

# Intro to Optical Lattices

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This is a brief introduction to optical lattices, and a few interesting problems from my personal explorations in this area.

The fundamental idea underlying optical lattices is the *dipole force*, which is a force felt by a two-level atom in a time varying electric field. I will derive this effect below, but in the interest of getting to lattice physics quickly, I will just state the result for now: For a two level atom with energy difference  $\omega_0$  in the presense of an electric field  $\vec{E}(\vec{x}) \cos(\omega t)$ , the atom feels an effective potential

$$V(\vec{x}) = -\frac{\hbar\Gamma^2}{8\Delta} \left( \frac{\frac{1}{2}c\epsilon_0 |\vec{E}(\vec{x})|^2}{I_{SAT}} \right) =: -\frac{\hbar\Gamma^2 s}{8\Delta} \quad (1)$$

where

- $\Gamma$  is the linewidth of the atomic transition.
- $\Delta := \omega - \omega_0$  is the *detuning* of the light from the atomic transition.
- $I_{SAT}$  is a characteristic intensity for the atomic transition, called the *saturation intensity*. It has formula

$$I_{SAT} = \frac{2\pi^2}{3} \frac{\Gamma}{\lambda^2} \hbar f$$

where  $f$  and  $\lambda$  are the frequency and wavelength (resp.) of the atomic transition. Noting that  $\Gamma$  is the inverse lifetime of the transition (i.e.  $\Gamma = 1/\tau$ , where  $\tau$  is the lifetime of the upper state), we interpret this formula as “one photon energy per square wavelength per transition time”, multiplied by the numeric factor  $2\pi^2/3$ .

- $s := \left( \frac{1}{2}c\epsilon_0 |\vec{E}(\vec{x})|^2 \right) / I_{SAT}$  is the dimensionless intensity of the light.

The important point is that this potential depends only on the *time averaged* local intensity of the field. The fact that it depends only on the *time average* deserves special emphasis (hence the italics), as this will be used below. This time averaging is essentially the “rotating wave approximation”, and comes from a scale separation between  $\omega$  and the other relevant energy scales in the problem. I’ll be vague about what those energy scales are, exactly, but will clarify later when I derive the expression for the dipole force.

An optical lattice arises from the interference of some number  $n$  of lasers. We will assume that each laser’s electric field can be treated as a plane wave  $\vec{A}_j \cos(\vec{k}_j \cdot \vec{x} - \omega_j t + \phi_j(t))$ , for  $j \in \{1, 2, \dots, n\}$  (we take  $\vec{A}_j$  to be real here). The intensity of the combined electric field is then

$$\begin{aligned} I &\propto \left| \sum_j \vec{A}_j \cos(\vec{k}_j \cdot \vec{x} - \omega_j t + \phi_j(t)) \right|^2 \\ &= \sum_j A_j^2 \cos^2(\vec{k}_j \cdot \vec{x} - \omega_j t + \phi_j(t)) + 2 \sum_{j < l} \vec{A}_j \cdot \vec{A}_l \cos(\vec{k}_j \cdot \vec{x} - \omega_j t + \phi_j(t)) \cos(\vec{k}_l \cdot \vec{x} - \omega_l t + \phi_l(t)) \\ &= \sum_j A_j^2 \cos^2(\vec{k}_j \cdot \vec{x} - \omega_j t + \phi_j(t)) + \sum_{j < l} \vec{A}_j \cdot \vec{A}_l \cos\left(\left(\vec{k}_j - \vec{k}_l\right) \cdot \vec{x} - (\omega_j - \omega_l)t + (\phi_j(t) - \phi_l(t))\right) \\ &\quad + \sum_{j < l} \vec{A}_j \cdot \vec{A}_l \cos\left(\left(\vec{k}_j + \vec{k}_l\right) \cdot \vec{x} - (\omega_j + \omega_l)t + (\phi_j(t) + \phi_l(t))\right) \end{aligned}$$

Now the big simplification comes from observing that, so long as the frequencies of the various lasers are close to each other, the first and third terms above vary much more rapidly than the middle term. In fact, if the lasers have

identical frequencies, the middle term is a totally static potential. Because we care only about the time averaged intensity, we thus discard the first and third terms, leaving our optical lattice potential:

$$V(\vec{x}) = \sum_{j < l} \vec{A}_j \cdot \vec{A}_l \cos \left( \left( \vec{k}_j - \vec{k}_l \right) \cdot \vec{x} - (\omega_j - \omega_l) t + (\phi_j(t) - \phi_l(t)) \right)$$

To simplify notation, we introduce  $A_{jl} := \vec{A}_j \cdot \vec{A}_l$ ,  $k_{jl} := \vec{k}_j - \vec{k}_l$ ,  $\omega_{jl} := \omega_j - \omega_l$ , and  $\phi_{jl}(t) = \phi_j(t) - \phi_l(t)$ , and drop the arrows on vectors, so that the above becomes

$$V(x) = \sum_{j < l} A_{jl} \cos(k_{jl} \cdot x - \omega_{jl} t + \phi_{jl}(t)) \quad (2)$$

This is the optical lattice potential.

A few observations:

- There are  $\binom{n}{2}$  terms in the above sum, and the same number of vectors  $k_{jl}$ . In general, the vectors  $k_{jl}$  are not linearly independent, except in the case  $n = 2$  when there is only one such vector. Indeed, for any three vectors  $k_{1,2,3}$  we have  $k_{23} = k_{13} - k_{12}$ .
- All difference vectors  $k_{jl}$  can be written as integer combinations of the vectors  $k_{1l}$ . From this, several corollaries follow:
  - To get an  $m$  dimensional lattice, you need  $m$  linearly independent  $k_{1l}$ , which requires at least  $m + 1$  lasers. An  $m$  dimensional lattice with  $m + 1$  lasers plays an important role in what follows, and we refer to it as a “minimal” configuration.
  - A non-minimal configuration of lasers is generically not a lattice. To be a lattice, some subset of  $\{k_{1l}\}$  must generate the rest via integer combinations.
  - We can make any Bravais lattice into an optical lattice (see proof below).
- The preceding remarks imply that to make a 2D or 3D square lattice consisting of superposed cosine potentials along orthogonal directions (the ostensibly “simplest” kind of lattice), it is necessary to choose polarizations judiciously. For example, in 2D, you can take laser 1 to point along  $-\hat{x} - \hat{y}$  with polarization along  $\hat{z} + \hat{x} + \hat{y}$ , laser 2 to point along  $\hat{y} - \hat{x}$  with polarization along  $\hat{x} + \hat{y}$ , and laser 3 to point along  $\hat{x} - \hat{y}$  with polarization along  $\hat{z}$ . The difference vectors  $k_{1l}$  are then along  $\hat{x}$  and  $\hat{y}$ , and lasers 2 and 3 are orthogonal to each other (but not to laser 1), yielding the desired square lattice.

Another solution which uses more than the minimal number of lasers is to point two lasers along  $\pm\hat{x}$  with a polarization along  $\hat{y}$  and two other lasers along  $\pm\hat{y}$  directions with polarization along  $\hat{x}$ .

### A. Alternative derivation

For a 1D lattice, there is a nice alternative derivation of the lattice potential. Suppose we have two lasers with identical frequencies, amplitudes, phase, and polarizations, so that their combined electric field can be written

$$E = E_0 \cos(k_1 \cdot x - \omega t) + E_0 \cos(k_2 \cdot x - \omega t).$$

Set  $K := k_1 - k_2$  and  $L := \frac{1}{2}(k_1 + k_2)$ . Then we have

$$\begin{aligned} E &= \frac{E_0}{2} \left( e^{i(k_1 x - \omega t)} + e^{-i(k_1 x - \omega t)} \right) + \frac{E_0}{2} \left( e^{i(k_2 x - \omega t)} + e^{-i(k_2 x - \omega t)} \right) \\ &= \frac{E_0}{2} (e^{ik_1 x} + e^{-ik_1 x}) e^{-i\omega t} + \frac{E_0}{2} (e^{-ik_1 x} + e^{-ik_2 x}) e^{i\omega t} \\ &= \frac{E_0}{2} (e^{iKx/2} + e^{-iKx/2}) e^{i(Lx - \omega t)} + \frac{E_0}{2} (e^{-iKx/2} + e^{iKx/2}) e^{-i(Lx - \omega t)} \\ &= 2E_0 \cos(Kx/2) \cos(Lx - \omega t) \end{aligned}$$

Thus the intensity is  $4E_0^2 \cos^2(Kx/2)$ . Note that the case where  $k_1 = -k_2$  yields a perfect standing wave ( $L = 0$ ).

## B. Any Bravais lattice is an optical lattice

Any Bravais lattice can be realized as an optical lattice. The proof depends on the following lemma: Given linearly independent vectors  $b_1, \dots, b_n \in \mathbb{R}^m$ , there exists a unique vector  $v \in \text{span}\{b_i\}$  such that  $|v| = |v + b_i|$  for all  $i$ .

*Proof:* If  $m > n$ , we can apply a rotation so that  $\text{span}\{b_i\} = \{x \in \mathbb{R}^m \mid \forall (i > n) x_i = 0\}$ , which we identify with  $\mathbb{R}^n$ . Hence there is no loss of generality in taking  $m = n$ .

We have

$$|v| = |v + b_i| \iff v \cdot b_i = -\frac{1}{2}|b_i|^2.$$

Hence

$$[b_1 \dots b_n]^T v = -\frac{1}{2}(|b_1|^2, \dots, |b_n|^2)^T.$$

Since the  $b_i$  are linearly independent,  $[b_1 \dots b_n]$  is invertable, and thus this equation has a unique solution  $v$ . QED

Now to make an optical lattice from a given Bravais lattice, take any basis  $\{b_i\}_{i=1}^n$  for such a Bravais lattice and the vector  $v$  as in the lemma, and pick  $n+1$  lasers with  $k$  vectors  $v$  and  $\{b_i + v\}$ . Then the difference vectors  $k_{1l}$  will be precisely the desired lattice basis vectors. Since these difference vectors determine the reciprocal lattice entirely, and since a Bravais lattice is uniquely determined by the reciprocal lattice, we see that this laser configuration will generate the desired Bravais lattice. It also shows that this configuration is essentially unique, provided we only use the minimal number of (monochromatic) lasers.

With more lasers, there may be more solutions. However, given more than the minimum number of lasers in general position, the resulting potential will not be a lattice at all (but possibly a quasicrystal). To enforce that the potential be a lattice, the “extra” difference vectors  $k_{1l}$  must all lie on the lattice generated by  $k_{12}, \dots, k_{1(n+1)}$  (for the case of an  $n$  dimensional lattice). This gives a countably infinite number of potential configurations for each extra laser beyond the minimum number.

Some interesting things to think about along these lines are:

- Are all space groups realizable as an optical lattice?
- It is possible to make the lattice degenerate by choosing polarizations appropriately (e.g. by choosing one laser of a minimal configuration to be orthogonal to all the others). Generically this does not happen. In particular, as long as no polarizations are orthogonal, the lattice is not degenerate, but this is not a necessary condition for non-degeneracy. What are necessary and sufficient conditions to ensure that the lattice is not degenerate?
- Under what conditions does a (necessarily non-minimal) configuration of lasers produce a quasicrystal?
- Introducing multiple far-detuned frequencies of laser effectively superposes a lattice for each frequency. How does this alter the first and third questions above?

## I. INTERACTION PICTURE

We recall some facts about interaction pictures which will be useful in analysing the lattice Hamiltonian.

Given a state  $\psi$  evolving under a Hamiltonian  $H$  and a time dependent unitary transformation  $U(t) = e^{iMt/\hbar}$  for some Hermitian  $M$ , we can “transform into an interaction picture” by considering the evolution of a state  $U(t)\psi$ :

$$\begin{aligned} i\hbar\partial_t(U(t)\psi) &= (i\hbar\partial_t U(t))\psi + U(t)(i\hbar\partial_t\psi) \\ &= -MU(t)\psi + U(t)H\psi \\ &= U(t)(H - M)U^\dagger(t)U(t)\psi \end{aligned}$$

This says that  $U(t)\psi$  evolves like a state would under the alternative Hamiltonian  $U(t)(H - M)U^\dagger(t)$ .

More generally, for a unitary of the form  $U(t) = e^{iM(t)/\hbar}$ , where  $M(t)$  is now a time dependent Hermitian operator, so long as the time derivative  $\partial_t M$  commutes with  $M$  we can repeat the above computation to find that the transformed Hamiltonian is  $H' = U(t)(H - \partial_t M)U^\dagger(t)$ .

## II. LATTICE HAMILTONIAN

The Hamiltonian

$$\frac{p^2}{2m} + \sum_{j<l} A_{jl} \cos(k_{jl} \cdot x - \omega_{jl}t + \phi_{jl}(t))$$

derived from the lattice potential (2) can be recast in several different, useful forms by use of various interaction pictures. Applying a unitary

$$U(t) = \exp \left( i \left[ a(t) \cdot p - \int \frac{m}{2} (\partial_t a(t))^2 dt \right] / \hbar \right),$$

which is a time-varying spatial translation (note that  $a(t)$  should be interpreted as a vector with dimensions of length), along with a phase whose utility will be clear presently, yields the Hamiltonian

$$\begin{aligned} H &= \frac{p^2}{2m} - \partial_t a(t) \cdot p + \frac{m}{2} (\partial_t a(t))^2 + \sum_{j<l} A_{jl} \cos(k_{jl} \cdot (x - a(t)) - \omega_{jl}t + \phi_{jl}(t)) \\ &= \frac{(p - m \partial_t a(t))^2}{2m} + \sum_{j<l} A_{jl} \cos(k_{jl} \cdot [x - a(t)] - \omega_{jl}t + \phi_{jl}(t)) \end{aligned} \quad (3)$$

The utility of this expression is that, for suitable laser configurations, it allows us to eliminate time dependence of the spatial potential  $\Sigma \cos(\dots)$ , shuffling that time dependence to the  $p^2/2m$  term instead. More specifically, to eliminate the time dependence from term  $jl$ , we must have  $-k_{jl} \cdot a(t) - \omega_{jl}t + \phi_{jl}(t) = 0$ . For a minimal laser configuration with  $m+1$  lasers, there are  $m$  independent such equations, which may be taken to be those with  $j=1$ . For  $j \neq 1$  the equation for the term  $jl$  is the difference of the  $1l$  and  $1j$  equations. Since  $k_{1l}$  are linearly independent in this case, we may solve the equations uniquely for  $a(t)$ . For a non-minimal laser configuration, we may not be able to totally eliminate time dependence in the lattice potential.

Note that in consequence of the preceding observations, for a minimal lattice, all time dependence of the lattice potential can be encapsulated in a trajectory  $a(t)$ . This shows that any such time dependence can be interpreted as a motion of the lattice potential. Such time dependence of the lattice potential is useful for e.g. moving atoms around.

As a converse to the preceding remarks, note that for a given  $a(t)$  we can also eliminate time dependence from a lattice potential by suitable choice of  $\omega_{jl}$  or  $\phi_{jl}(t)$ . We simply choose  $\omega_{1l}t - \phi_{1l}(t) = -k_{1l} \cdot a(t)$  for all  $l$ , and the remaining  $jl$  terms,  $j \neq 1$ , will also lose their time dependence by the same linear combination argument as above. Note that in terms of the actual lasers' frequencies  $\omega_l$  and  $\phi_l(t)$ , this does not even fully constrain the system (e.g. we can choose  $\omega_1$  and  $\phi_1(t)$  arbitrarily, and still satisfy the constraints by appropriate choice of the other lasers' phases and frequencies). Moreover, in this case minimality of the system is not required to eliminate time dependence.

Applying another transformation  $U(t) = \exp(imx \cdot \partial_t a(t)/\hbar)$ , which is a time-varying momentum translation, yields a Hamiltonian

$$\frac{p^2}{2m} - mx \cdot \partial_t^2 a(t) + \sum_{j<l} A_{jl} \cos(k_{jl} \cdot [x - a(t)] - \omega_{jl}t + \phi_{jl}(t)) \quad (4)$$

The middle term in this expression is the potential we would get from a background gravitational (or electric) field.

The moral of the above computations is that a moving lattice is equivalent to a gravitational field is equivalent to a time varying momentum operator.

A useful application of these ideas is to a situation where there is a physical gravitational field affecting the atoms. By reversing the above unitary transformations, we see that this is equivalent to a choice of laser phase or frequency. Thus, by allowing variable phases/frequencies in our Hamiltonian, we incorporate this *a priori* more general situation seamlessly.

### A. Momentum space form

The form of the lattice Hamiltonian in a basis of momentum states is particularly amenable to numerical solution. This is due to the fact that the cosine terms in the lattice potential (2) can be thought of as combinations of momentum

translation operators  $K[q] := e^{iq \cdot x / \hbar}$ . In particular,

$$\begin{aligned} V(x) &= \sum_{j < l} A_{jl} \cos(k_{jl} \cdot x - \omega_{jl}t + \phi_{jl}(t)) \\ &= \frac{1}{2} \sum_{j < l} A_{jl} \left( e^{i(k_{jl} \cdot x - \omega_{jl}t + \phi_{jl}(t))} + e^{-i(k_{jl} \cdot x - \omega_{jl}t + \phi_{jl}(t))} \right) \\ &= \frac{1}{2} \sum_{j < l} A_{jl} \left( K[\hbar k_{jl}] e^{i(-\omega_{jl}t + \phi_{jl}(t))} + K[-\hbar k_{jl}] e^{-i(-\omega_{jl}t + \phi_{jl}(t))} \right) \end{aligned}$$

As usual, in momentum space this lattice Hamiltonian only couples momentum states with identical quasimomentum. However, viewed as a matrix on the subspace of momentum states with a given quasimomentum, the Hamiltonian has the additional virtue of being very sparse. For example, for a minimal 1D lattice, the Hamiltonian is tridiagonal. For a minimal 2D lattice with basis  $b_1 = k_{21}$  and  $b_2 = k_{31}$ , the Hamiltonian couples states that differ in momentum by  $\pm b_1$ ,  $\pm b_2$ , or  $\pm(b_2 - b_1)$ . This makes it a joy to solve numerically.

Note that performing the transformations of the previous section does not affect which states are coupled by the Hamiltonian, though it does affect the coupling coefficients. We will see in the next section that the Hamiltonian of eq. (3) provides a useful way to analyse the behavior of atoms in the lattice.

## B. Sweeping

We specialize to the case of a minimal lattice, consider the unitaried Hamiltonian (3) with  $a(t)$  chosen so as to eliminate time dependence from the lattice potential, and examine the time evolution of a state  $|\Psi\rangle$  with definite quasimomentum  $q$ .

Let

$$H_Q := \frac{(p - Q)^2}{2m} + \sum_{jl} A_{jl} \cos(k_{jl} \cdot x)$$

Then our Hamiltonian is  $H_{m\partial_t a(t)}$ . Note that  $H_{Q+R} = K[-R]H_Q K[R]$ , where  $K[R] = \exp(iR \cdot x / \hbar)$  is a momentum translation operator.

We wish to examine the behavior of this Hamiltonian operating only on the Hilbert space of definite quasimomentum  $q$ , which we will denote by  $\mathcal{S}_q$  (I'm deliberately not using a letter "H" here to avoid notational collision between Hamiltonians and Hilbert spaces). Note that the spectrum of  $H_Q$  on *this Hilbert space* is periodic under displacements of  $Q$  by reciprocal lattice vectors, for if  $|\phi\rangle$  is an eigenvector of  $H_Q$  with eigenvalue  $\lambda$  and  $R$  is a reciprocal lattice vector, then  $K[-R]|\phi\rangle$  is another vector in  $\mathcal{S}_q$ , and

$$H_{Q+R}(K[-R]|\phi\rangle) = K[-R]H_Q K[R](K[-R]|\phi\rangle) = \lambda K[-R]|\phi\rangle,$$

which shows that this is an eigenvector of  $H_{Q+R}$  with eigenvalue  $\lambda$ .

(Without the restriction to  $\mathcal{S}_q$ , the preceding statement is trivial, because the spectrum of a Hamiltonian is always unchanged by any unitary transformation, like  $H_Q \rightarrow K[-R]H_Q K[R]$ . However, the spectrum of a Hamiltonian on a fixed subspace *can* change through a unitary transformation. In this case, the transformed and untransformed Hamiltonians restricted to the subspace are not related by a unitary transformation—only the overall Hamiltonian is transformed unitarily. In the present case, for  $R$  not a reciprocal vector, the restricted transformed Hamiltonian  $H_{Q+R}$  does not have the same spectrum as  $H_Q$ , and thus the two are not related unitarily.)

From band structure theory, we know that  $H_Q$  has a discrete spectrum on  $\mathcal{S}_q$ , which is generically non-degenerate (although there are interesting cases of degeneracy, as will be seen below). If state  $|\Psi\rangle$  is initially in the ground state of  $H_Q$ , and then  $Q$  is changed adiabatically in such a way as to avoid degeneracies in  $H_Q$ , then  $|\Psi\rangle$  will track the instantaneous ground state of  $H_Q$ .

Note that the spectrum of  $H_Q$  on  $\mathcal{S}_q$  is the same as the spectrum of  $H_0$  on  $\mathcal{S}_{q-Q}$ . This follows from the fact that  $H_Q|\Psi\rangle = K[Q]H_0 K[-Q]|\Psi\rangle$  and the observation that  $K[-Q]|\Psi\rangle$  has quasimomentum  $q - Q$  (where again  $q$  is the quasimomentum of  $|\Psi\rangle$ ). If  $Q$  varies in time,  $q - Q$  traces out a path in momentum space, and the spectrum of the Hamiltonian  $H_Q$  at any point in time is the spectrum of  $H_0$  for quasimomentum  $q - Q$ . The time dynamics of  $|\Psi\rangle$  are captured by this path through momentum space, and we speak of “the quasimomentum sweeping through the Brillouin zone”.

If  $q - Q$  passes sufficiently quickly through a point in the Brillouin zone where the lowest and second lowest bands come close to each other, then adiabaticity may break down, and  $|\Psi\rangle$  may tunnel out of the lowest band into an upper band. This is called Landau-Zener tunnelling, and is a central concern in optical lattice shuttling (using optical lattices to move atoms). In the next few sections we will examine this problem, and see how tunnelling corresponds to atoms slipping out of the lattice. We will also derive analytic expressions approximating the time evolution of  $|\Psi\rangle$ .

### III. SHUTTling AND LANDAU-ZENER TUNNELLING

#### IV. DIPOLE FORCE

In this section we derive the dipole force. We begin by considering a two level atom with frequency  $\omega_0$  and basis  $|0\rangle, |1\rangle$ . We impose an electric field  $\vec{E}_0 \cos(\omega t)$ , so that the total Hamiltonian is

$$H = \hbar\omega_0 |1\rangle\langle 1| - \vec{d} \cdot \vec{E} \cos(\omega t)$$

where  $\vec{d}$  is the dipole operator for the atom (explicitly,  $\vec{d} = \sum_j e \vec{r}_j$ , where the sum is over all electrons in the atom and  $r_j$  is the position operator for electron  $j$ ). We define the *Rabi frequency* to be  $\Omega = \langle 1 | \vec{E}_0 \cdot \vec{d} | 0 \rangle / \hbar$ . Then the matrix form of the Hamiltonian is

$$H = \begin{bmatrix} 0 & \hbar\Omega \cos(\omega t) \\ \hbar\Omega^* \cos(\omega t) & \hbar\omega_0 \end{bmatrix}$$

To solve this, we first eliminate the “easy” part of the Hamiltonian by transforming to an interaction frame via the unitary

$$U = \exp(i\omega_0 t |1\rangle\langle 1|) = \begin{bmatrix} 1 & 0 \\ 0 & e^{i\omega_0 t} \end{bmatrix}$$

In the interaction frame the effective Hamiltonian is then

$$\begin{aligned} U(H - \omega_0 |1\rangle\langle 1|)U^\dagger &= \begin{bmatrix} 0 & \hbar\Omega \cos(\omega t)e^{-i\omega_0 t} \\ \hbar\Omega^* \cos(\omega t)e^{i\omega_0 t} & 0 \end{bmatrix} \\ &= \begin{bmatrix} 0 & \frac{1}{2}\hbar\Omega e^{i\Delta t} \\ \frac{1}{2}\hbar\Omega^* e^{-i\Delta t} & 0 \end{bmatrix} + \begin{bmatrix} 0 & \frac{1}{2}\hbar\Omega e^{-i(\omega+\omega_0)t} \\ \frac{1}{2}\hbar\Omega^* e^{i(\omega+\omega_0)t} & 0 \end{bmatrix} \end{aligned}$$

Where again  $\Delta = \omega - \omega_0$ .

At this point we make a key approximation: The “rotating wave approximation.” This amounts to discarding the second term in the preceding expression. The justification for doing so is that, provided  $\omega + \omega_0 \gg \Delta, |\Omega|$ , the second term rotates much faster than the first term, and so only the time average of the second term is relevant to the slow speed dynamics. The time average is zero in this case, so we just discard the second term.

More rigorously, it can be shown that for a Hamiltonian of the form  $H = H_0 + K e^{i\gamma t} + K^\dagger e^{-i\gamma t}$ , provided that the spectrum of  $H_0$  is bounded for all time, the time evolution operators  $\exp(iH_0 t/\hbar)$  and  $\exp(iH t/\hbar)$  at any fixed time  $t$  converge as  $\gamma \rightarrow \infty$ . The convergence rate is determined by the ratio of  $\gamma$  to  $\frac{\Delta|H_0|}{\Delta + |H_0|}$ . In words, as  $\gamma$  gets to be much larger than  $\Delta, |H_0|$ , the effect of the perturbations  $K, K^\dagger$  vanishes.

By the way, in practice,  $\omega + \omega_0 \approx 10^{14}$  Hz,  $\Delta \approx$  GHz, and  $|\Omega| \approx$  MHz are typical.

So our effective Hamiltonian is now

$$H' = \begin{bmatrix} 0 & \frac{1}{2}\hbar\Omega e^{i\Delta t} \\ \frac{1}{2}\hbar\Omega^* e^{-i\Delta t} & 0 \end{bmatrix}$$

We apply one more unitary transformation

$$U = \begin{bmatrix} 1 & 0 \\ 0 & e^{i\Delta t} \end{bmatrix}$$

which transforms the Hamiltonian into

$$H'' = \begin{bmatrix} 0 & \frac{1}{2}\hbar\Omega \\ \frac{1}{2}\hbar\Omega^* & -\hbar\Delta \end{bmatrix}$$

which at last is a time independent Hamiltonian. It has eigenenergies

$$E_{\pm} = -\frac{1}{2}\hbar\Delta \pm \frac{1}{2}\hbar\sqrt{\Delta^2 + |\Omega|^2} \quad (5)$$

For large detuning  $\Delta \gg |\Omega|$ , this becomes

$$\begin{aligned} E_+ &\approx \frac{\hbar|\Omega|^2}{4\Delta} \\ E_- &\approx -\hbar\Delta - \frac{\hbar|\Omega|^2}{4\Delta} \end{aligned} \quad (6)$$

Now the key observation is the following:  $|\Omega|^2$  depends on the electric field strength. If the field strength varies spatially, a slow moving atom will adiabatically track the ground state, so that the ground state energy  $E_-$  looks like a spatial potential. To understand the nature of this potential we need one more formula relating  $\Omega$  to the field strength  $\vec{E}_0$ . This relation comes from the “Wigner-Weiskopf” theory of atomic lineshapes, which states that the dipole moment  $\langle 1|\vec{d}|0\rangle$  is related to the linewidth  $\Gamma$  by

$$\hbar\Gamma = \frac{k_0^3 |\langle 1|\vec{d}|0\rangle|^2}{3\pi\epsilon_0}$$

Where  $k_0 = \omega_0/c$  is the k-vector of the atomic transition. Recalling the definition of the Rabi frequency, and defining  $\theta$  to be the angle between  $\vec{E}$  and  $\vec{d}$ , we find that

$$|\Omega|^2 = |\vec{E}|^2 \left| \langle 1|\vec{d}|0\rangle \right|^2 \cos^2(\theta) / \hbar^2 \quad (7)$$

$$= |\vec{E}|^2 \frac{3\pi\epsilon_0\hbar\Gamma}{k_0^3} \cos^2(\theta) / \hbar^2 \quad (8)$$

$$= \frac{1}{2}\Gamma^2 \left( \frac{1}{2}\epsilon_0 c |\vec{E}|^2 \right) \left( \frac{6\pi}{ck_0^3\hbar\Gamma} \right) \cos^2(\theta) \quad (9)$$

$$= \frac{1}{2}\Gamma^2 \left( \frac{1}{2}\epsilon_0 c |\vec{E}|^2 \right) \left( \frac{3\lambda_0^2}{2\pi^2\hbar f_0\Gamma} \right) \cos^2(\theta) \quad (10)$$

$$= \frac{1}{2}\Gamma^2 \left( \frac{1}{2}\epsilon_0 c |\vec{E}|^2 \right) I_{SAT}^{-1} \cos^2(\theta) \quad (11)$$

$$= \frac{1}{2}s\Gamma^2 \cos^2(\theta) \quad (12)$$

Usually the  $\cos^2(\theta)$  factor is omitted. I don’t really have a good justification for this, other than that fast dipole dynamics will cause it to relax into parallel alignment with the electric field.

Using this relation with  $\cos^2(\theta) = 1$ , the spatially varying part of the ground state energy may finally be written

$$V(x) = -\frac{\hbar s\Gamma^2}{8\Delta}$$

This is the dipole potential.