

mmrefpoints: Projecting long-term marine mammal abundance with bycatch

Margaret C. Siple*1, André E. Punt², Tessa B. Francis³, Phillip S. Hammond⁴, Dennis Heinemann⁵, Kristy J. Long⁶, Jeffrey Moore⁶, Maritza Sepúlveda³, Randall R. Reeves⁶, Guðjón Már Sigurðsson¹⁰, Gisli Vikingsson¹⁰, Paul A. Wade¹¹, Rob Williams¹², and Alexandre N. Zerbini¹³

1 Resource Assessment and Conservation Engineering Division, Alaska Fisheries Science Center, National Oceanic and Atmospheric Administration, Seattle, WA, 98115, USA 2 School of Aquatic and Fishery Sciences, University of Washington, 1122 NE Boat St, Seattle, WA 98115 3 Puget Sound Institute, University of Washington Tacoma, 326 East D Street, Tacoma, WA 98421, USA 4 Sea Mammal Research Unit, Scottish Oceans Institute, University of St Andrews, Fife KY16 8LB, UK 5 U.S. Marine Mammal Commission, 4340 East-West Hwy, Rm 700, Bethesda, MD 20814, USA 6 Office of Protected Resources, NOAA's National Marine Fisheries Service, Silver Spring, MD 20910, USA 7 Protected Resources Division, NOAA SWFSC, La Jolla, CA 92037, USA 8 Facultad de Ciencias, Universidad de Valparaíso, Gran Bretaña 1111, Playa Ancha, Valparaíso, Chile 9 Okapi Wildlife Associates, Hudson, Quebec, Canada 10 Marine and Freshwater Research Institute, Skúlagata 4, 121, Reykjavík, Iceland 11 Marine Mammal Laboratory, NOAA AFSC, Seattle, WA, 98115-6349, USA 12 Oceans Initiative, 117 E. Louisa Street No. 135. Seattle, WA 98102, USA 13 Cascadia Research Collective, 218 ½ 4th Ave W, Olympia, WA, 98501, USA 14 Marine Ecology and Telemetry Research, 2468 Camp McKenzie Tr NW, Seabeck, WA, 98380, USA

DOI: 10.21105/joss.03888

Software

■ Review 🗗

■ Repository 🗗

■ Archive ♂

Editor: Frederick Boehm ♂
Reviewers:

@ha0ye

@tbrown122387

Submitted: 02 November 2021 **Published:** 11 March 2022

License

Authors of papers retain copyright and release the work under a Creative Commons Attribution 4.0 International License (CC BY 4.0).

Statement of Need

Fisheries bycatch is one of the top threats to marine mammals worldwide. Data on bycatch and marine mammal abundance are required to make management decisions, yet many marine mammal populations exist in locations where these data are sparse. Population models offer a way to explore the long-term impacts of different bycatch scenarios even when data are sparse or absent. Our modeling tool, mmrefpoints, uses very basic information about marine mammal life history, estimates of abundance and bycatch, and population models to project long-term (e.g., 20-100 yr) outcomes of different bycatch rates. These long-term outcomes are the basis of several reference points used for marine mammal management including the Potential Biological Removal (PBR) approach (Wade, 1998). The goal is to make complex population models accessible to managers and stakeholders who need them, and to students who are learning about risk-based approaches to managing marine mammal populations.

Summary

This tool provides a way for managers and other stakeholders to explore bycatch scenarios, based on simple information about marine mammal life history and rough estimates of abundance and bycatch. The tool consists of an R package (R Core Team, 2021) and a Shiny application (Chang et al., 2021). The primary machinery in the package is an age-structured population dynamics model, which is used to model future population size based on the current population size, life history traits, and bycatch rates. The package also contains tools for calculating performance metrics, as well as the U.S. reference point for bycatch (Potential Biological Removal or PBR) and a solver that estimates the maximum bycatch rate that will meet management objectives. For users who would prefer to see outputs in an interactive user

**



interface, there is a Shiny app called the Marine Mammal Bycatch Impacts Exploration Tool (MMBIET) that shows projections, explains and calculates reference points, and creates a report summarizing inputs and outputs. The app is hosted publicly on the web via the shinyapps.io server, or it can be run locally by installing the package and running the run_app() function in the R or RStudio console:

remotes::install_github("mcsiple/mmrefpoints")
library(mmrefpoints)
run_app()

Population model

The population model is a simplified version of the model described by Breiwick et al. (1984) and Punt (1999). The model is a single-sex, age-structured population model. The number of calves or pups born each year is density-dependent, based on the number of mature adults, the pregnancy rate at pre-exploitation equilibrium, maximum theoretical fecundity rate, degree of compensation, and the total abundance relative to carrying capacity K. User inputs determine the parameters for calf survival, adult survival, age at maturity, and plus group age. Default parameters are based on those used by Punt et al. (2018), with additional default parameters for other species drawn from literature values (Arso Civil et al., 2019; Ólafsdóttir et al., 2003; Speakman et al., 2010). Because of the variation in pinniped life history parameters, parameter estimates for several pinniped species are provided within the Shiny app. These life history parameters are taken from Butterworth et al. (1995), DeLong et al. (2017), Dillingham et al. (2016), Hastings et al. (2012), and J. E. Moore (2019).

The full model description including equations is contained in the "Model description" vignette and the "About the Model" tab of the app.

Intended use

mmrefpoints and the MMBIET app are intended to be used for the following:

- Exploring outcomes for bycatch rates in populations with little or no information
- Calculating reference points like PBR to estimate maximum allowable bycatch for marine mammal populations

The MMBIET app is not intended for the following:

- Permitting specific management actions regarding bycatch. We refer users to Hammond et al. (2021), Jeffrey E. Moore et al. (2021), and Wade et al. (2021) for guidance on developing a management program for marine mammal bycatch including monitoring.
- Calculating PBR for marine mammal stocks that already have a stock assessment. If reference points have already been calculated for the stock, those should be used.
- Fitting population models to data (we direct readers to other tools like rSPAMM for this type of need)

Acknowledgements

The authors would like to thank several pilot testers for reviewing a beta version of the MMBIET Shiny app, and Christine Stawitz and Jay Barlow for reviewing an earlier version of the mmrefpoints R package and the app.

Ongoing projects using MMBIET

At the time of this submission, three papers have cited mmrefpoints and/or MMBIET:

Hammond, P. S., Francis, T. B., Heinemann, D., Long, K. J., Moore, J. E., Punt, A. E., Reeves, R. R., Sepúlveda, M., Sigurðsson, G. M., Siple, M. C., Víkingsson, G., Wade, P. R., Williams,



- R., & Zerbini, A. N. (2021). Estimating the Abundance of Marine Mammal Populations. Frontiers in Marine Science, 8, 1316. https://doi.org/10.3389/fmars.2021.735770
- Moore, J. E., Heinemann, D., Francis, T. B., Hammond, P. S., Long, K. J., Punt, A. E., Reeves, R. R., Sepúlveda, M., Sigurðsson, G. M., Siple, M. C., Víkingsson, G. A., Wade, P. R., Williams, R., & Zerbini, A. N. (2021). Estimating Bycatch Mortality for Marine Mammals: Concepts and Best Practices. Frontiers in Marine Science, 8, 1793. https://doi.org/10.3389/fmars.2021.752356
- Wade, P. R., Long, K. J., Francis, T. B., Punt, A. E., Hammond, P. S., Heinemann, D., Moore, J. E., Reeves, R. R., Sepúlveda, M., Sullaway, G., Sigurðsson, G. M., Siple, M. C., Víkingsson, G. A., Williams, R., & Zerbini, A. N. (2021). Best Practices for Assessing and Managing Bycatch of Marine Mammals. Frontiers in Marine Science, 8, 1566. https://doi.org/10.3389/fmars.2021.757330

Financial support

Support for this project is provided by the Ocean Modeling Forum and the Lenfest Ocean Program. M. Siple was supported by the Ocean Modeling Forum and a James S. McDonnell Postdoctoral Fellowship.

References

- Arso Civil, M., Cheney, B., Quick, N. J., Islas-Villanueva, V., Graves, J. A., Janik, V. M., Thompson, P. M., & Hammond, P. S. (2019). Variations in age- and sex-specific survival rates help explain population trend in a discrete marine mammal population. *Ecology and Evolution*, *9*(1), 533–544. https://doi.org/10.1002/ece3.4772
- Breiwick, J. M., Eberhardt, L. L., & Braham, H. W. (1984). Population Dynamics of Western Arctic Bowhead Whales (*Balaena mysticetus*). *Canadian Journal of Fisheries and Aquatic Sciences*, 41(3), 484–496. https://doi.org/10.1139/f84-058
- Butterworth, D. S., Punt, A. E., Oosthuizen, W. H., & Wickens, P. A. (1995). The effects of future consumption by the Cape fur seal on catches and catch rates of the Cape hakes. 3. Modelling the dynamics of the Cape fur seal Arctocephalus pusillus pusillus. *South African Journal of Marine Science*, 16(1), 161–183. https://doi.org/10.2989/025776195784156511
- Chang, W., Cheng, J., Allaire, J., Sievert, C., Schloerke, B., Xie, Y., Allen, J., McPherson, J., Dipert, A., & Borges, B. (2021). *Shiny: Web application framework for R.* https://CRAN.R-project.org/package=shiny
- DeLong, R. L., Melin, S. R., Laake, J. L., Morris, P., Orr, A. J., & Harris, J. D. (2017). Age-and sex-specific survival of California sea lions (Zalophus californianus) at San Miguel Island, California. *Marine Mammal Science*, *33*(4), 1097–1125. https://doi.org/10.1111/mms.12427
- Dillingham, P. W., Moore, J. E., Fletcher, D., Cortés, E., Curtis, K. A., James, K. C., & Lewison, R. L. (2016). Improved estimation of intrinsic growth rmax for long-lived species: Integrating matrix models and allometry. *Ecological Applications*, 26(1), 322–333. https://doi.org/10.1890/14-1990
- Hammond, P. S., Francis, T. B., Heinemann, D., Long, K. J., Moore, J. E., Punt, A. E., Reeves, R. R., Sepúlveda, M., Sigurðsson, G. M., Siple, M. C., Víkingsson, G., Wade, P. R., Williams, R., & Zerbini, A. N. (2021). Estimating the Abundance of Marine Mammal Populations. *Frontiers in Marine Science*, 8, 1316. https://doi.org/10.3389/fmars.2021.735770
- Hastings, K. K., Small, R. J., & Pendleton, G. W. (2012). Sex- and age-specific survival of harbor seals (Phoca vitulina) from Tugidak Island, Alaska. *Journal of Mammalogy*, *93*(5), 1368–1379. https://doi.org/10.1644/11-MAMM-A-291.1



- Moore, J. E. (2019). Unpublished estimates following the methods of Dillingham et al. 2016.
- Moore, Jeffrey E., Heinemann, D., Francis, T. B., Hammond, P. S., Long, K. J., Punt, A. E., Reeves, R. R., Sepúlveda, M., Sigurðsson, G. M., Siple, M. C., Víkingsson, G. A., Wade, P. R., Williams, R., & Zerbini, A. N. (2021). Estimating Bycatch Mortality for Marine Mammals: Concepts and Best Practices. *Frontiers in Marine Science*, 8, 1793. https://doi.org/10.3389/fmars.2021.752356
- Ólafsdóttir, D., Víkingsson, G. A., Halldórsson, S. D., & Sigurjónsson, J. (2003). Growth and reproduction in harbour porpoises (*Phocoena phocoena*) in Icelandic waters. *NAMMCO Scientific Publications*, *5*, 195–210. https://doi.org/10.7557/3.2747
- Punt, A. E. (1999). Annex R: A full description of the standard Baleen II model and some variants thereof. Division of Marine Research, CSIRO Marine Laboratories. https://duwamish.lib.washington.edu/uwnetid/illiad.dll?Action=10&Form=75&Value=1651729
- Punt, A. E., Moreno, P., Brandon, J. R., & Mathews, M. A. (2018). Conserving and recovering vulnerable marine species: A comprehensive evaluation of the US approach for marine mammals. *ICES Journal of Marine Science*, 75(5), 1813–1831. https://doi.org/10.1093/icesjms/fsy049
- R Core Team. (2021). *R: A language and environment for statistical computing*. R Foundation for Statistical Computing. https://www.R-project.org/
- Speakman, T. R., Lane, S. M., Schwacke, L. H., Fair, P. A., & Zolman, E. S. (2010). Mark-recapture estimates of seasonal abundance and survivorship for bottlenose dolphins (Tursiops truncatus) near Charleston, South Carolina, USA. 11.
- Wade, P. R. (1998). Calculating Limits to the Allowable Human-Caused Mortality of Cetaceans and Pinnipeds. *Marine Mammal Science*, *14*(1), 1–37. https://doi.org/10.1111/j.1748-7692.1998.tb00688.x
- Wade, P. R., Long, K. J., Francis, T. B., Punt, A. E., Hammond, P. S., Heinemann, D., Moore, J. E., Reeves, R. R., Sepúlveda, M., Sullaway, G., Sigurðsson, G. M., Siple, M. C., Víkingsson, G. A., Williams, R., & Zerbini, A. N. (2021). Best Practices for Assessing and Managing Bycatch of Marine Mammals. Frontiers in Marine Science, 8, 1566. https://doi.org/10.3389/fmars.2021.757330