### James 002

June 11, 2021

# 1 Crane V1 model training and simulations

Adapted from https://github.com/kinwo/deeprl-navigation (MIT License Copyright (c) 2018 Henry Chan)

Start Environement and create DQN Agent

```
[1]: import gym
import numpy as np
env = gym.make('crane-v1') #Load the environement
```

#### 1.1 Agent

The DQN agent can be found below

```
[2]: import numpy as np
    import random
    from collections import namedtuple, deque
    #from model import QNetwork # UNCOMMENT IF YOU ARE NOT IN A JUPYTER NOTEBOOK
    import torch
    import torch.nn.functional as F
    import torch.optim as optim
    BUFFER_SIZE = 5*int(1e5) # replay buffer size
    BATCH_SIZE = 64 # minibatch size
    GAMMA = 0.995 \#was 0.99 \# discount factor
    TAU = 1e-3
                              # for soft update of target parameters
    LR = 5e-4
                              # learning rate
    UPDATE\_EVERY = 4
                              # how often to update the network
    device = torch.device("cuda:0" if torch.cuda.is_available() else "cpu")
    class Agent():
        """Interacts with and learns from the environment."""
        def __init__(self, state_size, action_size, seed):
```

```
"""Initialize an Agent object.
       Params
       _____
           state_size (int): dimension of each state
           action_size (int): dimension of each action
           seed (int): random seed
       .....
       self.state_size = state_size
       self.action_size = action_size
       self.seed = random.seed(seed)
       # Q-Network
       self.qnetwork_local = QNetwork(state_size, action_size, seed).to(device)
       self.qnetwork_target = QNetwork(state_size, action_size, seed).
→to(device)
       self.optimizer = optim.Adam(self.qnetwork_local.parameters(), lr=LR)
       # Replay memory
       self.memory = ReplayBuffer(action_size, BUFFER_SIZE, BATCH_SIZE, seed)
       # Initialize time step (for updating every UPDATE_EVERY steps)
       self.t_step = 0
   def step(self, state, action, reward, next_state, done):
       # Save experience in replay memory
       self.memory.add(state, action, reward, next_state, done)
       # Learn every UPDATE_EVERY time steps.
       self.t_step = (self.t_step + 1) % UPDATE_EVERY
       if self.t_step == 0:
           # If enough samples are available in memory, get random subset and \Box
\rightarrow learn
           if len(self.memory) > BATCH_SIZE:
               experiences = self.memory.sample()
               self.learn(experiences, GAMMA)
   def act(self, state, eps=0.):
       """Returns actions for given state as per current policy.
       Params
           state (array_like): current state
           eps (float): epsilon, for epsilon-greedy action selection
       state = torch.from_numpy(state).float().unsqueeze(0).to(device)
```

```
self.qnetwork_local.eval()
       with torch.no_grad():
           action_values = self.qnetwork_local(state)
       self.qnetwork_local.train()
       # Epsilon-greedy action selection
       if random.random() > eps:
           return np.argmax(action_values.cpu().data.numpy())
       else:
           return random.choice(np.arange(self.action_size))
   def learn(self, experiences, gamma):
       """Update value parameters using given batch of experience tuples.
       Params
       _____
           experiences (Tuple[torch.Variable]): tuple of (s, a, r, s', done)_{\sqcup}
\hookrightarrow tuples
           gamma (float): discount factor
       states, actions, rewards, next states, dones = experiences
       # Get max predicted Q values (for next states) from target model
       Q_targets_next = self.qnetwork_target(next_states).detach().max(1)[0].
→unsqueeze(1)
       # Compute Q targets for current states
       Q_targets = rewards + (gamma * Q_targets_next * (1 - dones))
       # Get expected Q values from local model
       Q_expected = self.qnetwork_local(states).gather(1, actions)
       # Compute loss
       loss = F.mse_loss(Q_expected, Q_targets)
       # Minimize the loss
       self.optimizer.zero_grad()
       loss.backward()
       self.optimizer.step()
       # ----- update target network ----- #
       self.soft_update(self.qnetwork_local, self.qnetwork_target, TAU)
   def soft_update(self, local_model, target_model, tau):
       """Soft update model parameters.
       _target = * local + (1 - )* target
       Params
```

```
_____
            local model (PyTorch model): weights will be copied from
            target_model (PyTorch model): weights will be copied to
            tau (float): interpolation parameter
        for target_param, local_param in zip(target_model.parameters(),__
→local model.parameters()):
            target param.data.copy (tau*local param.data + (1.
→0-tau)*target_param.data)
class ReplayBuffer:
    """Fixed-size buffer to store experience tuples."""
   def __init__(self, action_size, buffer_size, batch_size, seed):
        """Initialize a ReplayBuffer object.
       Params
        _____
            action_size (int): dimension of each action
            buffer_size (int): maximum size of buffer
            batch_size (int): size of each training batch
            seed (int): random seed
        11 11 11
        self.action_size = action_size
        self.memory = deque(maxlen=buffer size)
        self.batch_size = batch_size
       self.experience = namedtuple("Experience", field_names=["state",__
→"action", "reward", "next_state", "done"])
        self.seed = random.seed(seed)
   def add(self, state, action, reward, next_state, done):
        """Add a new experience to memory."""
        e = self.experience(state, action, reward, next_state, done)
        self.memory.append(e)
   def sample(self):
        """Randomly sample a batch of experiences from memory."""
        experiences = random.sample(self.memory, k=self.batch_size)
        states = torch.from_numpy(np.vstack([e.state for e in experiences if e_
→is not None])).float().to(device)
        actions = torch.from_numpy(np.vstack([e.action for e in experiences if_
→e is not None])).long().to(device)
        rewards = torch.from_numpy(np.vstack([e.reward for e in experiences if_
 →e is not None])).float().to(device)
```

```
next_states = torch.from_numpy(np.vstack([e.next_state for e in_u

→experiences if e is not None])).float().to(device)

dones = torch.from_numpy(np.vstack([e.done for e in experiences if e is_u

→not None]).astype(np.uint8)).float().to(device)

return (states, actions, rewards, next_states, dones)

def __len__(self):
    """Return the current size of internal memory."""
    return len(self.memory)
```

## 1.2 Deep Q\_Network Model

A 2 linear hidden layer of 64 nodes each is created, with relu activation function.

```
[3]: import torch
     import torch.nn as nn
     import torch.nn.functional as F
     class QNetwork(nn.Module):
         """Actor (Policy) Model."""
         def __init__(self, state_size, action_size, seed, fc1_units=64,__
      \rightarrowfc2 units=64):
             """Initialize parameters and build model.
             Params
             _____
                 state_size (int): Dimension of each state
                 action_size (int): Dimension of each action
                 seed (int): Random seed
                 fc1_units (int): Number of nodes in first hidden layer
                 fc2_units (int): Number of nodes in second hidden layer
             super(QNetwork, self). init ()
             self.seed = torch.manual_seed(seed)
             self.fc1 = nn.Linear(state_size, fc1_units)
             self.fc2 = nn.Linear(fc1_units, fc2_units)
             self.fc3 = nn.Linear(fc2_units, action_size)
         def forward(self, state):
             """Build a network that maps state -> action values."""
             x = F.relu(self.fc1(state))
             x = F.relu(self.fc2(x))
             return self.fc3(x)
```

```
[4]: import torch import time from collections import deque #from agent import Agent # UNCOMMENT IF YOU ARE NOT IN A JUPYTER NOTEBOOK import matplotlib.pyplot as plt %matplotlib inline

state_size=8 #State size of environement action_size=9 #Action size of environement seed=0 agent = Agent(state_size=8, action_size=9, seed=0) #setting the agent's□ → parameters
```

#### 1.3 DQN Agent Training

Here, we save the model every 100 timesteps, in order to observe how the agent performs over it's training process. The files are located in the working derictory inder the name 'Episode ###.path'.

In order to know when the environement is solved, we compute the moving score (the total rewards per episode) average over the last 100 episodes. If the moving average is over a chosen thershold (target\_scores), the model is then saved to 'model\_weight\_name'.

For the Crane\_v0 environement, the target score is 10 000 000, since it is the reward obtained by the agent when finding the flag.

```
[5]: model_weight_name = 'model_2.pth'
     def dqn(n_episodes=1300, max_t=1500, eps_start=1.0, eps_end=0.01, eps_decay=0.
      \rightarrow996, target scores=200000.0):
          """Deep Q-Learning.
         Params
              n_episodes (int): maximum number of training episodes
              max_t (int): maximum number of timesteps per episode
              eps\_start (float): starting value of epsilon, for epsilon-greedy action_{\sqcup}
      \hookrightarrow selection
              eps_end (float): minimum value of epsilon
              eps_decay (float): multiplicative factor (per episode) for decreasing_
      \hookrightarrow epsilon
              target\_scores (float): average scores aming to achieve, the agent will_\sqcup
      \hookrightarrow stop training once it reaches this scores
                                                 # Start time
         start = time.time()
         scores = []
                                                 # list containing scores from each
      \rightarrowepisode
          scores_window = deque(maxlen=100) # last 100 scores
```

```
eps = eps_start
                                        # initialize epsilon
   for i_episode in range(1, n_episodes+1):
       # Reset env and score at the beginning of episode
       env_info = env.reset()
                                                            # reset the
\rightarrow environment
       state = env.state
                                                             # get the current
\hookrightarrowstate
       score = 0
                                                             # initialize the_
\hookrightarrowscore
       for t in range(max_t):
           action = agent.act(state, eps)
           env_info = env.step(action)
                                                            # send the action to_
\rightarrow the environment
           next_state = env_info[0]
                                                            # get the next state
           reward = env_info[1]
                                                            # get the reward
           done = env_info[2]
                                                            # see if episode has
\hookrightarrow finished
           agent.step(state, action, reward, next_state, done)
           state = next state
           score += reward
           if done:
               print("\n Episode finished after {} timesteps".format(t+1))
               print("\n final state is :", state)
               print("\n Reward is : ", score)
               break
       scores_window.append(score) # saving the most recent score
       scores.append(score)
                                          # saving the most recent score
       eps = max(eps_end, eps_decay*eps) # decrease of the epsilon value
       print('\rEpisode {}\tAverage Score: {:.2f}'.format(i_episode, np.
→mean(scores_window)), end="")
       if i_episode % 100 == 0:
           print('\rEpisode {}\tAverage Score: {:.2f}'.format(i_episode, np.
→mean(scores_window)))
           temp_model_weight_name = 'Episode_{}.pth'.format(i_episode)
           torch.save(agent.qnetwork_local.state_dict(),_u
→temp_model_weight_name)
       if np.mean(scores_window)>=target_scores:
```

```
print('\nEnvironment solved in {:d} episodes!\tAverage Score: {:.
 →2f}'.format(i_episode, np.mean(scores_window)))
            torch.save(agent.qnetwork_local.state_dict(), model_weight_name)
            break
    time elapsed = time.time() - start
    print("Time Elapse: {:.2f}".format(time_elapsed))
    return scores
scores = dqn(n_episodes=1500, max_t=1500, eps_start=1.0, eps_end=0.01,_u
 →eps_decay=0.997, target_scores=10000000.0)
# plot the scores
fig = plt.figure()
ax = fig.add_subplot(111)
plt.plot(np.arange(len(scores)), scores)
plt.ylabel('Score')
plt.xlabel('Episode #')
plt.title('Training Scores')
plt.ylim(0,200000)
plt.grid()
#plt.savefig('plots/model_training.png', dpi = 200)
plt.show()
Episode 100
                Average Score: -3969.27
Episode 200
                Average Score: 3409.393
Episode 300
                Average Score: 23349.56
Episode 400
                Average Score: 29454.76
Episode 403
                Average Score: 37312.47
Episode finished after 263 timesteps
final state is : [ 0.85394743 -0.10502536  0.13972463  0.02307469  2.6424625
-0.11131322
 1.
             0.
                        1
Reward is: 10195930.55498117
Episode 500
               Average Score: 118817.88
Episode 521
               Average Score: 20739.251
Episode finished after 556 timesteps
final state is: [0.99944954 0.10081445 0.13379506 0.13954825 2.63855876
0.01224912
 1.
            0.
                      ]
```

Reward is: 10494347.836950721 Episode 524 Average Score: 127555.84 Episode finished after 405 timesteps final state is: [ 1.12599146 0.05368165 0.15014753 -0.08265099 2.58049004 0.1238463 0. 1. Reward is: 10310822.79713091 Episode 600 Average Score: 244197.14 Episode 647 Average Score: 31909.325 Episode finished after 573 timesteps final state is: [ 0.85988265 0.14275462 -0.12597401 0.05559806 2.35281866 -0.04616643 1. 0. 1 Reward is: 10304205.156921364 Episode 648 Average Score: 134640.83 Episode finished after 399 timesteps final state is: [ 0.89417387 -0.0647649 -0.14247715 0.01007087 2.46974975 0.14684254 0. 1. Reward is: 10088862.139571585 Average Score: 260362.59 Episode 700 Episode 800 Average Score: 2878.6580 Episode 825 Average Score: 15154.59 Episode finished after 495 timesteps final state is: [ 0.89100194 0.11704317 0.1308887 0.1412988 2.35031599 -0.14422304 1. 0. ] Reward is: 10062953.187467009 Episode 900 Average Score: 113196.48 Episode 1000 Average Score: 56474.14 Episode 1007 Average Score: 59977.43 Episode finished after 1451 timesteps final state is: [ 0.90705112 -0.09222657 -0.02091674 0.04364559 2.61285118 -0.07013578 1. 0. 1

Reward is: 10714545.061859686

Episode 1008 Average Score: 167171.63

Episode finished after 379 timesteps

```
final state is: [ 0.91857128 -0.1255766 -0.02298296 -0.09955415 2.64907534
-0.10425144
  1.
             0.
                       1
Reward is: 10249641.493185386
Episode 1100 Average Score: 225159.43
Episode 1200 Average Score: -15361.47
Episode 1300 Average Score: -14239.81
Episode 1400
               Average Score: 22366.108
Episode 1450
               Average Score: 34919.97
Episode finished after 605 timesteps
final state is: [0.85329626 0.11502913 0.12468338 0.06534624 2.59017
0.07007034
                     ]
 1.
           0.
 Reward is: 10148654.368939364
Episode 1453
               Average Score: 150735.02
Episode finished after 902 timesteps
 final state is: [ 0.92615044  0.01614251 -0.14947131  0.11777681  2.48696465
-0.0591223
  1.
             0.
 Reward is: 10530245.60758538
               Average Score: 255729.19
Episode 1454
Episode finished after 1174 timesteps
final state is: [ 1.05514293  0.14645649 -0.10492286 -0.03370872  2.61801705
0.06117462
  1.
             0.
Reward is: 10775762.993256772
Episode 1457
              Average Score: 367725.52
 Episode finished after 1335 timesteps
 final state is: [0.91735891 0.06286596 0.0940211 0.14369615 2.53144169
0.11504205
 1.
           0.
                     1
 Reward is: 10443874.935163798
Episode 1458
               Average Score: 466574.41
 Episode finished after 564 timesteps
 final state is: [ 0.95694793 -0.05436414 -0.14612674 -0.14537259 2.61727134
0.00866986
  1.
             0.
                       ]
```

Reward is: 10159304.436219815

Episode 1500 Average Score: 583216.84

Time Elapse: 1473.47

Since the environement is not solved, we choose the best model from where the score plot converges before diverging. After trial and error, it is found to be arround episode 600. We renamed the model "Episode\_600.pth' to 'model.pth' and visualised it in the next block.

In order to plot the state against time, we save the data points in local arrays.

```
[20]: #Choose model
      #agent.qnetwork_local.state_dict()
      agent.qnetwork_local.load_state_dict(torch.load('model.pth'))
      env_info = env.reset()
                                                           # reset the environment
      state = env.state
                                                           # get the current state
      score = 0
                                                           # initialize the score
      #Initialising the state arrays
      arr_x = []
      arr_x_dot = []
      arr_theta = []
      arr_theta_dot = []
      arr_1 = []
      arr_l_dot = []
      arr_t = []
      t = 0
      max_t = 1000
                                                           # Number of timesteps
      for t in range(max_t) :
          env.render()
          #time.sleep(0.008)
          action = agent.act(state)
                                                           # select an action
          env_info = env.step(action)
                                                           # send the action to the
       \rightarrow environment
          next_state = env_info[0]
                                                           # get the next state
          reward = env_info[1]
                                                           # get the reward
                                                           # see if episode has finished
          done = env_info[2]
          score += reward
                                                           # update the score
                                                           # roll over the state to...
          state = next state
       \rightarrownext time step
          t += 1.0
          #Saving states in state matrix for the plot
          arr_t.append(t)
          arr x.append(state[0])
```

```
arr_x_dot.append(state[1])
    arr_theta.append(state[2])
    arr_theta_dot.append(state[3])
    arr_1.append(state[4])
    arr_l_dot.append(state[5])
    if done:
                                                       # exit loop if episode
\hookrightarrow finished
        print("Total steps : ", t)
        print("Total time is : ", env.tau * t)
        break
                                                       # Switching time steps for
arr_t = 0.02*np.array(arr_t)
\rightarrow times in seconds
print("Score: {}".format(score))
env.close()
```

Score: 28335.093728179087

### 1.3.1 States against time plots

```
[31]: fig = plt.figure()
      ax = fig.add_subplot(111)
      plt.plot(arr_t, arr_x, label='Cart Position')
      plt.ylabel('X Position [m]')
      plt.xlabel('Time [s]')
      plt.title('Position / Time')
     plt.hlines(1.0, 0, arr_t[-1], colors='r', linestyles='solid', label='Goal_
      →Position')
      plt.legend()
      plt.grid()
      #plt.savefiq('plots/model_3 x.pnq', dpi = 200) #UNCOMMENT TO SAVE PLOT
      plt.show()
      fig = plt.figure()
      ax = fig.add_subplot(111)
      plt.plot(arr_t, arr_x_dot, label = 'Cart Velocity')
      plt.ylabel('X Velocity [m / s]')
      plt.xlabel('Time [s]')
      plt.title('Velocity / Time')
      plt.hlines(0.0, 0, arr_t[-1], colors='r', linestyles='solid', label='Goal__
      →Velocity')
      plt.legend()
      plt.grid()
      #plt.savefig('plots/model_3_x_dot.png', dpi = 200) #UNCOMMENT TO SAVE PLOT
```

```
plt.show()
fig = plt.figure()
ax = fig.add_subplot(111)
plt.plot(arr_t, arr_theta, label = 'Pole angle')
plt.ylabel('Theta [rad]')
plt.xlabel('Time [s]')
plt.title('Theta / Time')
plt.hlines(0, 0, arr_t[-1], colors='r', linestyles='solid', label='Goal Angle')
plt.legend()
plt.grid()
#plt.savefig('plots/model_3_theta.png', dpi = 200) #UNCOMMENT TO SAVE PLOT
plt.show()
fig = plt.figure()
ax = fig.add_subplot(111)
plt.plot(arr_t, arr_theta_dot, label = 'Pole Anglular Velocity')
plt.ylabel('Angular Velocity [rad / s]')
plt.xlabel('Time [s]')
plt.title('Angular Velocity / Time')
plt.hlines(0.0, 0, arr_t[-1], colors='r', linestyles='solid', label='Goal_
→Velocity')
plt.legend()
plt.grid()
#plt.savefig('plots/model_3_theta_dot.png', dpi = 200) #UNCOMMENT TO SAVE PLOT
plt.show()
fig = plt.figure()
ax = fig.add_subplot(111)
plt.plot(arr_t, arr_l, label = 'Rope Lenght')
plt.ylabel('L [m]')
plt.xlabel('Time [s]')
plt.title('Lenght / Time')
plt.hlines(2.5, 0, arr_t[-1], colors='r', linestyles='solid', label='Goal_
plt.legend()
plt.grid()
#plt.savefiq('plots/model_3_l.pnq', dpi = 200) #UNCOMMENT TO SAVE PLOT
plt.show()
fig = plt.figure()
ax = fig.add_subplot(111)
plt.plot(arr_t, arr_l_dot, label = 'Rope Lenght Velocity')
plt.ylabel('L Velocity [m / s]')
plt.xlabel('Time [s]')
plt.title('Rope Lenght Velocity / Time')
```

```
plt.hlines(0.0, 0, arr_t[-1], colors='r', linestyles='solid', label='Goal_

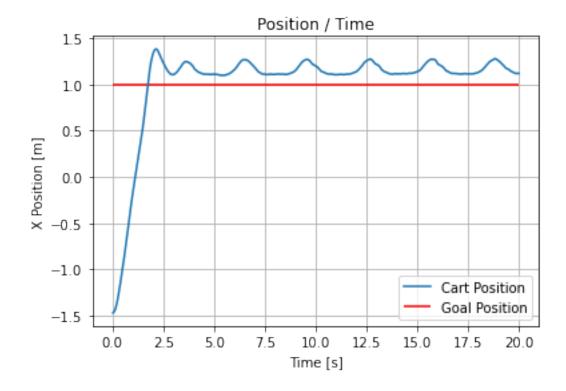
→Velocity')

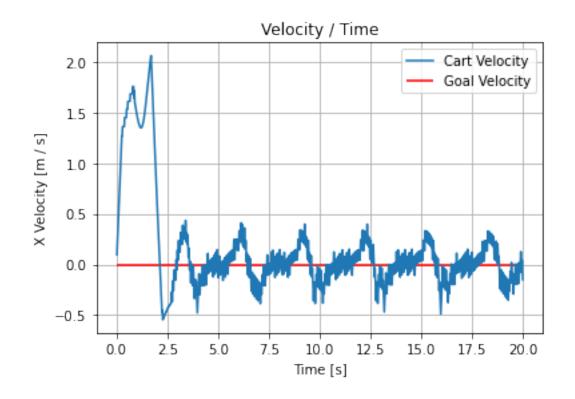
plt.legend()

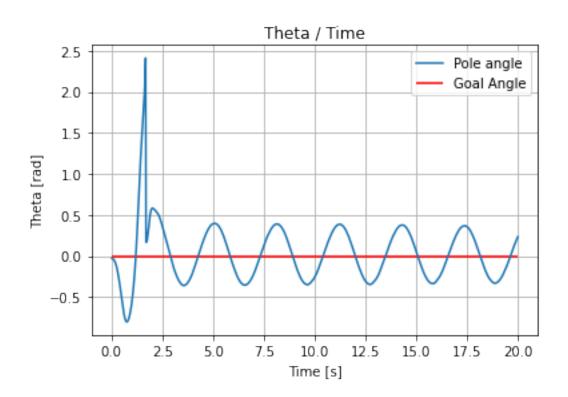
plt.grid()

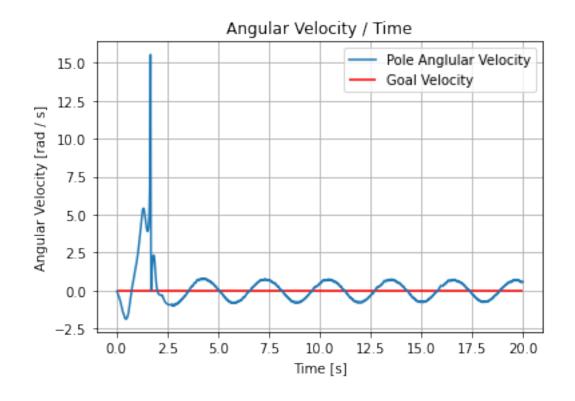
#plt.savefig('plots/model_3_l_dot.png', dpi = 200) #UNCOMMENT TO SAVE PLOT

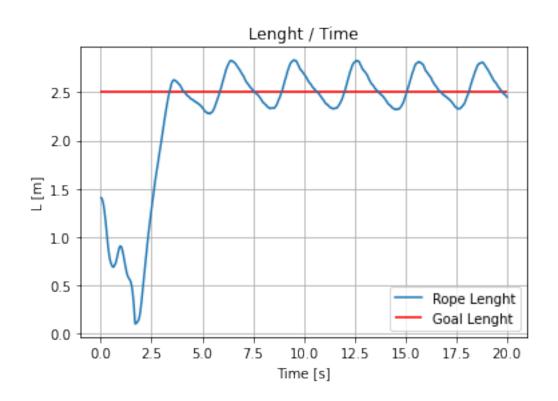
plt.show()
```

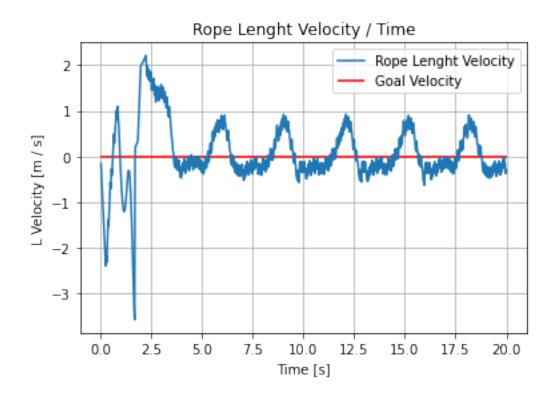












[49]: