

# Privacy Proofs for OpenDP: Row Transform

Grace Tian

Summer 2021

## Contents

<b>1</b>	<b>Algorithm Implementation</b>	<b>1</b>
1.1	Code in Rust	1
1.2	Pseudo Code in Python	1
<b>2</b>	<b>Proof</b>	<b>2</b>

## 1 Algorithm Implementation

### 1.1 Code in Rust

The current OpenDP library contains the `make_row_by_row` function implementing the row transform function. This is defined in lines 10-26 of the file `manipulation.rs` in the Git repository (<https://github.com/opendp/opendp/blob/main/rust/opendp/src/trans/manipulation.rs#L10-L26>).

```
9  /// Constructs a ['Transformation'] representing an arbitrary row-by-row transformation.
10 pub(crate) fn make_row_by_row<'a, DIA, DOA, M, F: 'static + Fn(&DIA::Carrier) -> DOA::Carrier>(
11     atom_input_domain: DIA,
12     atom_output_domain: DOA,
13     atom_function: F
14 ) -> Fallible<Transformation<VectorDomain<DIA>, VectorDomain<DOA>, M, M>>
15     where DIA: Domain, DOA: Domain,
16           DIA::Carrier: 'static, DOA::Carrier: 'static,
17           M: DatasetMetric {
18     Ok(Transformation::new(
19         VectorDomain::new(atom_input_domain),
20         VectorDomain::new(atom_output_domain),
21         Function::new(move |arg: &Vec<DIA::Carrier>|
22             arg.iter().map(|v| atom_function(v)).collect()),
23         M::default(),
24         M::default(),
25         StabilityRelation::new_from_constant(1_u32)))
26 }
```

### 1.2 Pseudo Code in Python

#### Preconditions

To ensure the correctness of the output, we require the following preconditions:

- User-specified types:
  - Variable `atom_input_domain` has type `DIA`
  - Variable `atom_output_domain` has type `DOA`
  - Variable `atom_function` has type `F`
  - Types `DIA` and `DOA` have trait `Domain`
  - Type `F` has trait `Fn(&DIA::Carrier) -> DOA::Carrier`
- `atom_function` is a pure function

## Postconditions

- Either a valid `Transformation` is returned or an error is returned.

```

1 def make_row_by_row(atom_input_domain : DIA, atom_output_domain : DOA,
2   atom_function : F):
3   input_domain = VectorDomain(DIA);
4   output_domain = VectorDomain(DOA)
5   input_metric = SymmetricDistance()
6   output_metric = SymmetricDistance()
7
8   def Relation(d_in : u32, d_out : u32) -> bool:
9     return d_out <= d_in*1
10
11   def function(data : Vec[DIA]) -> Vec[DOA]:
12     return list(map(atom_function, data))
13
14   return Transformation(input_domain, output_domain, function,
15     input_metric, output_metric, stability_relation=Relation)

```

## 2 Proof

The necessary definitions for the proof can be found at [“List of definitions used in the proofs”](#).

**Theorem 2.1.** *For every setting of the input parameters (`atom_input_domain`, `atom_output_domain`, `atom_function`) to `make_row_by_row` such that the given preconditions hold, the transformation returned by `make_row_by_row` has the following properties:*

1. (Appropriate output domain). *For every element  $v$  in `input_domain`, `function(v)` is in `output_domain`.*
2. (Domain-metric compatibility). *The domain `input_domain` matches one of the possible domains listed in the definition of `input_metric`, and likewise `output_domain` matches one of the possible domains listed in the definition of `output_metric`.*
3. (Stability guarantee). *For every pair of elements  $v, w$  in `input_domain` and for every pair  $(d\_in, d\_out)$ , where  $d\_in$  is of the associated type for `input_metric` and  $d\_out$  is the associated type for `output_metric`, if  $v, w$  are  $d\_in$ -close under `input_metric` and `Relation(d\_in, d\_out) = True`, then `function(v), function(w)` are  $d\_out$ -close under `output_metric`.*

*Proof.* 1. **(Appropriate output domain).** In the case of `make_row_by_row`, this corresponds to showing that for every vector  $v$  of elements of type `DIA`, `function(v)` is a vector of elements of type `DOA`.

The `function(v)` has type `Vec[DOA]` follows from the assumption that element  $v$  is in `input_domain` and from the type signature of `function` in line 10 of the pseudocode (Section 1.2), which takes in an element of type `Vec(DIA)` and returns an element of type `Vec[DOA]`. If the Rust code compiles correctly, then the type correctness follows from the definition of the type signature enforced by Rust. Otherwise, the code raises a compile time error for incorrect function input type or output type.

Notice also that the appropriate output domain is achieved during run time since the `atom_function` is a pure function with a function trait that maps elements of type `&DIA::Carrier` to `DOA::Carrier`. Therefore the `function` correctly maps elements in the input domain `VectorDomain(DIA)` to the output domain of `VectorDomain(DOA)` during run time.

2. **(Domain-metric compatibility).** The Symmetric distance is both the `input_metric` and `output_metric`. Symmetric distance is compatible with `VectorDomain(T)` for any generic type `T`, as stated in “[List of definitions used in the pseudocode](#)”. The theorem holds because for `make_row_by_row`, the input domain is `VectorDomain(DIA)` and the output domain is `VectorDomain(DOA)`.
3. **(Stability guarantee).** Recall that `function` is a row transformation with respect to pure function `atom_function`, which we denote as  $f$  for simplicity. We want to show that

$$d_{Sym}(\text{function}(v), \text{function}(w)) \leq d_{Sym}(v, w).$$

We use the histogram notation. Recall that  $h_{\text{function}(v)}(z)$  is the number of occurrences of  $z$  in vector `function(v)`. This is equivalent to the sum of the number of occurrences of each  $y \in f^{-1}(z)$  in vector  $v$  since  $f$  is a pure function. **(grace) Does  $f$  have to be onto function?** Since  $h_{\text{function}(v)}(z) = \sum_{y \in f^{-1}(z)} h_v(y)$ , we have:

$$\begin{aligned} |h_{\text{function}(v)}(z) - h_{\text{function}(w)}(z)| &= \left| \sum_{y \in f^{-1}(z)} h_v(y) - h_w(y) \right| \\ &\leq \sum_{y \in f^{-1}(z)} |h_v(y) - h_w(y)| \end{aligned}$$

We apply triangle inequality in the last inequality.

To compute the symmetric distance, we just have to sum over all possible elements  $z$ , and apply the inequality from above:

$$\begin{aligned}
d_{Sym}(\text{function}(v), \text{function}(w)) &= \sum_z |h_{\text{function}(v)}(z) - h_{\text{function}(w)}(z)| \\
&\leq \sum_z \sum_{y \in f^{-1}(z)} |h_v(y) - h_w(y)|
\end{aligned}$$

Note that because the sets  $f^{-1}(z)$  form a partition of the domain of  $f$ , we can simply sum over elements  $y$  in the domain of  $f$ :

$$\sum_z \sum_{y \in f^{-1}(z)} |h_v(y) - h_w(y)| = \sum_y |h_v(y) - h_w(y)| = d_{Sym}(v, w)$$

Therefore we have

$$d_{Sym}(\text{function}(v), \text{function}(w)) \leq d_{Sym}(v, w)$$

as desired. Because  $\text{Relation}(\mathbf{d\_in}, \mathbf{d\_out}) = \text{True}$ , it follows that  $\mathbf{d\_in} \leq \mathbf{d\_out}$  by the stability relation defined in the pseduocode. Since vector inputs  $v, w$  are  $\mathbf{d\_in}$ -close, then the symmetric distance is bounded by  $\mathbf{d\_in}$  by definition the symmetric distance is bounded by  $d_{in}$ :  $d_{Sym}(v, w) \leq \mathbf{d\_in}$ . It finally follows that the transformations are  $\mathbf{d\_out}$ -close:  $d_{Sym}(\text{function}(v), \text{function}(w)) \leq \mathbf{d\_out}$ .  $\square$