

Audit Report for Audit ID - AU001-1

BPM Audit Report for IT Department

Audit Score














81/100

Details

Audit	AU001
Scheduled Audit	AU001-1
Location	Hyderabad
Hotel	GreenPark Hotel- GPH
Department	BPM
Checklist	BPM Audit Report for IT Department
Audit Type	Internal
Auditor	Srinivas K A
Start Date	01-07-2017
End Date	30-07-2017
Submitted Date	29-01-2018
Status	Completed
Assigned By	Administrator Account
Non-Compliance	61
Chronic Issues	0

Comparison

GREENPARK HOTEL- GPH BPM AUDIT REPORT FOR IT DEPARTMENT

BPM AUDIT REPORT FOR IT DEPARTMENT		Jul 2017	VARIANCE	% of Increase / Decrease (-/+)
S.No	Sections	SCORE OBTAINED		
1	Critical Tasks	 85	-	-
2	IT initiatives	 83	-	-
3	Server Maintenance	 70	-	-
4	Computer Hardware Printers & Switches	 79	-	-
5	EPABX Telephones and Mobiles	 90	-	-
6	Internet WIFI	 75	-	-
7	Software Audit	 95	-	-
8	Network Security	 91	-	-
9	Business Applications	 76	-	-
10	CCTV	 78	-	-
11	AMC & Insurance	 75	-	-
12	Documentation and Others	 74	-	-
Audit Score		 81	-	-

	80-100 = Green	Good / Excellent
	61-79 = Yellow	Average
	60 below is Red	Poor / Fair

Audit Questionnaire

Section: Critical Tasks

Subsection: Important Tasks

- 1: PMS Back up scheduled for every 6 hours
Rating: 10
- 2: PMS Manual DB backup at 10am on separate PC **NC**
Rating: 0
- 3: PMS MONTHLY BACKUP ON MEDIA stored at Finance Fire Proof Safe
Rating: 10
- 4: PMS MONTHLY BACKUP ON MEDIA stored at Unit Head Office
Rating: 10
- 5: PMS MONTHLY BACKUP ON MEDIA stored at IT Department
Rating: 10
- 6: Loading latest security patches on server ☒ Not Applicable
- 7: Daily downloading of latest Antivirus SUPERDAT file
Rating: 10
- 8: Fire Wall Policy as per our policy for server / others
Rating: 10
- 9: PMS INS Yearly data on Media
Rating: 10
- 10: Pen drives blocked on all Systems **NC**
Rating: 7
- 11: Internet access to users as per our policy **NC**
Rating: 7
- 12: EPABX OS - back up once in a month
Rating: 10
- 13: EPABX Preventive once in 6 months **NC**
Rating: 5
- 14: Monthly back up of Fire Wall configuration ☒ Not Applicable
- 15: Monthly back up of FAMS, SAL TDS & TDS pack
Rating: 10
- 16: Monthly Audit of website for proper functioning

Rating: 8

17: Mail Alert for IP camera working

Rating: 10

Section: IT initiatives

Subsection: IT initiatives

1: Guest Photo Check-in

Rating: 10

2: Passport scanner integration working . **NC**

Rating: 0

3: SMS of Internet password to Guest on Check-in.

Rating: 10

4: Guest Room key card interface to PMS. **NC**

Rating: 0

5: Airport Pickup Software - Zoop. **NC**

Rating: 5

6: Room Survey on TAB from guest while Check-out.

Rating: 10

7: Voice logger working . ☒ Not Applicable

8: I-Alert - message working and usage.

Rating: 10

9: CARE - call center software functioning and usage.

Rating: 10

10: online Entry of KOT for buffets using TABS. **NC**

Rating: 5

11: F&B Surveys on TABS from guest in outlets.

Rating: 10

12: Usage of Happy hours. ☒ Not Applicable

13: Banquet billing with transfer from FP.

Rating: 10

14: Banquet Advance Tagging for reservation

Rating: 10

15: Requirement entry edit restrict after printing FP.

Rating: 10

Section: IT initiatives**Subsection:** Data Transfer

- 1: PMS data transfer to Maxim.
Rating: 10
- 2: Sales Force Data Transfer to PMS and PMS to SF.
Rating: 10
- 3: CRS booking transfers.
Rating: 10
- 4: Regular updation of Intranet.
Rating: 10
- 5: Visitor Management System functioning.
Rating: 10
- 6: Vendor Tracking System. **NC**
Rating: 0
- 7: Daily P&L account. **NC**
Rating: 0
- 8: Online Liquor inventory.
Rating: 10
- 9: Purchase indent authorization online .
Rating: 10
- 10: Purchase Requisition authorization online - 2 levels.
Rating: 10
- 11: PO authorization online - 3 levels.
Rating: 10
- 12: PO PDF mail.
Rating: 10
- 13: ANPR system working status.
Rating: 8
- 14: Mobile provided for Task Manager Working.
Rating: 8
- 15: IP Camera at - Receiving.
Rating: 10
- 16: IP Camera at - Banquets Plate wash.
Rating: 10

17: IP Camera at - Banquets Plate Issue Area.

Rating: 10

18: Weighing scale auto capture of weight.

Rating: 10

19: Capture of Photo while receiving.

Rating: 10

Section: Server Maintenance

Subsection: Server Maintenance

1: Regular Monitoring of Event logs ☒ Not Applicable

2: Regular checking for RAID Alarms ☒ Not Applicable

3: Regular checking for hardware errors ☒ Not Applicable

4: Daily Monitoring of SQL Sever logs ☒ Not Applicable

5: Rack maintained well - Dust free **NC**

Rating: 7

6: Switch Maintenance at Server room

Rating: 8

7: All patch chords labeled

Rating: 8

8: All cables dressed properly and identified

Rating: 8

9: Power sockets check

Rating: 8

10: Server UPS working condition ☒ Not Applicable

11: Earthing working properly

Rating: 10

12: Regular check of disk usage ☒ Not Applicable

13: Removing un-necessary files /data ☒ Not Applicable

14: Review of user accounts and clean up ☒ Not Applicable

15: Loading of latest security patches ☒ Not Applicable

16: Disable of un-necessary services ☒ Not Applicable

- 17: Anti virus policy for server ☒ Not Applicable
- 18: Fire wall policy for server ☒ Not Applicable
- 19: Checking for memory utilisation ☒ Not Applicable
- 20: Server room temperature below 24 degrees ☒ Not Applicable
- 21: User accounts and policies ☒ Not Applicable
- 22: PMSDB not to exceed 10GB **NC**
Rating: 0
- 23: Deletion of not in use DB's after backup ☒ Not Applicable
- 24: Admin account restrict from remote login ☒ Not Applicable
- 25: Don't share the folders except PMS Folder ☒ Not Applicable

Section: Computer Hardware Printers & Switches

Subsection: Computers

- 1: Following the local user access procedure
Rating: 10
- 2: Following weekly check list
Rating: 10
- 3: Following the Monthly check list
Rating: 10
- 4: Following the Quarterly check list
Rating: 10
- 5: Following the Yearly check list
Rating: 10
- 6: Regularly taking the computers data backup **NC**
Rating: 0
- 7: Monitoring the computers hard drives logs **NC**
Rating: 5
- 8: Licenced softwares only installed
Rating: 8
- 9: Maintaing the systems asset management
Rating: 10
- 10: Properly installed the Antivirus

Rating: 10

11: Computer Internal firewall is enabled

Rating: 10

12: Computers are Maintained cleanly **NC**

Rating: 0

13: UPS power supply to Computers **NC**

Rating: 7

14: Yearly once doing the clean format **NC**

Rating: 7

15: Mail data backup & deletion of unwanted mails

Rating: 8

16: Mailing address book updation **NC**

Rating: 5

Section: Computer Hardware Printers & Switches

Subsection: Printers

1: Regular Servicing of Printers **NC**

Rating: 7

2: Laser/ Deskjet refill quality

Rating: 8

Section: Computer Hardware Printers & Switches

Subsection: Switches

1: UPS connections to all switches

Rating: 10

2: All patch panels and switches are labeled

Rating: 8

3: Dressing of cabling

Rating: 8

4: Network chart at switch level to identify **NC**

Rating: 7

Section: Computer Hardware Printers & Switches

Subsection: UPS Systems

1: Earthing to UPS power supply

Rating: 10

2: Battery working condition

Rating: 10

- 3: UPS Load distribution chart updated

Rating: 9

- 4: % of Load on each UPS

Rating: 9

Section: EPABX Telephones and Mobiles

Subsection: EPABX

- 1: Separate earthing and working status

Rating: 10

- 2: Separate UPS supply and batteries backup

Rating: 10

- 3: Telephone consoles functioning ☒ Not Applicable

- 4: Head phones functioning. ☒ Not Applicable

- 5: Cable from MDF and EPABX dressed properly

Rating: 10

- 6: MDF maintenance

Rating: 10

- 7: Network diagram available at MDF

Rating: 10

- 8: PRI card functioning

Rating: 10

- 9: Digital Cards functioning -Any faulty ext.

Rating: 10

- 10: Analog extensions working -Any faulty ext.

Rating: 10

- 11: Working condition of FCBC

Rating: 10

- 12: FCBC battery back up

Rating: 10

- 13: Analog trunks functioning.

Rating: 10

Section: EPABX Telephones and Mobiles

Subsection: Telephones

- 1: Bathroom Phone instrument complaints
Rating: 9
- 2: Functioning of Digital Phones
Rating: 8
- 3: Room Phone Instrument complaints **NC**
Rating: 7
- 4: Condition of Room phones **NC**
Rating: 7
- 5: Condition of bathroom phones
Rating: 10
- 6: Status of standby room phones
Rating: 10
- 7: Status of standby Bath room phones
Rating: 10

Section: EPABX Telephones and Mobiles

Subsection: Mobile Phones

- 1: Working condition of phones
Rating: 8
- 2: Not working/Scrapped phones **NC**
Rating: 5
- 3: Frequency of repairs
Rating: 10
- 4: CUG Plan utilisation **NC**
Rating: 5

Section: Internet WIFI

Subsection: Wireline Internet

- 1: Condition of Wireline Switches
Rating: 8
- 2: Condition of Patch chords provided in rooms
Rating: 8
- 3: No of rooms patch chords checked/Replaced
Rating: 8
- 4: Provision for Wireline in Banquets
Rating: 10

5: UPS Power supply to Switches.

Rating: 10

Section: Internet WIFI

Subsection: WIFI

1: Working of Access points

Rating: 10

2: Uninterrupted power supply to Access points

Rating: 10

3: Maintenance of Access points and Antennas

Rating: 10

4: Precautions for external access points ☒ Not Applicable

5: Signal strength in Public areas

Rating: 8

6: Signal strength in Rooms

Rating: 10

7: Signal Strength in Banquets

Rating: 8

Section: Internet WIFI

Subsection: General

1: No of staff trained on WIFI - Min.-3/month **NC**

Rating: 5

2: Internet load analysis **NC**

Rating: 0

3: No.of internet complaints during quarter **NC**

Rating: 0

Section: Software Audit

Subsection: Software Audit

1: Windows Server Licenses - compliance

Rating: 10

2: SQL server licenses - compliance

Rating: 10

3: Windows Desktop OS Compliance

Rating: 10

4: Window CAL compliance

Rating: 10

5: SQL CAL compliance

Rating: 10

6: MS office compliance

Rating: 10

7: Open office usage

Rating: 8

Section: Software Audit

Subsection: Re-installation Procedure

1: Windows - Server

Rating: 10

2: SQL Server

Rating: 10

3: Desktop OS

Rating: 10

4: MS-Office **NC**

Rating: 7

Section: Network Security

Subsection: Antivirus

1: Regularly updating the antivirus **NC**

Rating: 7

2: All antivirus software version is same **NC**

Rating: 5

3: All computers On-demand scan working fine

Rating: 10

4: Regularly checking the On Access Statistics

Rating: 8

5: All computers Access Protection is enabled

Rating: 10

6: All computers Buffer overflow protection is enabled

Rating: 10

7: All computers On-Delivery E Mail Scanner is enabled

Rating: 10

8: Verify that scheduled scanning is enabled

Rating: 10

9: All computers On access demand is enabled

Rating: 10

Section: Network Security

Subsection: Firewall

1: Regularly monitoring the Dell Sonic firewall ☒ Not Applicable

2: New updates and patches are installed ☒ Not Applicable

3: .exe files are blocked to all computers

Rating: 10

4: Social network sites blocked to unauthorized users

Rating: 10

5: Monitor Firewall client VPN connections usage ☒ Not Applicable

6: Monitor -Malicious abnormal activity ☒ Not Applicable

7: Regular review of logs -VPN -Firewall ☒ Not Applicable

8: Regular review of logs -Routers-IDS-IPS-Firewall ☒ Not Applicable

9: Default deny policy must be installed ☒ Not Applicable

10: Exemptions on fire wall documented ☒ Not Applicable

11: Changes documented ☒ Not Applicable

Section: Business Applications

Subsection: PMS software

1: Usage of Software

Rating: 8

2: De-activation of ID on employee exit

Rating: 9

3: User access rights review

Rating: 8

4: Parameter changes during last 3 months **NC**

Rating: 0

5: Purging of Guest History **NC**

Rating: 5

6: Purging of Reservations **NC**

Rating: 5

7: Purging of Guest Ledger **NC**

Rating: 5

8: Purging of FO Transaction **NC**

Rating: 5

9: Online Printing of all FO vouchers through PMS

Rating: 10

10: PC master updations

Rating: 10

11: Usages of Privilege cards **NC**

Rating: 0

12: Touch Screen Interface **NC**

Rating: 0

13: Online KOT printing

Rating: 10

14: Usage of Debtors followup **NC**

Rating: 0

15: Invoices and Reminder Printing

Rating: 10

16: Bank Reconciliation

Rating: 10

17: Online Voucher print

Rating: 10

18: Cheque printing

Rating: 10

Section: Business Applications

Subsection: FAMS SALTDS and TDS Pack

1: Software backup location defined properly ☒ Not Applicable

2: Weekly tracking backup for 3 softwares ☒ Not Applicable

3: Software Updates are done regularly ☒ Not Applicable

Section: Business Applications

Subsection: Time office Software

1: Machine working properly

Rating: 10

Section: Business Applications

Subsection: HRMS

1: Usage of all online modules

Rating: 10

2: Hire Process Usage ☒ Not Applicable

3: Payroll Usage

Rating: 10

4: Bonus Usage ☒ Not Applicable

5: Employee Self services

Rating: 10

6: Performance Appraisal ☒ Not Applicable

7: Training ☒ Not Applicable

8: Time Office Integration

Rating: 10

Section: Business Applications

Subsection: Website

1: Regular updation of Website information **NC**

Rating: 7

2: Online guest comments working ☒ Not Applicable

3: Online reservation working status

Rating: 10

4: Working status of web bookings to CRS

Rating: 10

Section: Business Applications

Subsection: DMS software

1: Usage of DMS software

Rating: 10

Section: Business Applications

Subsection: Mailing

1: De-activation of unused mail boxes

Rating: 8

- 2: Regular monitoring of SPAM Mails

Rating: 10

Section: CCTV

Subsection: Vehicle Tracking System

- 1: Data capturing happening on DVR & Server

Rating: 10

- 2: Storage of Data Clarity

Rating: 8

- 3: Reports working and viewed **NC**

Rating: 5

Section: CCTV

Subsection: CCTV

- 1: Working condition of all cameras

Rating: 8

- 2: CCTV server/DVR working condition

Rating: 9

- 3: Clarity of video captured

Rating: 8

- 4: Storage of data in DVR's

Rating: 10

- 5: Storage of 90 days data **NC**

Rating: 0

- 6: Deletion of unnecessary data

Rating: 10

- 7: Mail alert camera NW

Rating: 10

Section: AMC & Insurance

Subsection: Computers AMC

- 1: Servers are covered under AMC ☒ Not Applicable

Section: AMC & Insurance

Subsection: Software AMC

- 1: PMS Software in AMC

Rating: 10

- 2: Care Software in AMC **NC**

Rating: 0

3: FAMS - Assets software in AMC

Rating: 10

4: Salary TDS pack in AMC

Rating: 10

5: Suppliers TDS pack in AMC

Rating: 10

6: Time office software in AMC

Rating: 10

7: CRS software in AMC

Rating: 10

Section: AMC & Insurance

Subsection: EPABX

1: Epabx in AMC **NC**

Rating: 0

Section: AMC & Insurance

Subsection: Others

1: Vehicle recording in AMC

Rating: 10

Section: AMC & Insurance

Subsection: Insurance

1: EPABX **NC**

Rating: 0

2: Passport scanner

Rating: 10

3: Softwares working with Dongle

Rating: 10

4: Voice Logger ☒ Not Applicable

5: Laptops in Insurance

Rating: 10

6: Insurance for UPS less than 1 KVA

Rating: 10

7: CCTV Cameras

Rating: 10

8: Mobile phones. **NC**

Rating: 0

Section: Documentation and Others

Subsection: SMART updation

1: Data network updated **NC**

Rating: 7

2: Internet Network updated

Rating: 8

3: Distribution charts of switches updated **NC**

Rating: 7

4: UPS layout distribution updated **NC**

Rating: 0

5: I.P. configuration chart **NC**

Rating: 7

6: Virus updation chart **NC**

Rating: 7

7: Backup summary chart **NC**

Rating: 0

8: GUI PMS user chart **NC**

Rating: 7

9: Module attributes **NC**

Rating: 5

10: Maintenance charts **NC**

Rating: 7

11: Data server configuration ☒ Not Applicable

12: Care server configuration ☒ Not Applicable

13: CCTV server configuration **NC**

Rating: 5

14: Desktop configuration

Rating: 10

Section: Documentation and Others

Subsection: Weekly Checklist

1: Run a disk scan to check for hard drive issues

Rating: 10

- 2: Run a defragmentation program

Rating: 10

- 3: Run a clean up to delete unneeded files

Rating: 10

- 4: Back up your files to a remote location

Rating: 10

- 5: Empty your recycle bin

Rating: 10

- 6: Delete your Internet files

Rating: 10

- 7: Clear your browsing history

Rating: 10

- 8: Delete your cookies

Rating: 10

- 9: Update your virus definitions

Rating: 10

- 10: Run a full virus scan

Rating: 10

- 11: 25% of Assets to be covered **NC**

Rating: 5

Section: Documentation and Others

Subsection: Monthly Checklist

- 1: Check for updates - OS

Rating: 10

- 2: Delete/uninstall unneeded or unused programs

Rating: 10

- 3: 50% of the above to be completed **NC**

Rating: 3

Section: Documentation and Others

Subsection: Quarterly Checklist

- 1: Clean your keyboard **NC**

Rating: 7

- 2: Clean your monitor **NC**

Rating: 7

3: Clean your peripherals

Rating: 8

4: Rebuild your desktop **NC**

Rating: 5

5: Change all your passwords **NC**

Rating: 5