

ITEA2 Project Call 6 11025 2012 - 2015

Work-Package 3: "Modeling"

openETCS System Architecture and Design Specification

Third iteration: Scope of openETCS ITEA2 Functions

Baseliyos Jacob, Bernd Hekele, Marc Behrens, David Mentre, Jos Holtzer, Jan Welvaarts, Vincent Nuhaan and Jacob Gärtner

November 2014



Funded by:















This page is intentionally left blank

Work-Package 3: "Modeling"

OETCS/WP3/D3.5.1.2 November 2014

openETCS System Architecture and Design Specification

Third iteration: Scope of openETCS ITEA2 Functions

Document approbation

Lead author:	Technical assessor:	Quality assessor:	Project lead:	
location / date	location / date	location / date	location / date	
signature	signature	signature	signature	
Jakob Gärtern	[assessor name]	Izaskun de la Torre	Klaus-Rüdiger Hase	
(DB Netz)	([affiliation])	(SQS)	(DB Netz)	

Baseliyos Jacob, Bernd Hekele

DB Netz AG Völckerstrasse 5 D-80959 München Freimann, Germany

Marc Behrens

DLR

David Mentre

Mitsubishi Electric R&D Centre Europe

Jos Holtzer, Jan Welvaarts, Vincent Nuhaan

NS

Jacob Gärtner

LEA Engineering

Architecture and Functional Specification

Prepared for openETCS@ITEA2 Project

ii

OETCS/WP3/D3.5.1.2

OETCS/WP3/D3.5.1.2 iii

Abstract: This document gives an introduction to the architecture of openETCS. The functional scope is tailored to cover the functionality required for the openETCS demonstration as a target of the ITEA2 project: the Utrecht Amsterdam use-case. It has to be read as an add-on to the models in SysML, Scade and to additional reading referenced from the document.

Disclaimer: This work is licensed under the "openETCS Open License Terms" (oOLT) dual Licensing: European Union Public Licence (EUPL v.1.1+) AND Creative Commons Attribution-ShareAlike 3.0 – (cc by-sa 3.0)

THE WORK IS PROVIDED UNDER OPENETCS OPEN LICENSE TERMS (OOLT) WHICH IS A DUAL LICENSE AGREEMENT INCLUDING THE TERMS OF THE EUROPEAN UNION PUBLIC LICENSE (VERSION 1.1 OR ANY LATER VERSION) AND THE TERMS OF THE CREATIVE COMMONS PUBLIC LICENSE ("CCPL"). THE WORK IS PROTECTED BY COPYRIGHT AND/OR OTHER APPLICABLE LAW. ANY USE OF THE WORK OTHER THAN AS AUTHORIZED UNDER THIS OLT LICENSE OR COPYRIGHT LAW IS PROHIBITED.

BY EXERCISING ANY RIGHTS TO THE WORK PROVIDED HERE, YOU ACCEPT AND AGREE TO BE BOUND BY THE TERMS OF THIS LICENSE. TO THE EXTENT THIS LICENSE MAY BE CONSIDERED TO BE A CONTRACT, THE LICENSOR GRANTS YOU THE RIGHTS CONTAINED HERE IN CONSIDERATION OF YOUR ACCEPTANCE OF SUCH TERMS AND CONDITIONS.

http://creativecommons.org/licenses/by-sa/3.0/

http://joinup.ec.europa.eu/software/page/eupl/licence-eupl

Modification History

Version	Section	Modification / Description	Author
0.1	Document	Initial document providing the structure	Baseliyos Jacob
0.2	Document	Workshop Results included and some pretty- printing	Bernd Hekele

Table of Contents

Modification History			
Fig	ures a	and Tables	vii
1	Intro	duction	
		1.0.1 Motiva	tion
		1.0.2 Object	ives
		1.0.3 Roles,	responsibilities and tasks
		1.0.4 Proces	SS
		1.0.5 Assum	option and Preconditions
	1.1	Functions ER	TMS/ETCS 5
	1.2	openETCS Ar	chitecture: Iterations and History
		=	eration Functional Scope: The Minimum OBU Kernel Function
		1.2.2 How to	o find the functions of the First Iteration in the Architecture
	1.3		Abbreviations 6
	1.4	Data dictionar	y 6
2	Innui		,
2	inpu	Documents	
3	Prod	uct Backlog	
		J	
4	Arch	itecture descr	iption (by layers)
	4.1	Overall function	onal description
	4.2	Functional bre	eakdown
		4.2.1 first lev	vel functional block
		4.2.2 second	d level functional block
		4.2.2.1	Receive msg´s/ check consistency
		4.2.2.2	Build coordinate system and calculate train position
		4.2.2.3	Store inputs from the TIU
		4.2.2.4	Store inputs from the DMI
		4.2.2.5	Filtering (Mode/Level) - One packet per type
		4.2.2.6	Store data (direct orders, BG lists, NV, track data, procedure parameters, confir-
			mations)
		4.2.2.7	Update location based data structures
		4.2.2.8	Manage specific location based data:
		4.2.3 Build a	and update MRSP and list of targets at LRBG
		4.2.4 Profile	supervision, i.e. BCM and ceiling speed supervision (active for FS, OS and LS) $\ldots 15$
		4.2.4.1	Movement supervision
		4.2.4.2	Area Supervision
		4.2.4.3	Update procedure status (including commanding actions towards driver, radio or RBC)
		4.2.4.4	Mode/Level management
		4.2.4.5	DMI management
		4.2.4.6	RBC communication
		4.2.4.7	TIU communication
		4.2.4.8	JRU and STM management: not applicable for Utrecht-Amsterdam
		_	Track informationen
		4.2.5.1	Interfaces
		4.2.5.1	Interfaces

		4.2.5.2 SysML Model	17
5	Desi	gn description	18
	5.1	Detailed functional description	18
	5.2	Documentation of design	18
	5.3	SCADE Model	20
Apr	endi	x: References	21

OETCS/WP3/D3.5.1.2 vii

Figures and Tables

	_			
_	i۸			
_	ю	ш	r	æ

Figure 1. Filter In and out	. 17
Figure 2. SysML Filter	. 17
Figure 3. Decision	. 19

Tables

PETCS/WP3/D3.5.1.2	viii

1 Introduction

1.0.1 Motivation

The openETCS work package 3 (WP3) aims to provide – amongst others - the software architecture for the openETCS kernel in order to eventually build the software itself. WP3 partner has put great effort in the openETCS software design, thus far without making definite choices on the software architecture itself respective of functional breakdown and data structures of the openETCS kernel. Since the project planning foresees in the production of a reference software to be used as a demonstrator by June 2014, it is of paramount importance that a design freeze of the openETCS kernel architecture be finalized shortly but no later than November 2014.

In compliance with the agreements made during the last WP 3 meeting at the 10.09.2014 in Brussels, DB has taken the initiative to design the aforesaid architecture including of functional breakdown and data structures in order to safeguard a timely delivery of these products. Furthermore, DB has ensured that these developments are focused on including end user requirements so as to develop a design in conformity with the needs and requirements of the operators. Specialists of DB and NS have cooperated together with other partners in WP3 to produce this document.

As referred to above, the architecture description has to be finalized in the month of November 2014. This version of the document is a draft version, demonstrating the general directions and philosophy of the architectural design, the functional breakdown of the software and the data structures. The design is focused on maximum efficiency in order to maximize on RAMS performance of the end product.

This document, named second iteration, is a draft document and will be developed until a complete architecture.

Since this is a work in progress, any remarks referring to the improvement of the document, including reporting errors, are more than welcome. Any additional work done thus far on the subject by other WP3 partners will be incorporated in this document as long as it is aligned with and consistent or complementary to the fundamental viewpoints advocated in this document after a review in respect to the openETCS process. At the same time, any contributions to the integration of which will demand discussion or changes of the fundamentals as proposed in this document, will be discarded with . Only in this way the ITEA2 project is able to meet its objectives as mentioned above. There will be two workshops in which there is due time and opportunities to fine-tune this document and its contents. Any comments will be addressed there.

It is urgent to definitely finalize the architecture on a short notice and therefore this document will rather prescribe than describe the openETCS architecture, functional decomposition of the system and the data structures within the limits as stated above. The document is divided in two parts, i.e.:

• A description of the general architecture of the openETCS OnBoard Kernel (software) including data structures prepared by NS....

• A description of the functional decomposition of the openETCS OBU (software) in alignment with the general architecture prepared by DB.....

Furthermore, this document describes the preconditions on which said descriptions are based on, the status and planning of upcoming activities and the main objectives of DB and NS as the End User. Wherever necessary, reference will be made to documents that underline the agreements that have been made during the openETCS architecture design process and the activities and meetings of WP3.

1.0.2 Objectives

The prime objective of WP3 is to produce a rapid prototype for the openETCS reference system that can function as a demonstrator in collaboration with WP 4 and WP 5 for the openETCS approach and will be used as such in the final phase of the project. That phase is the first half of 2015. This objective is defined as ...

High level Objectives of this work:

«any further general statements on the ITEA2 objectives, like...»

- Work on a model bases approach and process for effective collaborative work within an international ETCS developer team as stated above, the project needs a definite architecture design by the end of 2014. This document targets:
- Defining the general design and conditions of the openETCS architecture, functional breakdown and data structures;
- Providing the guidelines for discussion during the workshops that are planned in October and November 2014 that will result in the final and decisive version of this document;
- Being the 'platform' for finalization i.e. whatever be the products or results of the workshops shall be integrated in this document. Apart from these general objectives, the document means to provide for the materials that will enable WP3 partners to improve the efficiency of the Work Package activities:
- The comprehensive architecture design shall enable splitting the work load according to the building blocks defined by the architecture and allocate strictly compartmented work parcels or activities to WP3 partners.
- Doing so will enable WP3 to avoid any double work
- Compartmenting the work load according to the functional building blocks as defined by the architecture will enable efficient planning of activities, be it individually or the integrated WP3 planning for the coming period, aiming at a just in time delivery of all results and products;
- Each partner that is responsible for one of the work parcels shall abide by the requirements in terms of quality and timeliness as defined by this document and prior documents and agreements made within the ITEA2 project.

1.0.3 Roles, responsibilities and tasks

In this section, the roles and responsibilities of the WP3 partners are confirmed, especially where they divert from what has been agreed upon at the start of WP3:

• First of all, in the last WP 3 meeting in Brussels on 10.09.2014 DB proposed to take over the lead of the architecture design and functional breakdown. At the subsequent weekly scrum

meeting on 12.09.2014, it was agreed upon by all participants that DB will take over the lead (see Appendix . . .);

- •Planning: Alstom as WP 3 leader will remain to be responsible for the planning and the allocation of the defined tasks to the different partners
- •Roles: Alstom will also coordinate the work and safeguard that the defined results will be delivered according to the quality requirements that are agreed within the ITEA2 project and the schedule and the milestones that will be agreed upon during the coming workshops;
- •All WP3 partners will deliver the results or products according to planning as will be agreed upon during the said workshops.

In the interest of a swift production of the critical documentation of which this version is a draft, specific tasks will be defined in terms of concrete results to be delivered, the timeframes in which these results must be produced and the partner who shall be responsible for that specific result and the planning. This is to safeguard the timely delivery. The process will be described in the next sections.

1.0.4 Process

- Alstom as WP 3 leader will be responsible for planning
- Time and quality aspects should be respected
- openETCS tools and methodology must be respected

Most of the operational requirements to WP3 in the last phases of the ITEA2 project have been described in the former paragraphs. This section will describe the process which has to lead to the final result: the reference software to be used in the demonstrator next year, more specifically the final description of the openETCS architecture including the data structures and its functional decomposition. The process will run as follows:

- DB will supervise the development of the first 'firm' draft of the specified products, 'firm' meaning that changes can only be made within the framework of these products and not to the fundamentals of these products as described in this document;
- DB will supervise the preparation of the two workshops that are proposed by Alstom and aim at defining the final and definite architecture, data structures and functional decomposition. It will make proposals for a planning of the critical tasks that remain to be done;
- Alstom will lead the two workshops following the preparations and the instructions of DB. Since all participants are intrinsically involved in the development work and tend to immerse themselves in technical discussions, for productivity purposes it is proposed to make use of a (non-technical) moderator that will be made responsible for coordinating the meeting, the discussions and the team efforts according of the agenda.
- Also for productivity reasons, introductory presentations will be restricted to the contents and setup of this document since all prior efforts have to be merged with this document and not the other way around. Following a general introduction into the work that has been so far, the other contributions will be scrutinized on their consistency with this document and any useful sections will be merged with this document.
- During the workshops, there will be ample room reserved for enhancing this document, using other documents pertaining to the same field of work that have been delivered by other partners. Only material that is aligned with the general philosophy and structures proposed by this document, will be integrated;

• In case conflicting views emerge over the benefits and value of certain contributions, at the very moment that parties conclude that they have conflicting views, these will be listed in an inventory for later discussion. The moderator shall note any such conflicts on the said inventory. Conflicting views will be treated at the end of each workshop whenever there is sufficient time or will be treated in a separate meeting that will be chaired by DB as coordinator of the ITEA2 project.

- The workshop shall be attended by a secretary provided for by DB who is responsible for making the workshop minutes. Within a week after each workshop these minutes shall be distributed among the partners that have cooperated in the workshop and be reviewed by those.
- The main objective of the workshops shall be the finalization of this document. In order to reach the specified result, the remaining tasks shall be identified and split into separate tasks or work parcels. Every task or work parcel will be allotted to one single responsible partner. Responsibility relates to the timely delivery of the defined result and according to quality requirements;
- Alstom, as WP3 leader, will be responsible for the planning, allocation of tasks or work parcels to partners and will ensure timely delivery of results;
- In case there will be tasks or work packages that cannot be finalized during the workshops or will be identified during the workshops and do not fit in the actual planning, these will be allotted in such a way that deadlines are perfectly clear and acknowledged by the party that is responsible for the results, fit within the general requirements of the project and are agreed upon in writing and executed by the responsible partners according to agreement;
- DB as partner that has integral responsibility for both the ITEA2 project and responsible as well for the architecture etc., is entitled to interfere take over the role as leader / coordinator in case the workshops prove to be insufficiently productive;
- All output will be such, that it can be integrated in this document. It is the responsibility of DB to integrate the results and to deliver the final and definite version of this document.
- The document concept will follow the openETCS process and tools (LaTex and Git-hub).

1.0.5 Assumption and Preconditions

- All future contributions shall be fully aligned an compliant the finalized and approved document
- Alls documents produced by the partners are requested to be compliant and merge to this document; other contributions will be discarded

The workshops are all about working as swift, as efficient and as productive as possible and make full use of the potential made available for these workshops by the partners. It is expected that the partners in the workshops will have the express intention to:

- Contribute to the workshops with the intention to finalize the openETCS architecture;
- Provide resources according to the agreements made prior to the Workshops;
- Focus primarily on getting concrete results regardless of methodological issues that might arise. Where necessary or opportune, classical project management methodology will be applied;
- Provide full transparency with respect to experience, knowledge base and information touching the subjects to be treated in the workshops;
- Document on paper or electronically all output of the workshops and integrate these with the underlying document;
- Restrict discussions only to topics that have an immediate impact on the content or the quality of the end product: the improved version of this document.

1.1 Functions ERTMS/ETCS

The ERTMS / ETCS system was developed with a view to interoperability of trains on the different European rail networks. It is divided into "tracks" - and "board" finishes and shall establish a mutual message operation, by beacons or through a "radio" - The transmission system (in this case a mobile telephone network GSM-R) is performed. It defines several operating levels, and the system must also interfaces with the existing monitoring systems of the trains (using STM) have. The ERTMS / ETCS system provides the transport operator (the track) the choice of conditions concerning the use and operation. The train must therefore may go with different operating conditions on routes. Thus has the onboard equipment but must be implemented, to the interoperability of the train to ensure on the other networks. These functions must therefore correspond to one standard: the SRS (version 3.3.0).

application functions, which have two different species of origin: defined in the SRS: here one finds in particular the speed monitoring- and transfer functions; these functions must be implemented in full accordance with the SRS; they can in indeed be on any network on which the train is used; these functions are described below in Section ??;

Moreover, there are functions to adapt to the train: so, for example, the processing a "separation distance" in the airborne equipment trigger: This is dependent on the distribution of functions between the Control monitoring equipment (which the ERTMS / ETCS), and the other CCS Systems.

1.2 openETCS Architecture: Iterations and History

The openETCS Architecture and Design is implemented in iterations [?]. The current step (second iteration) is based on a step to implement the kernel functions of the ETCS system [1]. For a better understanding of the scope the Iteration is described in the following.

1.2.1 First Iteration Functional Scope: The Minimum OBU Kernel Function

The openETCS first iteration architecture and the design of the openETCS OBU software as mainly specified in [2] UNISIG Subset_026 version_3.3.0.

The appropriate functionality has been divided into a list of functions of different complexity (see the WP3 function list [3]).

All these functions are object of the openETCS project and have to be analysed from their requirements and subsequently modelled and implemented. With limited manpower, a reasonable selection and order of these functions is required for the practical work that allows the distribution of the workload, more openETCS participants to join and leads to an executable—limited—kernel function as soon as possible.

While the first version of this document focuses on the first version of the limited kernel function, it is intended to grow in parallel to the growing openETCS software.

The first objective of the first iteration was

• "Make the train run as soon as possible, with a very minimum functionality, and in the form of a rapid prototype."

This does not contradict the openETCS goal to conform to EN50128.

• After a phase of prototyping, the openETCS software shall be implemented in compliance to EN50128 for SIL4 systems.

1.2.2 How to find the functions of the First Iteration in the Architecture

The functions will be merged with the new architecture. Wherever a function has already been in the scope it will be marked as "first iteration".

1.3 Glossary and Abbreviations

ERTMS/ETCS Glossary of Terms and Abbreviations SUBSET-023, ISSUE: 3.1.0, 12.05.2014,

API Application Programming Interface EVC European Vital Computer BTM Balise Transmission Module SRS System Requirements Specification

SRS-Subset 26 QA-Plan: D1.3.1 Process: D2.3 Methods: D2.4 API: D2.7

1.4 Data dictionary

concept for the data dictionary ...

2 Input Documents

See Wiki page on

https://github.com/openETCS/modeling/wiki/Input-Documents-Repository

3 Product Backlog

See on:

4 Architecture description (by layers)

4.1 Overall functional description

4.2 Functional breakdown

4.2.1 first level functional block

- Receive msg's/ check consistency
- Build coordinate system and calculate train position
- Store inputs from the TIU
- Store inputs from the DMI
- Filtering (Mode/Level)
- Store data (direct orders, BG lists, NV, track data, procedure parameters, confirmations)
- Update location based data structures
- Build and update MRSP and list of targets at LRBG
- Profile supervision (BCM and ceiling speed supervision)
- Movement supervision
- Area supervision
- Procedures
- Mode/Level management
- Manage radio communication
- DMI management
- RBC communication
- TIU Communication
- STM management (not taken into account)
- Gathering JRU data (not taken into account)

4.2.2 second level functional block

4.2.2.1 Receive msg's/ check consistency

Short Description of Functionality

The block "Receive msg's / check consistency" is responsible for receiving Eurobalise-telegrams and Euroradio-messages from the API and perform several consistency checks on this input.

The block collects the telegrams of balises in order to build balise group messages. Euroradio messages are always delivered as a whole message. After receiving, building and checking a message, the message is delivered to the output of the module for further processing by other modules.

Input

Note: Only radio functionality covered

The input of the module is originated from the openETCS-API. The API is described in [4].

For delivering messages received by the EURORADIO module to the application software, the services WRITE_RTM_MESSAGE and WRITE_RTM_EMERGENCY_MESSAGE are defined (see 4.14.6 in [4]).

For the current iteration, emergency messages are not relevant.

The API-service WRITE_RTM_EMERGENCY_MESSAGE will not be implemented.

The service WRITE_RTM_MESSAGE has one parameter:

• THE_RTM_MESSAGE: Input of type API_TYPES.RTM_IN_MESSAGE_T

The type RTM_IN_MESSAGE_T is used for normal messages, which can contain connection confirmations, information about connection problems or data.

Index	Element name	Element type	Description
0	RADIO_DEVICE	ETCS_ID_T	Source interface of the message
1	REASON	RTM_DIAGNOSTIC_CODE_T	Diagnostic code for connection problems
2	DATA	RTM_MESSAGE_T	Decoded message, CRC-checked

TODO: Balise-channel

- messages from rbc: 2, 3, 6, 8, 15, 24, 27, 32, 39, 41
- packets from rbc: 3, 5, 15, 21, 27, 41, 42, 57, 58, 65, 68, 72, 80

Output

Note: Only radio functionality covered

The module produces the input-data for the Filtering (Mode/Level) module. The Filtering (Mode/Level)-module expects the messages received either via Euroradio or Eurobalise. The message is transmitted unchanged, if all consistency checks were successful.

Further outputs are the status information of the radio and a output-channel for acknowledgmentand rejection-messages to the RBC.

An Euroradio message from track to train consists of several fields shown in the table below. The table is an excerpt from the SRS, chapter 8.4.4.6.1. In this chapter the description of the fields can be found.

Field No.	VARIABLE
1	NID_MESSAGE
2	L_MESSAGE
3	T_TRAIN
4	M_ACK
5	NID_LRBG
-	variables as required by NID_MESSAGE
-	packets as required by NID_MESSAGE
-	Optional packets
-	Padding

Data

An internal data structure to temporarily store balise telegrams for building messages is needed.

Reference to the SRS (or other requirements)

Note: Only radio functionality covered

Euroradio

- SRS subset 26, chapter 8.4.4: Rules for Euroradio messages
- SRS subset 26, chapter 3.16: Data consistency

Functionality

Note: Only radio functionality covered

Receive Euroradio from API The first stage of the module is the reception of Euroradiomessages and Eurobalise-Telegrams from the openETCS-API. At each cycle the following conditions can occur:

- 1. No new Euroradio-message or Eurobalise-telegram is available.
- 2. A new Euroradio-message is available
- 3. A new Eurobalise-telegram is available
- 4. A new Euroradio-message and a new Eurobalise-telegram is available.

Content checks

• The computed length of the message must be equal to the value in L_MESSAGE. (SRS 8.4.4.2.1)

- The whole message must be complete and contains all necessary fields. (SRS 8.16.1.1)
- The message must respect the ETCS language. (SRS 8.16.1.1)
- The variables of the message does not contain invalid values. (SRS 8.16.1.1) **TODO:** Check value range? Or is the bitwalker already checking?
- Check if the specified priority of message is equal to the priority with which the message was received. (SRS 3.16.3.1.3.1)

Timing checks

- Check if the timestamp of a message is greater than the timestamp of the former message (SRS 3.16.3.3.3)
- If a message contains the timestamp "Unknown", check if this message is part of the initiation of the communication session. (SRS 3.16.3.3.4)
- Perform the check with the current packet n: $T_TRAIN_n \le T_TRAIN_{n-1} + T_NVCONTACT$ (SRS 3.16.1.1). This ensures, that the packet was received in due time.

Actions for inconsistent messages

- If a message is not consistent, it shall be rejected. (SRS 3.16.3.1.1.1)
- The RBC shall be informed, when a message was rejected (SRS 3.16.3.1.1.2). Therefore an error report must be sent (packet number 4).
- If the RBC requested an ACK for a received message, the ACK shall be sent. (SRS 3.16.3.5)

The check by the Euroradio-protocol (3.16.3.1.1) will not be performed by the model, but on a lower level (RTM or openETCS-API).

Safe connection supervision is not in the scope of this module. This functionality will be implemented by the "Manage Radio communication" module. The "Receive message and check consistency"-module will provide the necessary status data about the connection as an output.

4.2.2.2 Build coordinate system and calculate train position

- Update the coordinate system when a new BG is detected (taking into account detected "balise 1" from not completed BG's), i.e. backward - Calculation of position of passed BG's.
- Management of multiple detected BG's
- Relate the (location based) information in received messages to the reference system
- Update train position at LRBG
- Update the train position with the distance driven since last update (or reset to LRBG position)

4.2.2.3 Store inputs from the TIU

- Store status inputs (sleeping indicator etc.)
- Store changed train data
- Store brake status (e.g. in the handling of brake testing).
- Store status of on-board systems (for displaying to the driver)
- Isolation
- Passive shunting

4.2.2.4 Store inputs from the DMI

- Store received acknowledgement and inputs in the "DMI request buffer" (includes "data-entry")

4.2.2.5 Filtering (Mode/Level) - One packet per type

ISSUE: HOW MANY PKT 44, 65 AND 66 PER MESSAGE ARE MAXIMALLY SUP-PORTED?

- Check on announced and immediate level transition orders in the messages to be filtered (needed for further criteria for filtering, to decide if the data shall be stored in the transition buffer).
- Filter data stored in the transition buffer according to the current level (what to do if similar information is available in the new message??). Data can be rejected, accepted or kept in the transition buffer. (Filtering according to new level will be done directly afterwards in the next cycle)
- Filter new received messages according to the current level (new level will be done in the next cycle as according to SRS data first has to be filtered according to old level and afterwards to new level). Data can be rejected, accepted or stored in the transition buffer.
- Filter (level) accepted data according to originating RBC (supervising or other). Information from BG's, loops or RIU is not filtered with this filter.
- Filter (level and RBC) accepted data according to the current mode (only reject or accept)

4.2.2.6 Store data (direct orders, BG lists, NV, track data, procedure parameters, confirmations)

- Store direct and conditional orders
- Store BG lists for SH and SR
- Store National Values, including procedure status information
- Store new received track data (version, etc.)
- Store procedure parameters

4.2.2.7 Update location based data structures

- Overwrite location based data from a given location on-wards (reference location given in the message)
- Insert "Locations" in the data structure, in the order the "Locations" will be passed.

4.2.2.8 Manage specific location based data:

- movement authority (MA) list of sections, message 37, packet 12 (level 1), message 3, packet 15 (level 2), 16 (repositioning, i.e. extending the current section), message 33 (??), packet 70 (route suitability), message 9 (request to shorten MA), packet 90 (track ahead free leads to MA request) minimum number of elements to be stored: 6

- list of announced BG's linking information: packet 5 minimum number of elements to be stored: 30
- adhesion factor: packet 71; only one element
- the "gradient profile" (in: pkt 21) minimum number of elements to be stored: 50
- Speed profiles: packet 27 (SSP) (the worst case can be determined at reception)
- Packet 13 minimum number of elements to be stored: 50
- Speed restrictions and non-continuous speed profiles: packet 51 (axle load profile), packet 52 (permitted braking distance), packets 65/66 (TSR), packet 88 (level crossing, incl. stop condition to be reset at standstill). minimum number of elements to be stored: TSR: 30, axle load: 30, permitted braking distance: 5, level crossing: 10.
- Reversing area's: packets 138, 139 minimum number of elements to be stored: 1
- Mode dependent speeds: message 2 and packet 80 minimum number of elements to be stored: 6
- Level transitions: packet 41 minimum number of elements to be stored: (see ss26, 5.10.1.6): 1
- RBC transitions: packet 131
- Radio infill area entry or exit: packet 133
- Loop announcement: packet 134
- DMI information: packets 72,76 (text messages), packet 79 (geographical position information), message 34 (track ahead free request) minimum number of elements to be stored: fixed text: 5, free text: 5, geographical position:
- Track conditions (to be passed to the TIU and displayed at the DMI): packet 39 (traction system), packet 40 (current limitation), packet 68 (diverse track conditions), packet 69 (platform conditions). Pkt 139 minimum number of elements to be stored: 20, + 1 for change power supply
- + 1 for platform conditions, + 1 for current limitation
- Route suitability: minimum number of elements to be stored: 3
- Big Metal Mass: Technical information (to be used for BG-filtering): packet 67 (ignore BG integrity) minimum number of elements to be stored: 5
- Virtual balise covers: minimum number of elements to be stored: 10
- list of position report locations. In: pkt. 58 minimum number of elements to be stored: 15
- Announced national values. In: pkt 3 minimum number of elements to be stored: 1 If new national values are announced, then those can lead to more restrictive braking curves. Therefore a speed restriction has to be calculated for the location where the values become valid, based on the targets in advance of this point.

4.2.3 Build and update MRSP and list of targets at LRBG

- Overlay speed restrictions (one by one) over the resulting SSP as received from "build location based data".
- Close the resulting profile with the "end of authority" or "limit of authority" as delivered by "MA-management" resulting in the MRSP at the LRBG. (in on-sight or limited supervision mode, those may not be available)
- Select list of "speed reductions"
- Evaluate (backwards) which targets are relevant, resulting in the list of most restrictive targets at the LRBG.
- Relocate targets (beyond this location) to the "minimum border crossing location", i.e. the minimum safe location where National values are changed, and add the minimum resulting speed at this location to the list of targets.

- Reasoning: new national values might cause more conservative braking curves which otherwise could lead to an intervention at the border.

4.2.4 Profile supervision, i.e. BCM and ceiling speed supervision (active for FS, OS and LS)

- Update MRSP for distance driven, i.e. lower the distance to all speed decreases with the maximum distance driven since last update, lower the distance to all speed increases with the minimum distance driven since last update, reorder the distances in the list if locations to lower and to increase changed order.
- Determine the local maximum speed
- Update the list of targets, i.e. lower the distance to all targets with the maximum distance driven since last update (order will not change) and select the current most restrictive target (always the first in the list)
- braking curve monitoring; calculate the braking curves to the most restrictive target, taking into account gradients
- ceiling speed supervision; monitor against the local maximum speed.

4.2.4.1 Movement supervision

- Roll away protection

4.2.4.2 Area Supervision

In shunting, post trip, reversing and staff responsible an area (plus in some cases ceiling speed) is protected. In unfitted only a speed. The way the area is protected differs per mode therefore a function shall be available for each mode:

- area supervision in shunting (taking into account a list of BG's to be passed)
- area supervision in staff responsible (taking into account a list of BG's to be passed and/or a distance)
- reversing area supervision
- post trip supervision (only reverse movement).

4.2.4.3 Update procedure status (including commanding actions towards driver, radio or RBC)

- SoM:
- EoM
- Enter shunting by driver or track side command override
- Enter "on sight"
- Enter "staff responsible"
- level transitions
- Manage train trip
- Exit shunting
- Passive shunting
- Change train orientation
- Splitting/combining

- Stopping in rear of LX level crossing
- Changing train data (not by the driver)
- Handling track conditions (including indications): not applicable for Amsterdam-Utrecht
- Limited supervision entry: not applicable for Amsterdam-Utrecht
- release brakes (after trip)
- handle track ahead free request

4.2.4.4 Mode/Level management

- Manage all conditions for level changes except the direct transitions (handled in filtering): F41
- Manage all conditions for mode changes (except msg 16 if that leads to a mode change): F42

4.2.4.5 DMI management

- update the MRSP at the LRBG and construct the DMI image
- collect BCM results
- Check displaying of location dependent txt messages
- Calculate geographical position based on stored track-km references
- Display required ack-requests, T.A.F. requests etc.
- Display mode and level information

4.2.4.6 RBC communication

- Manage confirmation requests.
- Report train position
- sent train data for validation
- provide acknowledgements on data reception

4.2.4.7 TIU communication

- Communicate track conditions
- Brake test procedure.

4.2.4.8 JRU and STM management: not applicable for Utrecht-Amsterdam

4.2.5 Filter Track informationen

4.2.5.1 Interfaces

Input from: Receive MSG Check Consistency and Coordinate System and Train Position **Output to:** Build Data structure and Location Based/ Build Data Structures Drivers

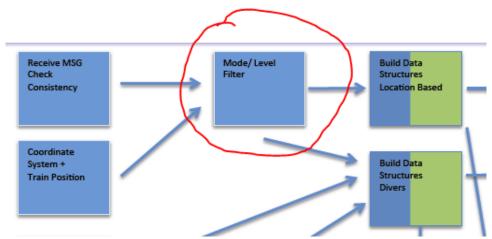


Figure 1. Filter In and out

4.2.5.2 SysML Model

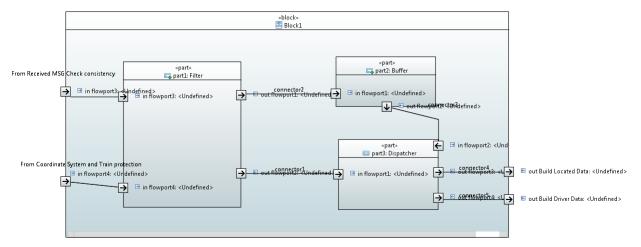


Figure 2. SysML Filter

5 Design description

5.1 Detailed functional description

Reference to SRS: § 4.8.1, § 4.8.2, § 4.8.3, § 4.8.4

5.2 Documentation of design

§ 4.8.1.6 Messages will be buffered, if...

Number						Onh	oard operating	n level	-
Packet 3	Number	Package/Variables	Information	From RBC	0				3
2 Packet 5 Loney No. R[1] R[2] A A A A A A A A A									
2	1	Packet 3	National Values						
Specific Process Specific Pr	2	Packet 5	Lipking						
A Packet 12, 15 Movement Author) No R(1) R(1)	-	Tuckets							
A Packet 12, 15 Moormer Author) No R[1] R[1] A R[1] R[1] S Packet 60 Packet 40 St one R No R[1] R[1]	3	V_Main Packet 12	Signalling Related Speed Restriction	No	R [1]	R [1]	Α	R [1]	R [1]
S				Yes					
Packet 69 Packet 10 Packet 11 Packet 12 Packet 13 Packet 14 Packet 14 Packet 15 Packet 15 Packet 15 Packet 17 Packet 16 Packet 17 Packet 16 Packet 17 Packet 17 Packet 17 Packet 18 Packet 17 Packet 18 Pack									
Packet 15			+ (optional) List of Balises for	No	R [1]	R [1]	A [4]	R [1]	R [1]
Packet 15		Facket 45	SH area	Yes	R (2)	R [2]	R [2]	A [3] [4] [5]	A (3) (4) (5)
S	7	Packet 16	Repositioning Information	No					
Packet 27									- 11
9	8	Packet 21	Gradient Profile						
10	9	Packet 27	International SSP		R [1]	R [1]	A	R [1]	R [1]
11 Packet 41 Leve Translator Color No. A A A A A A A A A	40	0.1.55							
11	10	Packet 51	Axie Load speed profile						
12	11	Packet 41	Level Transition Order	No	A	A	A	A	A
12			Conditional Level Transition						
13	12	Packet 46			A [11]	A [11]	A [11]	A [11]	A [11]
14	12	Packet 42	Session Management		A	A	A	A	А
15	13	1 00,000 72							
15	14	Packet 45	Radio Network registration						
16	10	Dacket E7	MA Dequest Parameters		A	A	A	A	A
Package 63 + Message Radio 2 + (optional) Packet 49	13	Facket 37	mrs request ranameters		A	A	A	Α	A
Package 63 + Message Radio 2 + (optional phacket 49 Set Authorassion + legisload) Set of Billions in SR mode Yest R R A A A A A	16	Packet 58	Position Report parameters						
17 (optional) Packet 49		0.1.00.14		Yes	A	A	A	A	A
18	17		SR Authorisation + (optional) List of Balises in SR mode	No					
19		(.,		Yes	R	R	R	A [3]	A [3]
19	18	Packet 137	Stop if in SR mode		R	R	A	A	A
Packet 65			SR distance information from						
Packet 65 Temporary Speed Resirction No	19	D_SR in Packet 13	loop		R	R	A	R	R
Packet 66 Versporary Speed Relations No. A R[2] R[2] A D A D	20	Parket 65	Temporary Speed Restriction		Α.	R (1) (2)	Α	A (8)	A [8]
Ves R(D) R	20	1 delect 05	+						
Ves R(D) R	21	Packet 66	Temporary Speed Restriction	No	A	R [1] [2]	A	A	A
Montables in L2D No No No No No No No N			Revocation	Yes					
Packet 141	22	Package 64	Inhibition of revocable TSRs from balises in L2/3	No					
Yes				Yes	R [2]		R [2]	Α	Α
24	23	Packet 141	Default Gradient for TSR		A	R [1] [2]	A	Α	Α
Packet 71	24	Packet 70	Route Suitability Data		R [1]	R [1]	Α	R [1]	R [1]
Yes Right Right				Yes	R [2]	R [2]	R [2]	A [3]	A [3]
26	25	Packet 71	Adhesion Factor						
27	26	Packet 72	Plain Text Information						
Next R R R R R R R R R									
28	27	Packet 76	Fixed Text Information						
Packet 131 RBC Transition Coder RPC RT(2) RT(2) R (2) R	28	Packet 79	Geographical Position						
Next			1			R [2]			
30 Packet 132 Darger for SH information No	29	Packet 131	RBC Transition Order						
Ves	30	Packet 132	Danger for SH information						
32 Packet 133 Rado Infili Area information No R R A R T R T									
Packet 133 Rado Infil Area efformation No. R R A R R R	31	Package 135	Stop Shunting on desk opening		A	А	А	Α	Α
Vea	2-	0.1							0.00
33 Package 42 Season Management with registrooming RU Yes No R R A R R	32	Packet 133			R	R	A	R [1]	R [1]
Ves	33	Package 42	Session Management with		R	R	А	R	R
Assignment of Co-ordinate No. No.		<u>.</u>	neignbouring Kito						
Assignment of Co-ordinate No No A [10]	34	Packet 134	EOLM information		A	A	А	A	Α
System S	ar.		Assignment of Co-ordinate						
36 Packet 136 Intil Location Reference No. R R A R.[1] R.[1]	35	Messager 45	system		A (10)	A (10)	A (10)	A (10)	A (40)
137 Packet 39, Packet 68 Track Conditions excluding big No R[1] R[1] A R[1] R[1] R[2] R[3] A A A A A A A A A	36	Packet 136	Infill Location Reference						
37 Palluset 39, Palluset 06 metal masses No.			Ť						
38 Packet 67 Track condition big metal No A A A A A	37	Packet 39, Packet 68	Track Conditions excluding big metal masses	No	R [1]	R [1]	A	R [1]	R [1]
masses			Trans.						_
Yes	38	Packet 67	masses masses		A	A	А	Α	Α
			1	Yes					

Figure 3. Decision

5.3	CCVDE	E Model
ວ.ວ	SCADE	: woder

Appendix: References

[1] openETCS Product Owner. WP3 Product Backlog, Selection of First Iteration. https://github.com/openETCS/SRS-Analysis/labels/backlog%20item.

- [2] ERA. System Requirements Specification, SUBSET-026, v3.3.0 edition, March 2012.
- [3] openETCS WP3-team. openETCS Working Result: List of ETCS Functions, November 2013. https://github.com/openETCS/SRS-Analysis/blob/master/System%20Analysis/List_Functions.xlsx.
- [4] Nicolas Boverie. API Requirements for OpenETCS. Alstom Transport, v1.4 edition, September 2014. https://github.com/openETCS/requirements/blob/master/D2.7-Technical_Appendix/OETCS_API%20Requirements_v1.4.pdf.