

The *E2AP* module provides a formal specification of the *E2AP* protocol. The spec defines the client and server interfaces for *E2AP* and provides helpers for managing and operating on connections.

LOCAL INSTANCE *Naturals*

LOCAL INSTANCE *Sequences*

LOCAL INSTANCE *FiniteSets*

LOCAL INSTANCE *TLC*

CONSTANT *Nil*

VARIABLE *servers, conns*

The *E2AP* protocol is implemented on *SCTP*

LOCAL *SCTP* \triangleq INSTANCE *SCTP*

vars \triangleq \langle *servers, conns* \rangle

Message type constants

CONSTANTS

E2SetupRequestType,
E2SetupResponseType,
E2SetupFailureType

CONSTANTS

ResetRequestType,
ResetResponseType

CONSTANTS

RICSubscriptionRequestType,
RICSubscriptionResponseType,
RICSubscriptionFailureType

CONSTANTS

RICSubscriptionDeleteRequestType,
RICSubscriptionDeleteResponseType,
RICSubscriptionDeleteFailureType

CONSTANTS

RICControlRequestType,
RICControlResponseType,
RICControlFailureType,
RICServiceUpdateType

CONSTANTS

E2ConnectionUpdateType,
E2ConnectionUpdateAcknowledgeType,
E2ConnectionUpdateFailureType

CONSTANTS

E2NodeConfigurationUpdateType,
E2NodeConfigurationUpdateAcknowledgeType,
E2NodeConfigurationUpdateFailureType

LOCAL *messageTypes* \triangleq
 { *E2SetupRequestType*,
 E2SetupResponseType,
 E2SetupFailureType,
 ResetRequestType,
 ResetResponseType,
 RICSubscriptionRequestType,
 RICSubscriptionResponseType,
 RICSubscriptionFailureType,
 RICSubscriptionDeleteRequestType,
 RICSubscriptionDeleteResponseType,
 RICSubscriptionDeleteFailureType,
 RICControlRequestType,
 RICControlResponseType,
 RICControlFailureType,
 RICServiceUpdateType,
 E2ConnectionUpdateType,
 E2ConnectionUpdateAcknowledgeType,
 E2ConnectionUpdateFailureType,
 E2NodeConfigurationUpdateType,
 E2NodeConfigurationUpdateAcknowledgeType,
 E2NodeConfigurationUpdateFailureType }

Message types should be defined as strings to simplify debugging

ASSUME $\forall m \in \text{messageTypes} : m \in \text{STRING}$

Failure cause constants

CONSTANTS
 MiscFailureUnspecified,
 MiscFailureControlProcessingOverload,
 MiscFailureHardwareFailure,
 MiscFailureOMIntervention

CONSTANTS
 ProtocolFailureUnspecified,
 ProtocolFailureTransferSyntaxError,
 ProtocolFailureAbstractSyntaxErrorReject,
 ProtocolFailureAbstractSyntaxErrorIgnoreAndNotify,
 ProtocolFailureMessageNotCompatibleWithReceiverState,
 ProtocolFailureSemanticError,
 ProtocolFailureAbstractSyntaxErrorFalselyConstructedMessage

CONSTANTS
 RICFailureUnspecified,

RICFailureRANFunctionIDInvalid,
RICFailureActionNotSupported,
RICFailureExcessiveActions,
RICFailureDuplicateAction,
RICFailureDuplicateEvent,
RICFailureFunctionResourceLimit,
RICFailureRequestIDUnknown,
RICFailureInconsistentActionSubsequentActionSequence,
RICFailureControlMessageInvalid,
RICFailureCallProcessIDInvalid

CONSTANTS

RICServiceFailureUnspecified,
RICServiceFailureFunctionNotRequired,
RICServiceFailureExcessiveFunctions,
RICServiceFailureRICResourceLimit

CONSTANTS

TransportFailureUnspecified,
TransportFailureTransportResourceUnavailable

LOCAL *failureCauses* \triangleq

{ MiscFailureUnspecified,
MiscFailureControlProcessingOverload,
MiscFailureHardwareFailure,
MiscFailureOMIntervention,
ProtocolFailureUnspecified,
ProtocolFailureTransferSyntaxError,
ProtocolFailureAbstractSyntaxErrorReject,
ProtocolFailureAbstractSyntaxErrorIgnoreAndNotify,
ProtocolFailureMessageNotCompatibleWithReceiverState,
ProtocolFailureSemanticError,
ProtocolFailureAbstractSyntaxErrorFalselyConstructedMessage,
RICFailureUnspecified,
RICFailureRANFunctionIDInvalid,
RICFailureActionNotSupported,
RICFailureExcessiveActions,
RICFailureDuplicateAction,
RICFailureDuplicateEvent,
RICFailureFunctionResourceLimit,
RICFailureRequestIDUnknown,
RICFailureInconsistentActionSubsequentActionSequence,
RICFailureControlMessageInvalid,
RICFailureCallProcessIDInvalid,
RICServiceFailureUnspecified,
RICServiceFailureFunctionNotRequired,
RICServiceFailureExcessiveFunctions,

RICServiceFailureRICResourceLimit,
TransportFailureUnspecified,
TransportFailureTransportResourceUnavailable}

Failure causes should be defined as strings to simplify debugging
 ASSUME $\forall c \in failureCauses : c \in \text{STRING}$

MODULE *Messages*

The *Messages* module defines predicates for receiving, sending, and verifying all the messages supported by *E2AP*.

This section defines predicates for identifying *E2AP* message types on the network.

$IsE2SetupRequest(m) \triangleq m.type = E2SetupRequestType$

$IsE2SetupResponse(m) \triangleq m.type = E2SetupResponseType$

$IsE2SetupFailure(m) \triangleq m.type = E2SetupFailureType$

$IsResetRequest(m) \triangleq m.type = ResetRequestType$

$IsResetResponse(m) \triangleq m.type = ResetResponseType$

$IsRICSubscriptionRequest(m) \triangleq m.type = RICSubscriptionRequestType$

$IsRICSubscriptionResponse(m) \triangleq m.type = RICSubscriptionResponseType$

$IsRICSubscriptionFailure(m) \triangleq m.type = RICSubscriptionFailureType$

$IsRICSubscriptionDeleteRequest(m) \triangleq m.type = RICSubscriptionDeleteRequestType$

$IsRICSubscriptionDeleteResponse(m) \triangleq m.type = RICSubscriptionDeleteResponseType$

$IsRICSubscriptionDeleteFailure(m) \triangleq m.type = RICSubscriptionDeleteFailureType$

$IsRICControlRequest(m) \triangleq m.type = RICControlRequestType$

$IsRICControlResponse(m) \triangleq m.type = RICControlResponseType$

$IsRICControlFailure(m) \triangleq m.type = RICControlFailureType$

$IsRICServiceUpdate(m) \triangleq m.type = RICServiceUpdateType$

$IsE2ConnectionUpdate(m) \triangleq m.type = E2ConnectionUpdateType$

$IsE2ConnectionUpdateAcknowledge(m) \triangleq m.type = E2ConnectionUpdateAcknowledgeType$

$IsE2ConnectionUpdateFailure(m) \triangleq m.type = E2ConnectionUpdateFailureType$

$IsE2NodeConfigurationUpdate(m) \triangleq m.type = E2NodeConfigurationUpdateType$

$IsE2NodeConfigurationUpdateAcknowledge(m) \triangleq m.type = E2NodeConfigurationUpdateAcknowledgeType$

$IsE2NodeConfigurationUpdateFailure(m) \triangleq m.type = E2NodeConfigurationUpdateFailureType$

This section defines predicates for validating *E2AP* message contents. The predicates provide precise documentation on the *E2AP* message format and are used within the spec to verify that steps adhere to the *E2AP* protocol specification.

LOCAL $ValidE2SetupRequest(m) \triangleq \text{TRUE}$
 LOCAL $ValidE2SetupResponse(m) \triangleq \text{TRUE}$
 LOCAL $ValidE2SetupFailure(m) \triangleq \text{TRUE}$
 LOCAL $ValidResetRequest(m) \triangleq \text{TRUE}$
 LOCAL $ValidResetResponse(m) \triangleq \text{TRUE}$
 LOCAL $ValidRICSubscriptionRequest(m) \triangleq \text{TRUE}$
 LOCAL $ValidRICSubscriptionResponse(m) \triangleq \text{TRUE}$
 LOCAL $ValidRICSubscriptionFailure(m) \triangleq \text{TRUE}$
 LOCAL $ValidRICSubscriptionDeleteRequest(m) \triangleq \text{TRUE}$
 LOCAL $ValidRICSubscriptionDeleteResponse(m) \triangleq \text{TRUE}$
 LOCAL $ValidRICSubscriptionDeleteFailure(m) \triangleq \text{TRUE}$
 LOCAL $ValidRICControlRequest(m) \triangleq \text{TRUE}$
 LOCAL $ValidRICControlResponse(m) \triangleq \text{TRUE}$
 LOCAL $ValidRICControlFailure(m) \triangleq \text{TRUE}$
 LOCAL $ValidRICServiceUpdate(m) \triangleq \text{TRUE}$
 LOCAL $ValidE2ConnectionUpdate(m) \triangleq \text{TRUE}$
 LOCAL $ValidE2ConnectionUpdateAcknowledge(m) \triangleq \text{TRUE}$
 LOCAL $ValidE2ConnectionUpdateFailure(m) \triangleq \text{TRUE}$
 LOCAL $ValidE2NodeConfigurationUpdate(m) \triangleq \text{TRUE}$
 LOCAL $ValidE2NodeConfigurationUpdateAcknowledge(m) \triangleq \text{TRUE}$
 LOCAL $ValidE2NodeConfigurationUpdateFailure(m) \triangleq \text{TRUE}$

This section defines operators for constructing *E2AP* messages.

LOCAL $SetType(m, t) \triangleq [m \text{ EXCEPT } !.type = t]$

$E2SetupRequest(m) \triangleq$
 IF $Assert(ValidE2SetupRequest(m), \text{"Invalid E2SetupRequest"})$
 THEN $SetType(m, E2SetupRequestType)$
 ELSE Nil

$E2SetupResponse(m) \triangleq$
 IF $Assert(ValidE2SetupResponse(m), \text{"Invalid E2SetupResponse"})$
 THEN $SetType(m, E2SetupResponseType)$
 ELSE Nil

$E2SetupFailure(m) \triangleq$
 IF $Assert(ValidE2SetupFailure(m), \text{"Invalid E2SetupFailure"})$
 THEN $SetType(m, E2SetupFailureType)$
 ELSE Nil

$ResetRequest(m) \triangleq$
 IF $Assert(ValidResetRequest(m), \text{"Invalid ResetRequest"})$
 THEN $SetType(m, ResetRequestType)$
 ELSE Nil

$ResetResponse(m) \triangleq$
 IF $Assert(ValidResetResponse(m), \text{"Invalid ResetResponse"})$
 THEN $SetType(m, ResetResponseType)$
 ELSE Nil

$RICSubscriptionRequest(m) \triangleq$
 IF $Assert(ValidRICSubscriptionRequest(m), \text{"Invalid RICSubscriptionRequest"})$
 THEN $SetType(m, RICSubscriptionRequestType)$
 ELSE Nil

$RICSubscriptionResponse(m) \triangleq$
 IF $Assert(ValidRICSubscriptionResponse(m), \text{"Invalid RICSubscriptionResponse"})$
 THEN $SetType(m, RICSubscriptionResponseType)$
 ELSE Nil

$RICSubscriptionFailure(m) \triangleq$
 IF $Assert(ValidRICSubscriptionFailure(m), \text{"Invalid RICSubscriptionFailure"})$
 THEN $SetType(m, RICSubscriptionFailureType)$
 ELSE Nil

$RICSubscriptionDeleteRequest(m) \triangleq$
 IF $Assert(ValidRICSubscriptionDeleteRequest(m), \text{"Invalid RICSubscriptionDeleteRequest"})$
 THEN $SetType(m, RICSubscriptionDeleteRequestType)$
 ELSE Nil

$RICSubscriptionDeleteResponse(m) \triangleq$
 IF $Assert(ValidRICSubscriptionDeleteResponse(m), \text{"Invalid RICSubscriptionDeleteResponse"})$
 THEN $SetType(m, RICSubscriptionDeleteResponseType)$

```

ELSE Nil

RICSubscriptionDeleteFailure(m)  $\triangleq$ 
  IF Assert(ValidRICSubscriptionDeleteFailure(m), "Invalid RICSubscriptionDeleteFailure")
  THEN SetType(m, RICSubscriptionDeleteFailureType)
  ELSE Nil

RICControlRequest(m)  $\triangleq$ 
  IF Assert(ValidRICControlRequest(m), "Invalid RICControlRequest")
  THEN SetType(m, RICControlRequestType)
  ELSE Nil

RICControlResponse(m)  $\triangleq$ 
  IF Assert(ValidRICControlResponse(m), "Invalid RICControlResponse")
  THEN SetType(m, RICControlResponseType)
  ELSE Nil

RICControlFailure(m)  $\triangleq$ 
  IF Assert(ValidRICControlFailure(m), "Invalid RICControlFailure")
  THEN SetType(m, RICControlFailureType)
  ELSE Nil

RICServiceUpdate(m)  $\triangleq$ 
  IF Assert(ValidRICServiceUpdate(m), "Invalid RICServiceUpdate")
  THEN SetType(m, RICServiceUpdateType)
  ELSE Nil

E2ConnectionUpdate(m)  $\triangleq$ 
  IF Assert(ValidE2ConnectionUpdate(m), "Invalid E2ConnectionUpdate")
  THEN SetType(m, E2ConnectionUpdateType)
  ELSE Nil

E2ConnectionUpdateAcknowledge(m)  $\triangleq$ 
  IF Assert(ValidE2ConnectionUpdateAcknowledge(m), "Invalid E2ConnectionUpdateAcknowledge")
  THEN SetType(m, E2ConnectionUpdateAcknowledgeType)
  ELSE Nil

E2ConnectionUpdateFailure(m)  $\triangleq$ 
  IF Assert(ValidE2ConnectionUpdateFailure(m), "Invalid E2ConnectionUpdateFailure")
  THEN SetType(m, E2ConnectionUpdateFailureType)
  ELSE Nil

E2NodeConfigurationUpdate(m)  $\triangleq$ 
  IF Assert(ValidE2NodeConfigurationUpdate(m), "Invalid E2NodeConfigurationUpdate")
  THEN SetType(m, E2NodeConfigurationUpdateType)
  ELSE Nil

E2NodeConfigurationUpdateAcknowledge(m)  $\triangleq$ 

```

```

IF Assert(ValidE2NodeConfigurationUpdateAcknowledge(m), "Invalid E2NodeConfigurationUpdateAcknow
THEN SetType(m, E2NodeConfigurationUpdateAcknowledgeType)
ELSE Nil

```

```

E2NodeConfigurationUpdateFailure(m)  $\triangleq$ 
IF Assert(ValidE2NodeConfigurationUpdateFailure(m), "Invalid E2NodeConfigurationUpdateFailure")
THEN SetType(m, E2NodeConfigurationUpdateFailureType)
ELSE Nil

```

The *Messages* module is instantiated locally to avoid access from outside the module.

```

LOCAL Messages  $\triangleq$  INSTANCE Messages

```

MODULE *Client*

The *Client* module provides operators for managing and operating on *E2AP* client connections and specifies the message types supported for the client.

MODULE *Send*

This module provides message type operators for the message types that can be send by the *E2AP* client.

```

E2SetupRequest(c, m)  $\triangleq$ 
   $\wedge$  SCTP!Client!Send(c, Messages!E2SetupResponse(m))

ResetRequest(c, m)  $\triangleq$ 
   $\wedge$  SCTP!Client!Send(c, Messages!ResetRequest(m))

ResetResponse(c, m)  $\triangleq$ 
   $\wedge$  SCTP!Client!Reply(c, Messages!ResetResponse(m))

```

Instantiate the *E2AP!Client!Send* module

```

Send  $\triangleq$  INSTANCE Send

```

MODULE *Receive*

This module provides predicates for the types of messages that can be received by an *E2AP* client.

```

E2SetupResponse(c, h(-, -))  $\triangleq$ 
  SCTP!Server!Handle(c, LAMBDA x, m :
     $\wedge$  Messages!IsE2SetupResponse(m)
     $\wedge$  SCTP!Client!Receive(c)
     $\wedge$  h(c, m))

ResetRequest(c, h(-, -))  $\triangleq$ 
  SCTP!Server!Handle(c, LAMBDA x, m :

```


$$\begin{aligned} & \wedge \text{Messages!IsResetRequest}(m) \\ & \wedge \text{SCTP!Client!Receive}(c) \\ & \wedge h(c, m) \end{aligned}$$

$$\begin{aligned} \text{ResetResponse}(c, h(-, -)) & \triangleq \\ \text{SCTP!Server!Handle}(c, \text{LAMBDA } x, m : & \\ & \wedge \text{Messages!IsResetResponse}(m) \\ & \wedge \text{SCTP!Client!Receive}(c) \\ & \wedge h(c, m) \end{aligned}$$

Instantiate the *E2AP!Client!Receive* module
 $\text{Receive} \triangleq \text{INSTANCE } \text{Receive}$

$$\text{Connect}(s, d) \triangleq \text{SCTP!Client!Connect}(s, d)$$

$$\text{Disconnect}(c) \triangleq \text{SCTP!Client!Disconnect}(c)$$

Provides operators for the *E2AP* client
 $\text{Client} \triangleq \text{INSTANCE } \text{Client}$

MODULE *Server*

The *Server* module provides operators for managing and operating on *E2AP* servers and specifies the message types supported for the server.

MODULE *Send*

This module provides message type operators for the message types that can be send by the *E2AP* server.

$$\begin{aligned} \text{E2SetupResponse}(c, m) & \triangleq \\ & \wedge \text{SCTP!Server!Reply}(c, \text{Messages!E2SetupResponse}(m)) \end{aligned}$$

$$\begin{aligned} \text{ResetRequest}(c, m) & \triangleq \\ & \wedge \text{SCTP!Server!Send}(c, \text{Messages!ResetRequest}(m)) \end{aligned}$$

$$\begin{aligned} \text{ResetResponse}(c, m) & \triangleq \\ & \wedge \text{SCTP!Server!Reply}(c, \text{Messages!ResetResponse}(m)) \end{aligned}$$

Instantiate the *E2AP!Server!Send* module
 $\text{Send} \triangleq \text{INSTANCE } \text{Send}$

MODULE *Receive*

This module provides predicates for the types of messages that can be received by an *E2AP* server.

$$\begin{aligned}
E2SetupRequest(c, h(-, -)) &\triangleq \\
&SCTP!Server!Handle(c, \text{LAMBDA } x, m : \\
&\quad \wedge Messages!IsE2SetupRequest(m) \\
&\quad \wedge SCTP!Server!Receive(c) \\
&\quad \wedge h(c, m))
\end{aligned}$$

$$\begin{aligned}
ResetRequest(c, h(-, -)) &\triangleq \\
&SCTP!Server!Handle(c, \text{LAMBDA } x, m : \\
&\quad \wedge Messages!IsResetRequest(m) \\
&\quad \wedge SCTP!Server!Receive(c) \\
&\quad \wedge h(c, m))
\end{aligned}$$

$$\begin{aligned}
ResetResponse(c, h(-, -)) &\triangleq \\
&SCTP!Server!Handle(c, \text{LAMBDA } x, m : \\
&\quad \wedge Messages!IsResetResponse(m) \\
&\quad \wedge SCTP!Server!Receive(c) \\
&\quad \wedge h(c, m))
\end{aligned}$$

Instantiate the *E2AP!Server!Receive* module
 $Receive \triangleq \text{INSTANCE } Receive$

Starts a new *E2AP* server
 $Serve(s) \triangleq SCTP!Server!Start(s)$

Stops the given *E2AP* server
 $Stop(s) \triangleq SCTP!Server!Stop(s)$

Provides operators for the *E2AP* server
 $Server \triangleq \text{INSTANCE } Server$

The set of all running *E2AP* servers
 $Servers \triangleq SCTP!Servers$

The set of all open *E2AP* connections
 $Connections \triangleq SCTP!Connections$

$Init \triangleq SCTP!Init$

$Next \triangleq SCTP!Next$

\ * Modification History
\ * Last modified *Mon Sep 13 12:35:51 PDT 2021* by *jordanhalterman*
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