

# Complexity - Exercise 4

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## Question 1

**a.**

Let  $(S, T)$  be a random cut, where each node is chosen uniformly i.i.d to be in  $S$  or  $T$ . We will define indicator for each  $(u, v) \in E$ , if this edge is in the cut:

$$X_{(u,v)} = \begin{cases} 0 & u, v \in S \\ 0 & u, v \in T \\ 1 & \text{otherwise} \end{cases}$$

Define new random variable  $X = |E(S, T)|$ :

$$X = \sum_{e \in E} X_e$$

For  $X_{(u,v)}$  half of the cases of choosing  $u, v$  their sets, give  $X_{(u,v)}$  value 1, and we know  $u, v$  is drawn uniformly:

$$\mathbb{E}[X_e] = \frac{1}{2}$$

We calculate the expectation of  $X$ ,

$$\mathbb{E}[X] = \mathbb{E}\left[\sum_{e \in E} X_e\right] = \sum_{e \in E} \mathbb{E}[X_e] = \frac{|E|}{2}$$

As the graph is finite, we have at least one cut with cut of size  $\frac{|E|}{2}$ , which gives us solution of value:

$$\frac{\frac{|E|}{2}}{|E|} = \frac{1}{2}$$

**b.**

Let  $A \in NP - Complete$ , we know  $NP = PCP_{\alpha, \beta}^{\neq}(\log n, 2)$ , and hence:

$$A \in PCP_{\alpha, \beta}^{\neq}(\log n, 2)$$

So we have verifier  $V$  for  $A$  which, for any input  $x$  of length  $n$ , uses  $c_1 \cdot \log n$  coins. For each choice  $\hat{r}$  of coins, it asks two queries  $j_1^{\hat{r}}, j_2^{\hat{r}}$ . For any proof  $\pi$  we know that the probability  $V$  will accept  $x$  is:

$$\Pr_{\hat{r} \in_R \{0,1\}^{c_1 \cdot \log n}} [\pi(j_1^{\hat{r}}) \oplus \pi(j_2^{\hat{r}}) = 1]$$

There are at most  $2 \cdot 2^{c_1 \log n} = 2 \cdot n^{c_1}$  different values of queries  $j_i^{\hat{r}}$  that  $V$  can ask ( $2^{c_1 \log n}$  options for the coins and 2 for the queries). So we can create the following graph  $G = (V, E)$  in polynomial time in  $n$ :

$$V = \{1, 2, \dots, 2 \cdot n^{c_1}\}$$

$$E = \{(j_1^{\hat{r}}, j_2^{\hat{r}}) : \hat{r} \in \{0, 1\}^{c_1 \cdot \log n}\}$$

There are  $\text{poly}(n)$  vertices and edges in  $G$ . We can think of a proof  $\pi$  as partition of  $V$  to a cut  $(S, T)$  like this:

$$\pi(j_i^{\hat{r}}) = 0 \Rightarrow j_i^{\hat{r}} \in S$$

$$\pi(j_i^{\hat{r}}) = 1 \Rightarrow j_i^{\hat{r}} \in T$$

And the probability that  $V$  will accept  $x$  ( $\Pr_{\hat{r} \in R \{0,1\}^{c_1 \cdot \log n}} [\pi(j_1^{\hat{r}}) \oplus \pi(j_2^{\hat{r}}) = 1]$ ) is exactly the number of edges crossing a cut define by  $\pi$ , divide by the number of edges. So if we define  $OPT$  to be the value of *Max Cut* problem, we are having,

$$OPT(G) = \max_{\pi} \{\Pr[\pi \text{ make } V \text{ accept } x]\}$$

By the definition of  $PCP_{\alpha, \beta}^{\neq}(\log n, 2)$  we know:

- If  $x \in A$  we have  $G$  with  $OPT \geq \beta$
- If  $x \notin A$  we have  $G$  with  $OPT \leq \alpha$

And we showed a gap reduction for an *NP-complete* problem to *Max Cut*. So we conclude, by the notice we showed in class, that for every  $\gamma > \frac{\alpha}{\beta}$ , there is no  $\gamma$ -*approximation* algorithm to *Max Cut* unless  $P = NP$ .

**c.**

Let  $A \in PCP_{\alpha, 1}^{\neq}(\log n, 2)$ , so  $A$  has a verifier  $V$  which, for any input  $x$  of length  $n$ , uses  $c_1 \cdot \log n$  coins. Let be  $x$  an input, there are  $2^{c_1 \log n} = n^{c_1}$  computation paths for  $V$  on  $x$  (for each choice of coins). In each path,  $V$  choose two queries. Denote the pair of queries of all computational paths:

$$\{< X_i^1, X_i^2 > \}_{i=1}^{n^{c_1}}$$

By the definition of  $PCP_{\alpha, 1}^{\neq}(\log n, 2)$ ,  $x \in A$  if and only if there is a proof  $\pi$  which satisfy:

$$\forall 1 \leq i \leq n^{c_1} : \quad \pi(X_i^1) \oplus \pi(X_i^2) = 1$$

Existence of  $\pi$  which satisfy the above is equivalent to check if the following  $2 - SAT$  is satisfied:

$$\psi = \bigwedge_{i=1}^{n^{c_1}} [(X_i^1 \vee X_i^2) \wedge (\overline{X_i^1} \vee \overline{X_i^2})]$$

Due to the equality:

$$X \oplus Y = 1 \iff (X \vee Y) \wedge (\overline{X} \vee \overline{Y}) = 1$$

Checking whereas  $\psi$  is satisfiable, can be checked in polynomial time ( $2 - SAT \in P$ ) and which will determine if  $x \in A$ .

**Conclusion:** For a given  $x$ , we can reduce it to  $2 - SAT$  in polynomial time (going over  $\text{poly}(n)$  calculation paths and build  $\text{poly}(n)$  clauses to create  $\psi$ ). As  $2 - SAT \in P$  we conclude  $A \in P$ .

## Question 2

a.

Define  $L_i$  as all the nodes in distance  $i$  from  $s$  in  $G'$ . So for  $i \neq j$  we know  $L_i \cap L_j = \emptyset$ , we conclude:

$$\sum_{i=0}^k |L_i| \leq |V'|$$

We can use it to conclude the last inequality here:

$$\begin{aligned} k \cdot \min_{i=1}^k \{|L_{i-1}| + |L_i|\} &\leq \sum_{i=1}^k \{|L_{i-1}| + |L_i|\} = |L_0| + |L_k| + 2 \cdot \sum_{i=1}^{k-1} \{|L_i|\} \leq \\ &\leq 2 \cdot \sum_{i=0}^k \{|L_i|\} \leq 2 \cdot |V'| \end{aligned}$$

Which from this we conclude:

$$\min_{i=1}^k \{|L_{i-1}| + |L_i|\} \leq \frac{2 \cdot |V'|}{k}$$

Let  $j \in \operatorname{argmin}_{i=1}^k \{|L_{i-1}| + |L_i|\}$ , we know:

$$|L_{j-1}| + |L_j| \leq \frac{2 \cdot |V'|}{k}$$

So we conclude:

$$\begin{aligned} (|L_{j-1}| + |L_j|)^2 &\leq \frac{4 \cdot |V'|^2}{k^2} \Rightarrow \\ |L_{j-1}|^2 + |L_j|^2 + 2|L_{j-1}| \cdot |L_j| &\leq \frac{4 \cdot |V'|^2}{k^2} \end{aligned}$$

But we know:

$$\begin{aligned} (|L_{j-1}| - |L_j|)^2 &\geq 0 \Rightarrow \\ |L_{j-1}|^2 + |L_j|^2 - 2|L_{j-1}| \cdot |L_j| &\geq 0 \Rightarrow \\ |L_{j-1}|^2 + |L_j|^2 &\geq 2|L_{j-1}| \cdot |L_j| \end{aligned}$$

And together with the last two inequalities we conclude:

$$\begin{aligned} 4|L_{j-1}| \cdot |L_j| &\leq \frac{4 \cdot |V'|^2}{k^2} \Rightarrow \\ |L_{j-1}| \cdot |L_j| &\leq \frac{|V'|^2}{k^2} \end{aligned}$$

Define cut  $F = \{(u, v) : u \in L_{j-1}, v \in L_j\}$ , we have at most  $|L_{j-1}| \cdot |L_j|$  edges in  $F$ , together with previous inequality we get:

$$|F| \leq |L_{j-1}| \cdot |L_j| \leq \frac{|V'|^2}{k^2}$$

Because any path from  $s$  to  $t$  passing through the cut  $F$ ,  $F$  is separating  $s$  and  $t$ .

b.

Let  $F$  be the solution the algorithm return, we denote:

$$F = F_1 \cup F_2$$

Where  $F_1$  are the set of edges which have been deleting in the while loop (refer as **first step**), and  $F_2$  the set of edges deleting after (refer as **second step**). Denote  $F_{OPT}$  the optimal solution. We want to show:

$$|F| \leq 2|V|^{\frac{2}{3}} \cdot |F_{OPT}|$$

In the first step we deleted edges in paths, denote those  $k$  paths:

$$F_1 = \{p_1, p_2, \dots, p_k\}$$

Denote that two different paths in  $F_1$  are disjoint, as we delete all the edges of one before choosing the next path. Each path  $p_i$  has to intersect with at least one edge of  $F_{OPT}$ , as if this is not the case we will have a path smaller than  $L$  still in  $G$  after deleting  $F_{OPT}$  and contradiction to  $F_{OPT}$  being a legal solution. As the while loop goes on up to length  $|V|^{\frac{2}{3}}$  we are sure  $p_i \leq |V|^{\frac{2}{3}}$  for all  $1 \leq i \leq k$ . Summaries it up:

$$|F_1| = \sum_{i=1}^k |p_i| \leq k \cdot |V|^{\frac{2}{3}} \leq |F_{OPT}| \cdot |V|^{\frac{2}{3}}$$

If  $L \leq |V|^{\frac{2}{3}}$  we will not do step 2, and thus  $|F_2| = 0$ , and  $|F| = |F_1|$  is a legal solution which will achieve:

$$|F| = |F_1| \leq |F_{OPT}| \cdot |V|^{\frac{2}{3}}$$

Otherwise,  $L > |V|^{\frac{2}{3}}$  so we do step 2, and by the last bound we showed in [2a] we know:

$$|F_2| \leq \frac{|V|^2}{(|V|^{\frac{2}{3}})^2} = |V|^{\frac{2}{3}}$$

And we got,

$$\begin{aligned} |F| &= |F_1| + |F_2| \leq |F_{OPT}| \cdot |V|^{\frac{2}{3}} + |V|^{\frac{2}{3}} = \\ &|V|^{\frac{2}{3}}(|F_{OPT}| + 1) \leq |V|^{\frac{2}{3}}(|F_{OPT}| + |F_{OPT}|) = 2|V|^{\frac{2}{3}} \cdot |F_{OPT}| \end{aligned}$$

As in order to arrive to step 2, it has to be that  $|F_{OPT}| \geq 1$ . By [2a] we sure that deleting  $F$  will have no longer path between  $s$  and  $t$  so  $F$  is legal solution, which achieve the desired approximation ratio.