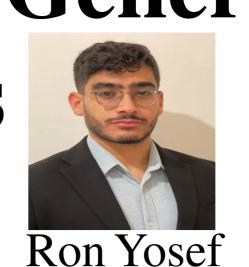




# ParallelPARC: A Scalable Pipeline for Generating Natural-Language Analogies





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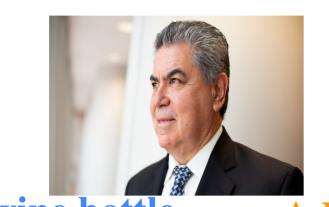
Yonatan Bitton

# **Background and Motivation**

#### **Analogies in Human Cognition**

- Analogy-making in human cognition and AI.
- Analogies play an important role across many areas.







A cork is stuck inside an empty wine bottle.

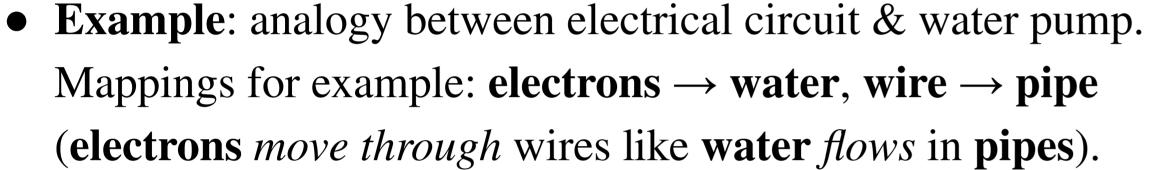
A Baby is stuck inside the birth canal.

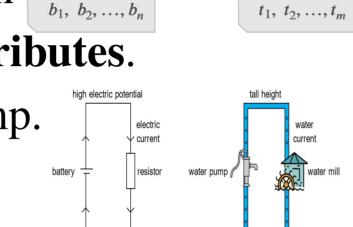
#### Existing Analogy Resources

- Surprisingly, few analogy resources exist today.
- We believe this lack of data hinders progress in computational analogy.
- Most resources focus on **word-analogies** (man:king is like woman:queen).
- Sentence-level analogies. Jiayang et al. (2023)- dataset of 24K story pairs.
- Full paragraph-level analogies. Stories from cognitive-psychology.

#### The Structure Mapping Theory (SMT), (Gentner, 1983)

• Analogy is a mapping from entities in base B to entities in target T, relying on relational similarity, not object attributes.

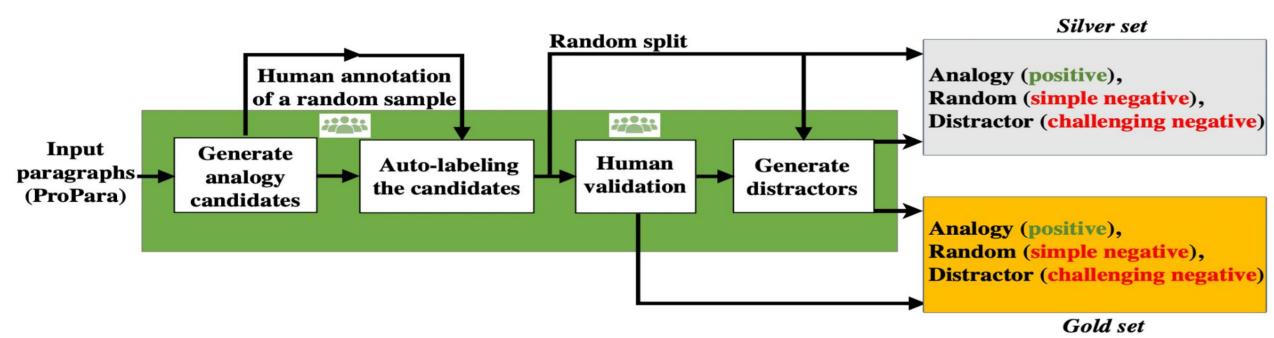




**(3)** 

#### **Our Work**

#### ParallelPARC (Parallel Paragraph Creator) Pipeline



#### Our ProPara-Logy Generated Dataset

Base	Target	Similar Relations			
<u>Title</u> : How does a solar panel work?	<u>Title</u> : How does photosynthesis occur?	(solar energy, powers, electric current)			
<b>Domain</b> : Engineering	<b>Domain</b> : Natural Science	(sunlight, powers, chemical reactions)			
Paragraph: solar energy powers an	<b>Paragraph</b> : Photosynthesis occurs				
electric current within a solar panel.	when sunlight powers chemical	(photovoltaic cells, convert, energy)			
The photovoltaic cells within the	reactions within the chloroplasts of a	(chloroplasts, tranform, energy)			
panel convert the energy from the	plant. The chloroplasts are able to				
sun into electricity. The electrical	transform the energy from the sunlight	(electrical wires, spread, power)			
wires then spread this power	into usable energy for the plant. This	(plants, distribute, nutrients)			
throughout the panel. The electric	energy is then used to produce				
<b>current</b> is then used to <i>power</i>	nutrients for the plant, which are then				
whatever the panel is connected to.	distributed throughout the plant.				

#### 1. Analogy Candidates Generation

- Goal: to generate analogy candidates from diverse scientific domains.
- How? We employed GPT-3— high-quality results at a very reasonable cost.
- (1): GPT tends to repeat itself. (2): GPT creates analogies of similar topics.
- (1): Seed GPT with B instead of asking it to generate both B and T.
- (2): Broad target domains: Eng., Natural, Social, and Biomedical Science.
- Using a single prompt for the task X
- Using two separate prompts V
  - Finding an analogous subject, and similar relations.
  - Generating a paragraph in natural language (given subject, and relations).
- We include **Similar relations**, in addition to paragraphs, subjects & domains.
- In total: 4,288 candidates.

# 2. Human Annotation Task



- We now annotate a small portion of the candidates data.
- **Goal**: to estimate the % of analogies & use the annotated data to train models.
- Given 2 paragraphs (B, T), corresponding subjects, domains & similar relations. The task: to decide whether the paragraphs are analogous and the similar relations are correct.
  - **YES** (close / far) **analogy**.
  - NO "for further inspection" (dissimilar relations, misinformation, cyclic vs. non-cyclic process, other)

## 3. Automatic Filtering and Labeling



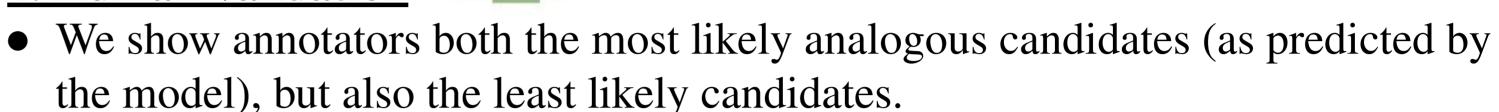
- Estimation: analogies are < 30% of the candidates data.
- We use part of our annotated data as few-shot examples for our **filtering model**.
  - o Inputs: two paragraphs, their subjects, similar relations. Label: how many workers labeled it as an analogy (0-3).

#### Goals:

**NAACL 2024** 

- To identify the most probable analogous candidates to show our annotators.
- Potentially replace the human-in-the-loop and achieve a **fully automated pipeline**.

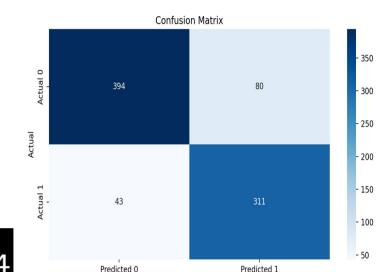
# 4. Human Validation



- 3 annotators per sample. **Strict setting**: positive if all 3 agree it is an analogy.
- We randomly gave annotators small batches to label until reaching 310 positives.
- Annotators' agreement is 78.6%, where random chance is 25%.

#### Filtering models' predictions vs. workers' majority vote

**Results**: accuracy of 85.1%, f1-score of 83.4%. 79.5% precision, predicting high likelihood of an analogy (> 30%)



## 5. Distractors Generation (Challenging Negatives)

- **Motivation:** In addition to the analogies, our aim is to create negatives.
- **Formulation**. Let B and T be two analogous paragraphs. We create distractor T' that keeps first-order relations of T, but changes the higher-order relations – i.e., relations between first-order relations (e.g, cause and effect, or temporal dependencies). **How?** To create T', we find two dependent events in T such that one must precede the other, and switch their order.
- **Generation.** GPT-4 with two separate prompts:
  - o Finding & Replacing two dependent events (one-shot).
  - writing a coherent T' (few-shot).

#### • Evaluation.

- o GPT4 **89%** accuray.
- We create distractors for both gold and silver sets.

# How do bats use echolocation? (Natural Sciences) Bats use echolocation to navigate and | Submarines use sonar technology to | Submarines interpret the echo to find food. **They emit high frequency** detect objects in the water.

sound waves that bounce off of objects | They emit sound waves, which | the object. After interpreting the The bats then **receive the echoes** and **in-** off the objects. terpret the information to locate their | The sound waves are then received | off the objects. These sound waves prey and navigate their surroundings. back as an echo. Submarines in- are then received back as an echo. Submarines interpret the echo to deter- terpret the echo to determine the Finally, submarines use sonar techmine the distance and size of the object. distance and size of the object.

(Engineering)

How do submarines use sonar? How do submarines use sonar? (Engineering) determine the distance and size of travel through the water and bounce | echo, they emit sound waves, which travel through the water and bounce nology to detect objects in the water.

# **Evaluating Humans and LLMs on ProPara-Logy Benchmark**

**Binary Classification Task.** To decide whether the processes are analogous. The target paragraph could be:

- Analogy (positive) / Random (simple negative) / Distractor (challenging negative) Multiple choice Task. Given a base paragraph B, along with 4 candidate paragraphs, the task is to identify the paragraph that is most analogous to B. **Setups:** 
  - Basic: includes one analogous paragraph and 3 random paragraphs.
  - Advanced: includes challenging distractors.

#### **Research Questions:**

- **RQ1:** What is the performance of humans and models?
- Humans achieve better performance than models (~13% gap on both tasks)!
- GPT4 achieves the best accuracy out of the models!
- **RQ2:** Is the automatically-generated "silver set" (without human validation) useful for training models?
  - The training of FlanT5-small on the silver-set significantly improved its Performance!
- **RQ3:** Can the distractors fool humans and models?
  - The challenging distractors confuse LLMs, but not humans!

Row	Settings	Method	Overall	Per Target Type					Mothod	Dagia	Adversed
				Positives (50%)	Negatives (50%)		Darry Ca44!				
				Analogy	Random	Distractor	Row	Settings	Method	Basic	Advanced
1		Random Guess	50	50	50	50	1		Random Guess	25	25
2		GPT4	79.5	95.2	92.9	34.8			GPT4	95.5	83.2
3		ChatGPT	68.2	53.5	96.8	69.0	2				
4	Zero-shot	Gemini Pro	73.9	79.7	100	36.1	3		ChatGPT	74.2	59
5		FlanT5-XXL	61.1	28.1	100	88.4	4	7 1 1	Gemini Pro	87.4	62.6
6		FlanT5-XL	59.7	25.1	100	88.4	5	Zero-shot	FlanT5-XXL	87.4	75.2
7		FlanT5-small	49.3	0	97.4	100	3				
8		Humans	79	58	100	100	6		FlanT5-XL	68.4	55.5
9		GPT4 (in-context)	78	86.5	98.1	40.7	7		FlanT5-small	32.9	32.9
10	Guided	FlanT5-small (fine-tune)	74.4	87.1	96.1	27.1		~		400	0.4
11		Humans	92.5	95	100	80	8	Guided	Humans	<b>100</b>	96

We hope researchers will use the pipeline in domains where analogies have shown promise, and that this work will inspire more NLP work on analogies, leading to new tasks and benchmarks!