

Lecture 5 Geometric Imaging

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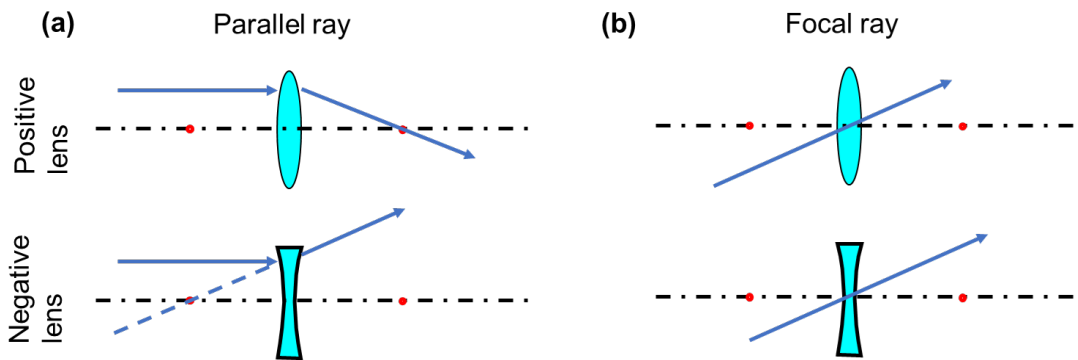
1. Ideal lens imaging

1.1 Ray tracing for ideal lens

In the case of an ideal lens, tracing two special types of rays as they pass through the lens can help us trace any ray. These two types of rays are:

Parallel ray, starts from a point on the object that parallel to the optical axis. The refracted ray or its extension intersects with the focal point.

Focal ray, starts at the same point on the object and travels through the center of the lens, then continues on the other side of the lens parallel to the principal axis.



ILL. 1.1 Ray tracing for ideal lens

1.2 Newton's imaging equation

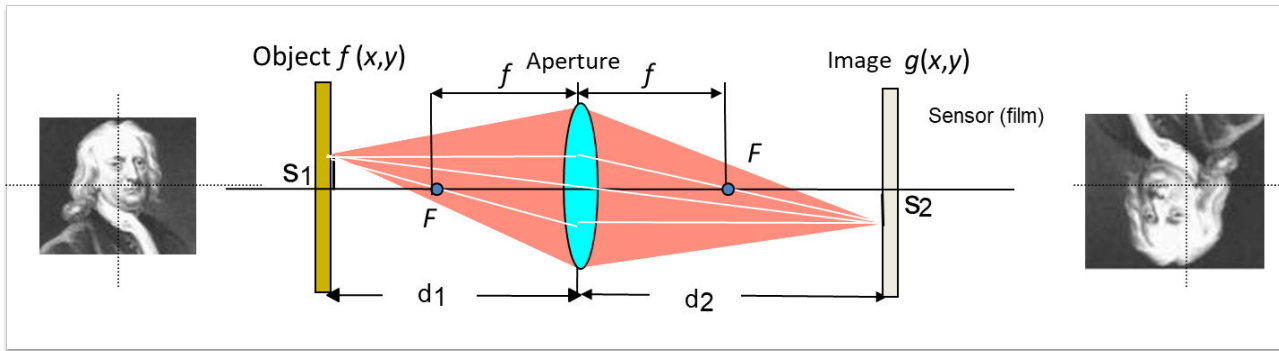
Imaging Equation

$$\frac{1}{d_1} + \frac{1}{d_2} = \frac{1}{f}$$

f is the focal length. If $f > 0$ the lens is convex (positive lens) and if $f < 0$ the lens is concave (negative)

d_1 is the distance from the lens to the focal plane; $d_1 > 0$ if the object is on the left of the optical axis.

d_2 is the distance from the lens to the image plane; $d_2 > 0$ if the image is on the right of the optical axis.



ILL. 1.2 Newton's equation for geometrics imaging

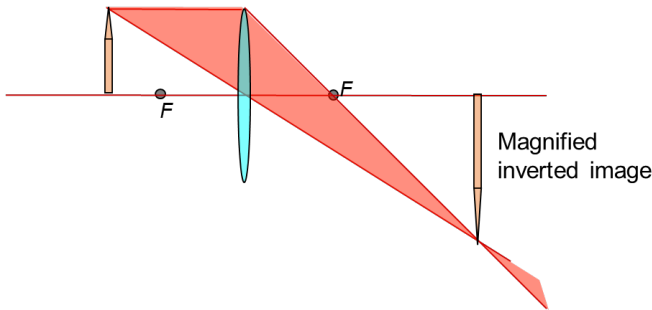
1.3 Magnification

$$\text{Magnification, } M = -\frac{S_2}{S_1} = -\frac{d_2}{d_1}$$

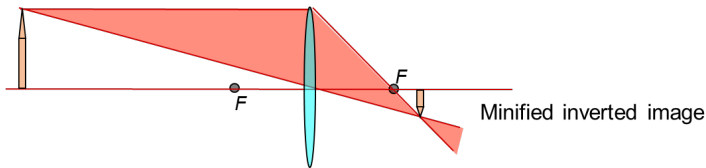
$M < -1$, image size is larger than the object size (magnification). The object distance d_1 satisfies $f < d_1 < 2f$.

$-1 \leq M < 0$, image size is smaller than the object size (minification). The object distance d_1 satisfies $d_1 > 2f$.

A perfectly focused system introduces only a geometric transformation (magnification/minification)



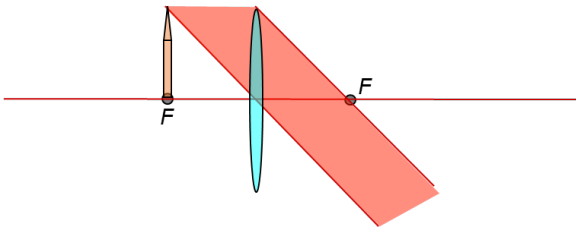
ILL. 1.3 Magnified inverted image



ILL 1.4 Minified inverted image

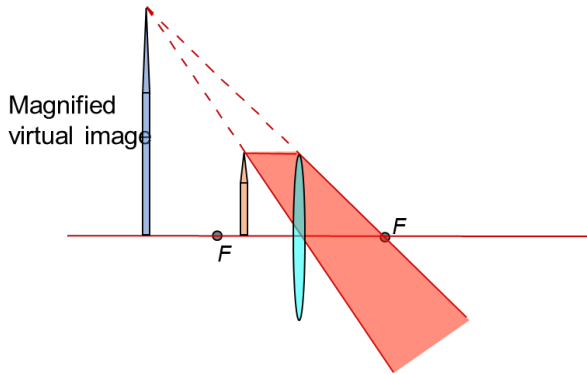
1.4 Virtual image

$M = +\infty$, when object reaches the focal plane, $d_1 = f$, the image is at infinity.



ILL. 1.5 Image forming at infinity

$M > 1$, image size is larger than the object size (magnification). The object distance d_1 satisfies $0 < d_1 < f$. The image distance $d_2 < 0$.



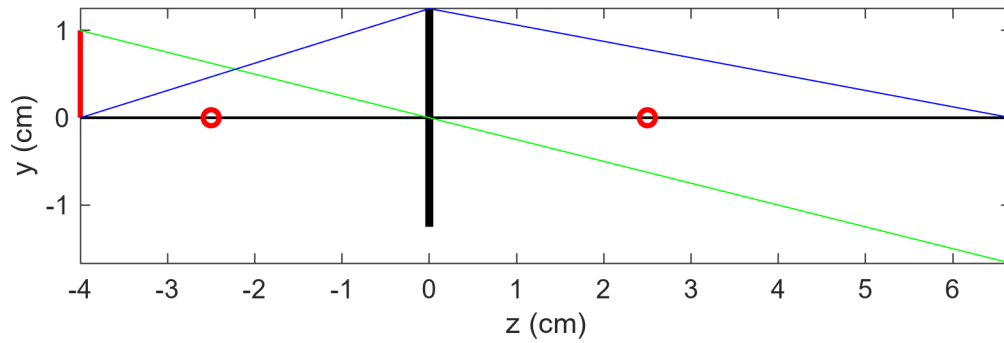
ILL. 1.6 Magnified virtual image

Example 1.1

Demonstration of Newton's imaging equation.

```
% unit cm
f = 2.5; % positive lens
aper = 1.25; % radius of the aperture
d1 = 4;
s1 = 1; % height of the object
d2 = d1*f/(d1-f);
mag = -d2/d1;
s2 = mag*s1; % height of the image

% plot the geometric imaging schematics
figure()
geo_plot1(d1,s1,d2,s2,f,aper)
set(gcf,'Position', [100 100 600 300])
```



Notes:

1. The source code for `geo_plot1` is attached in the [Appendix](#).
2. The green line represents the chief ray and the blue line is the marginal ray. Dashed line indicates back extension of a ray

2. Defocused geometric system

2.1 Geometrical defocusing

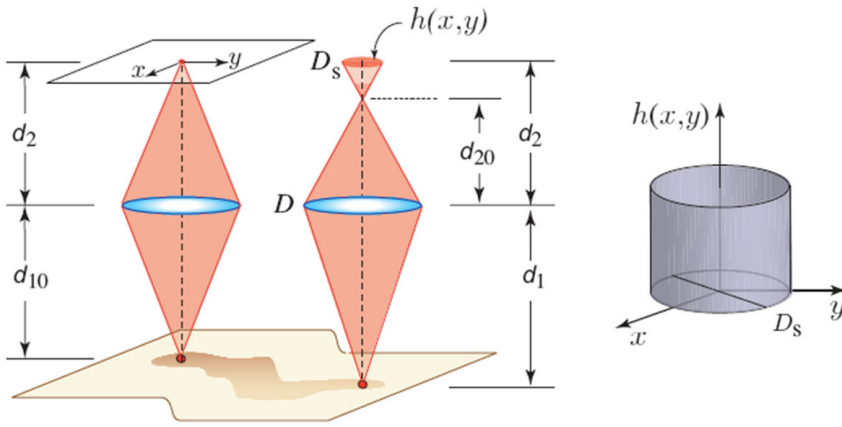
Let's assume that the original object distance is d_{10} and the image distance is d_2 .

If we introduce defocusing on the object side, the new object distance becomes d_1 .

The new image distance is d_{20} . The blurring will be observed on the original image plane, at distance d_2 .

The light cone can be observed with its apex at d_{20} and the base plane located at d_2 . The blurring is a circle.

The point spread function is therefore a cylindrical function with diameter D_s . D_s is not only determined by the amount of defocusing, but also by the aperture of the lens D .



ILL 2.1 Defocusing in geometrics optics regime

To derive the defocusing PSF diameter D_s , we notice the geometric relation between D_s and the aperture of the lens D .

$$D_s = \frac{d_2 - d_{20}}{d_{20}} D$$

We also know from Newton's equation that an object located at d_1 forms an image d_{20} .

$$\frac{1}{d_{20}} = \frac{1}{f} - \frac{1}{d_1}$$

If we subtract $\frac{1}{d_2}$ on both sides of the equation, we get

$$\begin{aligned} \frac{1}{d_{20}} - \frac{1}{d_2} &= \frac{d_2 - d_{20}}{d_{20}d_2} \\ &= \frac{1}{f} - \frac{1}{d_1} - \frac{1}{d_2} \end{aligned}$$

If we define $\frac{1}{d_e} = \frac{1}{f} - \frac{1}{d_1} - \frac{1}{d_2}$, then $\frac{d_2 - d_{20}}{d_{20}} = \frac{d_2}{d_e}$

$$D_s = \frac{d_e}{d_2} D$$

Example 2.1

Calculate the defocus diameter.

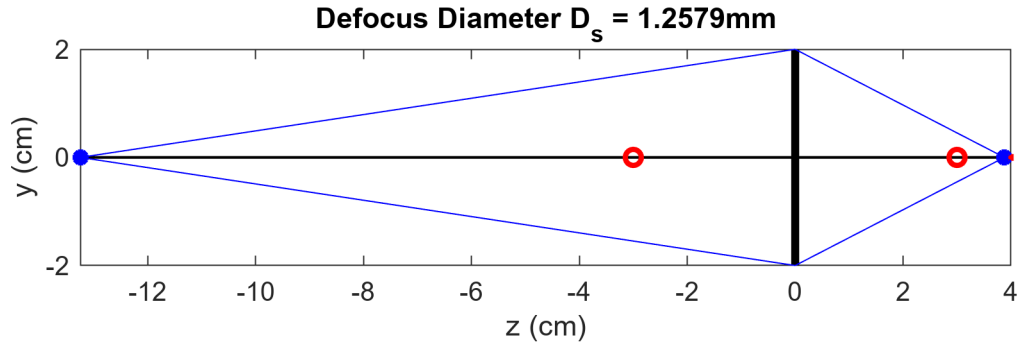
```
%unit cm
f = 3; % positive lens
aper = 2; % radius of the aperture
d1 = 13.25; % object distance
d2 = 4; % original object distance
d20 = f*d1/(d1-f); % new object distance
```

```

inv_de = 1/f -1/d1-1/d2;
rs = inv_de*d2*aper;
Ds = abs(rs*2*10);

% plot the geometric imaging schematics
figure()
geo_plot2(f,aper,d1,d2,d20,rs,Ds)
set(gcf,'Position', [100 100 600 300])

```



Notes:

1. The source code for `geo_plot2` is attached in the [Appendix](#).

2.2 Optical transfer function for geometrical defocused system

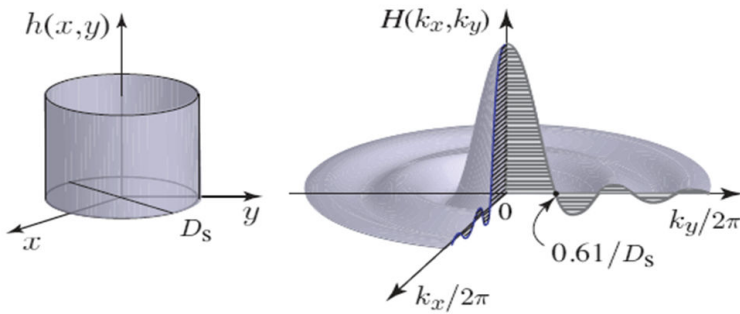
The PSF of a defocused ideal lens system is a cylindrical function with diameter D_s . The cylinder function is defined as:

$$h(x, y) = \begin{cases} 1, & x^2 + y^2 \leq \frac{D_s^2}{4} \\ 0, & x^2 + y^2 > \frac{D_s^2}{4} \end{cases}$$

The optical transfer function (OTF) of a defocused imaging system is Sombrero function.

$$H\left(k = \sqrt{k_x^2 + k_y^2}\right) = \frac{\pi D_s^2}{2} \cdot \frac{J_1\left(\frac{k D_s}{2}\right)}{\left(\frac{k D_s}{2}\right)}$$

J_s is a Bessel function of the first kind, with order 1.



ILL 2.2 PSF and OTF of defocused ideal lens system

Example 2.2

Fourier transform of cylinder function.

```
n=256;
x = linspace(-5,5,n); % unit mm.
dx = x(2)-x(1);
y = linspace(-5,5,n);
[X,Y]=ndgrid(x,y);

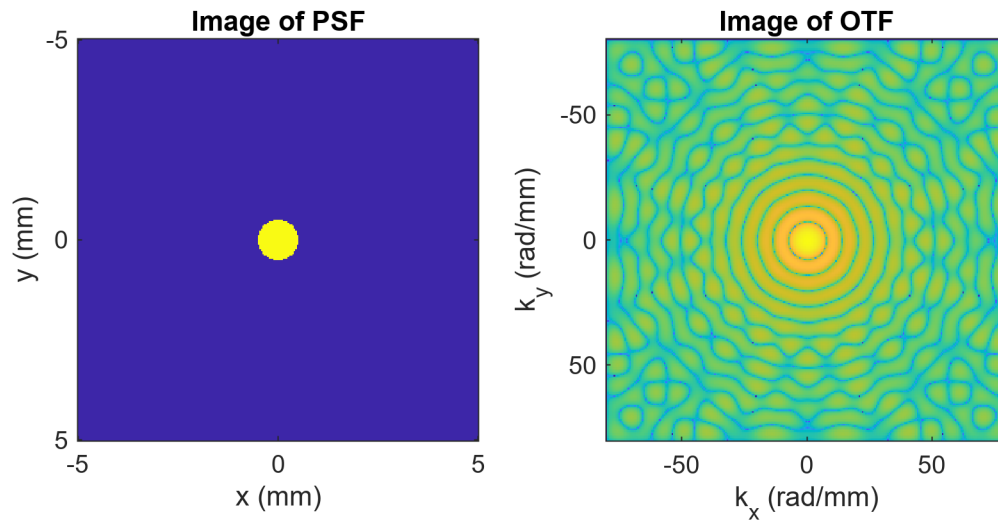
Ds = 1; % PSF diameter
h = zeros(n);
h(X.^2+Y.^2<(Ds/2)^2)=1;

h_hat=fftshift(fft2(h)); % optical transfer function
k=pi/dx*linspace(-1,1,n); % wavenumber unit rad/mm

% Numerical
figure()
%PSF
subplot(121)
imagesc(x,y,h)
axis image;
title('Image of PSF')
xlabel('x (mm)')
ylabel('y (mm)')

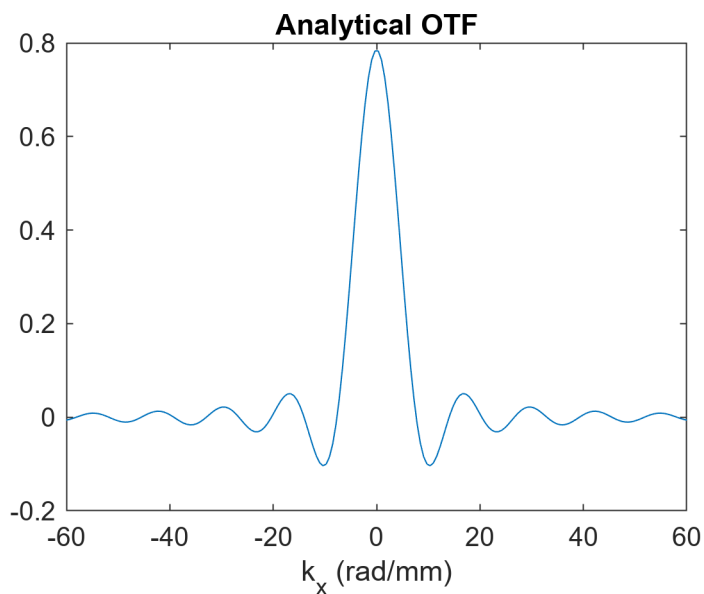
% OTF
subplot(122)
imagesc(k, k, log(abs(h_hat)))
axis image
title('Image of OTF')
xlabel('k_x (rad/mm)')
ylabel('k_y (rad/mm)')

set(gcf, 'Position', [100 100 600 300])
```



```
% analytical OTF
figure()
H = Ds.^2*pi*besselj(1,k*D_s/2)./k;
plot(k,H)
title('Analytical OTF')
xlabel('k_x (rad/mm)')
xlim([-60,60])

set(gcf, 'Position', [100 100 400 300])
```



3. Appendix: Helper functions

geo_plot1

Plots the image in [Example 1.1](#) according to Newton equation.


```

function geo_plot1(d1,s1,d2,s2,f,aper)
% d1, s1 are the location and height of the object, respectively.
% d2, s2 are the location and height of the image, respectively.
% f is the focal length, aper is the half diameter of the aperture.

% lens
plot([0,0], [-aper,aper], 'k', 'LineWidth', 3); % lens
hold on;
% z axis
plot([-d1,0], [0,0], 'k', 'LineWidth', 1);
plot([0,d2], [0,0], 'k', 'LineWidth', 1);
% foci
plot([-f,f], [0,0], 'ro', 'LineWidth', 2);
% object
plot([-d1,-d1], [0, s1], 'r','LineWidth', 2);
% image
plot([d2,d2], [0, s2], 'r','LineWidth', 2);
% chief ray
plot([-d1,0],[s1,0], 'g');
if d2 == inf % infinity
    plot([0, f], [0, -s1], 'g')
elseif d2 > 0
    plot([0,d2],[0,s2], 'g');
else % virtual image
    plot([0,d2],[0,s2], 'g--');
end
% marginal ray
plot([-d1,0],[0,aper], 'b');
if d2 == inf % infinity
    plot([0, f], [aper, aper], 'b')
elseif d2 > 0
    plot([0, d2],[aper, 0], 'b');
else % virtual image
    plot([0, d2],[aper, 0], 'b--');
end
axis image;
xlabel('z (cm)')
ylabel('y (cm)')
hold off
end

```

geo_plot2

Plots the image of the defocused system in [Example 2.1](#).

```

function geo_plot2(f,aper,d1,d2,d20,rs,Ds)
% f is the focal length
% aper is the half diameter of the aperture
% d1 and d2 are the object and original image distance, respectively
% d20 is the new object distance

```

```

% rs is the radius of defocus in cm
% Ds is the diameter of defocus in mm

% lens
plot([0,0], [-aper,aper], 'k', 'LineWidth', 3);
hold on;
% z axis
plot([-d1,0], [0,0], 'k', 'LineWidth', 1);
plot([0,d2], [0,0], 'k', 'LineWidth', 1);
% foci
plot([-f,f], [0,0], 'ro', 'LineWidth', 2);
% object and image
plot([-d1,d2], [0, 0], 'b*', 'LineWidth', 2);
% image plane
plot([d2,d2], [-rs, rs], 'r', 'LineWidth', 2);
% marginal ray
plot([-d1,0],[0,aper], 'b');
plot([0, d2],[aper, -rs], 'b');
plot([-d1,0],[0,-aper], 'b');
plot([0, d2],[-aper, rs], 'b');
axis image;
xlabel('z (cm)')
ylabel('y (cm)')
if d1 == f %d2 at infinity
title('Image is at infinity, Defocus Diameter D_s = N/A');
else
title(['Defocus Diameter D_s = ', num2str(Ds), 'mm']);
end
hold off

end

```