

# Modern Complexity Theory (CS1.405)

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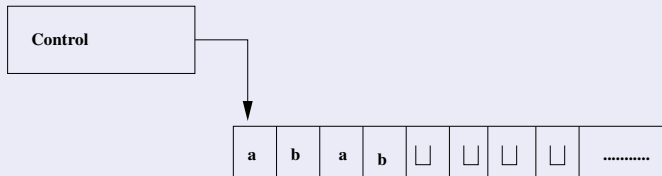
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## Turing Machines

- The Turing machine (TM) is a much more powerful model, which was first proposed by Alan Turing in 1936.
- A TM is similar to a finite automaton but with an unlimited and unrestricted memory, which is much more accurate model of a general-purpose computer.
- A TM can do everything that a real computer can do. Nonetheless, even a TM can not solve certain problems. In a very real sense, these problems are beyond the theoretical limits of computation.
- The Turing machine model uses an infinite tape as an unlimited memory.  
It has a tape head that can read and write symbols and move around on the tape.

## Turing Machines



**Figure:** Schematic of a Turing machine

- Initially the tape contains only the input string and is blank everywhere else.
- If the machine needs to store information, it may write this information on the tape.

## Turing Machines

- The outputs “accept” and “reject” are obtained by entering designated accepting and rejecting states.
- However, if it does not enter an accepting or a rejecting state, it will go on forever, never halting.

## Turing Machines

- Differences between finite automata and Turing machines
  - 1 A TM can both write on the tape and read from it.
  - 2 The read-write head can move to the left and to the right.
  - 3 The tape is infinite.
  - 4 The special states for rejecting and accepting take effect immediately.

## Formal Definition of a Deterministic Turing Machine (DTM)

A Deterministic Turing Machine (DTM) is a 7-tuple

$\langle Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject} \rangle$ , where  $Q, \Sigma, \Gamma$  are all finite sets, and

- $Q$  is the set of states,
- $\Sigma$  is the input alphabet not containing the blank symbol  $\sqcup$ , e.g.,  $\Sigma$  excluding  $\sqcup$ ,
- $\Gamma$  is the tape alphabet, where  $\sqcup \in \Gamma$  and  $\Sigma \subseteq \Gamma$ ,
- $q_0 \in Q$  is the start state,
- $q_{accept} \in Q$  is the accept state,
- $q_{reject} \in Q$  is the reject state, where  $q_{reject} \neq q_{accept}$ ,

## Formal Definition of a Deterministic Turing Machine (DTM) (Continued...)

- $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  is the transition function.
  - ▶ It is the heart of the definition of a TM.
  - ▶ It tells the machine gets from one step to the next.
  - ▶ When the machine is in a certain state  $q$  and the head is over a tape square containing a symbol  $a$ , and if  $\delta(q, a) = (r, b, L)$ , the machine writes the symbol  $b$  replacing  $a$ , and goes to state  $r$ . The third component is either  $L$  or  $R$ , and indicates the head moves to the left or right after writing.
  - ▶  $L$  indicates a move to the left.
  - ▶  $R$  indicates a move to the right.

Computation by a Deterministic Turing Machine (DTM) on an input string  $w = w_1 w_2 \dots w_n \in \Sigma^*$

A Deterministic Turing Machine (DTM)  $M = \langle Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject} \rangle$  computes as follows

- Initially,  $M$  receives its input  $w = w_1 w_2 \dots w_n$  on the leftmost  $n$  squares of the tape, and the rest of the tape is blank (i.e., filled with blank symbols,  $\sqcup$ ).
- The head starts on the leftmost square of the tape. Note that  $\Sigma$  does not contain the blank symbol, so the first blank appearing on the tape marks the end of the input.
- Once  $M$  has started, the computation proceeds according to the rules described by the transition function,  $\delta$ .



Computation by a Deterministic Turing Machine (DTM) on an input string  $w = w_1 w_2 \dots w_n \in \Sigma^*$

- Note that if  $M$  ever tries to move its head to the left off the the left-hand end of the tape, the head stays in the same place for that move, even though the transition function,  $\delta$  indicates  $L$ .
- The computation continues until it enters either the accept or reject states at which point it halts. If neither occurs,  $M$  goes on forever.

## Configuration of a Turing Machine

- As a TM computes, changes occur in the current state, the current tape contents, and the current head location. A setting of these three items is called a *configuration* of the Turing machine.
- For a state  $q$  and two strings  $u$  and  $v$  over the tape alphabet  $\Gamma$ , we write  $uqv$  for the configuration where state is  $q$ , the current tape contents is  $uv$  and the current head location is the first symbol of  $v$ .

## Example [Configuration of a Turing Machine]

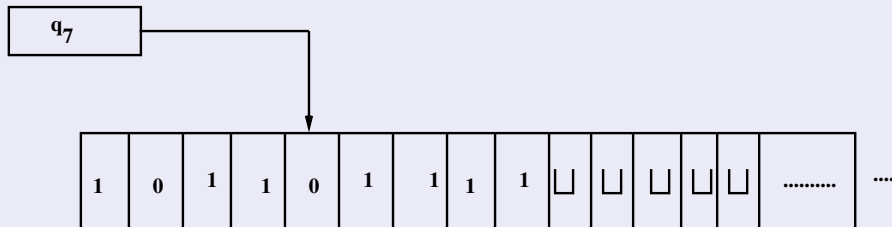


Figure: A Turing machine with configuration  $1011q_70111$

## Configuration of a Turing Machine

- We say that the configuration  $C_1$  “yields” another configuration  $C_2$  if the Turing machine can legally go from  $C_1$  to  $C_2$  in a single step.
- Define this notion formally as follows:
  - ▶ Suppose that we have  $a, b$ , and  $c \in \Gamma$  and  $u$  and  $v \in \Gamma^*$ , and states  $q_i$  and  $q_j$ .
  - ▶ Let  $uaq_i bv$  and  $uq_j acv$  be two configurations.
  - ▶ We say  $uaq_i bv$  “yields”  $uq_j acv$  if the transition function is  $\delta(q_i, b) = (q_j, c, L)$ .
  - ▶ We say  $uaq_i bv$  “yields”  $uacq_j v$  if the transition function is  $\delta(q_i, b) = (q_j, c, R)$ .

## Configuration of a Turing Machine

- The start configuration of  $M$  on input  $w$  is the configuration  $q_0 w$ . It indicates that the machine is in the start state  $q_0$  with its head at the leftmost position on the tape.
- In an accepting configuration the state of the configuration is  $q_{accept}$ .
- In a rejecting configuration the state of the configuration is  $q_{reject}$ .
- We say that accepting and rejecting configurations are “halting” configurations and do not yield further configurations.

## Configuration of a Turing Machine

A deterministic Turing machine (DTM)  $M$  accepts input  $w$  if a sequence of configurations  $C_1, C_2, \dots, C_k$  exists, where

- 1  $C_1$  is the start configuration of  $M$  on input  $w$ ,
- 2 Each  $C_i$  yields  $C_{i+1}$ , and
- 3  $C_k$  is accepting configuration.

## Configuration of a Turing Machine

### Definition

A DTM  $M$  that accepts a collection (set) of strings is called the language of  $M$ , or the language recognized by  $M$ , denoted by  $L(M)$ . Thus,  $L(M) = \{w \mid M \text{ accepts } w\}$ .

### Definition

A language  $L$  is called Turing-recognizable or recursively enumerable language if some Turing machine recognizes it.

## Outcomes of a Turing machine on input

When we start a Turing machine on input, three outcomes are possible.

- 1 accept
- 2 reject
- 3 loop



## Outcomes of a Turing machine on input

- By loop, we mean that the machine simply does not halt.
- Sometimes distinguishing a machine that is looping from one that is merely taking a long time is difficult.
- For this reason, we prefer Turing machines that halt on all inputs; such machines never loop.
- These machines are called “deciders” because they always make a decision to accept or reject.
- A decider that recognizes some language also is said to decide that language.

## Outcomes of a Turing machine on input

### Definition

A language  $L$  is Turing-decidable or simply decidable (or a recursive language) if some Turing machine decides it.

### Theorem

*Every decidable language is Turing-recognizable, but certain Turing-recognizable languages are not decidable.*

**Problem:** Consider the language  $L = \{a^i b^j c^k \mid i \times j = k \text{ and } i, j, k \geq 1\}$ . Design a DTM  $M$  that decides  $L$ .