

**Deep learning of elastic 3D shapes for cryo electron microscopy analysis of  
continuous conformational changes of biomolecules**

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# Deep learning of elastic 3D shapes for cryo electron microscopy analysis of continuous conformational changes of biomolecules

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**Abstract**—Cryo electron microscopy (cryo-EM) allows high-resolution 3D reconstruction of biomolecular structures from highly noisy 2D parallel-beam projection images containing tens of thousands of copies of the same macromolecular complex but at different random orientations and positions. However, biomolecular complexes are not rigid but flexible entities that change their conformations gradually (continuous transition with many intermediate states) to accomplish biological functions (e.g., DNA replication, protein synthesis, etc.). The determination of the full distribution of conformations (conformational space or landscape) from cryo-EM images is challenging but could provide insights into working mechanisms of the complexes. In this paper, we present a method for conformational space determination, which uses deep learning in combination with cryo-EM image analysis and normal mode analysis (molecular mechanics simulation), where the amplitudes of normal modes are used as parameters of the elastic 3D shapes of complexes (the parameters determining the conformation). We show the performance of this new method using synthetic cryo-EM data.

**Index Terms**—Deep Learning, Cryo-EM, Elastic 3D-to-2D alignment, Normal Modes, Molecular Dynamics

analysis is suited to discrete conformational changes (e.g., two-state heterogeneity of binding and unbinding of a complex with another molecule) but, generally, it is suboptimal. Indeed, biomolecular complexes generally adopt gradual transitions with a large unknown number of intermediate conformational states (continuous conformational changes), which yields a particularly challenging type of heterogeneity [3].

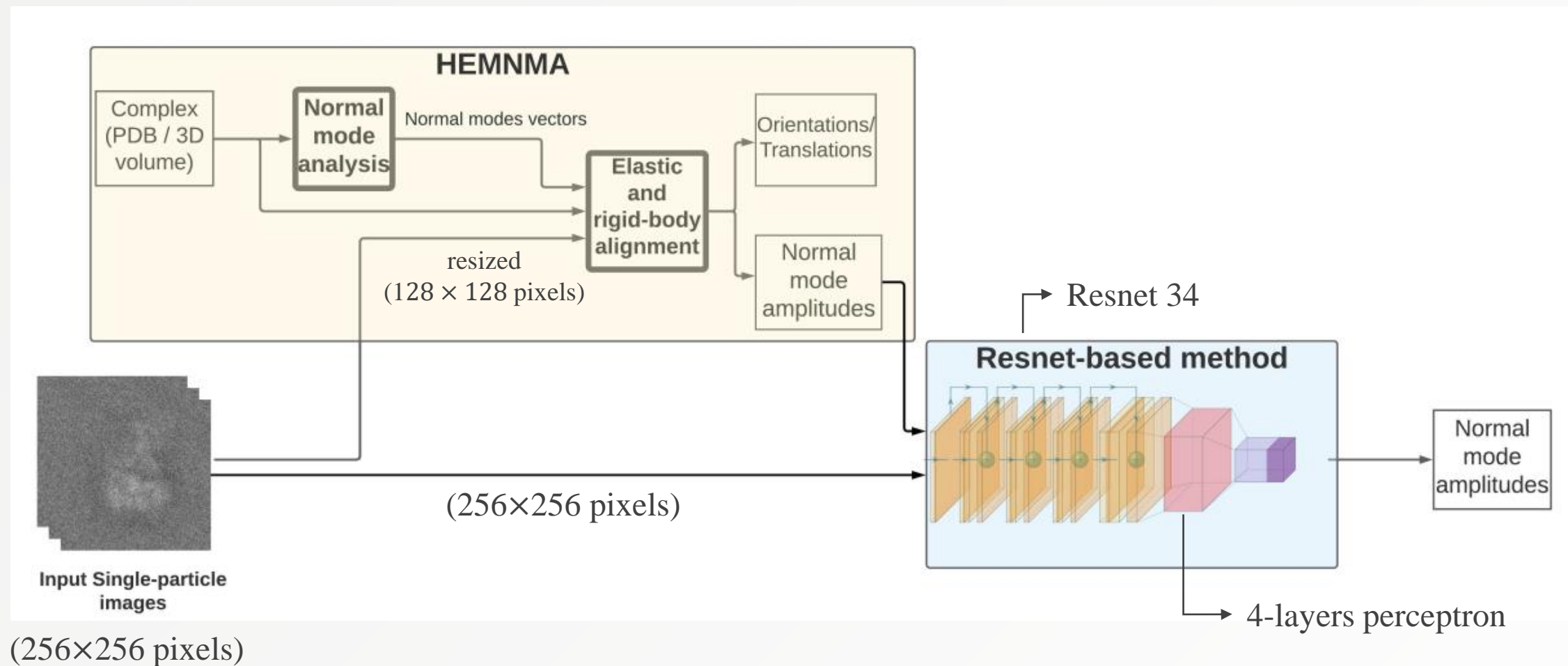
The majority of the existing methods are based on classification into a small number of discrete classes, which is often defined using a prior knowledge about the number of expected conformations [4], [5]. The other group of methods deal with continuous conformational heterogeneity by determining the full conformational distribution (also called conformational space, conformational landscape, or conformational manifold) [6], [7], [8], based on which groups of images with similar conformations are made and 3D reconstructions from these groups computed. The development of methods for continuous conformational heterogeneity analysis is currently an active

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## P u r p o s e

1. To know more about working mechanisms of the molecules.
2. Deal with the disadvantage that discard images while doing classification.
3. To speed up determination of conformation.

# Procedure



# Normal Modes Analysis

→ A fast and simple method to calculate vibrational modes and protein flexibility.

Potential energy equation

$$V(q) = V(q^0) + \left(\frac{\partial V}{\partial q_i}\right)^0 \eta_i + \frac{1}{2} \left(\frac{\partial^2 V}{\partial q_i \partial q_j}\right) \eta_i \eta_j + \dots$$

→ Minimum energy conformation (coordinates)  
→  $\eta_i = q_i - q_i^0$   
→ Hessian matrix

$$= \frac{1}{2} \left(\frac{\partial^2 V}{\partial q_i \partial q_j}\right) \eta_i \eta_j = \frac{1}{2} \eta_i V_{ij} \eta_j$$

Kinetic energy

$$T(q) = \frac{1}{2} M \frac{d^2 \eta_i}{dt^2}$$

→ Matrix of mass of each particle

Entire equation of motion

$$\frac{1}{2} M \frac{d^2 \eta_i}{dt^2} + \frac{1}{2} \eta_i V_{ij} \eta_j = 0$$

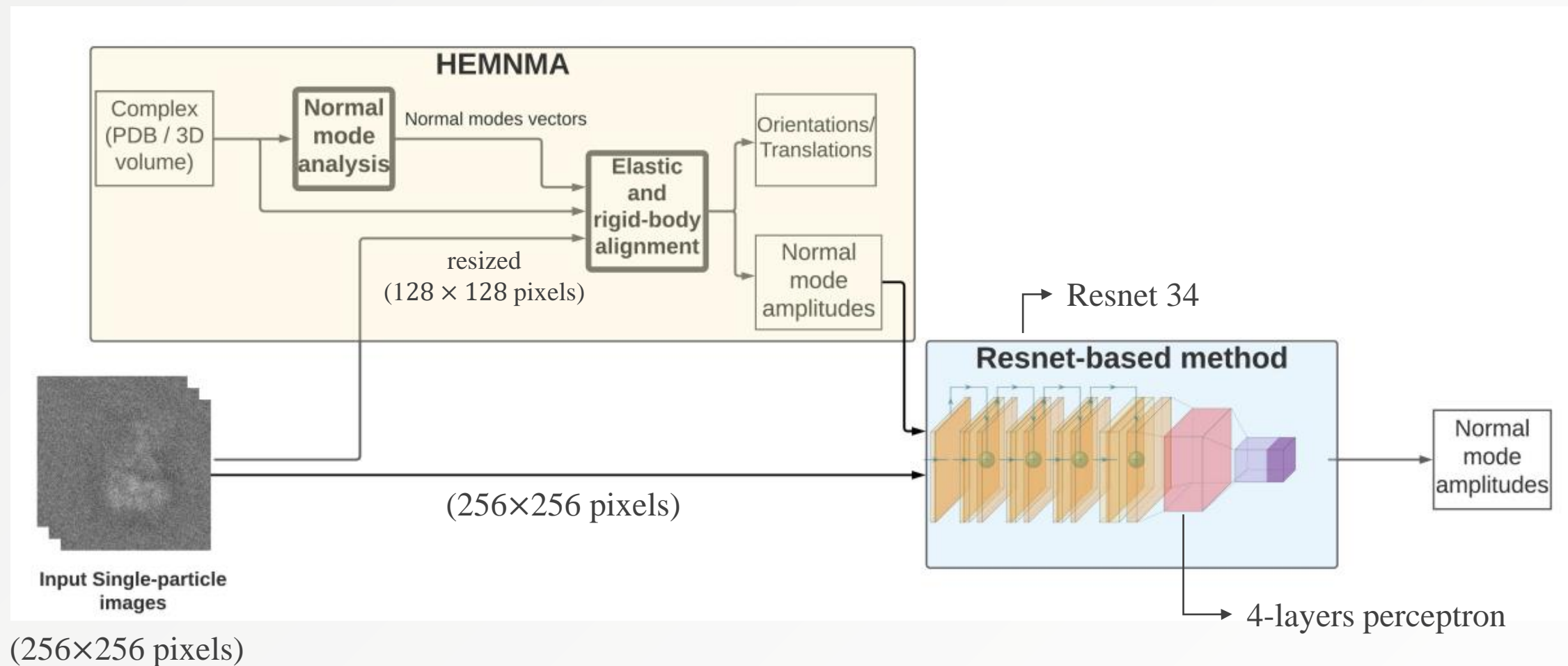
$$\eta_i = a_{ik} \cos(\omega_k t + \delta_k)$$

→ Amplitude of oscillation  
→ frequency  
→ Phase factor

$$VA = \lambda A$$

→ Eigenvalues  
→ Eigenvectors of Hessian matrix V

# Procedure



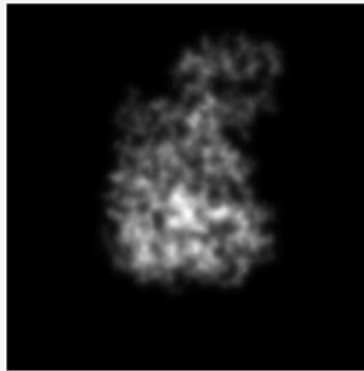
# Experiment

## Atomic model

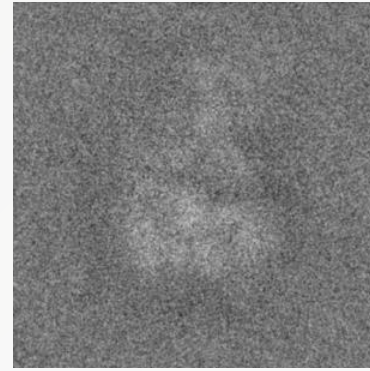
Adenylate Kinase chain A (AK)  
PDB : 4AKE



## Synthetic image



Ideal image



SNR = 0.1  
Defocus :  $-0.5 \mu m$

Calculate normal modes of structure



Displace modes with random amplitudes  
( $q_7 - q_9$ )



Convert into density map



Project onto image plane at random orientation

$$q_7(r) = -200 \cdot r$$

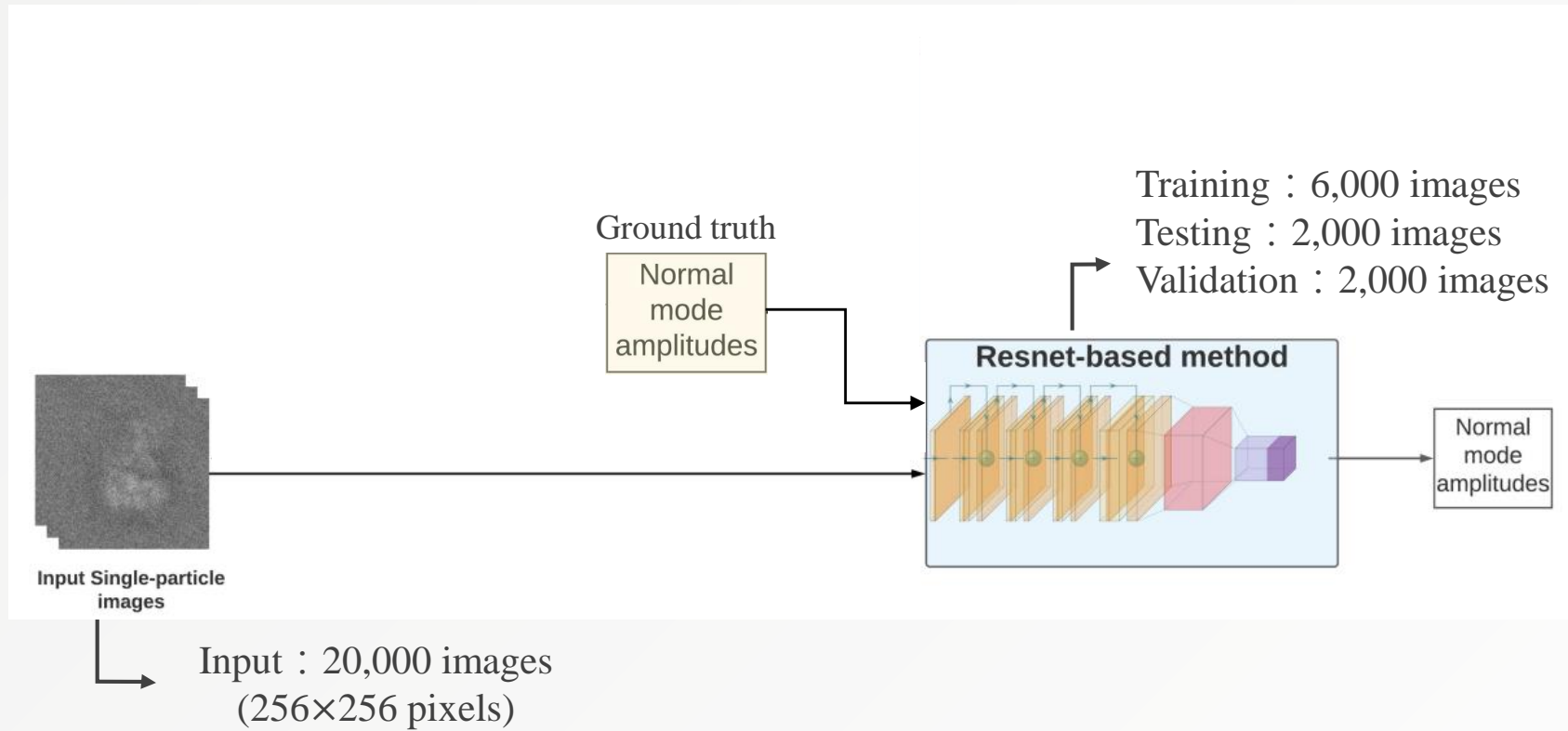
$$q_8(r) = 200 \cdot \sin(\pi r)$$

$$q_9(r) = 200 \cdot \cos(\pi r)$$

random variable  $r, r \in [0,1]$



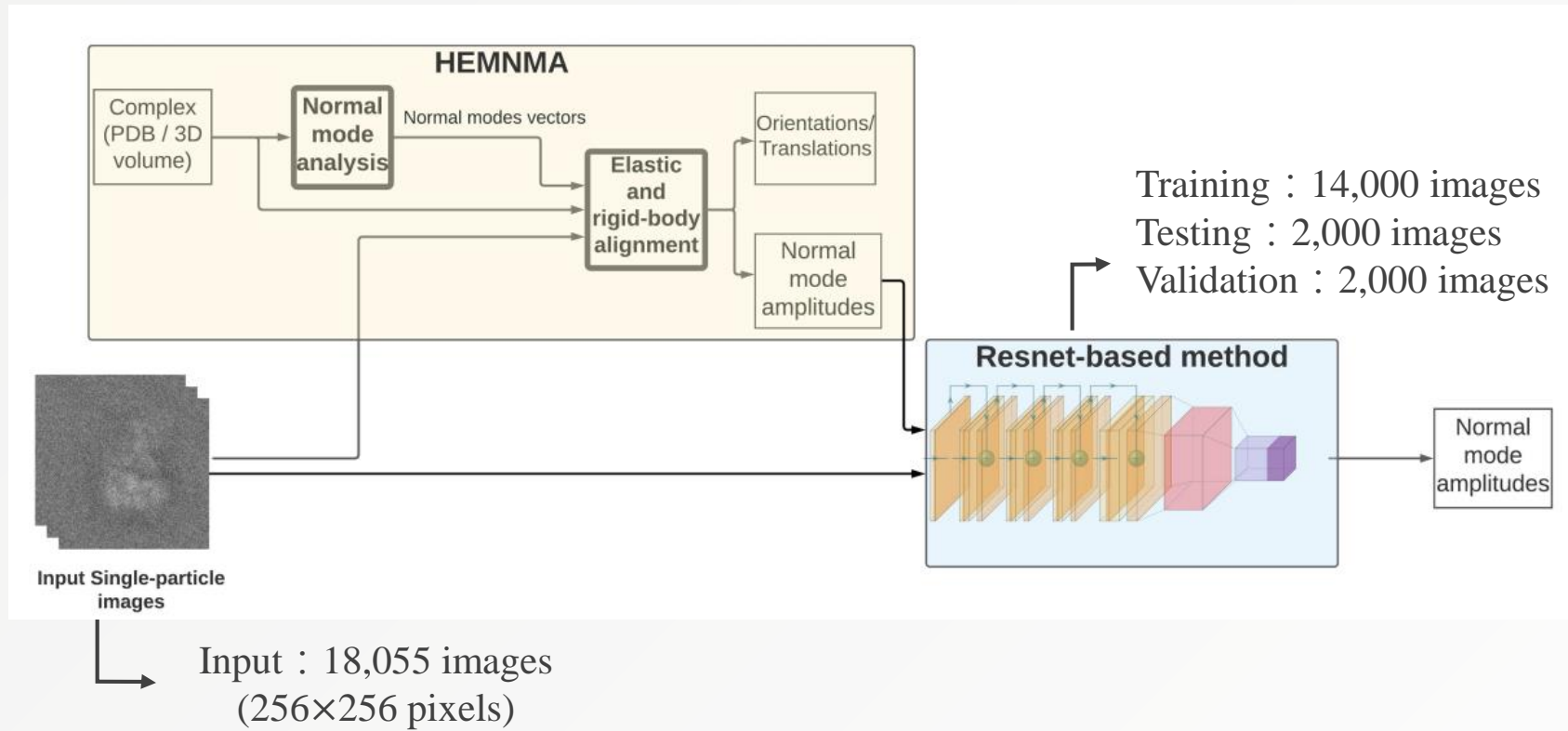
# Experiment I



# R e s u l t I

Cases	Noise	Defocus	Global error	$q_7$ error	$q_8$ error	$q_9$ error
No in-plane rotation, no shift	No	No	2.32	$1.49 \pm 2.06$	$3.10 \pm 4.49$	$2.35 \pm 2.90$
No in-plane rotation, no shift	SNR 0.1	-0.5 $\mu\text{m}$	5.79	$3.62 \pm 4.91$	$7.27 \pm 10.69$	$6.49 \pm 9.09$
No in-plane rotation, random shift	No	No	4.83	$3.02 \pm 4.55$	$6.14 \pm 10.11$	$5.32 \pm 8.61$
No in-plane rotation, random shift	SNR 0.1	-0.5 $\mu\text{m}$	7.95	$4.90 \pm 6.72$	$9.85 \pm 14.72$	$9.10 \pm 13.32$
Random in-plane rotation, no shift	No	No	16.86	$10.32 \pm 15.38$	$19.53 \pm 29.60$	$20.74 \pm 33.43$
Random in-plane rotation, no shift	SNR 0.1	-0.5 $\mu\text{m}$	19.62	$12.00 \pm 17.64$	$22.32 \pm 31.95$	$24.53 \pm 39.03$
Random in-plane rotation and shift	No	No	23.51	$14.67 \pm 21.14$	$24.44 \pm 34.00$	$31.42 \pm 49.10$
Random in-plane rotation and shift	SNR 0.1	-0.5 $\mu\text{m}$	27.60	$17.14 \pm 23.65$	$29.12 \pm 39.42$	$36.55 \pm 54.46$

# Experiment II

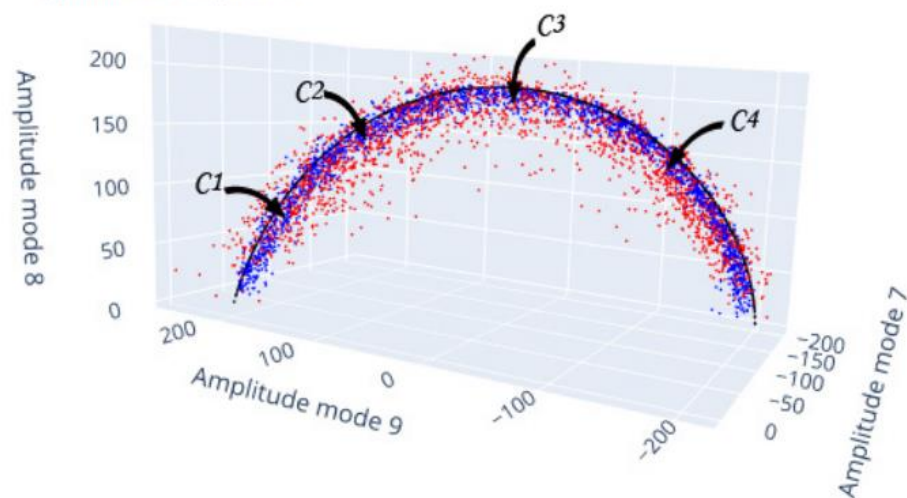


# R e s u l t I I

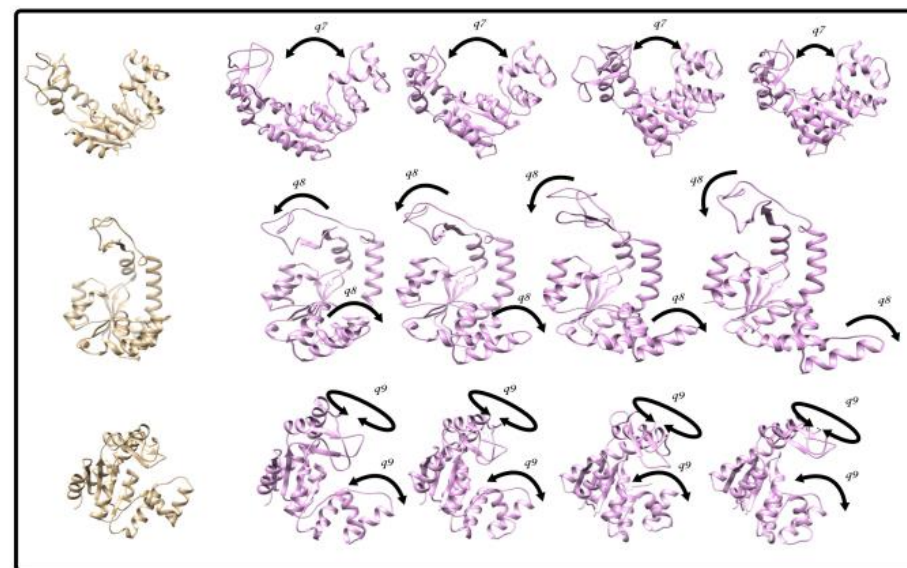
ERRORS	GLOBAL	$q_7$	$q_8$	$q_9$
INFERRED vs. HEMNMA-ESTIMATED	19.03	$12.35 \pm 16.47$	$19.58 \pm 26.15$	$25.16 \pm 34.60$
INFERRED vs. GROUND-TRUTH	20.22	$12.61 \pm 16.84$	$20.94 \pm 27.37$	$27.13 \pm 36.82$
HEMNMA-ESTIMATED vs. GROUND-TRUTH	6.58	$5.75 \pm 8.42$	$6.23 \pm 7.20$	$7.76 \pm 7.23$

→ Average atomic-coordinate error : 0.9 Å

- HEMNMA estimated amplitudes
- Neural network prediction
- ground truth amplitudes



Normal mode C1 C2 C3 C4



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## C o n c l u s i o n

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1. A method combines neural network and HEMNMA
2. In future, this method can be used to obtain 3D reconstruction from images  
corresponding to similar conformations.