].rollback.state \in Done
               \lor proposal[j].rollback.phase = Apply
         \land \lor \land proposal[i].change.phase = Commit
                \land proposal[i].change.state = Pending
               \land proposal' = [proposal \ EXCEPT \ ![i].change.state = Aborted,
                                                       ![i].rollback.state = Complete]
             \lor \land proposal[i].change.phase = Commit
                \land proposal[i].change.state \in Done
                \land proposal' = [proposal \ EXCEPT \ ![i].rollback.state = Complete]
             \lor \land proposal[i].change.phase = Apply
                \land proposal' = [proposal \ EXCEPT \ ![i].rollback.state = InProgress]
         \land UNCHANGED \langle configuration, history \rangle
       \lor \land proposal[i].rollback.state = InProgress
         \land LET index \stackrel{\triangle}{=} proposal[i].rollback.index
                  values \triangleq proposal[i].rollback.values @@ configuration.committed.values
                  \land configuration' = [configuration \ EXCEPT \ !.committed.index = index,]
            IN
                                                                     !.committed.values = values
                  \land proposal' = [proposal \ EXCEPT \ ![i].rollback.phase = Apply,
                                                          ![i].rollback.state = Pending]
                  \land history' = Append(history, [type \mapsto Rollback, phase \mapsto Commit, index \mapsto i])
   \land UNCHANGED \langle mastership, conn, target \rangle
ApplyRollback(n, i) \stackrel{\Delta}{=}
   \land proposal[i].rollback.phase = Apply
   \land \lor \land proposal[i].rollback.state = Pending
         \land \forall j \in \text{DOMAIN } proposal : j > i \Rightarrow
               \land proposal[i].rollback.phase = Apply
                \land proposal[j].rollback.state \in Done
         \land \lor \land proposal[i].change.phase = Apply
                \land proposal[i].change.state = Pending
                \land proposal' = [proposal \ EXCEPT \ ![i].change.state = Aborted,
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```
![i].rollback.state = Complete]
             \lor \land proposal[i].change.phase = Apply
                \land proposal[i].change.state \in Done
                \land proposal' = [proposal \ EXCEPT \ ![i].rollback.state = InProgress]
          \land UNCHANGED \langle configuration, target, history \rangle
       \lor \land proposal[i].rollback.state = InProgress
           Verify the applied term is the current mastership term to ensure the
           configuration has been synchronized following restarts.
          \land configuration.applied.term = mastership.term
           Verify the node's connection to the target.
          \land conn[n].connected
          \land target.running
          \land target' = [target \ \texttt{Except} \ !.values = proposal[i].rollback.values @@ target.values]
          \wedge LET index \stackrel{\triangle}{=} proposal[i].rollback.index
                  values \ \triangleq \ proposal[i].rollback.values @@ configuration.applied.values
            IN
                \land configuration' = [configuration \ EXCEPT \ !.applied.index = index,]
                                                                   !.applied.values = values
                \land proposal' = [proposal \ EXCEPT \ ![i].rollback.state = Complete]
                \land history' = Append(history, [type \mapsto Rollback, phase \mapsto Apply, index \mapsto i])
   \land UNCHANGED \langle mastership, conn \rangle
ReconcileProposal(n, i) \triangleq
   \land mastership.master = n
   \land \lor CommitChange(n, i)
       \vee ApplyChange(n, i)
       \vee CommitRollback(n, i)
       \vee ApplyRollback(n, i)
   \land UNCHANGED \langle mastership, conn \rangle
This section models changes to the proposal queue.
 Propose change at index 'i'
ProposeChange(i) \triangleq
   \land proposal[i].change.phase = None
   \land i-1 \in \text{DOMAIN } proposal \Rightarrow proposal[i-1].change.phase \neq None
   \land \exists p \in Path, v \in Value \cup \{None\}:
         \land proposal' = [proposal \ EXCEPT \ ![i].change.phase = Commit,
                                                ![i].change.state = Pending,
                                                ![i].change.values = (p:>[value \mapsto v])]
   \land UNCHANGED \langle configuration, mastership, conn, target, history <math>\rangle
 Rollback proposed change at index 'i'
ProposeRollback(i) \stackrel{\Delta}{=}
   \land proposal[i].change.phase \neq None
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Formal specification, constraints, and theorems. $Init \triangleq$ $\land proposal = [$ $i \in 1 \dots NumProposals \mapsto [$ $change \mapsto [$ $values \mapsto [p \in \{\} \mapsto [index \mapsto 0, value \mapsto None]],$ $phase \mapsto None,$ $state \mapsto None$, $rollback \mapsto [$ $index \mapsto 0,$ $values \mapsto [p \in \{\} \mapsto [index \mapsto 0, value \mapsto None]],$ $phase \mapsto None,$ $state \mapsto None$ $\land configuration = [$ $committed \mapsto [$ $index \mapsto 0,$ $values \mapsto [p \in \{\} \mapsto [index \mapsto 0, value \mapsto None]]],$ $applied \mapsto [$ $index \mapsto 0.$ $term \mapsto 0,$ $target \mapsto 0$, $values \mapsto [p \in \{\} \mapsto [index \mapsto 0, value \mapsto None]]],$ $status \mapsto Pending$ $\land mastership = [master \mapsto None, term \mapsto 0, conn \mapsto 0]$ $\land conn = [n \in Node \mapsto [id \mapsto 0, connected \mapsto FALSE]]$ $\land target = [$ $values \mapsto [p \in \{\} \mapsto [index \mapsto 0, value \mapsto None]],$ $running \mapsto FALSE$ $\wedge history = \langle \rangle$ $Next \triangleq$ $\vee \exists i \in 1 ... NumProposals :$ $\vee ProposeChange(i)$ $\vee ProposeRollback(i)$ $\vee \exists n \in Node, i \in DOMAIN \ proposal : ReconcileProposal(n, i)$ $\vee \exists n \in Node : ReconcileConfiguration(n)$ $\vee \exists n \in Node : ReconcileMastership(n)$ $\vee \exists n \in Node$:

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\vee ConnectNode(n)
        \vee DisconnectNode(n)
    \vee StartTarget
    \lor StopTarget
Spec \triangleq
    \wedge Init
    \wedge \Box [Next]_{vars}
    \land \forall i \in 1 ... NumProposals : WF_{vars}(ProposeChange(i) \lor ProposeRollback(i))
    \land \forall n \in Node, i \in 1... NumProposals : WF_{vars}(ReconcileProposal(n, i))
    \land \forall n \in Node : WF_{\langle configuration, \, mastership, \, conn, \, target \rangle}(ReconcileConfiguration(n))
    \land \forall n \in Node : WF_{\langle mastership, conn, target \rangle}(ReconcileMastership(n))
    \land \forall n \in Node : WF_{\langle conn, target \rangle}(ConnectNode(n) \lor DisconnectNode(n))
    \wedge \operatorname{WF}_{\langle target \rangle}(StartTarget)
    \wedge \operatorname{WF}_{\langle target \rangle}(StopTarget)
IsOrderedChange(p, i) \stackrel{\Delta}{=}
    \land history[i].type = Change
    \land history[i].phase = p
    \wedge \neg \exists j \in \text{DOMAIN } history :
            \wedge j < i
            \land history[j].type = Change
            \land history[j].phase = p
            \land history[j].index \ge history[i].index
IsOrderedRollback(p, i) \stackrel{\Delta}{=}
    \land history[i].type = Rollback
    \land history[i].phase = p
    \wedge \neg \exists j \in \text{DOMAIN } history :
            \wedge j < i
            \land history[j].type = Change
            \land history[j].phase = p
            \land history[j].index > history[i].index
            \wedge \neg \exists k \in \text{DOMAIN } history :
                    \wedge k > j
                     \land k < i
                     \land history[k].type = Rollback
                     \land history[k].phase = p
                     \land history[k].index = history[j].index
Order \triangleq
    \land \forall i \in \text{DOMAIN } history:
         \vee IsOrderedChange(Commit, i)
         \vee IsOrderedChange(Apply, i)
         \vee IsOrderedRollback(Commit, i)
         \vee IsOrderedRollback(Apply, i)
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\land \forall i \in \text{DOMAIN } proposal :
        \land proposal[i].change.phase = Apply
        \land proposal[i].change.state \notin \{Pending, Aborted\}
        \Rightarrow \forall j \in \text{DOMAIN } proposal :
              \wedge j < i
              \land proposal[j].change.phase = Apply
              \land proposal[j].change.state = Failed
              \Rightarrow \land proposal[j].rollback.phase = Apply
                  \land proposal[j].rollback.state = Complete
Consistency \triangleq
    \land target.running
    \land configuration.status = Complete
    \land configuration.applied.target = target.id
    \Rightarrow \forall i \in \text{DOMAIN } proposal :
          \land proposal[i].change.phase = Apply
          \land proposal[i].change.state = Complete
          \land proposal[i].rollback.state \neq Complete
          \Rightarrow \forall p \in DOMAIN \ proposal[i].change.values :
                 \land \neg \exists j \in DOMAIN \ proposal :
                        \wedge j > i
                        \land proposal[i].change.phase = Apply
                        \land proposal[i].change.state = Complete
                        \land proposal[i].rollback.state \neq Complete
                 \Rightarrow \land p \in \text{DOMAIN} \ target.values
                     \land target.values[p].value = proposal[i].change.values[p].value
                     \land target.values[p].index = proposal[i].change.values[p].index
Safety \triangleq \Box(Order \land Consistency)
THEOREM Spec \Rightarrow Safety
Termination \triangleq
   \forall i \in 1 ... NumProposals:
      \land proposal[i].change.phase = Commit \leadsto
            \lor \land proposal[i].change.phase = Commit
                \land proposal[i].change.state \in Done
            \lor proposal[i].change.phase = Apply
      \land proposal[i].change.phase = Apply \leadsto
            \land proposal[i].change.state \in Done
      \land proposal[i].rollback.phase = Commit \leadsto
            \lor \land proposal[i].rollback.phase = Commit
                \land proposal[i].rollback.state \in Done
            \lor proposal[i].rollback.phase = Apply
      \land proposal[i].rollback.phase = Apply \leadsto
            \land proposal[i].rollback.state \in Done
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$$\land \lor \land proposal[i].change.phase = Commit \\ \land proposal[i].change.state \in Done \\ \lor proposal[i].change.phase = Apply$$

 $Liveness \stackrel{\triangle}{=} Termination$

Theorem $Spec \Rightarrow Liveness$

- \ * Modification History
- * Last modified Fri Apr 21 18:30:03 PDT 2023 by jhalterm
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