
MODULE *Transaction*

INSTANCE *Naturals*

INSTANCE *FiniteSets*

INSTANCE *Sequences*

INSTANCE *TLC*

An empty constant

CONSTANT *Nil*

Transaction type constants

CONSTANTS

Change,

Rollback

$Type \triangleq \{Change, Rollback\}$

Proposal phase constants

CONSTANTS

Commit,

Apply

Status constants

CONSTANTS

Pending,

InProgress,

Complete,

Aborted,

Failed

$Status \triangleq \{Pending, InProgress, Complete, Aborted, Failed\}$

$Done \triangleq \{Complete, Aborted, Failed\}$

The set of all nodes

CONSTANT *Node*

$Empty \triangleq [p \in \{\} \mapsto Nil]$

Variables defined by other modules.

VARIABLES

proposal,

configuration

A transaction log. Transactions may either request a set of changes to a set of targets or rollback a prior change.

VARIABLE *transaction*

TypeOK \triangleq

$\forall i \in \text{DOMAIN } transaction :$
 $\wedge transaction[i].type \in Type$
 $\wedge transaction[i].index \in Nat$
 $\wedge transaction[i].init \in Status$
 $\wedge transaction[i].commit \in Status$
 $\wedge transaction[i].apply \in Status$
 $\wedge \forall p \in \text{DOMAIN } transaction[i].values :$
 $transaction[i].values[p] \neq Nil \Rightarrow transaction[i].values[p] \in STRING$

LOCAL *CurrState* \triangleq [

$transactions \mapsto [i \in \text{DOMAIN } transaction \mapsto transaction[i] @@ [ordinal \mapsto i]],$
 $proposals \mapsto [i \in \text{DOMAIN } proposal \mapsto proposal[i] @@ [ordinal \mapsto i]],$
 $configuration \mapsto configuration]$

LOCAL *SuccState* \triangleq

LET
 $transactions \triangleq \{i \in \text{DOMAIN } transaction' : i \in \text{DOMAIN } transaction \Rightarrow transaction'[i] \neq transaction[i]\}$
 $proposals \triangleq \{i \in \text{DOMAIN } proposal' : i \in \text{DOMAIN } proposal \Rightarrow proposal'[i] \neq proposal[i]\}$

IN

$[transactions \mapsto [i \in transactions \mapsto transaction'[i] @@ [ordinal \mapsto i]],$
 $proposals \mapsto [i \in proposals \mapsto proposal'[i] @@ [ordinal \mapsto i]]]$

Test \triangleq INSTANCE *Test* WITH

File \leftarrow "Transaction.log"

This section models configuration changes and rollbacks. Changes are appended to the transaction log and processed asynchronously.

Add a set of changes 'c' to the transaction log

RequestChange(*p*, *v*) \triangleq

$\wedge transaction' = Append(transaction, [$
 $type \mapsto Change,$
 $index \mapsto 0,$
 $values \mapsto (p :> v),$
 $init \mapsto InProgress,$
 $commit \mapsto Pending,$
 $apply \mapsto Pending])$
 $\wedge UNCHANGED \langle proposal, configuration \rangle$

[illegible][illegible]

If the prior change failed being applied, it must be rolled back before new changes can be applied.
 $\wedge \wedge \text{transaction}[i].\text{index} - 1 \in \text{DOMAIN } \text{proposal}$
 $\wedge \text{proposal}[\text{transaction}[i].\text{index} - 1].\text{change.phase} = \text{Apply}$
 $\wedge \text{proposal}[\text{transaction}[i].\text{index} - 1].\text{change.state} = \text{Failed}$
 $\Rightarrow \wedge \text{proposal}[\text{transaction}[i].\text{index} - 1].\text{rollback.phase} = \text{Apply}$
 $\wedge \text{proposal}[\text{transaction}[i].\text{index} - 1].\text{rollback.state} = \text{Complete}$
 $\wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{apply} = \text{InProgress}]$
 $\wedge \text{UNCHANGED } \langle \text{proposal} \rangle$
 If the commit phase was aborted or failed, abort the apply phase once the previous transaction has completed the apply phase.
 $\vee \wedge \text{transaction}[i].\text{commit} \in \{\text{Aborted}, \text{Failed}\}$
 A transaction cannot be applied until the prior transaction has been applied.
 $\wedge \text{IsApplied}(i - 1)$
 If the prior change failed being applied, it must be rolled back before new changes can be applied.
 $\wedge \wedge \text{transaction}[i].\text{index} - 1 \in \text{DOMAIN } \text{proposal}$
 $\wedge \text{proposal}[\text{transaction}[i].\text{index} - 1].\text{change.phase} = \text{Apply}$
 $\wedge \text{proposal}[\text{transaction}[i].\text{index} - 1].\text{change.state} = \text{Failed}$
 $\Rightarrow \wedge \text{proposal}[\text{transaction}[i].\text{index} - 1].\text{rollback.phase} = \text{Apply}$
 $\wedge \text{proposal}[\text{transaction}[i].\text{index} - 1].\text{rollback.state} = \text{Complete}$
 $\wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{apply} = \text{Aborted}]$
 $\wedge \text{UNCHANGED } \langle \text{proposal} \rangle$
 $\vee \wedge \text{transaction}[i].\text{apply} = \text{InProgress}$
 $\wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{change.phase} = \text{Apply}$
 If the change apply is still in the *Pending* state, set it to *InProgress*.
 $\wedge \vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{change.state} = \text{Pending}$
 $\wedge \text{proposal}' = [\text{proposal} \text{ EXCEPT } ![\text{transaction}[i].\text{index}].\text{change.state} = \text{InProgress}]$
 $\wedge \text{UNCHANGED } \langle \text{transaction} \rangle$
 If the change apply is *Complete*, mark the transaction *Complete*.
 $\vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{change.state} = \text{Complete}$
 $\wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{apply} = \text{Complete}]$
 $\wedge \text{UNCHANGED } \langle \text{proposal} \rangle$
 If the change apply *Failed*, mark the transaction *Failed*.
 $\vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{change.state} = \text{Failed}$
 $\wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{apply} = \text{Failed}]$
 $\wedge \text{UNCHANGED } \langle \text{proposal} \rangle$

$\text{ReconcileChange}(n, i) \triangleq$
 $\wedge \text{transaction}[i].\text{type} = \text{Change}$
 $\wedge \vee \text{InitChange}(n, i)$
 $\vee \text{CommitChange}(n, i)$
 $\vee \text{ApplyChange}(n, i)$

$InitRollback(n, i) \triangleq$
 $\wedge \vee \wedge transaction[i].init = InProgress$
 Rollbacks cannot be initialized until all prior transactions have been initialized.
 $\wedge IsInitialized(i - 1)$
 Rollback transactions must target valid proposal index.
 $\wedge \vee \wedge transaction[i].index \in DOMAIN\ proposal$
 To roll back a transaction, all subsequent transactions must be rolled back first.
 Check whether the following proposal is being rolled back.
 $\wedge \vee \wedge transaction[i].index + 1 \in DOMAIN\ proposal \Rightarrow$
 $proposal[transaction[i].index + 1].rollback.phase \neq Nil$
 $\wedge transaction' = [transaction\ EXCEPT\ ![i].init = Complete]$
 If the subsequent proposal is not being rolled back, fail the rollback transaction.
 $\vee \wedge transaction[i].index + 1 \in DOMAIN\ proposal$
 $\wedge proposal[transaction[i].index + 1].rollback.phase = Nil$
 $\wedge transaction' = [transaction\ EXCEPT\ ![i].init = Failed]$
 If the rollback index is not a valid proposal index, fail the rollback request.
 $\vee \wedge transaction[i].index \notin DOMAIN\ proposal$
 $\wedge transaction' = [transaction\ EXCEPT\ ![i].init = Failed]$
 $\wedge UNCHANGED\ \langle proposal \rangle$

$CommitRollback(n, i) \triangleq$
 $\wedge \vee \wedge transaction[i].commit = Pending$
 A transaction cannot be committed until the prior transaction has been committed.
 In the case of rollbacks, we serialize all state changes to ensure consistency
 when rolling back changes.
 $\wedge IsCommitted(i - 1)$
 If the transaction was initialized successfully, commit the rollback.
 $\wedge \vee \wedge transaction[i].init = Complete$
 If the target proposal is not yet being rolled back, transition the proposal.
 $\wedge \vee \wedge proposal[transaction[i].index].rollback.phase = Nil$
 Update the proposal's rollback state based on its change state.
 $\wedge \vee \wedge proposal[transaction[i].index].change.phase = Commit$
 If the target change is still pending, abort the change and rollback.
 $\wedge \vee \wedge proposal[transaction[i].index].change.state = Pending$
 $\wedge proposal' = [proposal\ EXCEPT\ ![transaction[i].index].change.state = Aborted,$
 $![transaction[i].index].rollback.phase = Commit$
 $![transaction[i].index].rollback.state = Aborted]$
 $\wedge UNCHANGED\ \langle transaction \rangle$
 If the target change is complete, start the rollback commit phase.
 $\vee \wedge proposal[transaction[i].index].change.state = Complete$
 $\wedge proposal' = [proposal\ EXCEPT\ ![transaction[i].index].rollback.phase = Commit,$
 $![transaction[i].index].rollback.state = Pending]$
 $\wedge UNCHANGED\ \langle transaction \rangle$
 If the target change failed commit, complete the rollback commit.

$$\begin{aligned}
& \vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{change.state} \in \{\text{Aborted}, \text{Failed}\} \\
& \wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{commit} = \text{Complete}] \\
& \wedge \text{UNCHANGED } \langle \text{proposal} \rangle \\
& \text{If the target change is in the } \text{Apply} \text{ phase, commit the rollback.} \\
& \vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{change.phase} = \text{Apply} \\
& \wedge \text{proposal}' = [\text{proposal} \text{ EXCEPT } ![\text{transaction}[i].\text{index}].\text{rollback.phase} = \text{Commit}, \\
& \quad \quad \quad ![\text{transaction}[i].\text{index}].\text{rollback.state} = \text{Pending}] \\
& \wedge \text{UNCHANGED } \langle \text{transaction} \rangle \\
& \text{If the target rollback is being committed, transition the underlying proposal.} \\
& \vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{rollback.phase} = \text{Commit} \\
& \quad \text{If the target proposal is being rolled back, begin the rollback commit} \\
& \quad \text{once the prior transaction has completed the commit phase.} \\
& \wedge \vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{rollback.state} = \text{Pending} \\
& \wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{commit} = \text{InProgress}] \\
& \wedge \text{UNCHANGED } \langle \text{proposal} \rangle \\
& \quad \text{If the target rollback was aborted, abort the transaction rollback} \\
& \quad \text{once the prior transaction has completed the commit phase.} \\
& \vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{rollback.state} = \text{Aborted} \\
& \wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{commit} = \text{Aborted}] \\
& \wedge \text{UNCHANGED } \langle \text{proposal} \rangle \\
& \text{If the transaction failed initialization, abort the commit phase.} \\
& \vee \wedge \text{transaction}[i].\text{init} \in \{\text{Aborted}, \text{Failed}\} \\
& \wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{commit} = \text{Aborted}] \\
& \wedge \text{UNCHANGED } \langle \text{proposal} \rangle \\
& \vee \wedge \text{transaction}[i].\text{commit} = \text{InProgress} \\
& \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{rollback.phase} = \text{Commit} \\
& \quad \text{If the rollback commit is still in the } \text{Pending} \text{ state, set it to } \text{InProgress}. \\
& \wedge \vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{rollback.state} = \text{Pending} \\
& \wedge \text{proposal}' = [\text{proposal} \text{ EXCEPT } ![\text{transaction}[i].\text{index}].\text{rollback.state} = \text{InProgress}] \\
& \wedge \text{UNCHANGED } \langle \text{transaction} \rangle \\
& \quad \text{If the rollback commit is } \text{Complete}, \text{ mark the transaction } \text{Complete}. \\
& \vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{rollback.state} = \text{Complete} \\
& \wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{commit} = \text{Complete}] \\
& \wedge \text{UNCHANGED } \langle \text{proposal} \rangle \\
& \quad \text{If the rollback commit } \text{Failed}, \text{ mark the transaction } \text{Failed}. \\
& \vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{rollback.state} = \text{Failed} \\
& \wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{commit} = \text{Failed}] \\
& \wedge \text{UNCHANGED } \langle \text{proposal} \rangle
\end{aligned}$$

$\text{ApplyRollback}(n, i) \triangleq$

$$\wedge \vee \wedge \text{transaction}[i].\text{apply} = \text{Pending}$$

A transaction cannot be applied until the prior transaction has been applied.

In the case of rollbacks, we serialize all state changes to ensure consistency

when rolling back changes.

$\wedge IsApplied(i - 1)$
 If the commit phase was completed successfully, start the apply phase.
 $\wedge \vee \wedge transaction[i].commit = Complete$
 If the target rollback is not yet being applied, transition the rollback.
 $\wedge \vee \wedge proposal[transaction[i].index].rollback.phase = Commit$
 Update the proposal's rollback state based on its change state.
 $\wedge \vee \wedge proposal[transaction[i].index].change.phase = Apply$
 If the target change is still pending, abort the change and rollback.
 $\wedge \vee \wedge proposal[transaction[i].index].change.state = Pending$
 $\wedge proposal' = [proposal \text{ EXCEPT } ![transaction[i].index].change.state = Aborted,$
 $![transaction[i].index].rollback.phase = Apply,$
 $![transaction[i].index].rollback.state = Aborted]$
 $\wedge \text{UNCHANGED } \langle transaction \rangle$
 If the target change is complete, start the rollback apply phase.
 $\vee \wedge proposal[transaction[i].index].change.state = Complete$
 $\wedge proposal' = [proposal \text{ EXCEPT } ![transaction[i].index].rollback.phase = Apply,$
 $![transaction[i].index].rollback.state = Pending]$
 $\wedge \text{UNCHANGED } \langle transaction \rangle$
 If the target change failed apply, complete the rollback apply.
 $\vee \wedge proposal[transaction[i].index].change.state \in \{Aborted, Failed\}$
 $\wedge transaction' = [transaction \text{ EXCEPT } ![i].apply = Complete]$
 $\wedge \text{UNCHANGED } \langle proposal \rangle$
 If the target change is in the *Commit* phase, abort the change and rollback.
 $\vee \wedge proposal[transaction[i].index].change.phase = Commit$
 $\wedge proposal' = [proposal \text{ EXCEPT } ![transaction[i].index].change.state = Aborted,$
 $![transaction[i].index].rollback.phase = Apply,$
 $![transaction[i].index].rollback.state = Aborted]$
 $\wedge \text{UNCHANGED } \langle transaction \rangle$
 If the target rollback is being applied, transition the underlying proposal.
 $\vee \wedge proposal[transaction[i].index].rollback.phase = Apply$
 If the target proposal is being rolled back, begin the rollback apply
 once the prior transaction has completed the apply phase.
 $\wedge \vee \wedge proposal[transaction[i].index].rollback.state = Pending$
 $\wedge transaction' = [transaction \text{ EXCEPT } ![i].apply = InProgress]$
 $\wedge \text{UNCHANGED } \langle proposal \rangle$
 If the target rollback was aborted, abort the transaction rollback
 once the prior transaction has completed the apply phase.
 $\vee \wedge proposal[transaction[i].index].rollback.state = Aborted$
 $\wedge transaction' = [transaction \text{ EXCEPT } ![i].apply = Aborted]$
 $\wedge \text{UNCHANGED } \langle proposal \rangle$
 If the transaction failed initialization, abort the apply phase.
 $\vee \wedge transaction[i].init \in \{Aborted, Failed\}$
 $\wedge transaction' = [transaction \text{ EXCEPT } ![i].apply = Aborted]$
 $\wedge \text{UNCHANGED } \langle proposal \rangle$
 $\vee \wedge transaction[i].apply = InProgress$

$\wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{rollback.phase} = \text{Apply}$
 If the rollback apply is still in the *Pending* state, set it to *InProgress*.
 $\wedge \vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{rollback.state} = \text{Pending}$
 $\wedge \text{proposal}' = [\text{proposal} \text{ EXCEPT } ![\text{transaction}[i].\text{index}].\text{rollback.state} = \text{InProgress}]$
 $\wedge \text{UNCHANGED } \langle \text{transaction} \rangle$
 If the rollback apply is *Complete*, mark the transaction *Complete*.
 $\vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{rollback.state} = \text{Complete}$
 $\wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{apply} = \text{Complete}]$
 $\wedge \text{UNCHANGED } \langle \text{proposal} \rangle$
 If the rollback apply *Failed*, mark the transaction *Failed*.
 $\vee \wedge \text{proposal}[\text{transaction}[i].\text{index}].\text{rollback.state} = \text{Failed}$
 $\wedge \text{transaction}' = [\text{transaction} \text{ EXCEPT } ![i].\text{apply} = \text{Failed}]$
 $\wedge \text{UNCHANGED } \langle \text{proposal} \rangle$

$\text{ReconcileRollback}(n, i) \triangleq$
 $\wedge \text{transaction}[i].\text{type} = \text{Rollback}$
 $\wedge \vee \text{InitRollback}(n, i)$
 $\vee \text{CommitRollback}(n, i)$
 $\vee \text{ApplyRollback}(n, i)$

Reconcile a transaction
 $\text{ReconcileTransaction}(n, i) \triangleq$
 $\wedge i \in \text{DOMAIN } \text{transaction}$
 $\wedge \vee \text{ReconcileChange}(n, i)$
 $\vee \text{ReconcileRollback}(n, i)$
 $\wedge \text{UNCHANGED } \langle \text{configuration} \rangle$
