# Exercises Galois Theory for Schemes

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# 1 Galois Theory for fields

**Exercise 1.1.** Let  $K \subset L$  be a Galois extension of fields, and let I be a set of subfields  $E \subset L$  with  $K \subset E$  for which  $[E : K] < \infty$  for every  $E \in I$  and  $\bigcup_{E \in I} E = L$ . Prove that I, when partially ordered by inclusion, is directed.

**Solution.** Let  $E', E \in I$ . Then EE' is a finite extension of K, because its generated by a the union of generators of E and E', which is a finite set of algebraic elements. Moreover, EE' is separable (L is Galois  $\Rightarrow$  separable, and  $EE' \subseteq L$  so all elements of EE' are separable). Then, by the primitive element theorem,  $EE' = K(\alpha)$  for a certain element  $\alpha \in L$ . Then, as  $\bigcup_{E \in I} E = L$ ,  $\exists F \in I$  such that  $\alpha \in F$ . Then,  $EE' \subseteq F$  and so  $E, E' \subseteq F$ . This proves that I is directed.

**Exercise 1.2.** Let  $K \subset L$  be a Galois extension of fields, and I any directed set of subfields  $E \subset L$  with  $K \subset E$  Galois for which  $\bigcup_{E \in I} E = L$ . Prove that there is an isomorphism of profinite groups  $\operatorname{Gal}(L/K) \cong \varprojlim_{E \in I} \operatorname{Gal}(E/K)$ .

**Solution.** We will chech that the application  $\phi: \operatorname{Gal}(L/K) \to \varprojlim_{E \in I} \operatorname{Gal}(E/K)$  defined by  $\sigma \mapsto (\sigma|_E)_{E \in I}$  is the desired isomorphism of topological groups. First note that it is a well defined group morphism: As  $K \subset E$  is Galois then  $\sigma(E) = E$  for every  $\sigma \in \operatorname{Gal}(L/K)$ , so the restriction of  $\sigma$  to E is indeed an element of  $\operatorname{Gal}(E/K)$ . Moreover, if  $F, E \in I$  with  $F \subset E$ , then  $\phi(\sigma)_{E'} = \sigma|_{E'} = (\sigma|_E)|_{E'} = f_{EE'}(\phi(\sigma)_E)$ .

Now let's prove the continuity. For that we need to know the topology of  $\varprojlim_{E \in I} \operatorname{Gal}(E/K)$ . The topology will be induced by the product topology. A basis of open sets for the topology of  $\prod_{E \in I} \operatorname{Gal}(E/K)$  is then

$$\left\{ \prod_{E \notin J} \operatorname{Gal}(E/K) \times \prod_{E \in J} U_E \right\}$$

Where J denotes a finite subset of I, and  $U_E$  is an open set of  $\operatorname{Gal}(E/K)$ . Then,  $U_E = \bigcup_{\sigma,F} U_{\sigma,F}$  for certain  $\sigma \in \operatorname{Gal}(E/K)$ ,  $K \subset F \subset E$ ,  $[F:K] < \infty$ . Therefore every basic open set of  $\prod_{E \in I} \operatorname{Gal}(E/K)$  can be expressed as the union of sets  $\prod_{E \notin J} \operatorname{Gal}(E/K) \times \prod_{E \in J} U_{\sigma^E,F^E}$ , so those sets form a base of the topology of  $\prod_{E \in I} \operatorname{Gal}(E/K)$ . In conclusion, the following sets are a base of  $\varprojlim_{E \in I} \operatorname{Gal}(E/K)$ :

$$\mathcal{B} = \left\{ \varprojlim_{E \in I} \operatorname{Gal}(E/K) \cap \left( \prod_{E \notin J} \operatorname{Gal}(E/K) \times \prod_{E \in J} U_{\sigma^E, F^E} \right) \right\}$$

Note that given  $\sigma: E \to E$  we can extend it to  $\overline{\sigma}: \overline{L} \to \overline{L}$  and then restrict it to L to obtain  $\sigma': L \to L$  such that  $\sigma'|_E = \sigma$ . Then, the antiimage by  $\phi$  of an open set  $U \in \mathcal{B}$  is the set

 $\tau \in \operatorname{Gal}(L/K)$  such that  $\tau|_{F^E} = \sigma^E|_{F^E} \ \forall E \in J\} = \bigcap_{E \in J} U_{\sigma'^E, F^E}$ , which is a finite intersection of open sets of  $\operatorname{Gal}(L/K)$ , and so it is open.

Now let's prove injectivity of  $\phi$ : Let  $\phi(\sigma) = \phi(\tau)$ . It is enough to check that  $\tau(\alpha) = \sigma(\alpha)$ ,  $\forall \alpha \in L$ . Indeed, let  $\alpha \in L$ . Then as  $\bigcup_{E \in I} E = L$ ,  $\alpha \in E$  for a certain  $E \in I$ , and  $\sigma|_E = \tau|_E$ , which means  $\sigma(\alpha) = \tau(\alpha)$  as desired.

Let  $(\sigma_E)_{E\in I} \in \varprojlim_{E\in I} \operatorname{Gal}(E/K)$ . We will define  $\sigma \in \operatorname{Gal}(L/K)$  as  $\sigma(\alpha) = \sigma_E(\alpha)$  if  $\alpha \in E$ . It is clear that  $\phi(\sigma) = (\sigma_E)_{E\in I}$ , so we just have to check that  $\sigma$  is well defined, that is, if  $\alpha \in E, E'$ , with  $E, E' \in I$ , then  $\sigma_E(\alpha) = \sigma'_E(\alpha)$ . But as the set is directed,  $\exists F \in I$  such that  $F \supset E, E'$  and so clearly  $\alpha \in F$ . As  $(\sigma_E) \in \varprojlim_{E\in I} \operatorname{Gal}(E/K)$ , then  $\sigma|_E = \sigma_F|_E$  and  $\sigma|'_E = \sigma_F|'_E$ , so  $\sigma_E(\alpha) = \sigma_F(\alpha) = \sigma'_E(\alpha)$  as desired.

Finally note that  $\operatorname{Gal}(L/K)$  is compact because it is profinite and  $\varprojlim_{E\in I}\operatorname{Gal}(E/K)$  is Hausdorff, because each  $\operatorname{Gal}(E/K)$  is Hausdorff and products and subspaces of Hausdorff are Hausdorff. Then  $\phi$  is bijective and continuous group morphism, so it is an isomorphism of topological groups.

- **Exercise 1.3.** a) Let  $K \subset L$  be a Galois extension of fields, with Galois group G. View G as a subset of the set  $L^L$  of all functions  $L \to L$ . Let L be given the discrete topology and  $L^L$  the product topology. Prove that the topology of the profinite group G coincides with the relative topology inside  $L^L$ .
  - b) Conversely, let L be any field and  $G \subset Aut(L)$  a subgroup that is compact when viewed as a subset of  $L^L$  (topologized as in (a)). Prove that  $L^G \subset L$  is Galois with Galois group G.
  - c) Prove that any profinite group is isomorphic to the Galois group of a suitably chosen Galois extension of fields.
- **Solution.** a) A basic open set of  $L^L$  is of the form  $U = \prod_{\alpha \in J} U_\alpha \times \prod_{\alpha \notin J, \alpha \in L} L$ , where J is a finite set of elements of L and  $U_\alpha$  is a subset of L which is not the total. Then, a basic open set of G (with topology induced by  $L^L$ ) will be of the form  $G \cap U = \{\sigma \in G \text{ such that } \sigma(\alpha) \in U_\alpha, \forall \alpha \in J\}$ . As J is a finite subset of L, then K(J) is a finite extension. Let's note F the normal closure of K(J), which will also be a finite extension of K, which in addition is Galois. Let's consider the set  $V = \{\sigma \in \operatorname{Gal}(F/K) \text{ such that } \sigma(\alpha) \in U_\alpha, \forall \alpha \in J\}$ . Then

$$G \cap U = G \cap \left(V \times \prod_{L \supset E \neq F, E/K \text{ finite Galois}} \operatorname{Gal}(E/K)\right)$$

And the right hand side is an open set of G as a profinite group. This proves that the topology of G as a profinite group is finer that that of G as a subset of  $L^L$ .

Reciprocally, let's take a basic open set of G as a profinite group,  $U = G \cap (\prod_{E \in J} \operatorname{Gal}(E/K) \times \prod_{E \notin J} U_E)$ . Each  $E \in J$  can be expressed as  $K(\alpha_E)$ , by the primitive element theorem, so the action of  $\sigma$  on E is totally determined by the image of the element  $\alpha_E$ . Then, let  $U_{\alpha_E} = \bigcup_{\sigma \in U_E} \sigma(\alpha)$ , and the open set U can now be described as

$$G \cap \left(\prod_{E \in J} U_{\alpha_E} \times \prod_{\alpha \in L, \alpha \neq \alpha_E} L\right)$$

which is an open set of G as a subspace of  $L^L$ . This proves that the topology of G as a subset of  $L^L$  is finer than the topology of G as a profinite group. In conclusion both topologies of G are the same one.

b) First let's prove that  $L^G \subset L$  is algebraic: Indeed, let  $\alpha \in L$  and let's take the cover of G given by

$$\left\{ \{\beta\} \times \prod_{\alpha' \neq \alpha} L \right\}_{\beta \in L}$$

As G is compact as a subset of  $L^L$  we can extract a finite subcovering from that covering, and that means that the orbit of  $\alpha$  under G is finite. Let  $f(x) = \prod_{\beta \in G\alpha} (x - \beta)$ . That polynomial is invariant under the action of G, so it has coefficients in  $L^G$ . Clearly  $\alpha$  is a root of f, so  $\alpha$  is algebraic. Then by definition  $L^G \subset L$  is Galois, and we have that  $G \subseteq \operatorname{Gal}(L/L^G)$ , as every element of G fixes  $L^G$ . As G is compact and  $L^L$  is Hausdorff, G is closed in  $L^L$ , and then it is closed as a subgroup of  $\operatorname{Gal}(L/L^G)$ , by (a). Then, by the correspondence between closed subgroups of  $\operatorname{Gal}(L/L^G)$  and field extensions, given by 2.3, we have that  $\operatorname{Gal}(L/L^G) = G$ .

c) Let K be any field and let X be the set of conjugacy classes  $\tau H$ , where H is an open normal subgroup of G. Let G act on X as follows: Given  $\sigma \in G$ ,  $\sigma(\tau H) = (\sigma \tau)H$ . Let L = K(X). Then the action of G on X induces an automorphism of E for every element of E, so we have a natural map E E Aut(E). This map is injective: Indeed, an element E E acts trivially on E if and only if E E open normal subgroup. As E is profinite, then E is a result in Cassels, Algebraic Number Theory, Chapter V, Corollary 1), and so the only E is the identity. Then the only element acting trivially on E is the identity, and so E Aut(E) is injective and we can view E as a subset of Aut(E) (1).

Note that every element of L can be expressed as a quotient of polynomials with indeterminates as elements of X, so  $\alpha$  has a finite orbit, because if  $\mathcal{H}_{\alpha}$  is the set of groups with conjugacy classes appearing in the expression of  $\alpha$ , then  $|G\alpha| \leq \prod_{H \in \mathcal{H}_{\alpha}} [G:H]$ . Then, given a basic open set of  $L^L$ ,  $U = \prod_{\alpha \in J} U_{\alpha} \times \prod_{\alpha \notin J} L$ , with J a finite subset of L. For  $\alpha \in J$ ,  $H \in \mathcal{H}$ , consider all the conjugacy classes of H that appear in the expression of a certain  $\beta$ , with  $\beta \in U_{\alpha}$ , and note it  $U_H$ . Then, the open set U can be expressed as an open set of G as a profinite group as follows:

$$U = \prod_{\alpha \in J} \prod_{H \in \mathcal{H}_{\alpha}} U_H \times \prod_{H \notin \mathcal{H}_{\alpha}, \, \forall \alpha} G/H$$

This shows that the topology of G as a profinite group is finer that its topology as a subspace of  $L^L$  (that is more general than the proof done in (a) of the same fact, because in (a) we knew that G was a Galois group). Then, given an open cover of G in  $L^L$  it is also an open cover of G as a profinite group, and as all profinite groups are compact, we can extract a finite covering. This proves that G is compact when viewed as a subset of  $L^L$ . (2)

Now G satisfies the two conditions of (b), so  $L/L^G$  is then a Galois with Galois group G.

### Exercise 1.4.

**Exercise 1.5.** Let  $K \subset L$  be a Galois extension of fields,  $S \subset \operatorname{Gal}(L/K)$  any subset, and  $E = \{x \in L : \forall \sigma \in S\sigma(x) = x\}$ . Prove that  $\operatorname{Gal}(L/E)$  is the closure of the subgroup of  $\operatorname{Gal}(L/K)$  generated by S.

**Solution.**  $\forall \sigma \in S, x \in E$ , we have  $\sigma(x) = x$ , so E is fixed by S and so  $\langle S \rangle \subset \operatorname{Gal}(L/E)$ . To chech  $\overline{\langle S \rangle} = \operatorname{Gal}(L/E)$ , it is enough to check that  $U_{\sigma,F} \cap \langle S \rangle \neq \emptyset$  for every  $\sigma \in \operatorname{Gal}(L/E)$  and every F. We will proceed as in the proof of the main theorem: Given a finite extension

 $K \subset F$ , let M be a finite Galois extension such that  $F \subset M$ . Let's restrict  $\langle S \rangle$  to M to obtain H' a subgroup of  $\operatorname{Gal}(M/K)$ . We have that  $M^{H'} = E \cap M$ , as both sides of the equality are the elements of M fixed by S. We have  $\sigma|_{M^{H'}} = Id$ , so  $\sigma|_M \in \operatorname{Gal}(M/M^{H'}) = H' = \langle S \rangle|_M$ . Then,  $\exists \tau \in \langle S \rangle$  such that  $\tau|_M = \sigma_M$ , and restricting further to F we have finally  $U_{\sigma,F} \cap \langle S \rangle \neq \emptyset$ .

**Exercise 1.6.** Let  $K \subset L$  be a Galois extension of fields,  $S \subset \operatorname{Gal}(L/K)$  and  $H' \subset H \subset \operatorname{Gal}(L/K)$  closed subgroups with  $\operatorname{index}[H:H'] < \infty$ . Prove that  $L^H \subset L^{H'}$  is finite, and that  $[L^{H'}:L^H] = \operatorname{index}[H:H']$ . Which part of the conclusion is still true if H', H are not necessarily closed?

**Solution.**  $L^H \subset L^{H'}$  is a Galois extension. Indeed, it is algebraic, because L is algebraic over K so every element of  $L^{H'}$  is algebraic over K and therefore also over  $L^H$ . Moreover, H is a subgroup of  $\operatorname{Aut}(L^{H'})$  so  $L^H \subset L^{H'}$  is Galois.

As H' is closed in  $\operatorname{Gal}(L/K)$ , it is also closed in H, and  $H = \operatorname{Gal}(L/L^H)$ . As H' corresponds to a closed subgroup of finite index of H (by hypothesis), then it is an open subgroup of H. Now, using the fundamental theorem 2.3(a), we have that  $L^H \subset L^{H'}$  is finite, and  $[L^{H'}:L^H] = \operatorname{index}[H:H']$ .

If H, H' are not necessarily closed, then every coset of H' induces a morphism  $\tau: L^{H'} \to L$  such that  $L^H$  is invariant (that is, an  $L^H$ -immersion). The number of such immersions is the separablility index  $[L^{H'}:L^H]$ , which we knoe that divides the degree of the extension for finite extensions. Then, it still holds that  $[L^{H'}:L^H] \geq \operatorname{index}[H:H']$ .

**Exercise 1.7.** Let K, L, F be subfields of a field  $\Omega$ , and suppose that  $K \subset L$  is Galois and that  $K \subset F$ . Prove that  $F \subset LF$  is Galois, and that  $\operatorname{Gal}(LF/F) \cong \operatorname{Gal}(L/L F)$  (as topological groups).

**Solution.** Every element of L is normal algebraic and separable. As these properties are conserved by adjuntion, then LF = F(L) is also algebraic, separable and normal, so it is Galois. Now consider the application  $\Phi : \operatorname{Gal}(LF/F) \to \operatorname{Gal}(L/L \cap F)$  defined by restriction  $\Phi(\sigma) = \sigma|_{L}$ .

The application is well defined, as L is normal, so  $\sigma(L) = L$  and  $\Phi(\sigma)(\alpha) = \sigma(\alpha) = \alpha$  for every element  $\alpha \in L \cap F$ . This proves that  $\Phi(\sigma)$  is a indeed an element of  $\operatorname{Gal}(L/L \cap F)$ . Now let's check that we have an isomorphism of topological groups.

First we prove injectivity: Let  $\sigma \in \operatorname{Gal}(LF/F)$  such that  $\sigma|_L = Id$ . Then,  $\forall \alpha \in L, \sigma(\alpha) = \alpha$ . As LF = F(L), then  $\sigma$  is completely determined by its image over the elements of L, so if  $\sigma|_L = Id$ , then  $\sigma = Id$ . A similar argument works to prove surjectivity: Given  $\tau \in \operatorname{Gal}(L/L \cap F)$  we define  $\sigma \in \operatorname{Gal}(LF/F)$  as  $\sigma(\alpha) = \sigma(\sum_{i=1}^n a_i \alpha_i) = \sum_{i=1}^n a_i \sigma(\alpha_i)$ , and we clearly have  $\Phi(\sigma) = \tau$ . Now let's prove continuity. Let  $U_{\sigma,E}$  be an open set of  $\operatorname{Gal}(L/L \cap F)$ .  $U_{\sigma,E} = \{\tau \in T\}$ 

Now let's prove continuity. Let  $U_{\sigma,E}$  be an open set of  $\operatorname{Gal}(L/L \cap F)$ .  $U_{\sigma,E} = \{\tau \in \operatorname{Gal}(L/L \cap F) \text{ such that } \tau|_E = \sigma|_E\}$ , with  $[E:L \cap F] < \infty$ . We have  $\Phi^{-1}(U_{\sigma,E}) = \{\tau \in \operatorname{Gal}(LF/F) \text{ such that } \tau|_E = \sigma|_E\}$ . The image of  $\tau$  on E is completely determined by the image of a certain element  $\alpha$ , by the primitive element theorem. So let  $E' = F(\alpha)$  and we have that  $[E':F] < \infty$  and  $\tau|_E' = \sigma'|_E'$ , where  $\sigma'$  is the only element of  $\operatorname{Gal}(LF/F)$  such that  $\Phi(\sigma') = \sigma$ . This proves that  $\Phi^{-1}(U_{\sigma,E}) = U_{\sigma',E'}$  which is open, and this proves the continuity. So we have a continuous bijective map between profinite groups, and therefore it is an isomorphism of topological groups.

#### Exercise 1.8.

**Exercise 1.9.** Let K be a field. Prove that for every Galois extension  $K \subset L$  the group  $\operatorname{Gal}(L/K)$  is isomorphic to a quotient of the absolute Galois group of K.

**Solution.** Let  $K \subset L$  be a Galois extension, and consider  $\overline{L} = \overline{K}$  the algebraic closure. Then we have  $K \subset L \subset K_s$  Galois extensions, and by the fundamental theorem (2.3 (d))  $\operatorname{Gal}(K_s/L)$  is a normal subgroup of  $\operatorname{Gal}(K_s/K)$  and  $\operatorname{Gal}(L/K) \cong \operatorname{Gal}(K_s/K)/\operatorname{Gal}(K_s/L)$ .

**Exercise 1.10.** A Steinitz number or supernatural number is a formal expression  $a = \prod_{p \ prime} p^{a(p)}$ , where  $a(p) \in \{0, 1, 2, ..., \infty\}$  for each number p. If  $a = \prod_p p^{a(p)}$  is a Steinitz number, we denote by  $a\hat{\mathbb{Z}}$  the subgroup of  $\hat{\mathbb{Z}}$  corresponding to  $\prod_p p^{a(p)} \mathbb{Z}_p$  (with  $p^{\infty} \mathbb{Z}_p = \{0\}$ ) under the isomorphism  $\hat{\mathbb{Z}} \cong \prod_p \mathbb{Z}_p$ .

- a) Prove that the map  $a \mapsto a\hat{\mathbb{Z}}$  from the set of Steinitz numbers to the set of closed subgroups of  $\hat{\mathbb{Z}}$  is bijective. Prove also that  $a\hat{Z}$  is open if and only if a is finite, i.e.  $\sum_{n} a(p) < \infty$ .
- b) Let  $\mathbb{F}_q$  be a finite field, with algebraic closure  $\overline{\mathbb{F}_q}$ . For a Steinitz number a, let  $\mathbb{F}_{q^a}$  be the set of all  $x \in \mathbb{F}_q$  for which  $[\mathbb{F}_q(x) : \mathbb{F}_q]$  divides a (in an obvious sense). Prove that the map  $a \mapsto \mathbb{F}_{q^a}$  is a bijection from the set of Steinitz numbers to the set of intermediate fields of  $\mathbb{F}_q \subset \overline{\mathbb{F}_q}$ .

#### Solution.

Injectivity of the application is clear. To show surjectivity, it is enough to prove that every closed subgroup of  $\hat{\mathbb{Z}}$  is of the form  $a\hat{\mathbb{Z}}$ . Indeed, if G is a closed subgroup of  $\hat{\mathbb{Z}}$ , then  $G = \hat{\mathbb{Z}} \cap \prod G_n$ , where  $G_n$  is a subgroup of  $\mathbb{Z}/n\mathbb{Z}$  for each n. When  $n = p^m$ , the only possibilities are  $G_{p^m} = p^{k_m}\mathbb{Z}/p^m\mathbb{Z}$ . Moreover, if  $k_m \neq m$  for a certain m we will have  $G_{p^{m'}} = p^{k_m}\mathbb{Z}/p^{m'}\mathbb{Z}$ . Let's define a(p) as this value of  $k_m$ . Then, under the isomorphism  $\hat{\mathbb{Z}} \cong \prod_p \mathbb{Z}_p$  the closed subgroup G corresponds to  $\prod_p p^{a(p)}\mathbb{Z}_p$ , which is by definition  $a\hat{\mathbb{Z}}$ .

It is clear that if a is finite, then  $a\hat{\mathbb{Z}}$  has finite index and so it is open. Reciprocally, using again problem 1.11, we know that  $a\hat{\mathbb{Z}}$  is open if and only if  $\exists n$  such that  $\ker f_n : \hat{\mathbb{Z}} \to \mathbb{Z}/n\mathbb{Z}$  is a subgroup of  $a\hat{\mathbb{Z}}$ . Then, if  $n = \prod p_i^{k_i}$ ,  $\ker f_n = \prod_{p_i|n} p_i^{k_i}\mathbb{Z}_{p_i} \times \prod p \nmid n\mathbb{Z}_p$ .  $\ker f_n \subset a\hat{\mathbb{Z}} \iff a(p) = 0$  for all  $p \nmid n$  and  $a(p_i) \leq k_i$  for all  $p_i|n$ . Then  $\sum_p a(p) \leq \sum_{i=1}^m k_i \leq \infty$ , and so a is finite.

By (a) we have a correspondence between Steinitz numbers and the set of closed subgroups of  $\hat{\mathbb{Z}}$ . As  $\operatorname{Gal}(\overline{\mathbb{F}_q}/\mathbb{F}_q) \cong \hat{\mathbb{Z}}$ , then theorem 2.3 gives a correspondence between Steinitz numbers and intermediate extensions  $\mathbb{F}_q \subset E \subset \overline{\mathbb{F}_q}$  given by  $a \mapsto \overline{\mathbb{F}_q}^{a\hat{\mathbb{Z}}}$ . So we only need to check that  $\overline{\mathbb{F}_q}^{a\hat{\mathbb{Z}}} = \mathbb{F}_{q^a}$ .

Let  $x \in \mathbb{F}_{q^a}$ . Then  $[\mathbb{F}_q(x) : \mathbb{F}_q] = n$ , and n|a so  $\operatorname{Gal}(\mathbb{F}_q(x)/\mathbb{F}_q) = \mathbb{Z}/n\mathbb{Z}$ , and so  $\operatorname{Gal}(\overline{\mathbb{F}_q}/\mathbb{F}_q(x)) = n\hat{\mathbb{Z}}$ . Then as n|a it is clear that  $a\hat{\mathbb{Z}} \subset n\hat{\mathbb{Z}}$ , and so  $x \in \mathbb{F}_q^{a\hat{\mathbb{Z}}}$ .

Reciprocally, given  $x \in \mathbb{F}_q^{a\hat{\mathbb{Z}}}$  let's consider the extension  $\mathbb{F}_q \subset \mathbb{F}_q(x)$ , which is finite and of degree a certain n. Then,  $\mathbb{F}_q(x) \subset \mathbb{F}_q^{a\hat{\mathbb{Z}}}$ , and so  $\operatorname{Gal}(\overline{\mathbb{F}_q}/\mathbb{F}_q^{a\hat{\mathbb{Z}}}) \subset \operatorname{Gal}(\overline{\mathbb{F}_q}/\mathbb{F}_q(x))$ , and  $a\hat{\mathbb{Z}} \subset n\hat{\mathbb{Z}}$ , which implies that n|a and so  $x \in \mathbb{F}_{q^a}$ .

#### Exercise 1.11.

**Exercise 1.12.** Let K be a field,  $K_s$  its separable closure, m a positive integer not divisible by char(K), and  $\omega$  the number of m-th roots of unity in K.

- a) Let for  $\tau \in \operatorname{Gal}(K_s/K)$  the integer  $c(\tau)$  be such that  $\tau(\varsigma_m) = \varsigma_m^{c(\tau)}$ , where  $\varsigma_m$  denotes a primitive m-th root of unity. Prove that  $\omega$  is the greatest common divisor of m and all numbers  $c(\tau) 1$ ,  $\tau \in \operatorname{Gal}(K_s/K)$ .
- b) **Schienzel's Theorem**. Let  $a \in K$ . Prove that the splitting field of  $X^m a$  over K is abelian over K if and only if  $a^{\omega} = b^m$  for some  $b \in K$ . [Hint for the only if part: if  $\alpha^m = a$ , prove that  $\alpha^{c(\tau)}/\tau(\alpha) \in K^*$  for all  $\tau$ .]

- **Solution.** a) Let d be the least exponent such that  $\varsigma_m^d \in K$ . Then, the subgroup of the m-th roots of unity generated by  $\varsigma_m^d$  has order  $\omega$ , and so  $\omega d = m$ . Then it is clear that  $\omega|m$ . Moreover, let  $\tau \in \operatorname{Gal}(K_s/K)$  and as  $\varsigma_m^d \in K$  we will have that  $\tau(\varsigma_m^d) = \varsigma_m^{dc(\tau)} = \varsigma_m^d$ . This means that  $c(\tau)d \equiv d \pmod{m} \Rightarrow c(\tau)d \equiv d \pmod{d\omega} \Rightarrow c(\tau) \equiv 1 \pmod{\omega} \Rightarrow \omega|c(\tau)-1$ . This proves that  $\omega$  is a common divisor of m and  $c(\tau)-1$ , for all  $\tau \in \operatorname{Gal}(K_s/K)$ . Now suppose that the greatest common divisor is not  $\omega$ , that is, that exists k > 1 such that  $k\omega|m$  and  $k\omega|c(\tau)-1$ ,  $\forall \tau$ . Then let  $k\omega d' = m$ , where d'k = d. Note that  $\forall \tau$  we will have  $\tau(\varsigma_m^{d'}) = \varsigma_m^{c(\tau)d'}$ . And as  $c(\tau)-1 \equiv 0 \pmod{k\omega}$ , then  $d'c(\tau) \equiv d' \pmod{m}$  so  $\varsigma_m^{d'} \in K$ , which is a contradiction as d' < d.
  - b) Let L be the splitting field of  $X^m a$ . The roots of this polynomial are  $\varsigma_m^k \alpha$ , for a certain  $\alpha$  such that  $\alpha^m = a$ . Then  $L = K(\alpha, \varsigma_m)$ , and every element  $\tau \in \operatorname{Gal}(L/K)$  is totally determined by  $\tau(\varsigma_m) = \varsigma_m^{c(\tau)}$  and  $\tau(\alpha) = \varsigma_m^s \alpha$  for a certain s. Given an element  $g \in \operatorname{Gal}(L/K)$  defined by s, c(g), we have  $g(\varsigma_m^k \alpha) = g(\varsigma_m^k)g(\alpha) = \varsigma_m^{kc(g)}\varsigma_m^s \alpha$ . Then every element of  $\operatorname{Gal}(L/K)$  can be expressed as  $g = \sigma \tau$ , with  $\sigma \in \operatorname{Gal}(L/K(\varsigma_m))$  and  $\tau \in \operatorname{Gal}(L/K(\alpha))$ . These subgroups are abelian: The first one is a cyclic group of order a divisor of m, and the second one is a sugbroup of the multiplicative group  $\mathbb{Z}/m\mathbb{Z}$ . Note that then  $\operatorname{Gal}(L/K)$  is abelian if and only if arbitrary  $\sigma, \tau$  belonging to these subgroups commute.

Let  $\sigma \in \operatorname{Gal}(L/K(\varsigma_m))$  such that  $\sigma(\alpha) = \varsigma_m^s \alpha$  and  $\tau \in \operatorname{Gal}(L/K(\alpha))$ . Then,  $\sigma\tau(\varsigma_m^k \alpha) = \varsigma_m^{kc(\tau)+s} \alpha$  and  $\tau\sigma(\varsigma_m^k \alpha) = \varsigma_m^{c(\tau)(k+s)} \alpha$ . Then the group is abelian if and only if  $c(\tau)s \equiv s \pmod{m}$  for all the possible values of s and  $c(\tau)$ .

Now, if  $a^{\omega} = b^m$ , the cyclic group  $\operatorname{Gal}(L/K(\varsigma_m))$  has order a divisor of  $\omega$ , as the order is the least divisor of m such that  $\alpha^k \in K$ , and so  $k|\omega$ . Then, we have  $\sigma^{\omega} = Id$  and so  $s\omega \equiv 0 \pmod{m}$ , which means that d|s. Then, we have  $c(\tau) = 1 + a\omega$  and multiplying by s = s'd we get  $c(\tau)s = s + s'm$  so we have indeed that  $c(\tau)s \equiv s \pmod{m}$  and so the group is abelian.

Reciprocally, let's follow the indication of the hint. Consider  $\frac{\alpha^{c(\tau)}}{\tau(\alpha)}$  and apply  $\sigma \in \operatorname{Gal}(L/K)$  to this number. Let  $\sigma(\alpha) = \varsigma_m^t \alpha$  and so we have that

$$\sigma\left(\frac{\alpha^{c(\tau)}}{\tau(\alpha)}\right) = \frac{\sigma(\alpha)^{c(\tau)}}{\tau(\sigma(\alpha))} = \frac{(\varsigma_m^t \alpha)^{c(\tau)}}{\varsigma_m^{tc(\tau)} \tau(\alpha)} = \frac{\alpha^{c(\tau)}}{\tau(\alpha)}$$

So it is invariant by action of  $\operatorname{Gal}(L/K)$  and therefore it is an element of K. Now let's choose an element  $\tau$  such that  $\tau \in \operatorname{Gal}(L/K(\alpha))$  and so we will have  $\alpha^{c(\tau)-1} \in K$ . Obviously we have alse  $\alpha^m \in K$  so this leads  $\alpha^w \in K$ .

Exercise 1.13. a) Prove that  $Q \cap M^{*m} = Q^{m/gcd(m,2)}$ .

b) Let  $L_m = M(\alpha \in \overline{\mathbb{Q}} : \alpha^m \in \mathbb{Q})$ , for  $m \in \mathbb{Z}_{>0}$ . Prove that  $M \subset L_m$  is Galois, and that there is an isomorphism of topological groups

$$\operatorname{Gal}(L_m/M) \to \operatorname{Hom}(Q, E_m^{gcd(m,2)})$$

mapping  $\sigma$  to  $\sigma(\alpha^{1/m})/\alpha^{1/m}$ .

c) Define  $E_m \to E_n$  by  $\varsigma \mapsto \varsigma^{m/n}$  for n dividing m, and let  $\hat{E} = \varprojlim E_n$  with respect to these maps. Prove that  $\hat{E} \cong \mathbb{Z}$  as topological groups.

d) Prove that  $M \subset L$  is Galois and that the isomorphisms in (b) combine to yield an isomorphism of topological groups

$$\operatorname{Gal}(L/M) \to \operatorname{Hom}(Q, \hat{E}^2)$$

here  $\operatorname{Hom}(Q, \hat{E}^2)$  has the relative topology in  $(\hat{E}^2)^Q$ . Prove also that this Galois group is isomorphic to the product of a countably infinite collection of copies of  $\hat{Z}$ .

**Exercise 1.14.** a) Let A be a local ring and  $x \in A$  such that  $x^2 = x$ . Prove that x = 0 or x = 1.

- b) Prove that any ring isomorphism  $\prod_{i=1}^s A_i \to \prod -j = 1^t B_j$ , where the  $A_i$  and  $B_j$  are local rings and  $t, s < \infty$ , is induced by a bijection  $\sigma : \{1, 2, ..., s\} \to \{1, 2, ..., t\}$  and isomorphisms  $A_i \to B_{\sigma(i)}$ .
- **Solution.** a) If A is a local ring then  $\forall x \in A$ , either x is a unit or x is an element of the Jacobson radical. Then, if  $x = x^2 \Rightarrow x(1-x) = 0$  we have two options: If x is a unit, then  $x^{-1}x(1-x) = 0 \Rightarrow (1-x) = 0 \Rightarrow x = 1$ . If x is not a unit then as an element of the Jacobson radical we have 1-xy is a unit  $\forall y \in A$ . In particular, taking y = 1 we have that 1-x is a unit, and so  $x(1-x)(1-x)^{-1} = 0 \Rightarrow x = 0$ .
  - b) Let's denote  $e_i \in \prod_{i=1}^s A_i$  the element that has zeros at all positions except at position i, where it has a 1. Let  $\phi : \prod_{i=1}^s A_i \to \prod_{j=1}^t B_j$  denote the isomorphism of the statement. Then,  $\phi(e_i)^2 = \phi(e_i^2) = \phi(e_i)$ , so we must have for each coordinate j that  $\phi(e_i)_j$  equals either 0 or 1, by part (a) of this problem (note that  $B_j$  is a local ring.

Moreover, by injectivity of the application  $\phi$ , it is impossible that all the coordinates equal 0, because we would have that  $\phi(e_i) = \phi(0) \Rightarrow e_i = 0$ , which is a contradiction. Then, there is at least one coordinate such that  $\phi(e_i)_j = 1$ . Let's fix that j. By surjectivity of  $\phi$ , we have now that

# 2 Galois categories

**Exercise 2.1.** A directed graph D consists of a set  $V = V_D$  of vertices, a set  $E = E_D$  of edges, a source map  $s = s_D : E \to V$  and a target map  $t = t_D : E \to V$ ; each  $e \in E$  is to be thought as an arrow from s(e) to t(e). Let D be a directed graph and C a category. A D-diagram in C is a map that assigns to each  $v \in V$  an object  $X_v$  of C and to each  $e \in E$  a morphism  $f_e$  from  $X_{s(e)}$  to  $X_{t(e)}$  in C. A morphism from a D-diagram  $((X_v)_{v \in V}, (f_e)_{e \in E})$  to a D-diagram  $((Y_v)_{v \in V}, (g_e)_{e \in E})$  is a collection of morphisms  $(h_v : X_v \to Y_v)_{v \in V}$  in C such that  $h_{t(e)}f_e = g_e h_{s(e)}$  for all  $e \in E$ .

- a) Show that the D-diagrams in C form a category. We denote this category by  $C^D$ .
- b) Show that there exists a functor  $\Gamma: \mathbf{C} \to \mathbf{C}^D$  mapping an object X to the constant D-diagram with  $X_v = X$  for all  $v \in V$  and  $f_e = id_X$  for all  $e \in E$ , and mapping a morphism  $h: X \to Y$  to the morphism  $(h_v)_{v \in V}$  with all  $h_v = h$ .
- c) A left limit of a D-diagram A in C is an object lim A of C such that

$$\operatorname{Hom}_{\boldsymbol{C}}(-, \underrightarrow{\lim} A) \cong \operatorname{Hom}_{\boldsymbol{C}^D}(\Gamma(-), A)$$

as functors on C. Prove that  $\varprojlim A$  is unique up to isomorphism if it exists, and that the notion of left limit generalizes that of a projective limit.

d) Show that C admits left limits of all D-diagrams in C is and only if the functor  $\Gamma: C \to C^D$  has a right adjoint  $\lim : C^D \to C$ , i.e.

$$\operatorname{Hom}_{\boldsymbol{C}}(-,\varprojlim -) \cong \operatorname{Hom}_{\boldsymbol{C}^D}(\Gamma(-),-)$$

If this right adjoint exists, we say that C admits left limits over D.

e) A right limit of a D-diagram A in C is an object lim A of C such that

$$\operatorname{Hom}_{\boldsymbol{C}}(\varinjlim A,-) \cong \operatorname{Hom}_{\boldsymbol{C}^D}(A,\Gamma(-))$$

Formulate and prove the analogues of the assertions in (c) and (d). If  $\Gamma$  has a left adjoint  $\lim : \mathbb{C}^D \to \mathbb{C}$  we say that  $\mathbb{C}$  admits right limits over D.

**Solution.** a) Note that the statement of the problem already defines a set of objects of  $\mathbb{C}^D$  and a set of morphisms  $\operatorname{Hom}_{\mathbb{C}^D}((X_v), (f_e), ((Y_v), g_e))$ . Given  $(\phi_v) \in \operatorname{Hom}_{\mathbb{C}^D}((X_v), (f_e), ((Y_v), g_e))$  and  $(\psi_v) \in \operatorname{Hom}_{\mathbb{C}^D}((Y_v), (g_e), ((Z_v), h_e))$  we have a composition  $(\psi_v \circ \phi_v)$  defined by the composition of  $\mathbb{C}$  at each  $v \in V$ . We just have to check that it is indeed an element of  $\operatorname{Hom}_{\mathbb{C}^D}((X_v), (f_e), ((Z_v), h_e))$ . Indeed, we have

$$(\psi \circ \phi)_{t(e)} f_e = \psi_{t(e)} \phi_{t(e)} f_e = \psi_{t(e)} g_e \phi_{s(e)} = h_e \psi_{s(e)} \phi_{s(e)} = h_e (\psi \circ \phi)_{s(e)}$$

It is clear that for every D-diagram  $((X_v), (f_e))$ , the set of morphisms  $\operatorname{Hom}_{\mathbf{C}^D}((X_v), (f_e), ((X_v), f_e))$  has an identity map  $\operatorname{id}_{((X_v), (f_e))}$  which is the morphism  $(h_v)$  defined by  $(h_v = \operatorname{id}_{X_v})$ . The composition of morphisms of  $\mathbf{C}^D$  is associative because the composition of morphisms of  $\mathbf{C}$  is. So  $\mathbf{C}^D$  satisfies the definition of a category.

b) The statement already defines how the functor acts on objects and morphisms. We only have to check that the 2 properties of functors are satisfied: The identity must be mapped to the identity and the composition to the composition. But this is straightforward because  $\Gamma(\mathrm{id}_X) = (\mathrm{id}_v)$  which is the identity over  $\Gamma(X)$ , and given morphisms  $g: X \to Y$ ,  $h: Y \to Z$ ,  $\Gamma(h \circ g) = ((h \circ g)_v) = (h_v \circ g_v) = (h_v) \circ (g_v) = \Gamma(h) \circ \Gamma(g)$ .

c) Suppose that we have  $\varprojlim_1 A$  and  $\varprojlim_2 A$  objects of C that satisfy this property. Let  $\theta^1$  be the isomorphism of functors  $\operatorname{Hom}_{C}(-, \varinjlim_1 A) \cong \operatorname{Hom}_{C^D}(\Gamma(-), A)$  and  $\theta^2$  be the isomorphism of functors  $\operatorname{Hom}_{C}(-, \varinjlim_2 A) \cong \operatorname{Hom}_{C^D}(\Gamma(-), A)$ .

The isomorphism of functors means that for every elements  $X, Y \in \mathbb{C}$  and every morphism  $f: X \to Y$  we have isomorphisms  $\theta_X^1$ ,  $\theta_Y^1$  that make the following diagram commutative:

$$\begin{array}{ccc} \operatorname{Hom}_{\boldsymbol{C}}(X, \varprojlim_{1} A) & \stackrel{\theta^{1}_{X}}{\longleftrightarrow} \operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(X), A) \\ \operatorname{Hom}_{\boldsymbol{C}}(f) & \operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(f)) \\ \operatorname{Hom}_{\boldsymbol{C}}(Y, \varprojlim_{1} A) & \stackrel{\theta^{1}_{Y}}{\longleftrightarrow} \operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(Y), A) \end{array}$$

And the same holds for  $\theta^2$ . So in fact we have the following commutative diagram:

Now take  $X = \varprojlim_1 A$  and  $Y = \varprojlim_2 A$ . Consider the morphism  $f := ((\theta^2_{\varprojlim_1 A})^{-1} \circ \theta^1_{\varprojlim_2 A})(\operatorname{id}_{\varprojlim_1 A}) \in \operatorname{Hom}_{\mathbf{C}}(\varprojlim_1 A, \varprojlim_2 A)$ . We will show that this is in fact an isomorphism. For this morphism f, we have the diagram

$$\begin{split} \operatorname{Hom}_{\boldsymbol{C}}(\varprojlim_{1}A,\varprojlim_{1}A) &\overset{\theta_{\lim_{1}A}^{1}}{\longleftrightarrow} \operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(\varprojlim_{1}A),A) &\overset{\theta_{\lim_{1}A}^{2}}{\longleftrightarrow} \operatorname{Hom}_{\boldsymbol{C}}(\varprojlim_{1}A,\varprojlim_{1}A) \\ &\overset{\operatorname{Hom}_{\boldsymbol{C}}(f)}{\longleftrightarrow} \uparrow \qquad \overset{\operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(f))}{\longleftrightarrow} \uparrow \qquad \overset{\operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(f))}{\longleftrightarrow} \uparrow \qquad \overset{\operatorname{Hom}_{\boldsymbol{C}}(f)}{\longleftrightarrow} \uparrow \\ \operatorname{Hom}_{\boldsymbol{C}}(\varprojlim_{2}A,\varprojlim_{1}A) &\overset{\theta_{\lim_{1}A}^{1}}{\longleftrightarrow} \operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(Y),A) &\overset{\theta_{\lim_{1}A}^{2}}{\longleftrightarrow} \operatorname{Hom}_{\boldsymbol{C}}(\varprojlim_{2}A,\varprojlim_{2}A) \end{split}$$

Now let's follow the two paths that can follow the morphism  $\mathrm{id}_{\varprojlim_2} A \in \mathrm{Hom}_{\mathbf{C}}(\varprojlim_2 A, \varprojlim_2 A)$  to  $\mathrm{Hom}(\varprojlim_1 A, \varprojlim_1 A)$ . Going first up an then left, we have the identity on  $\varprojlim_1 A$  (because of the definition of f). If we go first left and then up, we have  $((\theta^1_{\varprojlim_1 A})^{-1} \circ \theta^2_{\varprojlim_1 A})(\mathrm{id}_{\varprojlim_2 A}) \circ f$ , the two paths must agree as the diagram commutes, so we have proven that  $g \circ f = \mathrm{id}_{\varprojlim_1 A}$ , for g being defined as  $g := ((\theta^1_{\varprojlim_1 A})^{-1} \circ \theta^2_{\varprojlim_2 A})(\mathrm{id}_{\varprojlim_2 A}) \in \mathrm{Hom}_{\mathbf{C}}(\varprojlim_2 A, \varprojlim_1 A)$ . The symmetric calculation exchanging the roles of f and g proves that  $f \circ g$  is also the identity. On conclusion, f is an invertible morphism and so  $\varprojlim_1 A \cong \varprojlim_2 A$  as we wanted.

Now we want to prove that the notion of left limit generalizes that of projective limit. To do that, we will use the characterization of projective limit of exercise 1.8:  $\forall T$  and morphisms  $g_j: T \to S_j$  such that  $f_{ij}g_i = g_j$ ,  $\exists !g: T \to \varprojlim S_i$  with  $g_j = f_jg$ . If we turn the partially ordered set into a directed graph by putting V = I and  $E = \{(i,j), \text{ such that } i,j \in I, i \geq j\}, \ s: E \to V, \ e = (i,j) \mapsto i \text{ and } t: E \to V, \ e = (i,j) \mapsto J$ . Then we build a D-diagram A by  $V \ni i \mapsto S_i$  and  $E \ni (i,j) \mapsto f_{ij}$ . In that language the above characterization of projective limits implies that  $\forall T$  there is a bijective correspondence between  $\operatorname{Hom}(\Gamma(T), A)$  and  $\operatorname{Hom}(T, \varprojlim S_i)$ , which means that  $\varprojlim S_i$  is in fact the left limit of the D-diagram A.

d) It is clear that, if  $\Gamma$  has a right adjoint  $\varprojlim -$ , then for every D-diagram A,  $\varprojlim A$  satisfies  $\operatorname{Hom}_{\mathbf{C}}(-, \varprojlim A) \cong \operatorname{Hom}_{\mathbf{C}^D}(\Gamma(-), A)$ , so it is a left limit of A, and in consequence, every D-diagram admits left limit.

Reciprocally, we have to show that the assignation  $A \mapsto \varprojlim A$  is functorial. For that we have to define, for each A, B D-diagrams, and every morphism of D diagrams  $f: A \to B$  a map  $\varprojlim f: \varprojlim A \to \varprojlim B$  that preserves identities and composition. Let's define  $\varprojlim f:=(\theta^B_{\varprojlim A})^{-1}(f\circ\theta^A_{\varprojlim A}(\operatorname{id}_{\varprojlim A}))$ . (here  $\theta^A$  denotes the isomorphism of functors of C for the D-diagram C). Note that if C C and C if C C is C definition of the functor C C in C is C and C in C in

$$\theta_{\underset{\text{lim}}{\underline{\text{lim}}} A}^{B}(\underset{\text{lim}}{\underline{\text{lim}}} f) = f \circ \theta_{\underset{\text{lim}}{\underline{\text{lim}}} A}^{A}(\operatorname{id}_{\underset{\text{lim}}{\underline{\text{lim}}} A})$$

$$\theta_{\underset{\text{lim}}{\underline{\text{lim}}} B}^{C}(\underset{\text{lim}}{\underline{\text{lim}}} g) = g \circ \theta_{\underset{\text{lim}}{\underline{\text{lim}}} A}^{B}(\operatorname{id}_{\underset{\text{lim}}{\underline{\text{lim}}} A})$$

$$\theta_{\underset{\text{lim}}{\underline{\text{lim}}} A}^{C}(\underset{\text{lim}}{\underline{\text{lim}}} h) = g \circ f \circ \theta_{\underset{\text{lim}}{\underline{\text{lim}}} A}^{A}(\operatorname{id}_{\underset{\text{lim}}{\underline{\text{lim}}} A})$$

We have the following commutative diagrams due to the isomorphisms between the functors f(c).

$$\operatorname{Hom}_{\boldsymbol{C}}(\varprojlim B, \varprojlim B) \overset{\theta_{\lim B}^{B}}{\longleftrightarrow} \operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(\varprojlim B), B)$$

$$\downarrow^{-\circ \varprojlim f} \qquad \qquad \downarrow^{-\circ \Gamma(\varprojlim f)}$$

$$\operatorname{Hom}_{\boldsymbol{C}}(\varprojlim A, \varprojlim B) \overset{\theta_{\lim A}^{B}}{\longleftrightarrow} \operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(\varprojlim A), B)$$

$$\overset{\theta_{\lim A}^{C}}{\longleftrightarrow} \operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(\varprojlim B), C)$$

$$\begin{array}{ccc} \operatorname{Hom}_{\boldsymbol{C}}(\varprojlim B,\varprojlim C) & \stackrel{\theta^{C}_{\varprojlim B}}{\longleftrightarrow} & \operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(\varprojlim B),C) \\ & & \downarrow^{-\circ \varprojlim f} & & \downarrow^{-\circ \Gamma}(\varprojlim f) \\ \operatorname{Hom}_{\boldsymbol{C}}(\varprojlim A,\varprojlim C) & \stackrel{\theta^{C}_{\varprojlim B}}{\longleftrightarrow} & \operatorname{Hom}_{\boldsymbol{C}^{D}}(\Gamma(\varprojlim A),C) \end{array}$$

Now following the first diagram from top-left to bottom-right, starting with the application  $id_{\lim B}$  we get the following identity:

$$\theta^B_{\varprojlim}{}_A(\varprojlim f) = \theta^B_{\varprojlim}{}_B(\operatorname{id}_{\varprojlim}{}_B) \circ \Gamma(\varprojlim f)$$

Now composing with g on both sides we get

$$g \circ \theta_{\underset{\longrightarrow}{\lim}}^{B} A(\underset{\longleftarrow}{\lim} f) = g \circ \theta_{\underset{\longrightarrow}{\lim}}^{B} (\operatorname{id}_{\underset{\longrightarrow}{\lim}} B) \circ \Gamma(\underset{\longleftarrow}{\lim} f)$$

Now using the definitions, we have that  $g \circ \theta_{\varprojlim}^B A(\varprojlim f) = \theta_{\varprojlim}^C A(\varprojlim h)$  and  $\theta_{\varprojlim}^C B(\varprojlim g) = g \circ \theta_{\varprojlim}^B B(\operatorname{id}_{\varprojlim}A)$ . Substituting into our equation, we have

$$\theta^{C}_{\varliminf A}(\varprojlim h) = \theta^{C}_{\varliminf B}(\varprojlim g) \circ \Gamma(\varprojlim f)$$

Now let's follow the second diagram from the top-left to the bottom right starting with the morphism  $\varprojlim g \in \operatorname{Hom}_{\mathbf{C}}(\varprojlim B, \varprojlim C)$ . We obtain that  $\theta^{C}_{\varprojlim_{A}}(\varprojlim g \circ \varprojlim f) = \theta^{C}_{\varprojlim_{B}}(\varprojlim g) \circ$ 

 $\Gamma(\varprojlim f)$ . So substituting this into our previous equation we finally have  $\theta_{\varprojlim A}^C(\varprojlim h) = \theta_{\varprojlim A}^C(\varprojlim g \circ \varprojlim f)$  and so

$$\underline{\lim}\, h = \underline{\lim}\, g \circ \underline{\lim}\, f$$

And so we have defined a functor  $\lim$  – that is right adjoint to  $\Gamma$ .

e) A symmetric argument holds and allows us to prove that right limits are unique if they exist, and that C admits right limits over every D-diagram if and only if  $\Gamma(-)$  has a left adjoint  $\underline{\lim}$  –.

### Exercise 2.2. Let C be a category.

- a) Prove that C admits left limits over the empty directed graph (with  $V = E = \emptyset$ ) if and only if C has a terminal object.
- b) Prove that C admits left limits over the directed graph () if and only if the fibred product of any two objects over a third one exists in C.
- **Solution.** a) There is only one possible D-diagram over the empty directed graph, which is the empty D-diagram  $\varnothing$ . Then, if C admits left limits over the empty directed graph, then for every  $X \in C$  there is only one possible morphism of D-diagrams  $\varnothing : \Gamma(X) \to \varnothing$ , which by the isomorphism of Hom functors implies that there is only one morphism  $X \to \varprojlim \varnothing$ . Then, the object  $\varprojlim \varnothing$  satisfies the definition of terminal object in C. Reciprocally, if C has a terminal object, 0, that means that for every  $X \in C\exists!$  element in  $\operatorname{Hom}_{C}(X,0)$ . Then, we have a bijective map  $\operatorname{Hom}_{C}(X,0) \cong \operatorname{Hom}_{C^{D}}(\Gamma(X),\varnothing)$  that maps the only element in  $\operatorname{Hom}_{C}(X,0)$  to the empty morphism (the only element in  $\operatorname{Hom}_{C^{D}}(\Gamma(X),\varnothing)$ ), so  $0 = \varprojlim \varnothing$  and so the category admits left limits over the empty directed graph.
  - b) If C admits left limits over that directed graph, it means that for every D-diagram A, composed by  $X, Y, S \in C$  and  $a: X \to S$ ,  $b: Y \to S$  morphisms,  $\exists$  an object  $\varprojlim A$  such that  $\operatorname{Hom}_{\mathbf{C}}(Z, \varprojlim A) \cong \operatorname{Hom}_{\mathbf{C}^D}(\Gamma(Z), A)$ , for every  $Z \in \mathbf{C}$ .

In particular, let  $(h)_A \in \operatorname{Hom}_{\mathbf{C}^D}(\Gamma(Z), A)$ , which means that  $(h)_A$  is has the following elements: Morphisms  $h_X : Z \to X$ ,  $h_Y : Z \to Y$  and  $h_S : Z \to S$  satisfying  $h_S = ah_X = bh_Y$ . Let  $h = \theta_Z((h)_A)$ , where  $\theta$  is the isomorphism of functors. As usual, we have the following commutative diagram:

$$\begin{array}{ccc} \operatorname{Hom}_{\boldsymbol{C}}(\varprojlim A,\varprojlim A) & \stackrel{\theta_{\varprojlim}A}{\longleftrightarrow} & \operatorname{Hom}_{\boldsymbol{C}^D}(\Gamma(\varprojlim A),A) \\ & & & \downarrow^{-\circ h} & & \downarrow^{-\circ \Gamma(h)} \\ \operatorname{Hom}_{\boldsymbol{C}}(Z,\varprojlim A) & \stackrel{\theta_{\lim}A}{\longleftrightarrow} & \operatorname{Hom}_{\boldsymbol{C}^D}(\Gamma(Z),A) \end{array}$$

Taking the identity on the top-left and following the diagram in both directions, we have the following equality:  $\theta_{\lim A}(\mathrm{id}_{\lim A} \circ \Gamma(h) = \theta_Z(h) = (h)_A$ . That means that  $\exists p_X, p_Y = \theta_{\lim A}(\mathrm{id}_{\lim A})_{X,Y}$  such that  $h_{X,Y} = p_{X,Y} \circ h$ , for h a uniquely determined morphism such. This is exactly the definition of fibred product of X and Y over S.

Reciprocally, if C admits a fibred product, given a D-diagram A (defined by X, Y, S, a, b with the same notation we have been using), an object Z and a morphism of D-diagrams  $\Gamma(Z) \to A$ , that is, applications  $h_X : Z \to X$ ,  $h_Y : Z \to Y$  satisfying  $ah_X = bh_y : Z \to S$ , consider the fibred product  $X \times_S Y$ . That object satisfies that there is a unique morphism  $h: Z \to X \times_S Y$  such that the morphisms  $h_{X,Y}$  factor through h. In particular, the

existence and uniqueness of this h allows us to define for every Z a bijection  $\operatorname{Hom}_{\boldsymbol{C}}(Z,X\times_SY)\cong \operatorname{Hom}_{\operatorname{Cat}^D}(\Gamma(Z),A)$ , so  $X\times_SY$  is in fact the left limit of the D-diagram A. On conclusion,  $\boldsymbol{C}$  admits left limits over D.