

Dynamo action by differential rotation in a stably stratified stellar interior

H. C. Spruit*

Institute for Theoretical Physics, University of California, Santa Barbara, CA 93106, USA
Max-Planck-Institut für Astrophysik, Postfach 1317, 85741 Garching, Germany

Received 13 August 2001 / Accepted 18 October 2001

Abstract. Magnetic fields can be created in stably stratified (non-convective) layers in a differentially rotating star. A magnetic instability in the toroidal field (wound up by differential rotation) replaces the role of convection in closing the field amplification loop. Tayler instability is likely to be the most relevant magnetic instability. A dynamo model is developed from these ingredients, and applied to the problem of angular momentum transport in stellar interiors. It produces a predominantly horizontal field. This dynamo process is found to be more effective in transporting angular momentum than the known hydrodynamic mechanisms. It might account for the observed pattern of rotation in the solar core.

Key words. magnetohydrodynamics (MHD) – stars: magnetic fields – instabilities

1. Introduction

Magnetic field generation by differential rotation is usually regarded as a process operating in the convective zones of stars. A “convective stellar dynamo” (e.g. Parker 1979) is then a field amplification process in which differential rotation stretches field lines into a toroidal field (i.e. an azimuthal field, with respect to the rotation axis). Convective fluid displacements create a new poloidal field component by putting kinks into the toroidal field lines. The stretching of these kinks produces a new toroidal component, amplifying the existing toroidal field and thus “closing the dynamo loop”. The process is widely believed to be responsible for the magnetic fields seen at the surface of stars with convective envelopes like the Sun, and the study of this kind of convective field generation has created a literature of vast proportions over the past 5 decades.

Convection, or other imposed velocity fields like waves or shear turbulence, are not really necessary, however, for a dynamo process to operate. An example of a magnetic field produced by differential rotation without the assistance of an imposed small scale velocity field is the field produced in accretion disks (Hawley et al. 1996). In this kind of dynamo process, the differential rotation produces a small scale magnetic field on its own: the role of an imposed velocity field in generating a new the poloidal field component is replaced by an instability in the magnetic field *itself*. The magnetic instability operates on the toroidal field that is produced by winding up of the radial field component, distorts it, and creates new radial field

components. The instability can either be of the Velikhov-Chandrasekhar-Balbus-Hawley type (hereafter BH instability, see Velikhov 1959; Chandrasekhar 1960; Balbus & Hawley 1991), or a buoyancy-driven instability (Parker 1966), though the properties of the resulting field may depend on which of these instabilities is the most important.

In the same way, the generation of a magnetic field in a star requires only one essential ingredient: a sufficiently powerful differential rotation. The recreation of poloidal field components which is needed to close the dynamo loop can be achieved by an instability in the toroidal field, of which there exists a variety including those (BH and buoyancy instabilities) that operate in disks. Such instabilities do not require the presence of convection, and can take place also in the stably stratified interior of a star.

The instabilities of a predominantly toroidal field in stably stratified regions in a star have been summarized from the existing literature in a previous paper (Spruit 1999). I concluded there that the first instability likely to set in is a pinch-type instability. The essential properties of this instability in the stellar context were established by (Tayler 1973; Markey & Tayler 1973, 1974; see also Tayler 1957; Goossens et al. 1981). What makes it of particular importance are the absence of a threshold for instability (at least in the absence of viscous damping and magnetic diffusion; more about this below), and the short growth time, of the order of the Alfvén crossing time. It can operate under conditions where BH and buoyancy instabilities are suppressed by the stable stratification.

As an aside I note here that the solar cycle, generally considered as the classical case of a convective dynamo process, is probably not driven by convective turbulence

* e-mail: henk@mpa-garching.mpg.de

at all. Properties of active regions such as the heliographic latitude and the time scale at which they emerge, and the value of the tilt of their axes, point to field eruption from an ordered toroidal field at the base of the convection zone. The energy density in this toroidal field inferred from the observations is about 100 times stronger than can be explained by convective turbulence (D'Silva & Choudhuri 1993; D'Silva & Howard 1993). The relevant processes in such a strong field are the winding-up by differential rotation, and buoyant instability (Parker 1966) of the field itself (Caligari et al. 1998 and references therein). This view is much closer to semiempirical models of the cycle like those of Leighton (1969), the development of which was eclipsed by the mathematically more interesting turbulent dynamo models. Leighton's model is also much closer to the observations, since it includes the role of active region eruption as an essential ingredient in the operation of the dynamo process. This contrasts with the convective dynamo view, in which active regions are only a secondary manifestation of turbulence.

1.1. Energetics of magnetic field generation

The differential rotation of a convectively stable stellar interior is "limited resource". Whereas a dynamo operating on the differential rotation in a convective zone feeds, indirectly, on the continuous energy flux of the star, a dynamo process in stable layers derives its energy from the rotation of the star. This is a very small amount of energy compared with the luminous energy, integrated over the star's life time. The energy budget of a dynamo process in a stable zone is thus limited.

The rotational energy is available for a dynamo process only though the variation of the rotation rate through the star. Such a gradient can result from a spin-down torque on the star caused by angular momentum loss by a stellar wind, or from changes in the distribution of the moment of inertia of the star as a result of its evolution.

1.2. Angular momentum transport

The main interest of a magnetic field generated in the stable layers lies in its ability to exert internal torques. If such torques can operate on time scales short compared with the spindown time scale or the stellar evolution time scale, respectively (depending which of these processes is the main source of differential rotation), they can strongly affect the degree of differential rotation that can survive in a star. In some cases, they may maintain a state of nearly uniform rotation. For example, the usual interpretation of the rotation of white dwarfs and neutron stars as a remnant of the initial angular momentum of their main-sequence progenitors would require a very large degree of differential rotation between core and envelope of these stars during their evolution on the giant and AGB branches. Spruit & Phinney (1998) have argued that, in the absence of more detailed knowledge, angular momentum processes are more likely to be either too ineffective

or too effective, compared with the intermediate effectiveness needed to explain the rotation rates of white dwarfs and neutron stars. If the process is ineffective, leading to evolution at approximate conservation of angular momentum, the cores of stars on the AGB are very rapidly spinning, and much too rapidly rotating white dwarfs and neutron stars are the result. On the other hand, effective coupling leads to nearly uniform rotation and too slow rotation of the remnants. In the latter case, other mechanisms must contribute to the rotation of white dwarfs and neutron stars, such as birth kicks for neutron stars (Spruit & Phinney 1998), and nonaxisymmetric mass loss on the AGB for white dwarfs (Spruit 1998).

All known non-magnetic angular momentum transport processes in stars are weak except at rotation near the breakup rate. If only these known processes are included, pre-supernova cores rotate much too fast to explain the majority of supernovae (Heger et al. 2000), though they may rotate at the right rate for the collapsar model of gamma-ray bursts (McFadyen et al. 2001 and references therein).

Microscopic viscosity is negligible in stars as a source of internal torque. Hydrodynamic processes such as circulation (Zahn 1992; Maeder & Zahn 1998) and hydrodynamic instabilities are potentially important. In practice, however, the torques transmitted by most of these processes scale as $(\Omega/N)^2$ (where Ω is the rotation rate and N the buoyancy frequency) and hence are rather ineffective except in stars rotating close to their breakup speed, and can not explain the low rotation rate of the solar core (Spruit et al. 1983). An exception is angular momentum transport by internal waves generated by pressure fluctuations in a nearby convective envelope (Zahn et al. 1997), which scales as a lower power of Ω . Even these waves, however, appear insufficient to explain the near-uniform rotation observed in the solar interior (Talon & Zahn 1998; Kumar et al. 1999).

1.3. Evidence from the internal rotation of the Sun

The very small degree of differential rotation in the core of the Sun, and the small difference in rotation rate between the core and the convective envelope (Schou et al. 1998; Charbonneau et al. 1999) requires the presence a process with two special properties. First, the envelope is continuously spun down by the solar wind torque, whose magnitude can be determined from in-situ measurements in the wind (Pizzo et al. 1983). The absence of an increase of rotation with depth in the core, and the near-equality of the rotation in the core to the average rotation in the convective envelope, require an efficient angular momentum transport process in the core. Secondly, there is no noticeable difference of the core's rotation with latitude, in contrast with the convective envelope, which rotates some 40% faster at the equator than at the poles. An isotropic angular momentum transport process coupling the core to the differentially rotating envelope would lead to rotation with roughly equally strong vertical and horizontal

gradients. This is contrary to observation: at the transition between core and envelope (the so-called tachocline, Spiegel & Zahn 1992) the radial gradient is much stronger than the horizontal gradient. A prospective angular momentum transport process explaining this must be *even more* effective at reducing the gradient of rotation on horizontal surfaces than it is in reducing the radial gradient.

1.4. Magnetic angular momentum transport

Magnetic fields are quite effective at transporting angular momentum, even at strengths much below the values observed at the surfaces of magnetically active stars or the magnetic A stars (Mestel 1953). The Maxwell stresses transport angular momentum in the radial direction at a rate proportional to $B_r B_\phi$, and in latitude at a rate proportional to $B_\theta B_\phi$. If the observed internal rotation pattern in the solar core is due to magnetic stresses, the weak gradient in latitude compared with the radial gradient then indicates that $B_\theta \gg B_r$ in the tachocline. This fact, in combination with a sufficient amplitude of the magnetic field, are to be explained by a prospective theory for magnetic angular momentum transport in the core of the Sun.

In the following I show that a differential rotation-driven dynamo operating with magnetic instabilities can do just this, and present a quantitative estimate of the amplitude, length scales and time scales of the resulting magnetic field.

2. The dynamo process

I assume that the star's rotation rate is a function of the radial coordinate r only ("shellular" rotation, cf. Zahn 1992). This is done for convenience only. It is quite conceivable that latitude-dependent differential rotation, for example due to a nearby convective zone, would have somewhat different effects.

Another assumption is that the initial magnetic field is sufficiently weak, so that magnetic forces can be neglected initially. Thus, I ignore the possibility that the star started with a strong magnetic field, such as those of the magnetic A-stars or the magnetic white dwarfs.

On account of its weakness, the radial component B_r of the field is wound up by differential rotation. After only a few differential turns, the resulting field is predominantly azimuthal, $B_\phi \gg B_r$, and its strength increases linearly with time, until it becomes unstable. As long as the magnetic field is weak, the energy to be gained from the field by any instability is small, and instability is possible only for displacements that avoid doing work against powerful restoring forces: the gas pressure and the buoyancy force. The first instability to set in (for a review of the relevant instabilities see Spruit 1999) is a nonaxisymmetric (typically $m = 1$) interchange in which the displacements are nearly incompressible ($\text{div} \xi \ll \xi/r$, thus avoiding work against the gas pressure), and nearly horizontal

(along equipotential surfaces), avoiding work against the buoyancy force.

In the absence of diffusive damping effects such as magnetic diffusion and viscosity, an arbitrarily weak azimuthal field is unstable (Tayler 1973; Pitts & Tayler 1986). The form of the instability is related to kink instabilities in a linear pinch. For a purely azimuthal field, the instability is a local one: unstable displacements exist as soon as a local instability condition is satisfied at any point in the star (Tayler 1973). Under the present astrophysical conditions of a strongly stabilizing buoyancy force, I will refer to pinch-type instability of an azimuthal field under stratified conditions as they exist in a stellar interior as a Tayler instability for short.

2.1. time scales

There are a number of different time scales in the problem. The fastest time scale is the sound travel time over, say, a pressure scale height H , $t_s = (g/H)^{-1/2}$, where g is the acceleration of gravity. Next slower is the buoyancy (Brunt-Väisälä-) frequency, $N = t_s^{-1}(\nabla_{\text{ad}} - \nabla)^{1/2}$, where ∇ and ∇_{ad} are the usual logarithmic temperature gradient and its adiabatic value (e.g. Kippenhahn & Weigert 1990). This frequency is of the same order as the inverse sound time except in and close to a convective zone. Since we are dealing here exclusively with stable, radiatively stratified zones, $N > 0$ is a large frequency.

Next slower is the rotation rate Ω of the star. Only for rotation rates near breakup can Ω approach N . The lowest frequency is the Alfvén frequency,

$$\omega_A = \frac{B}{(4\pi\rho)^{1/2}r}. \quad (1)$$

Thus, I will assume the ordering

$$\omega_A \ll \Omega \ll N. \quad (2)$$

This limit results in significant simplifications and is the most relevant one for the processes considered here. Under these conditions, the characteristic growth rate of the instability is of the order

$$\sigma = \omega_A^2 / \Omega \quad (\omega_A \ll \Omega) \quad (3)$$

(Pitts & Tayler 1986; Spruit 1999). For slow rotation ($\Omega \ll \omega_A$) on the other hand, the typical growth rate is ω_A . The reduction by the factor ω_A/Ω is due to the strong Coriolis force when rotation is rapid. This is characteristic of all interchange-type instabilities in a rotating system.

Finally there is a time scale associated with the differential rotation:

$$t_{\Delta\Omega} = (r\partial_r\Omega)^{-1}. \quad (4)$$

2.2. Unstable displacements and instability condition

The azimuthal field can be seen as consisting of stacks of loops concentric with the rotation axis. The instability

causes loops to slip sideways with respect to each other by motions close to equipotential surfaces. The force driving the instability is the magnetic pressure of the azimuthal field; the loops in a stack press on each other with a pressure B_ϕ^2 which can be released by sideways displacements in a process similar to the accidental slippage of disks in the spinal columns of bipedal vertebrates¹.

A heuristic argument shows how the strong buoyancy force ($N \gg \omega_A$) quantitatively constrains the unstable displacements. As in the case of hydrodynamic instabilities, the effect of the buoyancy force is reduced by thermal diffusion (Zahn 1974, 1983). On small length scales, thermal diffusion reduces the stabilizing temperature perturbations caused by the thermal stratification, lowering the effective buoyancy frequency. This effect is important if the buoyancy is due mostly to the temperature gradient, but not if the buoyancy is due mostly to the gradient in composition. Thus we have to distinguish these two cases. Consider first the case when the buoyancy is due to a composition gradient. The effective buoyancy frequency is then the same on all length scales.

2.3. Instability without thermal diffusion

In this subsection, I ignore the thermal diffusion, but take into account the diffusion of the magnetic field by Ohmic resistivity. For a dilute (and non-degenerate) plasma, the magnetic diffusivity is given by Spitzer's value

$$\eta = 7 \times 10^{11} \ln \Lambda T^{-3/2} \text{ cm}^2/\text{s}. \quad (5)$$

The growth rate of the instability in the absence of constraints like rotation is $\sigma \sim \omega_A$. Thus, the kinetic energy released by an unstable displacement of amplitude ξ is $\frac{1}{2} \omega_A^2 \xi^2$ (per unit of mass). This energy is supplied by the field configuration. In order to avoid wasting this energy by doing work against the stable stratification, the unstable displacements must be nearly along equipotential surfaces, $\xi_r \ll \xi_h$, where $\xi_h = |(\xi_\theta, \xi_\phi)|$ is the horizontal displacement. If l_r and l_h are the radial and horizontal length scales of the displacement, the condition that ξ be nearly incompressible implies that $l_h/l_r \approx \xi_h/\xi_r \gg 1$. For such displacements, the work done against the buoyancy force is (per unit mass) $\frac{1}{2} \xi^2 N^2 (l_r/l_h)^2$. Hence the unstable displacements have to satisfy $l_r/l_h < \omega_A/N$.

¹ This heuristic picture of the instability applies close to the axis, it suggests that unstable displacements cross the axis. The instability is actually a local one. If the instability condition is satisfied at any point (r, θ) in a meridional plane, there is an unstable displacement confined to an azimuthal annulus of some width around this point (Appendix in Tayler 1973). The region near the axis is always unstable; at other latitudes the stability depends on the strength of the gradient of field strength with latitude.

In a star, the largest length scale available is of order r , so we must have² $l_h < r$. So the radial length scale has to satisfy

$$l_r < r \omega_A/N. \quad (6)$$

On the other hand, if the radial length scale is too small, field perturbations cannot grow because the magnetic diffusivity smoothes them out too fast. This limit is found by equating the magnetic diffusion time scale t_d on the length scale l_r to the growth time scale σ^{-1} of the instability. In presence of a strong Coriolis force, $\Omega \gg \omega_A$, the intrinsic growth rate of the instability (in the absence of stratification and magnetic diffusion) is $\sigma \sim \omega_A^2/\Omega$. Hence the radial length scale has to satisfy

$$l_r^2 > \eta \Omega / \omega_A^2. \quad (7)$$

Combining the two limits yields

$$\frac{\omega_A}{\Omega} > \left(\frac{N}{\Omega} \right)^{1/2} \left(\frac{\eta}{r^2 \Omega} \right)^{1/4}. \quad (8)$$

This is, for the case when thermal diffusion can be neglected, and up to a numerical factor of order unity, the correct instability condition as derived from Acheson's (1978) dispersion relation for azimuthal magnetic fields (Spruit 1999).

2.4. Effect of thermal diffusion

Consider next the case when composition gradients can be neglected, so the stabilizing stratification is due entirely to the entropy gradient. Since heat is transported by photons, while viscosity and magnetic fields diffuse by Coulomb interactions, the Prandtl number $Pr = \nu/\kappa$ and the diffusivity ratio $s = \eta/\kappa$ are very small in a stellar interior (of the order 10^{-6} – 10^{-4}). Here ν is the viscosity, $\kappa = 16\sigma T^3/(3\kappa_R \rho^2 c_p)$ is the thermal diffusivity, and η the magnetic diffusivity. The viscosity is of the same order but somewhat smaller than η so that the main mechanism damping the instability is magnetic diffusion. I ignore viscous damping in the following.

If unstable displacements take place on a radial length scale l and time scale τ , their temperature fluctuations diffuse away on the time scale $\tau_T = l^2/\kappa$. On the time scale τ they are therefore reduced by a factor $f = (\tau/\tau_T + 1)$. This can be taken into account by introducing an effective thermal buoyancy frequency N_e :

$$N_e = N/f^{1/2}. \quad (9)$$

² An exception would be $l = 1$ displacements with $\xi_r = 0$, corresponding to pure rotations of adjacent concentric spheres with respect to each other. The radial length scale could be arbitrary for such displacements without doing work against buoyancy. Since the field configuration is never unstable at all latitudes, however, such displacements probably are stable because the energy gained from the magnetic field at one latitude is lost by work against the field at stable latitudes. This point is not entirely certain, however, and may warrant further analysis.

The stabilizing effect of the stratification is thus reduced by thermal diffusion, and instabilities take place more readily. This has apparently been realized first by Townsend (1958), who used the argument in the context of shear flows in a stratified atmosphere. It has been extended to the astrophysical case by Zahn (1974, 1983). In the stellar evolution community, Zahn’s expression for shear instability in a low-Prandtl number environment is sometimes referred to by the curious name “secular shear instability”.

Applying the argument to the present case of Tayler instability, where the stratification also plays a dominant role, we repeat the heuristic argument in the preceding section by substituting N_e for N in (6). We simplify the algebra by assuming $\tau_T \ll \tau$. This leads to an erroneously high value for N_e in cases where τ_T happens to be larger than the instability time scale τ . We correct for this at the end of the analysis in Sect. 4. The minimum radial wavenumber k_c at which the instability can take place is then

$$(kr)_c = \left(\frac{N}{\Omega}\right)^{1/2} \left(\frac{r^2\Omega}{\kappa}\right)^{1/4}. \quad (10)$$

The corresponding length scale $l_c = k_c^{-1}$ is the largest radial length scale on which the instability can take place. Note that it is now independent of the strength of the azimuthal field, contrary to the case when thermal diffusion is neglected (Eq. (6)). The instability condition becomes, by the same derivation as before:

$$\frac{\omega_A}{\Omega} > \left(\frac{N}{\Omega}\right)^{1/2} \left(\frac{\kappa}{r^2\Omega}\right)^{1/4} \left(\frac{\eta}{\kappa}\right)^{1/2}. \quad (11)$$

This is the condition derived in Spruit (1999)³. The conditions for validity are

$$\omega_A \ll N \ll \Omega, \quad \eta \ll \kappa, \quad (12)$$

and the buoyancy due to composition gradients has to be negligible (a different expression holds if the composition gradient dominates the buoyancy). Since $\eta/\kappa \ll 1$, condition (11) is less restrictive than (8), and instability sets in at lower field strengths.

Both conditions (8) and (11), are approximate and ignore multiplying factors of order unity. More exact conditions can be derived from Acheson’s dispersion relation (see Appendix in Spruit 1999).

2.5. Field generation

2.5.1. The field-amplification loop

Consider the dynamo process as starting with the Tayler instability of an azimuthal field, which itself is produced by the winding-up of a seed field. The instability generates a new field component whose length scale in the

radial direction is small because of the strong effect of the stratification (cf. Eq. (10)). This instability-generated, small scale field has zero average. The differential rotation acts on this field, winding it up into a new contribution to the azimuthal field. This again is unstable, thus closing the dynamo loop. Once the dynamo process has built up, the original seed field is unimportant. All three magnetic field components then have small length scales in the r -direction, but much larger scales in the horizontal directions.

The energy for the dynamo process is fed in by differential rotation *only*, and the small scale field is generated by instability of the azimuthal field component itself. This is fundamentally different from a dynamo driven by an imposed small scale velocity field such as convection.

The process of field generation can be broken down into two conceptual steps. In the first step, we ask ourselves at what level the Tayler instability will saturate, if the strength of the initial azimuthal field is given. This level determines the amplitude of the small scale processes generated by the instability. These in turn determine the rate of decay of a given azimuthal field by these processes.

In the second step, we ask how fast the azimuthal field is regenerated by the differential rotation. The process here is simply the stretching of the small scale magnetic field by the differential rotation. The argument is then wrapped up by requiring the regeneration rate to match the decay rate. This yields the equilibrium field strength of the dynamo process.

2.5.2. Analogy: Convection in a stellar envelope

These steps can be illustrated with the analogy of stellar convection. The Rayleigh number is $Ra = gH^3(\nabla - \nabla_a)/(\nu\kappa)$, where ν and κ are the viscosity and thermal diffusivity as before, and g the acceleration of gravity. Instability sets in when Ra reaches the critical value Ra_c . The typical convective velocity and length scale v_c and l_c can be thought of as acting like an effective diffusivity $\nu_e \sim v_c l_c$. For $Ra \gg Ra_c$, we now estimate the amplitude of convection by assuming that the effective diffusivity $\nu_e \approx \kappa_e$ is just so large that $Ra = Ra_c$, when the effective diffusivities are used in the expression for Ra . In other words, the diffusivity becomes so large that the effective Rayleigh number is just the critical value for onset of instability. With a typical length scale $l_c = H$, one verifies that this yields a convective energy flux of $F_c = \rho(gH)^{3/2}(\nabla - \nabla_a)^{3/2}$. Up to a numerical factor of order unity, this is the mixing length expression for the convective flux. This well-known “effective Rayleigh number” argument is thus equivalent to a mixing length estimate.

While the previous argument yields the convective flux when the entropy gradient $\nabla - \nabla_a$ is given, this flux is usually fixed by other factors. Requiring the flux to equal the value that follows from the star’s luminosity then determines the value of $\nabla - \nabla_a$, as well as the convective velocity amplitude v_c .

³ Note that there is a typo in Eq. (49) of that paper. The last occurrence of N should be an Ω . The correct result is given in the Appendix of that paper in Eq. (A.29).

We now apply the analogous steps to the magnetic dynamo process. In this analogy, the differential rotation plays the role of the energy flux (assumed to be imposed). The azimuthal magnetic field strength produced by winding-up drives the instability and corresponds to the entropy gradient, while the other magnetic field components produced by Tayler instability play the role of the convective velocity field.

2.5.3. Instability amplitude for given azimuthal field strength

If the field strength is sufficiently above the critical field for Tayler instability there is a range of radial length scales on which it can operate. The largest of these is the length scale on which the stratification suppresses instability, l_c (Eqs. (10), (6)). The smallest is the scale on which magnetic diffusion suppresses instability. Between these scales, the growth rate of the instability is not affected much by either of these factors and is therefore of the order $\sigma = \omega_A^2/\Omega$. With the induction equation, we find that the radial field component produced from the initial azimuthal field by the unstable displacements is

$$B_r \approx B_\phi l/r. \quad (13)$$

It grows on the instability time scale σ^{-1} , and is largest for the maximal radial length scale l_c . As in the convective example above we now associate an effective “turbulent” diffusivity η_e with the small scale field. The value of η_e is then given, in the same way, by assuming marginal stability for the given azimuthal field strength, when η is replaced by η_e . From the stability conditions (8) and (11) this yields

$$\eta_{e0} = r^2 \Omega \left(\frac{\omega_A}{\Omega} \right)^4 \left(\frac{\Omega}{N} \right)^2 \quad (14)$$

and

$$\eta_{e1} = r^2 \Omega \left(\frac{\omega_A}{\Omega} \right)^2 \left(\frac{\Omega}{N} \right)^{1/2} \left(\frac{\kappa}{r^2 N} \right)^{1/2}, \quad (15)$$

respectively for the case when thermal diffusion is unimportant (i.e. when the effects of stratification is dominated by the composition gradient) and when it dominates.

One verifies that these expressions are equivalent to setting $\eta_e \sim l_c^2 \sigma$, with l_c taken from (10) and (6). As in the case of convection, the derivation in terms of marginal stability with effective diffusivities is thus equivalent to a simple length-and-time scale argument, but perhaps physically more illuminating.

Because of the dominant effects of rotation and the stable stratification, all processes are highly anisotropic. The effective diffusivity η_e is to be interpreted as the relevant diffusivity for gradients in the *radial* direction.

2.5.4. Amplitude of the dynamo-generated field

The field strength in the above is still arbitrary. For high field strengths, the effective diffusivity is large, since it is

driven by magnetic instability, and thus the decay time of the magnetic field by the instability-driven small scale processes is short. The rate at which the field is amplified, on the other hand, is given by the imposed differential rotation. For a steady equilibrium, the damping time scale has to match the amplification time scale. This condition sets the strength of the magnetic field at which the process saturates. Since we are assuming that the rotation rate is a function of r only (“shellular” rotation), only the radial field component contributes to amplification by field line stretching. The time scale τ_a on which B_r is amplified into an azimuthal field of the same order as the already existing B_ϕ is given by

$$\tau_a r \partial_r \Omega = B_\phi / B_r. \quad (16)$$

The shortest amplification time scale is obtained for the largest B_r , which occurs for the largest radial length scale on which instability takes place. Hence, with (13), $B_\phi / B_r = r/l_c$. The damping time scale at the same radial length scale is

$$\tau_d \approx l_c^2 / \eta_e \approx \sigma^{-1} = \Omega / \omega_A^2. \quad (17)$$

Equating the two time scales τ_a, τ_d yields

$$\omega_{A0} / \Omega = q \frac{\Omega}{N}, \quad (18)$$

when thermal diffusion can be ignored, and

$$\omega_{A1} / \Omega = q^{1/2} \left(\frac{\Omega}{N} \right)^{1/8} \left(\frac{\kappa}{r^2 N} \right)^{1/8} \quad (19)$$

when it dominates. Here q is the dimensionless differential rotation rate

$$q = \frac{r \partial_r \Omega}{\Omega}. \quad (20)$$

The corresponding azimuthal and radial field strengths are $B_\phi = r(4\pi\rho)^{1/2}\omega_A$, $B_r = B_\phi/(kr)$, hence

$$B_{\phi 0} = r(4\pi\rho)^{1/2} q \Omega^2 / N, \quad \frac{B_{r0}}{B_{\phi 0}} = q \left(\frac{\Omega}{N} \right)^2, \quad (21)$$

$$B_{\phi 1} = r(4\pi\rho)^{1/2} \Omega q^{1/2} \left(\frac{\Omega}{N} \right)^{1/8} \left(\frac{\kappa}{r^2 N} \right)^{1/8}, \quad (22)$$

$$\frac{B_{r1}}{B_{\phi 1}} = \left(\frac{\Omega}{N} \right)^{1/4} \left(\frac{\kappa}{r^2 N} \right)^{1/4}, \quad (23)$$

for the two cases, respectively. These are the field strengths we expect to be generated by the dynamo process.

2.6. Conditions for the dynamo to operate

In the derivation I have assumed that the differential rotation is strong enough to maintain a dynamo process. For this to be the case, the azimuthal field produced must be large enough for the basic ingredient, Tayler instability,

to operate. The field produced (Eqs. (21), (23)) can be compared to the minimum field B_c for Tayler instability (see (8), (11)):

$$\frac{B_0}{B_{c0}} = \frac{\omega_A}{\omega_{Ac}} = q \left(\frac{\Omega}{N} \right)^{7/4} \left(\frac{r^2 N}{\eta} \right)^{1/4}, \quad (24)$$

$$\frac{B_1}{B_{c1}} = q^{1/2} \left(\frac{\Omega}{N} \right)^{7/8} \left(\frac{\kappa}{\eta} \right)^{1/2} \left(\frac{r^2 N}{\kappa} \right)^{1/8}. \quad (25)$$

Setting $B/B_c = 1$ then yields the minimal shear rate needed for the process to operate:

$$q_0 = \left(\frac{N}{\Omega} \right)^{7/4} \left(\frac{\eta}{r^2 N} \right)^{1/4}, \quad (26)$$

$$q_1 = \left(\frac{N}{\Omega} \right)^{7/4} \left(\frac{\eta}{r^2 N} \right)^{1/4} \left(\frac{\eta}{\kappa} \right)^{3/4}. \quad (27)$$

2.7. Angular momentum transport

The main interest of the magnetic fields produced by the dynamo process described lies in their ability to transport angular momentum. Since the azimuthal field in the process is built up through the stretching of radial fields by differential rotation, the B_r and B_ϕ components are nearly maximally correlated. Thus the azimuthal stress due to the field generated by the dynamo is, for the two cases:

$$S_0 \approx \frac{B_{r0} B_{\phi 0}}{4\pi} = \rho \Omega^2 r^2 q^3 \left(\frac{\Omega}{N} \right)^4, \quad (28)$$

$$S_1 \approx \rho \Omega^2 r^2 q \left(\frac{\Omega}{N} \right)^{1/2} \left(\frac{\kappa}{r^2 N} \right)^{1/2}. \quad (29)$$

Comparing these expressions, there appear to be cases where the stress evaluated with (29) is *lower* than in case (28), in which the effect of thermal diffusion is neglected. This is contrary to expectation, since thermal diffusion facilitates the instability process. This is an artefact, resulting from the algebraic simplification made in Sect. 2.5.3, where we have assumed that $\tau_T \ll \tau$ in the expression $f = 1 + \tau/\tau_T$, so that the effective buoyancy frequency N_e is much less than N . When $\tau_T > \tau$, the effect of thermal diffusion is absent, and instead one should use $N_e = N$. This can be corrected for, approximately, by replacing (29) by (28) when the latter is larger (see below).

The magnetic torque on a sphere at radius r is obtained from S as usual by multiplying with the lever arm $r \sin \theta$ and integrating over the sphere. The stress can also be written in terms of an effective viscosity ν_e , through the relation

$$S = \rho \nu_e r \partial_r \Omega. \quad (30)$$

This yields:

$$\nu_{e0} = r^2 \Omega q^2 \left(\frac{\Omega}{N} \right)^4, \quad (31)$$

$$\nu_{e1} = r^2 \Omega \left(\frac{\Omega}{N} \right)^{1/2} \left(\frac{\kappa}{r^2 N} \right)^{1/2}. \quad (32)$$

In case 1, the effective viscosity happens to be independent of the shear rate, like a real Newtonian viscosity. This is somewhat of a coincidence, as the comparison with case 0 shows.

It should be stressed that the effective viscosity introduced in this way is extremely *anisotropic*. Expressions (31, 32) are to be interpreted in the sense of their definition (30): they determine the *radial* angular momentum transport under the assumption of “shel-lular” (latitude-independent) rotation. Since the magnetic stresses are much larger in the horizontal directions than in the radial, it is likely that they will be quite effective at wiping out horizontal gradients in Ω , (as suggested in fact by the observed rotation in the solar core), so that this assumption is justified except close to a differentially rotating convective envelope.

3. Applications

For practical application in stellar interiors, the results have to be expressed in terms of the adiabatic buoyancy frequency $N = g[d\rho/dP - (d\rho/dP)_{ad}]^{1/2}$ and the “compositional” buoyancy frequency $N_\mu = (g d \ln \mu / dz)^{1/2}$. Define the thermal part N_T of the buoyancy frequency by $N_T^2 = N^2 - N_\mu^2$. The analysis of Sect. 2.4 can then in principle be repeated by using as an effective buoyancy frequency:

$$N_{\text{eff}}^2 = N_\mu^2 + N_T^2 / (1 + \tau/\tau_T), \quad (33)$$

where τ is again the instability time scale and τ_T the thermal diffusion time scale on length scale l . This takes into account that the thermal part of the restoring force is relaxed on long time scales and short length scales, while the compositional part can not be reduced.

3.1. Effective viscosity

This can be carried through to obtain the generalization of expressions (28, 29) for the stress. The algebraic complexity of the resulting expressions, however, is incommensurate with the level of sophistication of the present analysis. The dependences on N_μ and N_T are probably monotonic, so that it is simpler to use a patching formula to connect the two limiting cases. Taking also into account that the dynamo process requires a minimum rotation gradient q_{\min} to operate, a possible patching formula for the effective radial viscosity ν_{re} produced by the dynamo-generated magnetic field is:

$$\nu_{re} = \frac{\nu_{e0} \nu_{e1}}{\nu_{e0} + \nu_{e1}} f(q), \quad (34)$$

where

$$\nu_{e0} = r^2 \Omega q^2 \left(\frac{\Omega}{N} \right)^4, \quad (35)$$

$$\nu_{e1} = r^2 \Omega \max \left[\left(\frac{\Omega}{N_T} \right)^{1/2} \left(\frac{\kappa}{r^2 N_T} \right)^{1/2}, q^2 \left(\frac{\Omega}{N_T} \right)^4 \right] \quad (36)$$

and

$$\begin{aligned} f(q) &= 1 - q_{\min}/q & (q > q_{\min}) \\ &= 0 & (q \leq q_{\min}). \end{aligned} \quad (37)$$

The factor f causes the stress to vanish smoothly as the gradient of the rotation rate approaches the minimum value required for dynamo action. The max operator in (36) corrects for the error made in deriving (29) for cases where thermal diffusion has no effect, as discussed in 2.7. The first factor in (34) guarantees that the stabilizing effects of both the compositional and the thermal stratification on the instability process are taken into account in the final result.

For q_{\min} one can use a patching formula to connect Eqs. (26), (27), for example

$$q_{\min} = q_0 + q_1, \quad (38)$$

where

$$q_0 = \left(\frac{N_\mu}{\Omega} \right)^{7/4} \left(\frac{\eta}{r^2 N_\mu} \right)^{1/4}, \quad (39)$$

$$q_1 = \left(\frac{N_T}{\Omega} \right)^{7/4} \left(\frac{\eta}{r^2 N_T} \right)^{1/4} \left(\frac{\eta}{\kappa} \right)^{3/4}. \quad (40)$$

The sum in (38) takes into account that dynamo action is possible only when the rotation gradient is strong enough to overcome both the stabilizing effects of the μ -gradient and of the thermal buoyancy.

3.2. Mixing

The fluid motions involved in the dynamo process also imply a certain amount of mixing. The mixing in the radial direction is effected by the same displacements that produce the effective magnetic diffusivity. Up to a factor of unity we can set the effective diffusivity D_e for mixing in gradients of composition equal to η_e . With (14), (15) and (18), (19), patching in the same way as Eqs. (34)–(36) yields for the effective diffusivity,

$$D_e = \frac{D_{e0} D_{e1}}{D_{e0} + D_{e1}} f(q), \quad (41)$$

where f is defined in (37), and

$$D_{e0} = r^2 \Omega q^4 \left(\frac{\Omega}{N_\mu} \right)^6 \quad (42)$$

$$D_{e1} = r^2 \Omega \max \left[q \left(\frac{\Omega}{N_T} \right)^{3/4} \left(\frac{\kappa}{r^2 N_T} \right)^{3/4}, q^4 \left(\frac{\Omega}{N_T} \right)^6 \right]. \quad (43)$$

One verifies that the max operator in (43) switches between its arguments under the same conditions as it does in (36).

From these expressions one finds that D_e is much smaller than ν_e , approaching it only when $r/N d\Omega/dr$ is of order unity. This reflects the fact that the angular momentum transport is done by magnetic stresses, which are much more effective than the Reynolds stresses associated with the fluid motions in the dynamo process.

4. Discussion of the result

The magnetic stress or its equivalent effective viscosity (34)–(36) is the net effect of several processes: the winding-up of radial fields by differential rotation, the instability of the resulting azimuthal field, and the internal dissipation of small scale fields by reconnection. Together these constitute a dynamo process which generates a magnetic field whose strength is governed by the differential rotation. Since the length scale of the field turns out to be small in the radial direction, the radial transport of angular momentum by the magnetic stresses can be represented by an effective radial viscosity [as defined in (34)]. Both the length scales and the field strength itself are much larger in the horizontal directions (θ, ϕ). Variations in the rotation rate on horizontal surfaces are therefore likely to be quite small.

Comparison of (35) and (36) shows that the presence of a gradient in composition (μ) has a dramatic effect. As long as this gradient can be neglected the effective radial viscosity scales as $\Omega^{3/2}$ (for fixed dimensionless differential rotation q); when it dominates, the dependence is as Ω^5 . The steep dependence on Ω can be accounted for by noting that the stress S is proportional to B_r and B_ϕ , while both B_ϕ and B_r/B_ϕ contain a factor $(\Omega/N)^2$. The stabilizing effect of the stratification thus effectively appears three times.

The dependence on Ω is steeper than in some purely hydrodynamic transport mechanisms. On the other hand, the scale of the effective viscosity multiplying this dependence, of the order $r^2 \Omega q^2$, is much larger. To see what this implies, consider for comparison Zahn's (1973, 1983) formalism for shear instability in the presence of thermal diffusion. Assuming a critical Reynolds number $Re_c \sim 10^3$ for small scale turbulence, this process yields an effective viscosity of

$$\nu_Z \sim \kappa, \quad (44)$$

while the critical shear rate for it to work is

$$q_{\min, Z} \sim \frac{N}{\Omega} \left(Re_c \frac{\nu}{\kappa} \right)^{1/2}. \quad (45)$$

Comparing $q_{\min, Z}$ with (27), one finds that the dynamo process has the lower value for the critical shear rate when

$$\left(\frac{\Omega}{N} \right)^3 > \frac{\kappa}{r^2 N} \left(\frac{\eta}{\nu Re_c} \right)^2. \quad (46)$$

Since $\nu/\eta \sim 1$, and $\kappa/(r^2 N)$ is a very small number, the dynamo process generates an effective viscosity already at much lower rotation rates. In the present Sun, for example it operates, while hydrodynamic turbulence according

to Zahn's formalism is excluded by condition (45). Under conditions when both hydrodynamic turbulence according to Zahn's estimate and the dynamo process operate, the effective viscosity of the dynamo process is larger, provided that [compare (44), (32)]:

$$\frac{\Omega}{N} > \left(\frac{\kappa}{r^2 N} \right)^{1/3}. \quad (47)$$

For solar parameters, $(\kappa/(r^2 N))^{1/3} \approx 10^{-3}$, hence the effective viscosity of the dynamo process is also larger, when both processes are possible.

The high effectiveness of the dynamo process in the absence of composition gradients is likely to remove most of the differential rotation in compositionally homogeneous layers of a star, with all the gradients concentrating into the inhomogeneous layers. The steep dependence on Ω will cause a certain "convergence": somewhat independent of other factors influencing the rotation, there will be a tendency for Ω/N_μ to evolve into a limited range.

The process as described here makes the essential assumption that the initial seed field being wound up is sufficiently weak. For strong initial fields, such as those observed in magnetic A-stars and white dwarfs, the process does not apply. Instead, in such stars rotation is likely to become and remain homogenous on a short time scale (cf. discussion in Spruit 1999).

Assuming that the initial field is indeed weak, it takes a while for the dynamo process to reach its equilibrium amplitude. This may still be a sufficiently short time scale in most circumstances.

Since the dynamo process produces its own "turbulent diffusion", it enhances the decay of an initial field⁴. Thus the process also enhances the distinction between two regimes: an initially weak field serves as a seed field but is eliminated by the action of the dynamo process, while a stronger initial field will prevent the dynamo process from operating altogether.

5. Estimates for the Sun

For the present Sun, with a rotation rate $\Omega = 3 \times 10^{-6}$, the buoyancy frequency in the outer core, with radius $r = 5 \times 10^{10}$, is of the order $N = 10^{-3}$, while $\kappa \approx 2 \times 10^8$, $\eta \approx 1.5 \times 10^3$. In the so-called tachocline (Spiegel & Zahn 1992), the rotation rate changes from a pattern with a variation of 30% between equator and pole in the convection zone, to a uniform rotation in the core. The width of this transition is believed (Charbonneau et al. 1999) to be of the order $0.05 R_\odot$, so that the shear rate is $q \sim 2$ in the tachocline (but varying and changing sign with latitude). In this region of the core, composition gradients (mostly due to the gravitational settling of Helium) are

quite small, so that case 1 applies. With these numbers, we find

$$\frac{B}{B_c} \approx 50. \quad (48)$$

So that the conditions for dynamo action are satisfied. The expected field strengths are

$$B_\phi \approx 1.5 \times 10^4 \text{ G}, \quad B_r \approx 1 \text{ G}. \quad (49)$$

With this field strength, the Alfvén frequency is $\omega_A \approx 10^{-7} \text{ s}^{-1}$. The actual frequency of Alfvénic modes is reduced by the strong Coriolis force. Waves with length scale $\sim r$ have a frequency

$$\sigma = \omega_A^2 / \Omega \sim 3 \times 10^{-9}, \quad (50)$$

which is also the characteristic growth rate of the instability. This corresponds to a time scale of the order 100 years.

6. Discussion

As in the case of accretion disks, an externally imposed small scale velocity field is not necessary for obtaining field amplification by a dynamo process in stars. In addition to differential rotation, the only ingredient required is an internal instability in a toroidal magnetic field, to provide new poloidal field components on which differential rotation can act. Several distinct instabilities are candidates for this key ingredient: Balbus-Hawley instability, buoyant (Parker-) instability, and the toroidal-field instability studied by Tayler. I have argued here and in Spruit (1999) that the latter is probably the most relevant, and have shown how a small-scale magnetic field may be produced in stably stratified layers in stars through this instability, with differential rotation as the energy source of the process. The required differential rotation may be produced by an external torque like a stellar wind, or by the internal evolution of the star. The main significance of the process thus lies in its effect on the internal rotation of the star, and for questions such as the amount of rotation to be expected in the cores of giant stars. This in turn is critical for the interpretation of the rotation of pulsars (Spruit & Phinney 1998) and white dwarfs (Spruit 1998).

The horizontal components of the magnetic field produced by this process are much larger than the radial component, and the radial length scale of the field is much smaller than the horizontal length scales. Because of the small radial length scale, the effects of the microscopic (atomic) magnetic and thermal diffusion coefficients have to be included in the analysis. Because of these effects the gradient in the rotation rate must exceed a certain minimum for dynamo action to set in. The measured gradients in the tachocline of the present, slowly rotating Sun are large enough, but only by a factor of 100 or so. Because of the large ratio of horizontal to radial field strengths, the angular momentum transport by the magnetic stresses is very anisotropic, and far more effective at smoothing out horizontal variations in rotation than radial variations.

⁴ Strictly speaking: only the non-potential parts of this field. If the dynamo operates only in a limited range of radii, it can not eliminate a field that is anchored in other parts of the star.

This is interpreted here as the main reason for the peculiar abrupt transition from the rotation pattern in the convection zone to that in the core of the Sun.

It must be stressed that the quantitative estimates of the dynamo process made here ignore all multiplying factors of order unity. Experience in similar cases shows that these factors can sometimes compound to rather large numbers. Also, I have essentially ignored the difference between the spherical and the cylindrical radial coordinate in making estimates. At the level of the present analysis, there is no justification for greater quantitative detail, but the resulting uncertainty must be borne in mind. Finally, the analysis applies only for cases where the initial magnetic field of the star is small enough. In strong magnetic fields like those of the magnetic A-stars and white dwarfs, the dynamo process is suppressed.

Acknowledgements. This research was supported in part by the National Science Foundation under Grant No. PHY99-07949. I thank Stan Woosley and Alex Heger for their insistence on answers which led to the work reported here, and for extensive discussions, questions and comments. It is a pleasure to thank Dr. André Maeder for comments which led to significant expansion and improvement of the text.

References

- Acheson, D. J. 1978, *Phil Trans. Roy. Soc. Lond.*, A289, 459
 Balbus, S. A., & Hawley, J. F. 1991, *ApJ*, 376, 214
 Caligari, P., Schüssler, M., & Moreno-Insertis, F. 1998, *ApJ*, 502, 481
 Chandrasekhar, S. 1960, *Proc. Nat. Acad. Sci.*, 46, 253
 Charbonneau, P., Christensen-Dalsgaard, J., Henning, R., et al. 1999, *ApJ*, 527, 445
 D'Silva, S., & Choudhuri, A. R. 1993, *A&A*, 272, 621
 D'Silva S., & Howard, R. A. 1993, *Sol. Phys.*, 148, 1
 Goossens, M., Biont, D., & Tayler, R. J. 1981, *Ap. Space Sci.*, 75, 521
 Hawley, J. F., Gammie, C. F., & Balbus, S. A. 1996, *ApJ*, 464, 690
 Heger, A., Langer, N., & Woosley, S. E. 2000, *ApJ*, 528, 368
 Kippenhahn, R., & Weigert, A. 1990, *Stellar Structure and evolution* (Springer, Heidelberg)
 Kumar, P., Talon, S., & Zahn, J.-P. 1999, *ApJ*, 520, 859
 Leighton, R. B. 1969, *ApJ*, 156, 1
 Maeder, A., & Zahn, J.-P. 1998, *A&A*, 334, 1000
 MacFadyen, A. I., Woosley, S. E., & Heger, A. 2001, *ApJ*, 550, 410
 Markey, P., & Tayler, R. J. 1973, *MNRAS*, 163, 77
 Markey, P., & Tayler, R. J. 1974, *MNRAS*, 168, 505
 Mestel, L. 1953, *MNRAS*, 113, 716 (remark on p. 735)
 Parker, E. N. 1966, *ApJ*, 145, 811
 Parker, E. N. 1979, *Cosmical magnetic fields: Their origin and their activity* (Oxford, Clarendon Press)
 Pitts, E., & Tayler, R. J. 1986, *MNRAS*, 216, 139
 Pizzo, V., Schwenn, R., Marsch, E., et al. 1983, *ApJ*, 271, 335
 Schou, J., Antia, H. M., & Basu, S., et al. 1998, *ApJ*, 505, 390
 Spiegel, E. A., & Zahn, J.-P. 1992, *A&A*, 265, 106
 Spruit, H. C. 1998, *A&A*, 333, 603
 Spruit, H. C. 1999, *A&A*, 349, 189
 Spruit, H. C., & Phinney, E. S. 1998, *Nature*, 393, 139
 Spruit, H. C., Knobloch, E., & Roxburgh, I. W. 1983, *Nature*, 304, 520
 Talon, S., & Zahn, J.-P., 1998, *A&A*, 329, 315
 Tayler, R. J. 1957, *Proc. Phys. Soc. B*, 70, 311957
 Tayler, R. J. 1973, *MNRAS*, 161, 365
 Townsend, A. A. 1958, *J. Fluid Mech.*, 4, 361
 Velikhov, E. P. 1959, *J. Exp. Theoret. Phys. (USSR)*, 36, 1398
 Zahn, J.-P. 1974, in *Stellar Instability and Evolution*, ed. P. Ledoux et al. (Reidel, Dordrecht), 185
 Zahn, J.-P. 1983, in *Astrophysical Processes in upper Main Sequence Stars*, ed. A. N. Cox et al. (Geneva Observatory, Switzerland), 225
 Zahn, J.-P. 1992, *A&A*, 265, 115
 Zahn, J.-P., Talon, S., & Matias, J. 1997, *A&A*, 322, 320