



## PUZZLER

Many electronic components carry a warning label like this one. What is there inside these devices that makes them so dangerous? Why wouldn't you be safe if you unplugged the equipment before opening the case? *(George Semple)*

# Capacitance and Dielectrics

c h a p t e r

# 26

## Chapter Outline

- |  |  |
|--|--|
| <b>26.1</b> Definition of Capacitance            | <b>26.5</b> Capacitors with Dielectrics                            |
| <b>26.2</b> Calculating Capacitance              | <b>26.6</b> <i>(Optional)</i> Electric Dipole in an Electric Field |
| <b>26.3</b> Combinations of Capacitors           | <b>26.7</b> <i>(Optional)</i> An Atomic Description of Dielectrics |
| <b>26.4</b> Energy Stored in a Charged Capacitor |  |

In this chapter, we discuss *capacitors*—devices that store electric charge. Capacitors are commonly used in a variety of electric circuits. For instance, they are used to tune the frequency of radio receivers, as filters in power supplies, to eliminate sparking in automobile ignition systems, and as energy-storing devices in electronic flash units.

A capacitor consists of two conductors separated by an insulator. We shall see that the capacitance of a given capacitor depends on its geometry and on the material—called a *dielectric*—that separates the conductors.

## 26.1 DEFINITION OF CAPACITANCE



Consider two conductors carrying charges of equal magnitude but of opposite sign, as shown in Figure 26.1. Such a combination of two conductors is called a **capacitor**. The conductors are called *plates*. A potential difference  $\Delta V$  exists between the conductors due to the presence of the charges. Because the unit of potential difference is the volt, a potential difference is often called a **voltage**. We shall use this term to describe the potential difference across a circuit element or between two points in space.

What determines how much charge is on the plates of a capacitor for a given voltage? In other words, what is the *capacity* of the device for storing charge at a particular value of  $\Delta V$ ? Experiments show that the quantity of charge  $Q$  on a capacitor<sup>1</sup> is linearly proportional to the potential difference between the conductors; that is,  $Q \propto \Delta V$ . The proportionality constant depends on the shape and separation of the conductors.<sup>2</sup> We can write this relationship as  $Q = C\Delta V$  if we define capacitance as follows:

Definition of capacitance

The **capacitance**  $C$  of a capacitor is the ratio of the magnitude of the charge on either conductor to the magnitude of the potential difference between them:

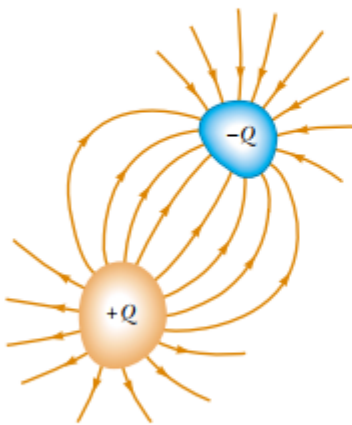
$$C \equiv \frac{Q}{\Delta V} \quad (26.1)$$

Note that by definition *capacitance is always a positive quantity*. Furthermore, the potential difference  $\Delta V$  is always expressed in Equation 26.1 as a positive quantity. Because the potential difference increases linearly with the stored charge, the ratio  $Q/\Delta V$  is constant for a given capacitor. Therefore, capacitance is a measure of a capacitor's ability to store charge and electric potential energy.

From Equation 26.1, we see that capacitance has SI units of coulombs per volt. The SI unit of capacitance is the **farad** (F), which was named in honor of Michael Faraday:

$$1 \text{ F} = 1 \text{ C/V}$$

The farad is a very large unit of capacitance. In practice, typical devices have capacitances ranging from microfarads ( $10^{-6} \text{ F}$ ) to picofarads ( $10^{-12} \text{ F}$ ). For practical purposes, capacitors often are labeled “mF” for microfarads and “mmF” for micro-microfarads or, equivalently, “pF” for picofarads.



**Figure 26.1** A capacitor consists of two conductors carrying charges of equal magnitude but opposite sign.

<sup>1</sup> Although the total charge on the capacitor is zero (because there is as much excess positive charge on one conductor as there is excess negative charge on the other), it is common practice to refer to the magnitude of the charge on either conductor as “the charge on the capacitor.”

<sup>2</sup> The proportionality between  $\Delta V$  and  $Q$  can be proved from Coulomb's law or by experiment.





A collection of capacitors used in a variety of applications.

Let us consider a capacitor formed from a pair of parallel plates, as shown in Figure 26.2. Each plate is connected to one terminal of a battery (not shown in Fig. 26.2), which acts as a source of potential difference. If the capacitor is initially uncharged, the battery establishes an electric field in the connecting wires when the connections are made. Let us focus on the plate connected to the negative terminal of the battery. The electric field applies a force on electrons in the wire just outside this plate; this force causes the electrons to move onto the plate. This movement continues until the plate, the wire, and the terminal are all at the same electric potential. Once this equilibrium point is attained, a potential difference no longer exists between the terminal and the plate, and as a result no electric field is present in the wire, and the movement of electrons stops. The plate now carries a negative charge. A similar process occurs at the other capacitor plate,

with electrons moving from the plate to the wire, leaving the plate positively charged. In this final configuration, the potential difference across the capacitor plates is the same as that between the terminals of the battery.

Suppose that we have a capacitor rated at 4 pF. This rating means that the capacitor can store 4 pC of charge for each volt of potential difference between the two conductors. If a 9-V battery is connected across this capacitor, one of the conductors ends up with a net charge of  $-36$  pC and the other ends up with a net charge of  $+36$  pC.

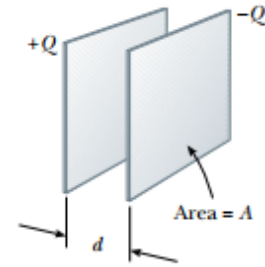
## 26.2 CALCULATING CAPACITANCE

We can calculate the capacitance of a pair of oppositely charged conductors in the following manner: We assume a charge of magnitude  $Q$ , and we calculate the potential difference using the techniques described in the preceding chapter. We then use the expression  $C = Q/\Delta V$  to evaluate the capacitance. As we might expect, we can perform this calculation relatively easily if the geometry of the capacitor is simple.

We can calculate the capacitance of an isolated spherical conductor of radius  $R$  and charge  $Q$  if we assume that the second conductor making up the capacitor is a concentric hollow sphere of infinite radius. The electric potential of the sphere of radius  $R$  is simply  $k_e Q/R$ , and setting  $V = 0$  at infinity as usual, we have

$$C = \frac{Q}{\Delta V} = \frac{Q}{k_e Q/R} = \frac{R}{k_e} = 4\pi\epsilon_0 R \quad (26.2)$$

This expression shows that the capacitance of an isolated charged sphere is proportional to its radius and is independent of both the charge on the sphere and the potential difference.



**Figure 26.2** A parallel-plate capacitor consists of two parallel conducting plates, each of area  $A$ , separated by a distance  $d$ . When the capacitor is charged, the plates carry equal amounts of charge. One plate carries positive charge, and the other carries negative charge.

### QuickLab

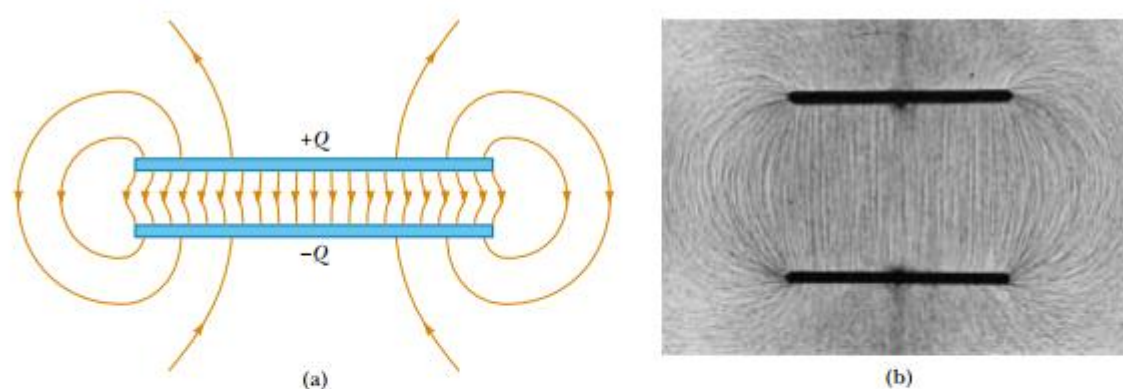
Roll some socks into balls and stuff them into a shoebox. What determines how many socks fit in the box? Relate how hard you push on the socks to  $\Delta V$  for a capacitor. How does the size of the box influence its “sock capacity”?

The capacitance of a pair of conductors depends on the geometry of the conductors. Let us illustrate this with three familiar geometries, namely, parallel plates, concentric cylinders, and concentric spheres. In these examples, we assume that the charged conductors are separated by a vacuum. The effect of a dielectric material placed between the conductors is treated in Section 26.5.

### Parallel-Plate Capacitors

Two parallel metallic plates of equal area  $A$  are separated by a distance  $d$ , as shown in Figure 26.2. One plate carries a charge  $Q$ , and the other carries a charge  $-Q$ . Let us consider how the geometry of these conductors influences the capacity of the combination to store charge. Recall that charges of like sign repel one another. As a capacitor is being charged by a battery, electrons flow into the negative plate and out of the positive plate. If the capacitor plates are large, the accumulated charges are able to distribute themselves over a substantial area, and the amount of charge that can be stored on a plate for a given potential difference increases as the plate area is increased. Thus, we expect the capacitance to be proportional to the plate area  $A$ .

Now let us consider the region that separates the plates. If the battery has a constant potential difference between its terminals, then the electric field between the plates must increase as  $d$  is decreased. Let us imagine that we move the plates closer together and consider the situation before any charges have had a chance to move in response to this change. Because no charges have moved, the electric field between the plates has the same value but extends over a shorter distance. Thus, the magnitude of the potential difference between the plates  $\Delta V = Ed$  (Eq. 25.6) is now smaller. The difference between this new capacitor voltage and the terminal voltage of the battery now exists as a potential difference across the wires connecting the battery to the capacitor. This potential difference results in an electric field in the wires that drives more charge onto the plates, increasing the potential difference between the plates. When the potential difference between the plates again matches that of the battery, the potential difference across the wires falls back to zero, and the flow of charge stops. Thus, moving the plates closer together causes the charge on the capacitor to increase. If  $d$  is increased, the charge decreases. As a result, we expect the device's capacitance to be inversely proportional to  $d$ .



**Figure 26.3** (a) The electric field between the plates of a parallel-plate capacitor is uniform near the center but nonuniform near the edges. (b) Electric field pattern of two oppositely charged conducting parallel plates. Small pieces of thread on an oil surface align with the electric field.

We can verify these physical arguments with the following derivation. The surface charge density on either plate is  $\sigma = Q/A$ . If the plates are very close together (in comparison with their length and width), we can assume that the electric field is uniform between the plates and is zero elsewhere. According to the last paragraph of Example 24.8, the value of the electric field between the plates is

$$E = \frac{\sigma}{\epsilon_0} = \frac{Q}{\epsilon_0 A}$$

Because the field between the plates is uniform, the magnitude of the potential difference between the plates equals  $Ed$  (see Eq. 25.6); therefore,

$$\Delta V = Ed = \frac{Qd}{\epsilon_0 A}$$

Substituting this result into Equation 26.1, we find that the capacitance is

$$C = \frac{Q}{\Delta V} = \frac{Q}{Qd/\epsilon_0 A}$$

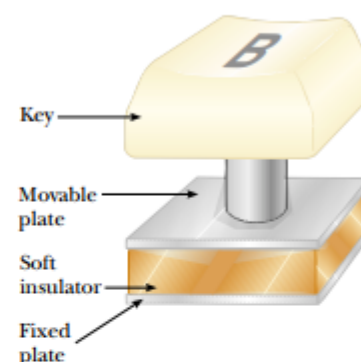
$$C = \frac{\epsilon_0 A}{d} \quad (26.3)$$

That is, **the capacitance of a parallel-plate capacitor is proportional to the area of its plates and inversely proportional to the plate separation**, just as we expect from our conceptual argument.

A careful inspection of the electric field lines for a parallel-plate capacitor reveals that the field is uniform in the central region between the plates, as shown in Figure 26.3a. However, the field is nonuniform at the edges of the plates. Figure 26.3b is a photograph of the electric field pattern of a parallel-plate capacitor. Note the nonuniform nature of the electric field at the ends of the plates. Such end effects can be neglected if the plate separation is small compared with the length of the plates.

### Quick Quiz 26.1

Many computer keyboard buttons are constructed of capacitors, as shown in Figure 26.4. When a key is pushed down, the soft insulator between the movable plate and the fixed plate is compressed. When the key is pressed, the capacitance (a) increases, (b) decreases, or (c) changes in a way that we cannot determine because the complicated electric circuit connected to the keyboard button may cause a change in  $\Delta V$ .



**Figure 26.4** One type of computer keyboard button.

### EXAMPLE 26.1 Parallel-Plate Capacitor

A parallel-plate capacitor has an area  $A = 2.00 \times 10^{-4} \text{ m}^2$  and a plate separation  $d = 1.00 \text{ mm}$ . Find its capacitance.

$$= 1.77 \times 10^{-12} \text{ F} = 1.77 \text{ pF}$$

**Solution** From Equation 26.3, we find that

$$C = \epsilon_0 \frac{A}{d} = (8.85 \times 10^{-12} \text{ C}^2/\text{N} \cdot \text{m}^2) \left( \frac{2.00 \times 10^{-4} \text{ m}^2}{1.00 \times 10^{-3} \text{ m}} \right)$$

**Exercise** What is the capacitance for a plate separation of 3.00 mm?

**Answer** 0.590 pF.



### Cylindrical and Spherical Capacitors

From the definition of capacitance, we can, in principle, find the capacitance of any geometric arrangement of conductors. The following examples demonstrate the use of this definition to calculate the capacitance of the other familiar geometries that we mentioned: cylinders and spheres.

#### EXAMPLE 26.2 The Cylindrical Capacitor

A solid cylindrical conductor of radius  $a$  and charge  $Q$  is coaxial with a cylindrical shell of negligible thickness, radius  $b > a$ , and charge  $-Q$  (Fig. 26.5a). Find the capacitance of this cylindrical capacitor if its length is  $\ell$ .

**Solution** It is difficult to apply physical arguments to this configuration, although we can reasonably expect the capacitance to be proportional to the cylinder length  $\ell$  for the same reason that parallel-plate capacitance is proportional to plate area: Stored charges have more room in which to be distributed. If we assume that  $\ell$  is much greater than  $a$  and  $b$ , we can neglect end effects. In this case, the electric field is perpendicular to the long axis of the cylinders and is confined to the region between them (Fig. 26.5b). We must first calculate the potential difference between the two cylinders, which is given in general by

$$V_b - V_a = - \int_a^b \mathbf{E} \cdot d\mathbf{s}$$

where  $\mathbf{E}$  is the electric field in the region  $a < r < b$ . In Chapter 24, we showed using Gauss's law that the magnitude of the electric field of a cylindrical charge distribution having linear charge density  $\lambda$  is  $E_r = 2k_e\lambda/r$  (Eq. 24.7). The same result applies here because, according to Gauss's law, the charge on the outer cylinder does not contribute to the electric field inside it. Using this result and noting from Figure 26.5b that  $\mathbf{E}$  is along  $r$ , we find that

$$V_b - V_a = - \int_a^b E_r dr = -2k_e\lambda \int_a^b \frac{dr}{r} = -2k_e\lambda \ln\left(\frac{b}{a}\right)$$

Substituting this result into Equation 26.1 and using the fact that  $\lambda = Q/\ell$ , we obtain

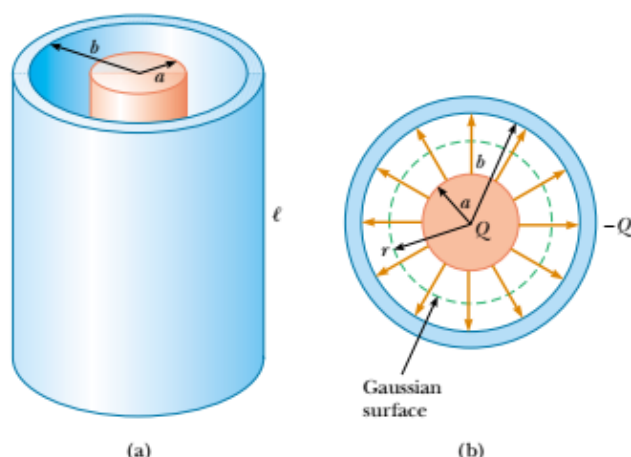
$$C = \frac{Q}{\Delta V} = \frac{Q}{\frac{2k_eQ}{\ell} \ln\left(\frac{b}{a}\right)} = \frac{\ell}{2k_e \ln\left(\frac{b}{a}\right)} \quad (26.4)$$

where  $\Delta V$  is the magnitude of the potential difference, given

by  $\Delta V = |V_b - V_a| = 2k_e\lambda \ln(b/a)$ , a positive quantity. As predicted, the capacitance is proportional to the length of the cylinders. As we might expect, the capacitance also depends on the radii of the two cylindrical conductors. From Equation 26.4, we see that the capacitance per unit length of a combination of concentric cylindrical conductors is

$$\frac{C}{\ell} = \frac{1}{2k_e \ln\left(\frac{b}{a}\right)} \quad (26.5)$$

An example of this type of geometric arrangement is a *coaxial cable*, which consists of two concentric cylindrical conductors separated by an insulator. The cable carries electrical signals in the inner and outer conductors. Such a geometry is especially useful for shielding the signals from any possible external influences.



**Figure 26.5** (a) A cylindrical capacitor consists of a solid cylindrical conductor of radius  $a$  and length  $\ell$  surrounded by a coaxial cylindrical shell of radius  $b$ . (b) End view. The dashed line represents the end of the cylindrical gaussian surface of radius  $r$  and length  $\ell$ .

#### EXAMPLE 26.3 The Spherical Capacitor

A spherical capacitor consists of a spherical conducting shell of radius  $b$  and charge  $-Q$  concentric with a smaller conducting sphere of radius  $a$  and charge  $Q$  (Fig. 26.6). Find the capacitance of this device.

**Solution** As we showed in Chapter 24, the field outside a spherically symmetric charge distribution is radial and given by the expression  $k_eQ/r^2$ . In this case, this result applies to the field between the spheres ( $a < r < b$ ). From

Gauss's law we see that only the inner sphere contributes to this field. Thus, the potential difference between the spheres is

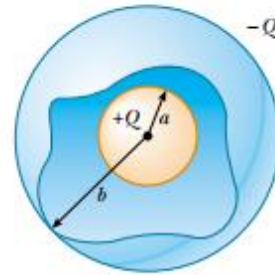
$$\begin{aligned} V_b - V_a &= - \int_a^b E_r dr = -k_e Q \int_a^b \frac{dr}{r^2} = k_e Q \left[ \frac{1}{r} \right]_a^b \\ &= k_e Q \left( \frac{1}{b} - \frac{1}{a} \right) \end{aligned}$$

The magnitude of the potential difference is

$$\Delta V = |V_b - V_a| = k_e Q \frac{(b - a)}{ab}$$

Substituting this value for  $\Delta V$  into Equation 26.1, we obtain

$$C = \frac{Q}{\Delta V} = \frac{ab}{k_e(b - a)} \quad (26.6)$$



**Figure 26.6** A spherical capacitor consists of an inner sphere of radius  $a$  surrounded by a concentric spherical shell of radius  $b$ . The electric field between the spheres is directed radially outward when the inner sphere is positively charged.

**Exercise** Show that as the radius  $b$  of the outer sphere approaches infinity, the capacitance approaches the value  $a/k_e = 4\pi\epsilon_0 a$ .

### Quick Quiz 26.2

What is the magnitude of the electric field in the region outside the spherical capacitor described in Example 26.3?

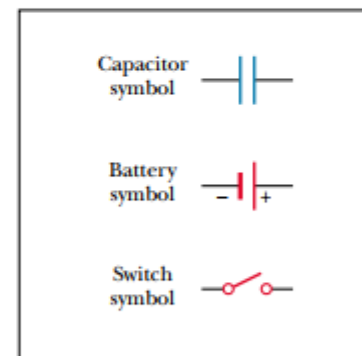
## 26.3 COMBINATIONS OF CAPACITORS

Two or more capacitors often are combined in electric circuits. We can calculate the equivalent capacitance of certain combinations using methods described in this section. The circuit symbols for capacitors and batteries, as well as the color codes used for them in this text, are given in Figure 26.7. The symbol for the capacitor reflects the geometry of the most common model for a capacitor—a pair of parallel plates. The positive terminal of the battery is at the higher potential and is represented in the circuit symbol by the longer vertical line.

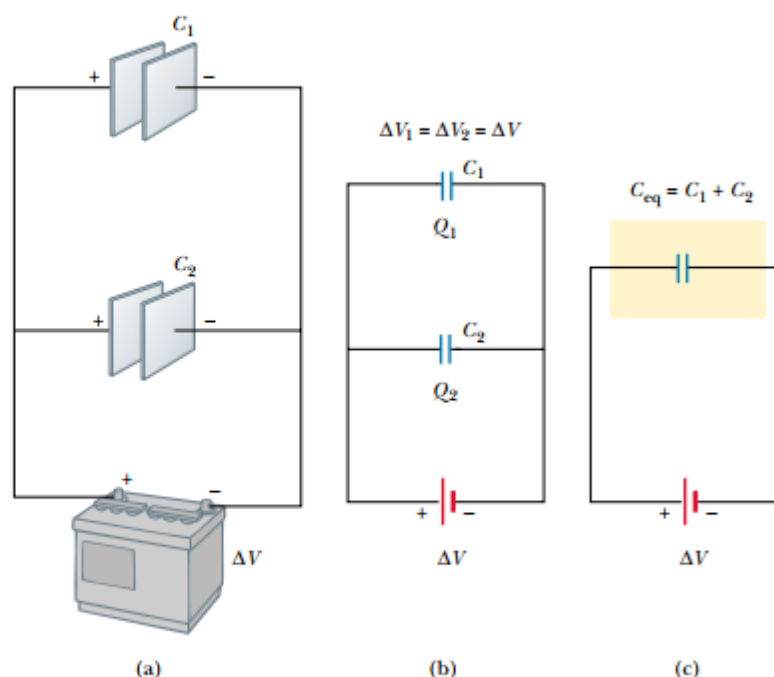
### Parallel Combination

Two capacitors connected as shown in Figure 26.8a are known as a *parallel combination* of capacitors. Figure 26.8b shows a circuit diagram for this combination of capacitors. The left plates of the capacitors are connected by a conducting wire to the positive terminal of the battery and are therefore both at the same electric potential as the positive terminal. Likewise, the right plates are connected to the negative terminal and are therefore both at the same potential as the negative terminal. Thus, **the individual potential differences across capacitors connected in parallel are all the same and are equal to the potential difference applied across the combination.**

In a circuit such as that shown in Figure 26.8, the voltage applied across the combination is the terminal voltage of the battery. Situations can occur in which



**Figure 26.7** Circuit symbols for capacitors, batteries, and switches. Note that capacitors are in blue and batteries and switches are in red.



**Figure 26.8** (a) A parallel combination of two capacitors in an electric circuit in which the potential difference across the battery terminals is  $\Delta V$ . (b) The circuit diagram for the parallel combination. (c) The equivalent capacitance is  $C_{eq} = C_1 + C_2$ .

the parallel combination is in a circuit with other circuit elements; in such situations, we must determine the potential difference across the combination by analyzing the entire circuit.

When the capacitors are first connected in the circuit shown in Figure 26.8, electrons are transferred between the wires and the plates; this transfer leaves the left plates positively charged and the right plates negatively charged. The energy source for this charge transfer is the internal chemical energy stored in the battery, which is converted to electric potential energy associated with the charge separation. The flow of charge ceases when the voltage across the capacitors is equal to that across the battery terminals. The capacitors reach their maximum charge when the flow of charge ceases. Let us call the maximum charges on the two capacitors  $Q_1$  and  $Q_2$ . The *total charge*  $Q$  stored by the two capacitors is

$$Q = Q_1 + Q_2 \quad (26.7)$$

That is, **the total charge on capacitors connected in parallel is the sum of the charges on the individual capacitors.** Because the voltages across the capacitors are the same, the charges that they carry are

$$Q_1 = C_1 \Delta V \quad Q_2 = C_2 \Delta V$$

Suppose that we wish to replace these two capacitors by one *equivalent capacitor* having a capacitance  $C_{eq}$ , as shown in Figure 26.8c. The effect this equivalent capacitor has on the circuit must be exactly the same as the effect of the combination of the two individual capacitors. That is, the equivalent capacitor must store  $Q$  units of charge when connected to the battery. We can see from Figure 26.8c that the voltage across the equivalent capacitor also is  $\Delta V$  because the equivalent capac-



itor is connected directly across the battery terminals. Thus, for the equivalent capacitor,

$$Q = C_{\text{eq}} \Delta V$$

Substituting these three relationships for charge into Equation 26.7, we have

$$C_{\text{eq}} \Delta V = C_1 \Delta V + C_2 \Delta V$$

$$C_{\text{eq}} = C_1 + C_2 \quad \left( \begin{array}{l} \text{parallel} \\ \text{combination} \end{array} \right)$$

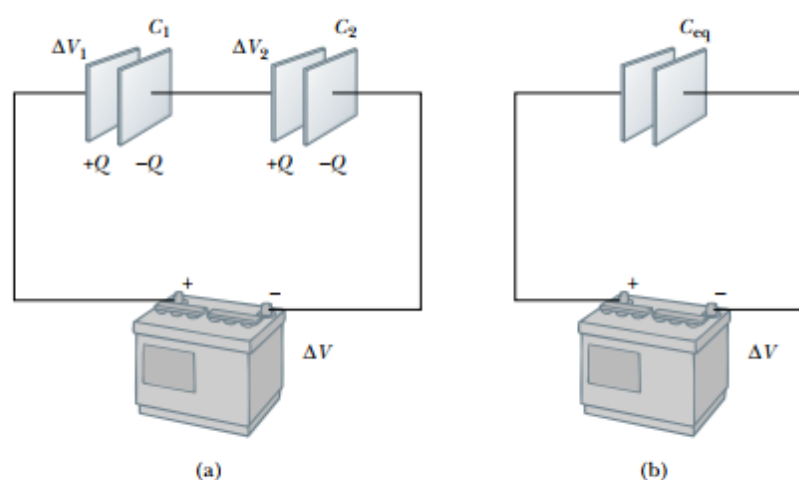
If we extend this treatment to three or more capacitors connected in parallel, we find the equivalent capacitance to be

$$C_{\text{eq}} = C_1 + C_2 + C_3 + \cdots \quad (\text{parallel combination}) \quad (26.8)$$

Thus, **the equivalent capacitance of a parallel combination of capacitors is greater than any of the individual capacitances.** This makes sense because we are essentially combining the areas of all the capacitor plates when we connect them with conducting wire.

### Series Combination

Two capacitors connected as shown in Figure 26.9a are known as a *series combination* of capacitors. The left plate of capacitor 1 and the right plate of capacitor 2 are connected to the terminals of a battery. The other two plates are connected to each other and to nothing else; hence, they form an isolated conductor that is initially uncharged and must continue to have zero net charge. To analyze this combination, let us begin by considering the uncharged capacitors and follow what happens just after a battery is connected to the circuit. When the battery is con-



**Figure 26.9** (a) A series combination of two capacitors. The charges on the two capacitors are the same. (b) The capacitors replaced by a single equivalent capacitor. The equivalent capacitance can be calculated from the relationship

$$\frac{1}{C_{\text{eq}}} = \frac{1}{C_1} + \frac{1}{C_2}$$

nected, electrons are transferred out of the left plate of  $C_1$  and into the right plate of  $C_2$ . As this negative charge accumulates on the right plate of  $C_2$ , an equivalent amount of negative charge is forced off the left plate of  $C_2$ , and this left plate therefore has an excess positive charge. The negative charge leaving the left plate of  $C_2$  travels through the connecting wire and accumulates on the right plate of  $C_1$ . As a result, all the right plates end up with a charge  $-Q$ , and all the left plates end up with a charge  $+Q$ . Thus, **the charges on capacitors connected in series are the same.**

From Figure 26.9a, we see that the voltage  $\Delta V$  across the battery terminals is split between the two capacitors:

$$\Delta V = \Delta V_1 + \Delta V_2 \quad (26.9)$$

where  $\Delta V_1$  and  $\Delta V_2$  are the potential differences across capacitors  $C_1$  and  $C_2$ , respectively. In general, **the total potential difference across any number of capacitors connected in series is the sum of the potential differences across the individual capacitors.**

Suppose that an equivalent capacitor has the same effect on the circuit as the series combination. After it is fully charged, the equivalent capacitor must have a charge of  $-Q$  on its right plate and a charge of  $+Q$  on its left plate. Applying the definition of capacitance to the circuit in Figure 26.9b, we have

$$\Delta V = \frac{Q}{C_{\text{eq}}}$$

Because we can apply the expression  $Q = C\Delta V$  to each capacitor shown in Figure 26.9a, the potential difference across each is

$$\Delta V_1 = \frac{Q}{C_1} \quad \Delta V_2 = \frac{Q}{C_2}$$

Substituting these expressions into Equation 26.9 and noting that  $\Delta V = Q/C_{\text{eq}}$ , we have

$$\frac{Q}{C_{\text{eq}}} = \frac{Q}{C_1} + \frac{Q}{C_2}$$

Canceling  $Q$ , we arrive at the relationship

$$\frac{1}{C_{\text{eq}}} = \frac{1}{C_1} + \frac{1}{C_2} \quad \left( \begin{array}{c} \text{series} \\ \text{combination} \end{array} \right)$$

When this analysis is applied to three or more capacitors connected in series, the relationship for the equivalent capacitance is

$$\frac{1}{C_{\text{eq}}} = \frac{1}{C_1} + \frac{1}{C_2} + \frac{1}{C_3} + \cdots \quad \left( \begin{array}{c} \text{series} \\ \text{combination} \end{array} \right) \quad (26.10)$$

This demonstrates that **the equivalent capacitance of a series combination is always less than any individual capacitance in the combination.**

#### EXAMPLE 26.4 Equivalent Capacitance

Find the equivalent capacitance between  $a$  and  $b$  for the combination of capacitors shown in Figure 26.10a. All capacitances are in microfarads.

**Solution** Using Equations 26.8 and 26.10, we reduce the combination step by step as indicated in the figure. The  $1.0\text{-}\mu\text{F}$  and  $3.0\text{-}\mu\text{F}$  capacitors are in parallel and combine ac-

cording to the expression  $C_{\text{eq}} = C_1 + C_2 = 4.0 \mu\text{F}$ . The  $2.0\text{-}\mu\text{F}$  and  $6.0\text{-}\mu\text{F}$  capacitors also are in parallel and have an equivalent capacitance of  $8.0 \mu\text{F}$ . Thus, the upper branch in Figure 26.10b consists of two  $4.0\text{-}\mu\text{F}$  capacitors in series, which combine as follows:

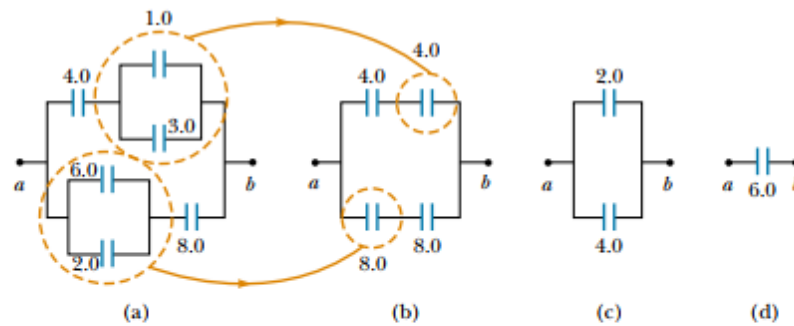
$$\frac{1}{C_{\text{eq}}} = \frac{1}{C_1} + \frac{1}{C_2} = \frac{1}{4.0 \mu\text{F}} + \frac{1}{4.0 \mu\text{F}} = \frac{1}{2.0 \mu\text{F}}$$

$$C_{\text{eq}} = \frac{1}{1/2.0 \mu\text{F}} = 2.0 \mu\text{F}$$

The lower branch in Figure 26.10b consists of two  $8.0\text{-}\mu\text{F}$  capacitors in series, which combine to yield an equivalent capacitance of  $4.0 \mu\text{F}$ . Finally, the  $2.0\text{-}\mu\text{F}$  and  $4.0\text{-}\mu\text{F}$  capacitors in Figure 26.10c are in parallel and thus have an equivalent capacitance of  $6.0 \mu\text{F}$ .

**Exercise** Consider three capacitors having capacitances of  $3.0 \mu\text{F}$ ,  $6.0 \mu\text{F}$ , and  $12 \mu\text{F}$ . Find their equivalent capacitance when they are connected (a) in parallel and (b) in series.

**Answer** (a)  $21 \mu\text{F}$ ; (b)  $1.7 \mu\text{F}$ .



**Figure 26.10** To find the equivalent capacitance of the capacitors in part (a), we reduce the various combinations in steps as indicated in parts (b), (c), and (d), using the series and parallel rules described in the text.

## 26.4 ENERGY STORED IN A CHARGED CAPACITOR

Almost everyone who works with electronic equipment has at some time verified that a capacitor can store energy. If the plates of a charged capacitor are connected by a conductor, such as a wire, charge moves between the plates and the connecting wire until the capacitor is uncharged. The discharge can often be observed as a visible spark. If you should accidentally touch the opposite plates of a charged capacitor, your fingers act as a pathway for discharge, and the result is an electric shock. The degree of shock you receive depends on the capacitance and on the voltage applied to the capacitor. Such a shock could be fatal if high voltages are present, such as in the power supply of a television set. Because the charges can be stored in a capacitor even when the set is turned off, unplugging the television does not make it safe to open the case and touch the components inside.

Consider a parallel-plate capacitor that is initially uncharged, such that the initial potential difference across the plates is zero. Now imagine that the capacitor is connected to a battery and develops a maximum charge  $Q$ . (We assume that the capacitor is charged slowly so that the problem can be considered as an electrostatic system.) When the capacitor is connected to the battery, electrons in the wire just outside the plate connected to the negative terminal move into the plate to give it a negative charge. Electrons in the plate connected to the positive terminal move out of the plate into the wire to give the plate a positive charge. Thus, charges move only a small distance in the wires.

To calculate the energy of the capacitor, we shall assume a different process—one that does not actually occur but gives the same final result. We can make this



### QuickLab

Here's how to find out whether your calculator has a capacitor to protect values or programs during battery changes: Store a number in your calculator's memory, remove the calculator battery for a moment, and then quickly replace it. Was the number that you stored preserved while the battery was out of the calculator? (You may want to write down any critical numbers or programs that are stored in the calculator before trying this!)

assumption because the energy in the final configuration does not depend on the actual charge-transfer process. We imagine that we reach in and grab a small amount of positive charge on the plate connected to the negative terminal and apply a force that causes this positive charge to move over to the plate connected to the positive terminal. Thus, we do work on the charge as we transfer it from one plate to the other. At first, no work is required to transfer a small amount of charge  $dq$  from one plate to the other.<sup>3</sup> However, once this charge has been transferred, a small potential difference exists between the plates. Therefore, work must be done to move additional charge through this potential difference. As more and more charge is transferred from one plate to the other, the potential difference increases in proportion, and more work is required.

Suppose that  $q$  is the charge on the capacitor at some instant during the charging process. At the same instant, the potential difference across the capacitor is  $\Delta V = q/C$ . From Section 25.2, we know that the work necessary to transfer an increment of charge  $dq$  from the plate carrying charge  $-q$  to the plate carrying charge  $q$  (which is at the higher electric potential) is

$$dW = \Delta V dq = \frac{q}{C} dq$$

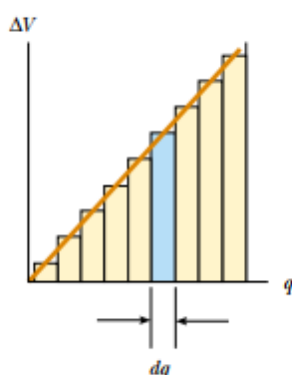
This is illustrated in Figure 26.11. The total work required to charge the capacitor from  $q = 0$  to some final charge  $q = Q$  is

$$W = \int_0^Q \frac{q}{C} dq = \frac{1}{C} \int_0^Q q dq = \frac{Q^2}{2C}$$

The work done in charging the capacitor appears as electric potential energy  $U$  stored in the capacitor. Therefore, we can express the potential energy stored in a charged capacitor in the following forms:

$$U = \frac{Q^2}{2C} = \frac{1}{2}Q\Delta V = \frac{1}{2}C(\Delta V)^2 \quad (26.11)$$

Energy stored in a charged capacitor



**Figure 26.11** A plot of potential difference versus charge for a capacitor is a straight line having a slope  $1/C$ . The work required to move charge  $dq$  through the potential difference  $\Delta V$  across the capacitor plates is given by the area of the shaded rectangle. The total work required to charge the capacitor to a final charge  $Q$  is the triangular area under the straight line,  $W = \frac{1}{2}Q\Delta V$ . (Don't forget that  $1 \text{ V} = 1 \text{ J/C}$ ; hence, the unit for the area is the joule.)

<sup>3</sup> We shall use lowercase  $q$  for the varying charge on the capacitor while it is charging, to distinguish it from uppercase  $Q$ , which is the total charge on the capacitor after it is completely charged.

(or charge) that can be stored because, at a sufficiently great value of  $\Delta V$ , discharge ultimately occurs between the plates. For this reason, capacitors are usually labeled with a maximum operating voltage.

### Quick Quiz 26.3

You have three capacitors and a battery. How should you combine the capacitors and the battery in one circuit so that the capacitors will store the maximum possible energy?

We can consider the energy stored in a capacitor as being stored in the electric field created between the plates as the capacitor is charged. This description is reasonable in view of the fact that the electric field is proportional to the charge on the capacitor. For a parallel-plate capacitor, the potential difference is related to the electric field through the relationship  $\Delta V = Ed$ . Furthermore, its capacitance is  $C = \epsilon_0 A/d$  (Eq. 26.3). Substituting these expressions into Equation 26.11, we obtain

$$U = \frac{1}{2} \frac{\epsilon_0 A}{d} (E^2 d^2) = \frac{1}{2} (\epsilon_0 A d) E^2 \quad (26.12)$$

Energy stored in a parallel-plate capacitor

Because the volume  $V$  (volume, not voltage!) occupied by the electric field is  $Ad$ , the *energy per unit volume*  $u_E = U/V = U/Ad$ , known as the *energy density*, is

$$u_E = \frac{1}{2} \epsilon_0 E^2 \quad (26.13)$$

Energy density in an electric field

Although Equation 26.13 was derived for a parallel-plate capacitor, the expression is generally valid. That is, the **energy density in any electric field is proportional to the square of the magnitude of the electric field at a given point.**



This bank of capacitors stores electrical energy for use in the particle accelerator at FermiLab, located outside Chicago. Because the electric utility company cannot provide a large enough burst of energy to operate the equipment, these capacitors are slowly charged up, and then the energy is rapidly “dumped” into the accelerator. In this sense, the setup is much like a fire-protection water tank on top of a building. The tank collects water and stores it for situations in which a lot of water is needed in a short time.

**EXAMPLE 26.5** Rewiring Two Charged Capacitors

Two capacitors  $C_1$  and  $C_2$  (where  $C_1 > C_2$ ) are charged to the same initial potential difference  $\Delta V_i$ , but with opposite polarity. The charged capacitors are removed from the battery, and their plates are connected as shown in Figure 26.12a. The switches  $S_1$  and  $S_2$  are then closed, as shown in Figure 26.12b. (a) Find the final potential difference  $\Delta V_f$  between  $a$  and  $b$  after the switches are closed.

**Solution** Let us identify the left-hand plates of the capacitors as an isolated system because they are not connected to the right-hand plates by conductors. The charges on the left-hand plates before the switches are closed are

$$Q_{1i} = C_1 \Delta V_i \quad \text{and} \quad Q_{2i} = -C_2 \Delta V_i$$

The negative sign for  $Q_{2i}$  is necessary because the charge on the left plate of capacitor  $C_2$  is negative. The total charge  $Q$  in the system is

$$(1) \quad Q = Q_{1i} + Q_{2i} = (C_1 - C_2) \Delta V_i$$

After the switches are closed, the total charge in the system remains the same:

$$(2) \quad Q = Q_{1f} + Q_{2f}$$

The charges redistribute until the entire system is at the same potential  $\Delta V_f$ . Thus, the final potential difference across  $C_1$  must be the same as the final potential difference across  $C_2$ . To satisfy this requirement, the charges on the capacitors after the switches are closed are

$$Q_{1f} = C_1 \Delta V_f \quad \text{and} \quad Q_{2f} = C_2 \Delta V_f$$

Dividing the first equation by the second, we have

$$\frac{Q_{1f}}{Q_{2f}} = \frac{C_1 \Delta V_f}{C_2 \Delta V_f} = \frac{C_1}{C_2}$$

$$(3) \quad Q_{1f} = \frac{C_1}{C_2} Q_{2f}$$

Combining Equations (2) and (3), we obtain

$$Q = Q_{1f} + Q_{2f} = \frac{C_1}{C_2} Q_{2f} + Q_{2f} = Q_{2f} \left( 1 + \frac{C_1}{C_2} \right)$$

$$Q_{2f} = Q \left( \frac{C_2}{C_1 + C_2} \right)$$

Using Equation (3) to find  $Q_{1f}$  in terms of  $Q$ , we have

$$Q_{1f} = \frac{C_1}{C_2} Q_{2f} = \frac{C_1}{C_2} Q \left( \frac{C_2}{C_1 + C_2} \right) = Q \left( \frac{C_1}{C_1 + C_2} \right)$$

Finally, using Equation 26.1 to find the voltage across each capacitor, we find that

$$\Delta V_{1f} = \frac{Q_{1f}}{C_1} = \frac{Q \left( \frac{C_1}{C_1 + C_2} \right)}{C_1} = \frac{Q}{C_1 + C_2}$$

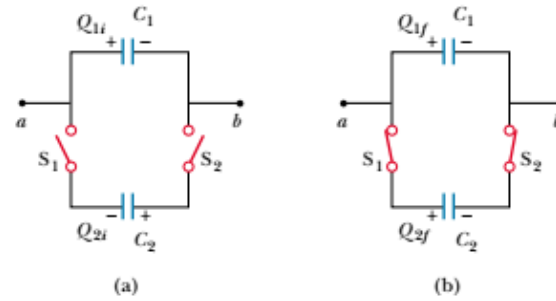


Figure 26.12

$$\Delta V_{2f} = \frac{Q_{2f}}{C_2} = \frac{Q \left( \frac{C_2}{C_1 + C_2} \right)}{C_2} = \frac{Q}{C_1 + C_2}$$

As noted earlier,  $\Delta V_{1f} = \Delta V_{2f} = \Delta V_f$ .

To express  $\Delta V_f$  in terms of the given quantities  $C_1$ ,  $C_2$ , and  $\Delta V_i$ , we substitute the value of  $Q$  from Equation (1) to obtain

$$\Delta V_f = \left( \frac{C_1 - C_2}{C_1 + C_2} \right) \Delta V_i$$

(b) Find the total energy stored in the capacitors before and after the switches are closed and the ratio of the final energy to the initial energy.

**Solution** Before the switches are closed, the total energy stored in the capacitors is

$$U_i = \frac{1}{2} C_1 (\Delta V_i)^2 + \frac{1}{2} C_2 (\Delta V_i)^2 = \frac{1}{2} (C_1 + C_2) (\Delta V_i)^2$$

After the switches are closed, the total energy stored in the capacitors is

$$U_f = \frac{1}{2} C_1 (\Delta V_f)^2 + \frac{1}{2} C_2 (\Delta V_f)^2 = \frac{1}{2} (C_1 + C_2) (\Delta V_f)^2$$

$$= \frac{1}{2} (C_1 + C_2) \left( \frac{Q}{C_1 + C_2} \right)^2 = \frac{1}{2} \frac{Q^2}{C_1 + C_2}$$

Using Equation (1), we can express this as

$$U_f = \frac{1}{2} \frac{Q^2}{C_1 + C_2} = \frac{1}{2} \frac{(C_1 - C_2)^2 (\Delta V_i)^2}{C_1 + C_2}$$

Therefore, the ratio of the final energy stored to the initial energy stored is

$$\frac{U_f}{U_i} = \frac{\frac{1}{2} \frac{(C_1 - C_2)^2 (\Delta V_i)^2}{C_1 + C_2}}{\frac{1}{2} (C_1 + C_2) (\Delta V_i)^2} = \left( \frac{C_1 - C_2}{C_1 + C_2} \right)^2$$



This ratio is less than unity, indicating that the final energy is less than the initial energy. At first, you might think that the law of energy conservation has been violated, but this is not the case. The “missing” energy is radiated away in the form of electromagnetic waves, as we shall see in Chapter 34.

### Quick Quiz 26.4

You charge a parallel-plate capacitor, remove it from the battery, and prevent the wires connected to the plates from touching each other. When you pull the plates apart, do the following quantities increase, decrease, or stay the same? (a)  $C$ ; (b)  $Q$ ; (c)  $E$  between the plates; (d)  $\Delta V$ ; (e) energy stored in the capacitor.

### Quick Quiz 26.5

Repeat Quick Quiz 26.4, but this time answer the questions for the situation in which the battery remains connected to the capacitor while you pull the plates apart.

One device in which capacitors have an important role is the *defibrillator* (Fig. 26.13). Up to 360 J is stored in the electric field of a large capacitor in a defibrillator when it is fully charged. The defibrillator can deliver all this energy to a patient in about 2 ms. (This is roughly equivalent to 3 000 times the power output of a 60-W lightbulb!) The sudden electric shock stops the fibrillation (random contractions) of the heart that often accompanies heart attacks and helps to restore the correct rhythm.

A camera’s flash unit also uses a capacitor, although the total amount of energy stored is much less than that stored in a defibrillator. After the flash unit’s capacitor is charged, tripping the camera’s shutter causes the stored energy to be sent through a special lightbulb that briefly illuminates the subject being photographed.

#### web

To learn more about defibrillators, visit [www.physiocontrol.com](http://www.physiocontrol.com)



**Figure 26.13** In a hospital or at an emergency scene, you might see a patient being revived with a defibrillator. The defibrillator’s paddles are applied to the patient’s chest, and an electric shock is sent through the chest cavity. The aim of this technique is to restore the heart’s normal rhythm pattern.

## 26.5 CAPACITORS WITH DIELECTRICS

A **dielectric** is a nonconducting material, such as rubber, glass, or waxed paper. When a dielectric is inserted between the plates of a capacitor, the capacitance increases. If the dielectric completely fills the space between the plates, the capacitance increases by a dimensionless factor  $\kappa$ , which is called the **dielectric constant**. The dielectric constant is a property of a material and varies from one material to another. In this section, we analyze this change in capacitance in terms of electrical parameters such as electric charge, electric field, and potential difference; in Section 26.7, we shall discuss the microscopic origin of these changes.

We can perform the following experiment to illustrate the effect of a dielectric in a capacitor: Consider a parallel-plate capacitor that without a dielectric has a charge  $Q_0$  and a capacitance  $C_0$ . The potential difference across the capacitor is  $\Delta V_0 = Q_0/C_0$ . Figure 26.14a illustrates this situation. The potential difference is measured by a *voltmeter*, which we shall study in greater detail in Chapter 28. Note that no battery is shown in the figure; also, we must assume that no charge can flow through an ideal voltmeter, as we shall learn in Section 28.5. Hence, there is no path by which charge can flow and alter the charge on the capacitor. If a dielectric is now inserted between the plates, as shown in Figure 26.14b, the voltmeter indicates that the voltage between the plates decreases to a value  $\Delta V$ . The voltages with and without the dielectric are related by the factor  $\kappa$  as follows:

$$\Delta V = \frac{\Delta V_0}{\kappa}$$

Because  $\Delta V < \Delta V_0$ , we see that  $\kappa > 1$ .

Because the charge  $Q_0$  on the capacitor does not change, we conclude that the capacitance must change to the value

$$C = \frac{Q_0}{\Delta V} = \frac{Q_0}{\Delta V_0/\kappa} = \kappa \frac{Q_0}{\Delta V_0}$$

$$C = \kappa C_0 \quad (26.14)$$

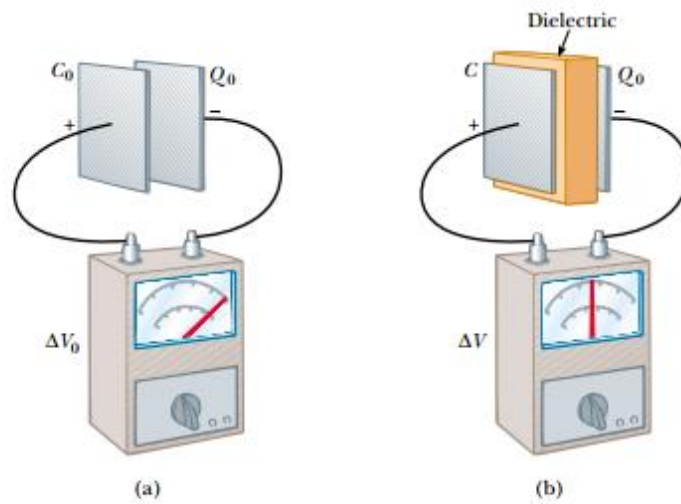
The capacitance of a filled capacitor is greater than that of an empty one by a factor  $\kappa$ .

That is, the capacitance *increases* by the factor  $\kappa$  when the dielectric completely fills the region between the plates.<sup>4</sup> For a parallel-plate capacitor, where  $C_0 = \epsilon_0 A/d$  (Eq. 26.3), we can express the capacitance when the capacitor is filled with a dielectric as

$$C = \kappa \frac{\epsilon_0 A}{d} \quad (26.15)$$

From Equations 26.3 and 26.15, it would appear that we could make the capacitance very large by decreasing  $d$ , the distance between the plates. In practice, the lowest value of  $d$  is limited by the electric discharge that could occur through the dielectric medium separating the plates. For any given separation  $d$ , the maximum voltage that can be applied to a capacitor without causing a discharge depends on the **dielectric strength** (maximum electric field) of the dielectric. If the magnitude of the electric field in the dielectric exceeds the dielectric strength, then the insulating properties break down and the dielectric begins to conduct. Insulating materials have values of  $\kappa$  greater than unity and dielectric strengths

<sup>4</sup> If the dielectric is introduced while the potential difference is being maintained constant by a battery, the charge increases to a value  $Q = \kappa Q_0$ . The additional charge is supplied by the battery, and the capacitance again increases by the factor  $\kappa$ .



**Figure 26.14** A charged capacitor (a) before and (b) after insertion of a dielectric between the plates. The charge on the plates remains unchanged, but the potential difference decreases from  $\Delta V_0$  to  $\Delta V = \Delta V_0/\kappa$ . Thus, the capacitance *increases* from  $C_0$  to  $\kappa C_0$ .

greater than that of air, as Table 26.1 indicates. Thus, we see that a dielectric provides the following advantages:

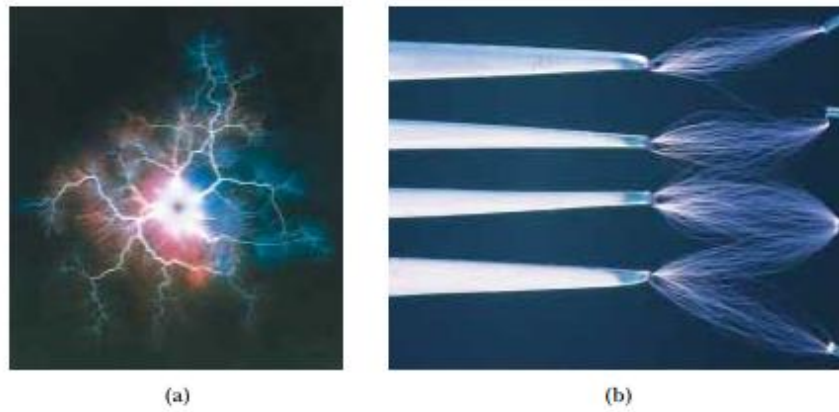
- Increase in capacitance
- Increase in maximum operating voltage
- Possible mechanical support between the plates, which allows the plates to be close together without touching, thereby decreasing  $d$  and increasing  $C$

**TABLE 26.1** Dielectric Constants and Dielectric Strengths of Various Materials at Room Temperature

Material	Dielectric Constant $\kappa$	Dielectric Strength <sup>a</sup> (V/m)
Air (dry)	1.000 59	$3 \times 10^6$
Bakelite	4.9	$24 \times 10^6$
Fused quartz	3.78	$8 \times 10^6$
Neoprene rubber	6.7	$12 \times 10^6$
Nylon	3.4	$14 \times 10^6$
Paper	3.7	$16 \times 10^6$
Polystyrene	2.56	$24 \times 10^6$
Polyvinyl chloride	3.4	$40 \times 10^6$
Porcelain	6	$12 \times 10^6$
Pyrex glass	5.6	$14 \times 10^6$
Silicone oil	2.5	$15 \times 10^6$
Strontium titanate	233	$8 \times 10^6$
Teflon	2.1	$60 \times 10^6$
Vacuum	1.000 00	—
Water	80	—

<sup>a</sup> The dielectric strength equals the maximum electric field that can exist in a dielectric without electrical breakdown. Note that these values depend strongly on the presence of impurities and flaws in the materials.



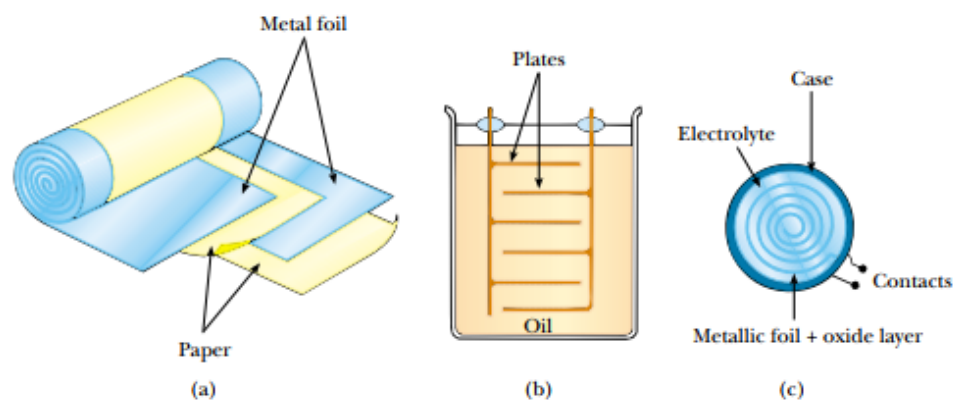


(a) Kirlian photograph created by dropping a steel ball into a high-energy electric field. Kirlian photography is also known as *electrophotography*. (b) Sparks from static electricity discharge between a fork and four electrodes. Many sparks were used to create this image because only one spark forms for a given discharge. Note that the bottom prong discharges to both electrodes at the bottom right. The light of each spark is created by the excitation of gas atoms along its path.

### Types of Capacitors

Commercial capacitors are often made from metallic foil interlaced with thin sheets of either paraffin-impregnated paper or Mylar as the dielectric material. These alternate layers of metallic foil and dielectric are rolled into a cylinder to form a small package (Fig. 26.15a). High-voltage capacitors commonly consist of a number of interwoven metallic plates immersed in silicone oil (Fig. 26.15b). Small capacitors are often constructed from ceramic materials. Variable capacitors (typically 10 to 500 pF) usually consist of two interwoven sets of metallic plates, one fixed and the other movable, and contain air as the dielectric.

Often, an *electrolytic capacitor* is used to store large amounts of charge at relatively low voltages. This device, shown in Figure 26.15c, consists of a metallic foil in contact with an *electrolyte*—a solution that conducts electricity by virtue of the motion of ions contained in the solution. When a voltage is applied between the foil and the electrolyte, a thin layer of metal oxide (an insulator) is formed on the foil,



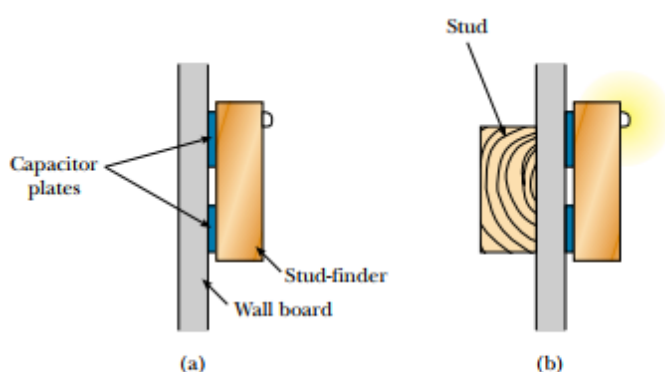
**Figure 26.15** Three commercial capacitor designs. (a) A tubular capacitor, whose plates are separated by paper and then rolled into a cylinder. (b) A high-voltage capacitor consisting of many parallel plates separated by insulating oil. (c) An electrolytic capacitor.

and this layer serves as the dielectric. Very large values of capacitance can be obtained in an electrolytic capacitor because the dielectric layer is very thin, and thus the plate separation is very small.

Electrolytic capacitors are not reversible as are many other capacitors—they have a polarity, which is indicated by positive and negative signs marked on the device. When electrolytic capacitors are used in circuits, the polarity must be aligned properly. If the polarity of the applied voltage is opposite that which is intended, the oxide layer is removed and the capacitor conducts electricity instead of storing charge.

### Quick Quiz 26.6

If you have ever tried to hang a picture, you know it can be difficult to locate a wooden stud in which to anchor your nail or screw. A carpenter's stud-finder is basically a capacitor with its plates arranged side by side instead of facing one another, as shown in Figure 26.16. When the device is moved over a stud, does the capacitance increase or decrease?



**Figure 26.16** A stud-finder. (a) The materials between the plates of the capacitor are the wallboard and air. (b) When the capacitor moves across a stud in the wall, the materials between the plates are the wallboard and the wood. The change in the dielectric constant causes a signal light to illuminate.

### EXAMPLE 26.6 A Paper-Filled Capacitor

A parallel-plate capacitor has plates of dimensions 2.0 cm by 3.0 cm separated by a 1.0-mm thickness of paper. (a) Find its capacitance.

**Solution** Because  $\kappa = 3.7$  for paper (see Table 26.1), we have

$$C = \kappa \frac{\epsilon_0 A}{d} = 3.7(8.85 \times 10^{-12} \text{ C}^2/\text{N} \cdot \text{m}^2) \left( \frac{6.0 \times 10^{-4} \text{ m}^2}{1.0 \times 10^{-3} \text{ m}} \right) \\ = 20 \times 10^{-12} \text{ F} = 20 \text{ pF}$$

(b) What is the maximum charge that can be placed on the capacitor?

**Solution** From Table 26.1 we see that the dielectric strength of paper is  $16 \times 10^6 \text{ V/m}$ . Because the thickness of

the paper is 1.0 mm, the maximum voltage that can be applied before breakdown is

$$\Delta V_{\text{max}} = E_{\text{max}} d = (16 \times 10^6 \text{ V/m})(1.0 \times 10^{-3} \text{ m}) \\ = 16 \times 10^3 \text{ V}$$

Hence, the maximum charge is

$$Q_{\text{max}} = C \Delta V_{\text{max}} = (20 \times 10^{-12} \text{ F})(16 \times 10^3 \text{ V}) = 0.32 \mu\text{C}$$

**Exercise** What is the maximum energy that can be stored in the capacitor?

**Answer**  $2.6 \times 10^{-3} \text{ J}$ .

**EXAMPLE 26.7** Energy Stored Before and After

A parallel-plate capacitor is charged with a battery to a charge  $Q_0$ , as shown in Figure 26.17a. The battery is then removed, and a slab of material that has a dielectric constant  $\kappa$  is inserted between the plates, as shown in Figure 26.17b. Find the energy stored in the capacitor before and after the dielectric is inserted.

**Solution** The energy stored in the absence of the dielectric is (see Eq. 26.11):

$$U_0 = \frac{Q_0^2}{2C_0}$$

After the battery is removed and the dielectric inserted, the charge on the capacitor remains the same. Hence, the energy stored in the presence of the dielectric is

$$U = \frac{Q_0^2}{2C}$$

But the capacitance in the presence of the dielectric is  $C = \kappa C_0$ , so  $U$  becomes

$$U = \frac{Q_0^2}{2\kappa C_0} = \frac{U_0}{\kappa}$$

Because  $\kappa > 1$ , the final energy is less than the initial energy. We can account for the “missing” energy by noting that the dielectric, when inserted, gets pulled into the device (see the following discussion and Figure 26.18). An external agent must do negative work to keep the dielectric from accelerating. This work is simply the difference  $U - U_0$ . (Alternatively, the positive work done by the system on the external agent is  $U_0 - U$ .)

**Exercise** Suppose that the capacitance in the absence of a dielectric is 8.50 pF and that the capacitor is charged to a potential difference of 12.0 V. If the battery is disconnected and a slab of polystyrene is inserted between the plates, what is  $U_0 - U$ ?

**Answer** 373 pJ.

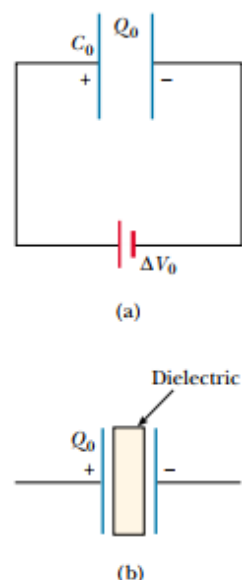


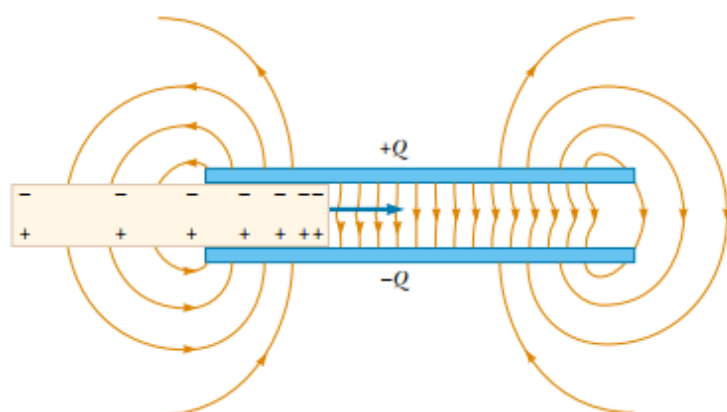
Figure 26.17

As we have seen, the energy of a capacitor not connected to a battery is lowered when a dielectric is inserted between the plates; this means that negative work is done on the dielectric by the external agent inserting the dielectric into the capacitor. This, in turn, implies that a force that draws it into the capacitor must be acting on the dielectric. This force originates from the nonuniform nature of the electric field of the capacitor near its edges, as indicated in Figure 26.18. The horizontal component of this *fringe field* acts on the induced charges on the surface of the dielectric, producing a net horizontal force directed into the space between the capacitor plates.

**Quick Quiz 26.7**

A fully charged parallel-plate capacitor remains connected to a battery while you slide a dielectric between the plates. Do the following quantities increase, decrease, or stay the same? (a)  $C$ ; (b)  $Q$ ; (c)  $E$  between the plates; (d)  $\Delta V$ ; (e) energy stored in the capacitor.





**Figure 26.18** The nonuniform electric field near the edges of a parallel-plate capacitor causes a dielectric to be pulled into the capacitor. Note that the field acts on the induced surface charges on the dielectric, which are nonuniformly distributed.

### Optional Section

## 26.6 ELECTRIC DIPOLE IN AN ELECTRIC FIELD

We have discussed the effect on the capacitance of placing a dielectric between the plates of a capacitor. In Section 26.7, we shall describe the microscopic origin of this effect. Before we can do so, however, we need to expand upon the discussion of the electric dipole that we began in Section 23.4 (see Example 23.6). The electric dipole consists of two charges of equal magnitude but opposite sign separated by a distance  $2a$ , as shown in Figure 26.19. The **electric dipole moment** of this configuration is defined as the vector  $\mathbf{p}$  directed from  $-q$  to  $+q$  along the line joining the charges and having magnitude  $2aq$ :

$$p \equiv 2aq \quad (26.16)$$

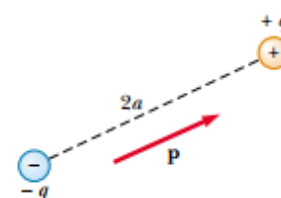
Now suppose that an electric dipole is placed in a uniform electric field  $\mathbf{E}$ , as shown in Figure 26.20. We identify  $\mathbf{E}$  as the field *external* to the dipole, distinguishing it from the field *due to* the dipole, which we discussed in Section 23.4. The field  $\mathbf{E}$  is established by some other charge distribution, and we place the dipole into this field. Let us imagine that the dipole moment makes an angle  $\theta$  with the field.

The electric forces acting on the two charges are equal in magnitude but opposite in direction as shown in Figure 26.20 (each has a magnitude  $F = qE$ ). Thus, the net force on the dipole is zero. However, the two forces produce a net torque on the dipole; as a result, the dipole rotates in the direction that brings the dipole moment vector into greater alignment with the field. The torque due to the force on the positive charge about an axis through  $O$  in Figure 26.20 is  $Fa \sin \theta$ , where  $a \sin \theta$  is the moment arm of  $F$  about  $O$ . This force tends to produce a clockwise rotation. The torque about  $O$  on the negative charge also is  $Fa \sin \theta$ ; here again, the force tends to produce a clockwise rotation. Thus, the net torque about  $O$  is

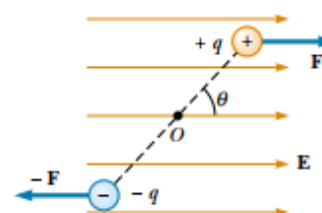
$$\tau = 2Fa \sin \theta$$

Because  $F = qE$  and  $p = 2aq$ , we can express  $\tau$  as

$$\tau = 2aqE \sin \theta = pE \sin \theta \quad (26.17)$$



**Figure 26.19** An electric dipole consists of two charges of equal magnitude but opposite sign separated by a distance of  $2a$ . The electric dipole moment  $\mathbf{p}$  is directed from  $-q$  to  $+q$ .



**Figure 26.20** An electric dipole in a uniform external electric field. The dipole moment  $\mathbf{p}$  is at an angle  $\theta$  to the field, causing the dipole to experience a torque.

It is convenient to express the torque in vector form as the cross product of the vectors  $\mathbf{p}$  and  $\mathbf{E}$ :

Torque on an electric dipole in an external electric field

$$\boldsymbol{\tau} = \mathbf{p} \times \mathbf{E} \quad (26.18)$$

We can determine the potential energy of the system of an electric dipole in an external electric field as a function of the orientation of the dipole with respect to the field. To do this, we recognize that work must be done by an external agent to rotate the dipole through an angle so as to cause the dipole moment vector to become less aligned with the field. The work done is then stored as potential energy in the system of the dipole and the external field. The work  $dW$  required to rotate the dipole through an angle  $d\theta$  is  $dW = \tau d\theta$  (Eq. 10.22). Because  $\tau = pE \sin \theta$  and because the work is transformed into potential energy  $U$ , we find that, for a rotation from  $\theta_i$  to  $\theta_f$ , the change in potential energy is

$$\begin{aligned} U_f - U_i &= \int_{\theta_i}^{\theta_f} \tau d\theta = \int_{\theta_i}^{\theta_f} pE \sin \theta d\theta = pE \int_{\theta_i}^{\theta_f} \sin \theta d\theta \\ &= pE \left[ -\cos \theta \right]_{\theta_i}^{\theta_f} = pE(\cos \theta_i - \cos \theta_f) \end{aligned}$$

The term that contains  $\cos \theta_i$  is a constant that depends on the initial orientation of the dipole. It is convenient for us to choose  $\theta_i = 90^\circ$ , so that  $\cos \theta_i = \cos 90^\circ = 0$ . Furthermore, let us choose  $U_i = 0$  at  $\theta_i = 90^\circ$  as our reference of potential energy. Hence, we can express a general value of  $U = U_f$  as

$$U = -pE \cos \theta \quad (26.19)$$

We can write this expression for the potential energy of a dipole in an electric field as the dot product of the vectors  $\mathbf{p}$  and  $\mathbf{E}$ :

Potential energy of a dipole in an electric field

$$U = -\mathbf{p} \cdot \mathbf{E} \quad (26.20)$$

To develop a conceptual understanding of Equation 26.19, let us compare this expression with the expression for the potential energy of an object in the gravitational field of the Earth,  $U = mgh$  (see Chapter 8). The gravitational expression includes a parameter associated with the object we place in the field—its mass  $m$ . Likewise, Equation 26.19 includes a parameter of the object in the electric field—its dipole moment  $p$ . The gravitational expression includes the magnitude of the gravitational field  $g$ . Similarly, Equation 26.19 includes the magnitude of the electric field  $E$ . So far, these two contributions to the potential energy expressions appear analogous. However, the final contribution is somewhat different in the two cases. In the gravitational expression, the potential energy depends on how high we lift the object, measured by  $h$ . In Equation 26.19, the potential energy depends on the angle  $\theta$  through which we rotate the dipole. In both cases, we are making a change in the system. In the gravitational case, the change involves moving an object in a *translational* sense, whereas in the electrical case, the change involves moving an object in a *rotational* sense. In both cases, however, once the change is made, the system tends to return to the original configuration when the object is released: the object of mass  $m$  falls back to the ground, and the dipole begins to rotate back toward the configuration in which it was aligned with the field. Thus, apart from the type of motion, the expressions for potential energy in these two cases are similar.

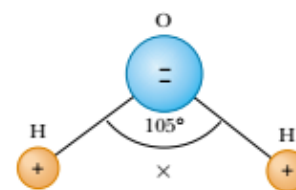
Molecules are said to be *polarized* when a separation exists between the average position of the negative charges and the average position of the positive charges in the molecule. In some molecules, such as water, this condition is always present—such molecules are called **polar molecules**. Molecules that do not possess a permanent polarization are called **nonpolar molecules**.

We can understand the permanent polarization of water by inspecting the geometry of the water molecule. In the water molecule, the oxygen atom is bonded to the hydrogen atoms such that an angle of  $105^\circ$  is formed between the two bonds (Fig. 26.21). The center of the negative charge distribution is near the oxygen atom, and the center of the positive charge distribution lies at a point midway along the line joining the hydrogen atoms (the point labeled  $\times$  in Fig. 26.21). We can model the water molecule and other polar molecules as dipoles because the average positions of the positive and negative charges act as point charges. As a result, we can apply our discussion of dipoles to the behavior of polar molecules.

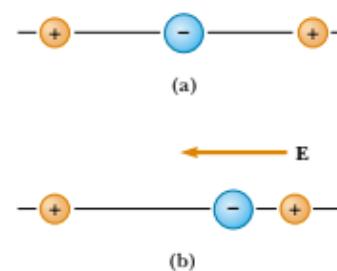
Microwave ovens take advantage of the polar nature of the water molecule. When in operation, microwave ovens generate a rapidly changing electric field that causes the polar molecules to swing back and forth, absorbing energy from the field in the process. Because the jostling molecules collide with each other, the energy they absorb from the field is converted to internal energy, which corresponds to an increase in temperature of the food.

Another household scenario in which the dipole structure of water is exploited is washing with soap and water. Grease and oil are made up of nonpolar molecules, which are generally not attracted to water. Plain water is not very useful for removing this type of grime. Soap contains long molecules called *surfactants*. In a long molecule, the polarity characteristics of one end of the molecule can be different from those at the other end. In a surfactant molecule, one end acts like a nonpolar molecule and the other acts like a polar molecule. The nonpolar end can attach to a grease or oil molecule, and the polar end can attach to a water molecule. Thus, the soap serves as a chain, linking the dirt and water molecules together. When the water is rinsed away, the grease and oil go with it.

A symmetric molecule (Fig. 26.22a) has no permanent polarization, but polarization can be induced by placing the molecule in an electric field. A field directed to the left, as shown in Figure 26.22b, would cause the center of the positive charge distribution to shift to the left from its initial position and the center of the negative charge distribution to shift to the right. This *induced polarization* is the effect that predominates in most materials used as dielectrics in capacitors.



**Figure 26.21** The water molecule,  $\text{H}_2\text{O}$ , has a permanent polarization resulting from its bent geometry. The center of the positive charge distribution is at the point  $\times$ .



**Figure 26.22** (a) A symmetric molecule has no permanent polarization. (b) An external electric field induces a polarization in the molecule.

### EXAMPLE 26.8 The $\text{H}_2\text{O}$ Molecule

The water ( $\text{H}_2\text{O}$ ) molecule has an electric dipole moment of  $6.3 \times 10^{-30} \text{ C}\cdot\text{m}$ . A sample contains  $10^{21}$  water molecules, with the dipole moments all oriented in the direction of an electric field of magnitude  $2.5 \times 10^5 \text{ N/C}$ . How much work is required to rotate the dipoles from this orientation ( $\theta = 0^\circ$ ) to one in which all the dipole moments are perpendicular to the field ( $\theta = 90^\circ$ )?

**Solution** The work required to rotate one molecule  $90^\circ$  is equal to the difference in potential energy between the  $90^\circ$  orientation and the  $0^\circ$  orientation. Using Equation 26.19, we

obtain

$$\begin{aligned} W &= U_{90} - U_0 = (-pE \cos 90^\circ) - (-pE \cos 0^\circ) \\ &= pE = (6.3 \times 10^{-30} \text{ C}\cdot\text{m})(2.5 \times 10^5 \text{ N/C}) \\ &= 1.6 \times 10^{-24} \text{ J} \end{aligned}$$

Because there are  $10^{21}$  molecules in the sample, the *total* work required is

$$W_{\text{total}} = (10^{21})(1.6 \times 10^{-24} \text{ J}) = 1.6 \times 10^{-3} \text{ J}$$

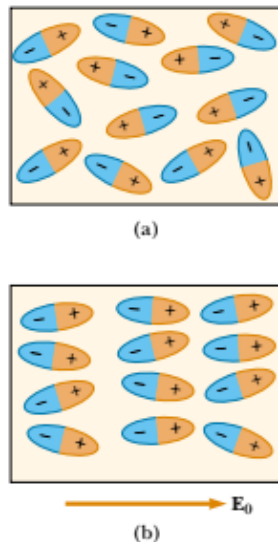


## Optional Section

## 26.7 AN ATOMIC DESCRIPTION OF DIELECTRICS

In Section 26.5 we found that the potential difference  $\Delta V_0$  between the plates of a capacitor is reduced to  $\Delta V_0/\kappa$  when a dielectric is introduced. Because the potential difference between the plates equals the product of the electric field and the separation  $d$ , the electric field is also reduced. Thus, if  $\mathbf{E}_0$  is the electric field without the dielectric, the field in the presence of a dielectric is

$$\mathbf{E} = \frac{\mathbf{E}_0}{\kappa} \quad (26.21)$$

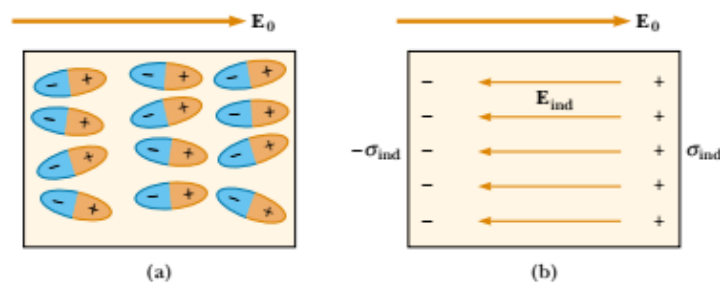


**Figure 26.23** (a) Polar molecules are randomly oriented in the absence of an external electric field. (b) When an external field is applied, the molecules partially align with the field.

Let us first consider a dielectric made up of polar molecules placed in the electric field between the plates of a capacitor. The dipoles (that is, the polar molecules making up the dielectric) are randomly oriented in the absence of an electric field, as shown in Figure 26.23a. When an external field  $\mathbf{E}_0$  due to charges on the capacitor plates is applied, a torque is exerted on the dipoles, causing them to partially align with the field, as shown in Figure 26.23b. We can now describe the dielectric as being polarized. The degree of alignment of the molecules with the electric field depends on temperature and on the magnitude of the field. In general, the alignment increases with decreasing temperature and with increasing electric field.

If the molecules of the dielectric are nonpolar, then the electric field due to the plates produces some charge separation and an *induced dipole moment*. These induced dipole moments tend to align with the external field, and the dielectric is polarized. Thus, we can polarize a dielectric with an external field regardless of whether the molecules are polar or nonpolar.

With these ideas in mind, consider a slab of dielectric material placed between the plates of a capacitor so that it is in a uniform electric field  $\mathbf{E}_0$ , as shown in Figure 26.24a. The electric field due to the plates is directed to the right and polarizes the dielectric. The net effect on the dielectric is the formation of an *induced* positive surface charge density  $\sigma_{\text{ind}}$  on the right face and an equal negative surface charge density  $-\sigma_{\text{ind}}$  on the left face, as shown in Figure 26.24b. These induced surface charges on the dielectric give rise to an induced electric field  $\mathbf{E}_{\text{ind}}$  in the direction opposite the external field  $\mathbf{E}_0$ . Therefore, the net electric field  $\mathbf{E}$  in the



**Figure 26.24** (a) When a dielectric is polarized, the dipole moments of the molecules in the dielectric are partially aligned with the external field  $\mathbf{E}_0$ . (b) This polarization causes an induced negative surface charge on one side of the dielectric and an equal induced positive surface charge on the opposite side. This separation of charge results in a reduction in the net electric field within the dielectric.

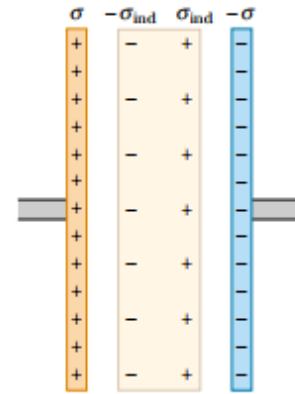
dielectric has a magnitude

$$E = E_0 - E_{\text{ind}} \quad (26.22)$$

In the parallel-plate capacitor shown in Figure 26.25, the external field  $E_0$  is related to the charge density  $\sigma$  on the plates through the relationship  $E_0 = \sigma/\epsilon_0$ . The induced electric field in the dielectric is related to the induced charge density  $\sigma_{\text{ind}}$  through the relationship  $E_{\text{ind}} = \sigma_{\text{ind}}/\epsilon_0$ . Because  $E = E_0/\kappa = \sigma/\kappa\epsilon_0$ , substitution into Equation 26.22 gives

$$\begin{aligned} \frac{\sigma}{\kappa\epsilon_0} &= \frac{\sigma}{\epsilon_0} - \frac{\sigma_{\text{ind}}}{\epsilon_0} \\ \sigma_{\text{ind}} &= \left( \frac{\kappa - 1}{\kappa} \right) \sigma \end{aligned} \quad (26.23)$$

Because  $\kappa > 1$ , this expression shows that the charge density  $\sigma_{\text{ind}}$  induced on the dielectric is less than the charge density  $\sigma$  on the plates. For instance, if  $\kappa = 3$ , we see that the induced charge density is two-thirds the charge density on the plates. If no dielectric is present, then  $\kappa = 1$  and  $\sigma_{\text{ind}} = 0$  as expected. However, if the dielectric is replaced by an electrical conductor, for which  $E = 0$ , then Equation 26.22 indicates that  $E_0 = E_{\text{ind}}$ ; this corresponds to  $\sigma_{\text{ind}} = \sigma$ . That is, the surface charge induced on the conductor is equal in magnitude but opposite in sign to that on the plates, resulting in a net electric field of zero in the conductor.



**Figure 26.25** Induced charge on a dielectric placed between the plates of a charged capacitor. Note that the induced charge density on the dielectric is less than the charge density on the plates.

### EXAMPLE 26.9 Effect of a Metallic Slab

A parallel-plate capacitor has a plate separation  $d$  and plate area  $A$ . An uncharged metallic slab of thickness  $a$  is inserted midway between the plates. (a) Find the capacitance of the device.

**Solution** We can solve this problem by noting that any charge that appears on one plate of the capacitor must induce a charge of equal magnitude but opposite sign on the near side of the slab, as shown in Figure 26.26a. Consequently, the net charge on the slab remains zero, and the electric field inside the slab is zero. Hence, the capacitor is equivalent to two capacitors in series, each having a plate separation  $(d - a)/2$ , as shown in Figure 26.26b.

Using the rule for adding two capacitors in series (Eq. 26.10), we obtain

$$\frac{1}{C} = \frac{1}{C_1} + \frac{1}{C_2} = \frac{1}{\frac{\epsilon_0 A}{(d-a)/2}} + \frac{1}{\frac{\epsilon_0 A}{(d-a)/2}}$$

$$C = \frac{\epsilon_0 A}{d - a}$$

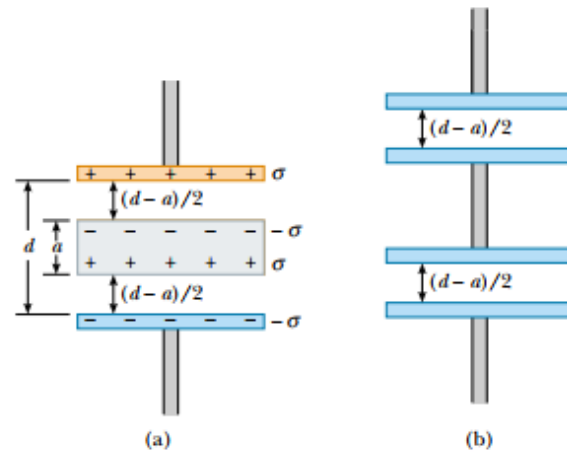
Note that  $C$  approaches infinity as  $a$  approaches  $d$ . Why?

(b) Show that the capacitance is unaffected if the metallic slab is infinitesimally thin.

**Solution** In the result for part (a), we let  $a \rightarrow 0$ :

$$C = \lim_{a \rightarrow 0} \frac{\epsilon_0 A}{d - a} = \frac{\epsilon_0 A}{d}$$

which is the original capacitance.



**Figure 26.26** (a) A parallel-plate capacitor of plate separation  $d$  partially filled with a metallic slab of thickness  $a$ . (b) The equivalent circuit of the device in part (a) consists of two capacitors in series, each having a plate separation  $(d - a)/2$ .

(c) Show that the answer to part (a) does not depend on where the slab is inserted.

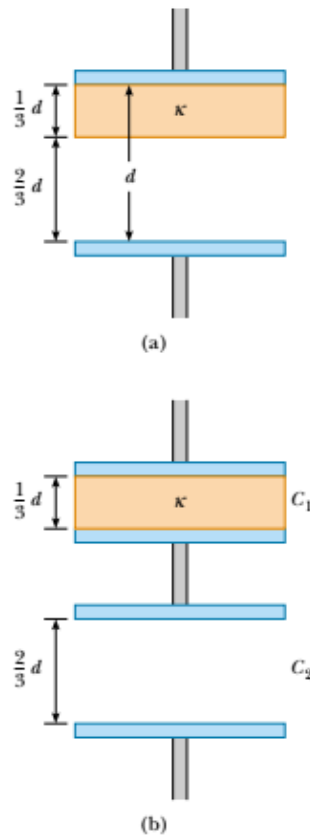
**Solution** Let us imagine that the slab in Figure 26.26a is moved upward so that the distance between the upper edge of the slab and the upper plate is  $b$ . Then, the distance between the lower edge of the slab and the lower plate is  $d - b - a$ . As in part (a), we find the total capacitance of the series combination:

$$\begin{aligned}\frac{1}{C} &= \frac{1}{C_1} + \frac{1}{C_2} = \frac{1}{\frac{\epsilon_0 A}{b}} + \frac{1}{\frac{\epsilon_0 A}{d - b - a}} \\ &= \frac{b}{\epsilon_0 A} + \frac{d - b - a}{\epsilon_0 A} = \frac{d - a}{\epsilon_0 A} \\ C &= \frac{\epsilon_0 A}{d - a}\end{aligned}$$

This is the same result as in part (a). It is independent of the value of  $b$ , so it does not matter where the slab is located.

### EXAMPLE 26.10 A Partially Filled Capacitor

A parallel-plate capacitor with a plate separation  $d$  has a capacitance  $C_0$  in the absence of a dielectric. What is the capacitance when a slab of dielectric material of dielectric constant  $\kappa$  and thickness  $\frac{1}{3}d$  is inserted between the plates (Fig. 26.27a)?



**Figure 26.27** (a) A parallel-plate capacitor of plate separation  $d$  partially filled with a dielectric of thickness  $d/3$ . (b) The equivalent circuit of the capacitor consists of two capacitors connected in series.

**Solution** In Example 26.9, we found that we could insert a metallic slab between the plates of a capacitor and consider the combination as two capacitors in series. The resulting capacitance was independent of the location of the slab. Furthermore, if the thickness of the slab approaches zero, then the capacitance of the system approaches the capacitance when the slab is absent. From this, we conclude that we can insert an infinitesimally thin metallic slab anywhere between the plates of a capacitor without affecting the capacitance. Thus, let us imagine sliding an infinitesimally thin metallic slab along the bottom face of the dielectric shown in Figure 26.27a. We can then consider this system to be the series combination of the two capacitors shown in Figure 26.27b: one having a plate separation  $d/3$  and filled with a dielectric, and the other having a plate separation  $2d/3$  and air between its plates.

From Equations 26.15 and 26.3, the two capacitances are

$$C_1 = \frac{\kappa \epsilon_0 A}{d/3} \quad \text{and} \quad C_2 = \frac{\epsilon_0 A}{2d/3}$$

Using Equation 26.10 for two capacitors combined in series, we have

$$\begin{aligned}\frac{1}{C} &= \frac{1}{C_1} + \frac{1}{C_2} = \frac{d/3}{\kappa \epsilon_0 A} + \frac{2d/3}{\epsilon_0 A} \\ &= \frac{d}{3\epsilon_0 A} \left( \frac{1}{\kappa} + 2 \right) = \frac{d}{3\epsilon_0 A} \left( \frac{1 + 2\kappa}{\kappa} \right) \\ C &= \left( \frac{3\kappa}{2\kappa + 1} \right) \frac{\epsilon_0 A}{d}\end{aligned}$$

Because the capacitance without the dielectric is  $C_0 = \epsilon_0 A/d$ , we see that

$$C = \left( \frac{3\kappa}{2\kappa + 1} \right) C_0$$



## SUMMARY

A **capacitor** consists of two conductors carrying charges of equal magnitude but opposite sign. The **capacitance**  $C$  of any capacitor is the ratio of the charge  $Q$  on either conductor to the potential difference  $\Delta V$  between them:

$$C \equiv \frac{Q}{\Delta V} \quad (26.1)$$

This relationship can be used in situations in which any two of the three variables are known. It is important to remember that this ratio is constant for a given configuration of conductors because the capacitance depends only on the geometry of the conductors and not on an external source of charge or potential difference.

The SI unit of capacitance is coulombs per volt, or the **farad** (F), and  $1 \text{ F} = 1 \text{ C/V}$ .

Capacitance expressions for various geometries are summarized in Table 26.2.

If two or more capacitors are connected in parallel, then the potential difference is the same across all of them. The equivalent capacitance of a parallel combination of capacitors is

$$C_{\text{eq}} = C_1 + C_2 + C_3 + \cdots \quad (26.8)$$

If two or more capacitors are connected in series, the charge is the same on all of them, and the equivalent capacitance of the series combination is given by

$$\frac{1}{C_{\text{eq}}} = \frac{1}{C_1} + \frac{1}{C_2} + \frac{1}{C_3} + \cdots \quad (26.10)$$

These two equations enable you to simplify many electric circuits by replacing multiple capacitors with a single equivalent capacitance.

Work is required to charge a capacitor because the charging process is equivalent to the transfer of charges from one conductor at a lower electric potential to another conductor at a higher potential. The work done in charging the capacitor to a charge  $Q$  equals the electric potential energy  $U$  stored in the capacitor, where

$$U = \frac{Q^2}{2C} = \frac{1}{2}Q\Delta V = \frac{1}{2}C(\Delta V)^2 \quad (26.11)$$

**TABLE 26.2** Capacitance and Geometry

Geometry	Capacitance	Equation
Isolated charged sphere of radius $R$ (second charged conductor assumed at infinity)	$C = 4\pi\epsilon_0 R$	26.2
Parallel-plate capacitor of plate area $A$ and plate separation $d$	$C = \epsilon_0 \frac{A}{d}$	26.3
Cylindrical capacitor of length $\ell$ and inner and outer radii $a$ and $b$ , respectively	$C = \frac{\ell}{2k_e \ln\left(\frac{b}{a}\right)}$	26.4
Spherical capacitor with inner and outer radii $a$ and $b$ , respectively	$C = \frac{ab}{k_e(b-a)}$	26.6

When a dielectric material is inserted between the plates of a capacitor, the capacitance increases by a dimensionless factor  $\kappa$ , called the **dielectric constant**:

$$C = \kappa C_0 \quad (26.14)$$

where  $C_0$  is the capacitance in the absence of the dielectric. The increase in capacitance is due to a decrease in the magnitude of the electric field in the presence of the dielectric and to a corresponding decrease in the potential difference between the plates—if we assume that the charging battery is removed from the circuit before the dielectric is inserted. The decrease in the magnitude of  $\mathbf{E}$  arises from an internal electric field produced by aligned dipoles in the dielectric. This internal field produced by the dipoles opposes the applied field due to the capacitor plates, and the result is a reduction in the net electric field.

The **electric dipole moment**  $\mathbf{p}$  of an electric dipole has a magnitude

$$p \equiv 2aq \quad (26.16)$$

The direction of the electric dipole moment vector is from the negative charge toward the positive charge.

The torque acting on an electric dipole in a uniform electric field  $\mathbf{E}$  is

$$\boldsymbol{\tau} = \mathbf{p} \times \mathbf{E} \quad (26.18)$$

The potential energy of an electric dipole in a uniform external electric field  $\mathbf{E}$  is

$$U = -\mathbf{p} \cdot \mathbf{E} \quad (26.20)$$