# Reverse Mathematics of Maximal and Perfect Matchings

#### Oscar Levin

University of Northern Colorado

2019 SIU Pure Mathematics Conference

Joint work with Stephen Flood, Matthew Jura, and Tyler Markkanen.

#### Definition

A *matching* in a graph G = (V, E) is a subset  $M \subseteq E$  for which each vertex is incident to at most one edge in M.

#### **Definition**

A *matching* in a graph G = (V, E) is a subset  $M \subseteq E$  for which each vertex is incident to at most one edge in M.



#### Definition

A *matching* in a graph G = (V, E) is a subset  $M \subseteq E$  for which each vertex is incident to at most one edge in M.



#### Definition

A *matching* in a graph G = (V, E) is a subset  $M \subseteq E$  for which each vertex is incident to at most one edge in M.



Not every graph contains a perfect matching.

Not every graph contains a perfect matching.

Theorem (Steffens)

Every graph contains a maximal matching.

Not every graph contains a perfect matching.

### Theorem (Steffens)

Every graph contains a maximal matching.

#### Definition

▶ A matching M is (weakly) maximal provided there is no matching N with  $M \subset N$ .

Not every graph contains a perfect matching.

### Theorem (Steffens)

Every graph contains a maximal matching.

#### **Definition**

- A matching M is (weakly) maximal provided there is no matching N with M ⊂ N.
- A matching M is maximal provided there is no matching N with  $V(M) \subset V(N)$ .
  - (V(M)) is the set of vertices incident M.)

Not every graph contains a perfect matching.

### Theorem (Steffens)

Every graph contains a maximal matching.

#### Definition

- A matching M is (weakly) maximal provided there is no matching N with M ⊂ N.
- ▶ A matching M is maximal provided there is no matching N with  $V(M) \subset V(N)$ .

(V(M)) is the set of vertices incident M.)

How can you augment a matching?



How can you augment a matching?



#### Definition

An M-alternating path has edges alternating in and out of M.

An *M*-augmenting path is an *M*-alternating path that starts with an unmatched vertex and either ends in another unmatched vertex or is infinite.

How can you augment a matching?



#### Definition

An M-alternating path has edges alternating in and out of M.

An *M*-augmenting path is an *M*-alternating path that starts with an unmatched vertex and either ends in another unmatched vertex or is infinite.

How can you augment a matching?

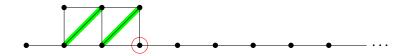


#### Definition

An M-alternating path has edges alternating in and out of M.

An *M*-augmenting path is an *M*-alternating path that starts with an unmatched vertex and either ends in another unmatched vertex or is infinite.

How can you augment a matching?

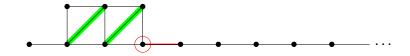


#### Definition

An M-alternating path has edges alternating in and out of M.

An *M*-augmenting path is an *M*-alternating path that starts with an unmatched vertex and either ends in another unmatched vertex or is infinite.

How can you augment a matching?



#### Definition

An M-alternating path has edges alternating in and out of M.

An *M*-augmenting path is an *M*-alternating path that starts with an unmatched vertex and either ends in another unmatched vertex or is infinite.

How can you augment a matching?



#### Definition

An M-alternating path has edges alternating in and out of M.

An *M*-augmenting path is an *M*-alternating path that starts with an unmatched vertex and either ends in another unmatched vertex or is infinite.

How can you augment a matching?



#### Definition

An M-alternating path has edges alternating in and out of M.

An *M*-augmenting path is an *M*-alternating path that starts with an unmatched vertex and either ends in another unmatched vertex or is infinite.

How can you augment a matching?



#### Definition

An M-alternating path has edges alternating in and out of M.

An *M-augmenting* path is an *M-*alternating path that starts with an unmatched vertex and either ends in another unmatched vertex or is infinite.

How can you augment a matching?



#### Definition

An M-alternating path has edges alternating in and out of M.

An *M*-augmenting path is an *M*-alternating path that starts with an unmatched vertex and either ends in another unmatched vertex or is infinite.

How can you augment a matching?



#### Definition

An M-alternating path has edges alternating in and out of M.

An *M*-augmenting path is an *M*-alternating path that starts with an unmatched vertex and either ends in another unmatched vertex or is infinite.

How can you augment a matching?



#### Definition

An M-alternating path has edges alternating in and out of M.

An *M*-augmenting path is an *M*-alternating path that starts with an unmatched vertex and either ends in another unmatched vertex or is infinite.

#### Theorem (Steffens)

A graph has a perfect matching iff for any matching M and unmatched v, there is an M-augmenting path starting at v.

#### Theorem (Steffens)

A graph has a perfect matching iff for any matching M and unmatched v, there is an M-augmenting path starting at v.

Condition (A)

#### Theorem (Steffens)

A graph has a perfect matching iff for any matching M and unmatched v, there is an M-augmenting path starting at v.

Condition (A)

### Corollary (MaxM)

Every graph has a maximal matching.

### Theorem (Steffens)

A graph has a perfect matching iff for any matching M and unmatched v, there is an M-augmenting path starting at v.

Condition (A)

#### Corollary (MaxM)

Every graph has a maximal matching.

Really, maximality seems to be a corollary to the <u>proof</u> of this theorem.

### Our goal

Use Reverse Mathematics to understand the strength of both of these theorems.

### Our goal

Use Reverse Mathematics to understand the strength of both of these theorems.

#### The plan:

1. Complete classification for locally finite graphs.

## Our goal

Use Reverse Mathematics to understand the strength of both of these theorems.

#### The plan:

- 1. Complete classification for locally finite graphs.
- 2. Get a sense why the general case is much much much much harder to classify (probably).

#### Definition

- A graph is *locally finite* provided every vertex has finite degree.
- ▶ A graph is *bounded* provided there is a function  $h: V \to \mathbb{N}$  s.t.  $\forall x, y \in V(\{x, y\} \in E \to h(x) \ge y)$ .

#### Definition

- A graph is *locally finite* provided every vertex has finite degree.
- ▶ A graph is *bounded* provided there is a function  $h: V \to \mathbb{N}$  s.t.  $\forall x, y \in V(\{x, y\} \in E \to h(x) \ge y)$ .

Think: bounded = highly computable.

#### **Theorem**

The following are equivalent over RCA<sub>0</sub>:

- 1. Every locally finite graph has a maximal matching.
- 2. A locally finite graph has a perfect matching iff it satisfies condition (A).
- **3**. ACA<sub>0</sub>.

#### **Theorem**

The following are equivalent over RCA<sub>0</sub>:

- 1. Every locally finite graph has a maximal matching.
- 2. A locally finite graph has a perfect matching iff it satisfies condition (A).
- **3**. ACA<sub>0</sub>.

#### **Theorem**

The following are equivalent over RCA<sub>0</sub>:

- Every bounded graph has a maximal matching.
- 2. A bounded graph has a perfect matching iff it satisfies condition (A).
- 3. WKL<sub>0</sub>.

### **Proofs**

Idea: Build a tree whose paths give perfect matchings.

#### **Proofs**

Idea: Build a tree whose paths give perfect matchings.

 $\langle a_0, a_1, \dots, a_n \rangle \in T$  iff  $\{(0, a_0), (1, a_1), \dots, (n, a_n)\}$  is a matching.

### **Proofs**

Idea: Build a tree whose paths give perfect matchings.

$$\langle a_0, a_1, \dots, a_n \rangle \in T$$
 iff  $\{(0, a_0), (1, a_1), \dots, (n, a_n)\}$  is a matching.

Condition (A) guarantees the tree will be infinite.

PM for locally finite graphs implies ACA<sub>0</sub>:



PM for locally finite graphs implies ACA<sub>0</sub>:

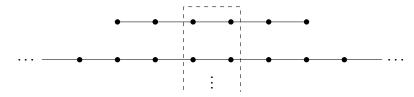


PM for locally finite graphs implies ACA<sub>0</sub>:



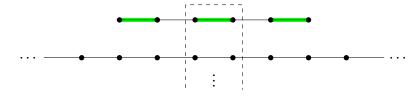
PM for locally finite graphs implies ACA<sub>0</sub>:





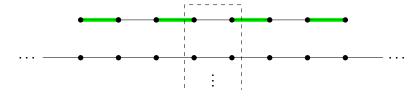
PM for locally finite graphs implies ACA<sub>0</sub>:





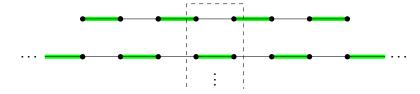
PM for locally finite graphs implies ACA<sub>0</sub>:





PM for locally finite graphs implies ACA<sub>0</sub>:

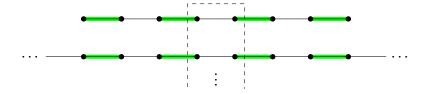


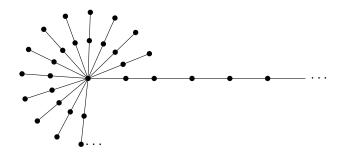


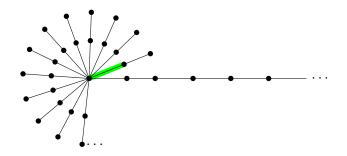
PM for locally finite graphs implies ACA<sub>0</sub>:

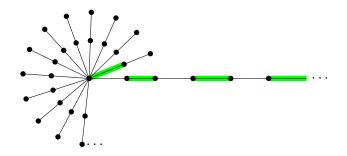


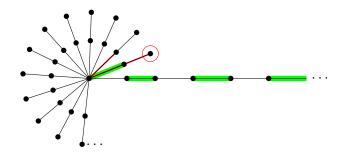
PM for bounded graphs implies WKL $_0$ :

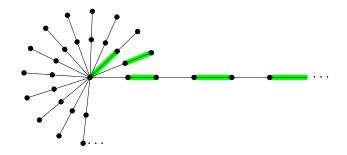


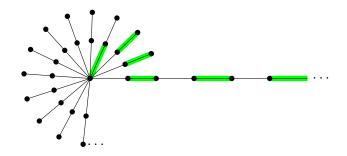


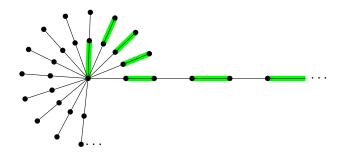


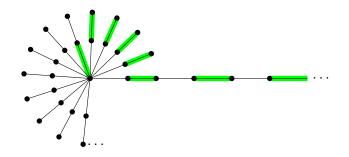


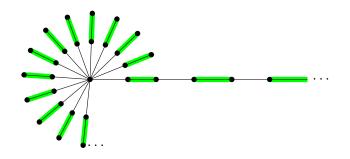




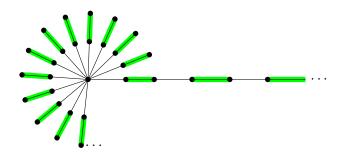








Why is this messy?



The problem: To use a larger matching, you must abandon a smaller matching.

#### **Definition**

A matching M is *independent* provided there is no **proper** M-augmenting path starting at a vertex unmatched by M.

A subgraph is *independent* provided it has a perfect matching, and all perfect matchings are independent.

#### **Definition**

A matching M is *independent* provided there is no **proper** M-augmenting path starting at a vertex unmatched by M.

A subgraph is *independent* provided it has a perfect matching, and all perfect matchings are independent.

#### Lemma

Every graph has has a maximal independent matching (MaxInd).

#### Definition

A matching M is *independent* provided there is no **proper** M-augmenting path starting at a vertex unmatched by M.

A subgraph is *independent* provided it has a perfect matching, and all perfect matchings are independent.

#### Lemma

Every graph has has a maximal independent matching (MaxInd).

#### Proof.

Zorn's lemma.

#### Definition

A matching M is *independent* provided there is no **proper** M-augmenting path starting at a vertex unmatched by M.

A subgraph is *independent* provided it has a perfect matching, and all perfect matchings are independent.

#### Lemma

Every graph has has a maximal independent matching (MaxInd).

#### Proof.

Work in a countably coded  $\beta_2$ -model; build an increasing sequence of independent matchings; argue that the union is maximal.

#### Definition

A matching M is *independent* provided there is no **proper** M-augmenting path starting at a vertex unmatched by M.

A subgraph is *independent* provided it has a perfect matching, and all perfect matchings are independent.

#### Lemma

Every graph has has a maximal independent matching (MaxInd).

#### Proof.

Work in a countably coded  $\beta_2$ -model; build an increasing sequence of independent matchings; argue that the union is maximal.

Note: this is a proof in  $\Pi_2^1$ -CA.

Suppose *G* satisfies condition (A)

ightharpoonup Take a maximal independent matching M.

- ► Take a maximal independent matching M.
- ▶ If there is some v not matched, take a maximal independent matching M' of  $G \setminus (V(M) \cup \{v\})$ .

- ► Take a maximal independent matching M.
- ▶ If there is some v not matched, take a maximal independent matching M' of  $G \setminus (V(M) \cup \{v\})$ .
- ▶ Use an M'-augmenting path starting at v to get a matching that includes M and v, and whose complement satisfies condition (A).

- ► Take a maximal independent matching M.
- ▶ If there is some v not matched, take a maximal independent matching M' of  $G \setminus (V(M) \cup \{v\})$ .
- ▶ Use an M'-augmenting path starting at v to get a matching that includes M and v, and whose complement satisfies condition (A).
- Repeat.

- ► Take a maximal independent matching M.
- ▶ If there is some v not matched, take a maximal independent matching M' of  $G \setminus (V(M) \cup \{v\})$ .
- ▶ Use an M'-augmenting path starting at v to get a matching that includes M and v, and whose complement satisfies condition (A).
- Repeat.

### Suppose G satisfies condition (A)

- ► Take a maximal independent matching M.
- ▶ If there is some v not matched, take a maximal independent matching M' of  $G \setminus (V(M) \cup \{v\})$ .
- ▶ Use an M'-augmenting path starting at v to get a matching that includes M and v, and whose complement satisfies condition (A).
- Repeat.

Note: we potentially need the MaxInd lemma infinitely often,...

#### Suppose G satisfies condition (A)

- ightharpoonup Take a maximal independent matching M.
- ▶ If there is some v not matched, take a maximal independent matching M' of  $G \setminus (V(M) \cup \{v\})$ .
- ▶ Use an M'-augmenting path starting at v to get a matching that includes M and v, and whose complement satisfies condition (A).
- Repeat.

Note: we potentially need the MaxInd lemma infinitely often,.....but actually, exactly once.

Take a maximal independent subgraph H of G.

Take a maximal independent subgraph H of G.

Let N be the set of vertices not in H but adjacent only to vertices in H.

Take a maximal independent subgraph H of G.

Let N be the set of vertices not in H but adjacent only to vertices in H.

 $G\setminus (H\cup N)$  satisfies condition (A), so by PM, has a perfect matching.

Take a maximal independent subgraph H of G.

Let N be the set of vertices not in H but adjacent only to vertices in H.

 $G \setminus (H \cup N)$  satisfies condition (A), so by PM, has a perfect matching.

But the perfect matching would be independent in G, giving a larger independent matching. So any perfect matching of H is a maximal matching of G.

Take a maximal independent subgraph H of G.

Take a maximal independent subgraph H of G.

 $G\setminus H$  satisfies condition (A), but cannot have any non-empty independent matchings. Clearly this is impossible, unless  $G\setminus H$  is empty.

Take a maximal independent subgraph H of G.

 $G\setminus H$  satisfies condition (A), but cannot have any non-empty independent matchings. Clearly this is impossible, unless  $G\setminus H$  is empty.

#### Lemma

If a graph contains an edge, then the graph contains a non-empty independent matching.

Take a maximal independent subgraph H of G.

 $G\setminus H$  satisfies condition (A), but cannot have any non-empty independent matchings. Clearly this is impossible, unless  $G\setminus H$  is empty.

#### Lemma

If a graph contains an edge, then the graph contains a non-empty independent matching.

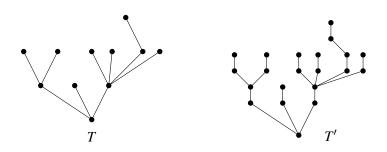
What is the strength of this lemma? No idea!

#### Lemma

Given any tree T, there is a tree T' such that T has an infinite path iff T' has a perfect matching.

#### Lemma

Given any tree T, there is a tree T' such that T has an infinite path iff T' has a perfect matching.

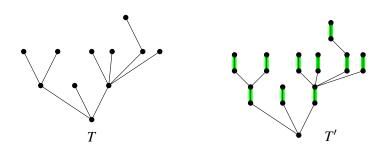


## **Proposition**

*MaxM implies*  $\Pi_1^1$ -CA<sub>0</sub>. *PM implies*  $\Sigma_1^1$ -AC<sub>0</sub>.

#### Lemma

Given any tree T, there is a tree T' such that T has an infinite path iff T' has a perfect matching.

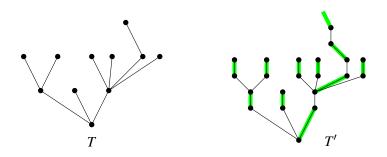


## **Proposition**

*MaxM implies*  $\Pi_1^1$ -CA<sub>0</sub>. *PM implies*  $\Sigma_1^1$ -AC<sub>0</sub>.

#### Lemma

Given any tree T, there is a tree T' such that T has an infinite path iff T' has a perfect matching.



## **Proposition**

*MaxM implies*  $\Pi_1^1$ -CA<sub>0</sub>. *PM implies*  $\Sigma_1^1$ -AC<sub>0</sub>.

### Can we do better?

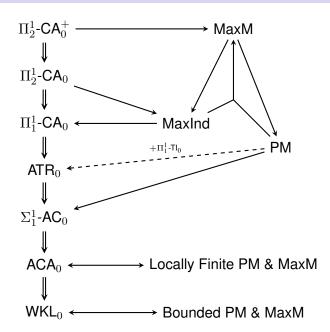
For any computable ordinal  $\alpha$ , there is a computable graph G that satisfies condition (A), any perfect matching of which computes  $\mathbf{0}^{(\alpha)}$ .

### Can we do better?

For any computable ordinal  $\alpha$ , there is a computable graph G that satisfies condition (A), any perfect matching of which computes  $\mathbf{0}^{(\alpha)}$ .

This would be enough to prove ATR $_0$  from PM, except we don't know how to prove G satisfies condition (A) without using  $\Pi^1_1$ -TI $_0$ . ( $\Sigma^1_1$ -DC $_0$ )

# The current picture



## The End

Thanks!