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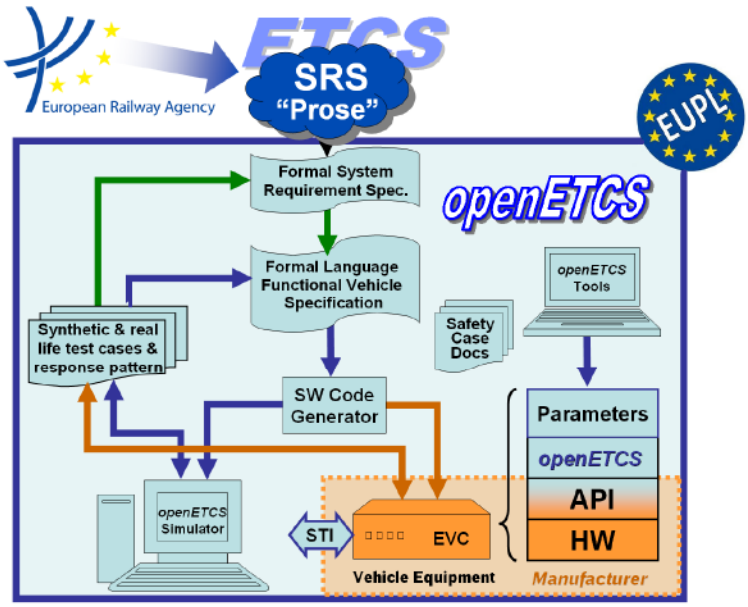
Work-Package 3: “Modeling”

openETCS System Architecture and Design Specification

First Iteration: ETCS Kernel Functions

Baseliyos Jacob, Bernd Hekele, Uwe Steinke and Christian Stahl September 2014



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Document approbation

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Description of work

Prepared for openETCS@ITEA2 Project

Abstract: This document gives an introduction to the architecture of the first openETCS iteration, the openETCS kernel functions. It has to be read as an add-on to the models in SysML, Scade and to additional reading referenced from the document.

Modification History

Version	Section	Modification / Description	Author
0.1	Document	Initial document providing the structure	Bernd Hekele
0.2	Sect. 9.4.2	initial contribution and some pretty printing	Christian Stahl
0.3	Sect.	overtaking the author lead for the architecture	Baseliyos Jacob

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1 Introduction

1.1 Motivation

The openETCS work package WP3 aims to provide the architecture and the design of the openETCS OBU software as mainly specified in UNISIG Subset_026 version_3.3.0.

The appropriate functionality has been divided into a list of functions of different complexity (see `https://github.com/openETCS/SRS-Analysis/blob/master/SystemAnalysis/List_Functions.xlsx`).

All these functions are object of the openETCS project and have to be analysed from their requirements and subsequently modelled and implemented. With limited manpower, a reasonable selection and order of these functions is required for the practical work that allows the distribution of the workload, more openETCS participants to join and leads to an executable—limited—kernel function as soon as possible.

While the first version of this document focuses on the first version of the limited kernel function, it is intended to grow in parallel to the growing openETCS software.

1.2 Objectives

The first objective of WP3 software shall be

- "Make the train run as soon as possible, with a very minimum functionality, and in the form of a rapid prototype."

This does not contradict the openETCS goal to conform to EN50128.

- After a phase of prototyping, the openETCS software shall be implemented in compliance to EN50128 for SIL4 systems.

Additional goals for this document are

- Identification of the functions required for a minimum OBU kernel
- Architecture overview regarding the minimum OBU kernel
- Technical approach: Description of the proceeding and methods to be used
- Road map of the minimum OBU kernel functions
- Road map thereafter

Note: This document will be extended according to the progress of WP3.

1.3 History

1.4 Goals of the openETCS Modelling Work

1.4.1 Functional Scope: The Minimum OBU Kernel Function

The objective “Make the train run with a very minimum functionality” shall be in terms of ETCS OBU translated into

- The Train moves on a track equipped with balises and determines its position.

That means, for this very first step, the train shall not supervise the maximum speed nor activate the brakes. Instead, the minimum function set shall be limited to (see `https://github.com/openETCS/SRS-Analysis/issues/9`)

- Receive, filter and manage balise information received from track (see `https://github.com/openETCS/SRS-Analysis/issues/12`)
- Calculate the actual train position based on balise and odometry information (see `https://github.com/openETCS/SRS-Analysis/issues/8`)
- Calculate the distances between the actual train position to track elements in its front

A more detailed architectural breakdown of these functions is available as a SysML model at (see `https://github.com/openETCS/modeling/tree/master/model/sysml`.

In addition, the work on this minimum functionality requires to be supported by

- The availability of the ETCS language as specified in Subset UNISIG Subset_026, chapters 7 and 8
- The abiltiy to link intermediate and final results with the requirements of the ETCS specification (subset_026, . . .)
- The usability of a data dictionary (see `https://github.com/openETCS/dataDictionary`)

These supporting prerequisites are under construction and therefore not completely operable actually. How to deal with these restrictions, will be outlined in chapter ???

1.4.2 Actual Status

Some first analysis steps for the required minimum functionality have been performed as results from the SRS-Analysis task force. These results are available at `https://github.com/openETCS/SRS-Analysis`.

1.4.3 Practical Approach

The architecture and design of the minimum OBU kernel shall be developed in consideration of the actual status, restricted prerequisites and limited resources as follows.

1.5 Glossary and Abbreviations

1.6 References

SRS-Subset 26
QA-Plan: D1.3.1
Process: D2.3
Methods: D2.4
API: D2.7

2 Functions ERTMS/ETCS

2.1 introduction

The ERTMS / ETCS system was developed with a view to interoperability of trains on the different European rail networks. It is divided into "tracks" - and "board" finishes and shall establish a mutual message operation, by beacons or through a "radio" - The transmission system (in this case a mobile telephone network GSM-R) is performed. It defines several operating levels, and the system must also interfaces with the existing monitoring systems of the trains (using STM) have. The ERTMS / ETCS system provides the transport operator (the track) the choice of conditions concerning the use and operation. The train must therefore may go with different operating conditions on routes. Thus has the onboard equipment but must be implemented, to the interoperability of the train to ensure on the other networks. These functions must therefore correspond to one standard: the SRS (version x.x.x).

application functions, which have two different species of origin: defined in the SRS: here one finds in particular the speed monitoring- and transfer functions; these functions must be implemented in full accordance with the SRS; they can in indeed be on any network on which the train is used; these functions are described below in Section x.x.x;

Moreover, there are functions to adapt to the train: so, for example, the processing a "separation distance" in the airborne equipment trigger: This is dependent on the distribution of functions between the Control monitoring equipment (which the ERTMS / ETCS), and the other CCS Systems.

3 The openETCS Architecture of the initial kernel functions

4 SRS Architecture

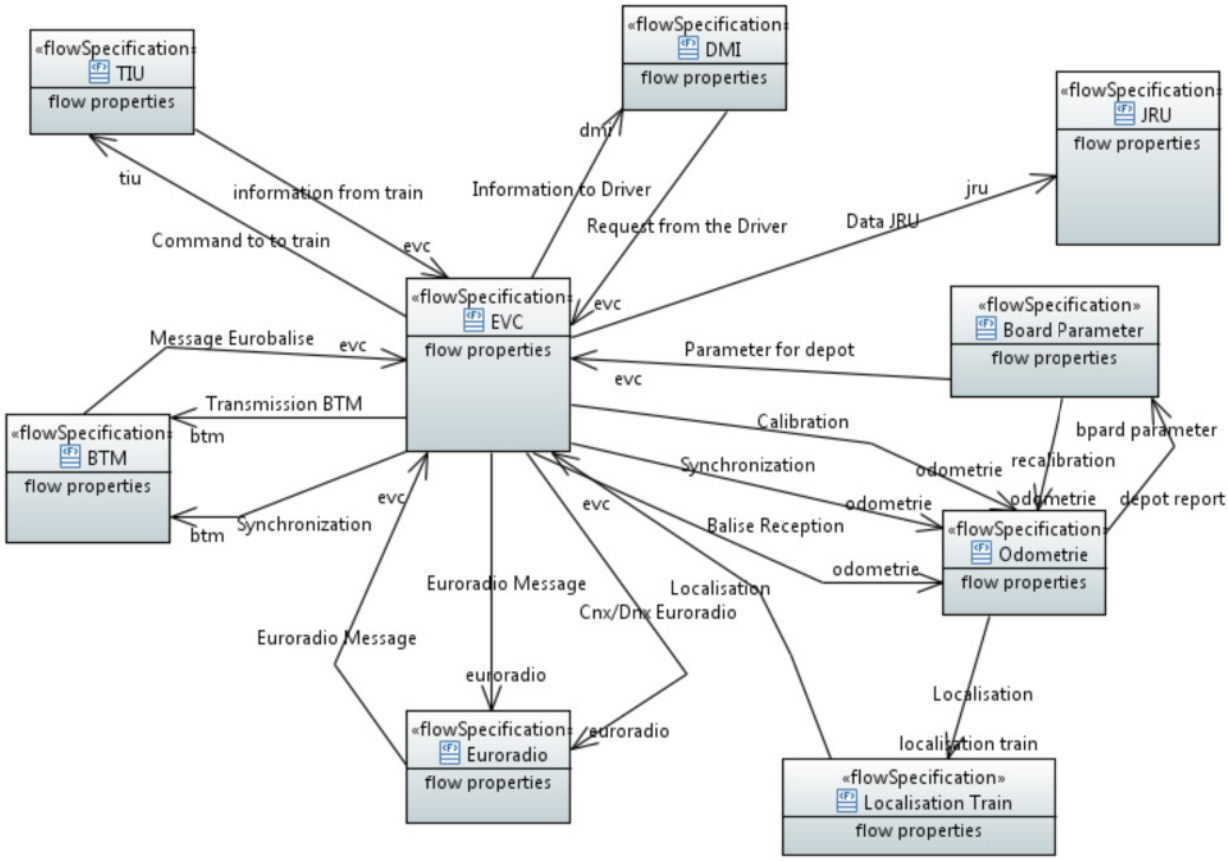

Figure 1. SRS architecture

5 Functional Breakdown

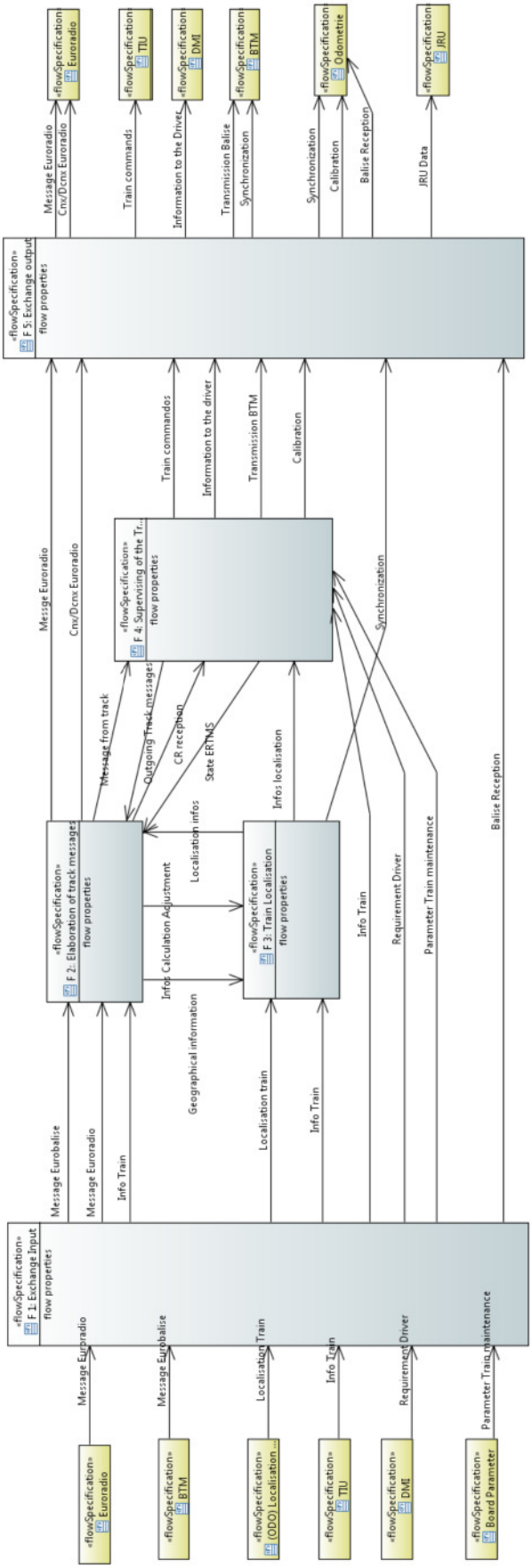

Figure 2. SRS Breakdown

5.1 Description of the SRS Functions

5.2 F1 Exchange input

F1: Exchange input
See Figure 2 - Block F 1

5.3 F2 Elaboration track messages

F2: Elaboration track messages
F21: Receive Eurobalise Messages SRS § 3.4, § 3.6, § 3.16, § 3.17, § 4.8
F22: Receive Euroradio Messages SRS § 3.4, § 3.6, § 3.16, § 4.8
F23: Manage Eurobalise Messages SRS § 3.4, § 3.6, § 3.16
F24: Manage Cnx and Dncx Euroradio SRS § 3.5, § 3.15.1, § 5.15
F35: Send Euroradio Messages § 3.4, § 3.16
See Figure 3 - Block 2

5.4 F2 breakdown

6 F2 Functional Breakdown

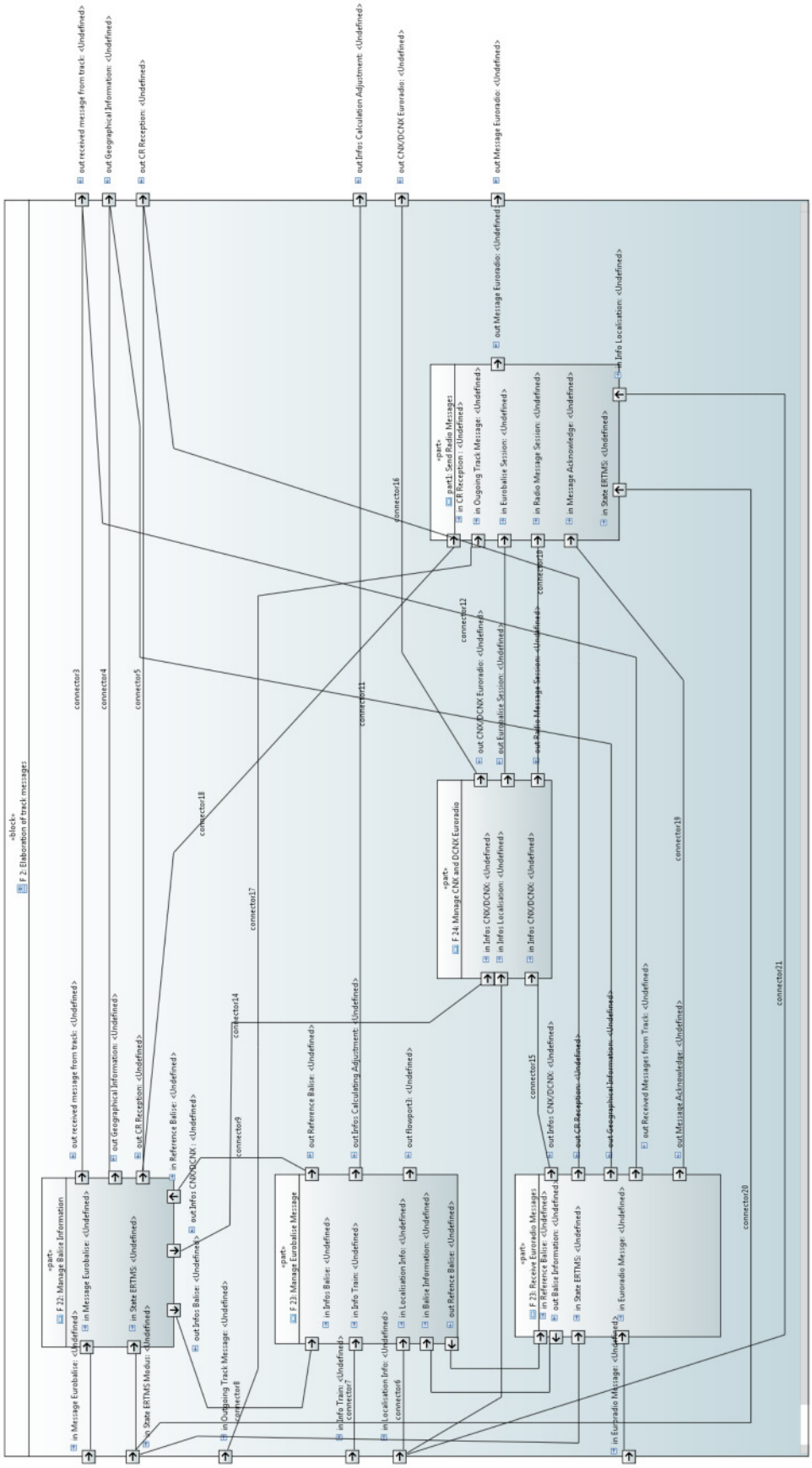

Figure 3. Elaboration track messages breakdown

6.1 F3 Train loction

F3: Train location SRS § 3.6.4, § 3.6.5, 3.6.6
See Figure 2 - Block F 3

6.2 F 4 Train Supervising in ERTMS Modus

F4: Train supervision in ERTMS Modus
F41: Manage Level ERTMS/ETCS SRS § 5.1, § 3.6.5
F42: Manage Modus ERTMS/ETCS § 4, § 3.6.5, § 3.15.4, § 5.5, § 5.6, § 5.7, § 5.9, § 5.11, § 5.13
F43: Train Speed supervision SRS § 3.7, § 3.8, § 3.10, § 3.11, § 3.12, § 3.13, § 5.7, § 5.8, § 5.9
F44: Train Movement supervision § 3.14
F45: Train position supervision § 3.6.5, 4.4.8, § 4.4.11
F46: Data storage (§ 4.3), § 3.18
F47: Dialog with the driver § 5.4, § 3.12.3
F48: Manage brake controll § 3.14.1
F49: Manage Train Controll, § 3.12.1
See Figure 4 - Block 4

6.3 F4 breakdown

7 F4 Functional Breakdown

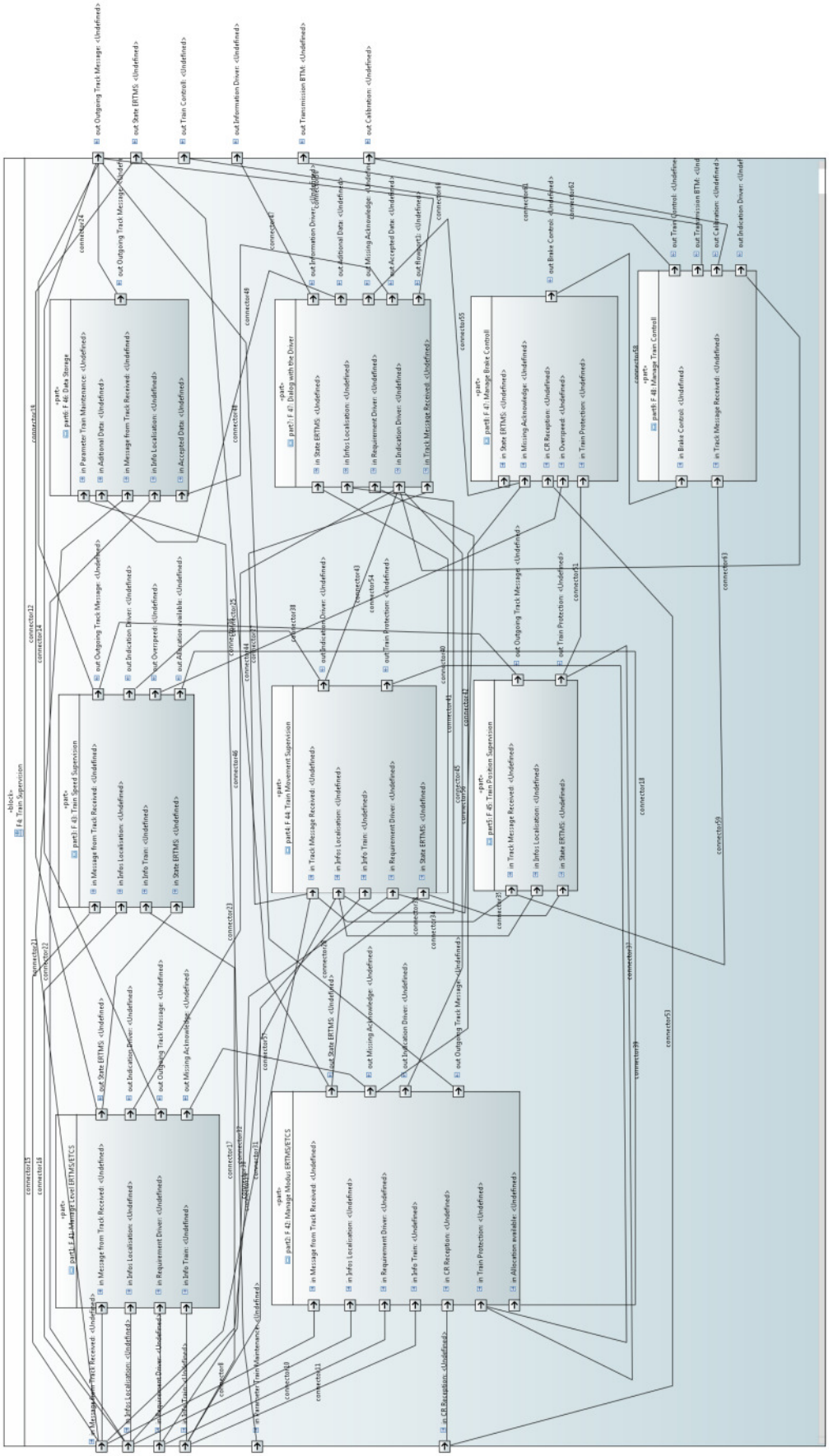

Figure 4. Train Supervising in ERTMS Modus

7.1 F5 Exchange outpout

F5: Exchange output
See Figure 2 - Block F 5

7.2 current partly openETCS Architecture

Needs to be integrated into the overall architecture .....

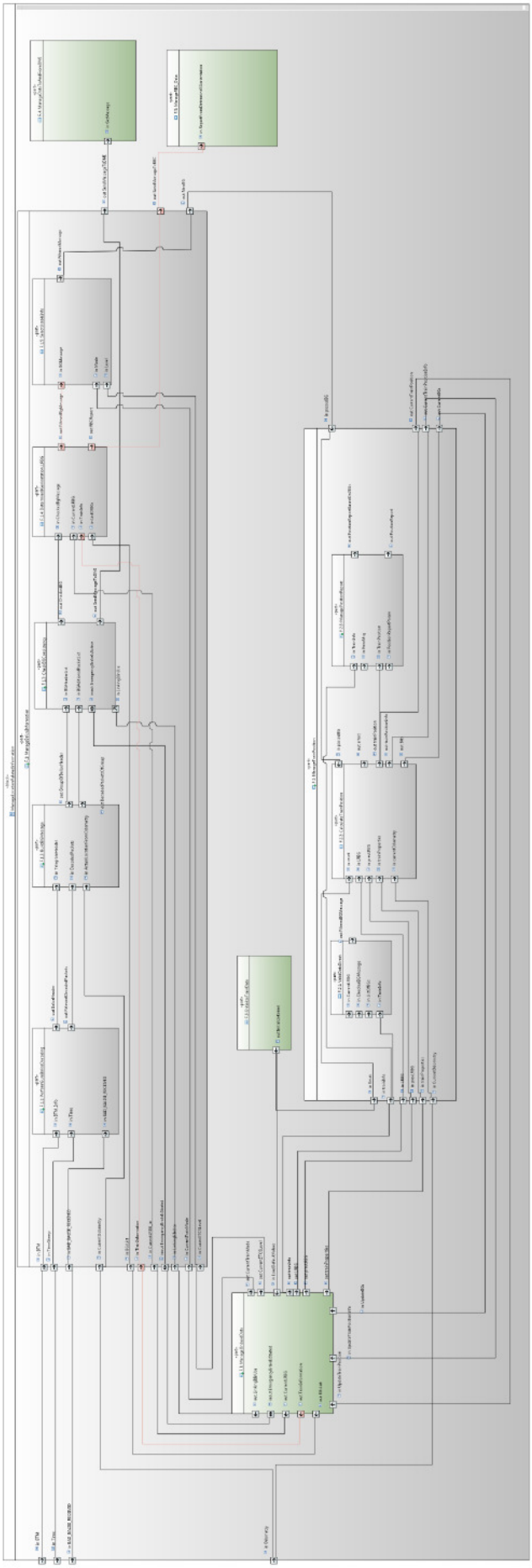

Figure 5. Centralized data structure approach architecture

7.3 centralized data structure approach

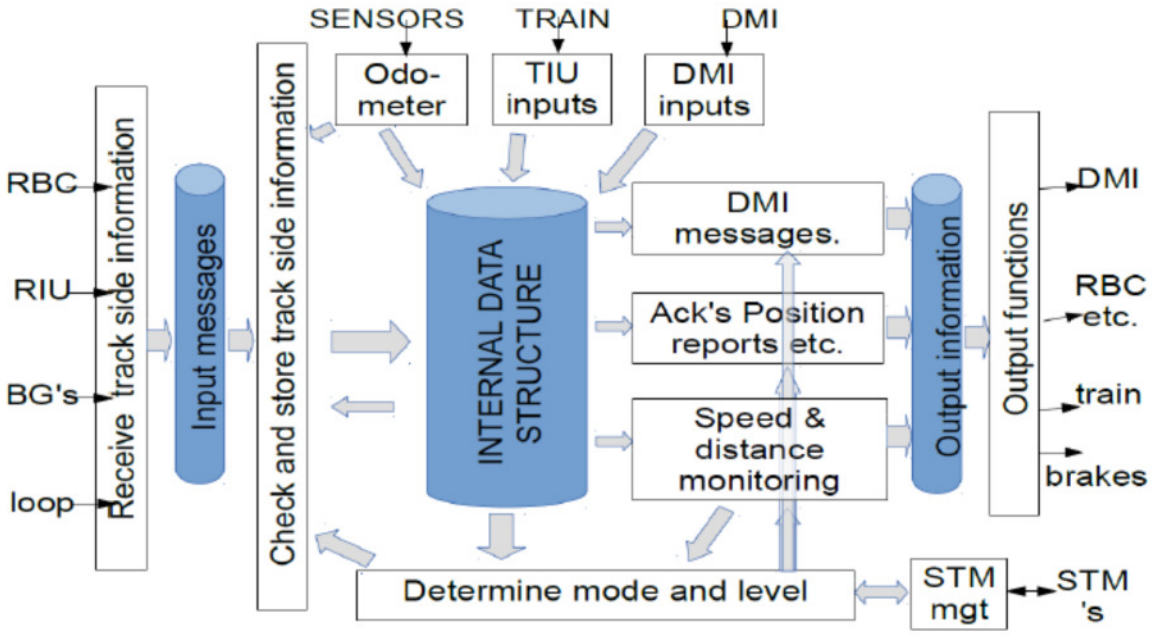

Figure 6. Centralized data structure approach architecture

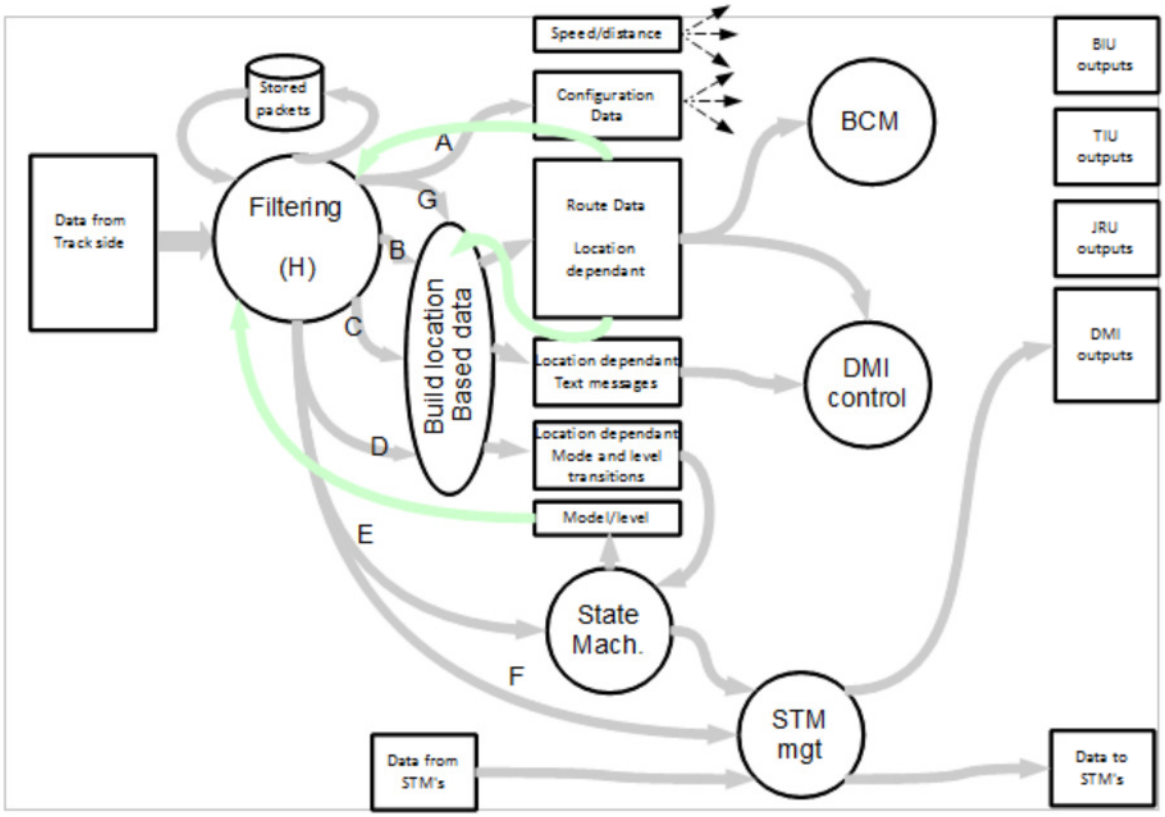

Figure 7. Centralized data structure approach breakdown

7.4 Alstom High Level Approach

8 Alstom High Level SRS Architecture

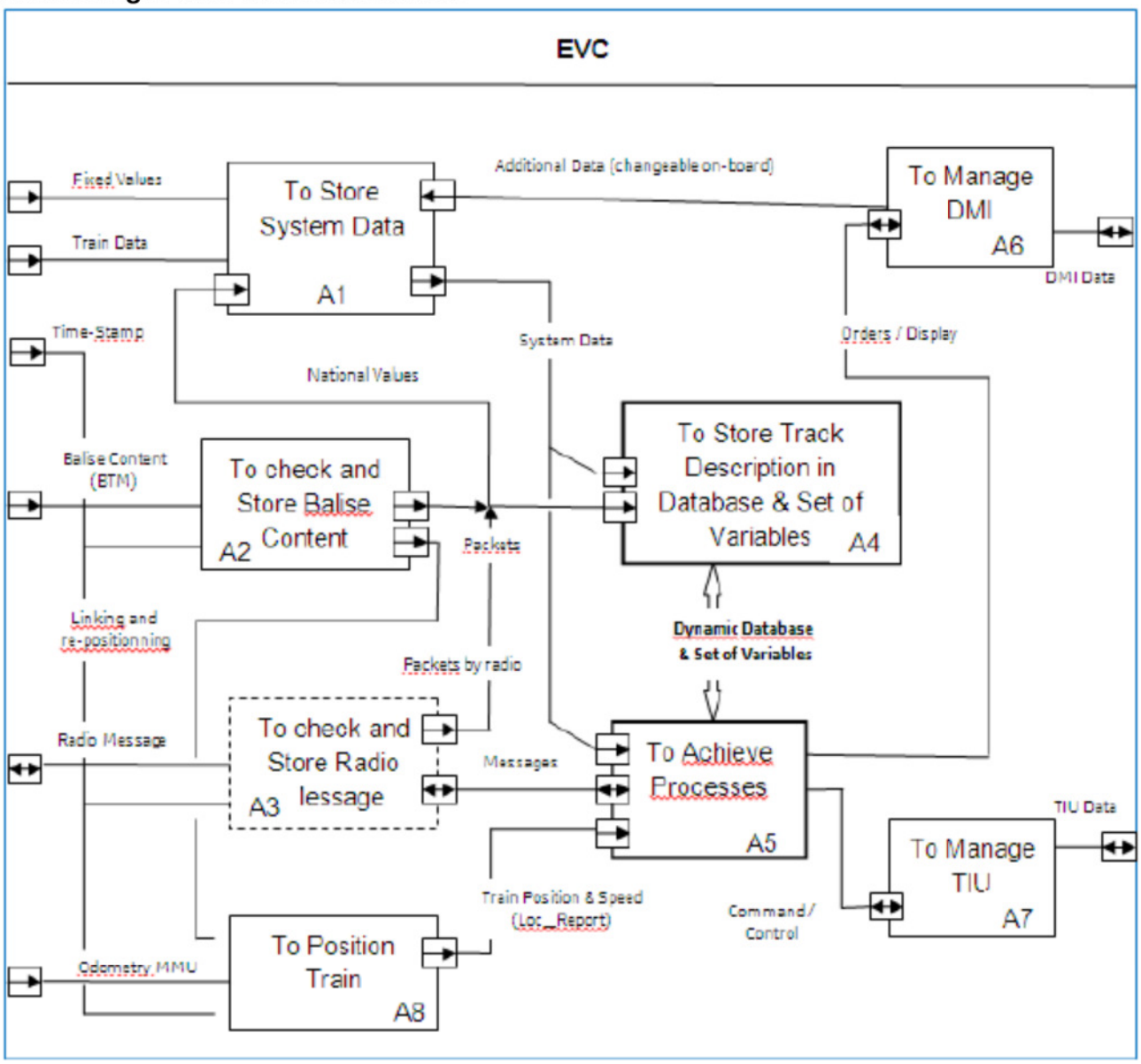

Figure 8. Alstom SRS Architecture Approach

8.1 The openETCS Tool-Chain and its impacts on the actual model

For understanding the modelling process and the modeling guidelines, we refer to `https://github.com/openETCS/modeling/blob/master/DescriptionOfWork/NewModelingDescriptionOfWork.pdf`.

To summarize the design process, the following rules are in use:

- Papyrus / SysML is used for modelling the architecture. Functions are visible on this SysML level.
- No behaviour model is allowed on SysML level.
- For referencing the requirements, links from the SysML model to the requirements document (in ProR) are being used.
- Details and especially behaviour is part of the Scade models.
- All interfaces (see also data-dictionary below) are available on bit-level.
- In the architecture model in SysML, all interfaces are available on a functional level for interfaces inside and outside the model and for interfaces between dedicated functions. Due to tool constrains the current model does not show all details for all interfaces (see dataDictionary).

The openETCS tool-chain for doing the modelling work consists of the following components:

Papyrus : for modelling the architecture (Kepler version).
In this phase only the Kepler version of the tool can be used due to incompatibilities of the Kepler and the Luna version on the SysML model. The SysML models are stored in the following location: `https://github.com/openETCS/modeling/tree/master/model/sysml`.

ProR : for keeping the requirements (REQIF).
The subset 26 is converted into a REQIF-format and also stored in the modeling repository on Github. The openETCS toolchain supports the linking of SysML model parts to SRS-Requirements. These results are also part of the architecture.

Scade : for designing and formalising the functions Scade version 15.2 is used.
The models are stored in this location: `https://github.com/openETCS/modeling/tree/master/model/Scade`. With the component Scade System Scade also has a component for designing the architecture.

In principle, the synchronisation mechanism of Scade was planned to be used for synchronising the SysML architecture and the Scade models. The idea is to automatically synchronise the SysML types and blocks with the Scade type definitions and the Scade Operators. Unfortunately, with the current set of tools this idea cannot be realised. We will investigate other tools and models to find a solution.

In addition, faults in the Kepler Papyrus version made it difficult for several members of the team to work on different submodels of the openETCS model. The issue will be solved when changing to the Luna version of Papyrus.

9 Functions of the openETCS Model

9.1 openETCS Data Dictionary

/subsubsectiondataDictionary

9.2 openETCS Generic API

9.2.1 Generic API

Because the API is currently not defined to that level, the following assumptions are implemented:

- **Eurobalise (BTM)**: One telegram per call ...

9.3 openETCS Balise Group

9.3.1 Receive Eurobalise From API

- **Short Description of Functionality**
  This function defines the interface of the OBU model to the openETCS generic API for Eurobalise Messages. On the interface, either a valid telegram is provided or a telegram is indicated which could not be received correct when passing the balise. The function passes the telegram without major changes of the information to the next entity for collecting the balise group information.
- **Design Constrains and Choices**

  1. Decoding of balises is done at the API. Also, packets received via the interface are already transformed into a usable shape.
  2. Only packets used inside the current model are passed via the interface:
     Packet 5: Linking Information.
     Linking Information is filled into the linking array starting from index 0 without gaps. Used elements are marked as valid. Elements are sorted according to the order given by the telegram sequence.

9.3.2 Build BG Group

- **Short Description of Functionality**
  This entity collects telegrams received via the interface into Balise Group Information.
- **Reference to the SRS (or other requirements**
- **Design Constrains and Choices**

  1. Telegrams received as invalid are passed to the “Check-Function” in order to process errors in communication with the trackside according to the requirements and in a single

place. Telegrams are filled into the telegram array starting from index 0 without gaps. Used elements are marked as valid. Elements are stored according to the order given by the telegram sequence.

2. Only packets used inside the current model are passed via the interface:
   Packet 5: Linking Information.

3. In this function packets past with the telegrams is accumulated into a balise group information.

4. Further assumption on packet 5 (based on SRS subset 26, section 8.4.1.4)
   (In this statement the term “message” is ambiguous since it can reflect to a telegram or a balise group message) Linking Information can only be passed once. This means, if linking information for the balise group is already collected with one of the earlier telegrams, the information will not be accumulated but overwritten.

9.3.3 Check BG Consistency

9.3.4 Determine BG- Orientation and LRBG

- **Short Description of Functionality**

- **Reference to the SRS (or other requirements**

- **Design Constrains and Choices**

9.3.5 Select Usable Info

9.3.6 Perform Balise Decoding

9.4 openETCS Train Position

9.4.1 Calculate Train Position

- **Short Description of Functionality**
  The main purpose of the function is to calculate the locations of linked and unlinked balise groups (BGs) and the current train position while the train is running along the track.

  1. The input data received from the balises groups must have been checked and filtered for validity, consistency and the appropriate train orientation before delivering them to calculateTrainPosition.
  2. The storage capacity for balise groups is finite. calculateTrainPosition will raise an error flag when a balise group cannot be stored due to capacity limitations.
  3. calculateTrainPosition will raise an error flag if a just passed balise group is not found where announced by linking information. It will not (yet) detect when an announced balise group is missing.

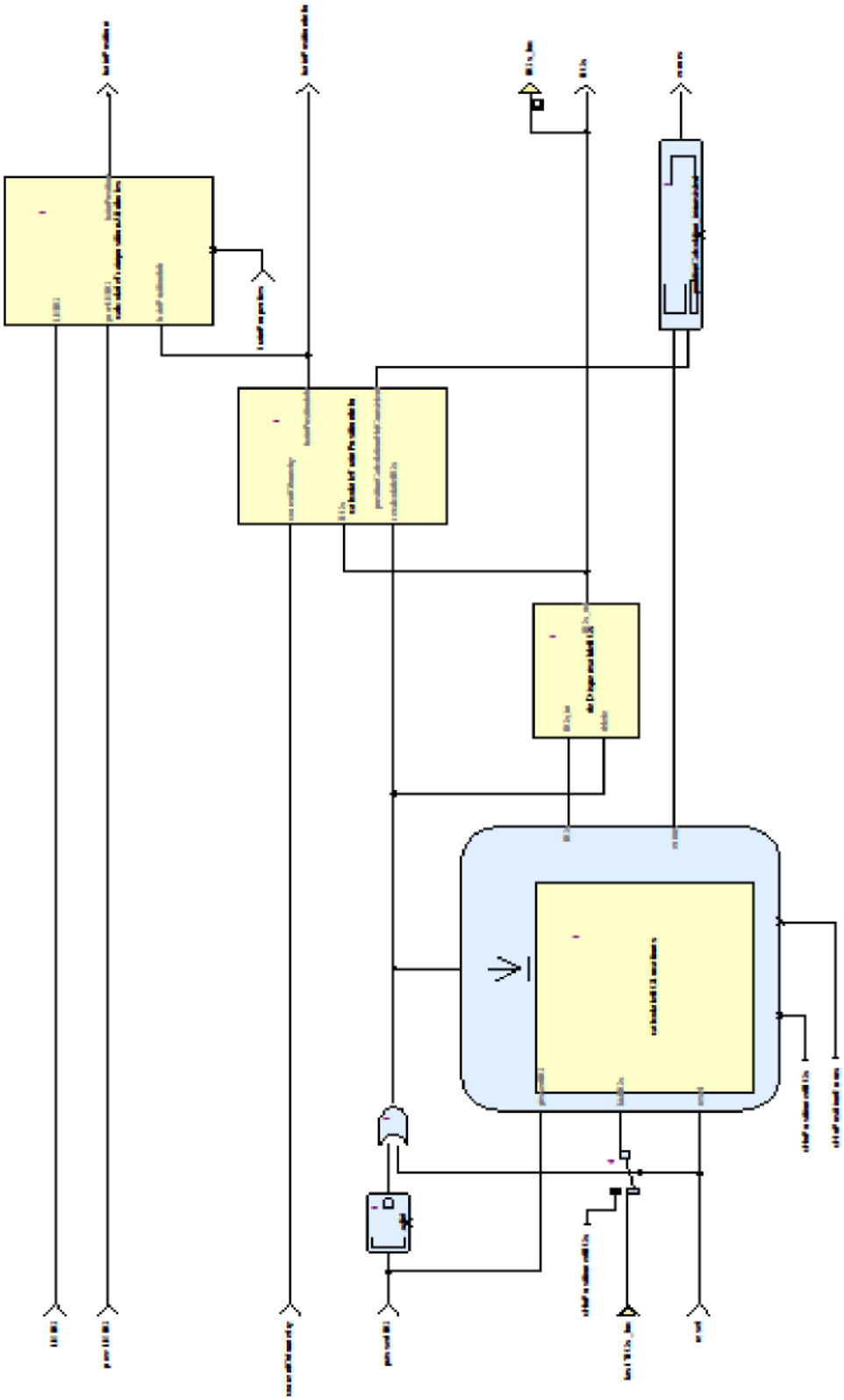

Figure 9. Structure of calculateTrainPosition

4. calculateTrainPosition is not yet prepared for train movement direction changes.
5. calculateTrainPosition does not yet consider repositioning information.

9.4.2 Provide Position Report

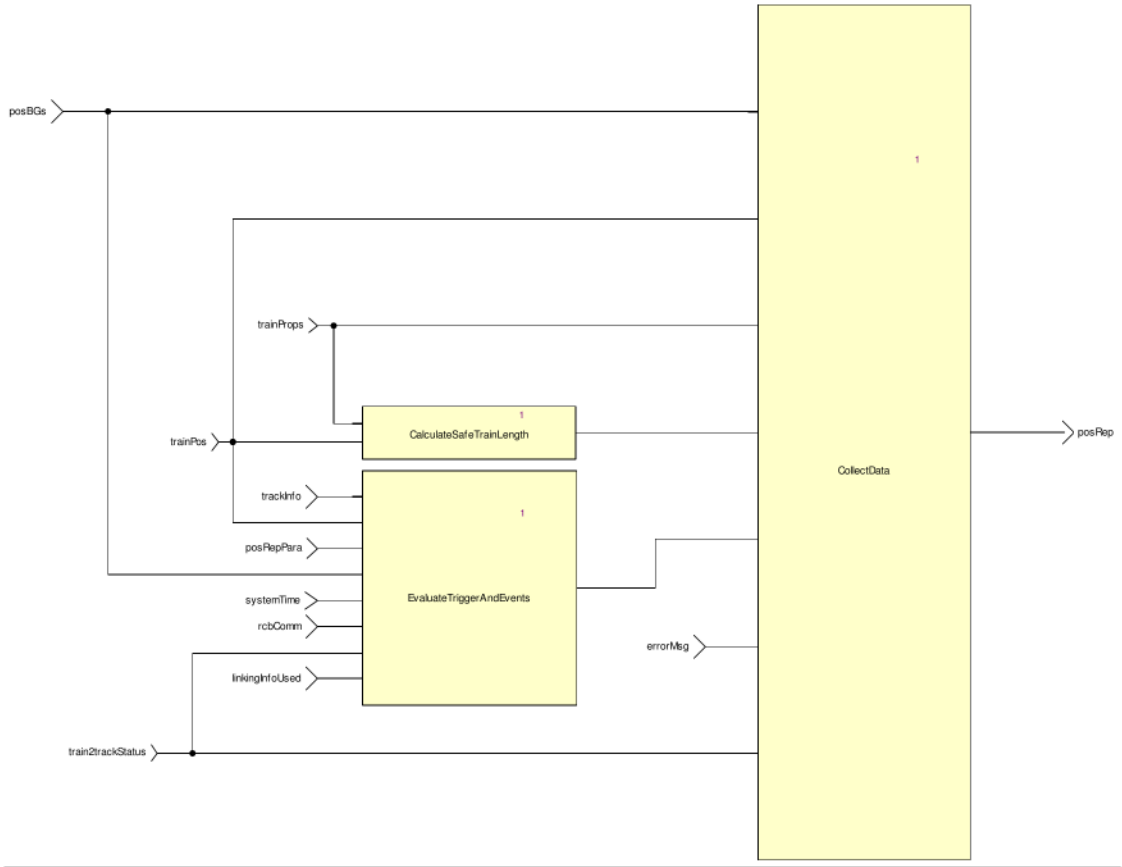

Figure 10. Structure of component ProvidePositionReport

- **Short Description of Functionality**
  This function takes the current train position and generates a position report which is sent to the RBC. The point in time when such a report is sent is determined from event, on the one hand, and position report parameters—which are basically triggers—provided by the RBC or a balise group passed, on the other hand. The functionality is modeled using three operations, as shown in Fig. 10, which are explained below.

  CalculateSafeTrainLength Calculates the the safeTrainLength according to Chapt. 3.6.5.2.4/5. `safeTrainLength = absolute(EstimatedFrontEndPosition - MinSafeRearEnd)`, where `MinSafeRearEnd = minSafeFrontEndPosition - L_TRAIN`

  EvaluateTriggerAndEvents Returns a Boolean modeling whether the sending of the next position report is triggered or not. It is the conjunction of the evaluation of all triggers (PositionReportParameters, i.e., Packet 58) and events (see Chapt. 3.6.5.1.4).

  CollectData In this operation, data of Packet0, . . . , Packet5 and the header is aggregated to a position report.

- **Reference to the SRS (or other requirements**
  Most of the functionality is described in subset 26, chapter 3.6.5.

- **Design Constrains and Choices**

  1. The message length (i.e., attribute `L_MESSAGE`) is by default set to 0; the actual value will be set by the Bitwalker/API.
  2. The attribute `Q_SCALE` is assumed to be constant; that is, all operations using this attribute do not convert between different values of that attribute.
  3. *PositionReportHeader*: The time stamp (i.e., attribute `T_TRAIN`) is not set; this should be done once the message is being sent by the API
  4. *Packet4*: When aggregating the data for this packet, an error message might be overwritten by a succeeding error message. Because the specification only allows to sent one error in one position report, errors are not being stored in a queue, for instance.
  5. *Packet44*: This packet is currently not contained in a position report as it is not part of the kernel functions.
  6. The usage of attributes `D_CYCLOC` and `T_CYCLOC` as part of the triggers specified by the position report parameters (i.e., Packet 58 sent by the RBC) may lead to unexpected results if a big clock cycle together with small values for the attributes is used. The cause is that the current model increments at every clock cycle the reference value for the distance and time by at most `D_CYCLOC` and `T_CYCLOC`, respectively and not a factor of it.

- **Open Issues**

  1. Operation *EvaluateTriggerAndEvents* currently ignores parameters `N_ITER`, `D_LOC` and `D_LGTLOC` which allow to specify up to 32 position at which a report has to be sent. The positions are relative to the location of a reference balise group. If the RBC sends packet 58, then it also provides a reference balise group; otherwise, if packet 58 is sent by a balise group, then this balise group serves a the reference balise group. Possible realisation in the model: Extend in the interface posRepPara (i.e., Packet 58) by a `NID_BG` referring to the reference balise group. Am assumption would be that this BG can be found in the list of passed balise group provided by *CalculateTrainPosition* in Sect. 9.4.1.
  2. The specification requires to store the last eight balise groups for which a position report has been sent (see 3.6.2.2.2.c).
  3. For all reports that contain Packet 1 (i.e., report based on two balise groups), the RBC sends a coordinate system. It is unclear where this has to be stored (i.e., somehow the balise groups have to be stored in a database which has then to be updated), see 3.4.2.3.3.6. Moreover, such a coordination system can be invalid and then has to be rejected (see 3.4.2.3.3.7-8). On a more abstract level, we need to think about the interface between the RBC and the OBU or a proper abstraction thereof.
  4. The decision whether a the report consists of packet 0 or packet 1, which is provided in 3.4.2.3.3, is currently not completely modeled. So far, 3.4.2.3.3.1 has only been modeled, thereby assuming “the last balise group detected” is the last balise group and not the LRBG. 3.4.2.3.3.2 is unclear. To model 3.4.2.3.3.4 I need information about the last two valid balise groups and the train running direction. This information can be obtained by adding a memory or this information will be provided by *CalculateTrainPosition* in Sect. 9.4.1. Likewise, also 3.4.2.3.3.5 requires knowledge about the last two valid balise groups.

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