Database Technology

Exercise 9 - Extended

Why Do We Need Recovery?

- Recovery: What if the system fails in the middle of some execution (transactions, flush updated pages to disk)?
- It ensures database consistency, atomicity, and durability despite failures, i.e., it attempts to reconstruct a state of the data after a failure

Types of Failure

Transaction failure:

• Transaction aborts due to transaction code (e.g. division by 0.0, space allocation failure), abort command, deadlock resolution

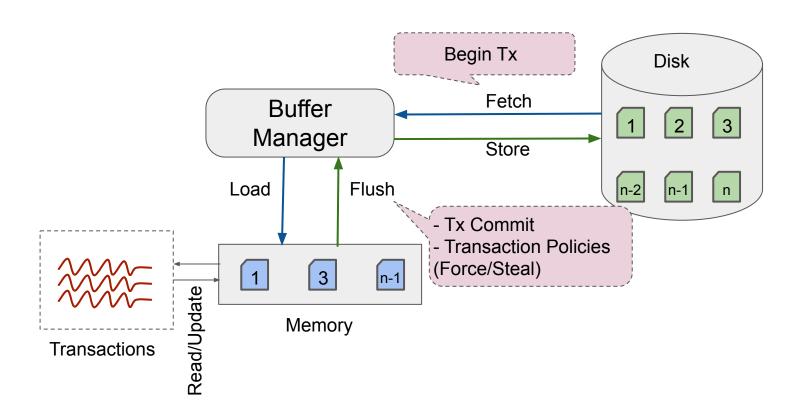
Media failure:

Mechanical hard disk failure, network partitioning.

System failure:

 Power or semiconductor failure, programming error, operating system crash. Memory lost but disk contents still persistent

Database Under Operation



Issues for Recovery

• STEAL

- Mid-transaction state may be written to disk (due to buffer replacement)
- Need to undo such actions after a crash

NO FORCE

- State of committed TXs may not be persisted, and thus lost at crash
- Need to redo such actions

Write-Ahead Logging (WAL)

- Force log record for an update before data page is written to disk
 - Ensures atomicity, solves STEAL:
 Even if dirty state stable, log contains consistent state
- Write all records of a TX before TX commits
 - Ensures durability, solves NO FORCE: Even if TX changes not stable at crash, log describing changes stable

Log Records

- Every log record contains a Log Sequence Number (LSN)
- Data page contains PID and page LSN (pageLSN)
 - The LSN of the last log record that updates the page
- Log record:
 - Type, Transaction ID (TID), Previous LSN (prevLSN) (LSN of previous log record of same TX)

Recovery Using WAL

1. Analysis pass

- Figure out which TX have been committed, aborted, or failed
- Plus build some data structures for performance (Dirty and Transaction Tables)

2. Redo pass (using Dirty Table)

- Read the log forward and redo all actions: "Repeating history" including for uncommitted transactions (read Mohan's paper*).
- Restores the database to a state where all TXs changes have been made stable

3. Undo pass (using Transaction Table)

- Read the log backwards for uncommitted TXs only
- Undo actions by failed TXs

Checkpointing

- Starting at the beginning of the log every time is not practical
 - Need to consolidate changes periodically
- Periodical Checkpoint: Can start recovery from here
 - Write begin checkpoint log record (BCKPT)

. . .

- Write end checkpoint log record (ECKPT) that contains
 - Transaction table
 - Dirty Page Table
 - Analysis can start with saved DPT, TT that summarize history before BCKPT

Write Ahead Logging (WAL)

LSN TID prevLSN Type

Transaction Table (TT)
TID lastLSN

Dirty Page Table (DPT)
PID recLSN

PID = 1 pageLSN = _ PID = 2 pageLSN = _

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX

Transaction Table (TT)

TID	lastLSN
1	1

Dirty Page Table (DPT)

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX

Transaction Table (TT)

TID	lastLSN
1	1
2	2

Dirty Page Table (DPT)

Dirtied Page 1

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)

Transaction Table (TT)

TID	lastLSN
1	3
2	2

Dirty Page Table (DPT)

PID	recLSN
1	3

PID = 1 pageLSN = _ PID = 2 pageLSN = _

Dirtied

Page 1

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)

Transaction Table (TT)

TID	lastLSN
1	3
2	2

Dirty Page Table (DPT)

PID	recLSN
1	3

Page 1 is manipulated in memory and thus dirtied

Dirtied Page 2

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)

Transaction Table (TT)

TID	lastLSN
1	3
2	4

Dirty Page Table (DPT)

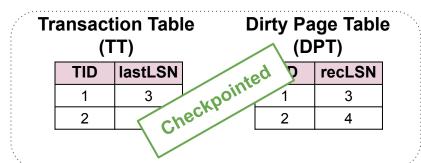
PID	recLSN
1	3
2	4

PID = 1 pageLSN = _

PID = 2 pageLSN = _

Write Ahead Logging (WAL)

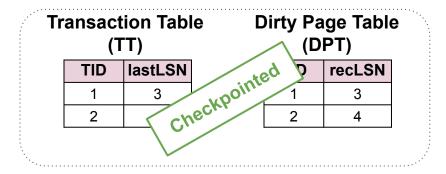
LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT



PID = 1 pageLSN = _ PID = 2 pageLSN = _

Write Ahead Logging (WAL)

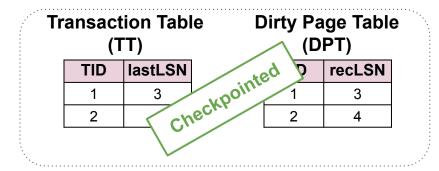
LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT



What if no checkpoint and there is a failure?

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT



We need to re-compute TT and DPT by performing analysis pass over whole WAL without checkpointing.

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT
6	3		BEGIN_TX

Transaction Table (TT)

TID	lastLSN
1	3
2	4
3	6

Dirty Page Table (DPT)

PID	recLSN
1	3
2	4

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT
6	3		BEGIN_TX
7	2	5	COMMIT

Transaction Table (TT)

TID	IastLSN
1	3
2	7
3	6

Dirty Page Table (DPT)

PID	recLSN
1	3
2	4

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT
6	3		BEGIN_TX
7	2	5	COMMIT
8	2	8	END_TX

Transaction Table (TT)

TID	lastLSN
1	3
_2	7
3	6

Dirty Page Table (DPT)

PID	recLSN
1	3
2	4

PID = 1 pageLSN = _ PID = 2 pageLSN = _

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT
6	3		BEGIN_TX
7	2	5	COMMIT
8	2	8	END_TX
Flush Page 1 and 2 to Disk			

Transaction Table (TT)

TID	IastLSN
1	3
3	6

Dirty Page Table (DPT)

PID	recLSN
1	3
2	4

This is done in the background

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT
6	3		BEGIN_TX
7	2	5	COMMIT
8	2	8	END_TX
Flush Page 1 and 2 to Disk			

Transaction Table (TT)

TID	lastLSN
1	3
3	6

Dirty Page Table (DPT)

PID	recLSN
1	2
2	4

Page 1 & 2 are not dirty as they are flushed to disk

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT
6	3		BEGIN_TX
7	2	5	COMMIT
8	2	8	END_TX
Flush Page 1 and 2 to Disk			
9			ECHKPT

Transaction Table (TT)

TID	IastLSN
1	3
3	6

Dirty Page Table (DPT)

ecLSN

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT
6	3		BEGIN_TX
7	2	5	COMMIT
8	2	8	END_TX
Flush Page 1 and 2 to Disk			
9			ECHKPT
10	3	6	UPDATE(1,)

Transaction Table (TT)

TID	lastLSN
1	3
3	10

Dirty Page Table (DPT)

PID	recLSN
1	10

Dirtied Page 1

PID = 1 pageLSN = 3 PID = 2 pageLSN = 4

Write Ahead Logging (WAL)

` ,			
LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT
6	3		BEGIN_TX
7	2	5	COMMIT
8	2	8	END_TX
Flush Page 1 and 2 to Disk			
9			ECHKPT
10	3	6	UPDATE(1,)
11	3	10	UPDATE(5,)

Transaction Table (TT)

TID	IastLSN
1	3
3	11

Dirty Page Table (DPT)

PID	recLSN
1	10
5	11

Dirtied Page 5

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре
1	1		BEGIN_TX
2	2		BEGIN_TX
3	1	1	UPDATE(1,)
4	2	2	UPDATE(2,)
5			BCHKPT
6	3		BEGIN_TX
7	2	5	COMMIT
8	2	8	END_TX
	Flush Page 1 and 2 to Disk		
9			ECHKPT
10	3	6	UPDATE(1,)
11	3	10	UPDATE(5,)
12	1	3	READ

Transaction Table (TT)

TID	lastLSN
1	12
3	11

Dirty Page Table (DPT)

PID	recLSN
1	10
5	11

PID = 1 pageLSN = 3 PID = 2 pageLSN = 4

That's it folks! You now know:

- Need for recovery
 - Role of logs
- Why checkpointing?
 - How WAL is built?
- How to do Analysis Pass?

What about Recover?

Redo

Repeat the history by reading the WAL

- Scan log forward
 - 1. From smallest recLSN in DPT
 - 2. Read PID from log record
 - 3. If (PID not in DPT) or (PID in DPT And recLSN > LSN) do
 - 4. **Nothing** (Why? When does this happen?)
 - 5. Else
 - 6. Fetch page with PID from disk
 - 7. If pageLSN >= LSN do
 - 8. **Nothing**
 - 9. Else
 - 10. **Redo**
- Redoing a page: Re-apply logged action and Set pageLSN = LSN

Write Ahead Logging (WAL)

(****				
LSN	TID	prevLSN	Туре	
1	1		BEGIN_TX	
2	2		BEGIN_TX	
3	1	1	UPDATE(1,)	← Redo
4	2	2	UPDATE(2,)	
5			BCHKPT	
6	3		BEGIN_TX	
7	2	5	COMMIT	
8	2	8	END_TX	
	Flu	sh Page 1 an	d 2 to Disk	
9			ECHKPT	
10	3	7	UPDATE(1,)	
11	3	10	UPDATE(5,)	
12	1	3	READ	

Dirty Page Table (DPT)

PID	recLSN
1	10
5	11

LSN=3, PID = 1 PID exists in DPT with recLSN =10 Do nothing line 3-4

PID = 1 pageLSN = 3 PID = 2 pageLSN = 4

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре	
1	1		BEGIN_TX	
2	2		BEGIN_TX	
3	1	1	UPDATE(1,)	
4	2	2	UPDATE(2,)	← Redo
5			BCHKPT	
6	3		BEGIN_TX	
7	2	5	COMMIT	
8	2	8	END_TX	
Flush Page 1 and 2 to Disk				
9			ECHKPT	
10	3	7	UPDATE(1,)	
11	3	10	UPDATE(5,)	
12	1	3	READ	

Dirty Page Table (DPT)

_	=
PID	recLSN
1	10
5	11

LSN=4, PID = 2 PID does not exists in DPT Do nothing line 3-4

PID = 1 pageLSN = 3 PID = 2 pageLSN = 4

Write Ahead Logging (WAL)

(***			
TID	prevLSN	Туре	
1		BEGIN_TX	
2		BEGIN_TX	
1	1	UPDATE(1,)	
2	2	UPDATE(2,)	
		BCHKPT	
3		BEGIN_TX	
2	5	COMMIT	
2	8	END_TX	
Flush Page 1 and 2 to Disk			
		ECHKPT	
3	7	UPDATE(1,)	← Redo
3	10	UPDATE(5,)	
1	3	READ	
	1 2 1 2 3 2 Flu 3 3 3	1 2 1 1 2 2 3 3 2 5 2 8 Flush Page 1 an 3 7 3 10	1 BEGIN_TX 2 BEGIN_TX 1 1 UPDATE(1,) 2 2 UPDATE(2,) BCHKPT 3 BEGIN_TX 2 5 COMMIT 2 8 END_TX Flush Page 1 and 2 to Disk ECHKPT 3 7 UPDATE(1,) 3 10 UPDATE(5,)

Dirty Page Table (DPT)

PID	recLSN
1	10
5	11

LSN=10, PID = 1
PID exists in DPT with recLSN = 10
Condition on line 3 fails
Fetch page from disk with PID 1 pageLSN = 3
Condition on line 7 fails
Perform Redo

PID = 1 pageLSN =**10** PID = 2 pageLSN = 4

Write Ahead Logging (WAL)

	(VVAL)				
	LSN	TID	prevLSN	Туре	
	1	1		BEGIN_TX	
	2	2		BEGIN_TX	
	3	1	1	UPDATE(1,)	
	4	2	2	UPDATE(2,)	
	5			BCHKPT	
	6	3		BEGIN_TX	
	7	2	5	COMMIT	
	8	2	8	END_TX	
Flush Page 1 and 2 to Disk					
	9			ECHKPT	
	10	3	7	UPDATE(1,)	Redo
	11	3	10	UPDATE(5,)	← Redo
	12	1	3	READ	

Dirty Page Table (DPT)

PID	recLSN
1	10
5	11

LSN=11, PID = 5
PID exists in DPT with recLSN = 11
Condition on line 3 fails
Fetch page from disk with PID 5 pageLSN = _
Condition on line 7 fails
Perform Redo

PID = 1 pageLSN =10 PID = 2 pageLSN = 4

Undo

- Scan log backwards for "loser" TXs
 - 1. ToUndo = {lastLSN of loser TXs}
 - 2. Choose largest LSN from ToUndo
 - 3. If (log record is update) do
 - 4. Undo
 - 5. add prevLSN to ToUndo
- No need to consult DPT, pageLSN
- We are logging during undo
- Undo has the same logic as transaction rollback
- It can be done in parallel for each TX, database can be available during undo

Let's Undo the Changes

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре	
1	1		BEGIN_TX	
2	2		BEGIN_TX	
3	1	1	UPDATE(1,)	
4	2	2	UPDATE(2,)	
5			BCHKPT	
6	3		BEGIN_TX	
7	2	5	COMMIT	
8	2	8	END_TX	
Flush Page 1 and 2 to Disk				
9			ECHKPT	
10	3	7	UPDATE(1,)	
11	3	10	UPDATE(5,)	
12	1	3	READ	← Undo

Transaction Table (TT)

TID	lastLSN
1	12
3	11

Fetch all recLSNs from TT to ToUndo: {12,11}

Write Ahead Logging (WAL)

` ,				
LSN	TID	prevLSN	Type	
1	1		BEGIN_TX	
2	2		BEGIN_TX	
3	1	1	UPDATE(1,)	
4	2	2	UPDATE(2,)	
5			BCHKPT	
6	3		BEGIN_TX	
7	2	5	COMMIT	
8	2	8	END_TX	
Flush Page 1 and 2 to Disk				
9			ECHKPT	
10	3	7	UPDATE(1,)	
11	3	10	UPDATE(5,)	← Undo
12	1	3	READ	

Transaction Table (TT)

TID	lastLSN
1	12
3	11

Fetch all recLSNs from TT to ToUndo: {11,3}

Compensatory Log Record (CRL) is added in WAL (not shown here)

Write Ahead Logging (WAL)

LSN	TID	prevLSN	Туре	
1	1		BEGIN_TX	
2	2		BEGIN_TX	
3	1	1	UPDATE(1,)	
4	2	2	UPDATE(2,)	
5			BCHKPT	
6	3		BEGIN_TX	
7	2	5	COMMIT	
8	2	8	END_TX	
	Flu	sh Page 1 an	d 2 to Disk	
9			ECHKPT	
10	3	7	UPDATE(1,)	← Undo
11	3	10	LIPDATE(5,)	← Undo
12	1	3	READ	

Transaction Table (TT)

TID	IastLSN	
1	3	
3	11	

Fetch all recLSNs from TT to ToUndo: {10,3}

Write Ahead Logging (WAL)

				_
LSN	TID	prevLSN	Type	
1	1		BEGIN_TX	
2	2		BEGIN_TX	
3	1	1	UPDATE(1,)	
4	2	2	UPDATE(2,)	
5			BCHKPT	
6	3		BEGIN_TX	—
7	2	5	COMMIT	
8	2	8	END_TX	
	Flu	sh Page 1 an	d 2 to Disk	
9			ECHKPT	
10	3	6	UPDATE(1,)	← Undo
11	3	10	HPDATE(5,)	← Undo
12	1	3	READ	

Transaction Table (TT)

TID	IastLSN
1	3
3	11

Fetch all recLSNs from TT to ToUndo: {6,3}

Write Ahead Logging (WAL)

		. ,		_
LSN	TID	prevLSN	Туре	
1	1		BEGIN_TX	
2	2		BEGIN_TX	
3	1	1	UPDATE(1,)	← Undo
4	2	2	UPDATE(2,)	
5			BCHKPT	
6	3		BEGIN_TX	
7	2	5	COMMIT	
8	2	8	END_TX	
	Flu	sh Page 1 an	d 2 to Disk	
9			ECHKPT	
10	3	6	UPDATE(1,)	← Undo
11	3	10	LIPDATE(5,)	← Undo
12	1	3	READ	

Transaction Table (TT)

TID	lastLSN
1	3
3	11

Fetch all recLSNs from TT to ToUndo: {3}

Write Ahead Logging (WAL)

, , , , , , , , , , , , , , , , , , ,					
LSN	TID	prevLSN	Туре		
1	1		BEGIN_TX	—	
2	2		BEGIN_TX		
3	1	1	UPDATE(1,)	⇔ Undo	
4	2	2	UPDATE(2,)		
5			BCHKPT		
6	3		BEGIN_TX		
7	2	5	COMMIT		
8	2	8	END_TX		
	Flu	sh Page 1 an	d 2 to Disk		
9			ECHKPT		
10	3	6	UPDATE(1,)	⇔ Undo	
11	3	10	LIPDATE(5,)	← Undo	
12	1	3	READ		

Transaction Table (TT)

TID	lastLSN
1	3
3	11

Fetch all recLSNs from TT to ToUndo: {1}

Analysis Pass

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION
1	1		BEGIN_TRANSACTION
2	2		BEGIN_TRANSACTION
3	3		BEGIN_TRANSACTION
4	1	1	UPDATE(1, 1, "a", "A")
5	2	2	UPDATE(2, 2, "b", "B")
6	1	4	UPDATE (1, 3, "c", "C")
7			BEGIN_CHECKPOINT
8th	1	6	UPDATE (1, 4, "d", "D")
9	2	5	UPDATE (3, 5, "e", "E")
10	2	9	COMMIT
11	2	10	END_TRANSACTION
12			END_CHECKPOINT
13	3	3	UPDATE (2, 6, "f", "F")
14	3	13	UPDATE (3, 7, "g", "G")

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

Dirty page table:

In the transaction and dirty page tables below, enter the LSN for each transaction/page after the analysis pass.

Select "No Entry", if a transaction/page is not in the transaction/dirty page table.

TID LSN

1 8

2 No Entry

3 14

Transaction table:



Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION
1	1		BEGIN_TRANSACTION
2	2		BEGIN_TRANSACTION
3	3		BEGIN_TRANSACTION
4	1	1	UPDATE(1, 1, "a", "A")
5	2	2	UPDATE(2, 2, "b", "B")
6	1	4	UPDATE (2, 3, "c", "C")
7			BEGIN_CHECKPOINT
8th	1	6	UPDATE (3, 4, "d", "D")
9	3	3	UPDATE (2, 5, "e", "E")
10	1	8th	COMMIT
11	1	10	END_TRANSACTION
12			END_CHECKPOINT
13	2	5	UPDATE (3, 6, "f", "F")
14	2	13	UPDATE (1, 7, "g", "G")

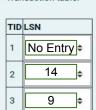
The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

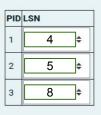
Dirty page table:

In the transaction and dirty page tables below, enter the LSN for each transaction/page after the analysis pass.

Select "No Entry", if a transaction/page is not in the transaction/dirty page table.



Transaction table:



Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	
1	1	7)	BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE(1, 1, "a", "A")	
5	2	2	UPDATE(2, 2, "b", "B")	
6	2	5	UPDATE (1, 3, "c", "C")	
7			BEGIN_CHECKPOINT	
8th	3	3	UPDATE (3, 4, "d", "D")	
9	3	8th	UPDATE (3, 5, "e", "E")	
10	3	9	COMMIT	
11	3	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	1	4	UPDATE (3, 6, "f", "F")	
14	1	13	UPDATE (2, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

Dirty page table:

In the transaction and dirty page tables below, enter the LSN for each transaction/page after the analysis pass.

Select "No Entry", if a transaction/page is not in the transaction/dirty page table.

TID	LSN
1	14 🕏
2	6 \$

Transaction table:



Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE(3, 1, "a", "A")	
5	2	2	UPDATE(3, 2, "b", "B")	
6	2	5	UPDATE (2, 3, "c", "C")	
7			BEGIN_CHECKPOINT	
8th	1	4	UPDATE (2, 4, "d", "D")	
9	3	3	UPDATE (1, 5, "e", "E")	
10	1	8th	COMMIT	
11	1	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	2	6	UPDATE (3, 6, "f", "F")	
14	2	13	UPDATE (2, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

Dirty page table:

In the transaction and dirty page tables below, enter the LSN for each transaction/page after the analysis pass.

Select "No Entry", if a transaction/page is not in the transaction/dirty page table.

TID	LSN
1	No Entry
2	14 🛊
3	9 💠

Transaction table:



Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE(3, 1, "a", "A")	
5	3	3	UPDATE(3, 2, "b", "B")	
6	2	2	UPDATE (3, 3, "c", "C")	
7			BEGIN_CHECKPOINT	
8th	1	4	UPDATE (2, 4, "d", "D")	
9	3	5	UPDATE (1, 5, "e", "E")	
10	1	8th	COMMIT	
11	1	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	2	6	UPDATE (3, 6, "f", "F")	
14	2	13	UPDATE (2, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	4
2	6
3	5

Dirty page table:

PID	LSN
3	4

In the transaction and dirty page tables below, enter the LSN for each transaction/page after the analysis pass.

Select "No Entry", if a transaction/page is not in the transaction/dirty page table.



Transaction table:

Dirty page table:

9



Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE(3, 1, "a", "A")	
5	3	3	UPDATE(3, 2, "b", "B")	
6	2	2	UPDATE (3, 3, "c", "C")	
7			BEGIN_CHECKPOINT	
8th	3	5	UPDATE (1, 4, "d", "D")	
9	2	6	UPDATE (1, 5, "e", "E")	
10	1	4	COMMIT	
11	1	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	2	9	UPDATE (2, 6, "f", "F")	
14	2	13	UPDATE (2, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

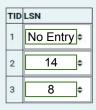
Dirty page table:

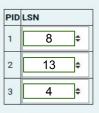
PID LSN 3 4

In the transaction and dirty page tables below, enter the LSN for each transaction/page after the analysis pass.

Select "No Entry", if a transaction/page is not in the transaction/dirty page table.

Transaction table:





Redo Pass

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Redo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	Redo \$
5	2	2	UPDATE (2, 2, "b", "B")	Redo \$
6	1	4	UPDATE (1, 3, "c", "C")	Redo \$
7			BEGIN_CHECKPOINT	
8	1	6	UPDATE (1, 4, "d", "D")	Redo \$
9	2	5	UPDATE (3, 5, "e", "E")	Redo \$
10	2	9	COMMIT	
11	2	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	1	8	UPDATE (2, 6, "f", "F")	
14	3	3	UPDATE (3, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	6
2	5
3	3

Dirty page table:

PID	LSN	
1	4	
2	5	

Select the action taken during the redo passes for the UPDATE actions in the drop-down menu in the log above.

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Redo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	Ignore \$
5	2	2	UPDATE (2, 2, "b", "B")	Redo \$
6	1	4	UPDATE (1, 3, "c", "C")	Redo \$
7			BEGIN_CHECKPOINT	
8	1	6	UPDATE (1, 4, "d", "D")	Redo \$
9	2	5	UPDATE (3, 5, "e", "E")	Redo \$
10	2	9	COMMIT	
11	2	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	1	8	UPDATE (2, 6, "f", "F")	
14	3	3	UPDATE (3, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	6
2	5
3	3

Dirty page table:

PID	LSN
1	6
2	5

Select the action taken during the redo passes for the UPDATE actions in the drop-down menu in the log above.

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Redo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	Ignore \$
5	2	2	UPDATE (2, 2, "b", "B")	Ignore \$
6	1	4	UPDATE (1, 3, "c", "C")	Redo \$
7			BEGIN_CHECKPOINT	
8	1	6	UPDATE (1, 4, "d", "D")	Redo \$
9	2	5	UPDATE (3, 5, "e", "E")	Redo \$
10	2	9	COMMIT	
11	2	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	1	8	UPDATE (2, 6, "f", "F")	
14	3	3	UPDATE (3, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	6
2	5
3	3

Dirty page table:

PID	LSN
1	6

Select the action taken during the redo passes for the UPDATE actions in the drop-down menu in the log above.

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Redo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	Ignore \$
5	2	2	UPDATE (2, 2, "b", "B")	Ignore \$
6	1	4	UPDATE (1, 3, "c", "C")	Ignore \$
7			BEGIN_CHECKPOINT	
8	1	6	UPDATE (1, 4, "d", "D")	Redo \$
9	2	5	UPDATE (3, 5, "e", "E")	Redo \$
10	2	9	COMMIT	
11	2	10	END_TRANSACTION	
12		36 - 3.	END_CHECKPOINT	
13	1	8	UPDATE (2, 6, "f", "F")	
14	3	3	UPDATE (3, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	6
2	5
3	3

Dirty page table:

PID	LSN
1	8

Select the action taken during the redo passes for the UPDATE actions in the drop-down menu in the log above.

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Redo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	Redo \$
5	2	2	UPDATE (2, 2, "b", "B")	Redo \$
6	2	5	UPDATE (1, 3, "c", "C")	Redo \$
7			BEGIN_CHECKPOINT	
8	2	6	UPDATE (1, 4, "d", "D")	Redo \$
9	2	8	UPDATE (3, 5, "e", "E")	Redo \$
10	2	9	COMMIT	
11	2	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	3	3	UPDATE (2, 6, "f", "F")	
14	3	13	UPDATE (3, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	4
2	6
3	3

Dirty page table:

PID	LSN
1	4
2	5

Select the action taken during the redo passes for the UPDATE actions in the drop-down menus in the log above.

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Redo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	Ignore \$
5	2	2	UPDATE (2, 2, "b", "B")	Redo \$
6	2	5	UPDATE (1, 3, "c", "C")	Redo \$
7			BEGIN_CHECKPOINT	
8	2	6	UPDATE (1, 4, "d", "D")	Redo \$
9	2	8	UPDATE (3, 5, "e", "E")	Redo \$
10	2	9	COMMIT	
11	2	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	3	3	UPDATE (2, 6, "f", "F")	
14	3	13	UPDATE (3, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	4
2	6
3	3

Dirty page table:

PID	LSN
1	6
2	5

Select the action taken during the redo passes for the UPDATE actions in the drop-down menus in the log above.

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Redo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	Ignore \$
5	2	2	UPDATE (2, 2, "b", "B")	Ignore \$
6	2	5	UPDATE (1, 3, "c", "C")	Redo \$
7			BEGIN_CHECKPOINT	
8	2	6	UPDATE (1, 4, "d", "D")	Redo \$
9	2	8	UPDATE (3, 5, "e", "E")	Redo \$
10	2	9	COMMIT	
11	2	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	3	3	UPDATE (2, 6, "f", "F")	
14	3	13	UPDATE (3, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	4
2	6
3	3

Dirty page table:

PID	LSN
1	6

Select the action taken during the redo passes for the UPDATE actions in the drop-down menus in the log above.

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Redo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	Ignore \$
5	2	2	UPDATE (2, 2, "b", "B")	Ignore \$
6	2	5	UPDATE (1, 3, "c", "C")	Ignore \$
7			BEGIN_CHECKPOINT	
8	2	6	UPDATE (1, 4, "d", "D")	Redo \$
9	2	8	UPDATE (3, 5, "e", "E")	Redo \$
10	2	9	COMMIT	
11	2	10	END_TRANSACTION	
12		10 10	END_CHECKPOINT	
13	3	3	UPDATE (2, 6, "f", "F")	
14	3	13	UPDATE (3, 7, "g", "G")	

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	4
2	6
3	3

Dirty page table:

PID	LSN
1	8

Select the action taken during the redo passes for the UPDATE actions in the drop-down menus in the log above.

Undo Pass

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Undo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	
5	2	2	UPDATE (2, 2, "b", "B")	
6	1	4	UPDATE (1, 3, "c", "C")	Undo \$
7			BEGIN_CHECKPOINT	
8	1	6	UPDATE (1, 4, "d", "D")	Undo \$
9	2	5	UPDATE (3, 5, "e", "E")	Ignore \$
10	2	9	COMMIT	
11	2	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	3	3	UPDATE (2, 6, "f", "F")	Undo \$
14	3	13	UPDATE (3, 7, "g", "G")	Undo

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN		
1	6		
2	5		
3	3		

Dirty page table:

PID	LSN
1	4
2	5

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Undo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	
5	2	2	UPDATE (2, 2, "b", "B")	
6	1	4	UPDATE (2, 3, "c", "C")	Ignore \$
7			BEGIN_CHECKPOINT	
8	1	6	UPDATE (3, 4, "d", "D")	Ignore 🕏
9	3	3	UPDATE (2, 5, "e", "E")	Undo 🕏
10	1	8	COMMIT	l d
11	1	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	2	5	UPDATE (3, 6, "f", "F")	Undo \$
14	2	13	UPDATE (1, 7, "g", "G")	Undo \$

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	6
2	5
3	3

Dirty page table:

PID	LSN
1	4
2	5

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Undo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	
5	2	2	UPDATE (2, 2, "b", "B")	
6	2	5	UPDATE (1, 3, "c", "C")	Undo \$
7			BEGIN_CHECKPOINT	
8	3	3	UPDATE (3, 4, "d", "D")	Ignore 🕏
9	3	8	UPDATE (3, 5, "e", "E")	Ignore 🕏
10	3	9	COMMIT	0
11	3	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	1	4	UPDATE (3, 6, "f", "F")	Undo \$
14	1	13	UPDATE (2, 7, "g", "G")	Undo \$

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

	TID	LSN
	1	4
200	2	6
	3	3

Dirty page table:

PID	LSN
1	4
2	5

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Undo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (3, 1, "a", "A")	
5	2	2	UPDATE (3, 2, "b", "B")	
6	2	5	UPDATE (2, 3, "c", "C")	Undo
7			BEGIN_CHECKPOINT	
8	1	4	UPDATE (2, 4, "d", "D")	Ignore [‡]
9	3	3	UPDATE (1, 5, "e", "E")	Undo
10	1	8	COMMIT	
11	1	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	2	6	UPDATE (3, 6, "f", "F")	Undo 🕏
14	2	13	UPDATE (2, 7, "g", "G")	Undo 🕏

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	4
2	6
3	3

Dirty page table:

PID	LSN
2	6
3	4

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Undo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	
5	2	2	UPDATE (2, 2, "b", "B")	
6	2	5	UPDATE (1, 3, "c", "C")	Ignore 🕏
7			BEGIN_CHECKPOINT	
8	1	4	UPDATE (1, 4, "d", "D")	Undo
9	2	6	UPDATE (3, 5, "e", "E")	Ignore 🕏
10	2	9	COMMIT	
11	2	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	3	3	UPDATE (2, 6, "f", "F")	Undo ¢
14	3	13	UPDATE (3, 7, "g", "G")	Undo \$

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	4
2	6
3	3

Dirty page table:

PID	LSN
1	4
2	5

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Undo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	
5	2	2	UPDATE (2, 2, "b", "B")	
6	2	5	UPDATE (1, 3, "c", "C")	Ignore 🕏
7			BEGIN_CHECKPOINT	
8	2	6	UPDATE (1, 4, "d", "D")	Ignore 🕏
9	2	8	UPDATE (3, 5, "e", "E")	Ignore 🕏
10	2	9	COMMIT	
11	2	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	3	3	UPDATE (2, 6, "f", "F")	Undo 🛊
14	3	13	UPDATE (3, 7, "g", "G")	Undo 🛊

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	4
2	6
3	3

Dirty page table:

PID	LSN
1	4
2	5

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Undo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	
5	2	2	UPDATE (2, 2, "b", "B")	
6	1	4	UPDATE (2, 3, "c", "C")	Ignore 🕏
7			BEGIN_CHECKPOINT	
8	3	3	UPDATE (3, 4, "d", "D")	Undo
9	3	8	UPDATE (2, 5, "e", "E")	Undo 🕏
10	1	6	COMMIT	
11	1	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	2	5	UPDATE (3, 6, "f", "F")	Undo 🛊
14	2	13	UPDATE (1, 7, "g", "G")	Undo ţ

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

	TID	LSN
300	1	6
	2	5
000	3	3

Dirty page table:

PID	LSN
1	4
2	5

Consider the following log records. The table contains the log sequence number (LSN), the transaction ID (TID), the previous log sequence number of the transaction (PSN), and the action of the log entry. The format of the UPDATE action is: (Page ID, Element ID, Old value, New value).

LSN	TID	PSN	ACTION	Undo Pass
1	1		BEGIN_TRANSACTION	
2	2		BEGIN_TRANSACTION	
3	3		BEGIN_TRANSACTION	
4	1	1	UPDATE (1, 1, "a", "A")	
5	2	2	UPDATE (2, 2, "b", "B")	
6	2	5	UPDATE (1, 3, "c", "C")	Undo 🕏
7			BEGIN_CHECKPOINT	
8	3	3	UPDATE (3, 4, "d", "D")	Ignore =
9	2	6	UPDATE (3, 5, "e", "E")	Undo 🕏
10	3	8	COMMIT	
11	3	10	END_TRANSACTION	
12			END_CHECKPOINT	
13	1	4	UPDATE (3, 6, "f", "F")	Undo 🕏
14	1	13	UPDATE (2, 7, "g", "G")	Undo ¢

The recovery process starts at LSN 7 with the following transaction table and dirty pages table.

Transaction table:

TID	LSN
1	4
2	6
3	3

Dirty page table:

PID	LSN
1	4
2	5