
MODULE *Proposals*

EXTENDS *Configurations, Southbound*

INSTANCE *Naturals*

INSTANCE *FiniteSets*

LOCAL INSTANCE *TLC*

Transaction type constants

CONSTANTS

ProposalChange,
ProposalRollback

Phase constants

CONSTANTS

ProposalInitialize,
ProposalValidate,
ProposalAbort,
ProposalCommit,
ProposalApply

Status constants

CONSTANTS

ProposalInProgress,
ProposalComplete,
ProposalFailed

CONSTANTS

ProposalValid,
ProposalInvalid

CONSTANTS

ProposalSuccess,
ProposalFailure

A record of per-target proposals

VARIABLE *proposal*

LOCAL *InitState* \triangleq

[*proposals* \mapsto *proposal*,
configurations \mapsto *configuration*,
targets \mapsto *target*,
masterships \mapsto *mastership*]

$\text{LOCAL } \text{NextState} \triangleq$
 $\quad [\text{proposals} \mapsto \text{proposal}',$
 $\quad \text{configurations} \mapsto \text{configuration}',$
 $\quad \text{targets} \mapsto \text{target}',$
 $\quad \text{masterships} \mapsto \text{mastership}']$
 $\text{LOCAL } \text{Trace} \triangleq \text{INSTANCE } \text{Trace} \text{ WITH}$
 $\quad \text{Module} \leftarrow \text{"Proposals"},$
 $\quad \text{InitState} \leftarrow \text{InitState},$
 $\quad \text{NextState} \leftarrow \text{NextState}$

$\text{Reconcile a proposal}$
 $\text{ReconcileProposal}(n, t, i) \triangleq$
 $\quad \wedge \vee \wedge \text{proposal}[t][i].\text{phase} = \text{ProposalInitialize}$
 $\quad \wedge \text{proposal}[t][i].\text{state} = \text{ProposalInProgress}$
 $\quad \wedge \text{proposal}' = [\text{proposal} \text{ EXCEPT } ![t] =$
 $\quad \quad [\text{proposal}[t] \text{ EXCEPT } ![i].\text{state} = \text{ProposalComplete},$
 $\quad \quad \quad ![i].\text{dependency.index} = \text{configuration}[t].\text{proposal.index}]$
 $\quad \wedge \text{configuration}' = [\text{configuration} \text{ EXCEPT } ![t].\text{proposal.index} = i]$
 $\quad \wedge \text{UNCHANGED } \langle \text{target} \rangle$
 $\quad \text{While in the } \text{Validate} \text{ phase, validate the proposed changes.}$
 $\quad \text{If validation is successful, the proposal also records the changes}$
 $\quad \text{required to roll back the proposal and the index to which to roll back.}$
 $\quad \vee \wedge \text{proposal}[t][i].\text{phase} = \text{ProposalValidate}$
 $\quad \wedge \text{proposal}[t][i].\text{state} = \text{ProposalInProgress}$
 $\quad \wedge \text{configuration}[t].\text{commit.index} = \text{proposal}[t][i].\text{dependency.index}$
 $\quad \text{For } \text{Change} \text{ proposals validate the set of requested changes.}$
 $\quad \wedge \vee \wedge \text{proposal}[t][i].\text{type} = \text{ProposalChange}$
 $\quad \quad \wedge \text{LET } \text{rollbackIndex} \triangleq \text{configuration}[t].\text{config.index}$
 $\quad \quad \quad \text{rollbackValues} \triangleq [p \in \text{DOMAIN } \text{proposal}[t][i].\text{change.values} \mapsto$
 $\quad \quad \quad \quad \text{IF } p \in \text{DOMAIN } \text{configuration}[t].\text{config.values} \text{ THEN}$
 $\quad \quad \quad \quad \quad \text{configuration}[t].\text{config.values}[p]$
 $\quad \quad \quad \quad \text{ELSE}$
 $\quad \quad \quad \quad \quad [\text{delete} \mapsto \text{TRUE}]]$
 $\quad \text{Model validation successes and failures with } \text{Valid} \text{ and } \text{Invalid} \text{ results.}$
 $\quad \text{IN } \exists r \in \{ \text{ProposalValid}, \text{ProposalInvalid} \} :$
 $\quad \quad \text{If the } \text{Change} \text{ is } \text{Valid}, \text{ record the changes required to roll}$
 $\quad \quad \text{back the proposal and the index to which the rollback changes}$
 $\quad \quad \text{will roll back the configuration.}$
 $\quad \vee \wedge r = \text{ProposalValid}$
 $\quad \quad \wedge \text{proposal}' = [\text{proposal} \text{ EXCEPT } ![t] =$
 $\quad \quad \quad [\text{proposal}[t] \text{ EXCEPT } ![i].\text{rollback} = [\text{index} \mapsto \text{rollbackIndex},$
 $\quad \quad \quad \quad \text{values} \mapsto \text{rollbackValues}],$
 $\quad \quad \quad \quad \quad ![i].\text{state} = \text{ProposalComplete}]$

$$\begin{aligned}
& \vee \wedge r = \text{ProposalInvalid} \\
& \wedge \text{proposal}' = [\text{proposal} \text{ EXCEPT } ![t] = \\
& \quad [\text{proposal}[t] \text{ EXCEPT } ![i].\text{state} = \text{ProposalFailed}]] \\
& \text{For Rollback proposals, validate the rollback changes which are} \\
& \text{proposal being rolled back.} \\
& \vee \wedge \text{proposal}[t][i].\text{type} = \text{ProposalRollback} \\
& \quad \text{Rollbacks can only be performed on Change type proposals.} \\
& \wedge \vee \wedge \text{proposal}[t][\text{proposal}[t][i].\text{rollback.index}].\text{type} = \text{ProposalChange} \\
& \quad \text{Only roll back the change if it's the latest change made} \\
& \quad \text{to the configuration based on the configuration index.} \\
& \wedge \vee \wedge \text{configuration}[t].\text{config.index} = \text{proposal}[t][i].\text{rollback.index} \\
& \quad \wedge \text{LET } \text{changeIndex} \triangleq \text{proposal}[t][\text{proposal}[t][i].\text{rollback.index}].\text{rollback.index} \\
& \quad \quad \text{changeValues} \triangleq \text{proposal}[t][\text{proposal}[t][i].\text{rollback.index}].\text{rollback.values} \\
& \quad \quad \text{rollbackValues} \triangleq \text{proposal}[t][\text{proposal}[t][i].\text{rollback.index}].\text{change.values} \\
& \quad \text{IN } \exists r \in \{\text{ProposalValid}, \text{ProposalInvalid}\} : \\
& \quad \text{If the Rollback is Valid, record the changes required to} \\
& \quad \text{roll back the target proposal and the index to which the} \\
& \quad \text{configuration is being rolled back.} \\
& \vee \wedge r = \text{ProposalValid} \\
& \quad \wedge \text{proposal}' = [\text{proposal} \text{ EXCEPT } ![t] = \\
& \quad \quad [\text{proposal}[t] \text{ EXCEPT } ![i].\text{change} = [\text{index} \mapsto \text{changeIndex}, \\
& \quad \quad \quad \text{values} \mapsto \text{changeValues}], \\
& \quad \quad \quad ![i].\text{change} = [\text{index} \mapsto \text{proposal}[t][i].\text{change.index}, \\
& \quad \quad \quad \text{values} \mapsto \text{changeValues}], \\
& \quad \quad \quad ![i].\text{state} = \text{ProposalComplete}]] \\
& \vee \wedge r = \text{ProposalInvalid} \\
& \quad \wedge \text{proposal}' = [\text{proposal} \text{ EXCEPT } ![t] = \\
& \quad \quad [\text{proposal}[t] \text{ EXCEPT } ![i].\text{state} = \text{ProposalFailed}]] \\
& \quad \text{If the Rollback target is not the most recent change to the configuration,} \\
& \quad \text{fail validation for the proposal.} \\
& \vee \wedge \text{configuration}[t].\text{config.index} \neq \text{proposal}[t][i].\text{rollback.index} \\
& \quad \wedge \text{proposal}' = [\text{proposal} \text{ EXCEPT } ![t] = [\text{proposal}[t] \text{ EXCEPT } ![i].\text{state} = \text{ProposalFailed}]] \\
& \quad \text{If a Rollback proposal is attempting to roll back another Rollback,} \\
& \quad \text{fail validation for the proposal.} \\
& \vee \wedge \text{proposal}[t][\text{proposal}[t][i].\text{rollback.index}].\text{type} = \text{ProposalRollback} \\
& \quad \wedge \text{proposal}' = [\text{proposal} \text{ EXCEPT } ![t] = \\
& \quad \quad [\text{proposal}[t] \text{ EXCEPT } ![i].\text{state} = \text{ProposalFailed}]] \\
& \quad \wedge \text{UNCHANGED } \langle \text{configuration}, \text{target} \rangle \\
& \quad \text{While in the Commit state, commit the proposed changes to the configuration.} \\
& \vee \wedge \text{proposal}[t][i].\text{phase} = \text{ProposalCommit} \\
& \quad \wedge \text{proposal}[t][i].\text{state} = \text{ProposalInProgress} \\
& \quad \text{Only commit the proposal if the prior proposal has already been committed.} \\
& \quad \wedge \text{configuration}[t].\text{commit.index} = \text{proposal}[t][i].\text{dependency.index} \\
& \quad \wedge \text{configuration}' = [\text{configuration} \text{ EXCEPT } ![t].\text{config.values} = \text{proposal}[t][i].\text{change.values}, \\
& \quad \quad \quad ![t].\text{config.index} = \text{proposal}[t][i].\text{change.index},
\end{aligned}$$

$![t].commit.index = i]$

$\wedge proposal' = [proposal \text{ EXCEPT } ![t] = [proposal[t] \text{ EXCEPT } ![i].state = ProposalComplete]]$
 $\wedge \text{UNCHANGED } \langle target \rangle$

While in the *Apply* phase, apply the proposed changes to the target.

$\vee \wedge proposal[t][i].phase = ProposalApply$
 $\wedge proposal[t][i].state = ProposalInProgress$
 $\wedge configuration[t].target.index = proposal[t][i].dependency.index$
 $\wedge configuration[t].target.term = mastership[t].term$
 $\wedge mastership[t].master = n$

Model successful and failed target update requests.

$\wedge \exists r \in \{ProposalSuccess, ProposalFailure\} :$
 $\vee \wedge r = ProposalSuccess$
 $\wedge target' = [target \text{ EXCEPT } ![t] = proposal[t][i].change.values @@ target[t]]$
 $\wedge configuration' = [configuration \text{ EXCEPT }$
 $\quad \quad \quad ![t].target.index = i,$
 $\quad \quad \quad ![t].target.values = proposal[t][i].change.values$
 $\quad \quad \quad @@ configuration[t].target.values]$
 $\wedge proposal' = [proposal \text{ EXCEPT } ![t] = [proposal[t] \text{ EXCEPT } ![i].state = ProposalComplete]]$

If the proposal could not be applied, update the configuration's applied index and mark the proposal *Failed*.

$\vee \wedge r = ProposalFailure$
 $\wedge configuration' = [configuration \text{ EXCEPT } ![t].target.index = i]$
 $\wedge proposal' = [proposal \text{ EXCEPT } ![t] = [proposal[t] \text{ EXCEPT } ![i].state = ProposalFailed]]$
 $\wedge \text{UNCHANGED } \langle target \rangle$

$\vee \wedge proposal[t][i].phase = ProposalAbort$
 $\wedge proposal[t][i].state = ProposalInProgress$

The *commit.index* will always be greater than or equal to the *target.index*.
If only the *commit.index* matches the proposal's *dependency.index*, update the *commit.index* to enable commits of later proposals, but do not mark the *Abort* phase *Complete* until the *target.index* has been incremented.

$\wedge \vee \wedge configuration[t].commit.index = proposal[t][i].dependency.index$
 $\wedge configuration' = [configuration \text{ EXCEPT } ![t].commit.index = i]$
 $\wedge \text{UNCHANGED } \langle proposal \rangle$

If the configuration's *target.index* matches the proposal's *dependency.index*, update the *target.index* and mark the proposal *Complete* for the *Abort* phase.

$\vee \wedge configuration[t].commit.index \geq i$
 $\wedge configuration[t].target.index = proposal[t][i].dependency.index$
 $\wedge configuration' = [configuration \text{ EXCEPT } ![t].target.index = i]$
 $\wedge proposal' = [proposal \text{ EXCEPT } ![t] = [proposal[t] \text{ EXCEPT } ![i].state = ProposalComplete]]$

If both the configuration's *commit.index* and *target.index* match the proposal's *dependency.index*, update the *commit.index* and *target.index* and mark the proposal *Complete* for the *Abort* phase.

$\vee \wedge configuration[t].commit.index = proposal[t][i].dependency.index$
 $\wedge configuration[t].target.index = proposal[t][i].dependency.index$
 $\wedge configuration' = [configuration \text{ EXCEPT } ![t].commit.index = i,$

$$\begin{aligned}
& \wedge [t].target.index = i] \\
& \wedge \text{UNCHANGED } \langle target \rangle \\
& \wedge \text{UNCHANGED } \langle mastership \rangle
\end{aligned}$$

Formal specification, constraints, and theorems.

$$\begin{aligned}
InitProposal & \triangleq \\
& \wedge proposal = [t \in \text{DOMAIN } Target \mapsto \\
& \quad [i \in \{\} \mapsto \\
& \quad \quad [phase \mapsto ProposalInitialize, \\
& \quad \quad \quad state \mapsto ProposalInProgress]]] \\
& \wedge Trace!Init \\
NextProposal & \triangleq \\
& \vee \exists n \in Node : \\
& \quad \exists t \in \text{DOMAIN } proposal : \\
& \quad \exists i \in \text{DOMAIN } proposal[t] : \\
& \quad \quad Trace!Step("Reconcile", ReconcileProposal(n, t, i), [node \mapsto n, target \mapsto t, index \mapsto i])
\end{aligned}$$

\ * Modification History
\ * Last modified Sun Feb 20 10:07:54 PST 2022 by jordanhalterman
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