```
- MODULE Config
INSTANCE Naturals
INSTANCE FiniteSets
{\tt INSTANCE}\ Sequences
INSTANCE TLC
 An empty constant
CONSTANT Nil
 Transaction type constants
CONSTANTS
   Transaction Change,
   Transaction Rollback \\
 Transaction status constants
CONSTANTS
   Transaction Pending,\\
   Transaction Validating,
   Transaction Applying,
   Transaction Complete,
   Transaction Failed \\
 Configuration status constants
CONSTANTS
   ConfigurationPending,
   ConfigurationInitializing,
   Configuration \ Updating,
   Configuration Complete,
   Configuration Failed \\
 The set of all nodes
CONSTANT Node
Target is the set of all targets and their possible paths and values.
Example: Target \stackrel{\Delta}{=} [
   target1 \mapsto [
     path1 \mapsto \{\text{``value1''}, \text{``value2''}\},\
     path2 \mapsto \{\text{``value2''}, \text{``value3''}\}\],
    target2 \mapsto
      path2 \mapsto \{ "value3", "value4" \},
```

 $path3 \mapsto \{\text{``value4''}, \text{``value5''}\}]]$

CONSTANT Target

```
Assume Nil \in \text{string}
Assume TransactionPending \in String
Assume Transaction Validating \in String
Assume TransactionApplying \in String
Assume TransactionComplete \in String
Assume TransactionFailed \in String
Assume ConfigurationPending \in String
Assume Configuration Initializing \in String
Assume ConfigurationUpdating \in String
Assume ConfigurationComplete \in String
Assume ConfigurationFailed \in String
ASSUME \land IsFiniteSet(Node)
          \land \forall n \in Node:
               \land n \notin \text{DOMAIN } Target
               \land n \in \text{STRING}
Assume \land \forall t \in \text{domain } Target :
               \land t \notin Node
               \land t \in \text{STRING}
               \land \forall p \in \text{DOMAIN } Target[t]:
```

IsFiniteSet(Target[t][p])

Configuration update/rollback requests are tracked and processed through two data types. Transactions represent the lifecycle of a single configuration change request and are stored in an appendonly log. Configurations represent the desired configuration of a gNMI target based on the aggregate of relevant changes in the Transaction log.

```
TYPE TransactionType ::= type \in
  { Transaction Change,
   TransactionRollback
{\tt TYPE}\ \textit{TransactionStatus} ::= \textit{status} \in
  { TransactionPending,
   Transaction Validating,
   Transaction Applying,
   Transaction Complete,
   TransactionFailed
TYPE Transaction \stackrel{\triangle}{=} [
  type
         ::= type \in TransactionType,
  index ::= index \in Nat,
  revision ::= revision \in Nat,
  atomic ::= atomic \in BOOLEAN,
  sync ::= sync \in BOOLEAN,
  changes ::= [target \in SUBSET (DOMAIN Target) \mapsto [target \in SUBSET (DOMAIN Target)]
       path \in \text{SUBSET} (DOMAIN \ Target[target]) \mapsto [
```

```
value ::= value \in STRING,
           delete ::= delete \in BOOLEAN ]]],
    rollback ::= index \in Nat,
    sources ::=
      \mathit{target} \in \mathtt{SUBSET} \ \ (\mathtt{DOMAIN} \ \mathit{Target}) \ \mapsto \ [ \ \mathit{path} \in \mathtt{SUBSET} \ \ (\mathtt{DOMAIN} \ \mathit{Target}[\mathit{target}]) \ \mapsto \ [
           value ::= value \in STRING,
           index ::= index \in Nat]]],
    status ::= status \in TransactionStatus
  {\bf TYPE}\ ConfigurationStatus ::= status \in
    \{Configuration Pending,
     ConfigurationInitializing,
     Configuration Updating,
     Configuration Complete,
     ConfigurationFailed}
  TYPE Configuration \stackrel{\Delta}{=} [
            ::=id \in STRING,
    revision ::= revision \in Nat,
    target ::= target \in STRING,
    paths ::= [path \in SUBSET (DOMAIN Target[target]) \mapsto [
         value ::= value \in STRING,
         index ::= index \in Nat,
         deleted ::= delete \in BOOLEAN ]],
    txIndex ::= txIndex \in Nat,
    syncIndex ::= syncIndex \in Nat,
    term
           ::= term \in Nat,
    status ::= status \in ConfigurationStatus
 A transaction log. Transactions may either request a set
 of changes to a set of targets or rollback a prior change.
Variable transaction
 A record of per-target configurations
VARIABLE configuration
 A record of target states
Variable target
 A record of target masters
VARIABLE master
 A history variable tracking past configuration changes
VARIABLE history
vars \triangleq \langle transaction, configuration, master, target, history \rangle
```

This section models mastership for the configuration service.

Mastership is used primarily to track the lifecycle of individual configuration targets and react to state changes on the southbound. Each target is assigned a master from the Node set, and masters can be unset when the target disconnects.

This section models configuration changes and rollbacks. Changes are appended to the transaction log and processed asynchronously.

```
Value(s, t, p) \triangleq
   LET value \stackrel{\triangle}{=} CHOOSE v \in s : v.target = t \land v.path = p
       [value \mapsto value.value,
        delete \mapsto value.delete
Paths(s, t) \triangleq
   [p \in \{v.path : v \in \{v \in s : v.target = t\}\} \mapsto Value(s, t, p)]
Changes(s) \stackrel{\Delta}{=}
   [t \in \{v.target : v \in s\} \mapsto Paths(s, t)]
ValidValues(t, p) \triangleq
   UNION \{\{[value \mapsto v, delete \mapsto FALSE] : v \in Target[t][p]\}, \{[value \mapsto Nil, delete \mapsto TRUE]\}\}
ValidPaths(t) \stackrel{\Delta}{=}
   UNION \{\{v @@ [path \mapsto p] : v \in ValidValues(t, p)\} : p \in DOMAIN Target[t]\}
ValidTargets \triangleq
   UNION \{\{p @@[target \mapsto t] : p \in ValidPaths(t)\} : t \in DOMAIN Target\}
 The set of all valid sets of changes to all targets and their paths.
 The set of possible changes is computed from the Target model value.
ValidChanges \triangleq
   Let changeSets \triangleq \{s \in SUBSET \ ValidTargets : \}
                                 \forall t \in \text{DOMAIN } Target :
                                    \forall p \in \text{DOMAIN } Target[t]:
                                      Cardinality(\{v \in s : v.target = t \land v.path = p\}) \le 1\}
   IN
```

```
\{Changes(s): s \in changeSets\}
 The next available index in the transaction log.
 This is computed as the max of the existing indexes in the log to
 allow for changes to the \log (e.g. \log compaction) to be modeled.
NextIndex \triangleq
   IF DOMAIN transaction = \{\} THEN
      1
   ELSE
      LET i \stackrel{\Delta}{=} CHOOSE i \in DOMAIN \ transaction:
           \forall j \in \text{DOMAIN } transaction : i \geq j
      IN i+1
 Add a set of changes 'c' to the transaction log
Change(c) \triangleq
   \land transaction' = transaction @@ (NextIndex:> [type])
                                                                          \mapsto TransactionChange,
                                                                index \mapsto NextIndex,
                                                                atomic \mapsto FALSE,
                                                                sync
                                                                          \mapsto FALSE,
                                                                changes \mapsto c,
                                                                sources \mapsto \langle \rangle,
                                                                status \mapsto TransactionPending
       UNCHANGED \langle configuration, master, target, history \rangle
 Add a rollback of transaction 't' to the transaction log
Rollback(t) \stackrel{\Delta}{=}
   \land transaction[t].type = TransactionChange
   \land transaction' = transaction @@(NextIndex:>[type]
                                                                        \mapsto TransactionRollback,
                                                                        \mapsto NextIndex,
                                                              index
                                                             atomic \mapsto FALSE,
                                                             sync
                                                                        \mapsto FALSE,
                                                             rollback \mapsto t,
                                                             status
                                                                      \mapsto TransactionPending
   \land UNCHANGED \langle configuration, master, target, history \rangle
```

This section models the Transaction log reconciler.

Transactions come in two flavors: - Change transactions contain a set of changes to be applied to a set of targets - Rollback transactions reference a prior change transaction to be reverted to the previous state

Both types of transaction are reconciled in stages:

- * Pending waiting for prior transactions to complete
- * Validating validating the requested changes
- * Applying applying the changes to target configurations
- * Complete completed applying changes successfully
- * Failed failed applying changes

```
Reconcile a change transaction
ReconcileChange(n, i) \triangleq
    If the transaction is Pending, begin validation if the prior transaction
    has already been applied. This simplifies concurrency control in the controller
    and guarantees transactions are applied to the configurations in sequential order.
   \vee \wedge transaction[i].status = TransactionPending
      \land \lor \land i-1 \in \text{DOMAIN} \ transaction
            \land transaction[i-1].status \in \{TransactionComplete, TransactionFailed\}
         \forall i-1 \notin DOMAIN \ transaction
      \land transaction' = [transaction \ Except \ ![i].status = Transaction Validating]
      \land UNCHANGED \langle configuration, history \rangle
    If the transaction is in the Validating state, compute and validate the
    Configuration for each target.
   \lor \land transaction[i].status = TransactionValidating
       If validation fails any target, mark the transaction Failed.
       If validation is successful, proceed to Applying.
      \wedge \exists valid \in BOOLEAN:
           IF valid THEN
               \land transaction' = [transaction \ EXCEPT \ ![i].status = TransactionApplying]
               \land transaction' = [transaction \ EXCEPT \ ![i].status = TransactionFailed]
      \land UNCHANGED \langle configuration, history \rangle
    If the transaction is in the Applying state, update the Configuration for each
    target and Complete the transaction.
   \lor \land transaction[i].status = TransactionApplying
       Update the target configurations, adding the transaction index to each updated path
      \land configuration' = [
            t \in \text{DOMAIN } Target \mapsto
              IF t \in \text{DOMAIN } transaction[i].changes \text{ THEN}
                 [configuration[t]] EXCEPT
                     !.paths = [p \in DOMAIN \ transaction[i].changes[t] \mapsto
                                   [index \mapsto transaction[i].index,
                                   value \mapsto transaction[i].changes[t][p].value,
                                   deleted \mapsto transaction[i].changes[t][p].delete]]
                           @@ configuration[t].paths,
                     !.txIndex = transaction[i].index,
                     !.status = ConfigurationPending
               ELSE
                  configuration[t]]
      \land history' = [r \in DOMAIN \ Target \mapsto Append(history[r], configuration'[r])]
       The transaction state is updated to include the source configuration modified.
       The source configuration is used to optimize rollbacks.
       Note that in a real-world implementation, the order of updates to the configuration
       and to add the source info to the transaction could have serious ramifications.
       If one is updated without the other, rollbacks may not be possible.
```

```
\land transaction' = [transaction \ EXCEPT]
            ![i].status = TransactionComplete,
            ![i].sources = [t \in DOMAIN \ transaction[i].changes \mapsto
               LET updatePaths \triangleq \{p \in DOMAIN \ transaction[i].changes[t] :
                                           \neg transaction[i].changes[t][p].delete
                   [p \in updatePaths \cap DOMAIN\ configuration[t].paths \mapsto configuration[t].paths[p]]]]
 Reconcile a rollback transaction
ReconcileRollback(n, i) \triangleq
    If the transaction is Pending, begin validation if the prior transaction
    has already been applied. This simplifies concurrency control in the controller
    and guarantees transactions are applied to the configurations in sequential order.
   \lor \land transaction[i].status = TransactionPending
      \land \lor \land i-1 \in \text{DOMAIN} \ transaction
            \land transaction[i-1].status \in \{TransactionComplete, TransactionFailed\}
         \forall i-1 \notin \text{DOMAIN } transaction
      \land transaction' = [transaction \ EXCEPT \ ![i].status = Transaction Validating]
      \land UNCHANGED \langle configuration, history \rangle
    If the transaction is in the Validating state, validate the rollback.
    A transaction can only be rolled back if:
    1. The source transaction is in the log
    2. The source transaction was applied successfully (did not fail validation)
    3. The source transaction is the most recent change for each path is modified
   \lor \land transaction[i].status = TransactionValidating
      \land \lor \land transaction[transaction[i].rollback].status = TransactionComplete
            \land \lor \land transaction[i].rollback \in DOMAIN transaction
                   Determine whether the source transaction is the most recent change
                   by comparing the configuration path indexes to the transaction index.
                  \land Let canRollback \stackrel{\triangle}{=} \forall t \in DOMAIN \ transaction[transaction[i].rollback].changes:
                                               \forall p \in DOMAIN \ transaction[transaction[i].rollback].changes[t]:
                                                 configuration[t].paths[p].index = transaction[i].rollback
                    IN
                        IF canRollback Then
                            \land transaction' = [transaction \ EXCEPT \ ![i].status = TransactionApplying]
                         ELSE
                            \land transaction' = [transaction \ EXCEPT \ ![i].status = TransactionFailed]
                If the source transaction is not in the log, fail the rollback.
               \lor \land transaction[i].rollback \notin DOMAIN transaction
                  \land transaction' = [transaction \ EXCEPT \ ![i].status = TransactionFailed]
          If the source transaction failed, fail the rollback.
         \lor \land transaction[transaction[i].rollback].status = TransactionFailed
            \land transaction' = [transaction \ EXCEPT \ ![i].status = TransactionFailed]
      \land UNCHANGED \langle configuration, history \rangle
    If the transcation is in the Applying state, roll back the Configuration for
    each target and Complete the transaction.
```

```
\lor \land transaction[i].status = TransactionApplying
        Target configurations are rolled back by reverting to the source paths/values
        stored in the transaction when it was applied.
       \land configuration' = [
             t \in \text{DOMAIN } Target \mapsto
               IF t \in \text{DOMAIN} \ transaction[transaction[i].rollback].changes \ \text{THEN}
                                       \triangleq \{p \in \text{DOMAIN } transaction[transaction[i].rollback].changes[t]:
                   LET adds
                                               \land p \notin DOMAIN \ transaction[transaction[i].rollback].sources[t]
                                               \land \neg transaction[transaction[i].rollback].changes[t][p].delete
                                       \stackrel{\Delta}{=} \{ p \in \text{DOMAIN } transaction[transaction[i].rollback].changes[t] :
                         updates
                                               \land p \in \text{DOMAIN} \ transaction[transaction[i].rollback].sources[t]
                                               \land \neg transaction[transaction[i].rollback].changes[t][p].delete
                                       \begin{tabular}{ll} $\triangleq$ $ \{p \in {\tt DOMAIN} \ transaction[transaction[i].rollback].changes[t]: \\ \end{tabular} 
                         removes
                                               \land p \in \text{DOMAIN} \ transaction[transaction[i].rollback].sources[t]
                                               \land transaction[transaction[i].rollback].changes[t][p].delete
                                       \stackrel{\triangle}{=} adds \cup updates \cup removes
                         changes
                         unchanges \stackrel{\Delta}{=} DOMAIN \ configuration[t].paths \setminus changes
                   IN
                      [configuration[t]] EXCEPT
                          !.paths = [p \in unchanges \mapsto configuration[t].paths[p]]
                                         @@ [p \in updates \cup removes \mapsto
                                                  transaction[transaction[i].rollback].sources[t][p]],
                          !.txIndex = transaction[i].index,
                          !.status = ConfigurationPending
                ELSE
                   configuration[t]]
       \land history' = [r \in DOMAIN \ Target \mapsto Append(history[r], configuration'[r])]
       \land transaction' = [transaction \ EXCEPT \ ![i].status = TransactionComplete]
 Reconcile a transaction in the transaction log
 Transactions can be of one of two types: Change and Rollback.
 The logic for processing different types of transactions differs.
ReconcileTransaction(n, i) \stackrel{\Delta}{=}
    \land \lor \land transaction[i].type = TransactionChange
          \land ReconcileChange(n, i)
       \lor \land transaction[i].type = TransactionRollback
          \land ReconcileRollback(n, i)
    \land UNCHANGED \langle master, target \rangle
This section models the Configuration reconciler.
```

```
 \begin{aligned} Reconcile Configuration(n,\ c) &\stackrel{\triangle}{=} \\ & \land \lor \land configuration[c].status = ConfigurationPending \\ & \land master[configuration[c].target].master \neq Nil \\ & \text{If the configuration is marked } ConfigurationPending \text{ and mastership} \end{aligned}
```

```
has changed (indicated by an increased mastership term), mark the
       configuration Configuration Initializing to force full re-synchronization.
   \land \lor \land master[configuration[c].target].term > configuration[c].term
         \land configuration' = [configuration \ EXCEPT \ ![c].status = ConfigurationInitializing,]
                                                               ![c].term = master[configuration[c].target].term]
         \land history' = [history \ EXCEPT \ ![c] = Append(history[c], configuration'[c])]
       If the configuration is marked ConfigurationPending and the values have
       changed (determined by comparing the transaction index to the last sync
       index), mark the configuration Configuration Updating to push the changes
       to the target.
      \vee \wedge master[configuration[c].target].term = configuration[c].term
         \land configuration[c].syncIndex < configuration[c].txIndex
         \land configuration' = [configuration EXCEPT ![c].status = ConfigurationUpdating]
         \land history' = [history \ EXCEPT \ ![c] = Append(history[c], configuration'[c])]
   \land UNCHANGED \langle target \rangle
\vee \wedge configuration[c].status = ConfigurationInitializing
   \land master[configuration[c].target].master = n
   Merge the configuration paths with the target paths, removing paths
   that have been marked deleted
   \land LET deletePaths \triangleq \{p \in \text{DOMAIN } configuration[c].paths : configuration[c].paths[p].deleted\} \\ configPaths \triangleq \text{DOMAIN } configuration[c].paths \setminus deletePaths
           targetPaths \stackrel{\triangle}{=} DOMAIN \ target[configuration[c].target] \setminus deletePaths
          \land target' = [target \ EXCEPT \ ! [configuration[c].target] =
                [p \in configPaths \mapsto [value \mapsto configuration[c].paths[p]]]
                   @@ [p \in targetPaths \mapsto target[configuration[c].target][p]]]
          Set the configuration's status to Complete
   \land configuration' = [configuration \ EXCEPT \ ![c].status]
                                                                           = Configuration Complete,
                                                        ![c].syncIndex = configuration[c].txIndex]
   \land history' = [history \ EXCEPT \ ![c] = Append(history[c], configuration'[c])]
If the configuration is marked Configuration Updating, we only need to
push paths that have changed since the target was initialized or last
updated by the controller. The set of changes made since the last
synchronization are identified by comparing the index of each path-value
to the last synchronization index, syncIndex
\lor \land configuration[c].status = ConfigurationUpdating
   \land master[configuration[c].target].master = n
    Compute the set of updated and deleted paths by comparing
   their indexes to the target s last sync index.
   \land LET updatePaths \stackrel{\triangle}{=} \{p \in DOMAIN \ configuration[c].paths:
                                      configuration[c].paths[p].index > configuration[c].syncIndex\}
           \begin{array}{ll} \textit{deletePaths} & \triangleq \{p \in \textit{updatePaths} : \textit{configuration}[c].\textit{paths}[p].\textit{deleted}\} \\ \textit{configPaths} & \triangleq \textit{updatePaths} \setminus \textit{deletePaths} \end{array}
           targetPaths \triangleq DOMAIN \ target[configuration[c].target] \setminus deletePaths
     IN
```

```
Update the target paths by adding/updating paths that have changed and
                 removing paths that have been deleted since the last sync.
                 \land target' = [target \ EXCEPT \ ! [configuration[c].target] =
                       [p \in configPaths \mapsto configuration[c].paths[p]]
                          @@ [p \in targetPaths \mapsto target[configuration[c].target][p]]]
          \land configuration' = [configuration \ EXCEPT \ ![c].status]
                                                                                 = Configuration Complete,
                                                              ![c].syncIndex = configuration[c].txIndex]
          \land history' = [history \ EXCEPT \ ![c] = Append(history[c], configuration'[c])]
        If the configuration is not already ConfigurationPending and mastership
        has been lost revert it. This can occur when the connection to the
        target has been lost and the mastership is no longer valid.
        TODO: We still need to model mastership changes
       \lor \land configuration[c].status \neq ConfigurationPending
          \land master[configuration[c].target].master = Nil
          \land configuration' = [configuration EXCEPT ![c].status = ConfigurationPending]
          \land history' = [history \ EXCEPT \ ![c] = Append(history[c], configuration'[c])]
          \land UNCHANGED \langle target \rangle
    \land UNCHANGED \langle transaction, master \rangle
Init and next state predicates
Init \triangleq
    \land transaction = \langle \rangle
    \land configuration = [t \in DOMAIN \ Target \mapsto
                               [target \mapsto t,
                               paths \mapsto
                                   [path \in \{\}] \mapsto
                                       [path \mapsto path,
                                        value \mapsto Nil,
                                        index \mapsto 0,
                                        deleted \mapsto FALSE],
                               txIndex
                                             \mapsto 0.
                               syncIndex \mapsto 0,
                               term
                                             \mapsto 0,
                               status
                                             \mapsto ConfigurationPending
    \land target = [t \in DOMAIN \ Target \mapsto
                       [path \in \{\} \mapsto
                           [value \mapsto Nil]]
    \land master = [t \in DOMAIN \ Target \mapsto [master \mapsto Nil, \ term \mapsto 0]]
    \land history = [t \in DOMAIN \ Target \mapsto \langle \rangle]
Next \triangleq
    \vee \exists c \in ValidChanges:
        Change(c)
    \vee \exists t \in \text{DOMAIN } transaction :
```

```
Rollback(t)
    \vee \, \exists \, n \in \mathit{Node} :
         \exists t \in \text{DOMAIN } Target :
            SetMaster(n, t)
    \vee \exists t \in \text{DOMAIN } Target :
         UnsetMaster(t)
    \vee \exists n \in Node:
         \exists t \in \text{DOMAIN} \ transaction:
              Reconcile Transaction(n, t)
    \vee \exists n \in Node:
         \exists c \in \text{DOMAIN} \ configuration:
               ReconcileConfiguration(n, c)
Spec \triangleq Init \wedge \Box [Next]_{vars}
Order \triangleq
    \land \forall a, b \in \text{DOMAIN} \ transaction:
          transaction[a].index > transaction[b].index \Rightarrow
              (transaction[a].status \in \{TransactionComplete, TransactionFailed\} \Rightarrow
                  transaction[b].status \in \{TransactionComplete, TransactionFailed\}\}
    \land \ \forall t \in \text{DOMAIN} \ \textit{Target}:
          \forall c \in \text{DOMAIN } history[t]:
             \land configuration[t].txIndex \ge history[t][c].txIndex
             \land configuration[t].syncIndex \ge history[t][c].syncIndex
THEOREM Safety \stackrel{\triangle}{=} Spec \Rightarrow \Box Order
Completion \stackrel{\Delta}{=} \forall i \in \text{DOMAIN} \ transaction:
                        transaction[i].status \in \{TransactionComplete, TransactionFailed\}
THEOREM Liveness \triangleq Spec \Rightarrow \Diamond Completion
```

^{*} Modification History

^{*} Last modified Tue Jan 18 23:31:05 PST 2022 by jordanhalterman

^{*} Created Wed Sep 22 13:22:32 PDT 2021 by jordanhalterman