

**Team #19**

Course Project  
27/05/2024

Team Project on the course “Deep Learning”

# Few Shot Generative Classification

Kamil Garifullin

Ignat Melnikov

Artem Alekseev

Irina Lebedeva

Viktoria Zinkovich



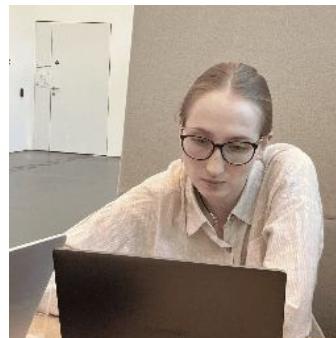
# Our team #19

Few Shot Generative  
Classification

class = 'spider'



class = 'cat'



class = 'bird'



class = 'cat'



class = 'snowman'



**Ignat Melnikov**

Data Science, MS-1

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Internet of Things, MS-1

**Kamil Garifullin**

Data Science, MS-1

**Viktoria Zinkovich**

Data Science, MS-1

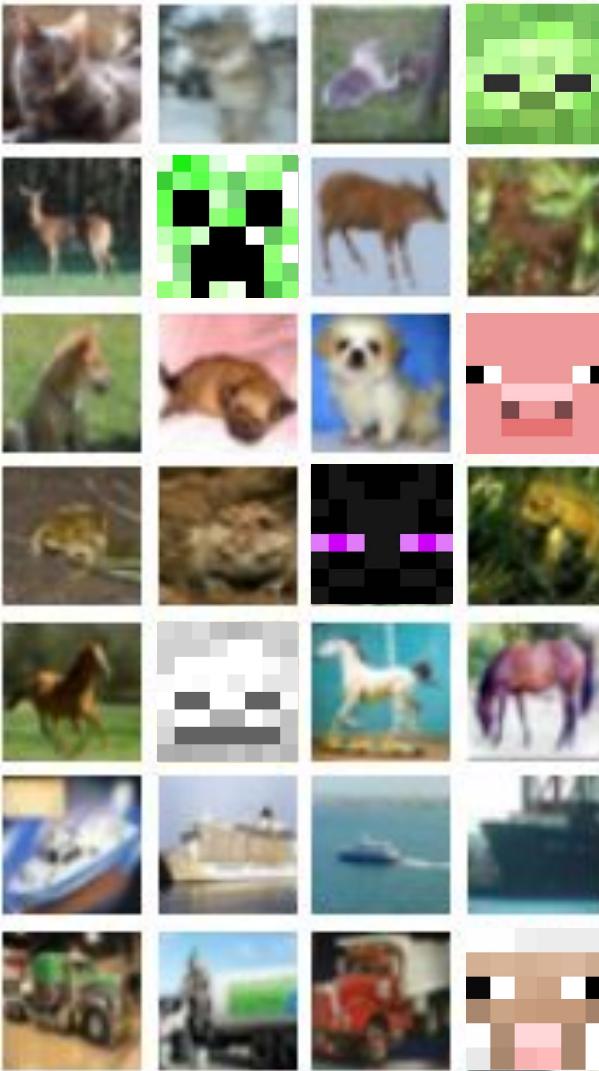
**Artem Alekseev**

Data Science, MS-1

VAE team

Diffusion team

GANGsters team



# Introduction

Motivation for our research, problem statement,  
overview of related works

# Problem

Traditional **supervised** classification approaches limits the scalability and efficiency of neural network training...



**Time-consuming** data collection of labeled images



**Poorly** labeled data



Significant **human efforts** and computational resources

**unlabeled**  
(many samples)

1
2
3
4
5
9

**labeled**  
(few samples)

1	1
2	2

# Problem

Traditional **supervised** classification approaches limits the scalability and efficiency of neural network training...



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**Poorly** labeled data



Significant **human efforts** and computational resources

**unlabeled**  
(many samples)

1
2
3
4
5
9

**labeled**  
(few samples)

1	1
2	2

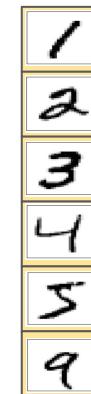
But **how to increase prediction accuracy** if labeled dataset is small?

# Motivation

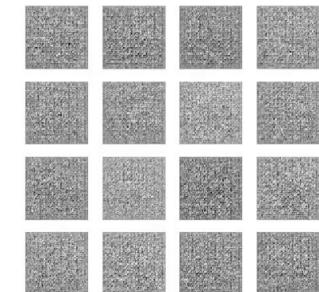
Implement **Generative Models** for Classification Tasks...

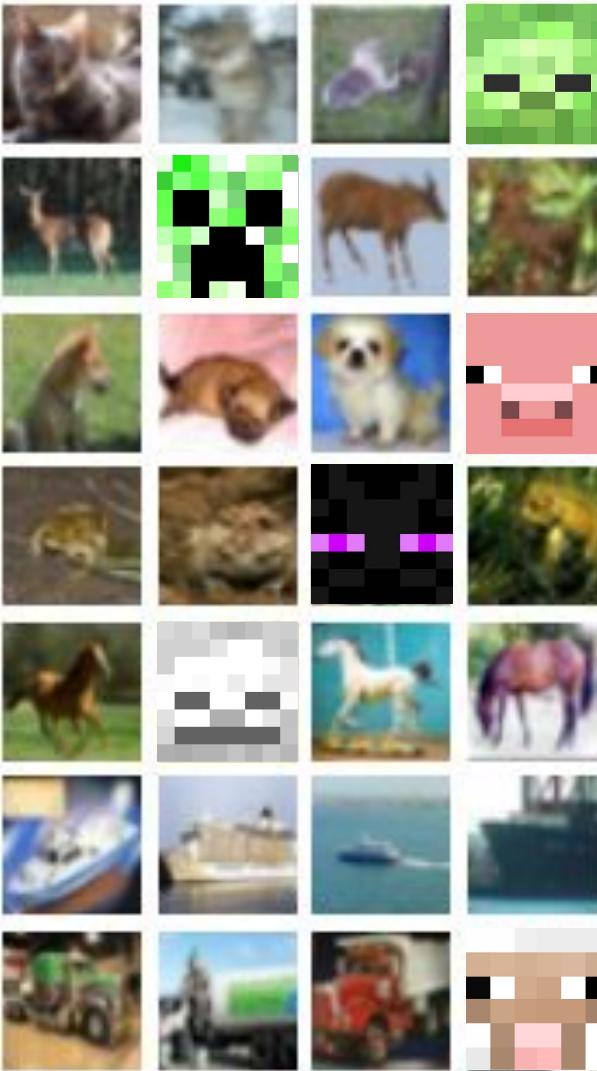
Potential of generative models to  
**alleviate the need for manual  
annotation** by learning feature  
representations directly from  
unlabeled data

**unlabeled dataset**  
(many samples)



Train  
Generative  
Model





# Methods

## *general idea*

idea behind our method and pipeline of the work

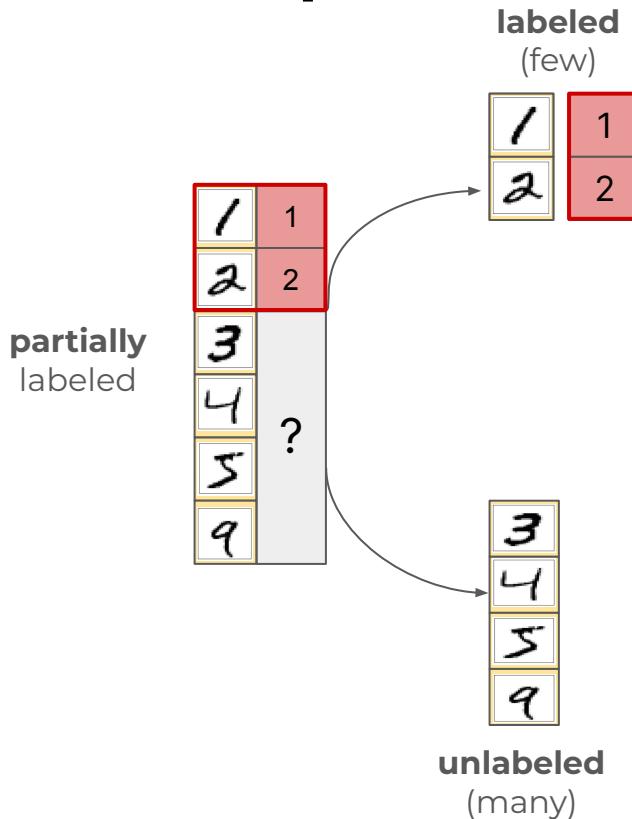
# Concept

partially  
labeled

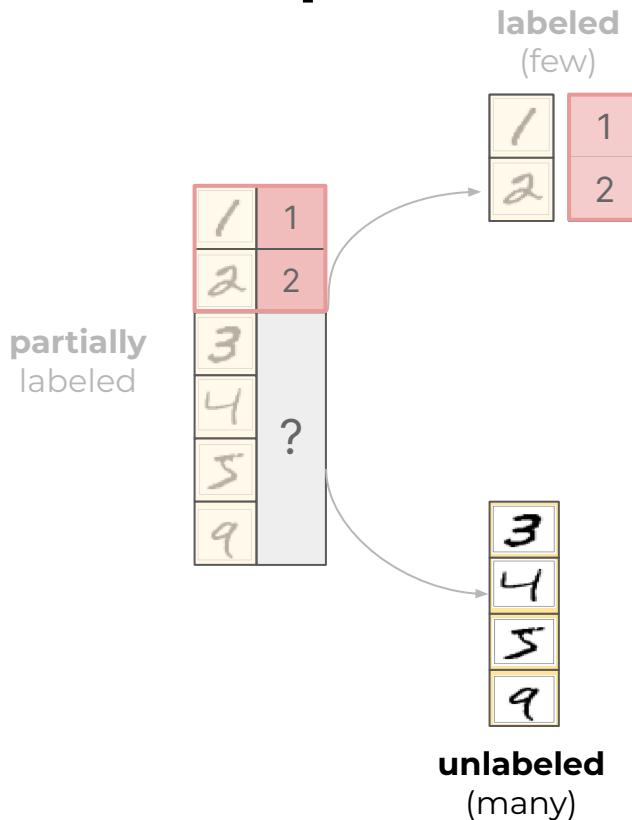
1	1
2	2
3	
4	
5	
9	?

we have a **small labeled** dataset and a **large unlabeled** dataset ...

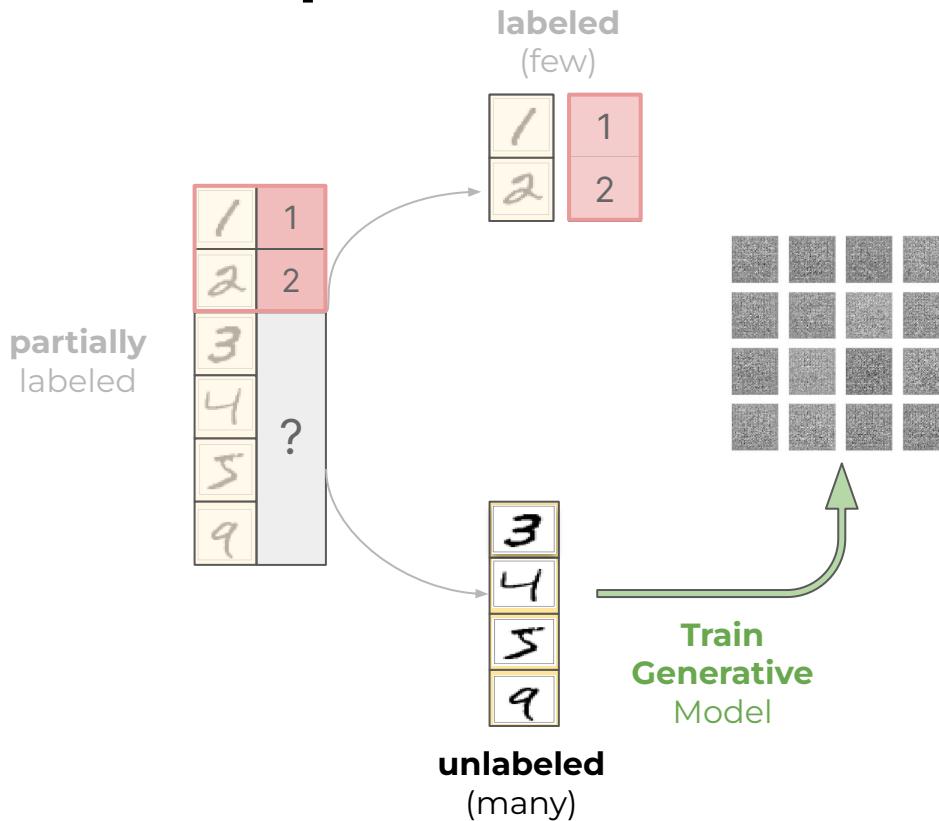
# Concept



# Concept

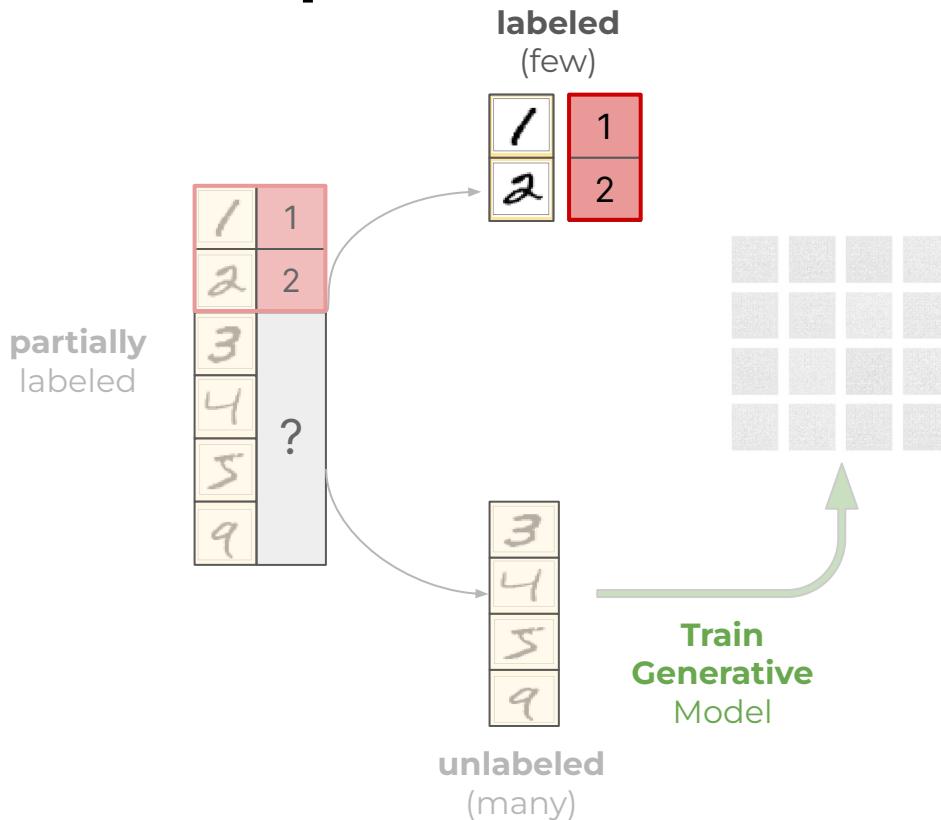


# Concept



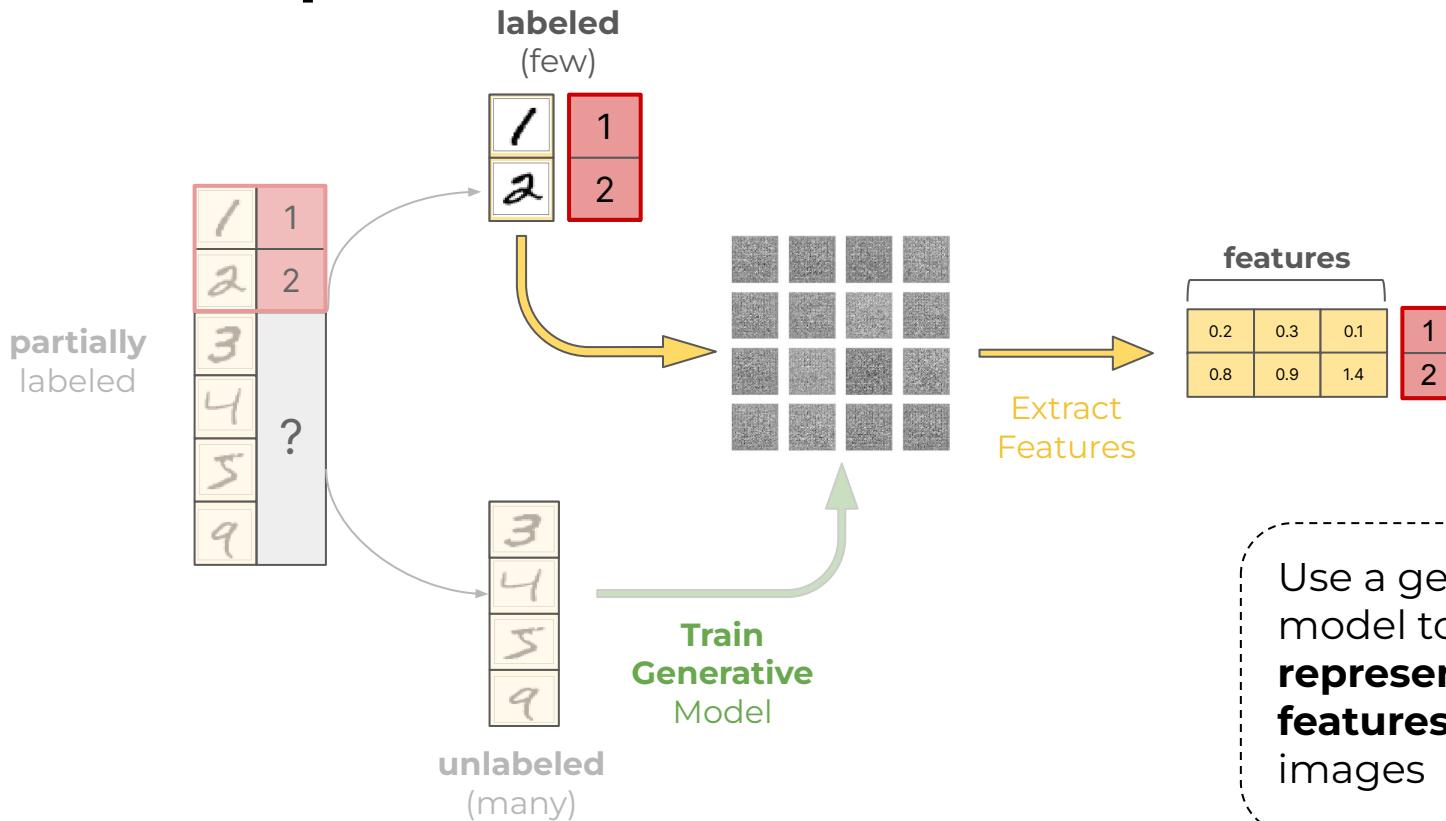
Training a generative model on **unlabeled** data

# Concept



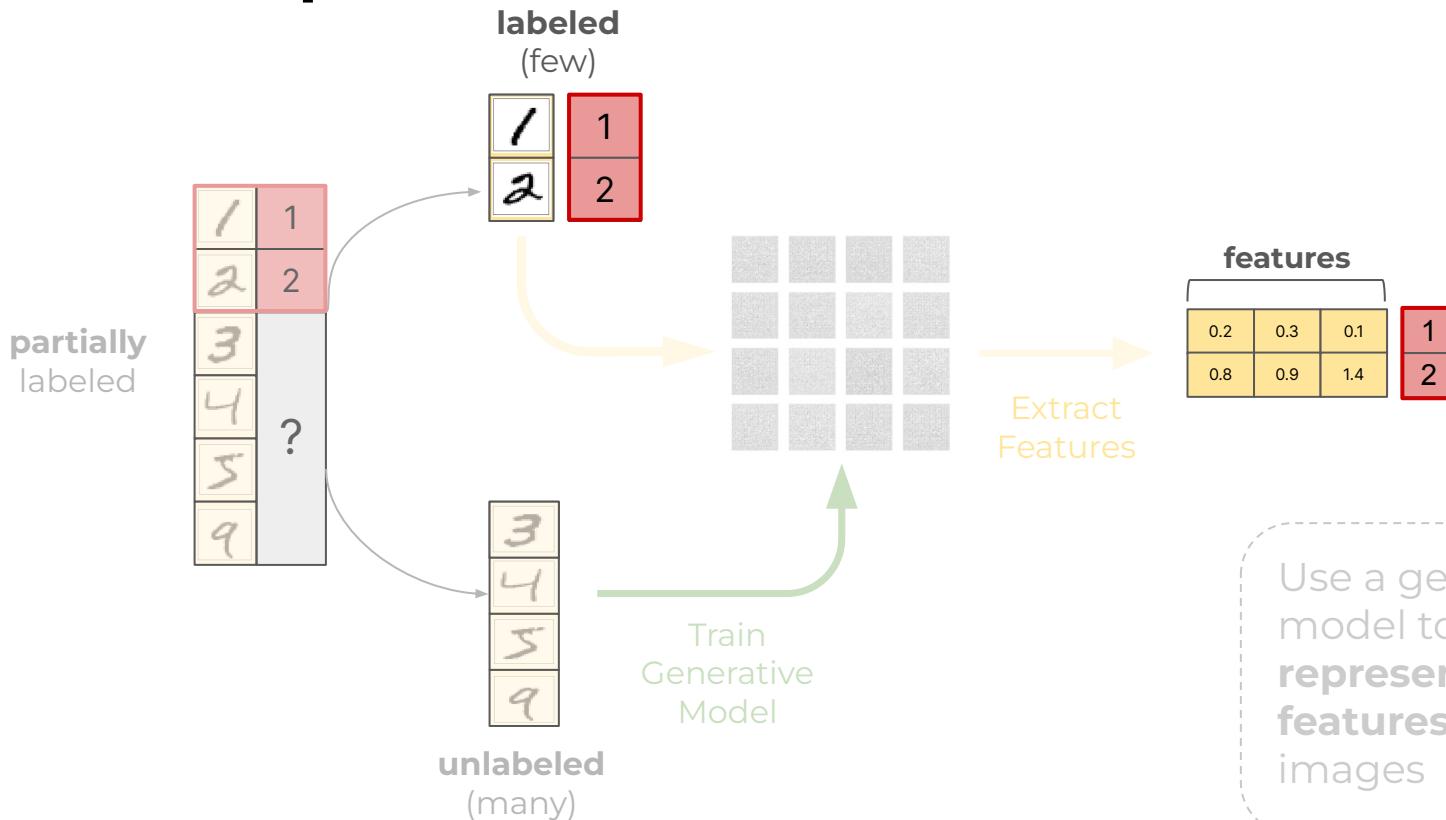
Then we work with  
**small labeled** dataset!

# Concept

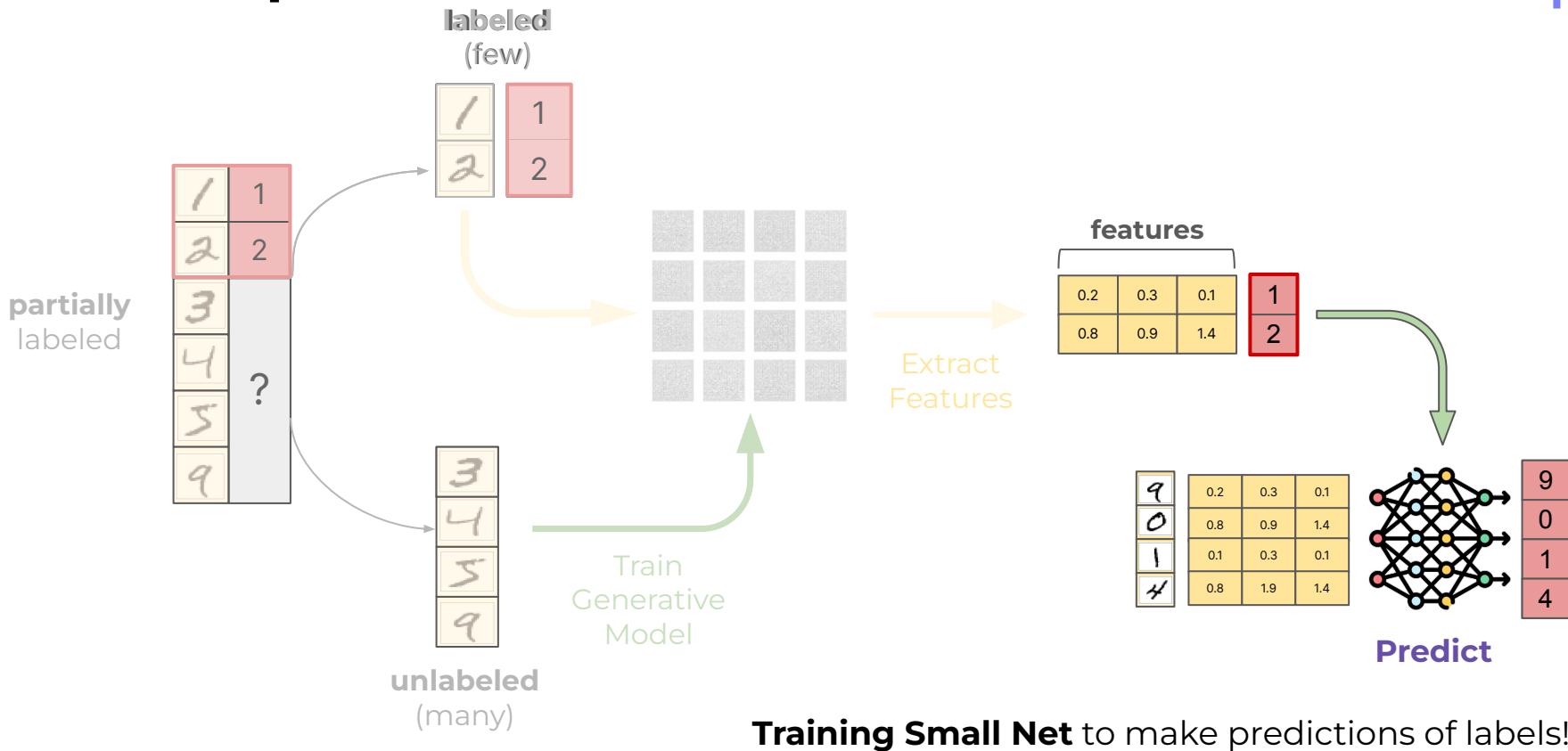


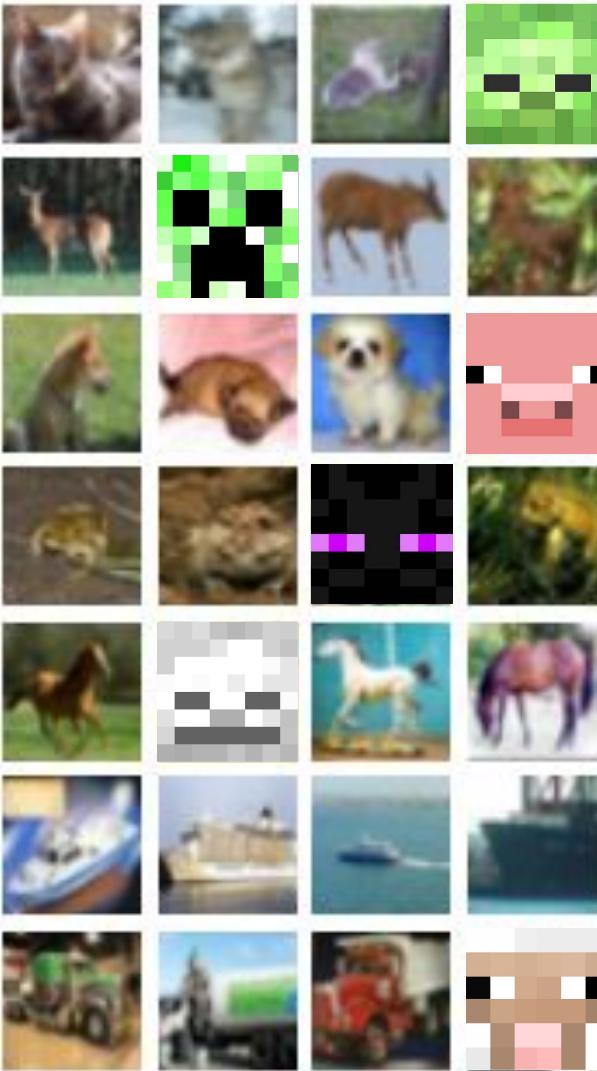
Use a generative model to **extract more representative features** for labeled images

# Concept



# Concept





# Datasets

description of the data used in the following research

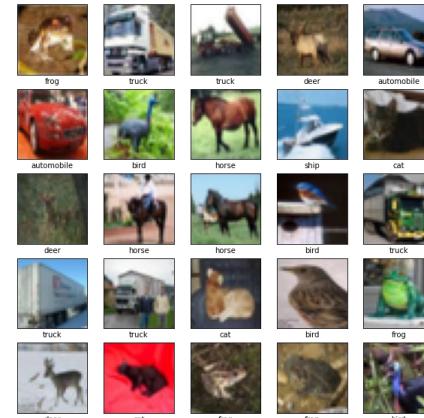
# Datasets

Characteristic of the used data for training

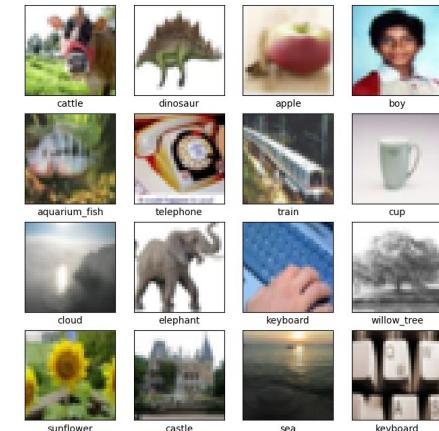
**MNIST**



**CIFAR-10**



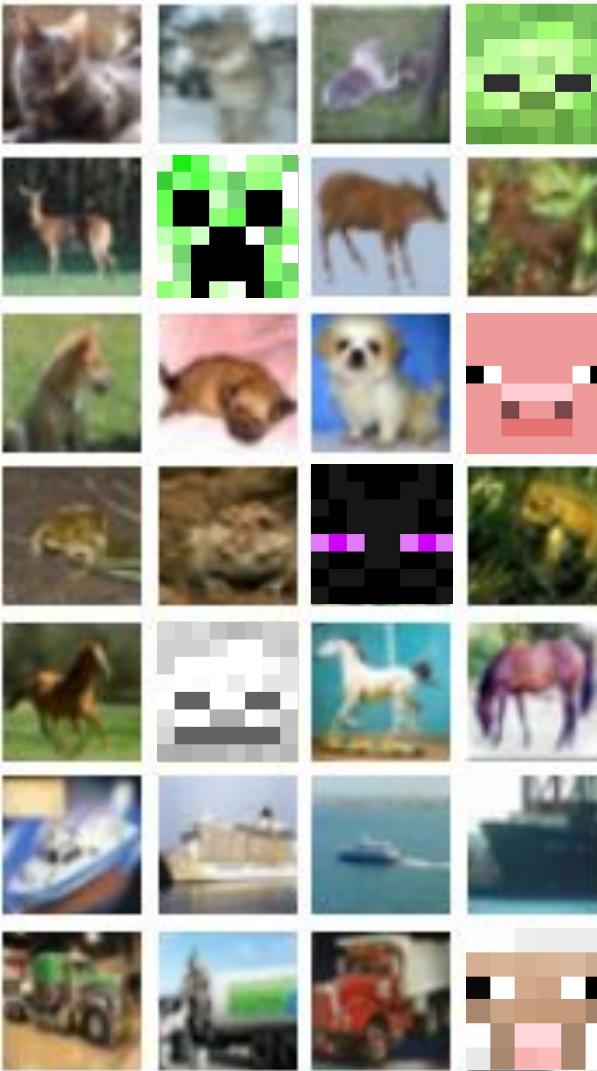
**CIFAR-100**



**Shape:** 28x28  
**Train set:** 60000  
**Test set:** 10000  
**Classes:** 10

**Shape:** 32x32  
**Train set:** 50000  
**Test set:** 10000  
**Classes:** 10

**Shape:** 32x32  
**Train set:** 50000  
**Test set:** 10000  
**Classes:** 100



# Methods

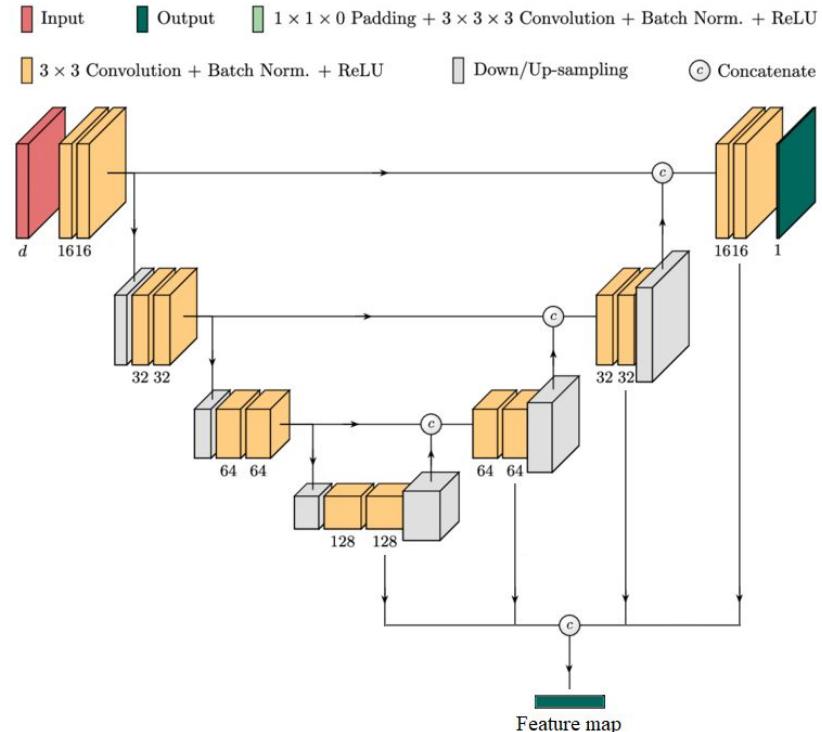
## *feature extraction*

investigation of **generative model architectures**  
and explanation of how we extract features from  
them to train small neural network

# Diffusion Model

How we **extract features from diffusion model** to train on them small neural network for classification:

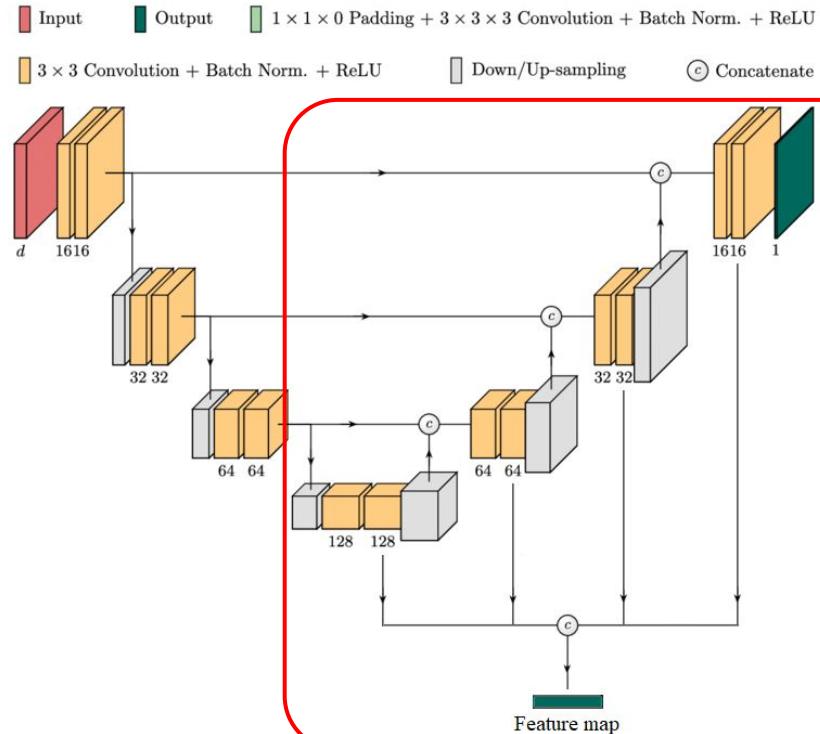
1. As an architecture, **Residual UNet** was used
2. Select **features from last layers** of net and concatenate them in one array



# Diffusion Model

How we **extract features from diffusion model** to train on them small neural network for classification:

1. As an architecture, **Residual UNet** was used
2. Select **features from last layers** of net and concatenate them in one array

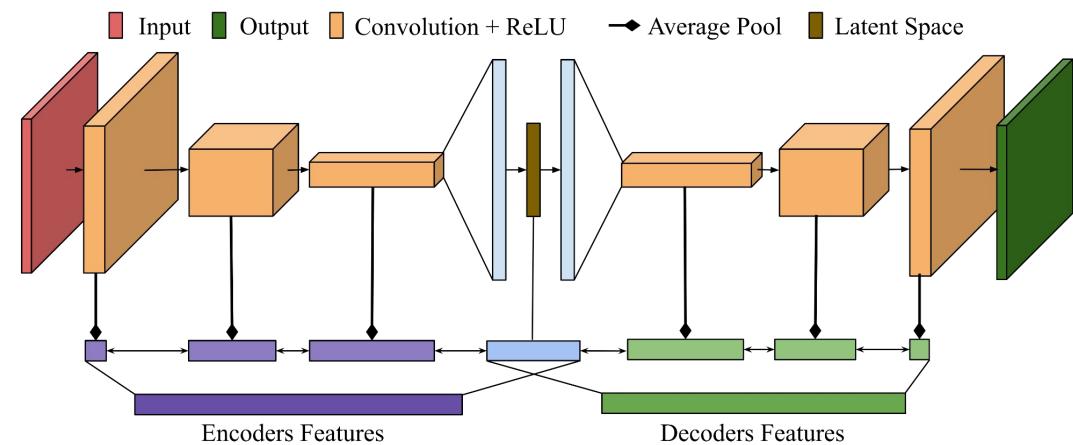


# Variational AutoEncoder

Select **features from different layers** of net and concatenate them in one array

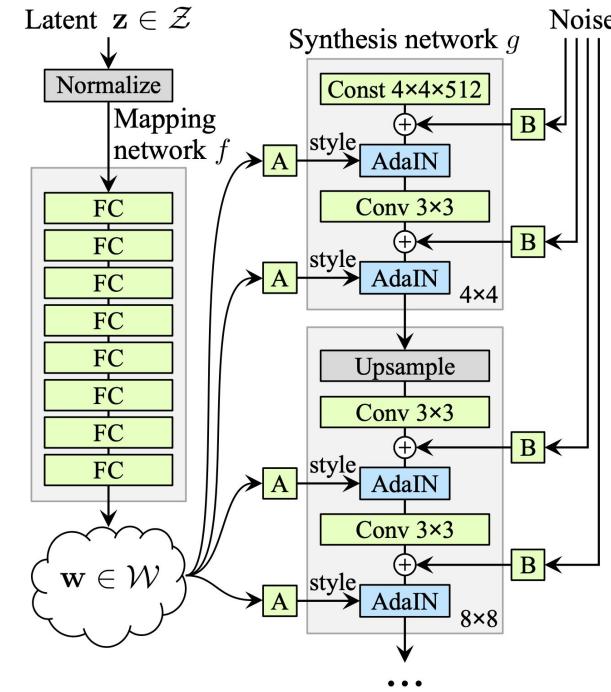
Results in three models:

1. encoder      
2. decoder      
3. stacked      



# Generative Adversarial Network

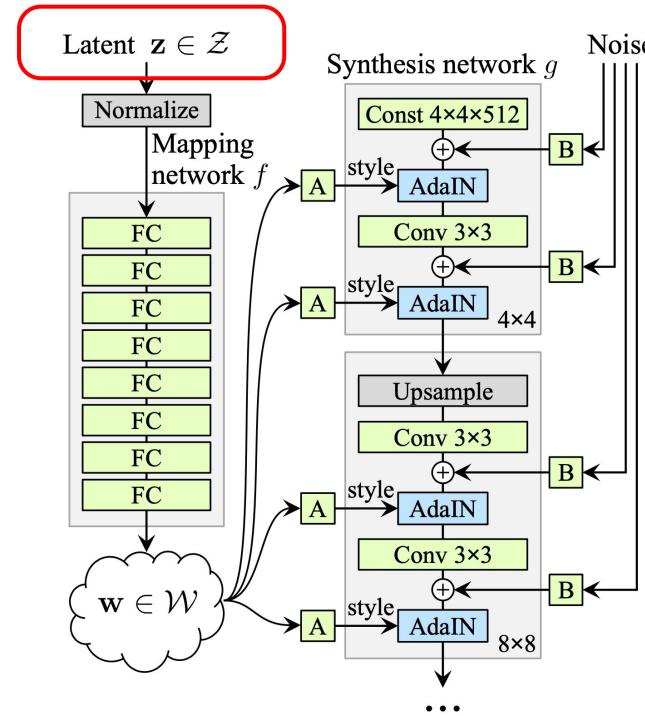
GAN imitation: common GAN is  
**non-invertible**



# Generative Adversarial Network

GAN imitation: common GAN is  
**non-invertible**

can **only generate images from random noise** and cannot extract embeddings from real images

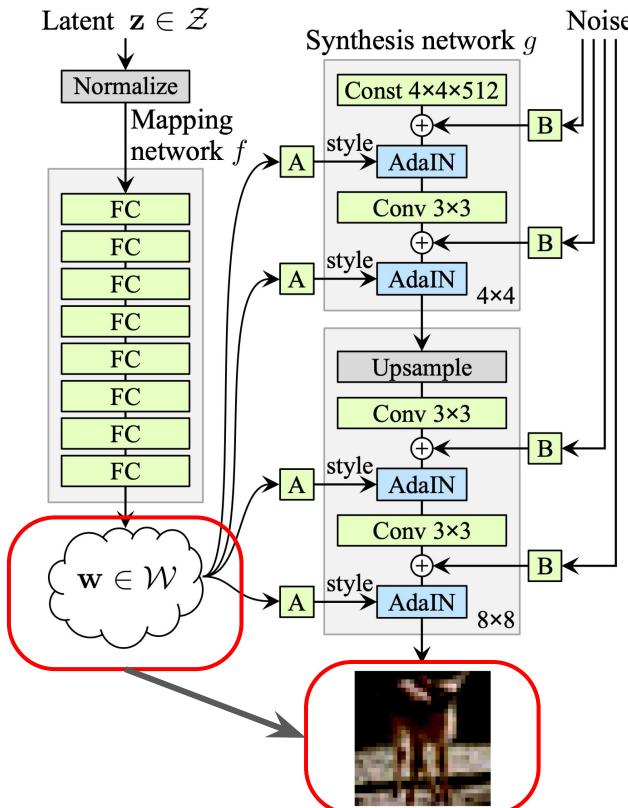


# Generative Adversarial Network

GAN imitation: common GAN is **non-invertible**

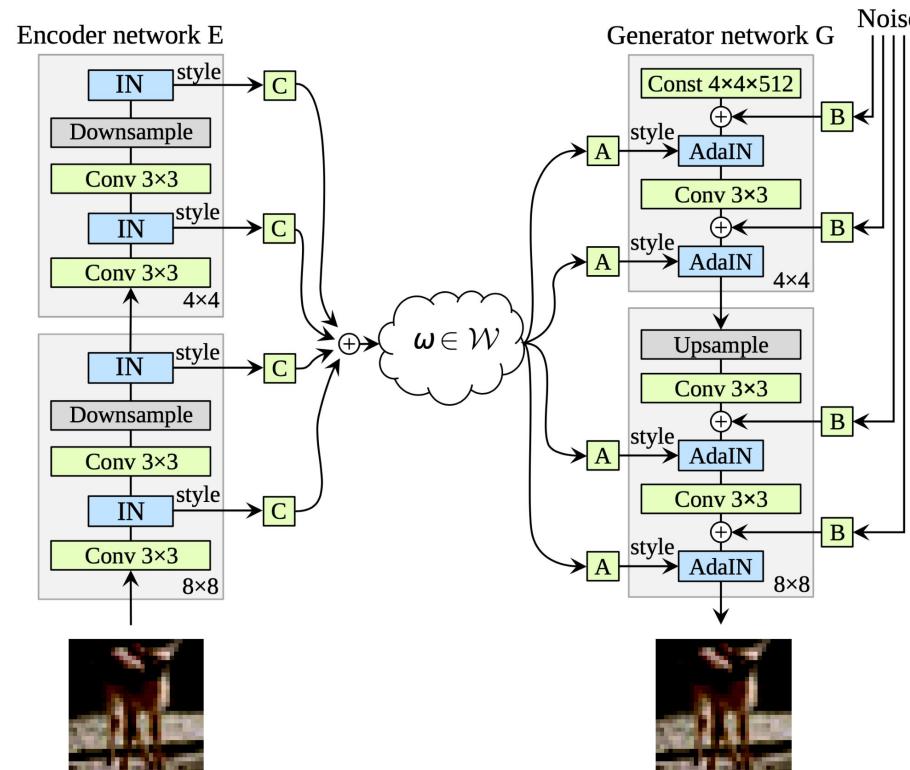
can **only generate images from random noise** and cannot extract embeddings from real images

Vectors from **latent** space!



# Generative Adversarial Network

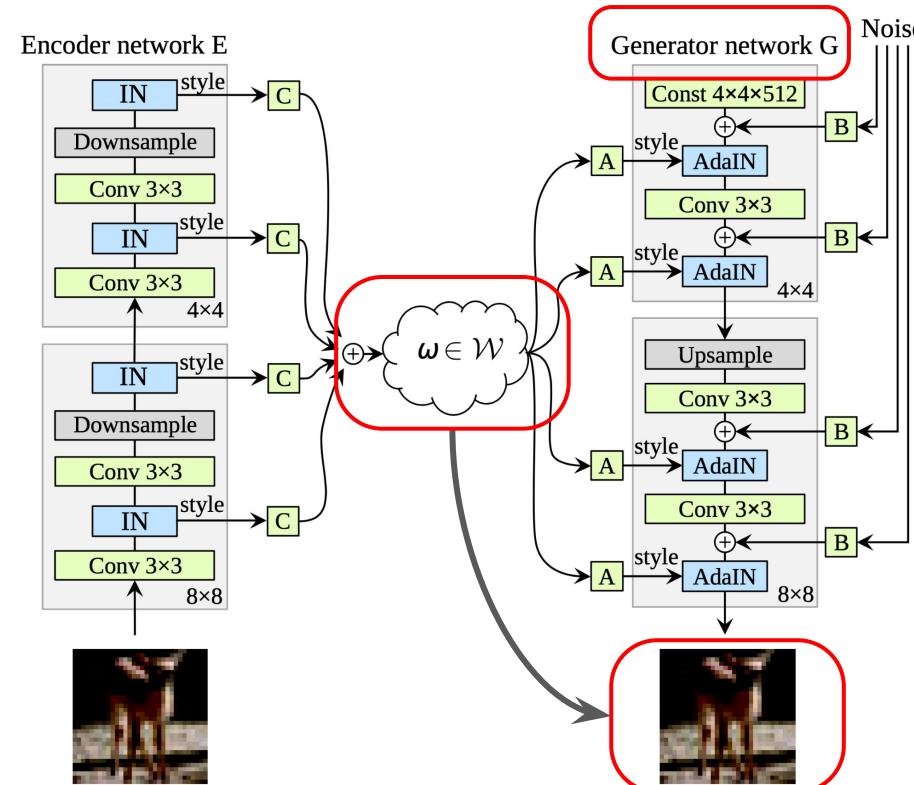
**train an encoder** along with the generator and discriminator!



# Generative Adversarial Network

train an encoder along with the generator and discriminator!

**generator:**  
vector → image

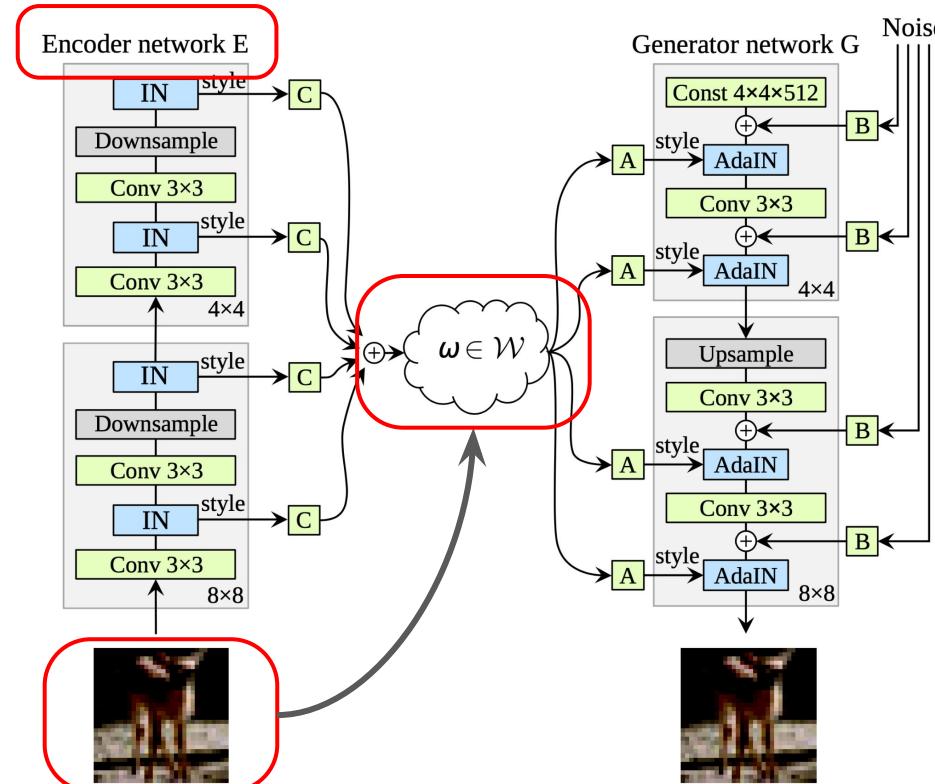


# Generative Adversarial Network

train an encoder along with the generator and discriminator!

**generator:**  
vector → image

**encoder:**  
Image → vector



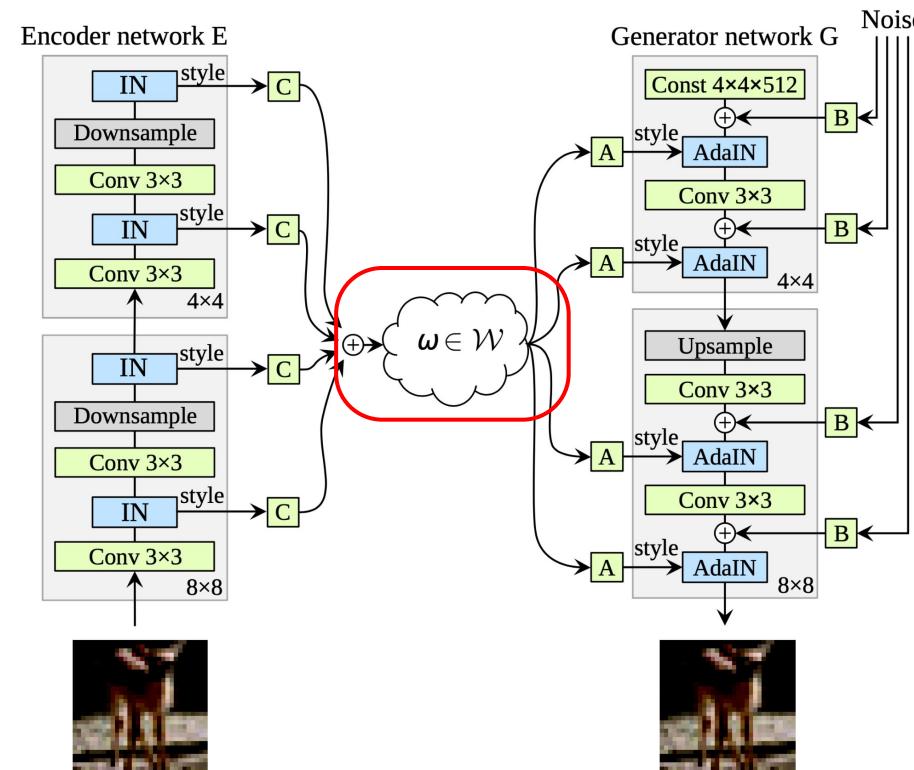
# Generative Adversarial Network

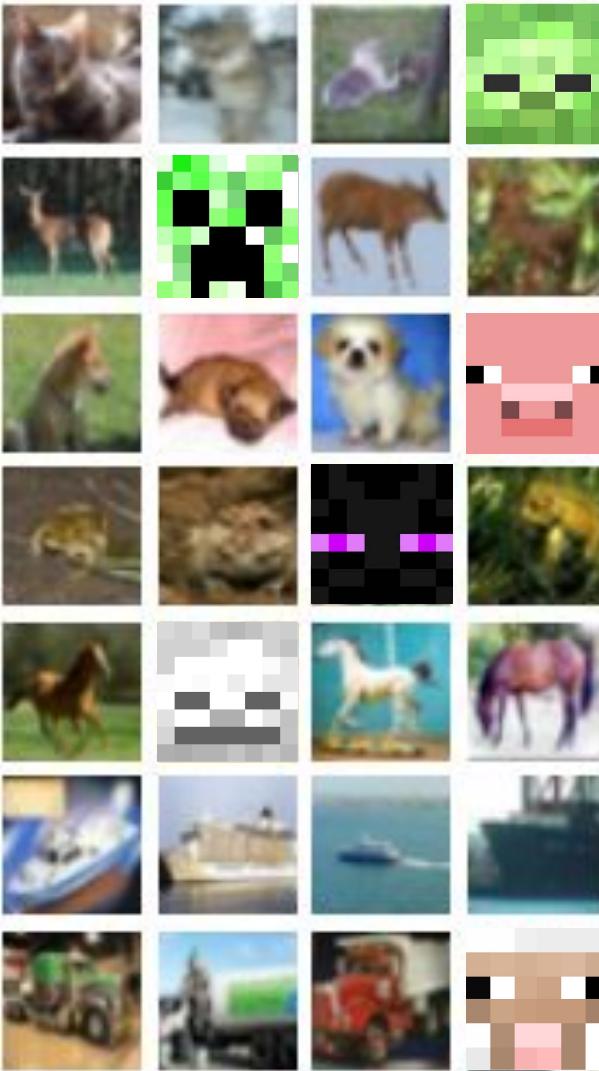
train an encoder along with the generator and discriminator!

**generator:**  
vector → image

**encoder:**  
Image → vector

w = our features!





# Hyperparameters *for diffusion model*

Influence of choice of diffusion noise degree in images on model performance

# Diffusion Model

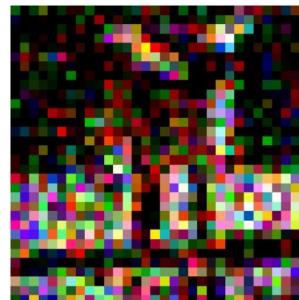
Select features from different steps of forward diffusion process,  
diffusion noise step is a **hyperparameter**



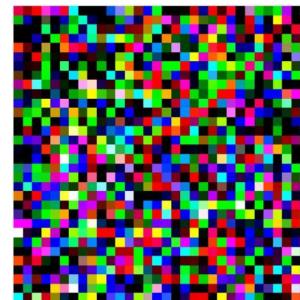
1/1000



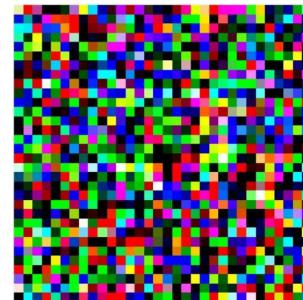
10/1000



100/1000



500/1000



900/1000

**t\_noise**

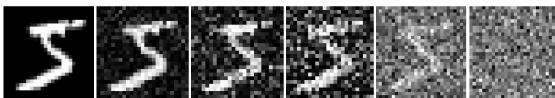
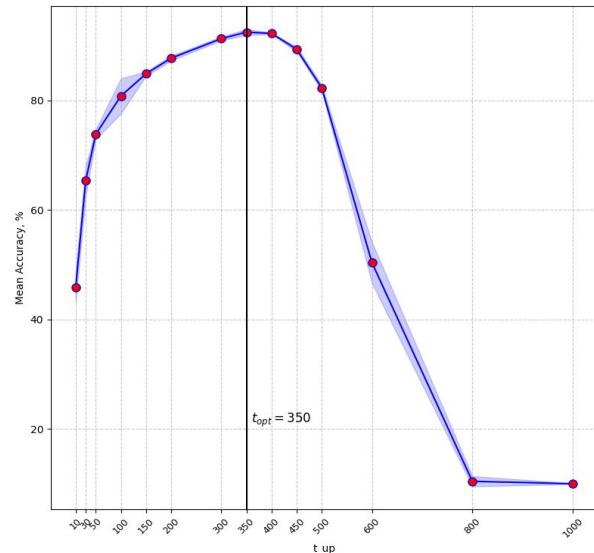
# Diffusion Model

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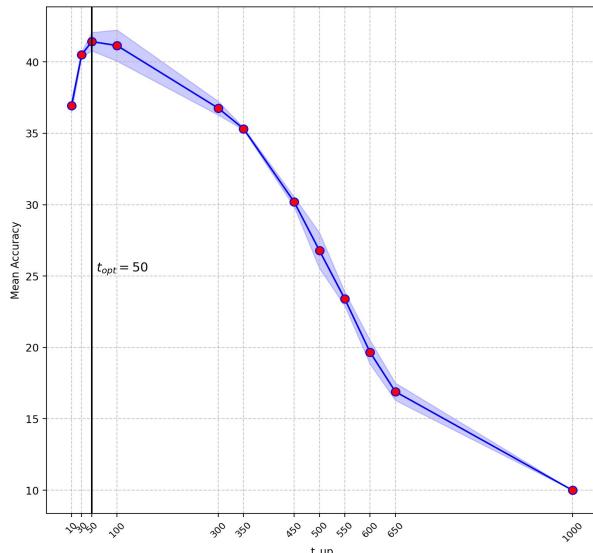
Few Shot Generative Classification

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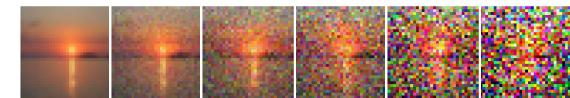
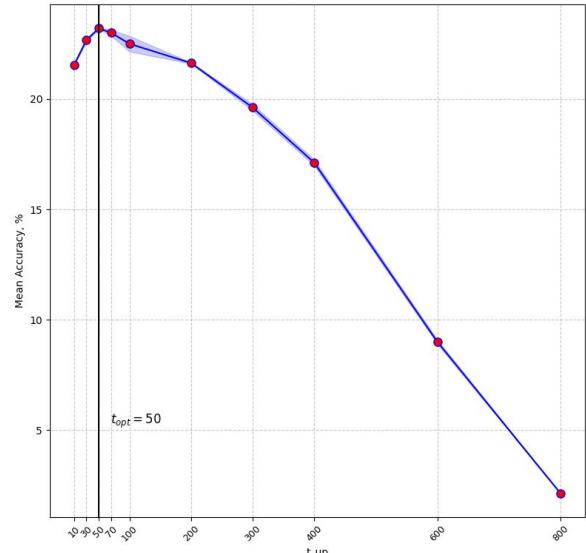
MNIST:  $t_{opt} = 350$



CIFAR-10:  $t_{opt} = 50$

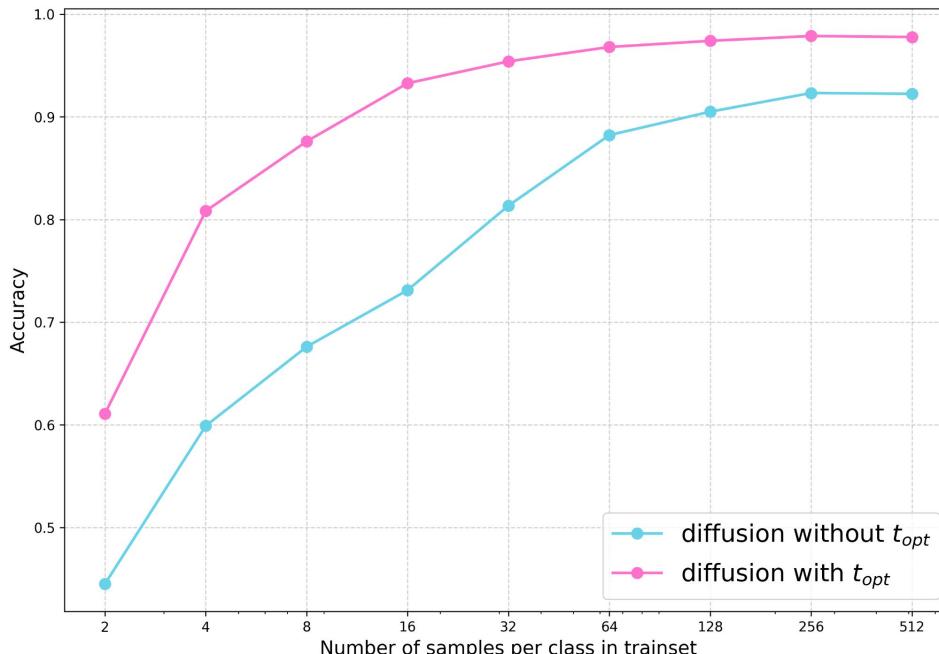


CIFAR-100:  $t_{opt} = 50$



# Diffusion Model

Selecting pictures from the optimal noise step improved the performance of the diffusion model

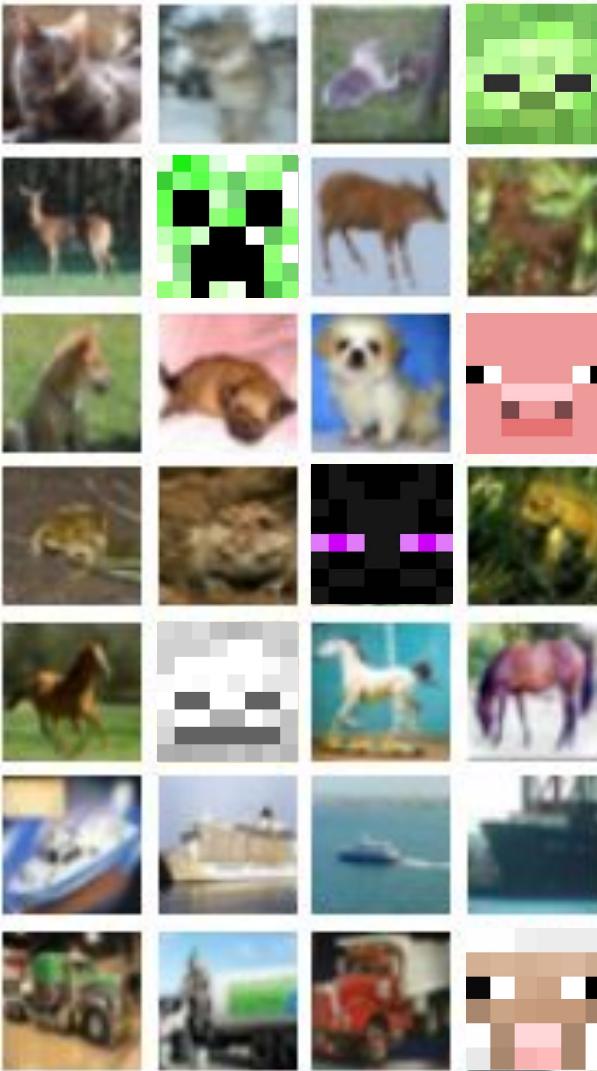


**dataset size = 4**

60% → 81%  
before after

**dataset size = 16**

73% → 92%  
before after



# Results

## MNIST

Diffusion, VAE, GAN models results and comparison of their performance with resnet-18

# Generation quality

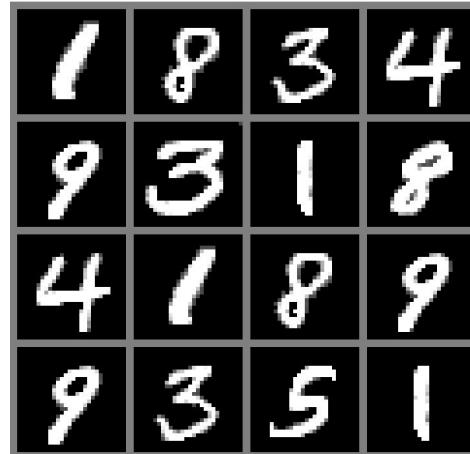
Visual estimation of generation quality, all models were trained on T4 GPU



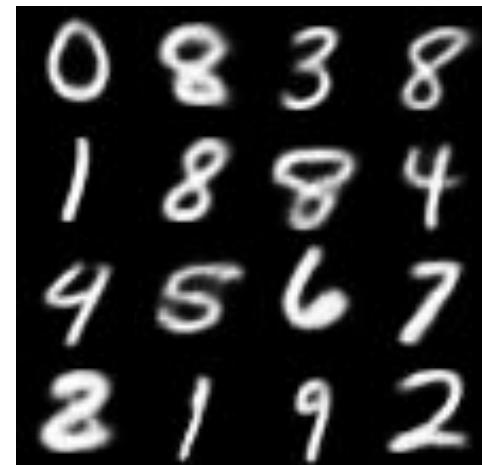
MNIST results

**diffusion**

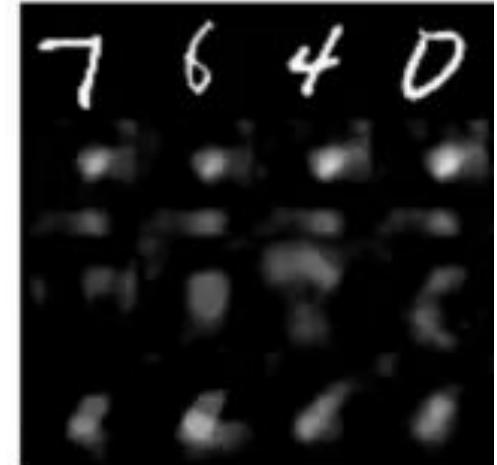
time ~ 40 min

**VAE**

time ~ 5 min

**GAN**

time ~ 5 h



# UMAP projection

Projection of features after training on **unlabeled** dataset, **MNIST dataset**

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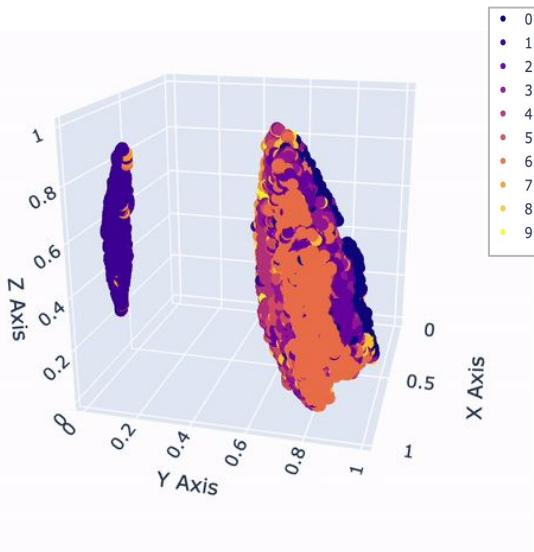
7 6 7 1

MNIST results

19

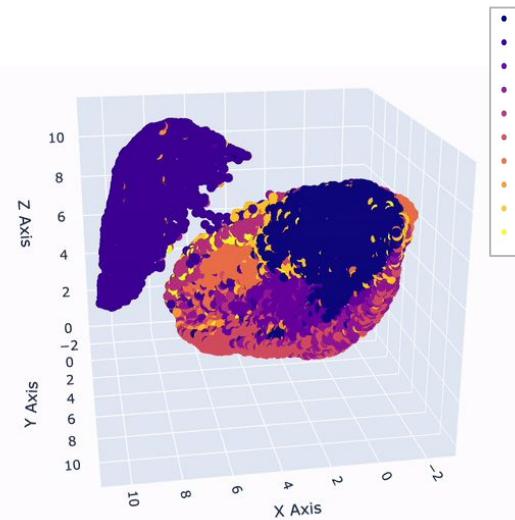
**diffusion**

feature dim = 512



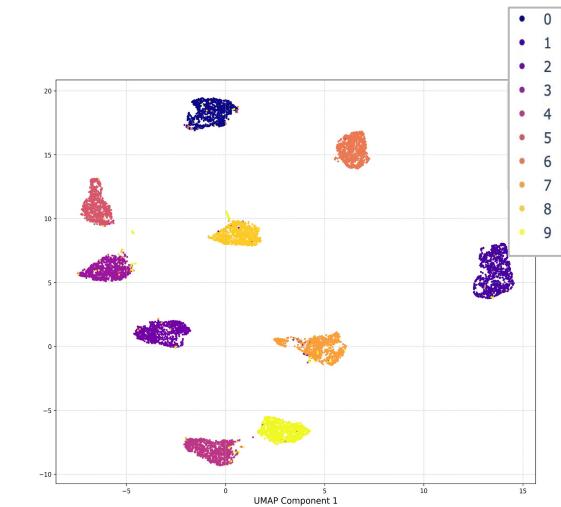
**VAE (encoder)**

feature dim = 236



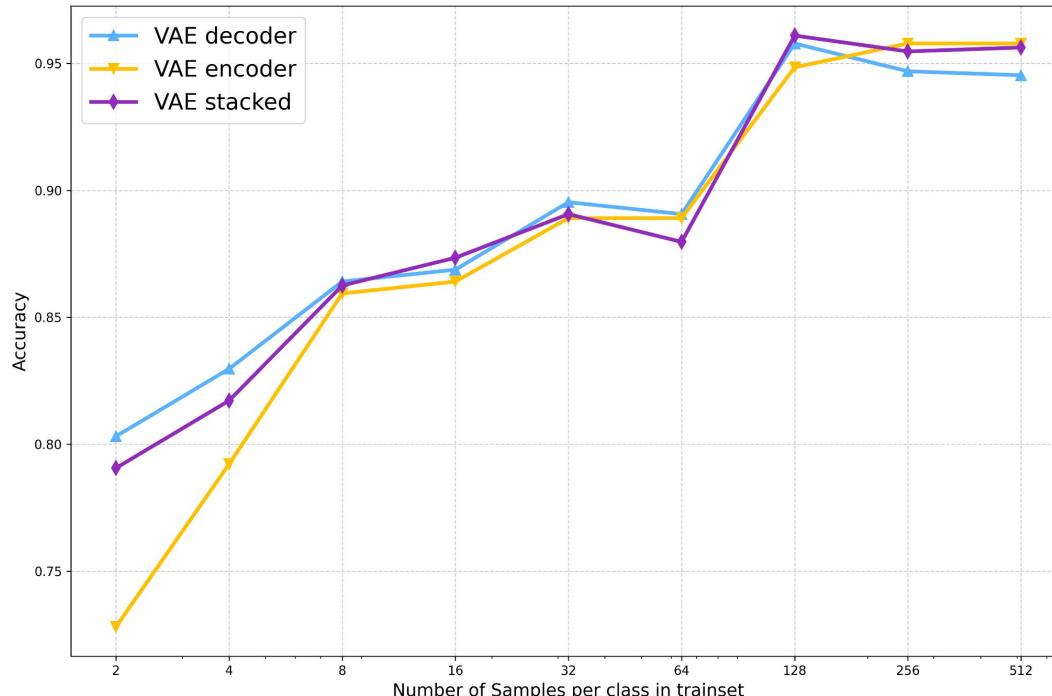
**GAN**

feature dim = 128



# Training Results for MNIST

Three different ways of feature extraction from VAE are compared:



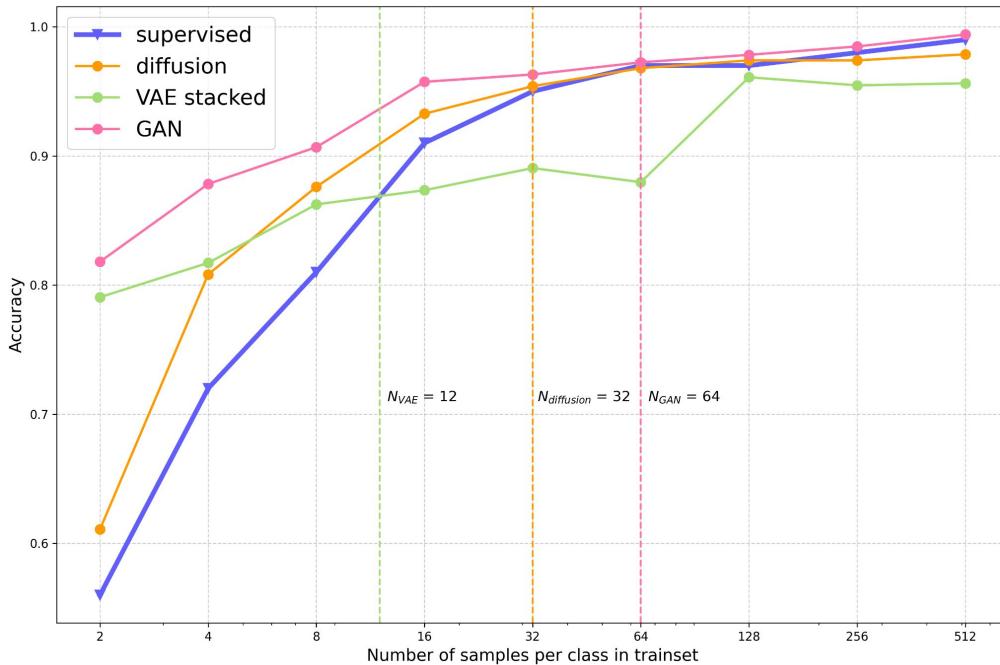
1. there is practically **no difference** in which way to extract features
2. “**stacked**” method is slightly better

# Training Results for MNIST

Training a **nonlinear** (Linear+ReLU+Linear) model on features extracted from generative models

Team #19  
7 6 7 1  
MNIST results

21



dataset sizes at which our models outperform ResNet-18:

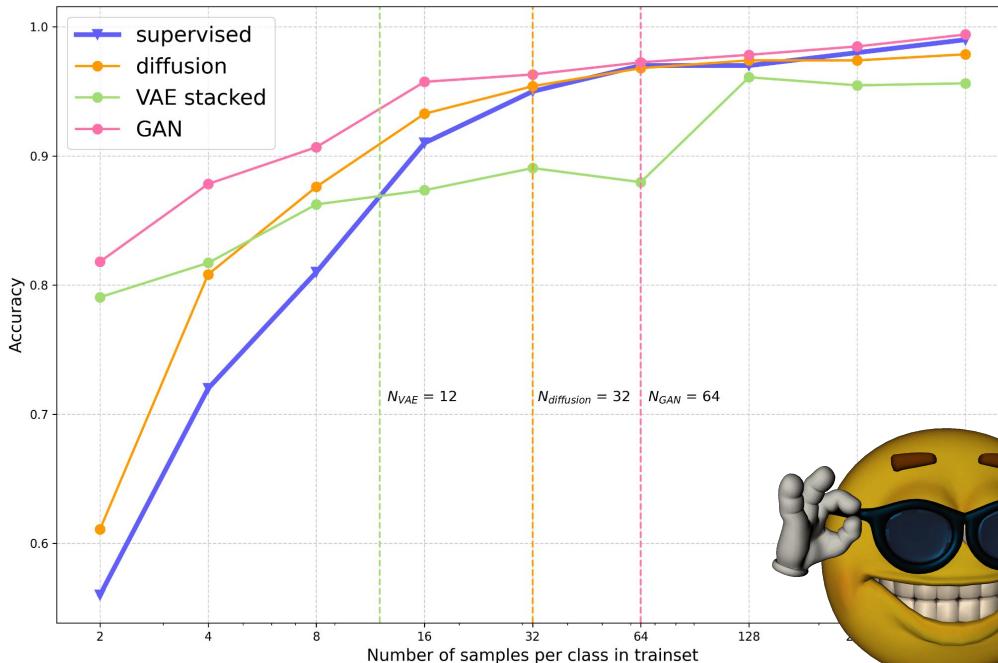
- $N_{GAN} \leq 64$
- $N_{diffusion} \leq 32$
- $N_{VAE} \leq 12$

# Training Results for MNIST

Training a **nonlinear** (Linear+ReLU+Linear) model on features extracted from generative models

Team #19  
7 6 7 1  
MNIST results

21

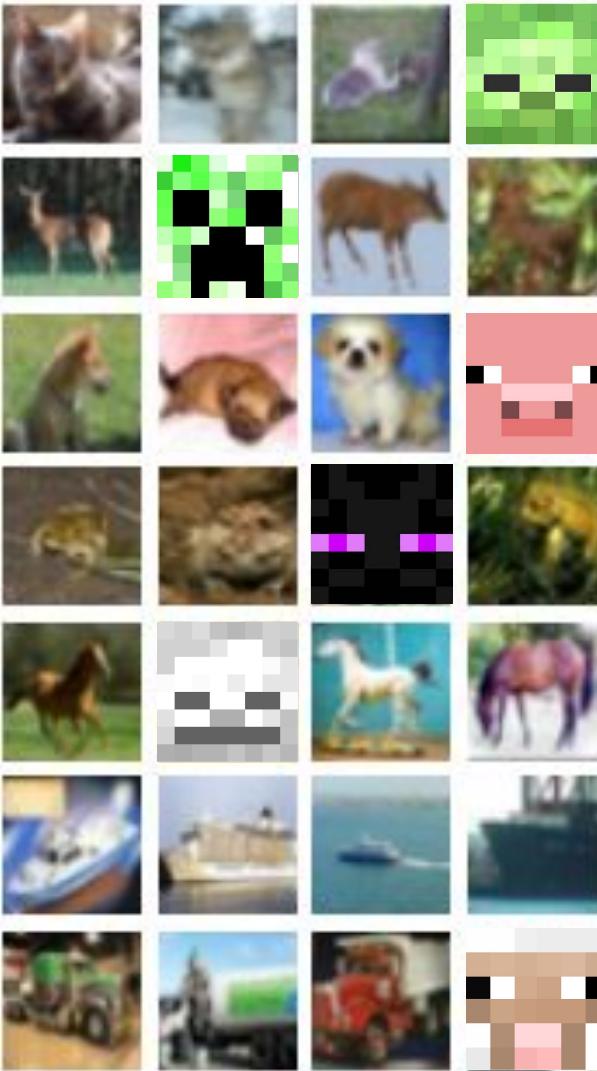


dataset sizes at which our models outperform ResNet-18:

- $N_{GAN} \leq 64$
- $N_{diffusion} \leq 32$
- $N_{VAE} \leq 12$

**GAN is true  
GANster**





# Results

## *CIFAR-10*

Diffusion, VAE, GAN models results and comparison of their performance with resnet-18

# Generation quality

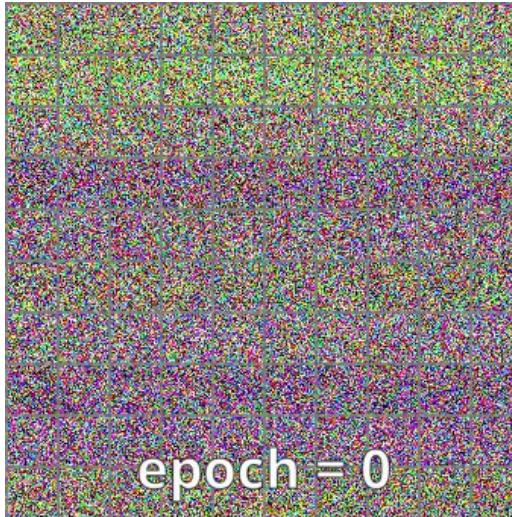
Visual estimation of generation quality



23

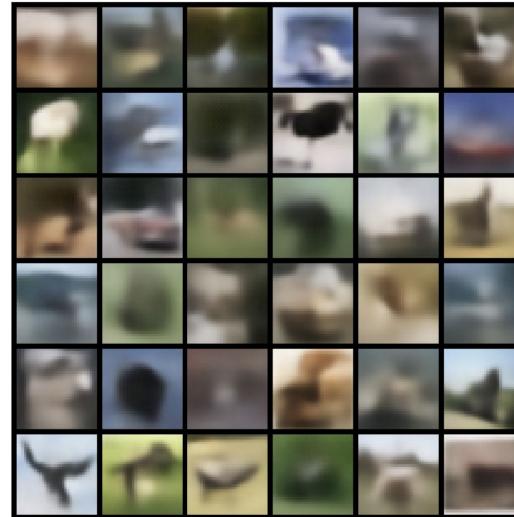
**diffusion**

time = 2h 30 min



**VAE**

time = 10 min



**GAN**

time = 3 h, A100!



# UMAP projection

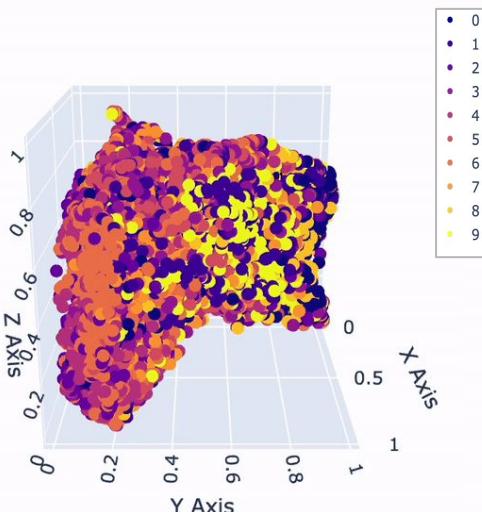


24

Projection of features after training on **unlabeled** dataset, **CIFAR-10 dataset**

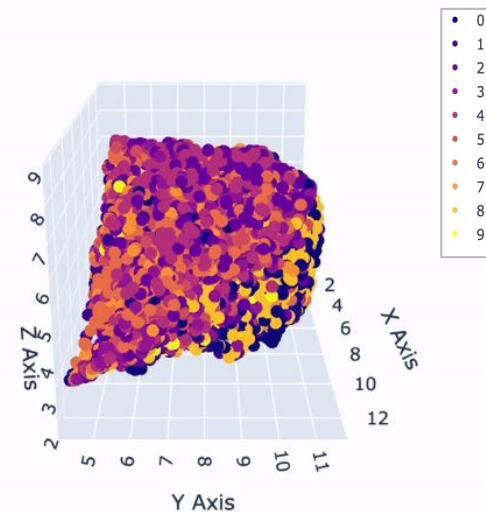
**diffusion**

feature dim = 512



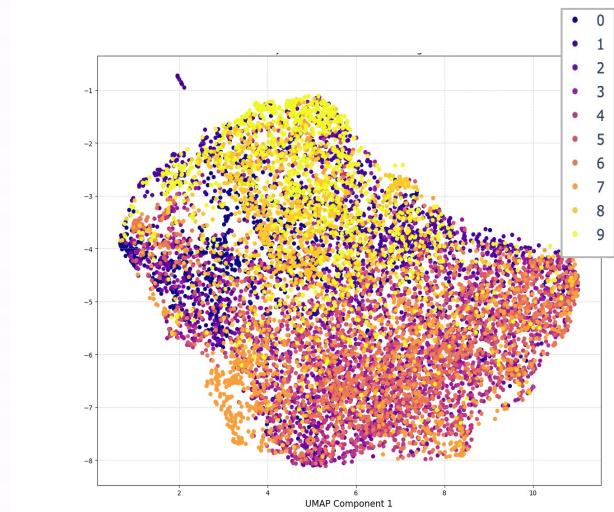
**VQ-VAE (encoder)**

feature dim = 1020

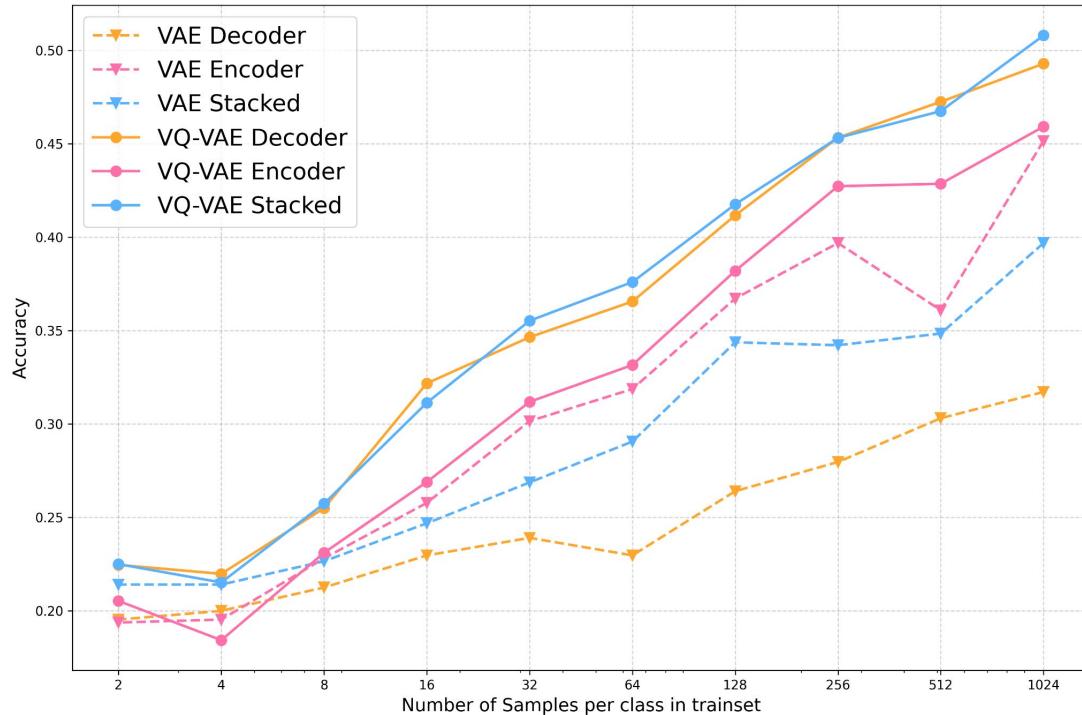


**GAN**

feature dim = 128



# Training Results for CIFAR-100



VAE performed poorly on the CIFAR-10 dataset, so we tried the stronger **VQ-VAE model**

**dataset size = 16**

26% → 33%

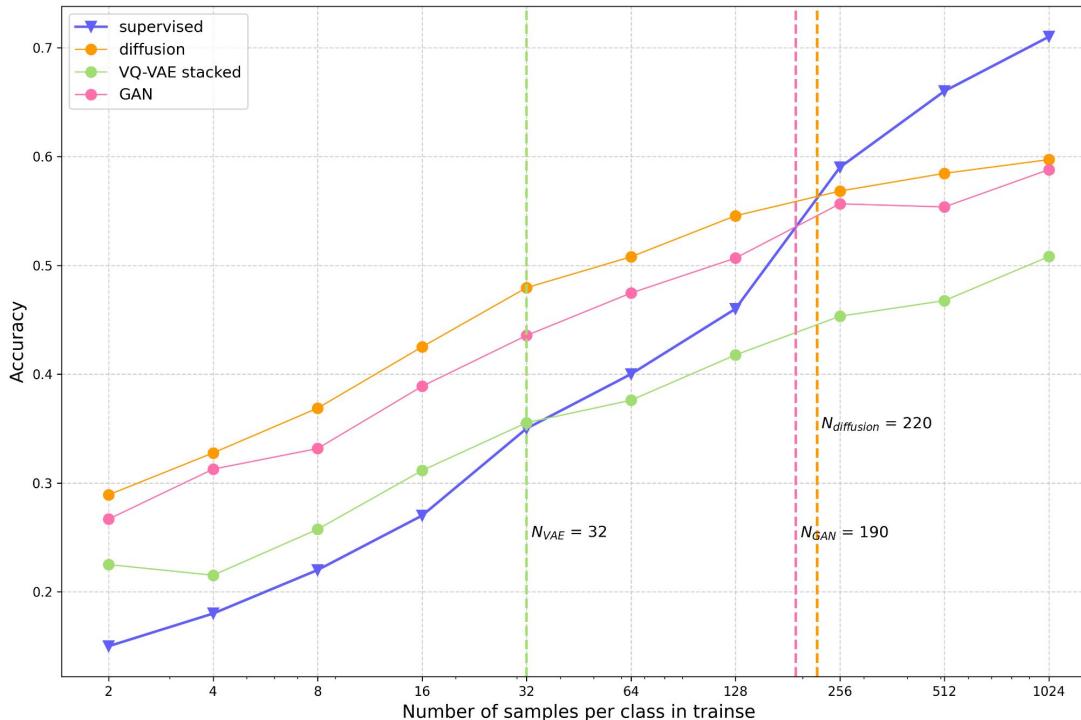
VAE      VQ-VAE

# Training Results for CIFAR-10



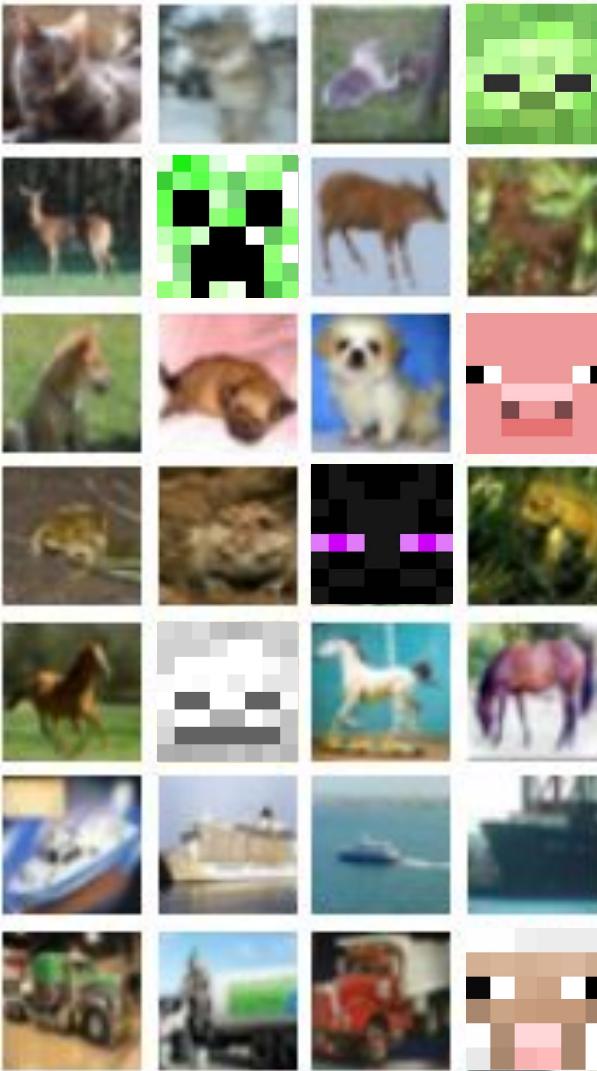
26

Training a **nonlinear** (Linear+ReLU+Linear) model on features extracted from generative models



dataset sizes at which the model outperforms ResNet-18

- $N_{\text{diffusion}} \leqslant 220$
- $N_{\text{GAN}} \leqslant 190$
- $N_{\text{VQ-VAE}} \leqslant 32$



# Results

## *CIFAR-100*

Diffusion, VAE, GAN models results and comparison of their performance with resnet-18

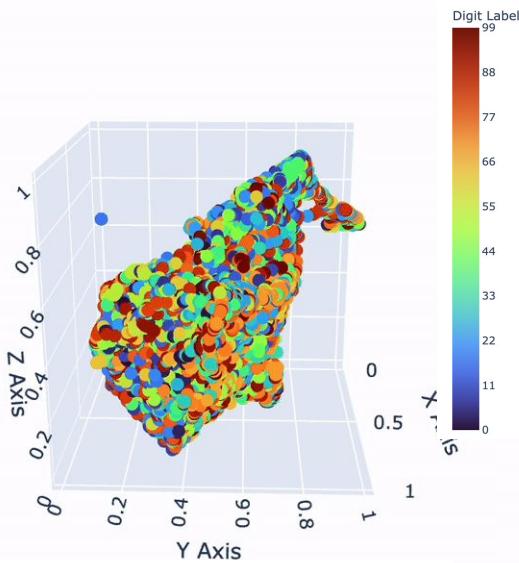
# UMAP projection

Projection of features after training on **unlabeled** dataset, **CIFAR-100 dataset**

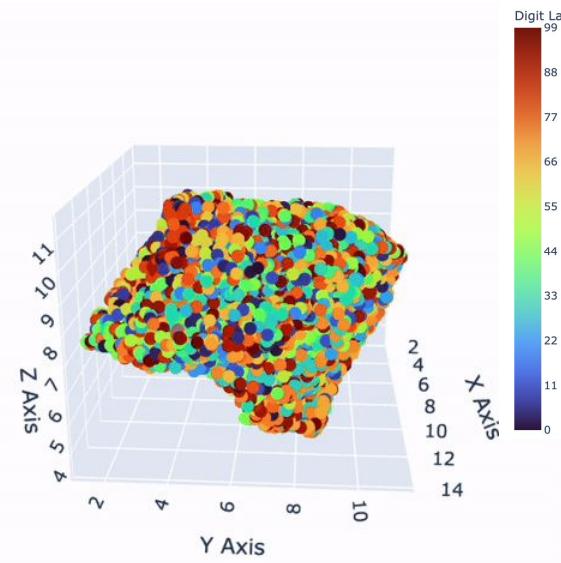


28

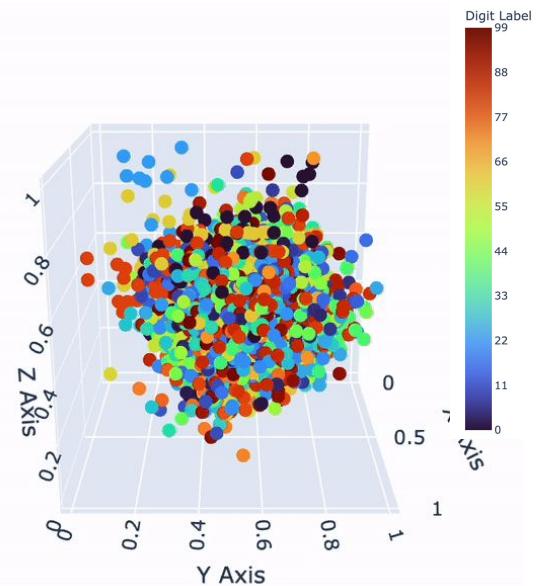
**diffusion**  
feature dim = 512



**VQ-VAE (stacked)**  
feature dim = 1020



**GAN**  
feature dim = 128

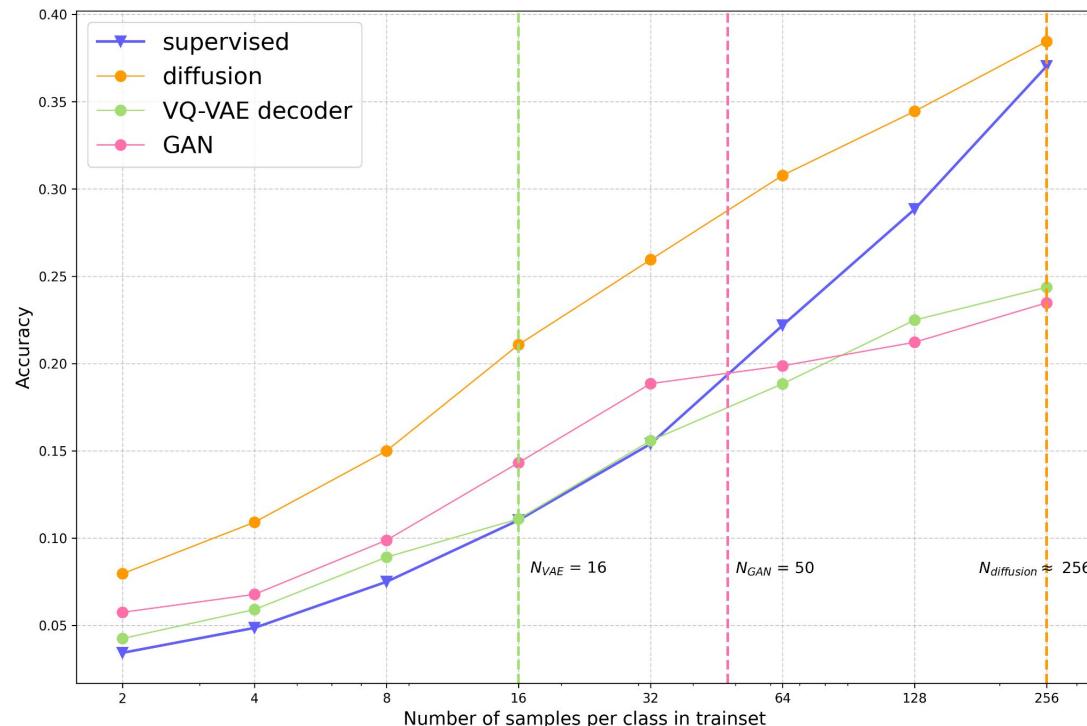


# Training Results for CIFAR-100



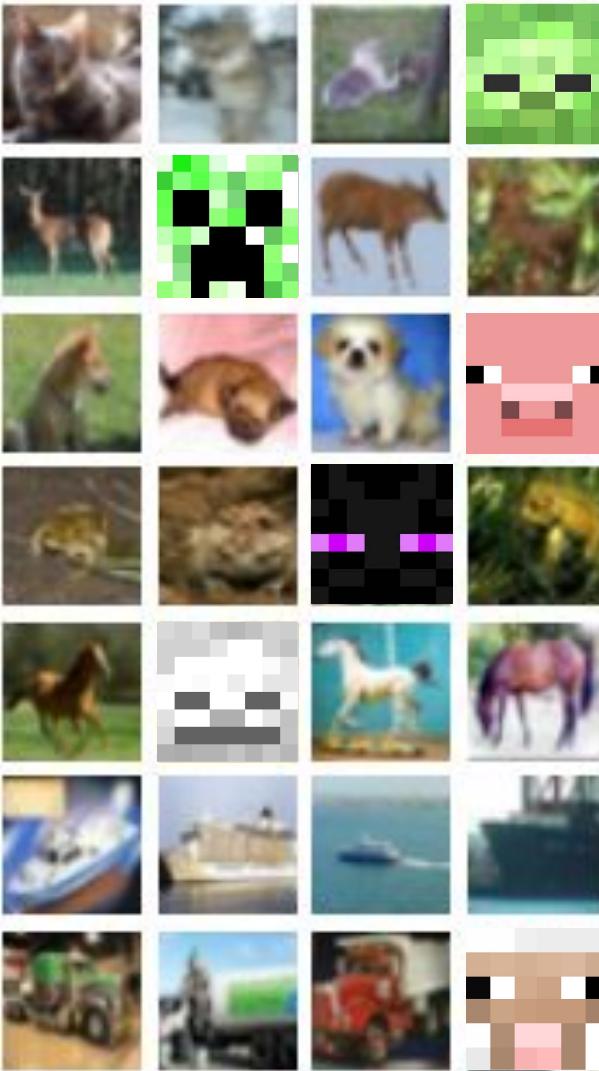
29

Training a **nonlinear** (Linear+ReLU+Linear) model on features extracted from generative models



dataset sizes at which the model outperforms ResNet-18

- $N_{diffusion} \lesssim 256$
- $N_{GAN} \leqslant 50$
- $N_{VQ\text{-}VAE} \leqslant 16$



# Conclusion

Main results of our research

# Main Results

	trainset size per class	ResNet-18 <i>baseline</i>	diffusion	VQ-VAE/VAE	GAN
 <b>MNIST</b>	2	56%	32%	80%	<u>82%</u>
	4	72%	36%	83%	<u>88%</u>
	8	81%	36%	86%	<u>90%</u>
 <b>CIFAR-10</b>	2	15%	<u>29%</u>	22%	27%
	4	18%	<u>33%</u>	22%	31%
	128	46%	<u>54%</u>	42%	51%
 <b>CIFAR-100</b>	2	3%	<u>8%</u>	4%	6%
	8	8%	<u>15%</u>	9%	10%
	16	11%	<u>21%</u>	11%	14%

# Questions?



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**Data Science**



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**Ignat Melnikov**

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**Data Science**



**Viktoria Zinkovich**

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**Data Science**



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[Kamil.Garifullin@skoltech.ru](mailto:Kamil.Garifullin@skoltech.ru)

**Data Science**

## Generative models in papers

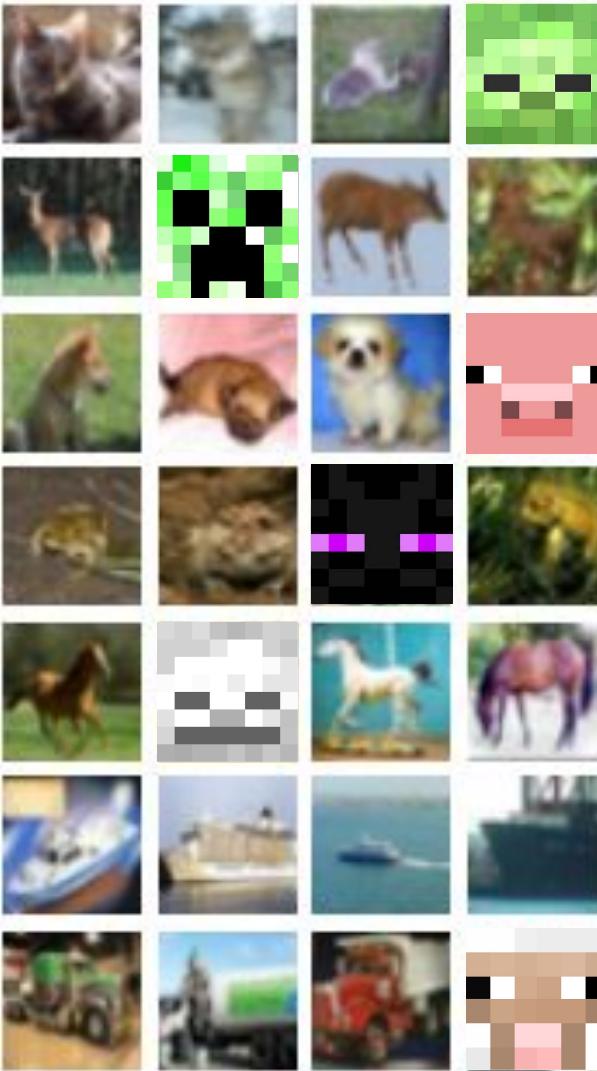


## Our generative models



## References:

- [1] **The Unreasonable Effectiveness of Deep Features as a Perceptual Metric.** R. Zhang, P. Isola, A.A. Efros, E. Shechtman, O. Wang. 2018.
- [2] **Structured Denoising Diffusion Models in Discrete State-Spaces.** J. Austin, D.D. Johnson, J. Ho, D. Tarlow, R. van den Berg. 2023.
- [3] **DatasetGAN: Efficient labeled data factory with minimal human effort,** Zhang, Y., Ling, H., Gao, J., Yin, K., Lafleche, J. F., Barriuso, A., Fidler, S. 2021.



# Appendix

Forward diffusion process

# Evolution of the project over two courses: ML and DL

Team #19

Few Shot Generative  
Classification

1

## ML 2024

### Course

→ **MNIST:**

- ✓ VAE
- ✗ VQ-VAE
- ✗ Diffusion
- ✗ GAN

→ **CIFAR10:**

- ✓ VAE
- ✓ Diffusion
- ✗ VQ-VAE
- ✗ GAN

→ **CIFAR100:**

- ✗ VAE
- ✗ VQ-VAE
- ✗ Diffusion
- ✗ GAN

## DL 2024

### Course

# Evolution of the project over two courses: ML and DL

Team #19

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## ML 2024 Course

### → MNIST:

- ✓ VAE
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- ✗ Diffusion
- ✗ GAN



### → CIFAR10:

- ✓ VAE
- ✓ Diffusion
- ✗ VQ-VAE
- ✗ GAN

### → CIFAR100:

- ✗ VQ-VAE
- ✗ Diffusion
- ✗ GAN

## DL 2024 Course

### → MNIST:

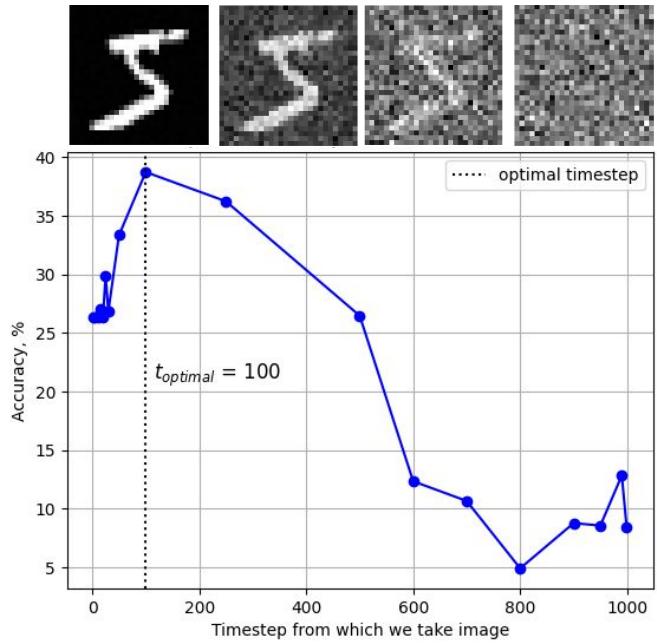
- ✓ VAE
- ✓ VQ-VAE
- ✓ Diffusion
- ✓ GAN

### → CIFAR10:

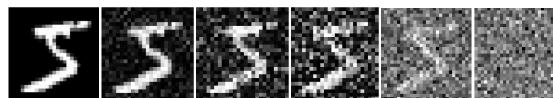
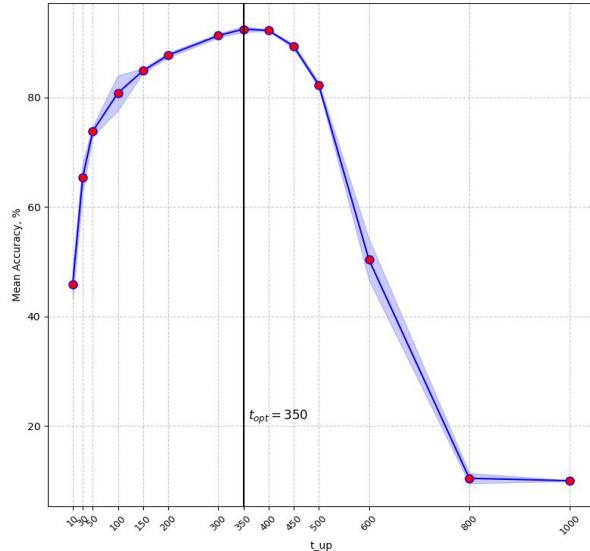
- ✓ VAE
- ✓ Diffusion
- ✓ VQ-VAE
- ✓ GAN

### → CIFAR100:

- ✓ VQ-VAE
- ✓ Diffusion
- ✓ GAN



MNIST:  $t_{opt} = 350$



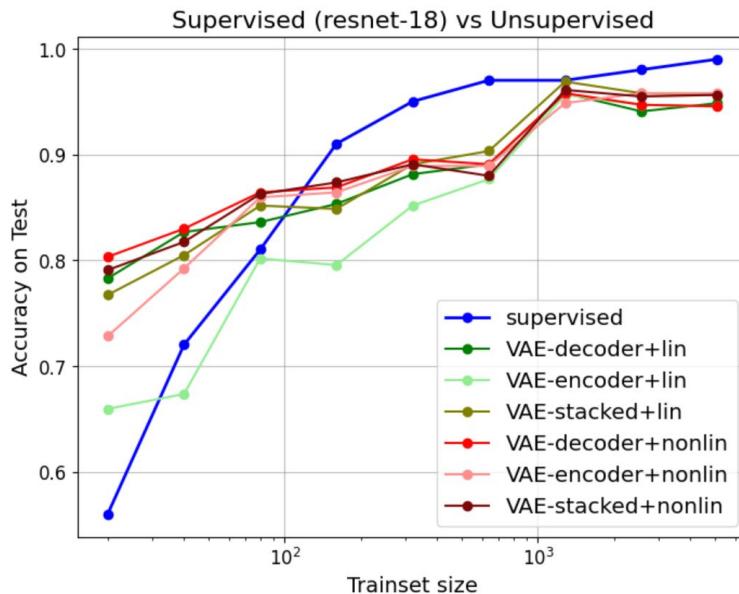
# Training Results

7 6 7 1  
MNIST results

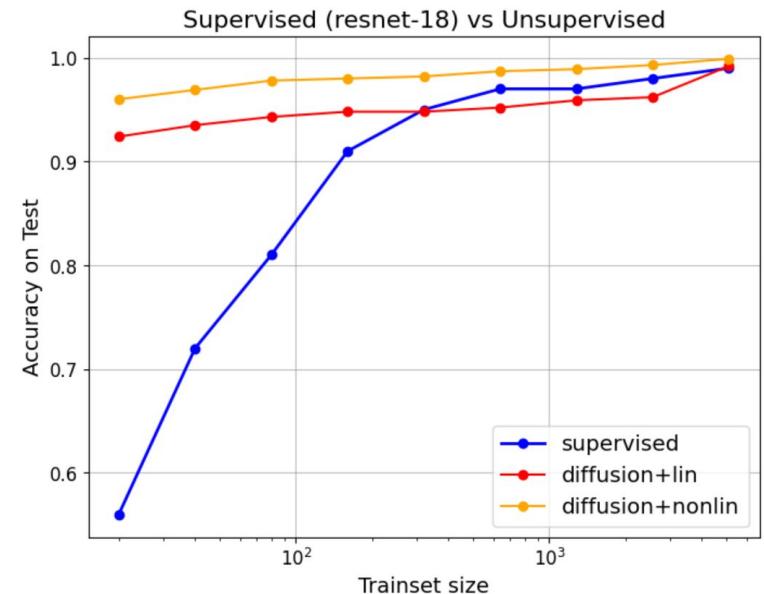
20

Training **linear** (Linear) and **nonlinear** (Linear+ReLU+Linear) models on features from generative models

## VAE



## Diffusion



# Main Results

With extremely **small dataset sizes**, our method **bypasses resnet-18** (our benchmark), which reinforces the initial hypothesis

MNIST 					CIFAR-10 					
	resnet-18	VAE	diffusion	GAN		resnet-18	VQ-VAE	diffusion	GAN	
trainset size per class	2	56%	<u>80%</u>	<u>61%</u>	<u>82%</u>	2	15%	<u>22%</u>	<u>29%</u>	<u>27%</u>
	4	72%	<u>83%</u>	<u>81%</u>	<u>88%</u>	4	18%	<u>22%</u>	<u>33%</u>	<u>31%</u>
	8	81%	<u>86%</u>	<u>88%</u>	<u>90%</u>	128	46%	<u>42%</u>	<u>54%</u>	<u>51%</u>
			...	...	...		...	...	...	