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3. Let \mathcal{H} be a non PAC learnable hypothesis class. Suppose $A(S)$ is an algorithm that always returns the hypothesis $\forall x \in \mathcal{X}, h(x) = 0$.

$$\mathbb{E}_{S|x \sim \mathcal{D}^m} [L_{\mathcal{D}}(A(S))] = \mathbb{E}_{S|x \sim \mathcal{D}^m} [L_{\mathcal{D}}(h)] = L_{\mathcal{D}}(h) = \mathbb{E}_{S|x \sim \mathcal{D}^m} [L_S(h)] =$$

$$\mathbb{E}_{S|x \sim \mathcal{D}^m} [L_S(A(S))] \leq \mathbb{E}_{S|x \sim \mathcal{D}^m} [L_S(A(S))] + \epsilon_m$$
Therefore the conditions hold for a non PAC learnable hypothesis class.

4. Let \mathcal{H} be an hypothesis class of binary classifiers.
Suppose that \mathcal{H} is agnostic PAC learnable and let A be a learning algorithm that learns \mathcal{H} with sample complexity $m_{\mathcal{H}}(\cdot, \cdot)$.
Let \mathcal{D} be an unknown distribution over $\mathcal{X} \times \{0, 1\}$ and let f be the true function.
Since \mathcal{H} is agnostic PAC learnable and A is learning algorithm that learns \mathcal{H} with sample complexity we know that for all $\epsilon, \delta \in (0, 1)$

$$Pr \left(L_D(h) \leq \min_{h \in \mathcal{H}} L_D(h) + \epsilon \right) \geq 1 - \delta$$

where

$$L_D(h) = \mathcal{D}(\{(x, y) : h(x) \neq y\})$$

Let us take the realizability assumption, that is,

$$\exists f \in \mathcal{H} \text{ s.t. } \forall i (x_1, \dots, x_m), y_i = f(x_i)$$

This means that $\min_{h \in \mathcal{H}} L_D(h) = 0$

We may further assume w.l.o.g that $Pr(y|x)$ is determined deterministically by $f(x)$ since the realizability assumption tells us that $y_i = f(x_i)$ and therefore $Pr(y_i = f(x_i)|x_i) = 1$.

This means that $L_D(h) = \Pr_{(x,y) \sim \mathcal{D}} (h(x) \neq y) = L_{D,f}(h) = \Pr_{x \sim \mathcal{D}} (h(x) \neq f(x))$

And so, it holds that :

$$Pr(L_{D,f}(h) \leq \epsilon) \geq 1 - \delta$$

And therefore \mathcal{H} is PAC learnable and A is a successful PAC learner for \mathcal{H} .

5. Let \mathcal{X} be a discrete domain, and let $\mathcal{H}_{Singleton} = \{h_z : z \in \mathcal{X}\} \cup \{h^-\}$ where

$$h_z(x) = \begin{cases} 1 & x = z \\ 0 & x \neq z \end{cases}, h^-(x) = 0 \forall x \in \mathcal{X}$$

(a) Let's recall the empirical risk definition:

$$L_S(h) = \frac{1}{m} |\{i : h(x_i) \neq y_i\}|$$

An ERM based algorithm will have an input of a training set $S = (x_1, y_1), \dots, (x_m, y_m)$ and output any $h \in \mathcal{H}_{\text{Singleton}}$ which minimizes the empirical risk.

I suggest the following:

- i. If all labels $y_1, \dots, y_m = 0$ return h^-
- ii. Else find the first label $y_i = 1$ and return h_{x_i}
The realizability assumption assures us the training set will only contain at most one unique sample that is labeled as 1 and therefore a sample labeled 1 uniquely defines the true function.

(b) We must show that there exists a function $m_{\mathcal{H}} : (0, 1)^2 \rightarrow \mathbb{N}$ such that for all $\epsilon, \delta \in (0, 1)$, for all distributions \mathcal{D} over \mathcal{X} and for all labeling function $f : \mathcal{X} \rightarrow \{0, 1\}$ running the learning algorithm on $m \geq m_{\mathcal{H}}$ i.i.d samples generated by \mathcal{D} the algorithm returns h such that $\Pr(L_{\mathcal{D},f}(h) \leq \epsilon) \geq 1 - \delta$.

Let's fix \mathcal{D} , and divide into cases:

- i. The labeling function $f(x) = h^-(x)$, therefore the training set will not contain a label of 1 and so for any size of a training set my algorithm will return h^- and it's generalization error will be $L_{D,f}(h) = \Pr_{x \sim \mathcal{D}}[h(x) \neq f(x)] = 0$
- ii. The labeling function $f(x) = h_z(x)$
 - A. The training set contains a label of 1 and so my algorithm will return h_z and it's generalization error will be $L_{D,f}(h) = \Pr_{x \sim \mathcal{D}}[h(x) \neq f(x)] = 0$
 - B. The training set doesn't contain a label of 1 and so my algorithm will return h^- .
Let $\epsilon, \delta \in (0, 1)$, Let's denote $\Pr(x = z) = \epsilon'$.
The generalization error in such a case will be

$$L_{D,f}(h) = \Pr_{x \sim \mathcal{D}}[h(x) \neq f(x)] = \Pr[x = z] = \epsilon'$$

And so, in this case, the generalization error is not under our control, however, we can avoid this case.

The probability that our training set doesn't contain $(z, 1)$ is $\Pr[(z, 1) \notin S] = (1 - \epsilon')^m$. So we can increase our confidence that we will not encounter this case by increasing m . Any m that satisfies the inequality

$$(1 - \epsilon')^m \leq \delta$$

Will give us

$$Pr(L_{\mathcal{D},f}(h) = 0 \leq \epsilon) \geq 1 - \delta$$

And so, a function $m_{\mathcal{H}} : (0, 1)^2 \rightarrow \mathbb{N}$ exists and this proves that \mathcal{H} is PAC learnable. To give an upper bound on $m_{\mathcal{H}}$:

$$(1 - \epsilon')^m \leq \delta \Rightarrow \log((1 - \epsilon')^m) \leq \log(\delta) \Rightarrow m \cdot \log(1 - \epsilon') \leq \log(\delta)$$

$$m \leq \frac{\log(\delta)}{\log(1 - \epsilon')}$$

$$7. L_{\mathcal{D}}(h) = Pr_{(x,y) \sim \mathcal{D}}[h(x) \neq y] = \begin{cases} Pr_{(x,y) \sim \mathcal{D}}[y \neq 0|x] & \text{if } h(x)=0, \\ Pr_{(x,y) \sim \mathcal{D}}[y \neq 1|x] & \text{if } h(x)=1 \end{cases}$$

We wish to minimize the function $\phi(x)$ defined below:

$$\phi(x) = \begin{cases} Pr[y \neq 0|x] & \text{if } h(x)=0, \\ Pr[y \neq 1|x] & \text{if } h(x)=1 \end{cases} = \begin{cases} Pr[y = 1|x] & \text{if } h(x)=0, \\ 1 - Pr[y = 1|x] & \text{if } h(x)=1 \end{cases}$$

So if $Pr[y = 1|x] < 1 - Pr[y = 1|x]$ we should choose $h(x)=0$ otherwise we should choose $h(x)=1$.

$$Pr[y = 1|x] < 1 - Pr[y = 1|x] \Leftrightarrow Pr[y = 1|x] < \frac{1}{2}$$

Therefore, the optimal classifier is given by:

$$f_{\mathcal{D}}(x) = \begin{cases} 1 & Pr[y = 1|x] \geq \frac{1}{2} \\ 0 & \text{otherwise} \end{cases}$$

8. Let $\mathcal{H} = \{h_1, \dots, h_N\}$ be a finite hypothesis class over domain \mathcal{X} , denote $VC(\mathcal{H}) = d$. There exists $C \subset \mathcal{X}, |C| = d$ such that \mathcal{H} shatters C meaning that $|\mathcal{H}_C| = 2^{|C|} \Rightarrow |\mathcal{H}_C| = 2^d$ therefore

$$2^d \leq |\mathcal{H}| \Rightarrow d \leq \log(|\mathcal{H}|) \Rightarrow \lfloor d \rfloor \leq \lfloor \log(|\mathcal{H}|) \rfloor \Rightarrow d \leq \lfloor \log(|\mathcal{H}|) \rfloor$$

9. (a) Given a subset $C \subseteq \mathcal{X}$ the domain set, in order to show that \mathcal{H} shatters C I must show that for every possible labeling of the set C there exists an hypothesis $h \in \mathcal{H}$ that explains it.

For $|C| = 1, C = \{x \in \mathcal{X}\}$ \mathcal{H} shatters C because $\mathcal{H}_C = \{h_x(x) = 1, h^-(x) = 0\} \Rightarrow |\mathcal{H}_C| = 2$

For every $C \subseteq \mathcal{X}$ such that $|C| = 2, C = \{x_1, x_2 \in \mathcal{X}\}$ there is no hypothesis in $\mathcal{H}_{Singleton}$ that can explain the labeling $(x_1, 1), (x_2, 1)$ and therefore $|\mathcal{H}_C| = 3 < 2^{|C|}$.

- (b) Let's complete the proof by showing that $d \leq VC(\mathcal{H})$, in other words, we wish to give a set $C \subseteq \mathcal{X}, |C| = d$ such that \mathcal{H} shatters C .

Let's consider the set $C = \{e_1, \dots, e_d\}$ where $e_i = \begin{bmatrix} e_{i1} = 0 \\ \vdots \\ e_{ii} = 1 \\ \vdots \\ e_{id} = 0 \end{bmatrix}$ is the

unit vector. Let $l_1, \dots, l_d \in \{0, 1\}$ be some labeling of e_1, \dots, e_d respectively.

The hypothesis $r_1 \wedge r_2 \wedge \dots \wedge r_d \in \mathcal{H}$ where $r_i = \begin{cases} e_i & l_i = 1 \\ \bar{e}_i & l_i = 0 \end{cases}$ explains this labeling for each member $e_j \in C$ and therefore \mathcal{H} shatters C . In recitation we have seen that $d \geq VC(\mathcal{H})$ and we can conclude that $VC(\mathcal{H}) = d$

10. (a) The hypothesis class defined in question 11 has a VCdim that is equal to the upper bound as I have proved in question 11.

- (b) Consider the domain $\mathcal{X} = \{1, \dots, k\}$ and consider the hypothesis class of threshold functions

$$\mathcal{H}_{th} = \{h_\theta(x) = \text{sign}(x - \theta) : \theta \in \mathbb{R}\}$$

$|H| = k$ but $VC(\mathcal{H}) = 1$ and since k can be arbitrarily large, the gap between $\log_2(|\mathcal{H}|)$ and $VC(\mathcal{H})$ can be arbitrarily large.

11. First let's note that $|H_{parity}| = 2^n$ and therefore, by q8 we know that

$$VC(H_{parity}) \leq \lfloor \log(|H_{parity}|) \rfloor = n$$

Let's show that $VC(H_{parity}) \geq n$ and conclude that $VC(H_{parity}) = n$.

Consider the set $C \subseteq \{0, 1\}^n, |C| = n, C = \{e_1, \dots, e_n\}$ where $e_i = \begin{bmatrix} e_{i1} = 0 \\ \vdots \\ e_{ii} = 1 \\ \vdots \\ e_{in} = 0 \end{bmatrix}$

is the unit vector. Let $l_1, \dots, l_n \in \{0, 1\}$ be some labeling of e_1, \dots, e_n respectively.

Consider the hypothesis h_I where $I = \{j : j \in [n], l_j = 1\}$. In words, the hypothesis will sum over all j 's where the labeling of e_j is 1.

Now $h_I(e_i) = \left(\sum_{j \in I} e_{ij} \right) \text{mod} 2 = \begin{cases} 1 \text{mod} 2 = 1 & l_i = 1 \\ 0 \text{mod} 2 = 0 & l_i = 0 \end{cases}$. So the hypothesis h_I labels correctly all elements in C and so \mathcal{H}_{parity} shatters C .

12. $\mathcal{X} = \mathbb{R}$. Let's prove that $VC(\mathcal{H}_{k-intervals}) = 2k$

First let's show that $C \subseteq \mathcal{X}, |C| = 2k, C = \{1, \dots, 2k\}$ is shattered by $\mathcal{H}_{k-intervals}$. Let $l_1, \dots, l_{2k} \in \{0, 1\}$ be some labeling of $1, \dots, 2k$ respectively.

Let $\epsilon \ll 1$, Define the hypothesis $h_A(x)$, where $A = \cup_{i=1}^k [a_i, b_i]$ and $a_i =$

$$\begin{cases} 2i - 1 - \epsilon & l_{2i-1} = 1 \\ 2i - 1 + \epsilon & l_{2i-1} = 0 \end{cases} \text{ and } b_i = \begin{cases} 2i - 1 + \epsilon & l_{2i-1} = 1, l_{2i} = 0 \\ 2i + \epsilon & l_{2i-1} = 1, l_{2i} = 1 \\ 2i + \epsilon & l_{2i-1} = 0, l_{2i} = 1 \\ 2i - \epsilon & l_{2i-1} = 0, l_{2i} = 0 \end{cases} \text{ clearly}$$

$$\forall j \in [2k], h_A(j) = \begin{cases} 1 & l_j = 1 \\ 0 & l_j = 0 \end{cases}$$

In words, we treat each pair of adjacent points in C separately and explain each pair with its own interval.

Now let's prove that for all $C \subseteq \mathcal{X}, |C| = 2k + 1, C = \{c_1, \dots, c_{2k+1}\}$, C is not shattered by $\mathcal{H}_{k-intervals}$.

Let's consider the following labeling for C : $l_1 = 1, l_2 = 0, l_3 = 1, \dots, l_{2k} = 0, l_{2k+1} = 1 \in \{0, 1\}$, i.e we take the alternating labeling of the elements of C starting with a positive labeling. Since $2k+1$ is an odd number we know that we have $k+1$ elements labeled as 1 and they are all separated by elements labeled as 0 therefore there is no hypothesis in $\mathcal{H}_{k-intervals}$ that can explain this labeling, and therefore C is not shattered by $\mathcal{H}_{k-intervals}$.

If k is unlimited then for any $C \subseteq \mathcal{X}, |C| = p$ and for any labeling of C we can take $k=p$. The hypothesis where there's an interval for each $c \in C$ that is labeled as 1 and that interval contains only c and no other c' in C clearly explains this labeling of C . Therefore in this case $VC(\mathcal{H}_{intervals}) = \infty$

13. Consider the class of homogenous halfspaces in $\mathbb{R}^d: \mathcal{H} = \{h_w : h_w(x) = \text{sgn}(\langle w, x \rangle), w \in \mathbb{R}^d\}$, as we have seen in recitation 5, $VCdim(\mathcal{H}) = d$

$\mathcal{HS}_d = \{h_{w,b} : h_{w,b}(x) = \text{sgn}(\langle w, x \rangle + b), w \in \mathbb{R}^d, b \in \mathbb{R}\}$, let's prove that $VCdim(\mathcal{HS}_d) = d + 1$

Let's first show that there exists $C \subseteq \mathcal{X}, |C| = d + 1$, which \mathcal{HS}_d shatters. Let's consider $C = \{e_1, \dots, e_d, 0\}$ where e_i is the i 'th unit vector. Given some

labeling of C $l_1, \dots, l_{d+1} \in \{-1, 1\}$ take the hypothesis $w = \begin{bmatrix} l_1 \\ \vdots \\ l_d \end{bmatrix}, b = \frac{1}{2}l_{d+1}$

$$\forall i \in [d], h_{w,b}(e_i) = \text{sgn}(\langle w, e_i \rangle + b) = \text{sgn}\left(l_i + \frac{1}{2}l_{d+1}\right) = \text{sgn}(l_i)$$

$$h_{w,b}(0) = \text{sgn}(\langle w, 0 \rangle + b) = \text{sgn}(b) = \text{sgn}\left(\frac{1}{2}l_{d+1}\right) = \text{sgn}(l_{d+1})$$

Now it's left to prove that for all $C \subseteq \mathcal{X}, |C| = d + 2$ \mathcal{HS}_d doesn't shatter C , let's assume by contradiction that there exists $C \subseteq \mathcal{X}, |C| = d + 2$

2, $C = \{c_1, \dots, c_{d+2}\}$. that \mathcal{HS}_d shatters, i.e for every possible label of C $l_1, \dots, l_{d+2} \in \{-1, 1\}$ there exists a $w = (w_1, \dots, w_d) \in \mathbb{R}^d, b \in \mathbb{R}$ such that $h_{w,b}$ predicts correctly the labeling.

$$h_{w,b}(c_i) = \langle c_i, w \rangle + b = l_i$$

The set $C' = \{(1, c_1), \dots, (1, c_{d+2})\}$ is shattered by the class of homogeneous halfspaces in \mathbb{R}^{d+1} because given a labeling l_1, \dots, l_{d+2} the hypothesis $h_w(x) = \langle (b, w), x \rangle$ predicts correctly on all elements of C'

$$h_w((1, c_i)) = \langle (b, w), (1, c_i) \rangle = b + \langle w, c_i \rangle = l_i$$

In contradiction to the VCdim of the class of homogeneous halfspaces in \mathbb{R}^{d+1} being $d+1$.