

# Application of Machine Learning techniques to the discovery of new physics

Óliver Partida Gutiérrez

UAB

November 8, 2020

# Outline

- 1 Problem description
- 2 Machine Learning techniques
- 3 Results
- 4 Conclusions



# GIM mechanism

- Put forth by Sheldon Lee Glashow, John Iliopoulos and Luciano Maiani.
- Required the introduction of a new quark.
- mass-squared difference of the different virtual quarks exchanged in the box diagram.

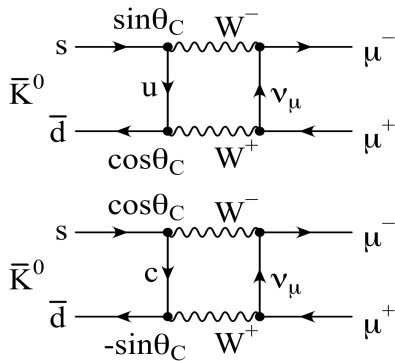


Figure:

Neutral Kaon decay [17]

## Experimental data

- In 2013, the LHCb collaboration announced the measurement of angular observables describing the decay  $B \rightarrow K\mu\mu$ .
- Two observables,  $P_2$  and  $P_5'$  were in significant disagreement with the SM expectations.
- $R_K = Br(B \rightarrow K\mu\mu)/Br(B \rightarrow K\mu\mu)$  measurement  $\neq 1$ . Could indicate Lepton Flavor Universality Violation (LFUV).

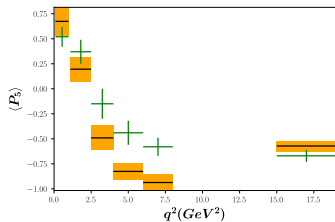


Figure: Observable  $P_5$ .

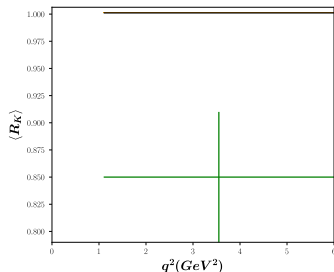


Figure:

$$R_K = Br(B \rightarrow K\mu\mu)/Br(B \rightarrow K\mu\mu)$$

# Searching for New Physics

- Effective field theory framework (EFT)

$$\mathcal{H}_{eff} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i \mathcal{C}_i \mathcal{O}_i.$$

- Heavy degrees of freedom (the top quark, the  $W$  and  $Z$  bosons, the Higgs and any heavy new particle) are integrated out in short-distance Wilson coefficients  $\mathcal{C}_i$ , leaving only a set of operators  $\mathcal{O}_i$  describing the physics at long distances.
- In the SM, the Hamiltonian contains 10 main operators with specific chiralities due to the  $V - A$  structure of the weak interactions.
- We reduce such set to the two dominant operators:

$$\mathcal{O}_9 = \frac{e}{16\pi^2} m_b (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \ell),$$

$$\mathcal{O}_{10} = \frac{e}{16\pi^2} m_b (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \gamma_5 \ell).$$

# Searching for New Physics

- Current analysis of anomalies in flavor physics are based on a linear regression of a  $\chi^2$  function.
- The observables measured by the experimental collaborations can be written in terms of the  $C_i$  Wilson coefficients.
- It is common to split the Wilson coefficients in two pieces: the SM contributions and the NP contributions:

$$C_9 = C_9^{SM} + C_9^{NP}$$

$$C_{10} = C_{10}^{SM} + C_{10}^{NP}$$

# Discriminative vs Generative

- Two distinct approaches to solving decision problems.
- Generative:
  - ▶ Determine the class-conditional densities  $p(x|C_k)$  for each class individually and the prior class probabilities.
  - ▶ Use Bayes theorem to find the posterior:

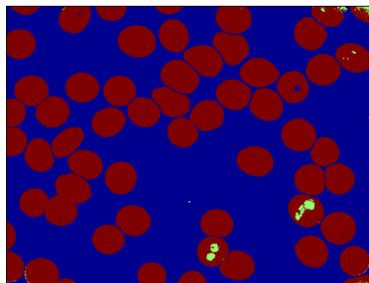
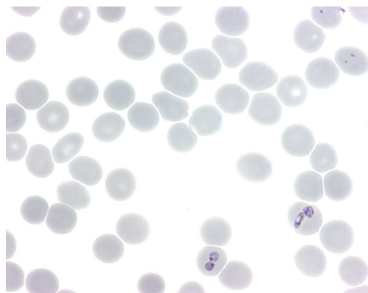
$$p(C_k|x) = \frac{p(x|C_k)p(C_k)}{p(x)}$$

- ▶ We have a model of our data. We can sample from it.
  - ▶ E.g.: Naive Bayes, Mixture Models, Generative Adversarial Networks.
- Discriminative:
  - ▶ We have labeled data.
  - ▶ Directly determine the posterior class probabilities  $p(C_k|x)$ .
  - ▶ E.g.: Neural Networks.



# Generative models

- $p(x|\mu, \Sigma, \pi) = \sum_{i=1}^k \pi_i p(x|\mu_i, \Sigma_i)$ .
- Model the probability distribution of the data.
- Fit model parameters using Maximum Likelihood.
- Use Bayes theorem to classify new data point.



# Generative Adversarial Networks (GANs)

- First described in the 2014 paper by Ian Goodfellow [16].
- **What are they?** → Model architecture for training a generative model.
- Implicitly learn rich distributions hard to model with an explicit likelihood.

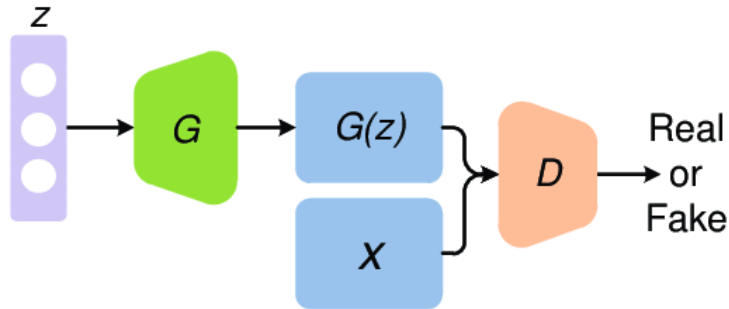


Figure: **GAN architecture**, from Recent Progress on Generative Adversarial Networks (GANs): A Survey [15]

# GAN training problems

- **Vanishing gradients** → optimal discriminator doesn't provide enough information for the generator to make progress.
- **Mode collapse** → if a generator produces an especially plausible output, the generator may learn to produce only that output.
- **Failure to converge** → If the generator succeeds perfectly, then the discriminator has a 50% accuracy and the discriminator feedback gets less meaningful over time.

## Results. Dataset

- 8 observables.
- 37 bin values.
- 10000 samples.
- $10 \times 10$   $C_9 \in [-2, 0]$ ,  $C_{10} \in [-1, 1]$ .
- 100  $a_0, a_1, a_2 \in [-0.01, 0.01]$ .

$C_9 = -1.33, C_{10} = -0.44 \chi^2 = 23.33$	$a_0$	$a_1$	$a_2$
$P_1$	-0.093	0.001	0
$P_2$	-0.093	0.001	0
$P_4$	-0.093	0.001	0
$P_5$	-0.093	0.001	0
$BrK^{0*}$	-0.093	0.001	0
$BrK^0$	-0.093	0.001	0
$R_K$	-0.093	0.001	0
$R_{K^*}$	-0.093	0.001	0

Figure: Coefficients of sample in training set with lowest  $\chi^2$  value.

## Results. Neural networks

$C_9 = -0.79, C_{10} = -0.07, \chi^2 = 29.5$	$a_0$	$a_1$	$a_2$
$P_1$	0	0	0
$P_2$	0	0	0
$P_4$	0.03	0	0
$P_5$	0.02	0	0
$BrK^{0*}$	-0.01	0	0
$BrK^0$	-0.01	0	0
$R_K$	-0.01	0	0
$R_{K^*}$	-0.01	0	0

Figure: Coefficients predicted by the NN after training

## Results. GAN

$C_9 = -0.74, C_{10} = -0.62, \chi^2 = 373.48$	$a_0$	$a_1$	$a_2$
$P_1$	0.012	0.016	0.0008
$P_2$	-0.017	0.030	-0.002
$P_4$	-0.010	0.010	-0.001
$P_5$	0.001	0.008	0.0003
$BrK^{0*}$	-0.026	0.023	0.001
$BrK^0$	-0.008	0.014	0.005
$R_K$	-0.019	0.012	-0.004
$R_{K^*}$	-0.013	0.011	-0.006









Figure: Coefficients with lowest  $\chi^2$  sampled from GAN.

# Conclusions

- The parametrization of the observables

Thank you



-  M. Algueró, B. Capdevila, A. Crivellin, S. Descotes-Genon, P. Masjuan, J. Matias, M. Novoa and J. Virto, Eur. Phys. J. C **79** (2019) no.8, 714 [arXiv:1903.09578 [hep-ph]].
-  M. Algueró, B. Capdevila, S. Descotes-Genon, P. Masjuan and J. Matias, Phys. Rev. D **99** (2019) no.7, 075017 [arXiv:1809.08447 [hep-ph]].
-  A. Vicente, [arXiv:2001.04788 [hep-ph]].
-  R. Aaij *et al.* [LHCb Collaboration], arXiv:1903.09252 [hep-ex].
-  S. Choudhury for the Belle collaboration, “Measurement of Lepton Flavour Universality in  $B$  decays at Belle”, Talk at ‘EPS-HEP Conference 2019’.
-  R. Aaij *et al.* [LHCb Collaboration], JHEP **1406** (2014) 133 [arXiv:1403.8044 [hep-ex]].
-  A. Abdesselam *et al.* [Belle Collaboration], arXiv:1904.02440 [hep-ex].
-  M. Aaboud *et al.* [ATLAS Collaboration], [arXiv:1812.03017 [hep-ex]].

-  S. Aoki *et al.* [Flavour Lattice Averaging Group], arXiv:1902.08191 [hep-lat].
-  S. Wehle *et al.* [Belle Collaboration], Phys. Rev. Lett. **118** (2017) no.11, 111801 [arXiv:1612.05014 [hep-ex]].
-  A. Abdesselam *et al.* [Belle Collaboration], arXiv:1604.04042 [hep-ex].
-  L. Calibbi, A. Crivellin and T. Li, Phys. Rev. D **98** (2018) no.11, 115002 [arXiv:1709.00692 [hep-ph]].
-  A. Crivellin, D. Muller and C. Wiegand, arXiv:1903.10440 [hep-ph].
-  C. Bobeth, A. J. Buras, A. Celis and M. Jung, JHEP **1704** (2017) 079 [arXiv:1609.04783 [hep-ph]].
-  Z. Pan, W. Yu, X. Yi, A. Khan, F. Yuan and Y. Zheng, "Recent Progress on Generative Adversarial Networks (GANs): A Survey," in IEEE Access, vol. 7, pp. 36322-36333, 2019, doi: 10.1109/ACCESS.2019.2905015.



Ian J. Goodfellow and Jean Pouget-Abadie and Mehdi Mirza and Bing Xu and David Warde-Farley and Sherjil Ozair and Aaron Courville and Yoshua Bengio [arXiv:1406.2661 [stat.ML]].



GIM mechanism — Wikipedia, The Free Encyclopedia,  
[https://en.wikipedia.org/w/index.php?title=GIM\\_mechanism&oldid=958923133](https://en.wikipedia.org/w/index.php?title=GIM_mechanism&oldid=958923133)