

# COS831- Operating System II

## Course Contents

Concurrency, States' and State diagram, Structures

Dispatching and Context switching, interrupts  
Execution, Mutual exclusion

problem and some solutions.

Deadlock; Models and mechanisms (Semaphores,  
monitors, etc).

Producer - consumer problem and synchronization

Multi processor issues

Scheduling / Dispatching

Memory Mgt, Overlays, Swapping and  
Partitioning, Paging and segmentation

Placement and replacement policies

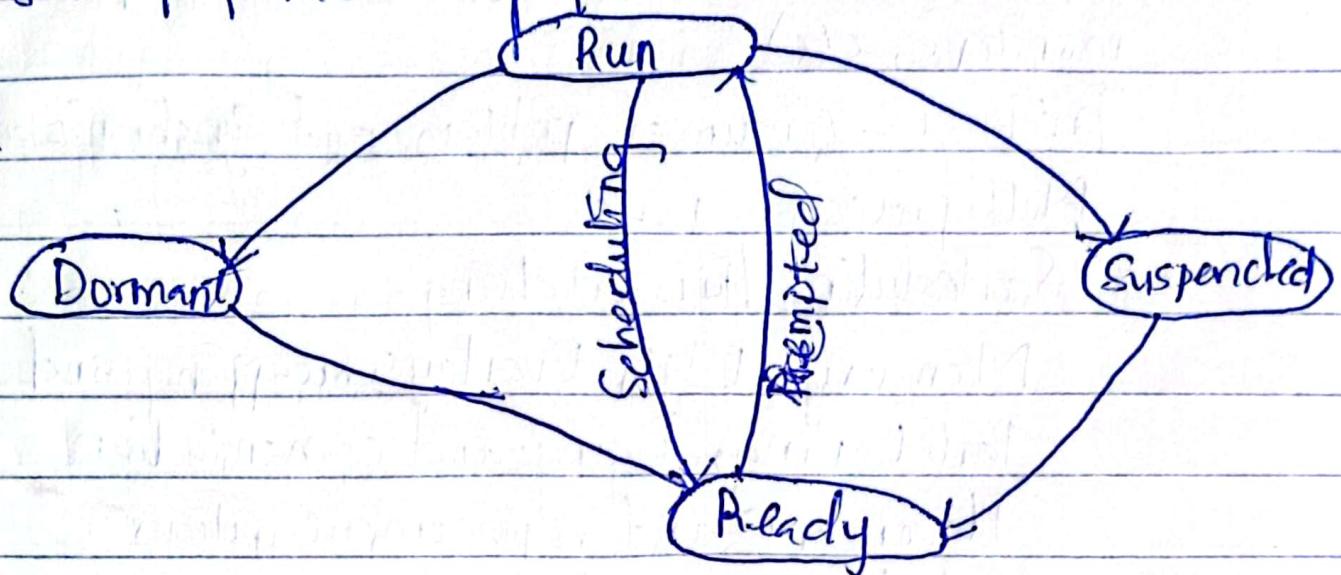
Working Set, and thrashing, caching

## Concurrency

Concurrency usually happens in ~~a~~ unit processor system.  
Means executing multiple task at the same time but  
it's not necessarily simultaneous.

In a single core system concurrency can be achieved through  
a process called context switching.

Tasks are performed using process state transition method

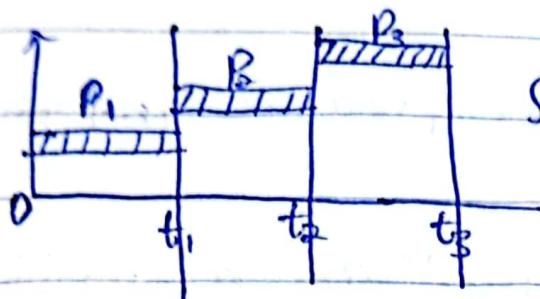


In a multicore environment concurrency takes place through parallelism

Note concurrency relates to single core through context switching whereas parallelism takes place in a multicore processor.

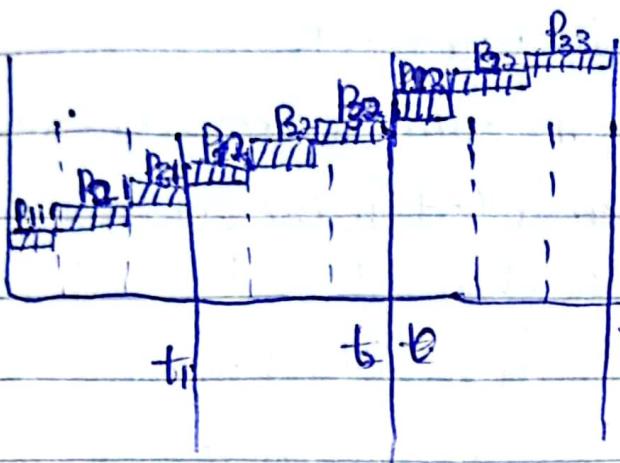
In which case multiple task are executed simultaneously

Can one say that a time sharing system is concurrent?

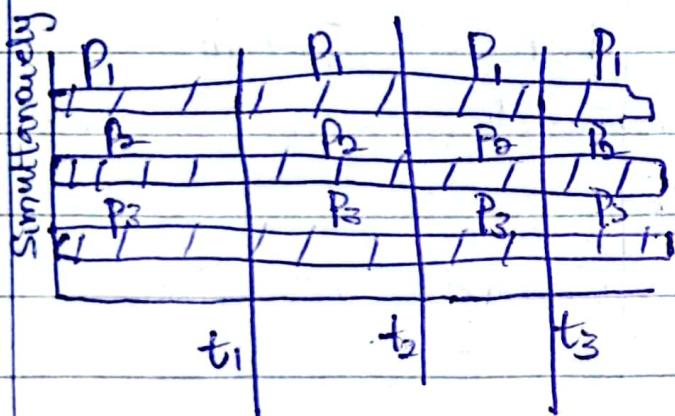


Draw a diagram to show the difference b/w Serial processing and Concurrency and Parallelism

Explain the difference b/w Parallelism and Concurrency



Concurrent processing  
Simulated or Virtual parallel



True parallel Processing

## Process States and State diagrams

process concept: A process is a program in execution. A process is a dynamic entity, while a program is static. Processes exist for a limited time span, while the program that spills out the processes remains.

A process has Object program, data, resources and status of the process on execution.

A process is not a closed system, there is a communication b/w processes through a shared environment.

A process can be defined as an entity representing the basic unit of work to be implemented in the system.

Resources can be classified into physical and logical resources.

### Difference between Process and Program

	Program	Process
i	Static entity	Dynamic Entity
ii	Exist in a single state and continue to exist	Exist in a limited time span
iii	Programs contain Instructions	Execute Instructions.

### Types of processes

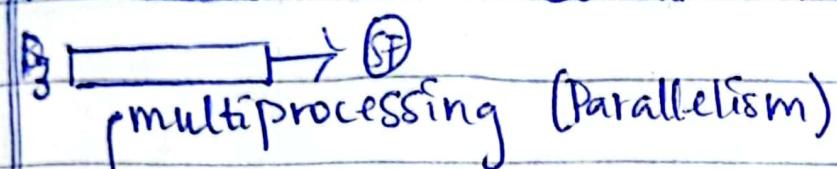
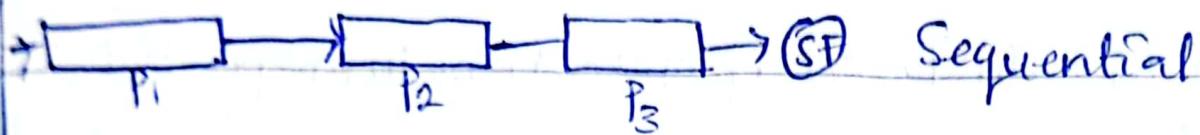
i Sequential processes

ii ~~Concurrent processes~~ parallel processes Concurrent processes

In Sequential processes are executed one after another

In parallel two or more process are executed simultaneously

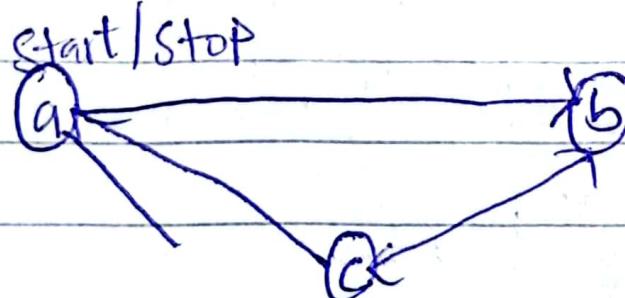
SF → Service facilities



[multiprogramming (context switching)]

### A State Diagram

A State diagram is used in computer science and related fields to describe the behaviour of systems



We use a directed graph to show different state of the machine.

A classic form of state diagram for a finite automaton is a directed graph with the following elements  $(Q, \Sigma, Z, \delta, \%, F)$ .

Q → Vertices, a finite set of states normally represented by circles

$\Sigma$  → Input symbols - a finite collection of input symbols

$Z$  → Output Symbols - a finite collection of output symbols

$\delta$  → Edges

$q_0$  → Initial State

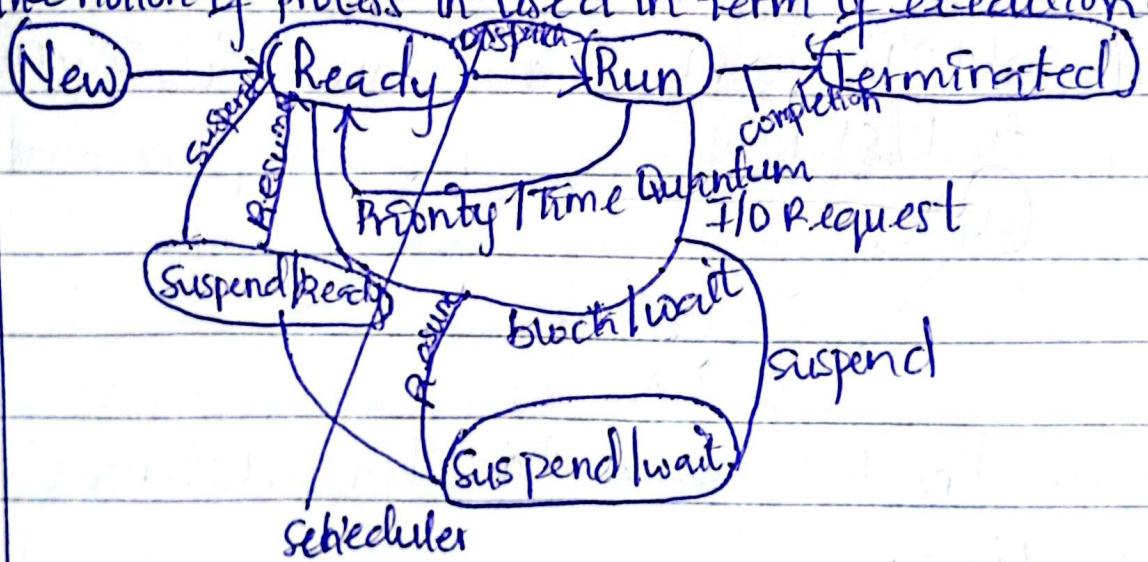
$q_f$  → Final state.

State Diagram of OS

A program is an ordered set of instruction - programmer's POV

A program is an executable file - OS's POV

The notion of process is used in term of execution.



COS# 33

New state

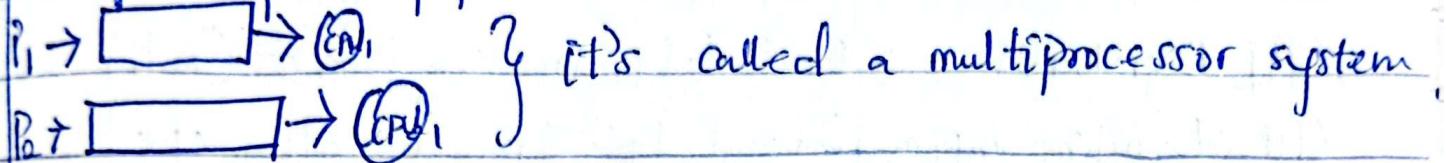
Ready state

Running state

Block / wait / suspended state

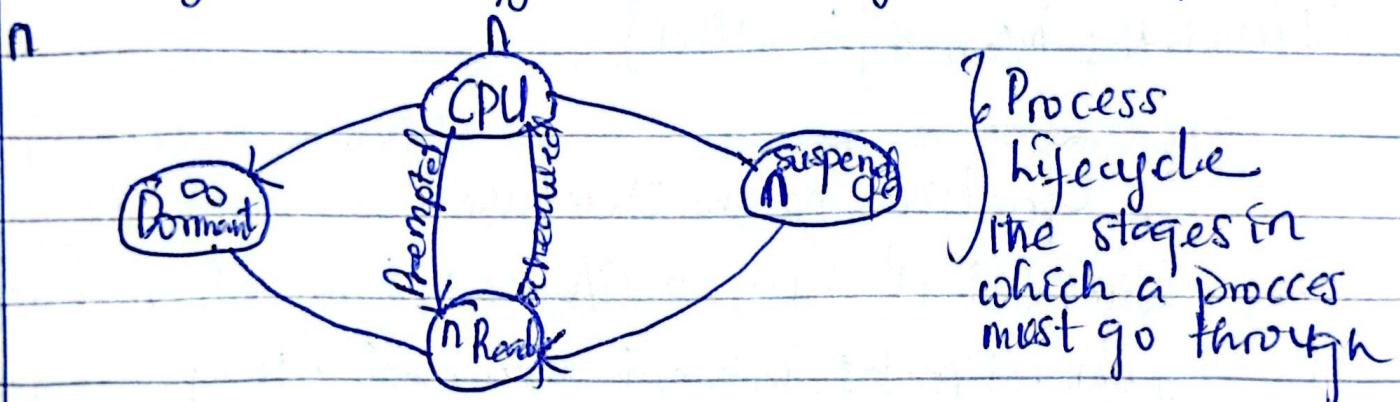
Completion / termination / Dormant

Complete parallel processes



Draw this state diagram for a multiprocessor system.

The thing is to modify the number of CPU and queue to



Designing computer with no particular user

The running state is the state where the CPU starts executing the instructions of that system.

Each process must have an identity. There's a data structure to store the information about a process. PCB and TCB (Process Control Block)

In terms of PCB and TCB describe a process

PCB	TCB
Process ID	Thread ID
Process Privileges	Stack Pointer (points to thread stack in the process)
Pointer	Program Counter (points to current instruction)
Process State	Registers (thread CPU registers)
CPU Registers	Points to Originating Process (PCB)
CPU scheduling info.	Thread state (run, ready, etc.)
Memory mgmt information	Thread priority
Accounting information	pointer to other threads that were created
I/O status information	(together)

## Operating System Structures

Is a blueprint on how an OS is organized and how the different parts interact with each other

Monolithic

Micro-kernel

Hybrid-kernel

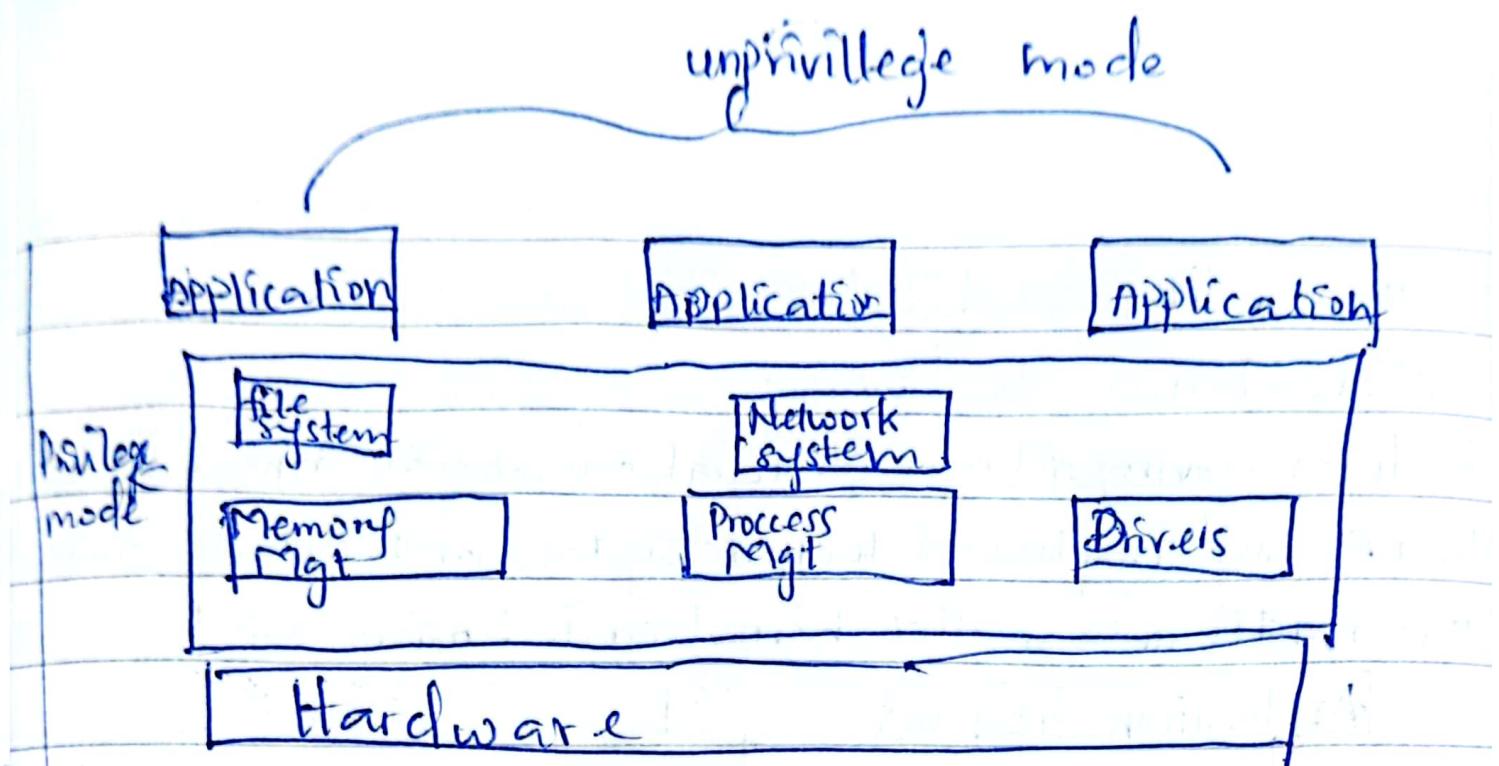
Exo-kernel

Layered

Modular

Virtual Machine

Client-Server



## 2) In a monolithic Structure:

In a monolithic OS, the entire OS is implemented as a single large process in the kernel mode.

Essentially, OS services such as process mgt., memory management, filesystems, and device drivers are combined into a single code base.

### Advantages

- ① Performance of monolithic structure is fast since everything runs in a single block; therefore communication b/w components is quick
- ② It is easier to build because all parts are in one code block

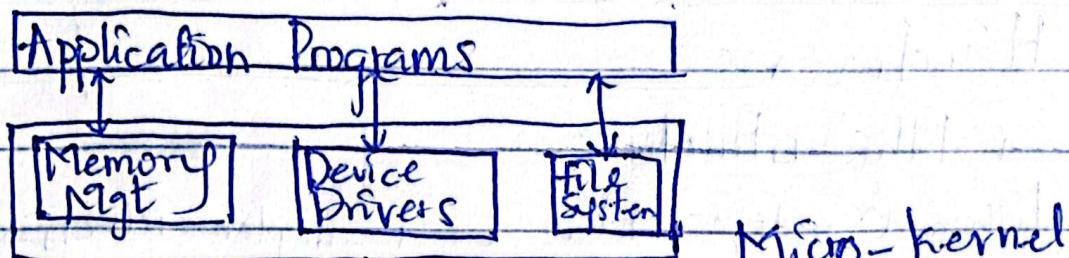
### Disadvantages

- ③ It is hard to maintain as small error can affect the entire system
- ④ There are also some security risks in the

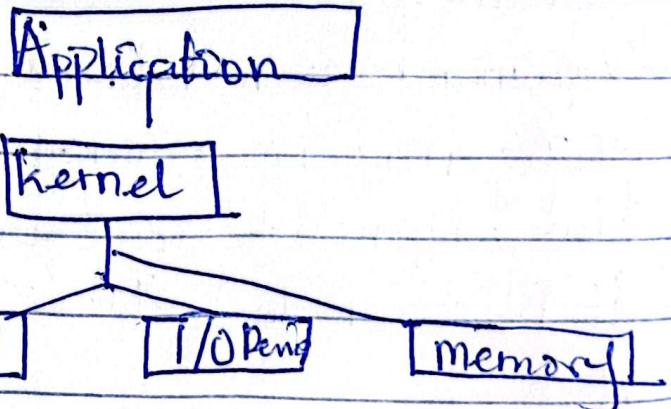
In the monolithic architecture

### 3) Micro-kernel Structure

Involves removing all non essential components from the kernel and implement them as system and user programs  
this results in a smaller kernel called micro-kernel



application layer  
High-level programming layer  
OS  
Machine-level layer  
Microprogrammed layer  
Digital logic layer  
Physical Device layer



## Advantages of Micro-kernel

It makes the OS portable to various platforms as micro kernels are small so these can be tested effectively.

## Disadvantages

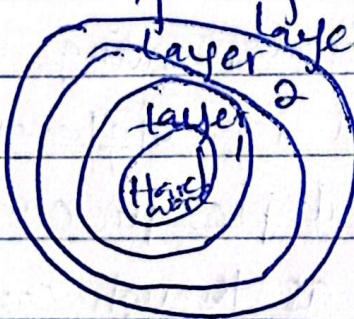
Increased level of inter module communication degrades system performance.

## EXO - Kernel Structure

Is an OS that was first developed in MIT to provide application-level management of hardware resources.

## Layered Structure

Is an OS that is broken into pieces and retain much more control over the system. In this structure, OS is broken down into a number of layers (levels).



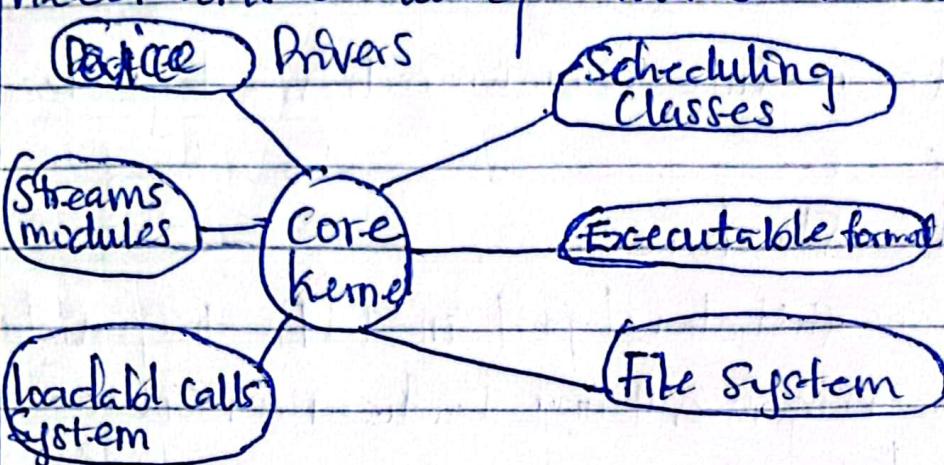
Linux uses layered structure

~~Windows~~ To make the processor access speed ≤ memory access speed a memory is added called Cache.

The entire Design of the Computer System  
is modular Design

## Modular Structure

A modular O/S structure is based on the idea of dividing the system into smaller and independent units, called modules, that can be loaded and unloaded as need arises during runtime or boot-time.



## Virtual Machines

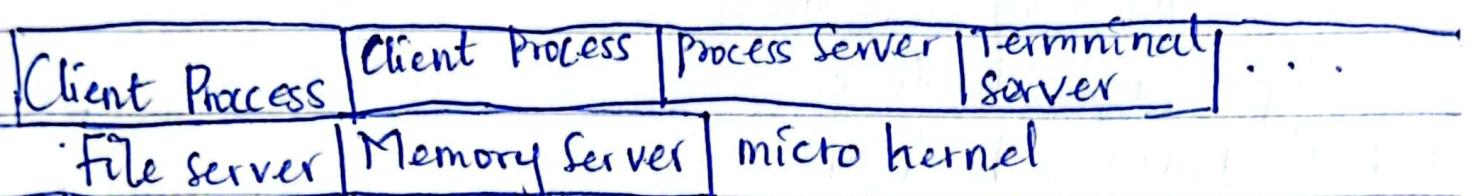
A Virtual Machine abstracts the hardware of the PC, such as CPU, disc drives, RAM and NICs, into a variety of different execution contexts, giving an impression that each execution environment is a different computer.

VM enables us to run multiple processes concurrently while making it appear as though each one is using a different processor and Virtual memory by using CPU scheduling and Virtual memory techniques.

It is used in implementing client processes

## Client - Server Model

In Client - Server model most of the OS is implemented in user processes. To request a service such as reading block of a file, a user process called (Client Process) sends the request to a Server process, which then does the work and sends back the answer.

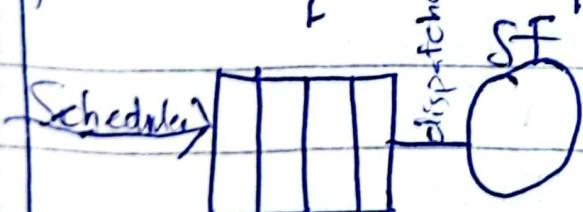


In this model, all the kernel does is handle the communications b/w clients and servers.

Process Scheduling: when the process is loaded into ~~memory~~ memory the Heap section contains program code.

Scheduling: Is the act of arranging a set of processes in some ordered way according to a well-defined strategy.

Dispatching: Is the operation of selecting a process from the queue and providing it with access to CPU



Service facility.

Dispatching latency is the amount of time

- a To execute a particular process
- b to stop one process and start another.
- c A process been waiting in the ready queue
- d from when the request was submitted until time response was produced.

### Types of Scheduler

3 types of Schedulers

(i) Long term Schedulers

(ii) Medium-term

(iii) Short term Dispatcher

### Figure 16

Premptive & Non premptive

Interrupts & It's roles

### OS steps in Context Switching

- ① Saves registers  $P_1$  in the stack to eventually return back to the calling function
- ② Stores the stack pointer by  $P_1$  in the current block  $RB$
- ③ load the stack pointer of  $P_2$  from the  $PCB_2$
- ④ Restores the  $P_2$  registers from the Stack
- ⑤ Returns to registers  $P_1$  from  $PCB_1$  and columns

Interrupts are signals sent to the CPU by external devices, normally I/O devices.

They tell the CPU to stop its current activities and execute the appropriate part of the OS.

Maskable Interrupts & non-maskable interrupts.

Hardware Interrupts refers to interrupt(s) maybe key strokes

Software

Traps

Ethernet card is a card in the device that enables it connect to the internet

IEEE 802.11abg - wireless ethernet card

IEEE 802.3      { wired.

IEEE 802.5

Software Interrupts are generated by program.

Traps    These are generated by CPU itself where as instance is needed

Interrupts are important because they allow the user control over the computer.

Concurrency

Deadlock

Resource starvation

Concurrency

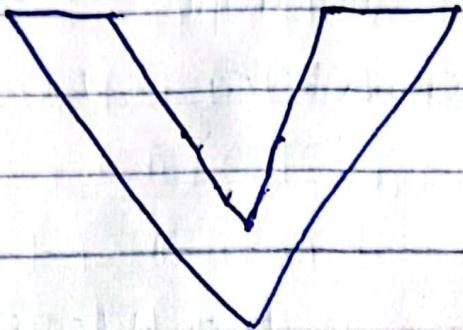
Dinning Philosophers problem

Interleaved  
Processes

Overlapped processes

both interleaved an overlapped

Even              Odd              c<sub>i</sub>



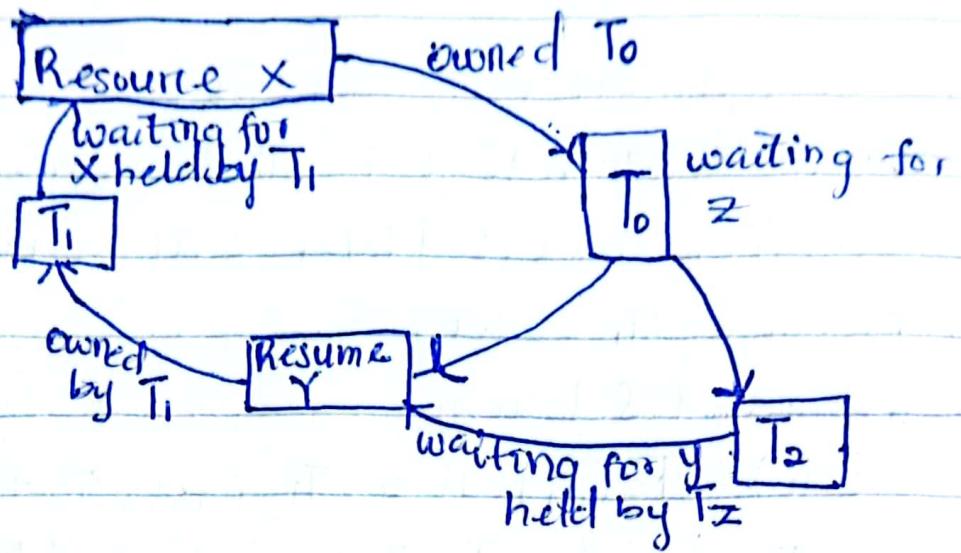
Problems of concurrency

Deadlock

Causes, conditions, prevention

Deadlock is an unwanted situation that happens in a share resource environment. Eg of a share resource is a global library. In a multiprocess system is where a deadlock can occur.

A set of processes is deadlocked if each process in the set is waiting for an event that only another process in the set can cause.



Race condition (Problem)

Mutual Exclusion. (Solution)

Deadlock is not healthy for a system. the transaction require d for O/S

they can tell the processes to free up resources

Deadlock Prevention

To prevent any deadlock situation in the system, the DBMS aggressively inspects all the operations and analyze if they can create a deadlock situation.

there are deadlock prevention schemes ~~that uses~~

i) Wait die Scheme

If  $T_S(T_i) < T_S(T_j)$  - that is  $T_i$ , which is requesting a conflicting lock, is older than  $T_j$  then  $T_i$  is allowed to wait

until the data-item is available

If  $TS(T_i) > TS(T_j)$ : that is  $T_i$  is younger than  $T_j$  - then  $T_i$  dies.  $T_i$  is restarted later with a random delay but with the same timestamp.

Inbound-wait Scheme:

If  $TS(T_i) \leq TS(T_j)$ , then  $T_i$  forces  $T_j$  to be rolled back - that is  $T_i$  wounds  $T_j$ .  $T_j$  is restarted later with a random delay but with the same timestamp.

If  $TS(T_i) > TS(T_j)$ , then  $T_i$  is forced to wait until the resource is available

-The following four conditions must hold for deadlock to take place:

i) Mutual exclusion condition

ii) Hold & Wait condition

iii) No Preemption

iv) Circular wait condition

The Dining Philosophers problem

## Banker's Algorithm

key things! the bankers' alg. is a combination of two algorithms. Safe algorithm and resource request algorithm

for SA find two vectors kwork & finish

① Initialize work

work = A variable

finish[i] = false; (for  $i = 0, 1, 2, 3, \dots, n-1$ )

② Need[i]  $\leq$  kwork

Finish[i] = false

If the  $i$  does not exist go to 4

③ work = work + Allocation[i][j]

Finish[i] = true

4 If  $\text{finish}[i] == \text{true}$ ; then the system is safe;

Process	Allocation	Max	Available
P <sub>0</sub>	0 1 0	7 5 3	3 3 2
P <sub>1</sub>	2 0 0	3 2 2	
P <sub>2</sub>	3 0 2	9 0 2	
P <sub>3</sub>	2 1 1	2 2 2	
P <sub>4</sub>	0 0 2	4 3 3	

① What is the reference of the need matrix

② Determine if the system is safe or not

(ii) What happens if the resource request  $(1, 0, 2)$  for process  $P_1$ , can the system accept the request immediately?  
In Work = Available

Need[i][j] =

$$\text{Need}[i][j] = \text{MaxC}[i][j] - \text{Allocation}[i][j]$$

$$P_1: (7, 5, 3) - (0, 1, 0) = (7, 4, 3)$$

$$P_2: (3, 2, 2) - (2, 0, 0) = (1, 2, 2)$$

$$P_3: (9, 0, 2) - (3, 0, 2) = (6, 0, 0)$$

$$P_4: (2, 2, 2) - (2, 1, 1) = (0, 1, 1)$$

$$P_5: (4, 3, 2) - (0, 0, 2) = (4, 3, 0)$$

Step 1: For Process  $P_1$

Need  $\Leftarrow$  Available

$$(7, 4, 3) \Leftarrow (3, 3, 2)$$

Step 2: For process  $2$

$$1, 2, 2 \Leftarrow 332$$

$$\text{New Available} = \text{Available} + \text{Allocate} = (6, 1, 0)$$

$$\text{Now Available} = 332 + 200 = (0, 1, 1)$$

$$= 532 \quad (4, 3, 1)$$

Step 2

Need  $\Leftarrow$  available

$$600 \Leftarrow 532$$

Process is false

$P_4$

Need  $\Leftarrow$  Available

$$(0, 1, 1) \leq 532$$

New available = Available + Allocation

$$532 + 211 = 743$$

For Process 5

Need  $\leq$  Available

$$\text{New available } 743 + 0, 0, 2 = 745$$

$$\text{for } P_1: 743 \leq 745$$

$$745 + 0, 0 = 755$$

For  $P_3$

$$6, 0, 0 \leq 755$$

$$755 + 3, 0, 2 = 10, 5, 7$$

Here the system is safe

Condition variables are synchronization primitives that allows threads to wait until a particular condition occurs. Examples of condition variables are:

i) Monitors

Semaphores:

Sleep and wakeup:

Shared resources  
and Critical Section

Global variables are examples of shared resources  
multicore system is called shared memory system

starvation in OS: starvation is almost closely related to deadlock, occurs when a pro

## Producer-Consumer Problem

there is one producer and one consumer consuming the produced goods. Two processes share a common, fixed-size buffer. One of them, the producer puts information into the buffer, and the other one takes it out. Trouble arises when the producer wants to put a new item in the buffer, but it is already full.

## Multiprocessor Systems

Ensuring, A multiprocessor system must satisfy the follow

(i) proper

(i) It must contain two processor that have approximately comparable equal capabilities

(ii) All processors share access to a common memory.

(iii) It does not mean that each processor does not have

## SMP - share

### Disadvantages

Creditability is limited by bandwidth, the higher the bandwidth the faster the system is.

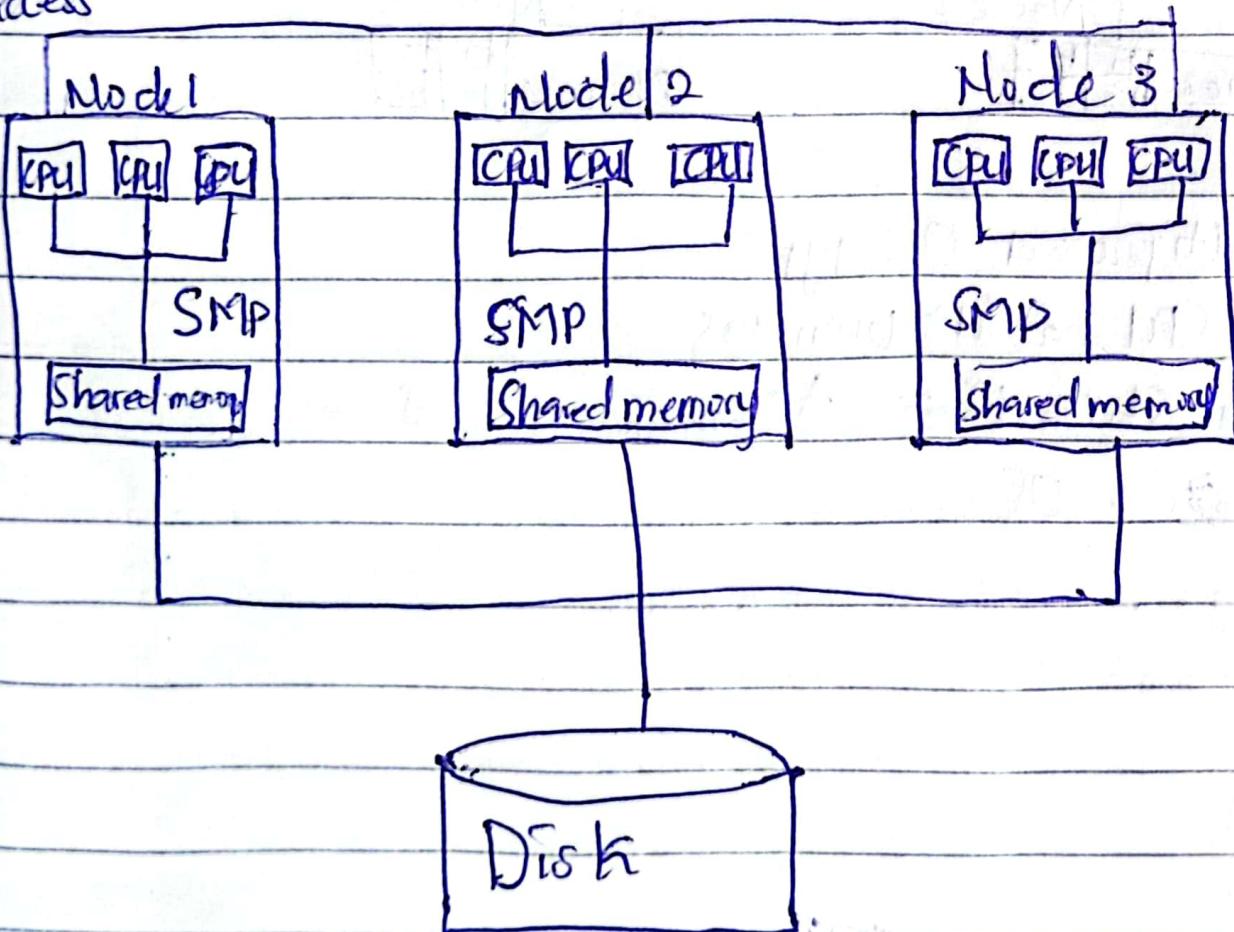
### Two types of Shared Memory

S M P

UMA  
uniform memory access

NUMA  
non-uniform memory access

COMA  
cache only memory Access



deteriorates Thrashing: A system running multiprocessor begins to

(b)

A tightly coupled multiprocessor does not conform to the above definition of a multiprocessor

Asymmetric uses master & slave architecture -

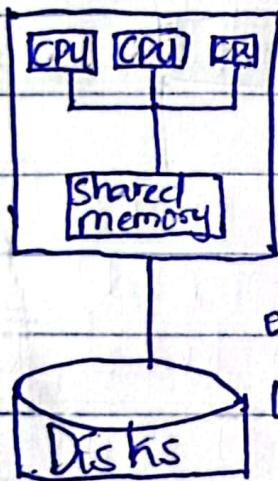
Architectures of parallel computing  
Memory architecture by Kumar

The memory architecture are: shared memory

(i) Distributed Memory

(ii) Hybrid and Distributed (Shared).

it can be 7.24 but performance deteriorates



it consists of set of CPU one single memory  
any of the processor can access that one memory  
communication

only one processor can access the shared memory  
location

Shared memory is limited to some number of processors

Advantages

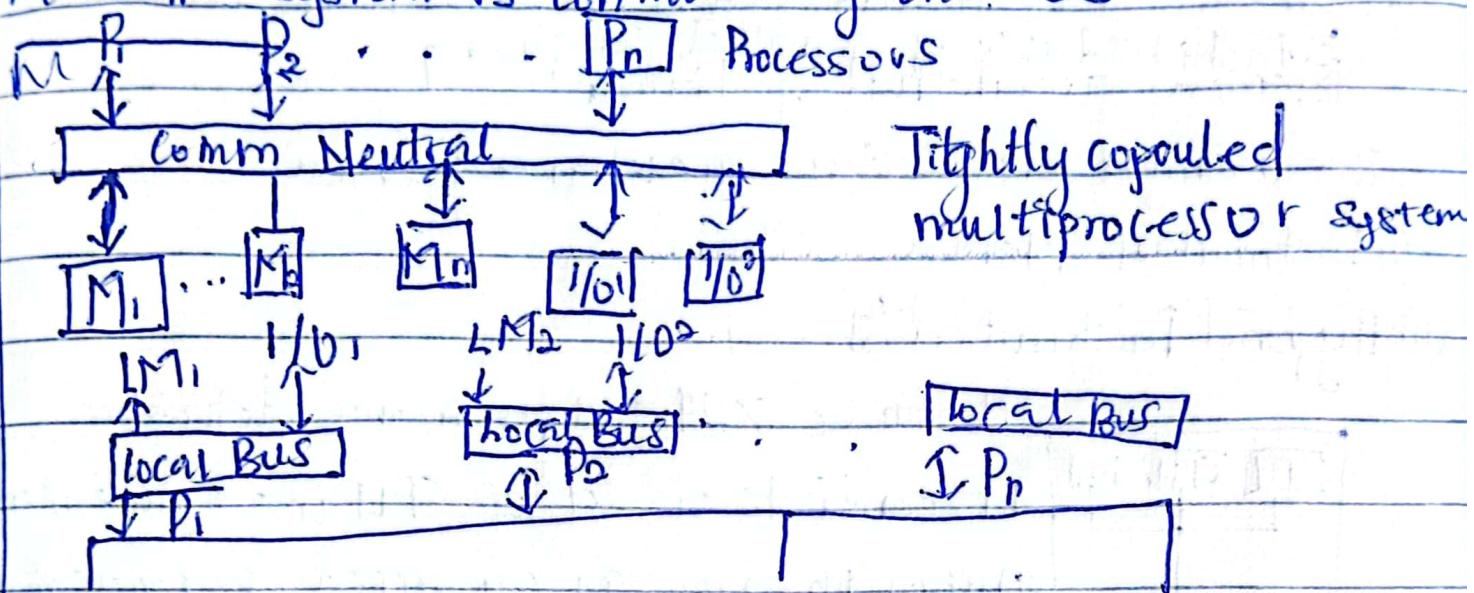
- (i) Memory Access is less expensive
- (ii) They are easier to administer
- (iii) It is easier to program

Cache is for pre-fetched data  
Cache miss/Hit

It's own local memory.

All processors share access to I/O channels, control units & devices. It does not mean that it doesn't have local I/O Interfaces

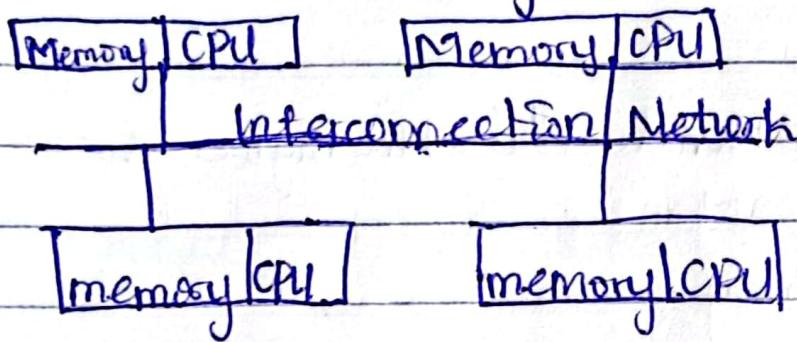
The entire system is controlled by one OS



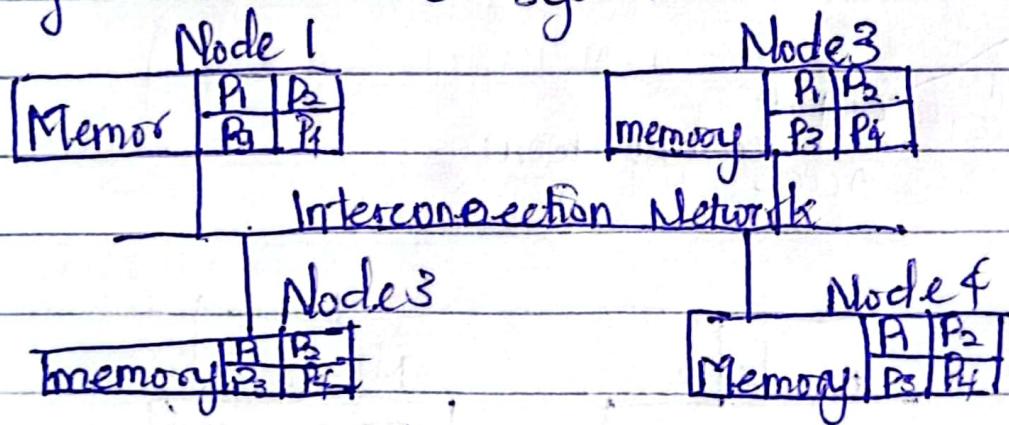
If distributed system has

- ① A multiplicity of general purpose, physical and logical resources that can be assigned to specific tasks on a dynamic basis

## ② Distributed Memory



## ③ Hybrid / Distributed System



Multiprocessor OS types

- i) Each CPU has its own OS
- ii) Master Slave OS = Asymmetric OS
- iii) Symmetric OS

# Memory Management System

14/09/2025

How O/S manages Memory: RAM

Set of Memory

CPU registers, cache L1 and L2, RAM / ROM →  
HDD → External Disk

How OS manages content of RAM, physical address is  
address of memory.

physical Address = logical Address + offset

logical Address is the address in the user's program

The registers used here are

Code Segment

Data →

Stack //

External bus

To/FROM memory FLO



DS  
ES  
CS  
IP  
segment  
Registers

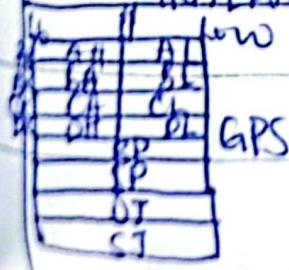


CU

The

CPU  
Diagram

INTERNET BUS



ALU  
Flags

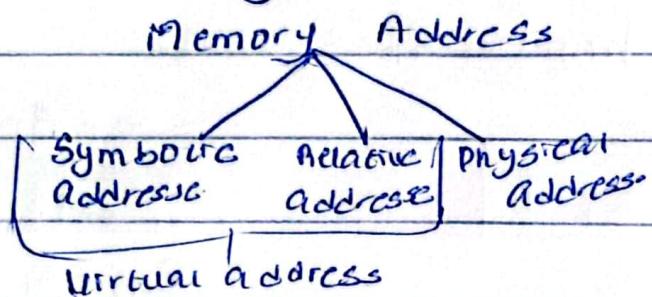
The memory management system is the functionality of an operating system which handles or manages primary memory mgt keep track of each and every memory location

If the size of the CPU is 32 bit or 64 bit the size of the RAM  $2^{32} = 4,294,967,296 \cong 4\text{GB}$

$2^{31} = 2\text{GB}$  is what process use

The OS tracks unused memory

There are three types of memory addresses



$$\text{Physical Address} = (\text{Logical address} + \text{Offset})$$

$\underbrace{\hspace{1cm}}$        $\underbrace{\hspace{1cm}}$

Virtual Address

Symbolic addresses are addresses used in the source code

MOV [BAKPO], LOOK (Table)

In the process of running a program there is:

- Load time

- Compile

- Runtime Execution

Virtual and physical addresses in compile and load

The runtime mapping from virtual to physical is done by the MMU. The user program uses virtual address, not the physical address.

Choice of static and dynamic loading is decided when the program is being developed.

Swapping is done b/w main memory and hard disk.