

**CONDUCT OF ELECTION REPORT**

[Section 102.141(9), Florida Statutes]

*This report is due to the Division of Elections/Bureau of Voting Systems Certification at the same time that the county canvassing board submits its certified official election results for any presidential primary, general, primary, and special elections, whichever is applicable.*

1. County: Sarasota2. Election Year: 2016

3. Election (check only one).

☐ Presidential Preference Primary Election☐ Primary Election☒ General Election☐ Other election (specify): \_\_\_\_\_

4. Election Definition. Definition created by (Check one):

☒ County☐ Vendor☐ Consultant☐ Other (describe): \_\_\_\_\_

5. Voting Devices (fill-in the applicable number):

Absentee (Central) Count Marksense Scanners		
Initial Total AB Scanners	Removed	Replaced or Added
3	0	0

Precinct Count Marksense Scanners				
	Polling Locations	Initial Total Deployed	Removed	Replaced or Added
Early Voting	7	28	0	0
Election Day	99	146	3	3

ADA Voting Devices (e.g., Marking device and/or DRE Touchscreen)				
	Polling Locations	Initial Total Deployed	Removed	Replaced or Added
Early Voting	7	7	4	4
Election Day	99	99	0	0

6. Ballot-Printing (check all that apply):

	Early Voting	Election Day	Absentee
Ballot-on-Demand (BOD)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Ballot Printer Service (Vendor)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Ballot Printer Service (non-Vendor)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

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7. Did **equipment or software malfunctions** occur at the precinct level, at a counting location, or within computer and telecommunications networks supporting county location (*Section 102.141 (9)(a)1., F.S.*)?

☐ **NO** Proceed to 8.

☒ **YES** Summarize the number of malfunctions by location on the chart. Then mark on the checklist below the type of malfunctions. Also attach an explanation of the steps that were taken to address the malfunction(s).

		Number of malfunctions		
		Early Voting Site	Election Day Polling Places	Central Location
Equipment	Marksense Scanner	0	2	0
	ADA Marking Device	4	0	
	ADA DRE Touchscreen	N/A	N/A	
Software	Marksense Scan	0	1	0
	ADA Marking Device	0	0	
	ADA DRE Touchscreen	N/A	N/A	
Computer	Election Management			0
Telecommunications	Modems and Phone lines		0	0

*Notes: Election Day refers to the polling locations. American Disability Act (ADA) Marking Device refers to the AutoMARK Voter Assist Terminal (VAT) Media refers to a tabulator's memory cards, memory packs, USB flash memory, zip drive, PEBs, etc.*

Checklist for type of malfunctions	
<p><b>Early Voting and Election Day</b></p> <p><i>Voting devices</i></p> <p><input type="checkbox"/> Voting devices – not connected to electrical power or power source failure</p> <p><input type="checkbox"/> Voting devices – battery backup failure – battery not charged</p> <p><input type="checkbox"/> Voting devices – battery backup failure – removed from service</p> <p><input checked="" type="checkbox"/> ADA device failure – repaired – remained in service</p> <p><input type="checkbox"/> ADA device media failure – media replaced</p> <p><input checked="" type="checkbox"/> Marksense scanner failure – repaired – remained in service</p> <p><input checked="" type="checkbox"/> Marksense scanner memory media failure – media replaced</p> <p><input type="checkbox"/> Marksense scanner failure to reject a blank ballot</p> <p><input type="checkbox"/> Marksense scanner failure to reject a ballot with an over voted contest</p> <p><input type="checkbox"/> Marksense scanner failure to accept one or more under voted contests</p> <p><input type="checkbox"/> Ballot box diverter failure – removed from service</p> <p><input type="checkbox"/> Ballot box diverter failure – repaired – remained in service</p> <p><input checked="" type="checkbox"/> Used the marksense scanner's ballot box emergency bin</p> <p><input checked="" type="checkbox"/> Cannot complete a planned modem upload or problems with the phone lines</p> <p><input type="checkbox"/> Other. Provide the description: _____</p> <p style="text-align: center;"><u>See attached page: Question #7 Responses</u></p>	<p><b>Central Location</b></p> <p><i>Absentee tabulation</i></p> <p><input type="checkbox"/> Marksense scanner failure – repaired – remained in service</p> <p><input type="checkbox"/> Marksense scanner memory media failure – media replaced</p> <p><b>Election Management</b></p> <p><input type="checkbox"/> Problem or malfunction with uploading results or creating reports.</p> <p><input type="checkbox"/> Other. Provide the description: _____</p>

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8. Were any **election definition errors** discovered after the logic and accuracy test?  
(Section 102.141 (9)(a)2., F.S.)?

- ☒ **NO** Proceed to 9.
- ☐ **YES** Summarize the number of errors in the appropriate columns in the table below. Attach an explanation of the steps that were taken to address the errors.

	<b>Election definition errors</b>	<b>Precinct count media errors</b>	<b>Central count media errors</b>
County created definition or media			
Vendor created definition or media <u>with correct</u> county information			
Vendor created definition or media <u>with incorrect</u> information from the county			

9. Did any **ballot printing and ballot supply problems** occur?  
(Section 102.141 (9)(a)3., F.S.)?

- ☐ **NO** Proceed to 10.
- ☒ **YES** Summarize the number of errors in the appropriate column in the table below. Mark on the checklist below the type of problems or errors and attach an explanation of the steps that were taken to address the problems.

	<b>Electronic ballot layout errors</b>	<b>Paper ballot layout errors</b>	<b>Paper ballot printer errors</b>	<b>Paper ballot supply problems</b>
County created the ballot				
Vendor created <u>with correct</u> county information				
Vendor created <u>with incorrect</u> information from the county				

### Checklist for type of ballot or printer problems

#### Early Voting and Election Day

Polling location *(please identify the location)*

☐ Incorrect ballots to the voter – poll worker error

☐ Ballot moisture (humidity) cannot scan

Absentee *(please identify the location)*

☐ Incorrect ballots to the voter – election staff error

Ballot-on-demand (BOD)

☐ Printed incorrect ballots – printer error such as duplex

☐ Printed incorrect ballots – software error

☒ Printer failure

☐ Incorrect ballots given to the voter – poll worker error

Other

☐ Provide the description: See attached page: Question #9 Response

### CONDUCT OF ELECTION REPORT

10. Did you have any staffing shortages and procedural violations by employees or precinct workers during the conduct of the election?  
(Section 102.141 (9)(a)4., F.S.)

☐ NO Proceed to 11.

☒ YES Summarize the number of issues in each of the appropriate columns in the table below. Attach an explanation of who (the supervisor of elections or the canvassing board, as applicable) and what the steps that were taken to address the shortages and/or procedural violations.

	Number of shortages	Number of instances of insufficient training	Number of times when procedures were violated
Poll workers			2
Election staff			
Security			
Temporary support			

11. Were there instances when the needs for staffing and equipment were insufficient to meet voters' needs?  
(Section 102.141 (9)(a)5., F.S.)

☒ NO Proceed to 12.

☐ YES Fill in the numbers in each of the appropriate columns in the table below. Attach an explanation of the steps that were taken to address the insufficiency.

	Number of <u>planned</u> resources for Election Day	Number of resources <u>available</u> for Election Day	Number of <u>insufficient</u> resources on Election Day to meet the voters' needs
Poll workers			
Election staff			
Voting devices		Note below and see page 1	
Other equipment and supplies			

*Note: The number of "planned" items is based on the Election Day estimates, and "available" is the actual items at the start of the Election Day. Note that the number of voting devices available is based on the actual initial total deployment including ADA devices as provided on page 1 for Election Day. Other equipment and supplies, for example, refers to summation of the number of electronic pollbook devices, provisional ballot supplies, ballot boxes, transfer bags, etc.*

## CONDUCT OF ELECTION REPORT

12. Do you have any additional information regarding material issues and problems associated with the conduct of election?

(Section 102.141 (9)(a)6., F.S.)

☐ **NO** Proceed to 13.

☒ **YES** Indicate on the checklist below the type of issue(s) or problem(s). Attach an explanation of the steps that were taken to address the issue(s) or problem(s).

### Checklist

#### Early Voting and Election Day

##### Polling location

- ☐ Incorrect setup
- ☒ Solicitation area violated
- ☐ Incompatible for ADA accessibility
- ☐ Incompatible for use as a polling location

##### Voter check-in

- ☐ Electronic poll book / precinct register error
- ☐ Paper poll book / precinct register error
- ☐ Process error

##### Voters

- ☒ Fleeing voter
- ☐ Disruptive behavior
- ☐ Disruptive photography

##### Observers

- ☐ Not approved
- ☒ Disruptive behavior
- ☐ Disruptive photography

##### Media and/or citizen polling

- ☐ Disruptive behavior

##### Other

- ☒ Provide the description:

See attached page: Question #12 Responses

13. Signatures

### County Canvassing Board

Signature	Title	Date
<i>Enriquez, Duarte, Macias</i>	County Judge	11/18/16
<i>Carolyn G. Mason</i>	County Commissioner	11/18/16
<i>Kathy Dent</i>	Supervisor of Elections	11/18/16

**NOTICE:** The Supervisor of Elections has a continuing duty to notify the Division of Elections no later than the next business day after the discovery of any new or additional information regarding any of the matters included in this report. A signed written amended report is due 10 days after the discovery.

Section 102.141(9)(b), F.S.

**Conduct of Elections Report**  
**General Election**  
**November 8, 2016**  
**Question #7 Responses**

AutoMARK Replacement:

**Issue:** At three early voting sites, the ballots were jamming in the AutoMARK.

**Resolution:** The AutoMARK was replaced.

AutoMARK Replacement:

**Issue:** At one precinct, the selection for the contests on the AutoMARK was misaligned.

**Resolution:** The AutoMARK touch screen was recalibrated.

DS200 Tabulator Replacement:

**Issue:** At one precinct, prior to the polls opening, the DS200 indicated an error message, "no election definition found".

**Resolution:** The memory stick was replaced and the tabulator was ready to accept ballots prior to the opening of the polls.

DS200 Tabulator Replacement:

**Issue:** At one precinct, the DS200 was unable to read several ballots.

**Resolution:** The tabulator was replaced.

DS200 Tabulator Replacement:

**Issue:** At one precinct, the DS200 was unable to upload the results after several attempts.

**Resolution:** The memory stick was removed from the tabulator and inserted into a spare tabulator and the results uploaded successfully.

**Conduct of Elections Report**  
**General Election**  
**November 8, 2016**  
**Question #9 Response**

**Ballot-on-Demand (BOD) Printer:**

**Issue:** At one early voting site, the printer stopped printing and indicated a “stop error” message.

**Resolution:** Replaced printer.



**Conduct of Elections Report  
November 8, 2016 General  
Question #10 Responses**

**Poll workers – procedural violations**

**Issue:** A voter reported being turned away at the polling place because she did not have her ID with her; says she was not offered a provisional ballot.

**Resolution:** SOE staff spoke to voter and to poll clerk; advised clerk he should have offered a provisional ballot and advised voter if she could return to polling place she would be allowed to vote a provisional ballot.

**Issue:** A provisional voter was allowed to scan his ballot through the tabulator.

**Resolution:** SOE staff instructed poll clerk to complete an incident report. Proper procedures will be stressed during future poll worker training.



**Conduct of Elections Report  
November 8, 2016 General  
Question #12 Responses**

**Early voting and Election Day**

**Polling Location**

**Issue:** Solicitation area violated. Candidate called SOE to report workers at a community center being used as a polling place had removed candidate's campaign signs

**Resolution:** Upon checking with polling location, SOE staff determined community center staff had removed the signs because they were too close to the building. SOE staff advised candidate.

**Voters**

**Issue:** A voter became upset, tore up ballot and left polling place.

**Resolution:** Clerk, who was unsure what upset voter, completed an incident report and spoiled the ballot.

**Observers**

**Issue:** Poll watcher was interfering with the voting process by injecting herself into a conversation between a voter and the precinct clerk. When approached by another precinct clerk in the same polling place, the poll watcher indicated she could do whatever she wanted.

**Resolution:** Issue was reported to the supervisor of elections who contacted the clerk at the polling place to resolve.