

# <sup>1</sup> HydroGenerate: A Python Package for Estimating the Technical Hydropower Potential

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## Software

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## <sup>7</sup> Summary

<sup>8</sup> Conducting pre-feasibility studies on hydro power sites can be expensive, technically challenging,  
<sup>9</sup> and time-consuming for a variety of stakeholders from developers to small asset owners.  
<sup>10</sup> HydroGenerate is an open-source Python library designed to assist hydropower developers  
and other stakeholders in estimating the generation potential at a user-selected site, while  
also aiming to reduce the technical and financial burdens associated with the initial pre-  
feasibility analysis phase. HydroGenerate can help reduce the above-mentioned barriers  
by providing realistic insights into a site's technical potential and performance. Thereby  
helping hydropower stakeholders make decisions confidently and move projects forward if  
feasible. Additionally, HydroGenerate can support researchers in evaluating the potential  
of new hydropower technologies or plant operating policies. Also, due to the light-weight  
nature of the tool, HydroGenerate can be used alongside third-party packages to investigate  
solutions to a variety of other energy system challenges (e.g., capacity expansion models,  
hybrid plant optimization, etc.). The tool can process historical site data (where available),  
estimate technical potential, and provide a preliminary economic analysis. Both the tool and  
the accompanying user guide are crafted to facilitate ease of use for beginners, while also  
offering deeper analysis capabilities for more experienced users.

## <sup>24</sup> Statement of need

<sup>25</sup> HydroGenerate offers a modular, customizable, and well-documented (I. N. Laboratory, 2025)  
<sup>26</sup> tool for hydropower potential estimation, pre-design, and evaluation of various project types.  
<sup>27</sup> HydroGenerate is equipped with multiple features to support this task. For example, a) turbine  
<sup>28</sup> technology selection based on hydraulic head and design flow, b) power output estimation  
<sup>29</sup> using efficiency curves that are specific to turbine type and size, c) compatibility with United  
<sup>30</sup> States Geological Survey (USGS) data retrieval Python package (Survey, 2024) to minimize  
<sup>31</sup> data management and user learning burdens, d) flow data processing for technology-based or  
<sup>32</sup> maintenance constraints, e) head-loss calculation methods, f) embedded cost estimates based  
<sup>33</sup> on the hydropower project type (i.e., non-powered dams, new stream-reach, canal-conduit,  
<sup>34</sup> pumped storage hydro with existing infrastructure, new pumped storage hydro, unit additions  
<sup>35</sup> to existing hydropower units, and generator rewinds) based on ORNL baseline cost model  
<sup>36</sup> (O'Connor, 2015). These features also distinguish HydroGenerate from closed and membership-  
<sup>37</sup> based platforms such as RETScreen (Canada, 2025), which lacks functionality for flow data  
<sup>38</sup> analysis and operational constraints related to practical operation, head loss calculation in the  
<sup>39</sup> penstock, and initial capital cost and operation and maintenance estimations.  
<sup>40</sup> Additionally, researchers can leverage HydroGenerate for large and small-scale hydropower  
<sup>41</sup> problems. Consider the following example research use cases: a) Power and energy system

42 researchers require power system models for different seasonal hydrological conditions  
43 to estimate power reserves among other capabilities of hydropower generation assets.  
44 HydroGenerate, using historical data, can estimate the potential for multiple sites, thereby  
45 contributing to the creation of more realistic power system models, b) for dynamic studies  
46 of power systems, dynamic models of turbines are required, which require efficiency curves.  
47 However, efficiency curves are not usually available as they are proprietary data. HydroGenerate  
48 can help estimate these efficiency curves, c) environmental researchers can utilize the tool  
49 to determine the impact of factors such as sedimentation, drought, or glacier recession on  
50 hydropower production through appropriate head and flow data input, c) turbine design  
51 researchers can use the modular features of HydroGenerate to quantify the technical and  
52 economic benefits and suitability of new turbine modules, d) market researchers can examine  
53 new operational constraints to align with power purchase agreements, electricity price profiles  
54 or other requirements. Apart from these use cases, HydroGenerate provides technical and  
55 economic potential that fits well into a family of multi-objective optimization problems. These  
56 use cases highlight the versatility and importance of HydroGenerate in various research and  
57 planning scenarios.

58 Additional research has uncovered automated approaches for identifying sites with hydropower  
59 potential by using Geographic Information Systems (GIS) in combination with summaries  
60 of flow data ([Arefiev et al., 2015](#)). HydroGenerate can be used in combination with tools  
61 implementing this or other GIS functionality, to analyze generation and plant characteristics  
62 once sites have been identified and expand their usability. For example, HydroGenerate was  
63 used to assess hydropower potential across multiple non-powered dams where head data was  
64 available or was estimated using remote sensing data ([Harrison-Atlas et al., 2023](#)). Additionally,  
65 HydroGenerate is used on IrrigationViz, a GIS-based software, to assess hydropower potential  
66 on agricultural infrastructure, demonstrating its flexibility for supporting other analysis ([P. N.  
67 N. Laboratory, 2024](#)).

## 68 The HydroGenerate Workflow

69 After the user has chosen a site for hydropower potential analysis, and collected the data needed  
70 (head, flow that can be procured from ([USGS, 2025](#)) and, site details that are described in ([I.  
71 N. Laboratory, 2025](#))), they can use HydroGenerate in multiple ways. In the most common  
72 scenario, users will site data, input flow and head data to evaluate hydropower potential.  
73 However, they can also use the tool to evaluate site requirements for a desired amount of power  
74 that can lead them to understand site-development requirements. Flow data can be entered  
75 as a single number or a time series such as those available from the USGS. HydroGenerate  
76 can work with flow data collected at different temporal frequencies. In addition to flow and  
77 head data, there are 30 inputs available to the user e.g., turbine type, maintenance constraints,  
78 unit system, penstock length, allowing further customization in a project evaluation ([I. N.  
79 Laboratory, 2025](#)). Upon execution, HydroGenerate will create an object which contains design  
80 parameters, performance estimates, and additional data as its attributes. The workflow of  
81 HydroGenerate as displayed in Figure 1, can be divided into the following steps:

- 82     ■ Step 1 Input data processing: This step involves conversion of inputs to SI units when  
83         using US Customary Units. HydroGenerate performs all computations in SI units except  
84         for the cost models as these were developed in US Customary Units.
- 85     ■ Step 2 Design flow, head loss, turbine selection and turbine parameter estimation:
  - 86         – Step 2a Design flow estimation: Using the flow time-series data, HydroGenerate  
87             will build the flow-duration relationship from which users can select a desired design  
88             flow. If the user has no initial information on the design flow, HydroGenerate will  
89             use a default of 30 percentile of the flow duration relationship ([Alonso-Tristán et  
90             al., 2011](#)), ([Canada, 2025](#)), ([Gulliver & Arndt, 1991](#)). Following this, turbine flow  
91             constraints (with maximum flow being the design flow) and scheduled maintenance

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constraints are applied.

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- 94 – Step 2b Head loss calculation: HydroGenerate performs head loss calculations using
- 95 either the Darcy-Weisbach equation (default), or the Hazen-Williams equation (if
- 96 selected by the user) (Brown, 2019), (Brater et al., 1996). The required input for
- 97 this calculation is the penstock length. Users can also select penstock material,
- 98 with steel being the default. If the penstock diameter is not known, HydroGenerate
- 99 will calculate a diameter that will limit head losses to 10% (default) of the available

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- 101 – Step 2c Turbine selection: Using ‘Shapely’ (Gillies et al., 2025) (Gulliver & Arndt,
- 102 predefined polygons in (flow, head) coordinate system are created for different
- 103 turbine types, and the polygon centroids are precalculated within HydroGenerate.
- 104 The shapes that encompass the site-specific design-flow and head are determined
- 105 and the best turbine type is selected based on the minimum distance between the
- 106 defined point and the centroid of the overlapping shapes. HydroGenerate computes
- 107 potential for the most suitable turbine. However, for the user’s convenience
- 108 HydroGenerate also returns other suitable turbine types.

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- 111 – Step 2d Turbine parameters calculation: This step calculates the runner diameter,
- 112 specific speed of the turbine. These are then used to calculate the efficiency curve
- 113 using equations defined in (Canada, 2025).

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- 112 ▪ Step 3 Power and energy calculation: HydroGenerate calculates hydropower potential
- 113 using

$$P = \eta \times \gamma \times Q \times H$$

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where,  $P$  is the hydropower potential (watt),  $\eta$  is the overall system efficiency (dimensionless),  $\gamma$  is the specific weight of water ( $9,810 \text{ N/m}^3$ ),  $Q$  is the flow ( $\text{m}^3/\text{s}$ ), and  $H$  is the net hydraulic head ( $\text{m}$ ). For hydrokinetic applications, the hydropower potential is calculated using

$$P = 0.295 * \rho * A_b * V^3$$

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where,  $\rho$  is the density of water, in  $\text{Kg/m}^3$ ,  $A_b$  is the swept area of blades, in  $\text{m}^2$ , and  $V$  is the velocity of water, in  $\text{m/s}$ , 59% Betz limit of energy extraction (Betz, 2014). (Niebuhr et al., 2019) provides a review of existing hydrokinetic turbine types. The overall efficiency of the system is calculated as  $\eta = e_{\text{turb}} \times e_{\text{gen}}$ , where  $e_{\text{turb}}$  and  $e_{\text{gen}}$  are turbine and generator efficiencies.

- 122 ▪ Step 4 Economic calculations: HydroGenerate uses empirical equations to calculate the
- 123 capital and maintenance cost of the plant (O’Connor, 2015). The capital cost calculation
- 124 equations are separated based on type of plant. Revenue is calculated using an average
- 125 wholesale electricity market price (Administration, 2025).

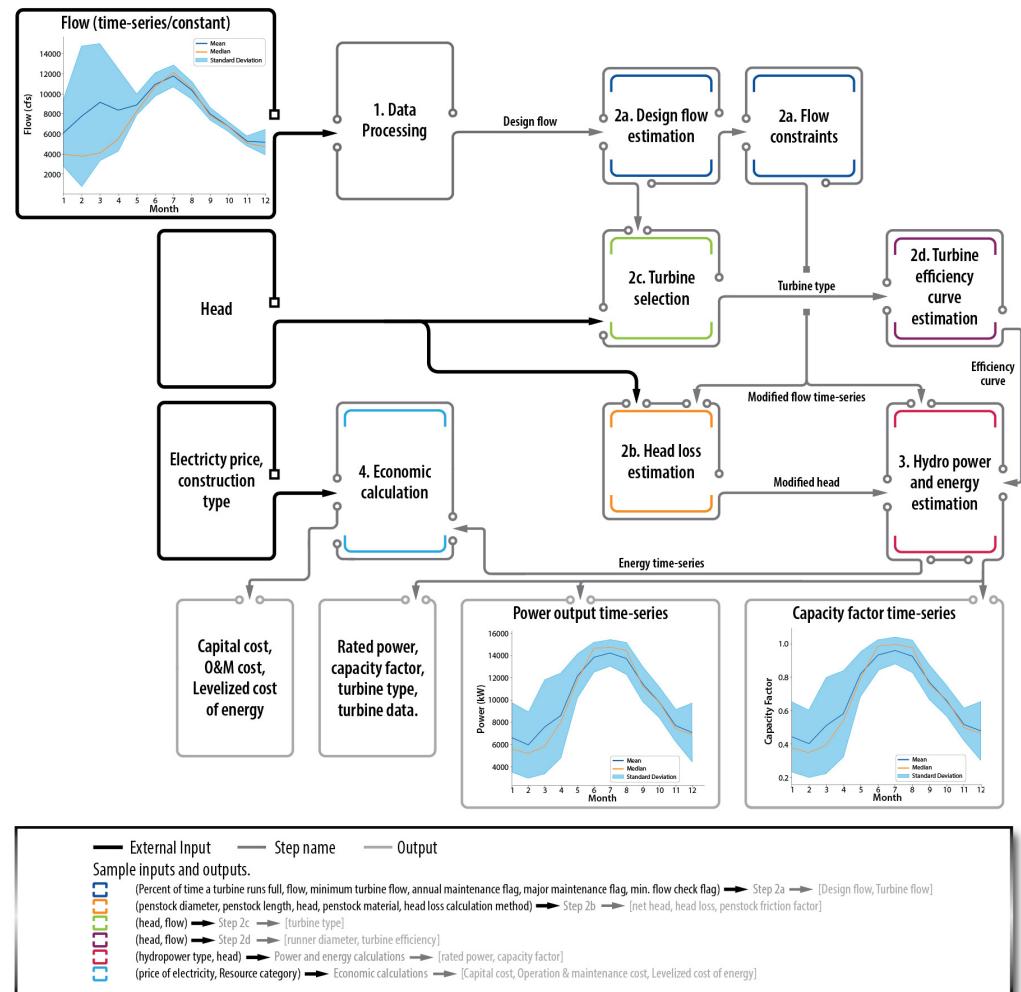


Figure 1: HydroGenerate workflow with sample inputs and outputs

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