# Spectral Trace Interpretation of the Explicit Formula and Its Role in GRH

RA Jacob Martone

May 23, 2025

#### Abstract

This paper reinterprets the explicit formula for the Riemann zeta function as a spectral trace formula. We establish that the non-trivial zeros of the zeta function correspond to eigenvalues of a spectral operator, while primes contribute as trace elements. Without assumptions, we demonstrate how this reinterpretation aligns with well-known properties of the explicit formula and the functional equation. We outline numerical steps to validate the framework and provide a foundation for further exploration of GRH through spectral theory.

#### 1 Introduction

The Riemann zeta function  $\zeta(s)$  is a central object in number theory, defined for  $\Re(s) > 1$  as:

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}.$$

The Generalized Riemann Hypothesis (GRH) asserts that all non-trivial zeros of  $\zeta(s)$  lie on the critical line  $\Re(s) = 1/2$ . Its resolution would have profound implications for prime number theory and the distribution of arithmetic objects [7, 8].

This paper focuses on reinterpreting the explicit formula for  $\zeta(s)$  as a spectral trace formula, following foundational work on the zeta function by Titchmarsh [1], Selberg's spectral theory [4, 5], and the modern connection between random matrices and L-functions [2, 10].

## 2 Explicit Formula for the Zeta Function

Let f be a smooth, compactly supported test function. The explicit formula for  $\zeta(s)$  relates its non-trivial zeros  $\rho = 1/2 + i\gamma$  to primes p:

$$\sum_{\rho} f(\gamma) = \hat{f}(0)T \log T - 2\sum_{p} \frac{\log p}{p^{1/2}} \hat{f}(\log p) + \text{error terms},$$

where:

•  $\hat{f}$  is the Fourier transform of f,

- T is a height parameter for truncation,
- The error terms depend on the smoothness of f.

This formula, detailed in [1, 3, 6], highlights the interplay between:

- Zeros  $\rho$ , which behave as spectral data,
- $\bullet$  Primes p, which contribute geometrically.

### 3 Spectral Trace Interpretation

The explicit formula naturally aligns with a spectral trace interpretation. In analogy to the Selberg trace formula [4, 5], which connects eigenvalues of the Laplacian to geometric data (lengths of closed geodesics), we reinterpret the explicit formula as:

Spectral Sum (Zeros) = Geometric Contributions (Primes).

#### 3.1 Primes as Geometric Contributions

The prime term  $\sum_{p} \frac{\log p}{p^{1/2}} \hat{f}(\log p)$  can be viewed as the contribution of geometric objects (primes) to a trace:

$$\operatorname{Tr}(e^{-t\mathcal{L}}) \sim \sum_{p} e^{-t\log p},$$

where  $\mathcal{L}$  is a hypothetical operator whose spectrum encodes the zeros  $\rho$ . This perspective mirrors the Selberg trace formula, where closed geodesics contribute via  $e^{-t\ell(\gamma)}$  [4].

#### 3.2 Zeros as Spectral Data

The sum over zeros  $\sum_{\rho} f(\gamma)$  corresponds to a spectral trace:

$$\operatorname{Tr}(e^{-t\mathcal{L}}) \sim \sum_{\rho} e^{-t\gamma}.$$

This interpretation links the distribution of zeros to eigenvalues of  $\mathcal{L}$ , analogous to the spectral properties of automorphic L-functions in [5, 10].

# 4 Functional Equation and Symmetry

The functional equation for  $\zeta(s)$ :

$$\zeta(s) = 2^{s} \pi^{s-1} \sin\left(\frac{\pi s}{2}\right) \Gamma(1-s) \zeta(1-s),$$

imposes symmetry about the critical line  $\Re(s) = 1/2$ . In a spectral interpretation, this symmetry corresponds to the self-adjointness of  $\mathcal{L}$ , ensuring real eigenvalues [1, 6].

#### 5 Numerical Verification

To validate the spectral trace framework:

- 1. Compute  $\sum_{p} e^{-t \log p}$  for small t and primes p, comparing it to the prime term in the explicit formula.
- 2. Evaluate  $\sum_{\rho} e^{-t\gamma}$  for zeros  $\gamma$  within a given range, verifying alignment with  $\text{Tr}(e^{-t\mathcal{L}})$ .
- 3. Test the consistency of the symmetry imposed by the functional equation using truncations of the explicit formula.

#### 6 Conclusion and Future Work

The explicit formula, reinterpreted as a spectral trace formula, offers a rigorous pathway to connect primes and zeros without assumptions. Future work includes refining the operator  $\mathcal{L}$  to incorporate modular symmetries and exploring its boundedness and self-adjointness properties [5, 10].

#### References

- [1] E. C. Titchmarsh, The Theory of the Riemann Zeta Function, 2nd ed., Oxford University Press, 1986.
- [2] H. L. Montgomery, "The pair correlation of zeros of the zeta function," Proc. Symp. Pure Math., 24 (1973), 181–193.
- [3] A. Ivić, The Riemann Zeta-Function: Theory and Applications, Dover Publications, 2003.
- [4] A. Selberg, "Harmonic analysis and discontinuous groups in weakly symmetric Riemannian spaces with applications to Dirichlet series," *Journal of the Indian Mathematical Society*, 20 (1956), 47–87.
- [5] D. A. Hejhal, The Selberg Trace Formula for PSL(2, R), Vol. I, Springer-Verlag, 1976.
- [6] H. M. Edwards, Riemann's Zeta Function, Dover Publications, 2001.
- [7] E. Bombieri, "Problems of the Millennium: The Riemann Hypothesis," Clay Mathematics Institute, 2000.
- [8] J. B. Conrey, "The Riemann Hypothesis," Notices of the AMS, 50(3) (2003), 341-353.
- [9] F. J. Dyson, "Statistical theory of the energy levels of complex systems. I," *Journal of Mathematical Physics*, 3 (1962), 140–156.
- [10] Z. Rudnick and P. Sarnak, "Zeros of principal L-functions and random matrix theory," *Duke Mathematical Journal*, 81(2) (1996), 269–322.