```
- MODULE Config
INSTANCE Naturals
INSTANCE FiniteSets
Instance Sequences
INSTANCE TLC
 An empty constant
Constant Nil
 Transaction type constants
CONSTANTS
   Change,
   Rollback
 Transaction isolation constants
CONSTANTS
   Read Committed,\\
   Serializable \\
 Status constants
CONSTANTS
   Pending,
   Initializing,
   Initialized,
   Validating,\\
   Validated,
   Committing,\\
   Committed,
   Applying,
   Applied,
   Synchronizing,\\
   Synchronized,
   Persisted,
   Failed
Status \stackrel{\triangle}{=}
   \langle Initializing,
    Initialized,
    Validating,
    Validated,
    Committing,
    Committed,
```

```
Applying,
    Applied,
    Failed
CONSTANTS
    Valid,
   Invalid
CONSTANTS
   Success,
   Failure
 The set of all nodes
CONSTANT Node
Target is the set of all targets and their possible paths and values.
Example: Target \stackrel{\Delta}{=} [
    target1 \mapsto [persistent \mapsto FALSE, values \mapsto [
        path1 \mapsto \{\text{"value1"}, \text{"value2"}\},\
        path2 \mapsto \{\text{``value2''}, \text{``value3''}\}]],
    target2 \mapsto [persistent \mapsto TRUE, values \mapsto [
        path2 \mapsto \{"value3", "value4"\},\
        path3 \mapsto \{"value4", "value5"\}]]
CONSTANT Target
Phase(s) \stackrel{\triangle}{=} CHOOSE \ i \in DOMAIN \ Status : Status[i] = s
Assume Nil \in \text{string}
Assume Pending \in \text{string}
Assume Initializing \in String
Assume Initialized \in string
Assume Validating \in String
Assume Validated \in String
Assume Committing \in STRING
Assume Committed \in String
Assume Applying \in \text{string}
Assume Applied \in \text{string}
Assume Synchronizing \in String
Assume Synchronized \in String
Assume Persisted \in String
Assume Failed \in String
ASSUME \land IsFiniteSet(Node)
           \land \forall n \in Node:
                \land n \notin \text{DOMAIN } Target
                \land n \in \text{STRING}
```

```
\begin{split} \text{ASSUME} & \land \forall \ t \in \text{DOMAIN} \ \ \textit{Target} : \\ & \land \ t \notin \textit{Node} \\ & \land \ t \in \text{STRING} \\ & \land \ \textit{Target}[t].\textit{persistent} \in \text{BOOLEAN} \\ & \land \forall \ p \in \text{DOMAIN} \ \ \textit{Target}[t].\textit{values} : \\ & \textit{IsFiniteSet}(\textit{Target}[t].\textit{values}[p]) \end{split}
```

Configuration update/rollback requests are tracked and processed through two data types. Transactions represent the lifecycle of a single configuration change request and are stored in an appendonly log. Configurations represent the desired configuration of a gNMI target based on the aggregate of relevant changes in the Transaction log.

```
TYPE Type ::= type \in
  \{\mathit{Change},
   Rollback
\mathbf{TYPE}\ \mathit{Status} ::= \mathit{status} \in
  \{Pending,
   Initializing,
   Initialized,
   Validating,
   Validated,
   Committing,
   Committed,
   Applying,
   Applied,
   Synchronizing,
   Synchronized,
   Persisted,
   Failed
TYPE Transaction \stackrel{\Delta}{=} [
  type ::= type \in Type,
  index \quad ::= index \in \mathit{Nat},
  isolation ::= isolation \in \{IsolationDefault, \ IsolationSerializable\}
  values ::= [
     \mathit{target} \in \mathtt{SUBSET} \ (\mathtt{DOMAIN} \ \mathit{Target}) \ \mapsto \ [\ \mathit{path} \in \mathtt{SUBSET} \ (\mathtt{DOMAIN} \ \mathit{Target}[\mathit{target}]. \mathit{values}) \ \mapsto \\
          value ::= value \in STRING,
          delete ::= delete \in BOOLEAN ]]],
  rollback ::= index \in Nat,
  targets ::= targets \in SUBSET (DOMAIN Target)
  status ::= status \in Status
TYPE Proposal \stackrel{\Delta}{=} [
                  := type \in Type,
  type
                  ::=index \in Nat,
  index
                  ::= [path \in SUBSET (DOMAIN Target[target].values) \mapsto [
       value ::= value \in STRING,
       delete ::= delete \in BOOLEAN ]],
```

```
rollback
                  ::= index \in Nat,
    dependencyIndex ::= dependencyIndex \in Nat,
    rollbackIndex ::= rollbackIndex \in Nat,
    rollbackValues ::= [path \in SUBSET (DOMAIN Target[target].values) \mapsto [
        value ::= value \in STRING,
        delete ::= delete \in BOOLEAN ]],
    status
             ::= status \in Status]
  {\bf TYPE}\ ConfigurationStatus ::= status \in
    \{Configuration Unknown,
     ConfigurationSynchronizing,
     Configuration Synchronized,\\
     Configuration Persisted,
     ConfigurationFailed
 TYPE Configuration \stackrel{\Delta}{=}
             ::=id \in STRING,
    id
    target
               ::= target \in STRING,
    values
               ::= [path \in SUBSET (DOMAIN Target[target]) \mapsto [
        value ::= value \in STRING,
        index ::= index \in Nat,
        deleted ::= delete \in BOOLEAN ]],
    configIndex ::= configIndex \in Nat,
    configTerm \quad ::= configTerm \in \mathit{Nat},
    proposedIndex ::= proposedIndex \in Nat,
    committedIndex ::= committedIndex \in \mathit{Nat},
    appliedIndex ::= appliedIndex \in \mathit{Nat},
    appliedTerm ::= appliedTerm \in Nat,
    appliedValues ::= [path \in SUBSET (DOMAIN Target[target]) \mapsto [
        value ::= value \in STRING,
        index ::= index \in Nat,
        deleted ::= delete \in BOOLEAN ]],
    status ::= status \in Status
 A transaction log. Transactions may either request a set
 of changes to a set of targets or rollback a prior change.
Variable transaction
 A record of per-target proposals
Variable proposal
 A record of per-target configurations
VARIABLE configuration
 A record of target states
Variable target
 A record of target masterships
VARIABLE mastership
```

 $vars \triangleq \langle transaction, proposal, configuration, mastership, target \rangle$ 

This section models mastership for the configuration service.

Mastership is used primarily to track the lifecycle of individual configuration targets and react to state changes on the southbound. Each target is assigned a master from the Node set, and masters can be unset when the target disconnects.

This section models configuration changes and rollbacks. Changes are appended to the transaction log and processed asynchronously.

```
Value(s, t, p) \triangleq
   Let value \stackrel{\triangle}{=} choose v \in s : v.target = t \land v.path = p
   ΙN
       [value \mapsto value.value,
        delete \mapsto value.delete
Paths(s, t) \triangleq
   [p \in \{v.path : v \in \{v \in s : v.target = t\}\} \mapsto Value(s, t, p)]
Changes(s) \triangleq
   [t \in \{v.target : v \in s\} \mapsto Paths(s, t)]
ValidValues(t, p) \triangleq
   UNION \{\{[value \mapsto v, delete \mapsto FALSE] : v \in Target[t].values[p]\}, \{[value \mapsto Nil, delete \mapsto TRUE]\}\}
ValidPaths(t) \triangleq
   UNION \{\{v @@ [path \mapsto p] : v \in ValidValues(t, p)\} : p \in DOMAIN Target[t].values\}
ValidTargets \triangleq
   UNION \{\{p@@[target \mapsto t] : p \in ValidPaths(t)\} : t \in DOMAIN Target\}
 The set of all valid sets of changes to all targets and their paths.
 The set of possible changes is computed from the Target model value.
ValidChanges \triangleq
   Let changeSets \triangleq \{s \in SUBSET \ ValidTargets : \}
                                \forall t \in \text{DOMAIN } Target :
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\forall p \in \text{DOMAIN } Target[t].values:
                                    Cardinality(\{v \in s : v.target = t \land v.path = p\}) \le 1\}
   IN
      \{Changes(s): s \in changeSets\}
 The next available index in the transaction log.
 This is computed as the max of the existing indexes in the log to
 allow for changes to the \log (e.q. \log \text{ compaction}) to be modeled.
NextIndex \triangleq
   IF DOMAIN transaction = \{\} THEN
      1
    ELSE
      LET i \stackrel{\triangle}{=} \text{CHOOSE } i \in \text{DOMAIN } transaction :
            \forall j \in \text{DOMAIN } transaction : i \geq j
      IN i+1
 Add a set of changes 'c' to the transaction log
RequestChange(c) \triangleq
   \land \exists isolation \in \{ReadCommitted, Serializable\}:
         \land transaction' = transaction @@ (NextIndex:> [type])
                                                                                 \mapsto Change,
                                                                                \mapsto NextIndex,
                                                                     index
                                                                     isolation \mapsto isolation,
                                                                     values
                                                                                \mapsto c,
                                                                     targets
                                                                                \mapsto {},
                                                                                \mapsto Initializing)
                                                                     status
   ∧ UNCHANGED ⟨proposal, configuration, mastership, target⟩
Add a rollback of transaction 't' to the transaction log
RequestRollback(t) \triangleq
   \land \exists isolation \in \{ReadCommitted, Serializable\}:
         \land transaction' = transaction @@ (NextIndex:> [type])
                                                                                \mapsto Rollback,
                                                                     index
                                                                                \mapsto NextIndex,
                                                                     isolation \mapsto isolation.
                                                                     rollback \mapsto t,
                                                                     targets
                                                                                \mapsto {},
                                                                                \mapsto Initializing)
                                                                     status
   \land UNCHANGED \langle proposal, configuration, mastership, target <math>\rangle
```

This section models the Transaction log reconciler.

Transactions come in two flavors: - Change transactions contain a set of changes to be applied to a set of targets - Rollback transactions reference a prior change transaction to be reverted to the previous state

Transacations proceed through a series of phases:

\* Initialize - create and link Proposals

```
* Validate - validate changes and rollbacks
* Commit - commit changes to Configurations
* Apply - commit changes to Targets
 Reconcile a transaction
ReconcileTransaction(n, i) \stackrel{\Delta}{=}
        Initializing is the only transaction phase that's globally serialized.
        While in the Initializing phase, the reconciler checks whether the
        prior transaction has been Initialized before creating Proposals in
        the Initialize phase. Once all of the transaction's proposals have
        been Initialized, the transaction will be marked Initialized. If any
        proposal is Failed, the transaction will be marked Failed as well.
    \land \lor \land transaction[i].status = Initializing
           Serialize transaction initialization
          \land i-1 \in \text{DOMAIN} \ transaction \Rightarrow
                   Phase(transaction[i-1].status) > Phase(Initializing)
           If the transaction's targets are not yet set, create proposals
           and add targets to the transaction state.
          \land \lor \land transaction[i].targets = \{\}
                    If the transaction is a change, the targets are taken
                     from the change values.
                 \land \lor \land transaction[i].type = Change
                       \land transaction' = [transaction \ EXCEPT \ ![i].targets = DOMAIN \ transaction[i].values]
                       \land proposal' = [t \in DOMAIN \ proposal \mapsto
                             If t \in \text{DOMAIN } transaction[i].values \text{ THEN}
                                proposal[t] @@ (i:> [type])
                                                                               \mapsto Change,
                                                         index
                                                                               \mapsto i,
                                                         values
                                                                               \mapsto transaction[i].values[t],
                                                         dependencyIndex \mapsto 0,
                                                         rollbackIndex
                                                         rollback Values
                                                                              \mapsto \langle \rangle,
                                                         status \mapsto Initializing)
                              ELSE
                                proposal[t]]
                    If the transaction is a rollback, the targets affected are
                     the targets of the change transaction being rolled back.
                    \lor \land transaction[i].type = Rollback
                       \land \lor \land transaction[i].rollback \in DOMAIN transaction
                             \land transaction[transaction[i].rollback].type = Change
                             \land transaction' = [transaction \ EXCEPT \ ![i].targets =
                                                     DOMAIN transaction[transaction[i].rollback].values]
                             \land proposal' = [t \in DOMAIN \ proposal \mapsto
                                   If t \in \text{DOMAIN} \ transaction[transaction[i].rollback].values \ \text{THEN}
                                      proposal[t]@@(i:>[type]
                                                                                     \mapsto Rollback,
                                                                index
                                                                                     \mapsto i.
```

rollback

 $\mapsto transaction[i].rollback,$ 

```
dependencyIndex \mapsto 0,
                                                        rollbackIndex
                                                        rollbackValues
                                                                              \mapsto \langle \rangle,
                                                                              \mapsto Initializing)
                                                        status
                             ELSE
                               proposal[t]]
                  \lor \land \lor \land transaction[i].rollback \in DOMAIN transaction
                            \land transaction[transaction[i].rollback].type = Rollback
                         \vee transaction[i].rollback \notin DOMAIN transaction
                     \land transaction' = [transaction \ EXCEPT \ ![i].status = Failed]
                     \land UNCHANGED \langle proposal \rangle
      \lor \land transaction[i].targets \neq \{\}
             If all proposals have been Initialized, mark the transaction Initialized.
         \land \lor \land \forall t \in transaction[i].targets: proposal[t][i].status = Initialized
               \land transaction' = [transaction \ EXCEPT \ ![i].status = Initialized]
               \land UNCHANGED \langle proposal \rangle
             If any proposal has been Failed, mark the transaction Failed.
            \vee \wedge \exists t \in transaction[i].targets : proposal[t][i].status = Failed
               \land transaction' = [transaction \ EXCEPT \ ![i].status = Failed]
               \land UNCHANGED \langle proposal \rangle
Once the transaction has been Initialized, proceed to the Validate phase.
If any of the transaction's proposals depend on a Serializable transaction,
verify the dependency has been Validated to preserve serializability before
moving the transaction to the Validate phase.
\lor \land transaction[i].status = Initialized
   \land \forall t \in transaction[i].targets:
       proposal[t][i].dependencyIndex \neq 0 \Rightarrow
           (transaction[proposal[t][i].dependencyIndex].isolation = Serializable \Rightarrow
              Phase(transaction[proposal[t][i].dependencyIndex].status) \ge Phase(Validated))
   \land transaction' = [transaction \ EXCEPT \ ![i].status = Validating]
   \land UNCHANGED \langle proposal \rangle
\vee \wedge transaction[i].status = Validating
       Move the transaction's proposals to the Validating state
   \land \lor \land \exists t \in transaction[i].targets : Phase(proposal[t][i].status) < Phase(Validating)
         \land proposal' = [t \in DOMAIN \ proposal \mapsto
                            If t \in transaction[i].targets Then
                                [proposal[t] \text{ EXCEPT } ![i].status = Validating]
                             ELSE
                                proposal[t]]
         \land UNCHANGED \langle transaction \rangle
      If all proposals have been Validated, mark the transaction Validated.
      \lor \land \forall t \in transaction[i].targets: proposal[t][i].status = Validated
         \land transaction' = [transaction \ EXCEPT \ ![i].status = Validated]
         \land UNCHANGED \langle proposal \rangle
       If any proposal has been Failed, mark the transaction Failed.
```

```
\vee \wedge \exists t \in transaction[i].targets : proposal[t][i].status = Failed
         \land transaction' = [transaction \ EXCEPT \ ![i].status = Failed]
         \land UNCHANGED \langle proposal \rangle
Once the transaction has been Validated, proceed to the Commit phase.
If any of the transaction's proposals depend on a Serializable transaction,
verify the dependency has been Committed to preserve serializability before
moving the transaction to the Commit phase.
\vee \wedge transaction[i].status = Validated
   \land \forall t \in transaction[i].targets:
       proposal[t][i].dependencyIndex \neq 0 \Rightarrow
          (transaction[proposal[t][i].dependencyIndex].isolation = Serializable \Rightarrow
              Phase(transaction[proposal[t][i].dependencyIndex].status) \ge Phase(Committed))
   \land transaction' = [transaction \ EXCEPT \ ![i].status = Committing]
   \land UNCHANGED \langle proposal \rangle
\vee \wedge transaction[i].status = Committing
       Move the transaction's proposals to the Committing state
   \land \lor \land \exists t \in transaction[i].targets : Phase(proposal[t][i].status) < Phase(Committing)
         \land proposal' = [t \in DOMAIN \ proposal \mapsto
                           If t \in transaction[i].targets Then
                               [proposal[t] \text{ EXCEPT } ![i].status = Committing]
                               proposal[t]]
         \land UNCHANGED \langle transaction \rangle
      If all proposals have been Committed, mark the transaction Committed.
      \lor \land \forall t \in transaction[i].targets : proposal[t][i].status = Committed
         \land transaction' = [transaction \ EXCEPT \ ![i].status = Committed]
         \land UNCHANGED \langle proposal \rangle
Once the transaction has been Committed, proceed to the Apply phase.
If any of the transaction's proposals depend on a Serializable transaction,
verify the dependency has been Applied to preserve serializability before
moving the transaction to the Apply phase.
\lor \land transaction[i].status = Committed
   \land \forall t \in transaction[i].targets:
       proposal[t][i].dependencyIndex \neq 0 \Rightarrow
          (transaction[proposal[t][i].dependencyIndex].isolation = Serializable \Rightarrow
              Phase(transaction[proposal[t][i].dependencyIndex].status) \ge Phase(Applied))
   \land transaction' = [transaction \ EXCEPT \ ![i].status = Applying]
   \land UNCHANGED \langle proposal \rangle
\lor \land transaction[i].status = Applying
       Move the transaction's proposals to the Applying state
   \land \lor \land \exists t \in transaction[i].targets : Phase(proposal[t][i].status) < Phase(Applying)
         \land proposal' = [t \in DOMAIN \ proposal \mapsto
                           IF t \in transaction[i].targets THEN
                               [proposal[t] \text{ EXCEPT } ![i].status = Applying]
```

ELSE

```
proposal[t]]
                \land UNCHANGED \langle transaction \rangle
              If all proposals have been Applied, mark the transaction Applied.
             \lor \land \forall t \in transaction[i].targets: proposal[t][i].status = Applied
                \land transaction' = [transaction \ EXCEPT \ ![i].status = Applied]
                \land UNCHANGED \langle proposal \rangle
              If any proposal has been Failed, mark the transaction Failed.
             \vee \wedge \exists t \in transaction[i].targets : proposal[t][i].status = Failed
                \land transaction' = [transaction \ EXCEPT \ ![i].status = Failed]
                \land UNCHANGED \langle proposal \rangle
   \land UNCHANGED \langle configuration, mastership, target <math>\rangle
Reconcile a proposal
ReconcileProposal(n, t, i) \triangleq
   \land \lor \land proposal[t][i].status = Initializing
          \land proposal' = [proposal \ EXCEPT \ ![t] = [proposal[t] \ EXCEPT]
                ![i] = [status]
                                              \mapsto Initialized,
                         dependencyIndex \mapsto configuration[t].proposedIndex] @@ proposal[t][i]]]
          \land configuration' = [configuration \ EXCEPT \ ![t].proposedIndex = i]
          \land UNCHANGED \langle target \rangle
       While in the Validating state, validate the proposed changes.
       If validation is successful, the proposal also records the changes
       required to roll back the proposal and the index to which to roll back.
       \vee \wedge proposal[t][i].status = Validating
          \land configuration[t].committedIndex = proposal[t][i].dependencyIndex
              For Change proposals validate the set of requested changes.
          \land \lor \land proposal[t][i].type = Change
                \land LET rollbackIndex \stackrel{\triangle}{=} configuration[t].configIndex
                        rollbackValues \ \stackrel{\Delta}{=} \ [p \in \texttt{DOMAIN} \ proposal[t][i].values \mapsto
                                                  IF p \in DOMAIN \ configuration[t].values \ THEN
                                                     configuration[t].values[p]
                                                     [value \mapsto Nil,
                                                      delete \mapsto \text{TRUE}
                    Model validation successes and failures with Valid and Invalid results.
                        \exists r \in \{Valid, Invalid\}:
                            If the Change is Valid, record the changes required to roll
                            back the proposal and the index to which the rollback changes
                            will roll back the configuration.
                           \lor \land r = Valid
                              \land proposal' = [proposal \ EXCEPT \ ![t] = [
                                                   proposal[t] \text{ EXCEPT } ![i].rollbackIndex = rollbackIndex,
                                                                            ![i].rollbackValues = rollbackValues,
                                                                            ![i].status
                                                                                             = Validated]
                              \land UNCHANGED \langle configuration \rangle
```

```
\lor \land r = Invalid
                      \land configuration' = [configuration \ EXCEPT \ ![t].committedIndex = i]
                      \land proposal' = [proposal \ EXCEPT \ ![t] = ]
                                          proposal[t] \text{ EXCEPT } ![i].status = Failed]]
      For Rollback proposals, validate the rollback changes which are
      proposal being rolled back.
      \lor \land proposal[t][i].type = Rollback
             Rollbacks can only be performed on Change type proposals.
         \land \lor \land proposal[t][proposal[t][i].rollback].type = Change
                   Only roll back the change if it's the lastest change made
                   to the configuration based on the configuration index.
               \land \lor \land configuration[t].configIndex = proposal[t][i].rollback
                     \land \text{ LET } rollbackIndex \ \stackrel{\boxtimes}{=} \ proposal[t][proposal[t][i].rollback].rollbackIndex
                             rollbackValues \stackrel{\triangle}{=} proposal[t][proposal[t][i].rollback].rollbackValues
                       IN \exists r \in \{Valid, Invalid\}:
                                If the Rollback is Valid, record the changes required to
                                roll back the target proposal and the index to which the
                                configuration is being rolled back.
                                \lor \land r = Valid
                                   \land proposal' = [proposal \ EXCEPT \ ![t] = [
                                         proposal[t] EXCEPT ![i].rollbackIndex = rollbackIndex,
                                                                 ![i].rollbackValues = rollbackValues,
                                                                 ![i].status
                                                                                       = Validated]
                                   \land UNCHANGED \langle configuration \rangle
                                \lor \land r = Invalid
                                   \land configuration' = [configuration \ EXCEPT \ ![t].committedIndex = i]
                                   \land proposal' = [proposal \ \texttt{EXCEPT} \ ![t] = [
                                                      proposal[t] \text{ EXCEPT } ![i].status = Failed]]
                   If the Rollback target is not the most recent change to the configuration,
                   fail validation for the proposal.
                  \lor \land configuration[t].configIndex \neq proposal[t][i].rollback
                     \land configuration' = [configuration \ EXCEPT \ ![t].committedIndex = i]
                     \land proposal' = [proposal \ EXCEPT \ ![t] = [proposal[t] \ EXCEPT \ ![i].status = Failed]]
             If a Rollback proposal is attempting to roll back another Rollback,
             fail validation for the proposal.
            \lor \land proposal[t][proposal[t][i].rollback].type = Rollback
               \land configuration' = [configuration EXCEPT ![t].committedIndex = i]
               \land proposal' = [proposal \ EXCEPT \ ![t] = [
                     proposal[t] \text{ EXCEPT } ![i].status = Failed]]
  \land UNCHANGED \langle target \rangle
While in the Committing state, commit the proposed changes to the configuration.
\lor \land proposal[t][i].status = Committing
   Only commit the proposal if the prior proposal has already been committed.
  \land configuration[t].committedIndex = proposal[t][i].dependencyIndex
      If the proposal is a change, commit the change values and set the configuration
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```
index to the proposal index.
      \land \lor \land proposal[t][i].type = Change
            \land configuration' = [configuration \ EXCEPT \ ![t].values]
                                                                                     = proposal[t][i].values,
                                                             ![t].configIndex
                                                             ![t].committedIndex = i]
         If the proposal is a rollback, commit the rollback values and index. This
         will cause the configuration index to be reverted to the index prior to
         the transaction/proposal being rolled back.
         \lor \land proposal[t][i].type = Rollback
            \land configuration' = [configuration \ EXCEPT \ ![t].values
                                                                                     = proposal[t][i].rollbackValues,
                                                             ![t].configIndex
                                                                                     = proposal[t][i].rollbackIndex,
                                                             ![t].committedIndex = i]
      \land proposal' = [proposal \ EXCEPT \ ![t] = [proposal[t] \ EXCEPT \ ![i].status = Committed]]
      \land UNCHANGED \langle target \rangle
   While in the Applying state, apply the proposed changes to the target.
   \lor \land proposal[t][i].status = Applying
      \land configuration[t].appliedIndex = proposal[t][i].dependencyIndex
      \land configuration[t].appliedTerm = mastership[t].term
      \land mastership[t].master = n
      Model successful and failed target update requests.
      \land \exists r \in \{Success, Failure\}:
           \vee \wedge r = Success
                 If the proposal is a change, apply the change values to the target
                 and update the configuration's applied index and values.
              \land \lor \land proposal[t][i].type = Change
                   \land target' = [target \ EXCEPT \ ![t] = proposal[t][i].values @@ target[t]]
                   \land configuration' = [configuration \ EXCEPT]
                         ![t].appliedIndex = i,
                         ![t].appliedValues = proposal[t][i].values @@ configuration[t].appliedValues]
                 If the proposal is a rollback, apply the rollback values and update the
                 configuration's applied values with the rolled back values.
                 \lor \land proposal[t][i].type = Rollback
                   \land target' = [target \ EXCEPT \ ![t] = proposal[t][i].rollbackValues @@ target[t]]
                   \land configuration' = [configuration \ EXCEPT]
                         ![t].appliedIndex = i,
                         ![t].appliedValues = proposal[t][i].rollbackValues @@ configuration[t].appliedValues] \\
              \land proposal' = [proposal \ EXCEPT \ ![t] = [proposal[t] \ EXCEPT \ ![i].status = Applied]]
           If the proposal could not be applied, update the configuration's applied index
           and mark the proposal Failed.
           \lor \land r = Failure
              \land configuration' = [configuration \ EXCEPT \ ![t].appliedIndex = i]
              \land proposal' = [proposal \ EXCEPT \ ![t] = [proposal[t] \ EXCEPT \ ![i].status = Failed]]
              \land UNCHANGED \langle target \rangle
\land UNCHANGED \langle transaction, mastership \rangle
```

```
This section models the Configuration reconciler.
ReconcileConfiguration(n, t) \stackrel{\Delta}{=}
    \land \lor \land Target[t].persistent
          \land configuration[t].status \neq Persisted
          \land configuration' = [configuration \ EXCEPT \ ![t].status = Persisted]
          \land UNCHANGED \langle target \rangle
       \lor \land \neg Target[t].persistent
          \land mastership[t].term > configuration[t].configTerm
          \land configuration' = [configuration \ EXCEPT \ ![t].configTerm = mastership[t].term,
                                                              ![t].status
                                                                                  = Synchronizing
          \land UNCHANGED \langle target \rangle
       \lor \land \neg Target[t].persistent
          \land configuration[t].status \neq Pending
          \land mastership[t].term = configuration[t].configTerm
          \land mastership[t].master = Nil
          \land configuration' = [configuration \ EXCEPT \ ![t].status = Pending]
          \land UNCHANGED \langle target \rangle
       \lor \land configuration[t].status = Synchronizing
          \land mastership[t].term = configuration[t].configTerm
          \land \ mastership[t].master = n
          \land target' = [target \ EXCEPT \ ![t] = configuration[t].appliedValues]
          \land configuration' = [configuration EXCEPT ![t].appliedTerm = mastership[t].term,
                                                              ![t].status
                                                                                   = Synchronized
    \land UNCHANGED \langle proposal, transaction, mastership \rangle
Init and next state predicates
Init \triangleq
    \land transaction = \langle \rangle
    \land proposal = [t \in DOMAIN \ Target \mapsto
                       [p \in \{\} \mapsto [status]
                                                    \mapsto Initializing]]]
    \land configuration = [t \in DOMAIN \ Target \mapsto
                               [target \mapsto t,
                               status \mapsto Pending,
                               values \mapsto
                                   [path \in \{\}] \mapsto
                                       [path \mapsto path,
                                        value \mapsto Nil,
                                        index \mapsto 0,
                                        deleted \mapsto FALSE]],
                               configIndex
```

configTerm

 $\mapsto 0$ ,

 $\mapsto 0$ ,

```
proposedIndex \mapsto 0,
                                  committedIndex \mapsto 0,
                                  appliedIndex
                                  applied Term
                                                        \mapsto 0,
                                  applied Values
                                      [path \in \{\}] \mapsto
                                           [path]
                                                     \mapsto path,
                                           value \mapsto Nil,
                                           index \mapsto 0,
                                           deleted \mapsto \text{FALSE}[]]]
    \land target = [t \in DOMAIN \ Target \mapsto
                        [path \in \{\} \mapsto
                            [value \mapsto Nil]]]
    \land mastership = [t \in DOMAIN \ Target \mapsto [master \mapsto Nil, term \mapsto 0]]
Next \triangleq
    \vee \exists c \in ValidChanges:
         RequestChange(c)
    \vee \exists t \in DOMAIN \ transaction :
         RequestRollback(t)
    \vee \exists n \in Node:
         \exists t \in \text{DOMAIN } Target:
           SetMaster(n, t)
     \vee \exists t \in \text{DOMAIN } Target :
         UnsetMaster(t)
    \vee \exists n \in Node:
         \exists t \in \text{DOMAIN} \ transaction:
              Reconcile Transaction(n, t)
    \vee \exists n \in Node:
         \exists t \in \text{domain } proposal :
           \exists i \in \text{DOMAIN } proposal[t]:
                ReconcileProposal(n, t, i)
    \vee \exists n \in Node:
         \exists c \in \text{DOMAIN} \ configuration :
              ReconcileConfiguration(n, c)
Spec \stackrel{\triangle}{=} Init \wedge \Box [Next]_{vars}
Isolation \triangleq
    \land \forall i, j \in \text{DOMAIN} \ transaction:
             \forall j \leq i
             \lor transaction[i].targets \cap transaction[j].targets = \{\}
             \lor transaction[i].isolation \neq Serializable
             \vee Phase(transaction[i].status) > Phase(Committed)
             \vee transaction[j].status = Failed
             \vee Phase(transaction[j].status) < Phase(Committing)
```

$$\begin{array}{cccc} Order & \triangleq & \text{TRUE} & TODO \text{ redefine order spec} \\ \\ \text{THEOREM } Safety & \triangleq & Spec \Rightarrow \Box \big(Order \land Isolation\big) \\ \\ Completion & \triangleq & \forall i \in \text{DOMAIN } transaction: \\ & transaction[i].status \in \{Applied, Failed\} \\ \\ \text{THEOREM } Liveness & \triangleq & Spec \Rightarrow \Diamond Completion \\ \end{array}$$

<sup>\^\*</sup> Last modified Sun Feb 06 23:16:24 PST 2022 by jordanhalterman

<sup>\^\*</sup> Created Wed Sep 22 13:22:32 PDT 2021 by jordanhalterman