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——— MODULE ConfigImpl -
INSTANCE Naturals
INSTANCE FiniteSets
{\tt INSTANCE}\ Sequences
LOCAL INSTANCE TLC
This section specifies constant parameters for the model.
Constant LogEnabled
Assume LogEnabled \in Boolean
CONSTANT None
Assume None \in \text{string}
CONSTANT Node
Assume \forall n \in Node : n \in String
CONSTANTS
   Change,
   Rollback
Event \triangleq \{Change, Rollback\}
Assume \forall e \in Event : e \in String
CONSTANTS
   Commit,
   Apply
Phase \triangleq \{Commit, Apply\}
\texttt{ASSUME} \ \forall \ p \in Phase : p \in \texttt{STRING}
CONSTANTS
   Pending,
   In Progress,
   Complete,
   Aborted,
   Failed
State \triangleq \{Pending, InProgress, Complete, Aborted, Failed\}
Working \triangleq \{Pending, InProgress\}
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Finished \triangleq \{Complete, Aborted, Failed\} ASSUME \ \forall s \in State : s \in STRING CONSTANT \ Path ASSUME \ \forall p \in Path : p \in STRING CONSTANT \ Value ASSUME \ \forall v \in Value : v \in STRING All Values \triangleq Value \cup \{None\} CONSTANT \ NumProposals ASSUME \ NumProposals \in Nat
```

This section defines model state variables.

```
proposal \ \stackrel{\Delta}{=} \ \ [ \ i \in 1 \ldots Nat \mapsto \ [
     phase \mapsto Phase,
      change \mapsto [
        values \mapsto Change,
         commit \mapsto State,
        apply \mapsto State,
      rollback \mapsto [
         index \mapsto Nat,
         values \mapsto Change,
         commit \mapsto State,
         apply \mapsto State]]]
configuration \stackrel{\Delta}{=} [
   committed \mapsto [
      index \mapsto Nat,
      values \mapsto Change,
   applied \mapsto \ [
      index\mapsto Nat,
      values \mapsto Change,
     term \mapsto Nat]]
mastership \; \stackrel{\Delta}{=} \; \; [
   master \mapsto \text{STRING},
   term\mapsto Nat,
   conn\mapsto Nat]
conn \ \stackrel{\Delta}{=} \ \ [ \ n \in \mathit{Node} \mapsto \ [
     id \qquad \mapsto Nat,
     connected \mapsto \texttt{BOOLEAN} ]]
target \stackrel{\Delta}{=} [
   id \mapsto Nat,
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```
values \mapsto Change,
  running \mapsto BOOLEAN
VARIABLE proposal
VARIABLE configuration
{\tt VARIABLE}\ mastership
VARIABLE conn
Variable target
Variable history
vars \triangleq \langle proposal, configuration, mastership, conn, target, history \rangle
LOCAL MastershipLog \stackrel{\triangle}{=} \text{INSTANCE } Log \text{ WITH}
                 ← "Mastership.log",
   File
    CurrState \leftarrow [
       target
                         \mapsto target,
       mastership
                       \mapsto mastership,
       conns
                         \mapsto conn],
   SuccState \leftarrow [
       target
                         \mapsto target',
       mastership
                         \mapsto mastership',
                         \mapsto conn'],
       conns
    Enabled \leftarrow LogEnabled
LOCAL ConfigurationLog \stackrel{\triangle}{=} INSTANCE Log WITH
                 \leftarrow "Configuration.log",
   File
    CurrState \leftarrow \lceil
       configuration \mapsto configuration,
       target
                         \mapsto target,
                        \mapsto mastership,
       mastership
                        \mapsto conn],
       conns
    SuccState \leftarrow [
       configuration \mapsto configuration',
       target
                         \mapsto target',
                         \mapsto mastership',
       mastership
                        \mapsto conn'],
       conns
   Enabled
                \leftarrow LogEnabled
LOCAL ProposalLog \stackrel{\Delta}{=} INSTANCE Log WITH
                 \leftarrow \text{``Proposal.log''},
    File
    CurrState \leftarrow [
                        \mapsto [i \in \{i \in DOMAIN \ proposal : proposal[i].phase \neq None\} \mapsto proposal[i]],
       proposals
```

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configuration \mapsto configuration,
       target
                        \mapsto target,
       mastership
                        \mapsto mastership,
       conns
                       \mapsto conn],
   SuccState \leftarrow [
      proposals
                       \mapsto [i \in \{i \in DOMAIN \ proposal' : proposal'[i].phase \neq None\} \mapsto proposal'[i]],
      configuration \mapsto configuration',
                        \mapsto target',
       target
      mastership
                        \mapsto mastership',
       conns
                       \mapsto conn'],
   Enabled \leftarrow LogEnabled
This section models configuration target.
StartTarget \triangleq
   \land \neg target.running
   \wedge target' = [target \ EXCEPT \ !.id]
                                                  = target.id + 1,
                                     !.running = TRUE
   ∧ UNCHANGED ⟨proposal, configuration, mastership, conn, history⟩
StopTarget \triangleq
   \land target.running
   \land target' = [target \ EXCEPT \ !.running = FALSE,
                                     !.values = [p \in \{\} \mapsto [value \mapsto None]]]
   \land conn' = [n \in Node \mapsto [conn[n] \text{ EXCEPT } !.connected = \text{FALSE}]]
   \land UNCHANGED \langle proposal, configuration, mastership, history <math>\rangle
This section models nodes connection to the configuration target.
ConnectNode(n) \triangleq
   \wedge \neg conn[n].connected
   \land \ target.running
   \wedge conn' = [conn \ EXCEPT \ ![n].id]
                                                     = conn[n].id + 1,
                                   ![n].connected = TRUE]
   ∧ UNCHANGED ⟨proposal, configuration, mastership, target, history⟩
DisconnectNode(n) \triangleq
   \land conn[n].connected
   \wedge conn' = [conn \ EXCEPT \ ![n].connected = FALSE]
   \land UNCHANGED \langle proposal, configuration, mastership, target, history <math>\rangle
```

This section models mastership reconciliation.

```
ReconcileMastership(n) \stackrel{\Delta}{=}
   \land \lor \land conn[n].connected
          \land mastership.master = None
          \land mastership' = [master \mapsto n, term \mapsto mastership.term + 1, conn \mapsto conn[n].id]
       \vee \wedge \neg conn[n].connected
          \land mastership.master = n
          \land mastership' = [mastership \ EXCEPT \ !.master = None]
   \land UNCHANGED \langle proposal, configuration, conn, target, history <math>\rangle
This section models configuration reconciliation.
ReconcileConfiguration(n) \stackrel{\Delta}{=}
    \land mastership.master = n
   \land \lor \land configuration.status \neq InProgress
          \land configuration.applied.term < mastership.term
          \land configuration' = [configuration EXCEPT !.status = InProgress]
          \land UNCHANGED \langle target \rangle
       \lor \land configuration.status = InProgress
          \land configuration.applied.term < mastership.term
          \land conn[n].connected
          \land target.running
          \land target' = [target \ Except \ !.values = configuration.applied.values]
          \land configuration' = [configuration EXCEPT !.applied.term = mastership.term,
                                                            !.applied.target = target.id,
                                                             !.status
                                                                                 = Complete
   \land UNCHANGED \langle proposal, mastership, conn, history \rangle
This section models proposal reconcilation.
CommitChange(n, i) \triangleq
   \land \lor \land proposal[i].change.commit = Pending
           To apply a change, the prior change must have been committed. Additionally,
           the configuration's applied index must match the proposed index to prevent
           commits while a prior change is still being rolled back.
          \land i-1 \in \text{DOMAIN } proposal \Rightarrow proposal[i-1].change.commit \in Finished
          \land proposal[i].rollback.commit = None
          \land \lor \land configuration.committed.proposal < i
                \land configuration.committed.index = configuration.committed.proposal
                \land configuration' = [configuration \ EXCEPT \ !.committed.proposal = i]
                \land UNCHANGED \langle proposal \rangle
             \lor \land configuration.committed.proposal = i
                \land configuration.committed.index \neq i
                \land \lor \texttt{LET} \ rollbackIndex \stackrel{\triangle}{=} \ configuration.committed.index
                           rollbackValues \stackrel{\Delta}{=} [p \in DOMAIN \ proposal[i].change.values \mapsto
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IF p \in DOMAIN configuration.committed.values THEN
                                                      configuration.committed.values[p]
                                                   ELSE
                                                      [index \mapsto 0, value \mapsto None]]
                         proposal' = [proposal \ EXCEPT \ ![i].rollback.index = rollbackIndex,
                                                              ![i].rollback.values = rollbackValues,
                                                              ![i].change.commit = InProgress]
                  \lor proposal' = [proposal \ EXCEPT \ ![i].change.commit = Failed]
               \land UNCHANGED \langle configuration \rangle
         \land UNCHANGED \langle history \rangle
      \lor \land proposal[i].change.commit = InProgress
         \land \lor \land configuration.committed.index \neq configuration.committed.proposal
               \land LET values \stackrel{\triangle}{=} [p \in DOMAIN \ proposal[i].change.values <math>\mapsto
                                       proposal[i].change.values[p] @@ [index \mapsto i]] @@
                                          configuration. committed. values\\
                       \land configuration' = [configuration \ EXCEPT \ !.committed.index = i,]
                 IN
                                                                         !.committed.values = values
                       \land history' = Append(history, [type \mapsto Change, phase \mapsto Commit, index \mapsto i])
                        \land UNCHANGED \langle proposal \rangle
            \lor \land configuration.committed.proposal = i
               \land configuration.committed.index = i
               \land proposal' = [proposal \ EXCEPT \ ![i].change.commit = Complete]
               ∧ UNCHANGED ⟨configuration, history⟩
      \lor \land proposal[i].change.commit = Failed
         \land configuration.committed.proposal = i
         \land configuration.committed.index \neq i
         \land configuration' = [configuration \ EXCEPT \ !.committed.index = configuration.committed.index]
         \land UNCHANGED \langle proposal, history \rangle
   \land UNCHANGED \langle mastership, conn, target \rangle
ApplyChange(n, i) \triangleq
   \land \lor \land proposal[i].change.apply = Pending
         \land proposal[i].rollback.apply = None
         \land \lor \land proposal[i].change.commit = Complete
               \land \forall j \in \text{DOMAIN } proposal : j < i \Rightarrow
                    \lor \land proposal[i].change.apply = Complete
                       \land proposal[j].rollback.apply \notin Working
                    \lor \land proposal[j].change.apply = Failed
                       \land proposal[j].rollback.apply = Complete
               \land proposal' = [proposal \ EXCEPT \ ![i].change.apply = InProgress]
            \lor \land proposal[i].change.commit \in \{Aborted, Failed\}
               \land proposal' = [proposal \ EXCEPT \ ![i].change.apply = Aborted]
         \land UNCHANGED \langle configuration, target, history \rangle
      \lor \land proposal[i].change.apply = InProgress
          Verify the applied term is the current mastership term to ensure the
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configuration has been synchronized following restarts.
         \land configuration.applied.term = mastership.term
          Verify the node's connection to the target.
         \land conn[n].connected
         \land mastership.conn = conn[n].id
         \land target.running
          Model successful and failed target update requests.
         \land \lor \land \texttt{LET} \ values \stackrel{\triangle}{=} [p \in \texttt{DOMAIN} \ proposal[i].change.values \mapsto
                                       proposal[i].change.values[p]@@[index \mapsto i]]
                        \land target' = [target \ EXCEPT \ !.values = values @@ target.values]
                 IN
                        \land configuration' = [configuration \ EXCEPT \ !.applied.index = i,
                                                                           !.applied.values = values @@
                                                                             configuration.applied.values
                        \land proposal' = [proposal \ EXCEPT \ ![i].change.apply = Complete]
                        \land history' = Append(history, [type \mapsto Change, phase \mapsto Apply, index \mapsto i])
            \lor \land proposal' = [proposal \ EXCEPT \ ![i].change.apply = Failed]
               \land UNCHANGED \langle configuration, target, history \rangle
   \land UNCHANGED \langle mastership, conn \rangle
CommitRollback(n, i) \triangleq
   \land \lor \land proposal[i].rollback.commit = Pending
         \land i + 1 \in \text{DOMAIN } proposal \Rightarrow proposal[i + 1].rollback.commit = Complete
         \land \lor \land proposal[i].change.commit = Pending
               \land proposal' = [proposal \ EXCEPT \ ![i].change.commit = Aborted,
                                                       ![i].rollback.commit = Complete]
               \land UNCHANGED \langle configuration \rangle
            \lor \land proposal[i].change.commit \neq Pending
               \land configuration.committed.proposal = i
               \land configuration.committed.index = i
               \land configuration' = [configuration \ EXCEPT \ !.committed.proposal = proposal[i].rollback.index]
               \land UNCHANGED \langle proposal \rangle
            \lor \land proposal[i].change.commit \neq Pending
               \land configuration.committed.proposal = proposal[i].rollback.index
               \land configuration.committed.index = i
               \land proposal' = [proposal \ EXCEPT \ ![i].rollback.commit = InProgress]
               \land UNCHANGED \langle configuration \rangle
         \land UNCHANGED \langle history \rangle
      \lor \land proposal[i].rollback.commit = InProgress
         \land \lor \land configuration.committed.index \neq configuration.committed.proposal
               \land LET index \stackrel{\triangle}{=} proposal[i].rollback.index
                        values \triangleq proposal[i].rollback.values @@ configuration.committed.values
                        \land configuration' = [configuration EXCEPT !.committed.index = index,
                                                                           !.committed.values = values
                        \land history' = Append(history, [type \mapsto Rollback, phase \mapsto Commit, index \mapsto i])
                        \land UNCHANGED \langle proposal \rangle
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\lor \land configuration.committed.proposal = i
                \land \ configuration.committed.index = i
                \land proposal' = [proposal \ EXCEPT \ ![i].rollback.commit = Complete]
                \land UNCHANGED \langle configuration, history \rangle
   \land UNCHANGED \langle mastership, conn, target \rangle
ApplyRollback(n, i) \triangleq
   \land \lor \land proposal[i].rollback.apply = Pending
         \land proposal[i].rollback.commit = Complete
         \land \forall j \in DOMAIN \ proposal: j > i \land proposal[j].phase \neq None \Rightarrow
              proposal[j].rollback.apply \in Finished
         \land \lor \land proposal[i].change.apply = Pending
                \land proposal' = [proposal \ EXCEPT \ ![i].change.apply = Aborted,
                                                      ![i].rollback.apply = Complete]
            \lor \land proposal[i].change.apply \in Finished
                \land proposal' = [proposal \ EXCEPT \ ![i].rollback.apply = InProgress]
         \land UNCHANGED \langle configuration, target, history \rangle
      \lor \land proposal[i].rollback.apply = InProgress
          Verify the applied term is the current mastership term to ensure the
          configuration has been synchronized following restarts.
         \land configuration.applied.term = mastership.term
          Verify the node's connection to the target.
         \land conn[n].connected
         \land target.running
         \land target' = [target \ EXCEPT \ !.values = proposal[i].rollback.values @@ target.values]
         \land LET index \stackrel{\triangle}{=} proposal[i].rollback.index
                  values \triangleq proposal[i].rollback.values @@ configuration.applied.values
            IN
                \land configuration' = [configuration \ EXCEPT \ !.applied.index = index,]
                                                                  !.applied.values = values
                \land proposal' = [proposal \ EXCEPT \ ![i].rollback.apply = Complete]
                \land history' = Append(history, [type \mapsto Rollback, phase \mapsto Apply, index \mapsto i])
   \land UNCHANGED \langle mastership, conn \rangle
ReconcileProposal(n, i) \triangleq
   \land mastership.master = n
   \land \lor CommitChange(n, i)
      \vee ApplyChange(n, i)
      \vee CommitRollback(n, i)
      \vee ApplyRollback(n, i)
   \land UNCHANGED \langle mastership, conn \rangle
```

This section models changes to the proposal queue.

```
Propose change at index 'i'
ProposeChange(i) \triangleq
    \land proposal[i].phase = None
    \land i-1 \in \text{DOMAIN } proposal \Rightarrow proposal[i-1].phase \neq None
    \land \exists p \in Path, v \in AllValues:
         \land proposal' = [proposal \ EXCEPT \ ![i].phase]
                                                                          = Change,
                                                 ![i].change.values = (p:>[value \mapsto v]),
                                                 ![i].change.commit = Pending,
                                                 ![i].change.apply = Pending
    \land UNCHANGED \langle configuration, mastership, conn, target, history <math>\rangle
 Rollback proposed change at index 'i'
ProposeRollback(i) \triangleq
    \land proposal[i].phase = Change
    \land proposal' = [proposal \ EXCEPT \ ![i].phase]
                                                                     = Rollback,
                                            ![i].rollback.commit = Pending,
                                            ![i].rollback.apply = Pending]
    \land UNCHANGED \langle configuration, mastership, conn, target, history <math>\rangle
Formal specification, constraints, and theorems.
Init \triangleq
    \land proposal = [
          i \in 1 \dots NumProposals \mapsto [
            phase
                       \mapsto None,
            change \mapsto [
                values \mapsto [p \in \{\} \mapsto [index \mapsto 0, value \mapsto None]],
                commit \mapsto None,
                apply \mapsto None].
            rollback \mapsto [
                index \mapsto 0,
                values \mapsto [p \in \{\} \mapsto [index \mapsto 0, value \mapsto None]],
                commit \mapsto None,
                apply \mapsto None
    \land configuration = [
          committed \mapsto [
             proposal \mapsto 0,
```

 $\mapsto [p \in \{\} \mapsto [index \mapsto 0, value \mapsto None]]],$ 

 $\mapsto [p \in \{\} \mapsto [index \mapsto 0, value \mapsto None]]],$ 

index

 $values \mapsto [p]$   $applied \mapsto [$   $proposal \mapsto 0.$ 

index

term

 $target \mapsto 0,$ 

 $\mapsto 0$ ,

 $\mapsto 0,$  $\mapsto 0,$ 

```
status \mapsto Pending
    \land mastership = [master \mapsto None, term \mapsto 0, conn \mapsto 0]
    \land conn = [n \in Node \mapsto [id \mapsto 0, connected \mapsto FALSE]]
    \wedge target = [
          id
          values \mapsto [p \in \{\} \mapsto [index \mapsto 0, value \mapsto None]],
          running \mapsto FALSE
    \wedge history = \langle \rangle
Next \triangleq
    \vee \exists i \in 1 ... NumProposals :
         \vee ProposeChange(i)
         \lor ProposeRollback(i)
    \vee \exists n \in Node, i \in DOMAIN \ proposal :
         ProposalLog!Action(ReconcileProposal(n, i), [node \mapsto n, index \mapsto i])
    \vee \exists n \in Node:
         ConfigurationLog!Action(ReconcileConfiguration(n), [node \mapsto n])
    \vee \exists n \in Node:
         MastershipLog!Action(ReconcileMastership(n), [node \mapsto n])
    \vee \exists n \in Node:
       \vee ConnectNode(n)
       \vee DisconnectNode(n)
    \vee StartTarget
    \vee Stop Target
Spec \triangleq
    \wedge Init
    \wedge \Box [Next]_{vars}
    \land \forall i \in 1 ... NumProposals : WF_{vars}(ProposeChange(i) \lor ProposeRollback(i))
    \land \forall n \in Node, i \in 1...NumProposals : WF_{vars}(ReconcileProposal(n, i))
    \land \forall n \in Node : WF_{(configuration, mastership, conn, target)}(ReconcileConfiguration(n))
    \land \forall n \in Node : WF_{\langle mastership, conn, target \rangle}(ReconcileMastership(n))
    \land \forall n \in Node : WF_{\langle conn, target \rangle}(ConnectNode(n) \lor DisconnectNode(n))
    \wedge \operatorname{WF}_{\langle target \rangle}(StartTarget)
    \wedge \operatorname{WF}_{\langle target \rangle}(StopTarget)
Mapping \stackrel{\Delta}{=} INSTANCE Config WITH
   proposal \leftarrow [i \in \text{DOMAIN } proposal \mapsto
       [proposal[i]] EXCEPT !.change.commit
                                                         = IF \land proposal[i].change.commit = InProgress
                                                                  \land configuration.committed.index = i
                                                               THEN Complete
                                                               ELSE proposal[i].change.commit,
                                                          = IF \land proposal[i].change.apply = InProgress
                                  !.change.apply
                                                                  \land configuration.applied.index = i
                                                               THEN Complete
                                                               ELSE proposal[i].change.apply,
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!.rollback.commit = IF \land proposal[i].rollback.commit = InProgress
                                                         \land configuration.committed.index = proposal[i].rollback.ind
                                                       THEN Complete
                                                       ELSE proposal[i].rollback.commit,
                              !.rollback.apply
                                                   = IF \land proposal[i].rollback.apply = InProgress
                                                         \land configuration.applied.index = proposal[i].rollback.index
                                                       Then Complete
                                                       ELSE proposal[i].rollback.apply]],
   configuration \leftarrow [
      committed \mapsto [
         index \mapsto configuration.committed.index,
         values \mapsto configuration.committed.values],
      applied \mapsto [
         index \mapsto configuration.applied.index,
         term \quad \mapsto configuration.applied.term,
         target \mapsto configuration.applied.target,
         values \mapsto configuration.applied.values],
      status \mapsto configuration.status
Refinement \triangleq Mapping! Spec
Order \triangleq Mapping! Order
Consistency \triangleq Mapping! Consistency
Liveness \triangleq Mapping!Liveness
Sequential \triangleq Mapping! Sequential
```