

The United Nations World Water Development Report 2024

# Water for prosperity and peace



Published in 2024 by the United Nations Educational, Scientific and Cultural Organization,  
7, Place de Fontenoy, 75352 Paris 07 SP, France

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This report is published by UNESCO on behalf of UN-Water. The list of UN-Water Members and Partners can be found on the following website: [www.unwater.org](http://www.unwater.org).

ISBN 978-92-3-100657-9



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Suggested citation:

United Nations, The United Nations World Water Development Report 2024: Water for Prosperity and Peace. UNESCO, Paris.

Cover artwork by Davide Bonazzi

Graphic design and layout by Marco Tonsini

Printed by UNESCO CLD

Printed in Paris

This publication is printed on 100% recycled, chlorine-free paper.



## S H O R T   S U M M A R Y

### Water security leads to prosperity and peace, while the hardships of conflict are amplified through water

Water nurtures prosperity by meeting basic human needs, supporting health, livelihoods and economic development, underpinning food and energy security, and defending environmental integrity.

Water influences the economy in many ways, and global trade dynamics and market adaptations can have direct repercussions on the water use of regional and local economies. The water-related impacts of conflict are multi-faceted and often indirect, such as those linked to forced migration and increased exposure to health threats.

Climate change, geopolitical unrest, pandemics, mass migration, hyperinflation and other crises can exacerbate water access inequalities. In nearly all cases, the poorest and most vulnerable groups are those that suffer the greatest risks to their well-being.



***Water directly  
supports billions of  
livelihoods and can  
promote peace***

The **2024 edition** of the ***United Nations World Water Development Report (UN WWDR)*** calls attention to the complex and interlinked relationships between water, prosperity and peace, describing how progress in one dimension can have positive, often essential, repercussions on the others.



*"Since wars begin in the minds of men and women it is in the minds of men and women that the defences of peace must be constructed"*



The United Nations World Water Development Report 2024

# Water for prosperity and peace

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# Foreword

by Audrey Azoulay, Director-General of UNESCO

We are currently facing a water crisis that can be seen and felt in a multitude of ways.

On the one hand, flooding and submersion hazards are growing. On the other, half of the global population is facing grave water shortages. Between 2002 and 2021, droughts affected more than 1.4 billion people, causing the death of nearly 21,000 individuals.

Let us state it clearly: this situation could bring about a systemic crisis in our societies. If humanity goes thirsty, fundamental questions on education, health and sustainable development will be sidelined, eclipsed by the daily struggle for water.

This is the crucial dilemma raised by the 2024 United Nations World Water Development Report.

The report brings new data to bear on this important debate, underlining – for example – that 50% of jobs in high-income countries depend on water, a figure that increases to 80% in the lowest-income countries.

However, faced with these severe hydric challenges, the report also makes proposals – to reinforce water education, step up data collection to guide public policies, and increase private investment to ensure more sustainable management of water resources. For, as our report underlines, universal access to water for drinking, sanitation and hygiene will require an annual investment of around US \$114 billion until 2030. This is indeed a considerable sum – but the cost of inaction will be significantly higher.

Finally, our 2024 report puts international cooperation at the heart of proposed solutions – in line with its theme, “Water for Prosperity and Peace”.

It takes as a starting point a simple fact: rivers, tributaries, lakes and aquifers know no borders. For this reason, over the years, water management has more often been a source of cooperation than one of confrontation.

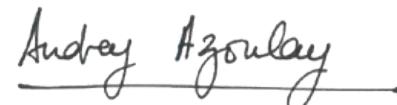
Recognizing well-managed and fairly distributed water resources as a driver of peace, UNESCO, works on a daily basis to strengthen cooperation on water and promote multilateralism as a response to transnational water issues.

Firstly, UNESCO works to reinforce cross-border cooperation on water. In December 2022, we launched, with our partners, the Transboundary Water Cooperation Coalition at the first UN-Water summit on groundwater. This ambitious initiative, and the projects it supports, aim to bring together countries around the joint management of aquifers, lakes and river basins.

Secondly – and this is a strategic priority for UNESCO – our World Water Assessment Programme promotes gender equality and women's empowerment in the management of natural resources as a driver for prosperity and peace. The Call for Action launched by the Programme on these issues is a unique opportunity that the international community can and must seize.

This report, coordinated by the World Water Assessment Programme of UNESCO, would not have been possible without the support of all our partners. In particular, UNESCO thanks the Italian Government, which has supported the publication of the report since 2007, as well as the members and partners of the UN-Water family for their contributions.

In March 2023, UNESCO warned of the impending risk of a global water crisis. We still have time to avoid it – if we take action together now.

A handwritten signature in black ink, appearing to read "Audrey Azoulay", is written over a horizontal line.

Audrey Azoulay

# Foreword

by **Alvaro Lario**, *Chair of UN-Water and President of the International Fund for Agricultural Development*

Safe drinking water and sanitation are human rights. Without access to these services, a life of dignity, stability and good health is virtually impossible.

Water, when managed sustainably and equitably, can be a source of peace and prosperity. It is also the literal lifeblood of agriculture, the major socio-economic driver for billions of people.

It can promote community stability and peacebuilding – especially in fragile situations – and contribute to migration management and disaster risk reduction.

But, when water is scarce, polluted or difficult to access, food security can be undermined, livelihoods lost, and conflict can follow.

In an unstable world where security threats are growing, we must all recognize that ensuring the availability and sustainable management of water and sanitation for all – the aim of Sustainable Development Goal 6 – is essential for global prosperity and peace.

The *United Nations World Water Development Report 2024: Water for Prosperity and Peace* highlights the wider significance of water for our lives and livelihoods. It explores water's capacity to unite people and serve as a tool for peace, sustainable development, climate action and regional integration.

I would like to offer my sincere appreciation to all the UN-Water Members and Partners and individuals who have contributed to this report. In particular, let me thank UNESCO and its World Water Assessment Programme, without whose coordination work this report would not have been possible.



Alvaro Lario

# Preface

by **Michela Miletto**, UNESCO WWAP Coordinator  
and **Richard Connor**, *Editor-in-Chief*

Underlining the three pillars of the United Nations – peace and security, sustainable development and human rights – the *Declaration on the commemoration of the seventy-fifth anniversary of the United Nations*,<sup>1</sup> adopted by the General Assembly in 2020, sets a roadmap for the implementation of the 2030 Agenda for Sustainable Development. The Declaration outlines the importance of trust, cooperation and justice as critical tools for addressing the challenges of “growing inequality, poverty, hunger, armed conflicts, terrorism, insecurity, climate change and pandemics” as well as securing prosperity and peace for all.

The linkages between the Sustainable Development Goal for water (SDG 6) and several other SDGs (e.g. poverty, hunger, health, education, energy, climate, etc.) have been well established. However, the relationships between water and peace (SDG 16) and decent work/economic growth (SDG 8) have remained somewhat more nebulous. This year’s edition of the United Nations World Water Development Report (UN WWDR) offers an in-depth analysis of these complex and often indirect interdependencies.

“Peace and prosperity” is a commonly used expression that underlines a close relationship between the two concepts. Water can lead to peace *through* prosperity, for example, through the sharing of energy and food security benefits across transboundary basins, or through multi-stakeholder environmental protection (such as ‘peace parks’) and basin management schemes. The many examples provided throughout this report illustrate how fostering prosperity through water contributes to the achievement of peaceful outcomes. Hence the title, *Water for Prosperity and Peace*.

Over the past several decades, considerable media attention has been given to the relationship between water and conflict (with peace generally understood as the absence of conflict), and more specifically, conflicts over water. While tensions and disagreements over water do occur, especially at local levels, such disputes are commonly resolved through dialogue, negotiation and cooperation, provided the appropriate legal frameworks are in place, and the various governing institutions have the willingness and capacity to offer fair and equitable guidance.

The links between water and ‘prosperity’ transcend far beyond economic growth, to encompass improved livelihoods, social well-being and environmental integrity. As such, indicators such as GNI or GDP often fail to capture the intricacies of the water–prosperity relationship. This report digs deeper into this relationship to reveal certain trends (e.g. employment) and relevant insights from real-life experiences and examples.

The UN WWDR 2024 evokes ever-increasing evidence of how water can truly underpin prosperity and serve as an instrument of peace. We have endeavoured to produce a fact-based and balanced account of the challenges and opportunities, using the most up-to-date data and information available from the UN System and beyond. Moving forward, it will be necessary to keep building this evidence base and avoid the pitfalls of engaging in hypothetical discourse.

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<sup>1</sup> The full document can be found here: [www.un.org/en/un75](http://www.un.org/en/un75).

Although primarily targeted at policy- and decision-makers, and the water resources managers, academics and members of the broader development community that advise them, we hope this report will also be well received by non-water specialists, including those who are engaged in the alleviation of poverty and humanitarian crises, peacekeeping and conflict resolution, and the 2030 Agenda for Sustainable Development.

The report is the result of a concerted effort among the Chapter Lead Agencies listed in the acknowledgements. The Report also benefited to a great extent from the inputs and contributions of several other UN-Water members and partners, as well as from numerous universities, research institutions, scientific associations and non-governmental organizations, who all provided a wide range of relevant material.

On behalf of the World Water Assessment Programme's Secretariat, we would like to extend our deepest appreciation to the afore-mentioned agencies, members and partners of UN-Water, and to the writers and other contributors for collectively producing this unique and authoritative report. We are profoundly grateful to the Italian Government for funding the Programme and to the Regione Umbria for generously hosting the WWAP Secretariat in Villa La Colombella in Perugia. Their contributions have been instrumental to the production of the UN WWDR.

Our special thanks go to Ms Audrey Azoulay, Director-General of UNESCO, for her ongoing support to WWAP and the production of the UN WWDR, and to Mr Gilbert F. Houngbo, President of the International Labour Organisation (ILO) who handed over the Chair of UN-Water to Mr Alvaro Lario of IFAD in the late stages of the production of this edition.

Finally, we extend our most sincere gratitude to our colleagues at the WWAP Secretariat, whose names are listed in the acknowledgements. The report could not have been completed without their professionalism and dedication.



Michela Miletto



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# Acknowledgements

This Report is published by the United Nations Educational, Scientific and Cultural Organization (UNESCO), on behalf of UN-Water, and its production is coordinated by the UNESCO World Water Assessment Programme (WWAP). Gratitude goes to UN-Water Members and Partners and other Contributors that made the content preparation of this Report possible.

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## **Donors**

The development of the Report was financially supported by the Government of Italy and the Regione Umbria. All who have provided in-kind contributions, and their respective donors, are gratefully acknowledged.



# Executive summary

Developing and maintaining a secure and equitable water future underpins prosperity and peace for all. The relationship also works in the opposite direction, as poverty and inequality, social tensions, and conflict can amplify water insecurity.

This report calls attention to the complex and interlinked relationships between sustainable water management, prosperity and peace, describing how progress in one dimension can have positive, often essential, repercussions on the others.

## Status of the world's water resources

Freshwater use has been growing by just under 1% per year, driven by a combination of socio-economic development and related changes in consumption patterns, including diet. While agriculture accounts for roughly 70% of freshwater withdrawals, industrial ( $\approx 20\%$ ) and domestic ( $\approx 10\%$ ) uses are the main drivers of increasing water demand. As economies industrialize, populations urbanize and water supply and sanitation systems expand. The effects of population growth are not as prominent, as the places with the fastest-growing populations are often those where per capita water use is the lowest.

Roughly half of the world's population currently experiences severe water scarcity for at least part of the year. One quarter of the world's population face 'extremely high' levels of water stress, using over 80% of their annual renewable freshwater supply.

In lower-income countries, poor ambient water quality is mainly due to low levels of wastewater treatment, whereas in higher-income countries, runoff from agriculture poses the most serious problem. Unfortunately, water quality data remain sparse worldwide. This is especially true in many of the least developed countries in Asia and Africa, where monitoring and reporting capacity is lowest. Emerging contaminants of concern include per- and poly-fluoroalkyl substances (PFAS), pharmaceuticals, hormones, industrial chemicals, detergents, cyanotoxins and nanomaterials. High concentrations of antimicrobials, originating from insufficiently treated domestic wastewater, livestock farming and aquaculture, have been found across all regions.

Record rainfall extremes have been increasing worldwide, as have the frequency, duration and intensity of meteorological drought. Climate change is projected to intensify the global water cycle, and to further increase the frequency and severity of droughts and floods. Some of the most severe impacts will be felt in the least developed countries, as well as on small islands and in the Arctic.

## Progress towards SDG 6 targets

None of the Sustainable Development Goal (SDG) 6 targets appear to be on track. As of 2022, 2.2 billion people were without access to safely managed drinking water. Four out of five people lacking at least basic drinking water services lived in rural areas. The situation with respect to safely managed sanitation remains dire, with 3.5 billion people lacking access to such services. Cities and municipalities have been unable to keep up with the accelerating growth of their urban populations.

Deficiencies in monitoring and reporting have made it extremely challenging to generate a thorough analysis of most other SDG 6 target indicators.<sup>1</sup>

<sup>1</sup> For a comprehensive review of progress towards all SDG 6 targets based on all available data, see *Blueprint for Acceleration: Sustainable Development Goal 6 Synthesis Report on Water and Sanitation 2023*. United Nations, 2023.

• • •

## **Water nurtures prosperity by meeting basic human needs, supporting health and livelihoods and economic development, underpinning food and energy security, and defending environmental integrity**

### **Linking water with prosperity and peace**

Prosperity encompasses the opportunity and liberty to safely thrive. Water nurtures prosperity by meeting basic human needs, supporting health and livelihoods and economic development, underpinning food and energy security, and defending environmental integrity.

Fully developed water resource infrastructure management systems promote growth and prosperity by storing a reliable water supply and delivering it to economic sectors, including agriculture, energy, industry, and the relevant business and service sectors that support billions of livelihoods. Similarly, safe, accessible and well-functioning water supply and sanitation systems foster prosperity through quality of life, with individual and community dividends reflected in education and a healthy workforce.

Cooperation over water resources has generated positive and peaceful outcomes, ranging from participatory, community-led initiatives that have relieved local tensions, to dispute settlement and peacebuilding in post-conflict settings and transboundary watersheds. Conversely, inequalities in the allocation of water resources, in access to water supply and sanitation services, and in the distribution of social, economic and environmental benefits can be counterproductive to peace and social stability.

The impacts of climate change, geopolitical unrest, pandemics, mass migration, hyperinflation and other crises can exacerbate water access inequalities. In nearly all cases, the poorest and most vulnerable groups are those that suffer the greatest risks to their well-being and livelihoods.

Water does not appear to have become a prevalent ‘trigger’ of conflict. However, attacks targeting civilian water infrastructure, including treatment plants, distribution systems and dams violate international law and must be severely condemned by the international community in all cases.

#### **Water-related indicators for prosperity and peace**

There is no clear relationship between a country’s per capita gross domestic product (GDP) and its water availability. This is partly because water influences the economy in many ways, and global trade dynamics and market adaptations can have direct repercussions on the water use of regional and local economies.

While there currently exist no direct metrics to clearly describe the relationship between water availability and prosperity, proxy indicators provide some relevant insights. In low- and lower-middle-income countries, an estimated 70–80% of jobs are water-dependent, as agriculture and water-intensive industries – the mainstays of employment across these economies – rely heavily on water. Worldwide, the benefit–cost ratio of investments in water, sanitation and hygiene (WASH) services has been shown to provide significant positive returns, notably through co-benefits such as health, education and employment, not to mention basic human dignity.

There is no global repository of data and/or empirical information directly concerning the relationship between water and peace, most likely because the latter is difficult to define, especially when taking account of contributing factors such as equality and justice.

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## Agriculture is a key socio-economic driver of sustainable growth, livelihoods and labour

### Thematic perspectives

#### Agriculture

Agriculture is a key socio-economic driver of sustainable growth, livelihoods and labour. Broad-based rural development and the wide sharing of its benefits are effective means of reducing poverty and food insecurity.

Agricultural production is vulnerable to climate-related water risks. In many semi-arid countries, dependence on rainfed agriculture and lack of access to agricultural water for millions of smallholder farmers reduces their production potential. Irrigation stabilizes production, generating direct benefits (increased profitability and reduced risk of crop failure), as well as indirect benefits (employment, and balanced conditions of food and supply markets). In Sub-Saharan Africa, water is generally available for rural farmers, but capital investment is needed to expand small-scale irrigation.

There is a need for smallholder, people-centred investment as well as large infrastructure-related investments. However, investment opportunities for most of the world's small-scale farmers are rarely available. To achieve sustainable water management and food security, states need to focus on responsible governance of water tenure, so that all legitimate water users (including smallholders, women and girls, and indigenous peoples and local communities) have secure and adequate access to water resources, bearing in mind that in rural areas, many people depend on customary tenure arrangements.

#### Human settlements (WASH, disaster risk reduction and migration)

Authorities have not given sufficient priority to equity and non-discrimination in access to WASH services, particularly between formal and informal settlements, rural and urban areas, highest and lowest wealth quintiles, and among marginalized groups. The collaborative management of WASH services and water resources can become a peacebuilding asset, provided it is equipped and supported to perform that role.

Numerous challenges undermine the provision of WASH services in conflict situations, due to the breakdown of essential infrastructure, displacement of populations, insecurity and limited access to resources. Damage to water infrastructure increases the amount of time women and girls/children – primary collectors of water – are exposed to the threat of violence, and reduces the time they have available for education, work and leisure.

As urban populations grow, people and property are increasingly concentrated in flood-prone areas. Informal settlements also face particularly difficult challenges in the aftermath of flood events, including loss of income, damage to infrastructure, and limited access to essential services such as healthcare and safe water. Disaster risk reduction policies and programmes can address the root causes of vulnerability and build resilience.

Internal disaster-related displacements outnumber conflict-related displacements. Water deficits can be linked to 10% of the increase in migration worldwide. Displacement can increase the burden on local water systems and resources, resulting in tensions between migrant and host communities. Cooperation and joint management of these systems promote peaceful co-existence in and around settlement locations.

#### Industry

Industry has the capacity – material, human and financial – to shape and increase economic prosperity, while simultaneously influencing and improving social well-being and environmental integrity. Water strengthens industry but does not necessarily generate GDP – some industries use little water but make a significant contribution to GDP and vice versa. However, problems with water quality and accessibility generate risks for industry, exposing it to supply chain disruptions, with direct repercussions on industrial (and economic) growth.

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## *Energy production accounts for between 10 and 15% of global water withdrawals*

Companies, particularly small ones, can experience declines in sales and employment when urban water services are disrupted. Dry shocks were found to cost two to four times more than wet shocks in terms of lost income. In locations where water outages are frequent, companies sometimes pay bribes, but these do not necessarily lead to improvements in service.

There are many established technologies for using less, reusing and recycling water. A win-win situation is possible where harmful discharges are reduced and the demand for freshwater decreased. Ways to improve water use efficiency can include modifications in materials, processes and equipment. Wastewater can provide a sustainable source of energy, nutrients and by-products.

While industry has at times been the source of local disputes and confrontations over water, it can also de-escalate tensions by leveraging its influence over the use of water through partnerships and cooperation.

### **Energy**

Energy production accounts for between 10 and 15% of global water withdrawals. Water is required in the extraction and conversion of coal, oil and gas (including fracking), and is extensively used for electricity generation, for hydropower and as cooling water for thermal and nuclear power stations.

Conversely, considerable amounts of energy are used to pump, treat and transport water and wastewater, including for irrigation and industry. Desalination is very energy-intensive, accounting for one quarter of the energy used in the water sector globally.

Achieving universal coverage for both drinking water and electricity involves reducing energy's dependence on water and vice versa. In terms of electricity generation, the most water-efficient sources are wind and solar-photovoltaic (PV). Meeting SDG 7 will necessitate a substantial increase in the share of these renewable sources for electricity.

Energy storage is required to compensate for the intermittent nature of wind and solar power. While pumped storage hydropower can provide energy balancing, stability, storage capacity and ancillary grid services, lithium-ion batteries are the fastest-growing storage technology. However, both can generate negative impacts on water supplies, the environment and local populations.

Some approaches and technologies aimed at mitigating greenhouse gas emissions require large amounts of water. The water intensity for biofuels is orders of magnitude higher than for fossil fuels. Carbon capture and storage systems are both highly energy- and water-intensive.

### **Environment**

Ecosystems regulate the amount of water available across space and time, as well as its quality. Over-exploitation of provisioning ecosystem services (food, water, fibre and other raw materials) has impaired the capacity of ecosystems to regulate climate and water, among other benefits. Consequences are potentially disastrous and include disputes over environmental resources and the undermining of prosperity and peace.

Degradation and fragmentation of ecosystems have been linked to outbreaks of diseases, including COVID-19, Ebola, and waterborne vectors of diseases such as malaria. They also increase the likelihood of conflicts between humans and wildlife.



## A large proportion of the world's freshwater resources are in transboundary aquifers

The extent of ecosystem degradation and its role in conflict and losses of prosperity highlight the scope for restoration to become a dominant response to improving water quality and availability, and for climate change adaptation and mitigation. Nature-based solutions usually provide additional benefits, including several related to local prosperity, and are increasingly proving to be cost-effective. Opportunities exist to facilitate peace by harnessing the positive role that environmental scientists and educators can play in dispute resolution.

### Transboundary cooperation

International water law has developed principles and norms that provide the basis for transboundary water cooperation, which can also help solve disputes and contribute to regional stability.

'Water diplomacy' seeks to facilitate the political processes and practices aimed at preventing, mitigating and resolving disputes over transboundary water resources, and the development of joint water governance arrangements by applying foreign policy means at different tracks and levels. It can involve actors other than traditional state actors, including civil society organizations or academic networks.

Indigenous and traditional communities may have long-standing networks across borders. Inclusive and participatory transboundary water cooperation platforms and processes lead to a common understanding of their objectives and benefits.

A large proportion of the world's freshwater resources are in transboundary aquifers. If underpinned by sound data, effective water governance and cooperation can support the conjunctive management of both transboundary surface and groundwater resources.

## Regional perspectives

### Sub-Saharan Africa

Population growth, rapid urbanization, economic development, and changing lifestyles and consumption patterns are increasing water demand throughout Sub-Saharan Africa. Most of the region suffers from economic water scarcity, where water infrastructure is often inappropriate (or inexistant) and water resources management inadequate due to a lack of financing. Water quality appears to be widely deteriorating.

Africa has the highest proportion of transboundary basins relative to other continents, covering an estimated 64% of the land area. Transboundary cooperation – such as for water quality, water supply, infrastructure projects for agriculture and energy, flood control, and management of climate change impacts – can bring riparians and stakeholders together to collaboratively promote water, energy and food security. Of the 72 transboundary aquifers mapped in Africa (underlying 40% of the land area), cooperation has only been formalized in seven.

### Europe and North America

Contemporary events have exposed the devastating consequences of armed conflict for natural resources, livelihoods, water infrastructure and security in parts of the region.

Operational arrangements cover 90% or more of the transboundary basin area in 27 out of the 42 reporting countries in the Pan-European region. Government-established transboundary river basin organizations can act as connectors and active peacemakers by facilitating inclusive dialogue and participatory decision-making. River basin organizations have established mechanisms for multi-stakeholder engagement, giving voice to young people, women and concerned stakeholders.

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## *Effective and equitable water allocation encourages investment and benefit-sharing*

### **Latin America and the Caribbean**

Latin America and the Caribbean has many transboundary river basins and aquifers, as well as hundreds of multipurpose dams vital for food, energy and water security that directly contribute to socio-economic development, climate resilience and prosperity.

This type of infrastructure necessarily implies an intersectoral articulation for their management and coordination among multiple actors. An adequate balance is necessary throughout their entire life cycle to avoid conflicts.

Improving cooperation in water management requires strengthening the knowledge base, recognizing valuable ancestral practices as well as new technologies, and enhancing regulatory and incentive systems.

### **Asia and the Pacific**

Large populations in the region still lack access to WASH services, especially in rural areas, and water pollution has worsened in many of the region's most important river basins – eight of the top-10 rivers in the world contributing to marine plastic are located in Asia. Water scarcity and extreme events such as floods and droughts particularly impact less developed economies and vulnerable populations, exacerbating existing vulnerabilities associated with low development outcomes, and threatening peace and security at a national level.

Pacific Islands also experience water scarcity and unique impacts from changes in climate. Even where freshwater is relatively abundant, saltwater intrusion due to rising sea levels continually threatens the available freshwater supply. The limited institutional capacity to manage water resources remains a significant challenge.

### **Arab region**

Transboundary and cross-sectoral cooperation is of crucial importance to the region, where 19 of the 22 states are below the water scarcity threshold. Two thirds of the freshwater resources are transboundary, and 43 transboundary aquifers cover 58% of the region's area. The challenges to fostering this cooperation include lack of data on water resources (especially groundwater) and competing demands for limited water resources among riparian states.

In 2021, seven Arab countries were in conflict, including protracted conflict with wide-ranging implications for water supply and infrastructure and for potential cooperation on water-related issues. Cooperation has a key role to play in breaking through climate and conflict crises to ensure safe and secure access to water and sanitation for all.

## **Response options**

### **Governance**

Water governance involves collective, multi-level action over water allocation and reallocation, contributing to prosperity and peace by addressing competition and resolving disputes. Effective and equitable water allocation encourages investment and benefit-sharing, and ultimately promotes social cohesion.

Equitable governance arrangements, designed to manage complex trade-offs, are required to navigate tensions and redress injustices. These include rules for establishing and (re)allocating access across competing water uses, and navigating difficult, sometimes conflicting, policy objectives involving agriculture, energy, health, infrastructure and investment.

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## *Information technology companies are becoming increasingly water-intensive*

The promise of full, equitable benefit-sharing has been difficult to realize. Win-win outcomes can involve hidden costs, benefits are not easily measured and quantified, and outcomes are not necessarily evenly distributed (for example in cases where water flows from agricultural land to cities but the cities capture the largest share of the benefits).

Knowledge-sharing can also support coordination across sectors and creative financing mechanisms.

### **Science, technology and information**

Recent advances in science and technology benefiting water management include information and communication technologies, earth observation and remote sensing, advanced sensor equipment, the rise of citizen science supported by low-cost technologies, and the application of 'big data' analytics.

Artificial intelligence (AI) has been proposed to help address challenges across WASH systems, water use in agriculture and industry, and water resources management. The overall impacts of AI remain largely unknown. Potential risks include system-wide compromise owing to design errors, malfunction and cyber-attacks, which could in turn lead to critical infrastructure failure in a worst-case scenario. Information technology companies are becoming increasingly water-intensive due to the liquid cooling systems of the computers that run AI programmes and additional electricity required to power the equipment.

Water resource systems cannot be effectively designed and operated unless adequate data and information are available concerning location, quantity, quality, temporal variability and demand. Reliable hydrological data are needed to adaptively manage resources, to calibrate remotely sensed observations, and for modelling. Government agencies tasked with resource monitoring and management often lack the capacity to collect data and perform the analyses required to address water-related economic and social challenges.

### **Education and capacity development**

Although major advances have been made in the adoption of new technologies, the gap between the severity of water problems and the knowledge base and skill sets available to solve them is widening in many places. This delays the adoption of new technologies for water (and especially wastewater) treatment and integrated river basin management, which, in turn, leads to wasteful water use, contamination of freshwater sources, and unsatisfactory levels of WASH services. Education and capacity development are key to addressing this challenge.

The gap in skills and capacity is even more pronounced on non-technological aspects, such as legal, policy and institutional development. These skills are essential in transboundary river basins or conflict-prone regions, where resolutions may require a process of negotiation and compromise.

In conflict situations, impacts on local livelihoods can be exacerbated through water, including by reducing access to education, which disproportionately disfavours girls. On the longer term, conflicts may also affect the availability of trained experts to provide education and capacity development. Local expertise may disappear through institutional decline, attrition or emigration.



## ***There is a need to make better use of existing sources of finance and to mobilize new capital, including greater international support for developing countries***

### **Financing**

There is a need to make better use of existing sources of finance and to mobilize new capital, including greater international support for developing countries. Creating a heterogeneous investment landscape also involves mainstreaming water security considerations across investments in other sectors.

Thorough assessments of the impacts and benefits of investments can help justify voluntary financing arrangements that encourage local actors to provide non-repayable capital. Valuations can also inform policy instruments such as water tariffs, taxes, charges, and permit or offset markets.

Tiered tariffs aim to improve cost recovery while also maintaining affordability for low-income users, by providing the lowest rates for consumption, up to a given level, for basic needs. The highest tariff blocks are set well above the average cost of service provision and the income generated in this way serves to cover the costs of the subsidized lower blocks.

A better understanding of water-related risks can encourage financial actors to engage with companies to invest in mitigating those risks. Climate change-resilient infrastructure helps preserve the value of investments and the availability of basic services under conditions of uncertainty.

### **Coda**

Sustainable water management generates a plethora of benefits to individuals and communities, including health, food and energy security, protection from natural disasters, education, improved living standards and employment, economic development, and a variety of ecosystem services.

It is through these benefits that water leads to prosperity.

And equitable sharing of these benefits promotes peace.

When it comes to water, sharing truly is caring.

The choice is ours.

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# Prologue

WWAP

Richard Connor and Valentina Abete

With contributions from Tommaso Abrate (WMO) and John Payne (UNIDO)

## The state of the world's freshwater resources

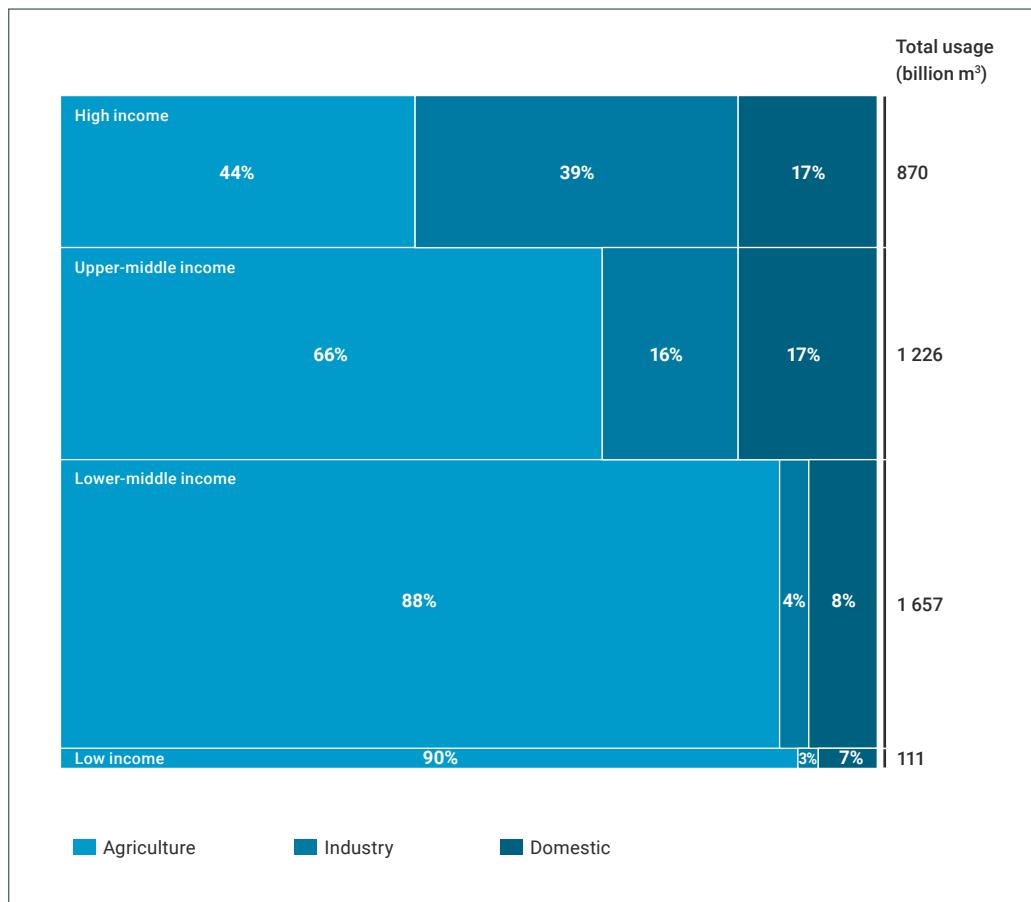
### Water demand and use

Worldwide, agriculture accounts for roughly 70% of freshwater withdrawals, followed by industry (just under 20%) and domestic (or municipal) uses (about 12%). However, these figures vary considerably as a function of a country's level of economic development, with higher-income countries using more water for industry whilst lower-income countries use 90% (or more) of their water for agricultural irrigation (Figure P.1).

Groundwater supplies about 25% of all water used for irrigation and half of the freshwater withdrawn for domestic purposes (United Nations, 2022).

**Figure P.1**

Water withdrawal by sector (% of total freshwater withdrawal) by income group, 2020



Source: Kashiwase and Fujis (2023, based on data from FAO AQUASTAT).

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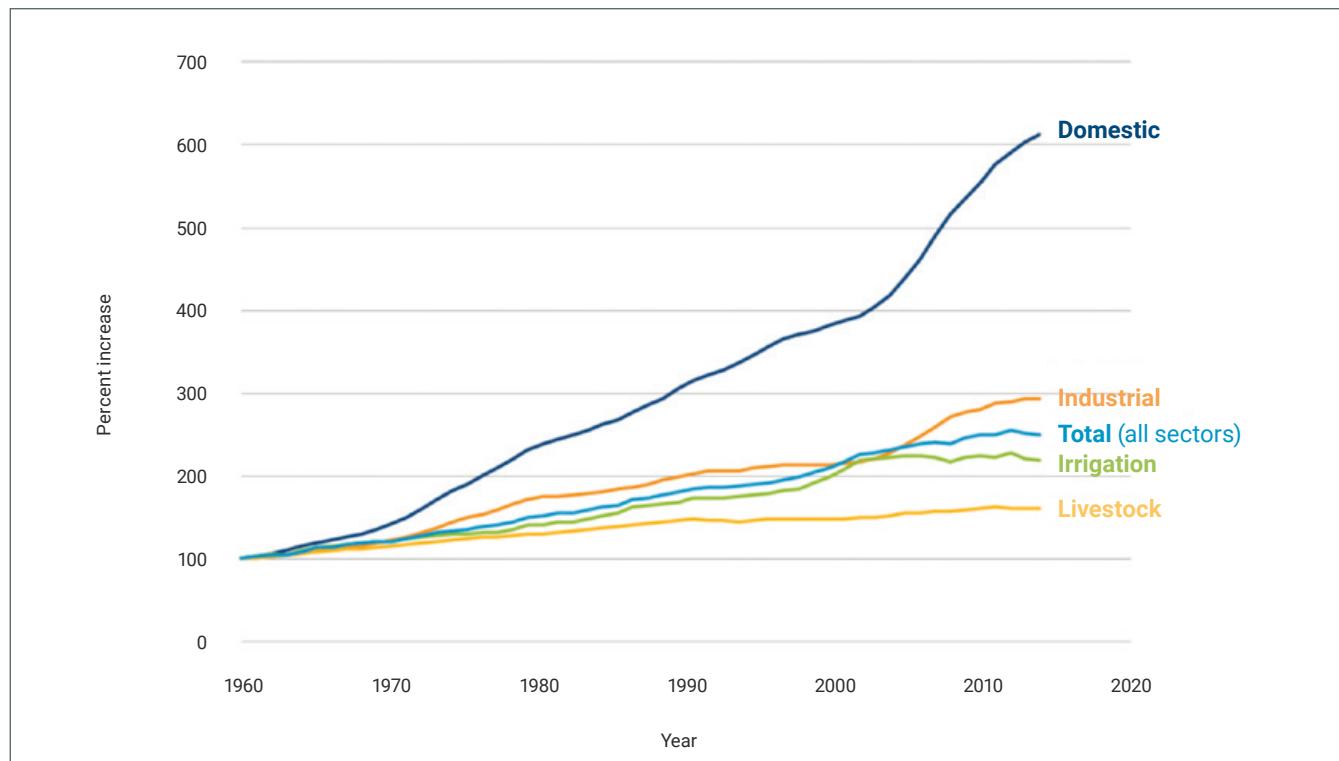


**Water demand from the municipal (or domestic) sector has experienced a considerable increase relative to the other sectors**

While water use has levelled off in countries across North America and Europe since the early 1980s (USGS Water Science School, 2018; Kuzma et al., 2023), the global demand for freshwater has been increasing by just under 1% per year during this period (AQUASTAT, n.d.). Rising demand is primarily driven by a combination of socio-economic development and related changes in consumption patterns, including diet (Zucchinelli et al., 2021), such that the bulk of this increase is located in cities, countries and regions that experience rapid economic development, most notably in emerging economies (Ritchie and Roser, 2017). The effects of population growth on global water demand are not as prominent, as the places with the fastest-growing populations, including several countries in Sub-Saharan Africa (UNDESA, 2022), are often those where per capita water use is the lowest (AQUASTAT, n.d.).

Recent and future trends in water demand are notoriously difficult to measure and estimate (United Nations, 2023a). However, the available evidence suggests that water demand from the municipal (or domestic) sector has experienced a considerable increase relative to the other sectors (Figure P.2) and is likely to continue growing as populations urbanize and the water supply and sanitation systems servicing these cities expand.

**Figure P.2 Increase in water withdrawals for different sectors, 1960–2014**

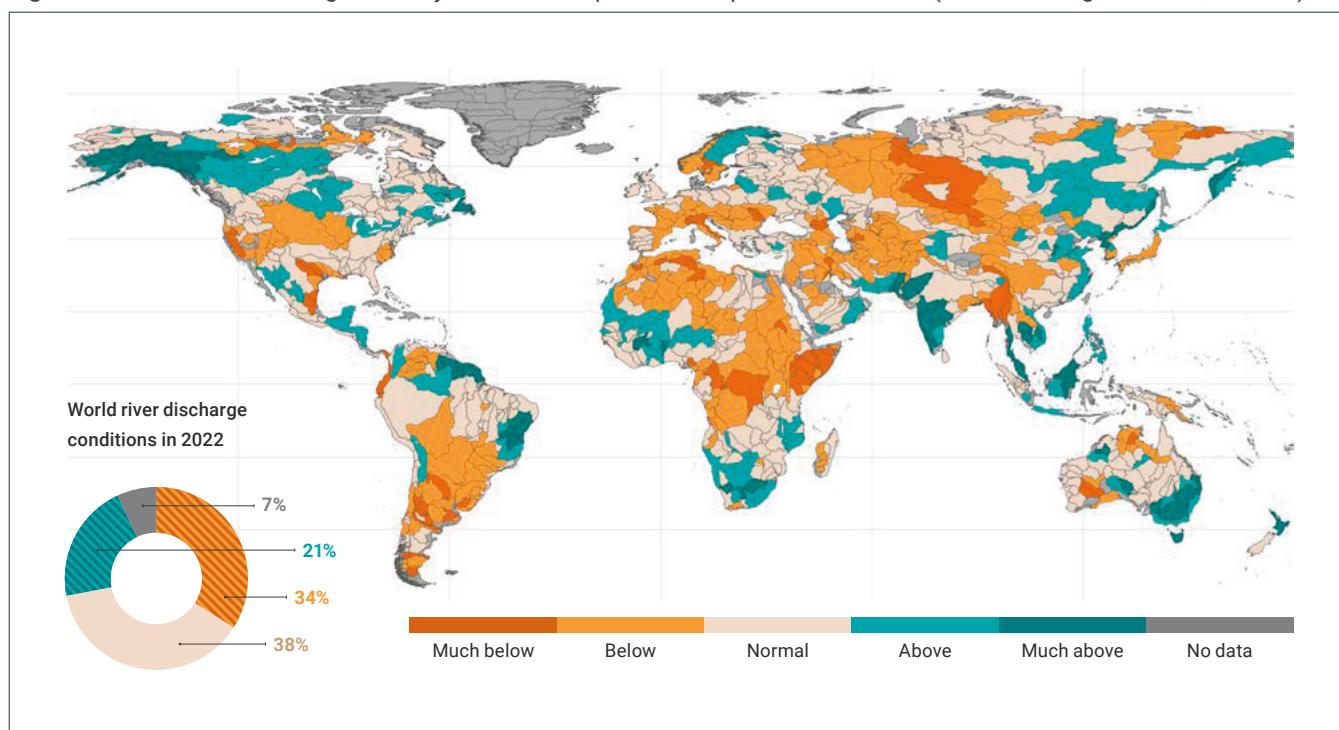


Source: Otto and Schleifer (2020).

#### Water availability and stress

The natural distribution of water resources is often characterized by high or extreme variability (see Figure 10.2). A modelling exercise examining anomalies in annual river discharge in 2022 provides further evidence of increasing variability, concerning both an increasing or a decreasing discharge (Figure P.3).

**Figure P.3 Mean river discharge for the year 2022 compared to the period 1991–2020 (for basins larger than 10,000 km<sup>2</sup>)**



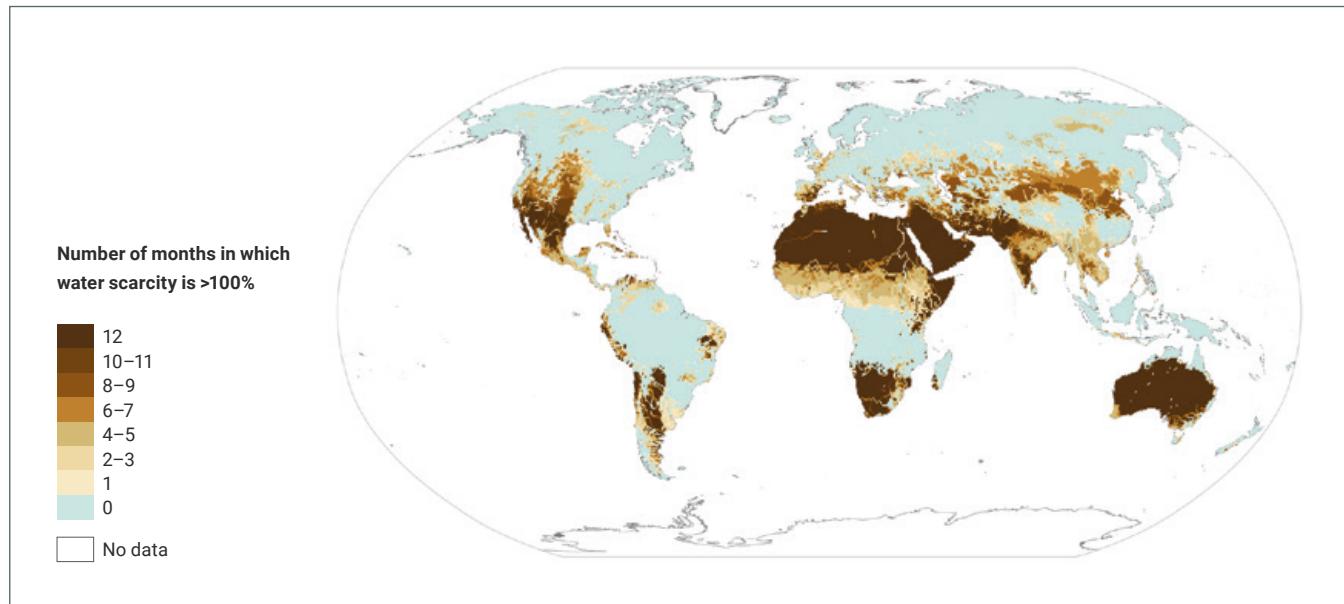
Note: Results are based on simulation, obtained from the ensemble of eight global hydrological modelling systems (GHMSSs).

Source: WMO (2023, fig. 3, p. 7).

Roughly half of the world's population currently experiences severe water scarcity for at least part of the year (IPCC, 2023). While some areas experience water scarcity a few months per year, others endure severe water scarcity year-round (Figure P.4).

One quarter of the world's population, living in 25 countries, face 'extremely high' levels of baseline water stress, withdrawing over 80% of their annual renewable freshwater supply (Kuzma et al., 2023). Water stress has important implications for social stability, and water deficits can be linked to 10% of the increase in migration worldwide (Zaveri et al., 2021).

**Figure P.4** The number of months per year with severe water scarcity (ratio of water demand to availability >1.0)



Source: Careta et al. (2022, figure box 4.1.1(a), p. 561).

### Water quality and pollution

As countries become richer, water pollution does not disappear but evolves (Desbureaux et al., 2022). In lower-income countries, poor ambient water quality is mainly due to low levels of wastewater treatment, whereas in higher-income countries, runoff from agriculture poses the most serious problem. Unfortunately, water quality data remain sparse worldwide. This is especially true in many of the least developed countries in Asia and Africa, where monitoring and reporting capacity is lowest (United Nations, 2023a).

Emerging contaminants of concern include pharmaceuticals, hormones, industrial chemicals, detergents, cyanotoxins and nanomaterials (Sauvé and Desrosiers, 2014). In a study of 258 of the world's rivers, over a quarter of these were found to have concentrations of active pharmaceutical ingredients that exceeded safe limits (Wilkinson et al., 2022). The most contaminated sites were found in Sub-Saharan Africa, South Asia and South America, in areas associated with poor wastewater and waste management infrastructure, and with pharmaceutical manufacturing (Wilkinson et al., 2022). High concentrations of antimicrobials, originating from insufficiently treated domestic wastewater, livestock farming and aquaculture, have been found across all regions (WEF, 2021; WWAP, 2017). Whilst the exact effects on human health and biodiversity are not fully known, evidence suggests that this will likely augment antibiotic resistance (WHO, 2014). Per- and poly-fluoroalkyl substances (PFAS) are another example of emerging persistent contaminants that bioaccumulate. One study detected them in 97% of people in the United States of America (USA) (NIEHS, n.d.).



## Record rainfall extremes have been increasing worldwide, with tropical regions experiencing the strongest increase in extremes

### Extreme events

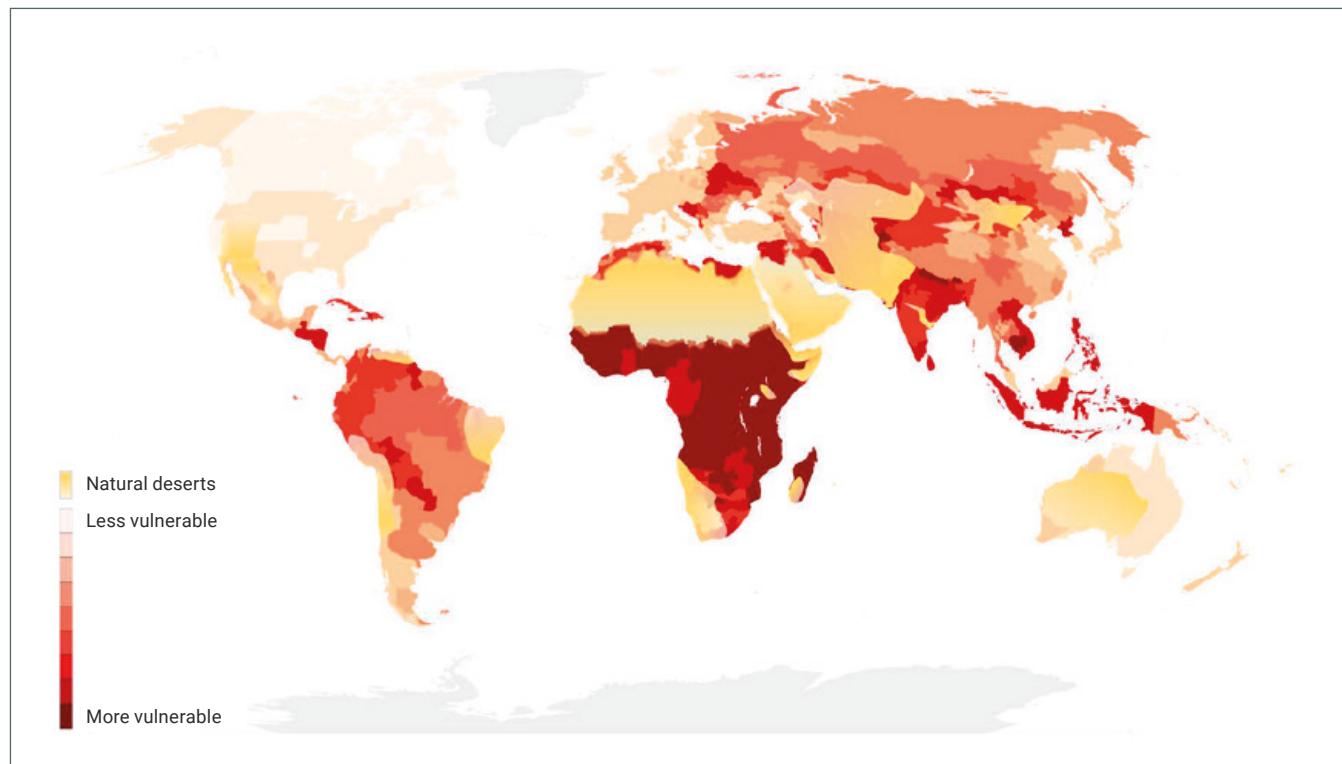
In terms of natural hazards, floods and drought are among the most devastating water-related disasters. Over the period 2002–2021, floods caused nearly 100,000 deaths (with an additional 8,000 in 2022), affected<sup>2</sup> another 1.6 billion people (with another 57 million in 2022) and caused US\$832 billion in economic losses (US\$45 billion in 2022). Over the same period, droughts affected over 1.4 billion people, killed over 21,000 more and triggered US\$170 billion in economic losses (CRED, 2023).

Record rainfall extremes have been increasing worldwide, with tropical regions experiencing the strongest increase in extremes. One study estimated that, over the decade 2011–2020, one in four record-breaking rainfall events can be attributed to human-induced climate change (Robinson et al., 2021).

Droughts can be characterized in terms of their severity, location, duration and timing. They are slow-onset phenomena that gradually intensify and whose impacts accrete over time. Positive correlations have been detected in meteorological drought frequency, duration and intensity in Western Africa, East Asia, Central America, the Amazon and the Mediterranean between 1951 and 2010 (Chiang et al., 2021).

Drought vulnerability is particularly complex to measure. To assess it, multiple economic sectors need to be taken into account, as well as social and environmental factors that vary across different geographical contexts (Meza et al., 2019). While precipitation patterns are a fundamental indicator, the most influential determining factor may actually be whether the technical, institutional and financial coping capacities are in place (Figure P.5).

**Figure P.5** Drought vulnerability index, 2022



Source: UNCCD (2023, fig. 4, p. 15), based on Carrão et al. (2016).

<sup>2</sup> "Affected: People who are affected, either directly or indirectly, by a hazardous event. Directly affected are those who have suffered injury, illness or other health effects; who were evacuated, displaced, relocated or have suffered direct damage to their livelihoods, economic, physical, social, cultural and environmental assets. Indirectly affected are people who have suffered consequences, other than or in addition to direct effects, over time, due to disruption or changes in economy, critical infrastructure, basic services, commerce or work, or social, health and psychological consequences" (General Assembly of the United Nations, 2016).

## Progress towards SDG 6

### Climate change<sup>3</sup>

Continued global warming is projected to intensify the global water cycle, and to further increase the frequency and severity of droughts and floods, with more very wet and very dry weather and climate events, and seasons. The incidence of climate-related water- and vector-borne diseases is expected to rise across all regions, and there will be a higher frequency of substantial damages, and increasingly irreversible losses, in freshwater ecosystems. Some of the most severe impacts will be felt in the least developed countries, small islands and the Arctic, particularly affecting indigenous communities, small-scale food producers and low-income households (IPCC, 2023).

Sustainable Development Goal (SDG) 6 seeks to ensure the availability and sustainable management of water and sanitation for all, focusing on drinking water and sanitation, the sustainable management of water resources, water quality, integrated water resources management (IWRM), water-related ecosystems, and the enabling environment (Figure P.6).

None of the SDG 6 targets appear to be on track. However, progress has been very difficult to measure. With the exception of drinking water and sanitation, deficiencies in monitoring and reporting have made it extremely challenging to generate a comprehensive analysis of most SDG 6 target indicators (United Nations, 2023b).

As of 2022, 2.2 billion people were without access to safely managed drinking water (SDG Target 6.1). Progress between 2015 and 2022 was mainly limited to urban areas, where service provision has been barely keeping up with population growth (Figure P.7). Rural areas still accounted for four out of five people lacking at least basic drinking water services in 2022. The coverage gap between urban and rural is greatest in Sub-Saharan Africa and in Latin America and the Caribbean (UNICEF/WHO, 2023).

The situation with respect to safely managed sanitation (SDG Target 6.2) remains dire, with 3.5 billion people lacking access to such services. Cities and municipalities, in particular, have been unable to keep up with the accelerating growth of their urban populations.

Indeed, “achieving universal coverage by 2030 will require a substantial increase in current global rates of progress: sixfold for drinking water, fivefold for sanitation and threefold for hygiene” (United Nations, 2023c, p. 24).

Ambient water quality data (SDG Target 6.3) are not routinely collected in many low- and lower-middle-income countries, meaning that over 3 billion people could be at risk because the health status of their freshwater ecosystems may be below-standards (UNEP, 2021a).

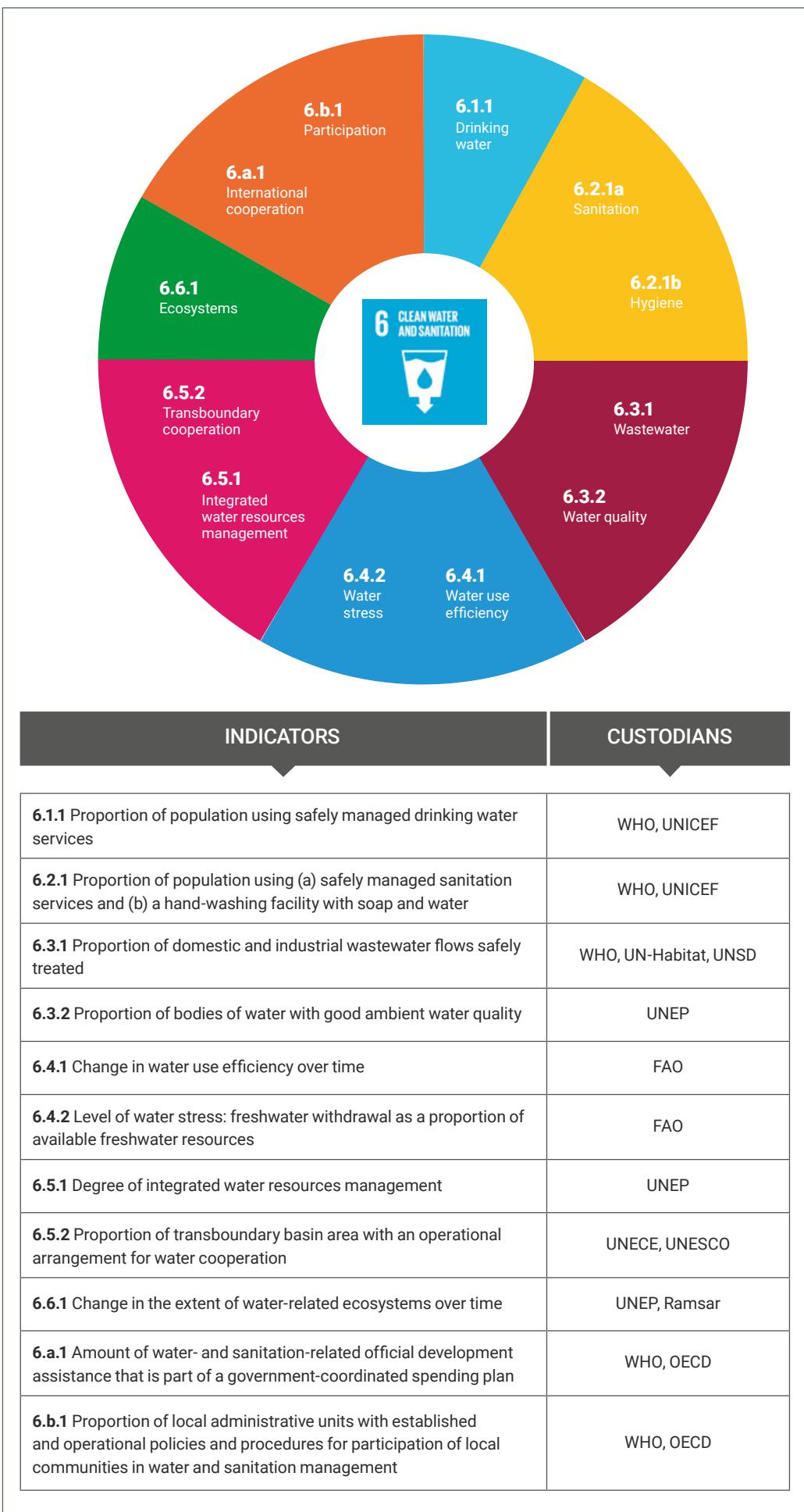
Limited evidence suggests that water use efficiency (SDG Target 6.4) has increased in all economic sectors. In 2020, water use efficiency in agriculture has had the greatest increase (20%) from 2015, followed by the mining, industry, manufacturing, electricity and constructions sectors (13%) (UN-Water, 2019). However, further efforts are required to improve efficiency in irrigated agriculture, the most water-demanding sector (UN-Water, 2021).

The global average for the IWRM implementation (SDG Indicator 6.5.1) was 54% in 2020 (UNEP, 2021b). Out of 153 countries sharing transboundary rivers, lakes and aquifers, only 32 have 90% or more of their transboundary waters covered by operational arrangements (SDG Indicator 6.5.2) (UNECE/UNESCO, 2018).

Although surface water available in one fifth of the world’s rivers basins changed significantly between 2015 and 2020, the overall extent to which water-related ecosystems have been changing (SDG Target 6.6) remains undetermined (United Nations, 2023c).

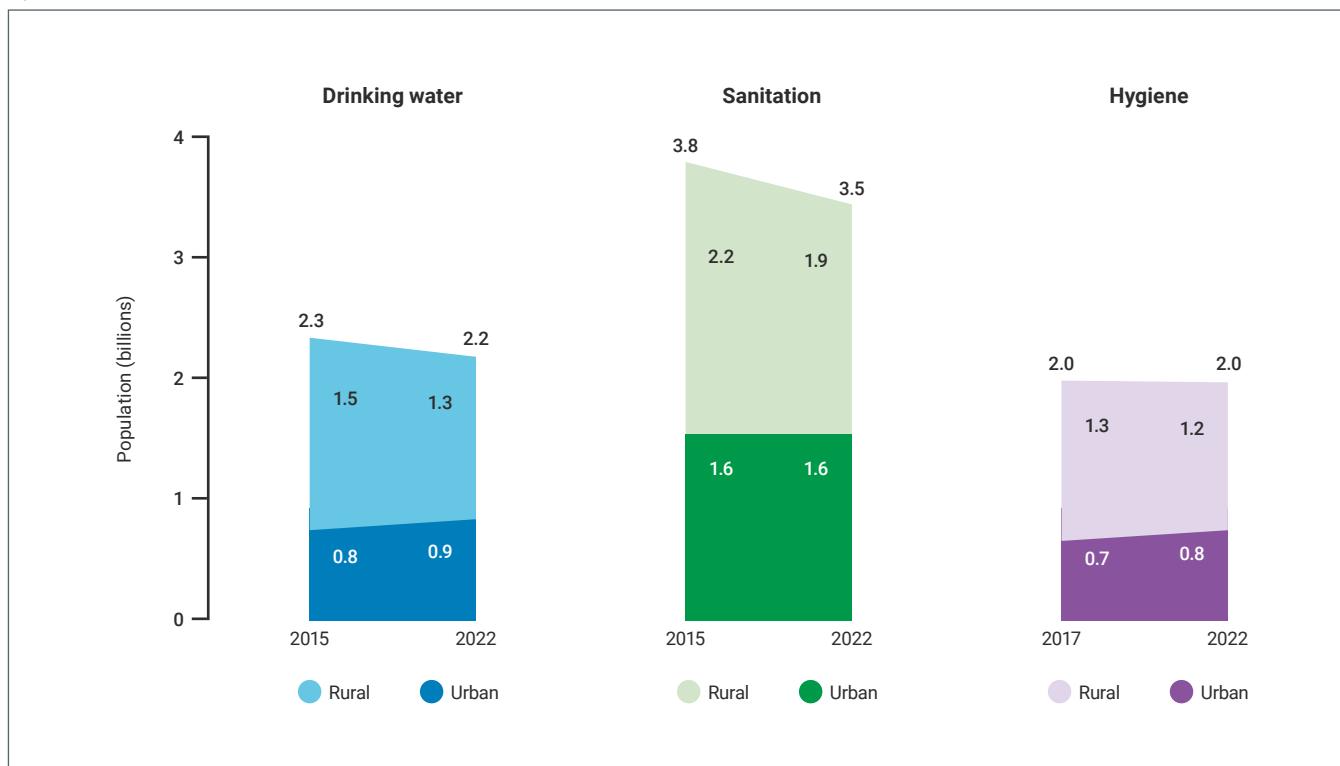
<sup>3</sup> The 2020 edition of the *United Nations World Water Development Report, Water and Climate Change*, provides an exhaustive review of the interconnections between water and climate change (UNESCO/UN-Water, 2020).

**Figure P.6**  
SDG 6 indicators



Source: Adapted from  
UNEP (2021a).

**Figure P.7** Global urban and rural population without safely managed drinking water, safely managed sanitation, and basic hygiene services, 2015/17–2022



Source: United Nations (2023c, p. 24). © 2023 United Nations. Reprinted with the permission of the United Nations.

Official development assistance (ODA) disbursements to the water sector (SDG Target 6.a) decreased by 15% (from US\$9.6 billion to US\$8.1 billion) between 2015 and 2021. Over 85% of countries (105 of 123 responding) had participation procedures defined in laws or policies regarding rural drinking water and water resources management (SDG Target 6.b). However, only 29 of the 117 responding countries reported high or very high participation of communities in planning and management processes for rural drinking water and water resources management (United Nations, 2023b).

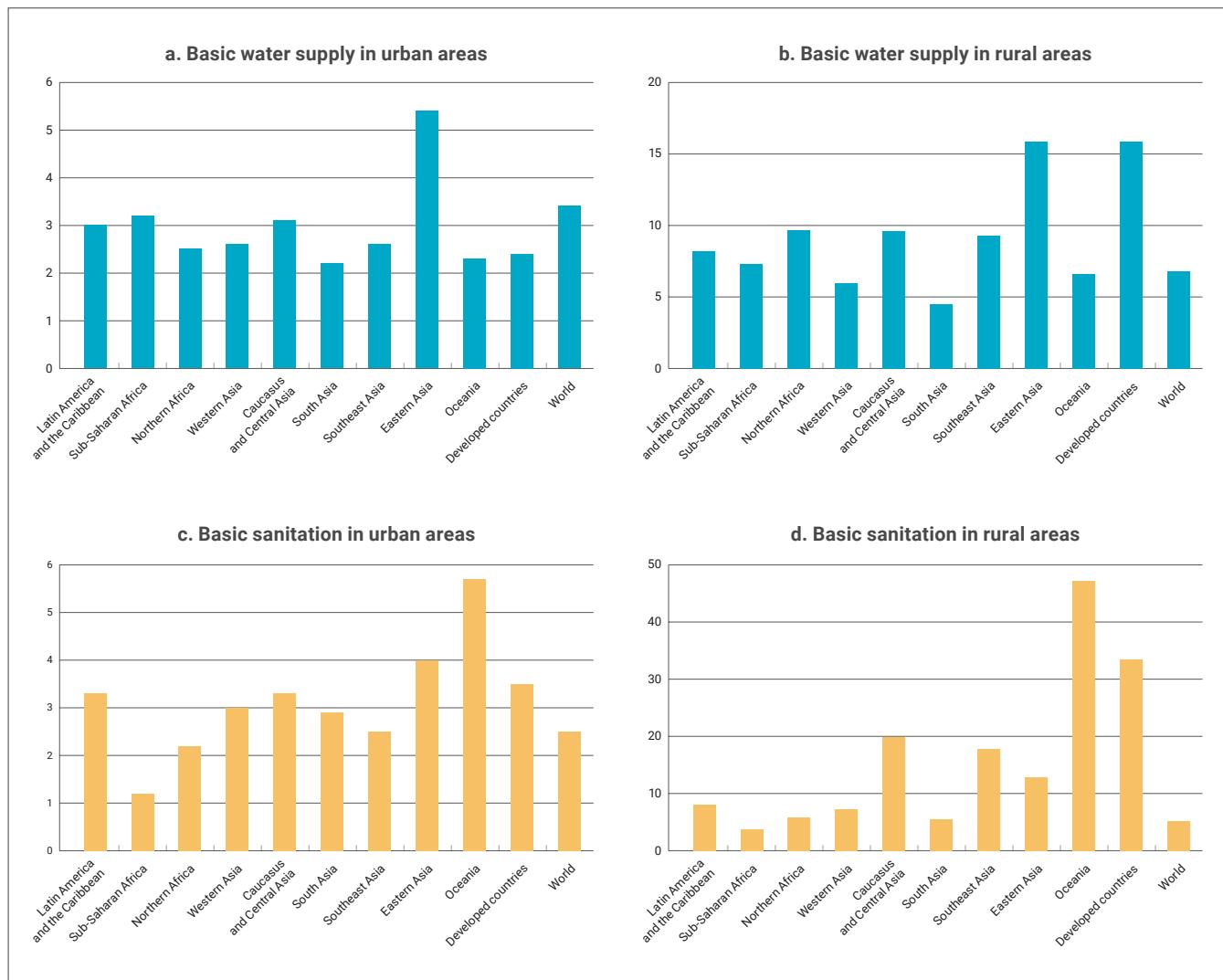
## Water and prosperity

There is no clear way to determine the ‘water footprint’ of prosperity.

As described in Chapter 1, the notion of ‘prosperity’ reaches far beyond personal and collective economic growth and supporting livelihoods, to also encompass social well-being as well as environmental integrity (Debaere et al., 2015). However, the multiple values of water and its many benefits are extremely difficult to calculate, especially in terms of return on investments in infrastructure and institutions (United Nations, 2021).

The connection between prosperity and access to water, sanitation and hygiene (WASH) services has been well established, notably through co-benefits such as health, education and employment, not to mention basic human dignity (WWAP, 2019; United Nations, 2021). It has been estimated that achieving universal access to safe drinking water, sanitation and hygiene (SDG Targets 6.1 and 6.2) in 140 low- and middle-income countries would cost approximately US\$1.7 trillion from 2016 to 2030, or US\$114 billion per year (Hutton and Varughese, 2016). The benefit–cost ratio (BCR) of such investments has been shown to provide a significant positive return in most regions. One study estimated the BCRs of investments at 3.4 and 6.8 for basic drinking water supply, and 2.5 and 5.2 for basic sanitation, in urban and rural areas respectively (Figure P.8) (Hutton and Varughese, 2016).

**Figure P.8** Benefit–cost ratios for the supply of drinking water and basic sanitation services in rural and urban settings



Note: A baseline 3% discount rate is used to calculate the present value of future costs.

Source: United Nations (2021, fig. 4.1, p. 57, based on data from Hutton, 2018).

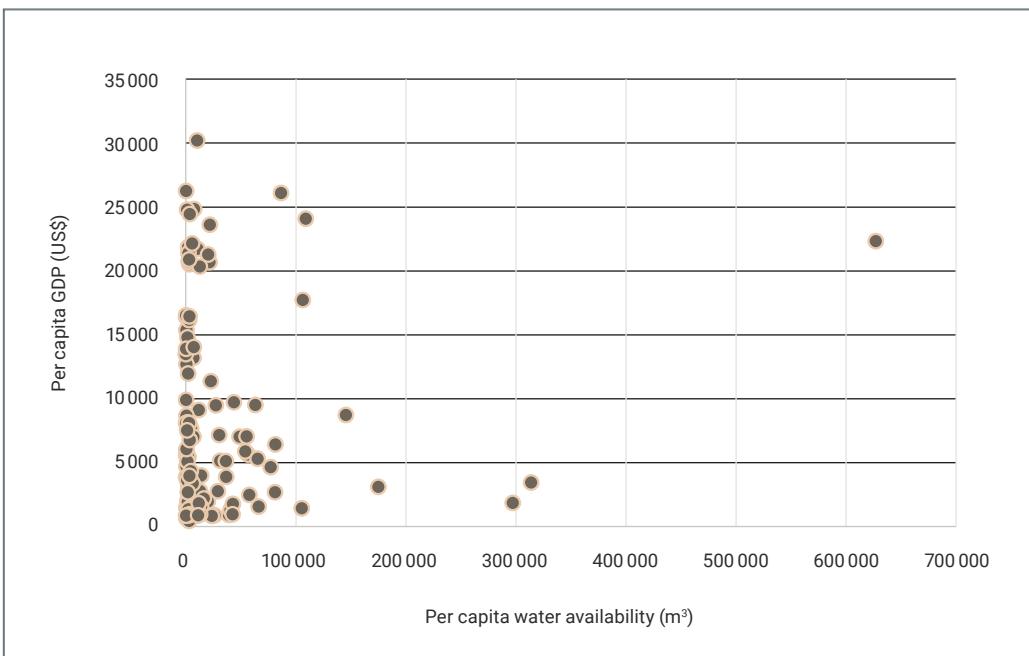
While there currently exist no direct metrics to clearly describe the relationship between water and prosperity, links between different aspects (or proxy indicators) of prosperity can reveal some relevant insights.

### Water and economic growth

Several studies linking water *availability* (including variability and/or scarcity) to economic performance have focused on specific sectors, such as agriculture (the world's leading water use sector), manufacturing and other industries. However, sector-specific assessments have limited utility for measuring aggregate economic activity, such that evidence of impacts of water availability on economic growth remains ambiguous. This is partly because water influences the economy in many ways, and economic diversification can mitigate water risks, such as drought and floods (Damania, 2020). One empirical analysis of the effects of hydrological variability on gross domestic product (GDP) growth across 113 countries concluded that, statistically, water insecurity is “*a drag on global economic growth*” (Sadoff et al., 2015, p. 19). However, another study concluded that large basins can experience strongly positive or strongly negative economic impacts as a result of regional water scarcity, in part due to global trade dynamics and market adaptations (Dolan et al., 2021).

These results illustrate the complexity of the relationship between water availability and economic growth, which in turn offers one explanation as to why there is no clear relationship between a country's per capita GDP and its water availability (Figure P.9).

**Figure P.9**  
GDP vs. water availability



Source: Debaere et al. (2015, fig. 2, p. 8).

Per capita water use generally rises as poorer economies develop, led by irrigated agriculture and other water-intensive activities (including municipal water supply and sanitation services), to later drop as economies diversify and eventually level off as economies mature (Duarte et al., 2013; Miglietta et al., 2017). However, if the water embedded in the production of imported goods ('virtual water') is also taken into account, this 'levelling-off' of water use is actually an illusion. Mature economies may outsource water-intensive production processes to developing countries. As such, this alleged 'levelling-off' may actually increase water scarcities in these exporting countries (Hernández et al., 2020).

#### Water and employment

In low-income countries, an estimated 80% of jobs are water-dependent, primarily due to the dominance of agriculture as the main employment sector (which relies heavily on water). This is notably higher than the estimated 50% of jobs in high-income countries, where the job market is more diversified and less reliant on water sources (Figure P.10). This disparity is crucial in the context of climate change, which affects water availability, posing a threat to employment in low- and lower-middle-income countries where agriculture and water-intensive industries are the mainstay of employment (Connor and Chaves Pacheco, 2024).

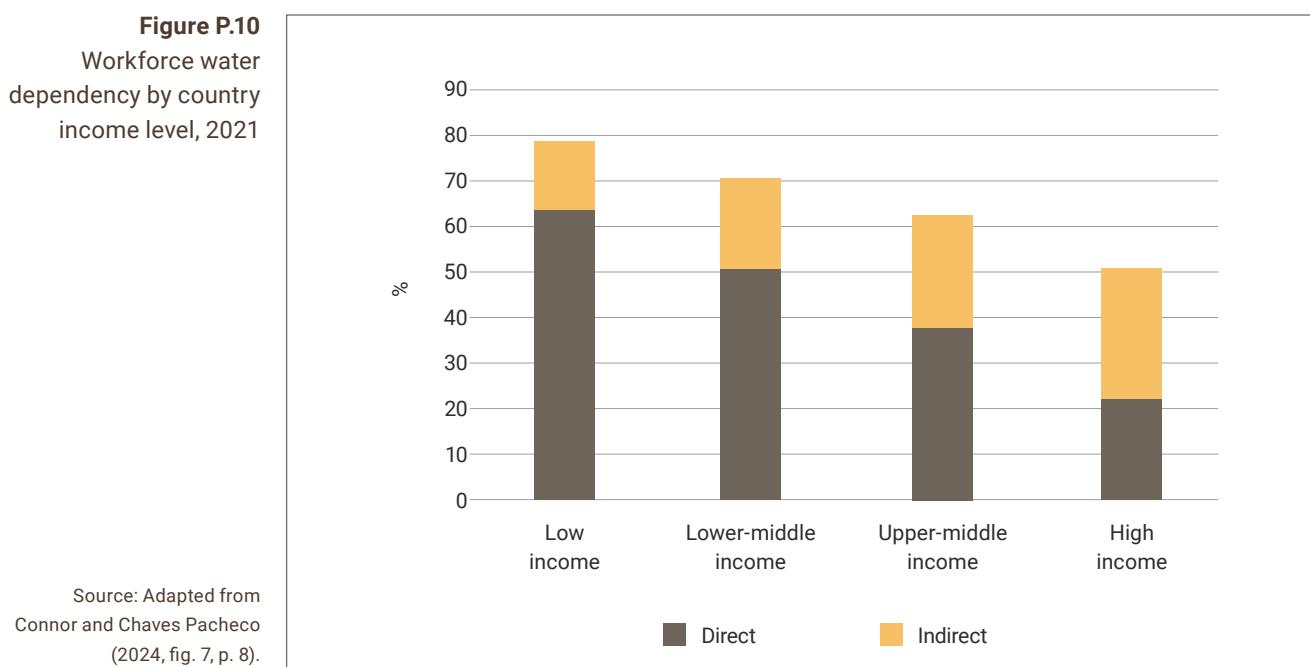
#### Water and peace

There is no global repository of data and/or empirical information directly concerning the relationship between water and peace, most likely because the latter is difficult to define, especially when taking account of contributing factors such as equality and justice.

The *International Water Event Database*,<sup>4</sup> which documents over 6,400 historical international 'water relations' from 1948 to 2008, has shown that a majority of these 'events' have led to more cooperative, rather than discordant, outcomes. The Water Conflict Chronology has

<sup>4</sup> For more information, please see: <https://transboundarywaters.science.oregonstate.edu/content/international-water-event-database>.

**Figure P.10**  
Workforce water dependency by country income level, 2021



catalogued over 1,600 events, ranging from direct attacks on pumps and pipeline systems to an “*anonymous threat made to contaminate the water supply with ‘biological contaminants’ [sic]*” (Pacific Institute, n.d.). The majority of these events are local (sub-national) in nature.

The water-related impacts of conflict are multi-faceted and often indirect, such as those linked to forced migration, food security and increased exposure to health threats. These and other impacts are further explored throughout this report.

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## Chapter 1

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# Introduction

**WWAP**

**Richard Connor, Dustin Garrick, Valentina Abete, David Coates and Michela Miletto**

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**Recent events, from global epidemics to armed conflicts, have emphasized that the socio-political conditions under which water is supplied, managed and used can change rapidly**

Equitable access to water resources, to safe and affordable water supply and sanitation services, and to the multiple benefits they generate are cornerstones of social, economic and environmental justice. All of these are essential to building and maintaining prosperous and peaceful societies.

Recent events, from global epidemics to armed conflicts, have emphasized that the socio-political conditions under which water is supplied, managed and used can change rapidly. Indeed, seismic shifts are occurring and liable to escalate. The accelerating impacts of climate change necessitate new approaches to energy and food security, with repercussions on everything from our dietary and general consumption patterns to land use and the management of natural resources. The COVID-19 pandemic has drawn attention to inequalities and vulnerabilities to health-related shocks, and has reset perceptions of risks and priorities. And, while globalization has brought increasing prosperity and several other advantages for some, the income gap between the world's wealthiest and poorest is widening at an unprecedented rate (Keeley, 2015).

Weaknesses in previous socio-political models have been laid bare, and recent and ongoing conflicts have shocked countries worldwide into rethinking their security and dependencies. These shifts can have significant implications for the water resources and water-related services that underpin all human activities, and play a central role in every aspect of sustainable development.

Some consequences may be positive, such as increased investment in water supply, sanitation and hygiene (WASH); reduced socio-economic vulnerabilities and inequalities; adaptation and increased resilience to climate change; and increased regional cooperation. Some may be negative, including shifts in public spending priorities, or increases in nationalism, national and regional water stress, pollution, and conflict.

However, managing water needs not to be solely reactionary. Improved water management can also serve to build the more robust socio-economic and political systems required to attenuate the impacts of both shocks and incremental changes, be they foreseen or unexpected. Whilst we may not be able to accurately predict the future, horizon scanning has become increasingly important to establishing a 'long view'.

In sum, water management must be aware of potential new socio-economic realities emerging from several factors, including climatic and geopolitical changes, their implications for water, and how water considerations might contribute to shaping those.

The **United Nations World Water Development Report 2024** seeks to demonstrate how developing and maintaining a secure and equitable water future can lead to prosperity and peace for all, whilst poverty (including inequality) and various types or levels of conflict can amplify water insecurity.

The Prologue to this report briefly describes the status and trends concerning global freshwater resources (demand and use, availability and stress, quality and pollution, extreme events, and climate change), summarizes the current level of progress on each of the targets of Sustainable Development Goal (SDG) 6, and examines available indicators linking water to prosperity and peace. Chapters 2–7 showcase experiences from different water-dependent (sectoral) perspectives: *Agriculture, Human Settlements, Industry, Energy, Environment, and Transboundary Cooperation*. Regional knowledge and practices are highlighted in Chapter 8. Chapters 9–12 address potential responses, showcasing opportunities for creating an enabling environment for positive change, notably through *Governance, Science and Information, Education and Capacity Development, and Investment*. Threats and opportunities emanating for the analyses provided throughout the report are summarized in Chapter 13.

## 1.1 Key concepts

Various definitions of **water security** have evolved over the past two decades (Box 1.1), from one that is mainly people-centred, to one that highlights both its productive/destructive potential and the role of ecosystems. The term **water insecurity**, on the other hand, has been generally linked to water stress and more simply defined as the lack of safe, reliable, sufficient and affordable water for a prosperous life (Stoler et al., 2022).

None of these definitions directly associate water security with national security, with the possible exception of the one proposed by UN-Water (2013), which adds “*in a climate of peace and political stability*” as a contextual element. The concept of ‘water security’ is first and foremost one of social security (ensuring communities’ and individuals’ access to water). In reality, however, water – and, by abstraction, water security – can be a highly geopolitical issue and, indeed, a determining factor in the prosperity and peaceful existence of communities, countries and regions.

The term **prosperity** is used throughout the report to encompass the opportunity and liberty to safely thrive. The term thusly goes beyond financial well-being. Water nurtures prosperity by meeting basic human needs, supporting health and livelihoods and economic development, underpinning food and energy security, and defending environmental integrity (Box 1.2).

### Box 1.1 Water security: Previous definitions

“... every person has access to enough safe water at affordable cost(s) to lead a clean, healthy and productive life, while ensuring that the environment is protected and enhanced.” (GWP, 2000, p. 12).

“... the availability of an acceptable quantity and quality of water for health, livelihoods, ecosystems, and production, coupled with an acceptable level of water-related risks to people, environments and economies.” (Grey and Sadoff, 2007, p. 545).

“... the capacity of a population to safeguard access to adequate quantities of water of an acceptable quality for sustaining human and ecosystem health on a watershed basis, and to ensure efficient protection of life and property against water-related hazards [such as] floods, landslides, land subsidence, and droughts.” (UNESCO, 2012, p. 7).

“... the capacity of a population to safeguard sustainable access to adequate quantities of and acceptable quality water for sustaining livelihoods, human well-being, and socio-economic development, for ensuring protection against water-borne pollution and water-related disasters, and for preserving ecosystems in a climate of peace and political stability.” (UN-Water, 2013, p. 1).

**Peace** generally refers to the absence of conflict, violence or war. On the one hand, this simple interpretation does not account for the interdependency of security, human rights, economic development and social justice (i.e. *positive peace*<sup>5</sup>). At the most fundamental of levels, water should contribute to peace by motivating cooperation and diplomacy in some direct or indirect way. On the other hand, inequalities in the allocation of water resources, in access to water supply and sanitation services, and in the distribution of social, economic and environmental benefits can be counterproductive to peace and social stability.

**Conflicts** over water can occur when demand exceeds supply, when availability is compromised due to pollution, when access to (and allocation of) water is disputed, when water supply and sanitation services are disrupted, or when water management institutions are inadequate. They can range from legal disputes to violent altercations, often reflecting event-specific socio-political, demographic and environmental conditions. The linkages between water and armed conflict are complex. Water has most often been a tool, a target or a victim of warfare, but typically not the cause of war (Wolf 2007; Gleick, 1993).

International humanitarian law explicitly includes protections for a wide range of civilian infrastructure, including water systems (Gleick et al., 2020). The 1977 Additional Protocols (I and II)<sup>6</sup> to the Geneva Conventions of 1949 introduced

<sup>5</sup> Positive peace refers to the attitudes, institutions and structures that create and sustain peaceful societies (IEP, 2022).

<sup>6</sup> The Additional Protocol (I) is related to international armed conflict, while the Additional Protocol (II) refers to non-international armed conflicts.

### **Box 1.2 Defining the elements of prosperity**

**Economic prosperity** – the capacity for an individual, company or society to improve its economic performance and/or standards of living, with a focus on countries' economic performance, including their overall productivity (including water productivity) as well as income equality.

**Social well-being** – the sufficiency of water services for supporting the health and welfare of all individuals, including the provision of safe drinking water and sanitation, food and energy security, and cultural integrity, among others.

**Environmental integrity** – the ability of the environment to maintain biophysical functions and services that support resilience and security under changing climatic and social conditions.

Source: Debaere et al. (2015, box 1, p. 4).

provisions related to the "Protection of works and installations containing dangerous forces" (Articles 56 and 15 respectively), clearly referring to dams and dykes, and the "Protection of objects indispensable to the survival of the civilian population" (Articles 54 and 14 respectively), which include "drinking water installations and supplies and irrigation works". These provisions prohibit to "attack, destroy, remove or render [them] useless" (ICRC, n.d.).

The year 2023 has seen several events in which water has been instrumentalized in violent conflicts (Gleick, 2023; OHCHR, 2023).

## **1.2 Adjusting to accelerating complexity and change**



**International  
humanitarian law  
explicitly includes  
protections for  
a wide range  
of civilian  
infrastructure,  
including water  
systems**

The world currently faces acute challenges from climate change, sustained poverty, constrained growth, socio-political unrest, forced migration and environmental degradation.

While water is unquestionably essential for life and supports all economic activity, some sectors depend heavily on water whereas others are only indirectly affected. Water cuts across sectors and borders. As a result, water plays many key roles, from the local to the global, from informal to formal, and across both the public and private sectors. However, it is extremely challenging to empirically measure water's direct impact on most economic, social and environmental indicators of progress.

Trade globalization creates economic interdependencies, which can be positive and negative, such as resilience to climate risks, on the one hand, or volatility in global commodity markets, on the other. For example, agricultural trade and foreign direct investment in land and water resources have been used to alleviate local water stress and resolve tensions by importing water-intensive crops and manufactured goods to water-stressed regions (Hoekstra, 2003). However, growing demand for water (and especially for water-dependent goods such as food and energy) can make certain states/countries vulnerable to 'perverse' official development assistance (i.e. ODA with strings attached) or foreign investments (e.g. land and water grabs) (Breu et al., 2016). These can undermine national sovereignty (self-determination) and long-term water security, which can in turn lead to social and civil unrest (Dell'Angelo et al., 2021).

Leveraging water for prosperity and peace therefore requires actions beyond the water domain. Policies, technologies and incentives can induce behavioural and structural changes, with levers across multiple systems (e.g. food, energy) and networks (e.g. supply chains, cities). Important levers have historically been treated as 'outside' the water domain, even though water has long been a focus of rural and regional development efforts. For example, interventions in energy pricing, agricultural subsidies, supply chain management (e.g. insetting) and urban planning need to address water depletion and pollution, as well as extreme events. A cross-cutting priority is to link water management efforts with broader socio-economic development policies and investments.

• • •

## Climate and economic shocks can create political will for legislative reform and financing commitments when the costs of inaction become increasingly visible

### Major global events and disruptions magnify water risks...

The impacts of climate change, geopolitical unrest, pandemics, mass migration, hyperinflation and other crises can exacerbate water access inequalities. The emergence of 'alternative' facts/media, in combination with rampant political propaganda, can potentially intensify competition and tensions over water, putting the basic needs for people and ecosystems at risk. Climate change and associated changes in temperature, variability and extremes are affecting the timing and availability of water through aridification, inundation and pollution events. In nearly all cases, the poorest and most vulnerable groups are those that suffer the greatest risks to their well-being and livelihoods.

...but also create opportunities for cooperation and transformation.

These trends and events can also open policy windows, which can be used to enhance resilience and forge adaptive capacity. For example, climate and economic shocks can create political will for legislative reform and financing commitments when the costs of inaction become increasingly visible. Decades of inaction on climate change illustrate the dangers of short-sited, 'laissez-faire' attitudes, even in the face of rapidly evolving scientific evidence. In the context of deepening uncertainty, rather than focusing on single instruments, a new set of economic and development scenarios are required to generate a portfolio of solutions.

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## Chapter 2

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# Agriculture and rural development

FAO

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Water is central to agriculture and is a key socio-economic driver for sustainable growth, livelihoods, justice, food security and labour. In an era marked by increasing global population, climate change and growing competition for water resources, investing in agriculture will be key to addressing important challenges such as food security and poverty reduction.

Agriculture is the largest employer of the world's poor (Townsend et al., 2013). Approximately 80% of the extreme poor live in rural areas and depend on agriculture for their livelihoods and food security (IFAD, 2010). Broad-based rural development and the wide sharing of its benefits are effective means of reducing poverty and food insecurity (United Nations, 2023a). Inclusive agricultural water policies and management, including climate resilience and pollution control, are necessary priorities for future global water and food security.

## 2.1

### Ensuring water and food security

Although food production increased by more than 100% in the last 30 years, the Food and Agriculture Organization of the United Nations (FAO) estimates that, by 2050, about 50% more food (than in 2012) will be needed to meet the food requirements of a growing global population with changing dietary patterns (FAO, 2018; 2021). Increasing food demands, together with the climate change impacts in the water cycle, will require a combination of improved agricultural water management and environmentally sustainable food systems.

Agricultural production depends on water access and availability. It is among the most vulnerable sectors to climate-related water risks, as it uses approximately 72% of the freshwater withdrawals globally (FAO, 2023). In many semi-arid countries, dependence on rainfed agriculture and lack of access to agricultural water for millions of smallholder farmers reduces their production potential, livelihood, resilience to shocks and local food security.

Food security can be a key driver of prosperity and peace, but is also highly vulnerable to disruptions arising from conflicts. It is estimated that between 690 and 783 million people in the world faced hunger in 2022, and it is projected that almost 600 million people will still face hunger in 2030. Worldwide, food insecurity disproportionately affects women and people in rural areas. Indeed, moderate or severe food insecurity affected 33.3% of adults living in rural areas in 2022, compared with 28.8% in peri-urban areas and 26.0% in urban areas. The proportion of the population facing hunger is much larger in Africa than in other regions of the world: nearly 20% (compared with 8.5% in Asia, 6.5% in Latin America and the Caribbean, and 7.0% in Oceania – FAO/IFAD/UNICEF/WFP/WHO, 2023).

Climate change, environmental degradation and conflicts can affect rural-to-urban migration movements, which lead to a decrease in the availability of labour for food production, as well as a decrease in the availability of food in the areas from which people are migrating. A study of eight developing countries suggested that food insecurity – stemming from the impacts of adverse climatic factors on agricultural productivity – can drive migration (Warner et al., 2012).

Up to 70% of all food produced globally is destined for the urban market (Reardon et al., 2014; FAO, 2017a). By 2050, two out of three people will be living in towns and cities, with most growth occurring in the less-developed regions of Africa and Asia. Urbanization affects agri-food systems through changes in land use patterns and water availability and quality, particularly in peri-urban areas. Uncontrolled urbanization and rural-to-urban migration put pressure on peri-urban areas



***Investing in agriculture will be key to addressing important challenges such as food security and poverty reduction***

## **2.2 Investing in irrigation and agricultural water productivity**

threatening the sustainable management of resources, including water. There is a need to develop policies and programmes that recognize these interactions but also to invest in technology and innovation, such as water reuse for agricultural irrigation. Critical transformational change in promoting food and agricultural water security is required at the country level. The Sustainable Development Goals (SDG) framework is being used by International Financial Institutes (IFI) to show the relationship between investments and development goals.<sup>7</sup>

Irrigation plays a critical role in the transition from subsistence to commercial farming, poverty alleviation and economic growth. Irrigated yields tend to be 30–100% higher compared to adjacent rainfed areas. In regions with a pronounced dry season, irrigation allows to extend the growing season, which may support the production of more nutrient-dense and/or more profitable food, such as fruits and vegetables. Irrigation allows for the stabilization of production under climate change, and can serve as a conduit of broader rural access to water resources. Combined with improved capacity and governance, rural markets and infrastructure, irrigation generates direct benefits (increased profitability, reduced risk of crop failure, stabilization of local food production and fairer access to water resources), as well as indirect benefits (employment, additional income, and balanced conditions of food and supply markets) (FAO/OECD, 2021).

Since 1961, the area under irrigation more than doubled, from 139 million ha to over 328 million ha in 2018 (FAO, 2021). About 40% of global agriculture production comes from irrigated land, which is only about 20% of all agricultural land (FAO/OECD 2021). Meanwhile, rainfed agriculture accounts for almost all cropland in Sub-Saharan Africa (93%), three quarters of cropland in Latin America and the Caribbean, two thirds of crop land in the Near East and North Africa (NENA), and more than half of the cropland in Asia. Techniques for enhancing yield potential in rainfed systems include rainwater harvesting and sustainable land management, and soil conservation practices such as mulching, terracing and reduced- or no-tillage, as well as supplemental irrigation.<sup>8</sup>

In Sub-Saharan Africa, water is generally available for rural farmers, but capital investment is needed to access and expand small-scale irrigation, which can in turn support and improve smallholders' livelihoods and profitability. Policy-making needs to ensure climate change-resilient access to water for smallholder agricultural production, whilst accounting for the needs of other sectors through more integrated strategies. Policy support and investment are required to improve natural resources management practices and technologies for pastoral and agropastoral livestock keepers and crop producers in rainfed and irrigated systems. Policies aimed at reducing trade barriers can increase the availability and affordability of food, while integrated landscape management can help reverse degradation trends and enhance ecosystem health and function (Cervigni et al., 2016).

There is a need for smallholder, people-centred investment as well as large infrastructure-related investments. However, investment opportunities for most of the world's small-scale farmers are rarely available. While farmers in high-income countries have the financial means to allocate capital across a portfolio of possible agricultural activities (Blank, 2001), farmers in developing countries tend to invest in 'household portfolios' that encompass both agricultural and non-agricultural activities (Raes et al., 2016; Bingen

<sup>7</sup> For more information, please see: [www.imf.org/en/Topics/SDG](http://www.imf.org/en/Topics/SDG).

<sup>8</sup> Supplemental irrigation refers to the addition of small amounts of water to essentially rainfed crops during times when rainfall fails to provide sufficient moisture for normal plant growth, in order to improve and stabilize yields.



## **Urbanization affects agri-food systems through changes in land use patterns and water availability and quality, particularly in peri-urban areas**

and Simpson, 1997). In the heavily agricultural northeast of Thailand, one study found that many of the entrepreneurial farmers in the area had moved into farming as adults or retirees (Somkaun et al., 2019), bringing their entrepreneurial skills and motivations and applying them to their new livelihoods (Nordin et al., 2005; McElwee, 2008).

The World Bank supports the Farmer-led Irrigation Development initiative (World Bank, 2021) where farmers, alone or as a collective, take the lead in the establishment, improvement, or expansion of irrigated agriculture towards more entrepreneurship; they can in turn develop technologies, make investments, create market linkages and invest in their own resources.

*"Placing farmers in the role of investors and entrepreneurs offers them an active and purposive role in water management, complementary to their equally active role as conservators and holders of traditional knowledge (CFS, 2014). The implication is that farmers should be treated as partners in agricultural investments (CFS, 2014). This idea is central to what the Committee Food Security (CFS) has termed 'responsible investment' in agricultural and food systems. Of the ten principles laid out by the committee, half call for empowerment, inclusion, or respect for farmers' unique knowledge" (Ghosh et al., 2022, p. 6). These principles are voluntary and can apply to various private investors or to international financial institutions.*

Despite the high capital upfront cost of most water infrastructure investments, a number of public-private partnerships (PPPs) has been supported by IFIs. The World Bank's investment in the Zambia Irrigation Development Support Project (World Bank, 2011) pairs rainfed subsistence farmers with commercial farmers.<sup>9</sup> The project approach is novel in focusing on the irrigation service provision rather than the irrigation systems through the mobilization of long-term professional private operators, similar to urban water utilities projects.

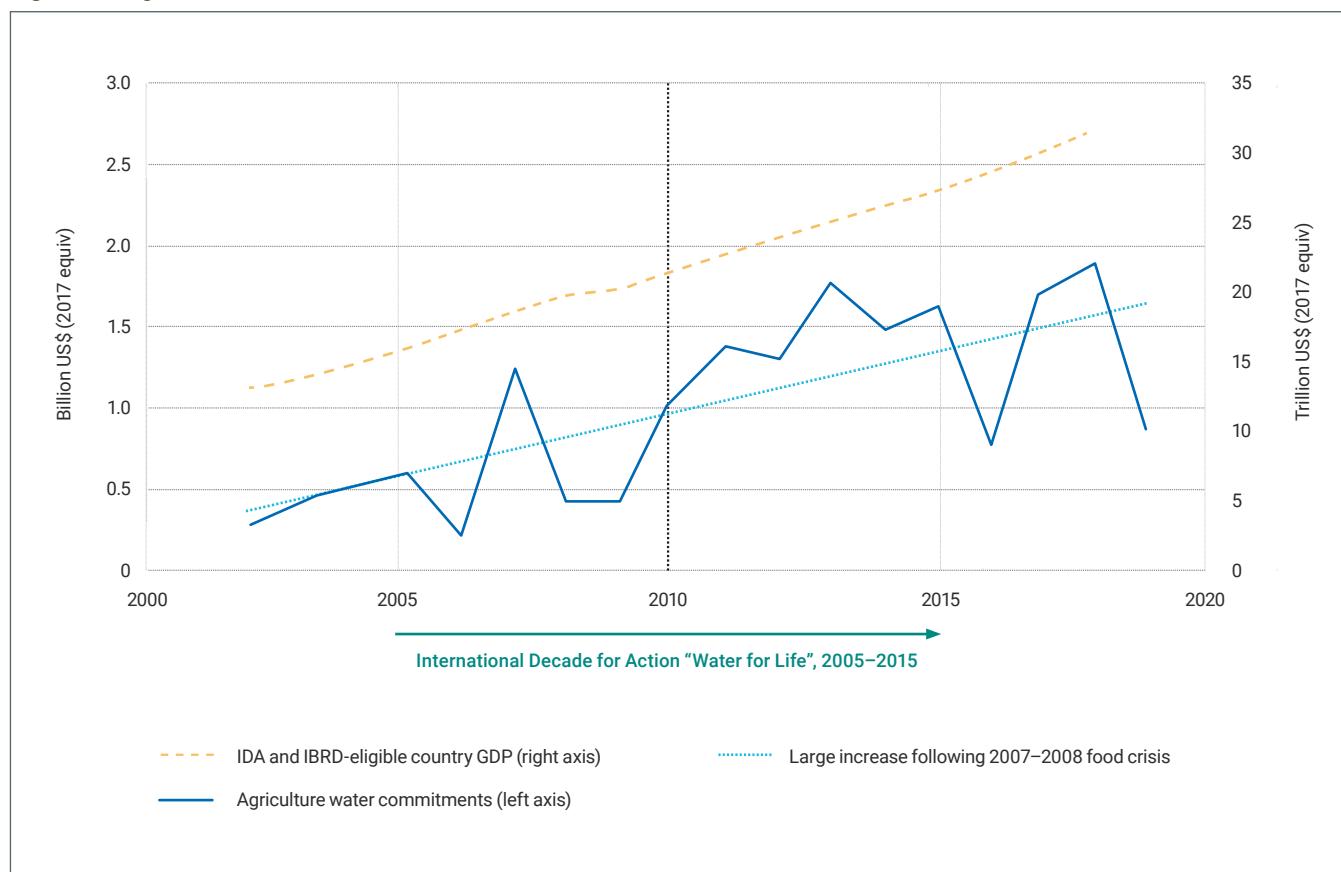
*"While there has been some movement towards full-cost recovery from water investments, particularly for irrigation, subsidies still dominate (Toan, 2016; Ward, 2010). IFI commitments can reduce risk for private lenders (Goksu et al., 2017; Rao, 2020). Governments can also reduce private actors' perceived risk by broadening the portfolio within a PPP contract to mix different risk and income profiles (Poulton and Macartney, 2012). Unlike a situation in which the government directly underwrites an investment, for example through a subsidy or by guaranteeing a minimum payment from an otherwise uncertain revenue stream, portfolio diversification is a standard strategy for reducing investor risk" (Ghosh et al., 2022, p. 8) and for attracting private financing in agriculture water development.*

As shown in Figure 2.1, there was a clear increase in financing commitments for agricultural water resources following the 2007–2008 food crisis (Grossman et al., 2021). As shown by the trend line (the dotted line in the graph), investment tended to broadly parallel growth in the gross domestic product (GDP) of countries eligible to receive funding from the International Development Association (IDA) and the International Bank for Reconstruction and Development (IBRD – the dashed line in the graph). This period also overlapped with the 2005–2015 UN International Decade for Action on "Water for Life", which may have influenced the funding priorities towards water. The dip in 2020 coincided with the pandemic period, when activities slowed. Figure 2.2 shows the top-ten of water investment types, sorted by the size of commitments during the 2010–2019 period, when the main water-related investment type was water infrastructure rehabilitation and modernization (Huber-Lee et al., 2021).

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<sup>9</sup> The project considers three types of irrigated plots: (i) small farms with a maximum size of 1 ha, using flood or furrow irrigation; (ii) emerging commercial farms with a size of 1 to 5 ha plots belonging to individual farmers or small groups of neighbouring farmers using sprinkler irrigation systems or other appropriate technology and farmed under the guidance of the scheme professional operator; and (iii) commercial farms with at least 60 ha, owned by the community or cooperative, using centre pivots or other types of modern irrigation and farmed by a professional operator.

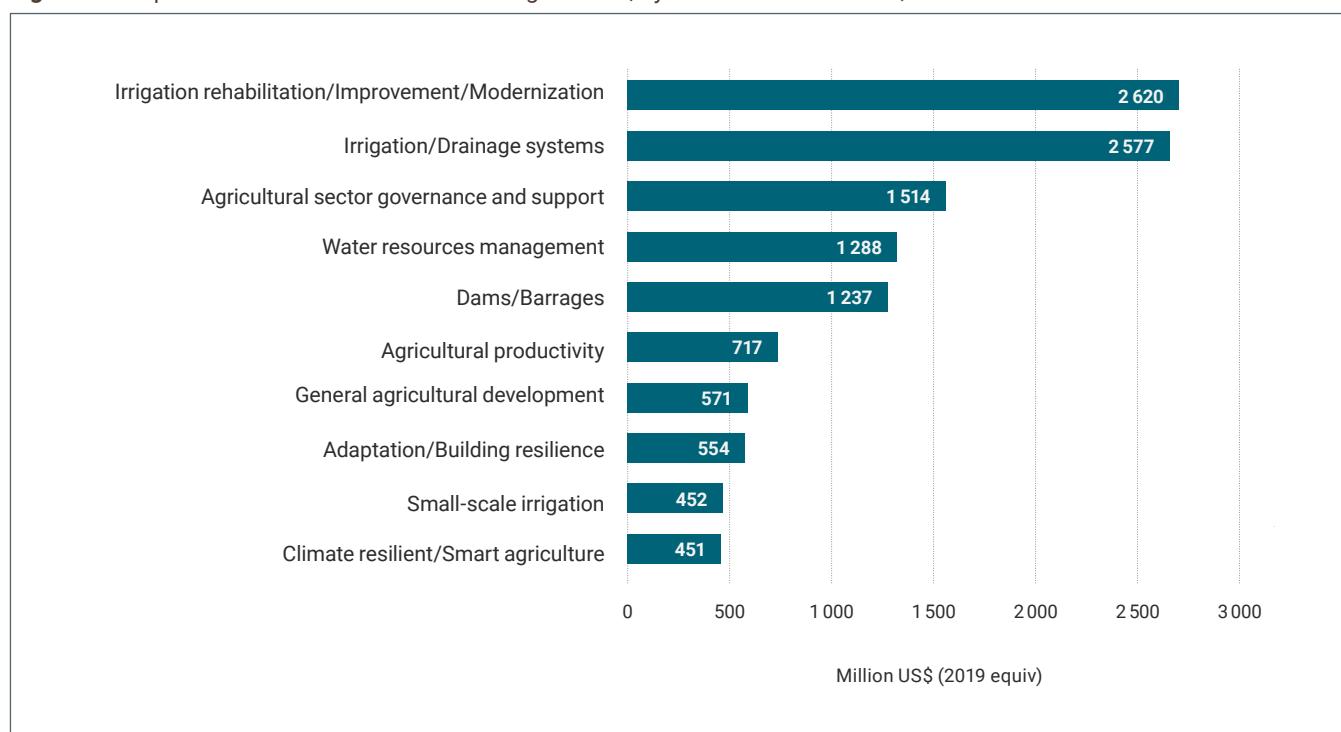
**Figure 2.1** Agriculture water investments increase with the Decade for Action “Water for Life”, and the food crisis



Note: Volume of investment commitments in agricultural water resources from all International Financial Institutions (IFIs), 2002–2019 (left axis), with the gross domestic product (GDP) of likely recipient countries (right axis): countries eligible for International Development Association (IDA) or International Bank for Reconstruction and Development (IBRD) funding.

Source: Adapted from Ghosh et al. (2022, fig. 2, p. 18).

**Figure 2.2** Top-ten water investments areas in agriculture, by size of commitments, 2010–2019



Source: Ghosh et al. (2022, fig. 7, p. 23).

Irrigation investment priorities for future sustainable development would be “to open up opportunities to mobilize new sources of water and green the agricultural water management sector, for example with wastewater reuse, desalination, solar irrigation, managed aquifer recharge. Alongside new infrastructure, the modernization of existing irrigation systems with improved water data acquisition, water accounting, real-time water infrastructure operation and management, water harvesting as a supplemental, and many more improvements to existing irrigation infrastructure can close the performance gap to higher service delivery standards” (Ghosh et al., 2022, p. 38).

### 2.3

## Climate change impacts on food security

Rising temperatures, erratic rainfall patterns and extreme weather events impact water resources, increase pests and diseases of crops and livestock, and cause flooding and drought. Climate change stresses crops both directly, through crop loss, and indirectly, through the rise of pests and diseases or insufficient water supply. More than 3 billion people live in agricultural areas with high or very high levels of water shortages or water scarcity (FAO, 2020a). Some 1.81 billion people are directly exposed to floods, which pose significant risk to lives and livelihoods (Rentschler et al., 2022). The impacts of climate change are expected to aggravate this situation, with repercussions for agri-food systems and human health, among others. Figure 2.3 depicts the schematic representation of climate change, and the cascade effects of land degradation and deteriorating atmospheric composition on food security and nutrition.

Effective strategies require a coordinated approach for land and water management through the agricultural sector, whilst also considering factors such as disaster risk reduction, biodiversity recovery and sustainable community livelihoods. It would be important to coordinate and strengthen joint water and climate governance to mainstream freshwater concerns in all climate mitigation and adaptation planning and action, particularly when setting nationally determined contributions (NDCs). This would help facilitate participation of the food and agriculture sector and all relevant ministries and actors in the establishment of coherent problem-solving measures. Governance frameworks and instruments need to be adapted to fit local circumstances. Better coordination and collaboration between stakeholders, sectors and transboundary basins will help address trade-offs (United Nations, 2022).

Climate-smart approaches in agricultural water management investment planning specifically address the impact of climate change on agricultural water management and small-scale irrigation. There are various types of climate-smart approaches, such as solar and other renewable energy irrigation systems. Participatory mechanisms that farmers and water user associations (WUAs) use to express their needs help make these irrigation systems inclusive and sustainable at the local level (United Nations, 2023b).

Innovations in digital agriculture can support productivity, profitability and affordability of farmers by enhancing integrated information systems for different types of crops and fields (agroecological zones) to govern water, soil and land and, simultaneously, strengthen governance, institutions and human capital by providing tools for improved decision-making. Global existing information and data platforms such as the water productivity remote sensing portal (WaPOR),<sup>10</sup> global agroecological zones (GAEZ)<sup>11</sup> geospatial

<sup>10</sup> For more information, please see: [www.fao.org/in-action/remote-sensing-for-water-productivity/en/](http://www.fao.org/in-action/remote-sensing-for-water-productivity/en/).

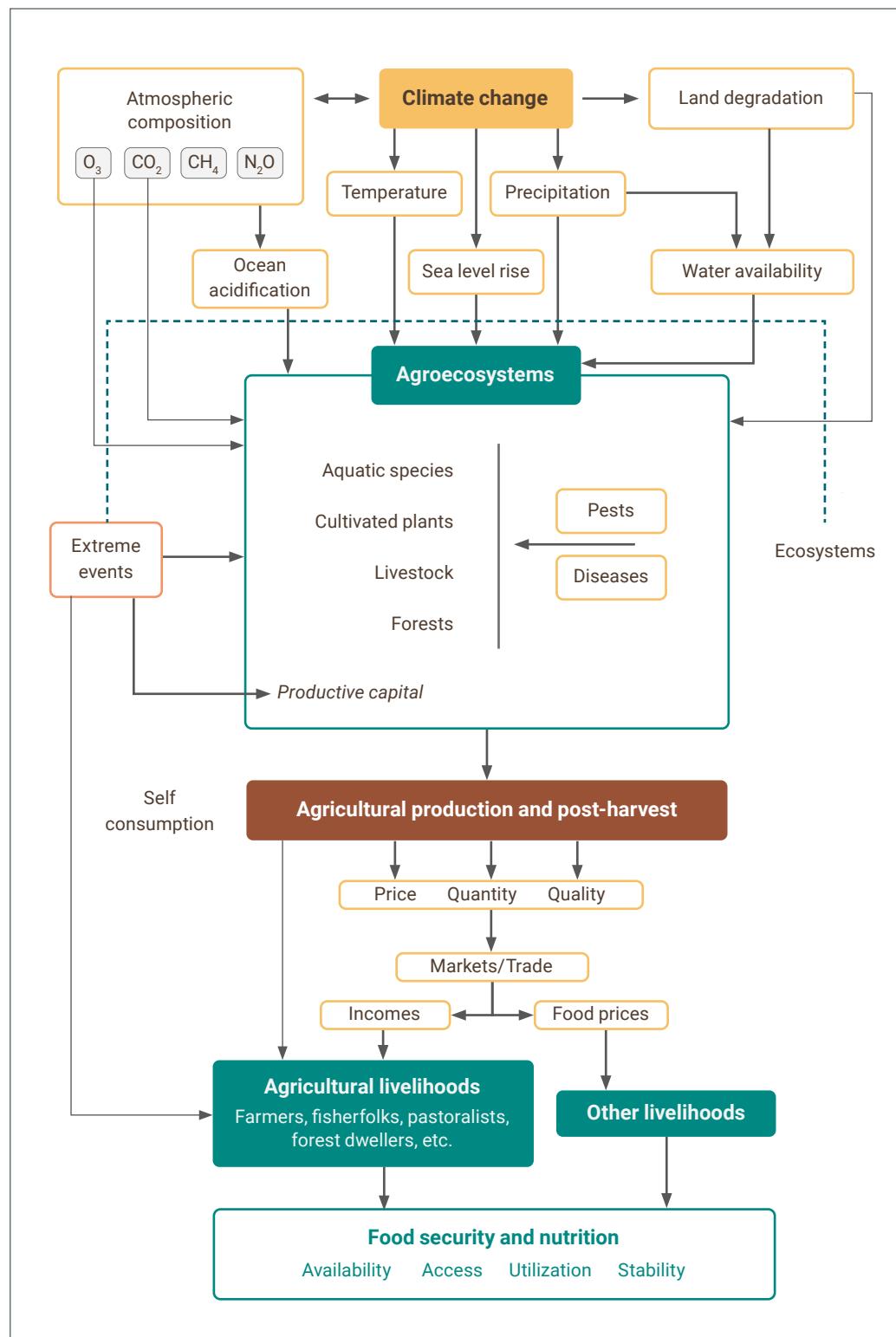
<sup>11</sup> For more information, please see: <https://gaez.fao.org/>.

**Figure 2.3**

Schematic representation of the cascading effects of climate change impacts on food security and nutrition

Note: A range of physical, biological and biophysical impacts bear on ecosystems and agroecosystems, translating into impacts on agricultural production. This has quantity, quality and price effects, with impacts on the income of farm households and on purchasing power of non-farm households.

All four dimensions of food security and nutrition are impacted by these effects.



Source: FAO (2015, fig. 1, p. vii).

mapping, the Global Information and Early Warning System on Food and Agriculture (GIEWS)<sup>12</sup> and the Agricultural Stress Index System (ASI)<sup>13</sup> for drought, soil and irrigation mapping, as well as land suitability tools, can support efficient and sustainable use and management of natural resources.

<sup>12</sup> For more information, please see: [www.fao.org/giews/en/](http://www.fao.org/giews/en/).

<sup>13</sup> For more information, please see: <https://data.apps.fao.org/catalog/iso/66b7d407-edd4-490e-8b71-a9b7db6527f3>.

## 2.4 Agriculture and water governance

The International Fund for Agricultural Development (IFAD) and FAO are implementing a project on nutrition and water-sensitive agriculture in six pilot countries,<sup>14</sup> examining the linkages between water, food, nutrition and climate change. Current programmes support capacity-building of farmers and institutions to improve access to more diverse, nutritious and affordable food options for improved dietary quality and diversity for all through improved water productivity.

With more than 733 million people currently living in areas of high or critical water stress (FAO/UN-Water, 2021) and a projected 30% increase in global water demand by 2050 compared to 2010 (Burek et al., 2016), the role of water access, allocation, and management is key for sustainable economic development. To feed a projected global population of 10 billion in 2050, agricultural production will need to increase by almost 50% compared to 2012 (FAO, 2017b), with much of this growth expected to be achieved through irrigation and water capture and storage, among other soft and hard innovations. Yet, water is also required to satisfy growing domestic, industrial and urban water demand, accentuated by affluence-driven diet changes and economic development.

Increasing water demand, coupled with the impacts of climate change, poses a significant challenge for all states in terms of water allocation and the governance of water tenure, particularly in the context of food security. On the one hand, it will be necessary to strike a balance between the security of access to water resources coupled with necessary investments in infrastructure. On the other hand, a sufficient degree of flexibility in terms of institutions and infrastructure operations will be needed so that water can be reallocated in a transparent and equitable manner to reflect climate change impacts and evolving needs. This can be achieved through the responsible governance of water tenure.<sup>15</sup>

Like is the case for other natural resources, many issues in water governance are directly linked to tenure rights. In many countries, access to and use of water resources in rural areas is governed by customary arrangements (which may or may not be recognized and protected by formal law) and by a range of informal water tenure arrangements.

Customary tenure arrangements for natural resources can play a significant role in securing the tenure of a wide range of individuals and groups, including indigenous peoples and local communities (IPLCs), and particularly the women within those communities. “Secure community rights to use and govern freshwater for multiple purposes are necessary for the survival, health, food security and livelihoods of communities, but also to effectively steward water resources in their territorial resource management practices, while preserving communities’ cultural identities and knowledge” (Troell and Keene, 2022, p. 4). The community-based tenure systems employed by IPLCs worldwide regulate access to and use of natural resources on at least half the world’s landmass, including over 60% of the land in Sub-Saharan Africa (RRI, 2015). Customary laws can also be amenable to flexible dispute resolution mechanisms around natural resources.<sup>16</sup>

In customary systems, tenure arrangements overseeing access, use and management of land and water resources tend to be linked: the access and use of water are granted through the access and use of land. Linkages between land access and water access can also be found under formal legal frameworks; but some countries have adopted legal frameworks

<sup>14</sup> For more information, please see: [www.fao.org/documents/card/en/c/cc4108en](http://www.fao.org/documents/card/en/c/cc4108en).

<sup>15</sup> Tenure refers to the relationship, whether legally or customarily defined, between people, as individuals or groups, with respect to water resources (FAO, 2020b).

<sup>16</sup> See e.g. Deininger (2003).



## **Customary tenure arrangements for natural resources can play a significant role in securing the tenure of a wide range of individuals and groups, including indigenous peoples and local communities**

that separate land from water access and use. These permit-based rights to water are often not tied to a right to land (FAO, 2016). Hence, the possible impacts of land access and management on water access and management (and vice versa) will vary according to the countries' legal frameworks governing natural resources, as well as the possible tenure arrangements under local customary systems. A water tenure assessment provides a nuanced view of the tenure arrangements, allowing governments, civil society and farmers' organizations to engage in a dialogue on how water access can be secured for all legitimate users as well as for ecosystems. It needs to be underpinned by reliable data on water availability and water demand, which can be achieved through water accounting.

Responsible water tenure governance relies on mechanisms and processes that articulate the interests of different actors, mediate their differences, and ensure that their rights and duties are exercised with transparency and equity. The Committee on Food Security endorsed the Voluntary Guidelines on the Responsible Governance of Tenure (VGGT) of Land, Forests and Fisheries in May 2012. However, only recently has water tenure been included for discussion through the Global Dialogue on Water Tenure,<sup>17</sup> where Member States and partners from civil society, academia and the private sector will examine the multitude of issues surrounding water tenure governance and identify, recognize and ultimately protect all legitimate water rights.

In recent years, FAO and partners have spearheaded work on water tenure through global consultations as well as on-the-ground assessments of water tenure in several countries through the Knowing Water Better project (KnoWat – see Box 2.1).

To achieve sustainable water management and food security, states need to strengthen the focus on responsible governance of water tenure, in order to ensure that all legitimate water users (including smallholders, women and girls, and IPLCs) have secure and adequate access to water resources, bearing in mind that in rural areas, many people depend on customary tenure arrangements for their access to water. As a first step, a water tenure assessment can provide a nuanced picture of all existing water tenure arrangements of formal, informal and customary nature. Equitable and climate proof allocation mechanisms need to be underpinned by a sound understanding of water availability and demand. Remote sensing technologies such as FAO's WaPOR tool can help shed light on the use of water resources in agriculture, which is particularly relevant in many countries due to the large volumes of water used in the sector.

## **2.5 Transforming agricultural water management**

Agricultural water resources are key to meeting global food security goals. With agriculture consuming the largest share of water, there is the need to focus on the right management methods of agricultural water and apply the correct policies to have the best results.

Table 2.1 presents possible policies and intervention areas to reduce water shortages and scarcity in crop and livestock systems, as well as interventions and strategies for inland fisheries and aquaculture. These are elements of a portfolio of interventions for an 'all of agriculture' water management strategy in parallel with intersectoral efforts to make water use more sustainable. Building on the spatial analysis for rainfed, irrigated and livestock production, water accounting, as a premise for sustainable water management, is a useful tool for all types of water users (FAO, 2020a).

Globally, 84% farms are small (less than 2 ha), they operate around 12% of all agricultural land but produce roughly 35% of the world's food by value (Lowder et al., 2021). Women

<sup>17</sup> For more information, please see: <https://sdgs.un.org/partnerships/global-dialogue-water-tenure-0>.

**Table 2.1** Policy priorities for improved water management in agriculture

Strategies/actions	Rainfed areas		Irrigated areas	Inland fisheries and aquaculture
	Cropland	Pastureland		
	High to very high drought frequency on 77 million hectares (low-input) and 51 million hectares (high-input)	High to very high drought frequency on 656 million hectares	High to very high water stress on 171 million hectares	
Water accounting and auditing	Sound and transparent water accounting	Monitoring systems; water and feed assessments in drylands; using water as main input instead of land in environmental assessments	Sound and transparent water accounting	Incorporate proper valuations of water-related ecosystems and environmental flows in water accounting
Good agricultural practices	Best agronomic practices (e.g. improved seed varieties, nutrient and pesticide management, restoring soil organic matter and mulch)	Nutritional strategies; use of shade on yards; regulating ambient temperature; improved seeds and cropping systems of forage/feed crops; improve animal health and reproduction; strategic construction of forage and boreholes	Best agronomic practices (e.g. improved seed varieties, nutrient and pesticide management, restoring soil organic matter and mulch)	Responsible stocking and enhancement strategies for capture fisheries in human-made waterbodies through appropriate genetic material and use of non-indigenous species; improved aquaculture efficiency through water productivity and reuse, integration, and best aquaculture practices
Policy instruments	Extension services; financial services; crop insurance; targeted subsidies; improved market access (e.g. through roads)	National guidelines and standards for livestock responses to water risks; targeted subsidies (e.g. to restore pasturelands and to encourage using crop residues as animal feed)	Extension services; financial services; crop insurance; targeted subsidies	Adjust incentives and policies that impact negatively fisheries and aquaculture
Information and communication technology	Early warning systems; phone apps to deliver information on markets, weather, precision agriculture	Early warning systems; technologies for extensive grazing management (e.g. spatial information systems for mapping water points)	Early warning systems; phone apps to deliver information on markets, weather; precision agriculture	Wireless sensors to monitor water conditions and fish behavior
Water conservation	Soil and water conservation strategies, such as through terracing, contour cultivation and conservation agriculture	Water-efficient drinking devices; maintenance and repair of water troughs; integrated approach of hydraulic improvements	Conservation agriculture; water-use-efficient irrigation systems	Consider trade-offs between crop and fish production; form refuge areas in rice systems
Water harvesting and irrigation	Water harvesting	Use of tanks and reservoirs for livestock watering; preserve harvesting, conservation and irrigation systems; integrated solutions (e.g. rainwater harvesting that releases water for livestock watering)	Irrigation rehabilitation and modernization	Integrated solutions (e.g. rainwater harvesting that releases water for raising fish; small ponds)
Water governance	Community participation; integrated watershed management approaches	Community participation; customary or indigenous institutions; pastoralist organizations	Allocation and market-based tools; water users associations	Fishing/aquaculture associations; aquaculture allocation; regulations for retaining environmental flows; incorporate nutrition outcomes into policies/planning
Trade	Virtual water trade	Virtual water trade	Virtual water trade	Virtual water trade
Non-conventional water resources		Using water from alternative sources for feed production and animal drinking/watering	Water reuse and desalination; integrated systems (e.g. rice–fish and aquaponics)	Integrated systems (e.g. rice–fish and aquaponics) that allow reusing water
Nature-based solutions	Nature-based solutions	Nature-based solutions	Nature-based solutions	Nature-based solutions to enhance environmental and biodiversity services

Source: FAO (2020a, table 7, p. 121).

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## Different farmers have different needs, and farmer-led initiatives can help guide investments and gender roles

are often responsible for balancing household water use to agricultural water needs, and securing water typically falls on women and girls, adding to their unpaid and domestic work burdens. There needs to be “*a fundamental shift beyond considering water as a resource for food production to focusing on people and the role that water plays in their livelihood strategies*” (WWAP, 2006, p. 268). Box 2.2 addresses small-scale farmers’ livelihoods in poverty alleviation. Different farmers have different needs, and farmer-led initiatives can help guide investments and gender roles, such as through a gender analysis of projects, plans and policies. Farmers’ increases in crop yields and agricultural production alleviate poverty.

*“One clear shift in the past decade is the need to factor climate change into planning agricultural water investments. Climate uncertainty means that investments in agricultural water need to be flexible across a wide range of wet and dry [climatic] conditions. In 2020, US\$ 38 billion were committed by major IFIs towards climate finance in developing and emerging countries; of that, approximately 20% is agriculture-related. Around 7% of the reported IFI climate finance commitments to low- and middle-income countries are channeled through bilateral agencies and dedicated climate finance funds”* (Huber-Lee et al., 2021, p. 9).

### Box 2.1 The Knowing Water Better (KnoWat) project

The KnoWat project has been engaging to strengthen water governance processes in Rwanda, Senegal and Sri Lanka so that countries are better prepared to ensure food security and to adapt to climate change, water scarcity and increased competition for water resources in an equitable and sustainable manner. The project has taken an innovative approach to water resources assessment, developing national capacities to generate data and information for decision-makers and farmers in order to improve water management and allocation. The outcomes were strengthened country capacities in water accounting (the study of status and future trends in water supply and demand) and the assessment of water productivity (how much water is used per unit of yield) based on FAO’s Water Productivity through Open-access of Remotely sensed derived data (WaPOR) tool.<sup>a</sup>

These capacities are complemented with the assessment of water tenure to deepen the understanding of access and allocation mechanisms of water resources, with a view of protecting access rights of vulnerable populations. A water tenure assessment guide has been developed and its application was tested in all three countries, the results of which have fed into the Global Dialogue on Water Tenure.

<sup>a</sup> For more information, please see: <https://data.apps.fao.org/wapor/?lang=en>.

With increasing food demands, decreasing land and water availability alongside issues of environmental degradation, much of the next generation of investments will need to focus on intensifying agricultural production in a sustainable manner through improved management and governance. International organizations and governments are already looking into how to invest in modern technologies and processes to meet land- and water-related SDG targets at a faster and greater scale. To ensure reliable harvests and respond to climate change and agricultural land expansion constraints, the research community and the private sector are continually finding innovative methods to improve agricultural yields and crop quality. Expanding and integrating information and communications systems for farmers through digital advancements can provide timely information to better inform optimal water management, given the climate and market conditions, and provide early warning systems (Ghosh et al., 2022, pp. 34–35).

Poor smallholder farmers are more connected than ever through mobile and internet subscriptions thanks to wireless networks and satellite systems. However, even though coverage is expanding, 1.2 billion people do not have broadband-capable networks, with the majority living in rural areas. Digital adoption challenges in rural areas include high infrastructure costs (especially for the ‘last mile’ of connectivity), capacity constraints, limited access to electricity, and the need to create relevant, localized and actionable content for farmers (GSMA, 2018, as cited in Ghosh et al., 2022, p. 36). There are a number of international projects underway to improve rural connectivity from the FAO’s Digital Village Initiative<sup>18</sup> to the World Bank’s Digital Rural Transformation Projects.<sup>19</sup>

<sup>18</sup> For more information, please see: [www.fao.org/platforms/digital-village-initiative/en](http://www.fao.org/platforms/digital-village-initiative/en).

<sup>19</sup> An example can be found on: <https://cybilportal.org/projects/digital-rural-transformation-project-benin/>.

## **Box 2.2 Addressing small-scale farmers' livelihoods in poverty alleviation**

Close to 84% of smallholder farms in low- and middle-income countries are located in water-scarce regions, and less than a third have access to irrigation (Ritchie, 2021; FAO, 2021). There is a need for more attention and help to smallholder farmers and the rural poor, in particular women and children, as the role they play contributes to achieving the Sustainable Development Goals as well as conserving local ecosystems. FAO's framework on extreme rural poverty recognizes that conserving and restoring natural resources should directly benefit the rural poor, particularly those living in remote marginalized areas. This is linked to promoting responsible governance of the tenure of resources. Recognizing the legitimate tenure rights of people to use, manage and control land, water, biodiversity, forests and fisheries is fundamental to helping the rural extreme poor adapt to climate change (FAO, 2019).

By increasing knowledge on the role of water in rural livelihoods and adopting participatory approaches centred on smallholder farmers, actions can be focused to build resilience, identify and adapt water technologies, and promote smart investments in water for poverty reduction. International and national partners are developing methodologies based on the concept of livelihood mapping to help investors and policy-makers in prioritizing, planning and implementing water-related interventions in support of smallholder farmers; performing regional and national studies on rural poverty reduction through water-related interventions; and applying water technologies and approaches to increase the impact of development projects targeting poor farmers, with particular attention to women (FAO, n.d.a).

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**The next generation of investments will need to focus on intensifying agricultural production in a sustainable manner through improved management and governance**

Improvements in irrigation (as described above), combined with water reuse, desalination and groundwater recharge, are important strategies for transforming agricultural water management. Additionally, the digitalization of the agricultural sector is increasing the availability of accurate and real-time data for farmers, allowing them to make better decisions on crop choices and water use. Innovations in water-scarce regions have allowed farmers to better control water and fertilizer inputs to improve crop yields (Ghosh et al., 2022, p. 39). Traditional drip irrigation systems, requiring higher capital and energy cost, may not be suited for smallholder farmers in the NENA region with plot sizes ranging from 0.09 ha to 0.76 ha (Sokol et al., 2019). Innovative projects have introduced valves that provide drip irrigation at very low pressures of 0.15 Bar versus the 0.50 to 1.00 Bar needed for a conventional system. These installations use a smaller-capacity pump and a rooftop water tank, allowing for energy savings of up to 50% (Sokol et al., 2019).

Although 70–80% of cropland is not irrigated (FAO, n.d.b), investments in rainfed agriculture are underway and there is scope to improve rainwater retention in order to shift from non-productive water loss (evaporation and runoff) to productive water uptake (transpiration) by crops. Additional and complementary actions supporting the conservation of water in soils include efficient water use and water scarcity management; tech-enabled irrigation systems; and runoff and rainwater collection and storage systems (Ghosh et al., 2022, p. 40).

Agricultural water management has a critical role to play in order to improve resilience across natural and social systems. Equitable access to water, financing, data and technology with institutional and governance support mechanisms must prepare towards a resilient future that embraces water scarcity, systemic changes in availability, and competition for natural resources in order to achieve global prosperity and peace.

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## Chapter 3

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# Human settlements: WASH, disaster risk reduction and migration

UN-Habitat

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**While the wealthiest people generally receive safe water and sanitation at a very low price, the poor often pay much higher prices for unsafe services of much lower quality**

This chapter focuses on leveraging water in human settlements for community stability and peacebuilding, especially in fragile states and conflict-affected contexts, including its contribution to disaster risk reduction (DRR) and migration management.

Understanding the root causes of the tensions and their context, including discrimination and inequalities, is a first step towards peacebuilding. Disparities in access to water, sanitation and hygiene (WASH) services between and within different settlements have heightened inequality, not only in terms of access, but also in terms of the cost of services (World Bank, 2017a; Boakye-Ansah et al., 2019). Such disparities also exist within communities, with certain individuals and groups having lower access to WASH services. While the wealthiest people generally receive safe water and sanitation at a very low price, the poor often pay much higher prices for unsafe services of much lower quality (WWAP, 2019). Inequality in access to water and sanitation services, while not necessarily a direct driver of conflict, presents significant barriers to socio-economic stability and prosperity.

The interrelation between water cooperation, interstate relationships, and post-conflict recovery underlines water's significance in fostering cooperation, managing disputes, and sustaining political stability. The chapter highlights cases where authorities have not given sufficient priority to ensuring equity and non-discrimination in access to water and sanitation services, particularly between formal and informal settlements, rural and urban areas, highest and lowest wealth quintiles, with special attention to marginalized groups. In terms of sanitation, higher-level services such as sewerage extensions have often targeted more high-end communities, deemed to be of higher market value than low-income and informal settlements. This has often led to perpetuating gender inequalities, as well as declines in social stability, individual and collective health and well-being, and economic productivity in the marginalized areas. This is not only hampering human rights, prosperity and stability for those directly affected, but can also spill over to other regions of a country and beyond its borders.

### 3.1 WASH for displaced and conflict-affected populations

Fragile and conflict-affected contexts are often characterized by unequal access to public services such as water and sanitation (Sadoff et al., 2017). While the human rights to water and sanitation entitle everyone, without discrimination, to affordable services, in practice, communities in conflict-affected contexts often face discrimination and other barriers, including from public authorities who are ultimately responsible for ensuring access to water and sanitation services.

Numerous challenges undermine the provision of WASH services in conflict situations. These challenges often arise due to the breakdown of essential infrastructure, displacement of populations, insecurity, and limited access to resources. Key challenges faced by the WASH sector include:

- **Limited access to safe water:** Conflicts can disrupt water supply systems, restraining access to safe drinking water for both affected populations and humanitarian responders. Contaminated water sources and the absence of proper sanitation facilities increase the risk of waterborne diseases.
- **Sanitation and hygiene issues:** Conflict-related displacement frequently leads to overcrowded living conditions in camps or informal settlements, which often lack adequate sanitation facilities. Inadequate and poorly managed sanitation facilities pose a great risk to the health and well-being of the most vulnerable, especially women, children and persons with disabilities. Poor hygiene practices, such as limited access to soap and clean water for handwashing, contribute to the spread of diseases.

- **Infrastructure damage:** Conflict damages or destroys water and sanitation infrastructure, including water treatment plants, pipelines, wells, and latrines. The restoration of such infrastructure can be challenging due to ongoing hostilities, lack of resources, and obstructed access to affected areas.
- **Insecurity and restricted access:** Conflict zones often pose significant security risks for humanitarian organizations and personnel. Restricted access due to active fighting, presence of landmines, or the use of water sources as strategic targets hampers the delivery of aid and the provision of WASH services.
- **Funding constraints:** Humanitarian response in conflict areas requires substantial financial resources. However, the competing demands for funding across various sectors, limited donor attention, and political priorities often result in inadequate funding for WASH interventions in these situations.
- **Coordination and governance:** Conflict situations may have multiple humanitarian actors operating in the same area, making coordination and governance of WASH efforts complex. Lack of coordination among stakeholders can lead to duplication of efforts, inefficiencies, and gaps in service delivery.
- **Long-term sustainability:** Conflict-affected areas often experience protracted crises, and short-term emergency responses may not address the long-term sustainability of WASH services. Rebuilding infrastructure, capacity development, and establishing local governance mechanisms are critical for ensuring sustainable access to safe water and sanitation.

Damage to water infrastructure increases the amount of time women and girls/children – primary collectors of water – are exposed to the threat of violence, also reducing time for education, work and leisure (UN Women/UNDESA, 2022). Survey data from eight countries in Sub-Saharan Africa showed that people from households in areas experiencing internal disputes over water walked on average 66 minutes to collect water, compared to 30 minutes in areas without such conflict (Pearson et al., 2021). This points to the value of adopting a gender perspective in policies aimed at reducing hardships when safe water supplies are unavailable (UN Women, 2023).

### 3.2 WASH as a peace-making tool in fragile and conflict-affected contexts

The Organisation for Economic Co-operation and Development (OECD) identifies WASH as a ‘politically neutral’ service system, which can be leveraged as a platform for intercommunal collaboration and partnerships between citizens and government (OECD, 2008; United Nations, 2023). WASH can serve as an important peace dividend if associated with a cessation of violence or with a peace process, and can create incentives for joint action, create space for collaboration, and strengthen community-level trust and social cohesion (UNICEF, 2015). Inclusive, culturally sensitive, accountable and transparent mechanisms for water governance can lead to more effective water management and increased trust in water authorities, thus strengthening peace and the social contract between citizens and authorities (UNICEF, 2020).

There are important social cohesion dimensions to WASH’s contribution to peace among communities, particularly where WASH services and access to water are contested – for example when tensions about water fees exist among communities served by the same water utility, or when water systems cut through areas inhabited by parties in conflict. The collaborative management of WASH services and water resources can generate important social capital (Box 3.1). Using community-based structures to manage water systems (e.g. boreholes, utilities) is common, but this has mostly focused on enhancing the sustainability of WASH infrastructure rather than addressing conflict (Box 3.2). However, such structures can become a peacebuilding asset if they are equipped and supported to perform that role (UNICEF, 2016).

### **Box 3.1 Addressing intercommunal tensions through WASH Committees in East Darfur (Sudan)**

Intercommunal tensions increased in the East Darfur locality of Shariah when an implementing partner (a non-governmental organization (NGO) working on water, sanitation and hygiene (WASH)) changed the location of a new rural supply scheme – a ‘water yard’ (or motorized borehole). Initially, the water source was planned to be built in Gaar Hagar, but after the geophysical survey, the location was moved to nearby Soraa as it indicated a better water yield. Unfortunately, when the rural groundwater supply scheme was built, the sign was not changed and still said ‘Gaar Hagar’. This caused tension between the Gaar Hagar and Soraa communities as people from Gaar Hagar felt they were the rightful owners of the new water yard. The WASH committee in Gaar Hagar had received training on dispute resolution and invited the two communities to a dialogue. After an extensive discussion facilitated by the committee members, it was agreed to establish a joint water management committee to manage and operate the water scheme.

This example highlights the risks of inadvertently fuelling intercommunal tensions due to inopportune (or insensitive) mistakes by implementers, in this case identifying the wrong location name, which led to confusion and competing ownership claims to the water yard.

Source: UNICEF (Forthcoming).

### **Box 3.2 Promoting peaceful cooperation through WASH in South Kordofan (Sudan)**

For years, nomadic communities and the settled communities of Kadugli and Reif Shargi had a mutual agreement on the use of water sources. However, in 2021, a deadly clash between nomads and settlers over damage to a water pump led to nomads being banned from using and accessing water. The local authorities and water, sanitation and hygiene (WASH) sector partners launched a joint intervention to build additional water pumps in areas conducive to settler–nomad interaction. Joint WASH committees conduct regular controls and maintenance and intervene when there is any disagreement or disputes at the water points.

Source: UNICEF (Forthcoming).

An important and potential contribution to peace through WASH relates to accountability and the social contract between service providers and communities. This is particularly important in contexts where there is low trust in government and/or low uptake of health and sanitation measures. “*Supporting conflict-sensitive [WASH] sector governance and policy reform and the development of responsive, inclusive and accountable institutions at national and subnational levels, can improve state–society relations*” (UNICEF, 2015).

Roughly 60% of the potable water in Dakar comes from the Senegal River, delivered through infrastructure managed by the Organization for the Development of the Senegal River (OMVS) (Komara, 2014). Given that water withdrawals in Senegal are projected to increase by 30 to 60% by 2035 and water-related extreme events and pollution in Senegal cost over 10% of the country’s annual gross domestic product (GDP) (World Bank, 2022), basin treaties like OMVS could serve as a key instrument to mitigate possible disputes linked to cross-sectoral coordination of water demand and needs, including WASH (see Chapter 7).

## **3.3 Disaster risk reduction**

Due to climate change, more frequent and intense extreme weather events are expected, resulting in a higher incidence of floods and droughts across the planet. Prolonged droughts will also reduce groundwater recharge with subsequent impacts on WASH services, posing a clear danger to development and health (Pimentel-Rodrigues and Silva-Afonso, 2019).

As urban populations increase, more people are concentrated in areas with a high risk of flooding, so that more people and property are at risk from the effects of heavy rainfall and storms. In addition to the physical risks posed by flooding, informal settlements also face significant economic and social challenges in the aftermath of flood events, including loss of income, damage to infrastructure, and limited access to essential services such as healthcare and safe water.



## An important and potential contribution to peace through WASH relates to accountability and the social contract between service providers and communities

Disasters caused by natural hazards are estimated to cause an average of over US\$300 billion in direct asset losses every year; this estimate increases to US\$520 billion when considering the economic loss and well-being of affected people (Hallegatte et al., 2017). Flooding is among the most prevalent water-related disasters affecting people around the world. Water-related disasters can cause households to fall into poverty (Hallegatte et al., 2020), while those already living poverty are often located in high-risk areas, such as on floodplains or in urban areas at high risk of flood (Satterthwaite, 2007). In addition, floods may directly contaminate water, leading to insecure water and WASH services.

Flood risk mitigation measures to support policies and programmes for resilient development climate change adaptation (CCA) and DRR can address the root causes of vulnerability and build resilience to the impacts of flooding. Possible measures include improving access to affordable housing, upgrading infrastructure and services, promoting sustainable land use practices, investing in DRR and climate adaptation strategies, and nature-based solutions (Box 3.3). By addressing these underlying social and economic factors, policy-makers and community leaders can help to build stronger, more resilient communities that are better equipped to cope with the impacts of flooding and other hazards. This can contribute to a more stable and prosperous society, where all members have the opportunity to live in safety and dignity, regardless of their exposure to flood risks.

Making Cities Resilient 2030 (MCR2030)<sup>20</sup> is a unique cross-stakeholder initiative for improving local resilience through advocacy, knowledge- and experience-sharing, city-to-city learning networks, technical expertise, partnerships, and the establishment of connections between multiple layers of government. It makes easy-to-use tools available for enhancing the resilience of cities from water-related hazards. This growing network of over 1,550 cities, covering an urban population of over 480 million people, helps cities access over 300 service providers, offering tools and technical support to increase resilience, especially from water-related disasters.

### Box 3.3 Nature-based solutions to mitigate flash floods in Freetown (Sierra Leone)

On August 14, 2017, three days of heavy rains triggered flash floods and a massive landslide in and around Freetown, the capital of Sierra Leone, devastating a large cross-section of the city. An estimated 6,000 people were affected, including 1,141 declared dead or missing and over 3,000 people displaced. While housing, health and the social protection sectors<sup>a</sup> accounted for almost 80% of the total damages and losses, almost every part of the urban economy was affected. The livelihood impacts on communities were widespread and continued to grow as the recovery process commenced. The total value of the assets destroyed by the landslide and floods was estimated by the World Bank (2017b) at US\$32 million, while the preliminary cost of resilient recovery needed was estimated at about US\$82 million.

To respond to the perennial challenges caused by flooding, the Government of Sierra Leone, in collaboration with the Freetown City Council (FCC) and a host of non-governmental organizations (NGOs) have mobilized local community members who have formed Community Disaster Management Committees (CDMCs), the local level structures responsible for implementation of disaster risk reduction interventions and trained first responders during emergencies. A more recent intervention is the establishment of the Western Areas Peninsular Water Fund, aimed at the ecological restoration of the Western Area District Forest cover in order to retain sediment. This project aims to reduce the water flow and thus the risk of floods, landslides and biodiversity loss, while improving the water supply for the city. The Conservation Scenario proposed by the Water Fund would reduce the expected annual damage costs from flooding across all seven urban watersheds of Freetown by some US\$2.05 million, with an average of 74 fewer buildings being inundated compared to the current situation (CRS/TNC, 2021).

<sup>a</sup> The social protection sector encompasses programmes designed to reduce poverty and vulnerability by promoting efficient labour markets, diminishing people's exposure to risks, and enhancing their capacity to manage economic and social risks, such as unemployment, exclusion, sickness, disability and old age.

<sup>20</sup> For more information, please see: [mcr2030.undrr.org](http://mcr2030.undrr.org).

### **3.4** **Migration and forcibly displaced populations**

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**Year after  
year, internal  
disaster-related  
displacements  
outnumber  
conflict-related  
displacement**

In July 2021, several European countries, including Belgium, Germany and the Kingdom of the Netherlands, were affected by catastrophic floods, causing widespread damage with losses in properties and critical infrastructures, such as water provisioning and power facilities. The total economic costs were estimated at over €10 billion, with more than 200 people killed. These events generated regional momentum to support multidimensional and cross-border efforts towards regional resilience against water-related disasters (UNU-EHS/UNU-CRIS/UNU-MERIT, 2023). Establishing cross-border disaster risk governance requires integrated approaches to water and climate security, including integrating immediate humanitarian response and recovery processes, and improving the long-term resilience of water provisioning systems.

The number of migrants and displaced persons increases every year. The *Global Report on Internal Displacement 2023* (GRID) revealed that at the end of 2022, the number of internally displaced persons reached its highest level ever recorded, with 71.1 million people displaced worldwide, of which 88% due to conflict and violence (IDMC, 2023).

Research shows, for instance, that in some parts of the Sahel, declining availability of water is a significant driver of migration (OHCHR, 2022). The Sixth Assessment Report of the Intergovernmental Panel on Climate Change (IPCC, 2023, p. 6) found that “*climate and weather extremes are increasingly driving displacement in Africa, Asia, North America (high confidence), and Central and South America (medium confidence)*”.

Year after year, internal disaster-related displacements outnumber conflict-related displacement, as evidenced by the annual GRID reports. Most of this disaster-related displacement is caused by weather-related events linked to water extremes (IDMC, 2022). In the absence of sufficient climate action, the World Bank estimates that, by 2050, 216 million people may be forced to move due to impacts of climate change. Most of these movements are predicted to be within country borders (Clement et al., 2021).

Water scarcity and prolonged droughts can heighten the risks of violence against displaced women and girls, and the imposition of child marriage (OCHA, 2022). In Somalia, evidence suggests a 200% increase in gender-based violence among displaced people, particularly intimate partner violence and rape. The risk of harmful practices, such as child marriage and female genital mutilation, is also compounded (CARE, 2022).

Resource scarcity, environmental degradation and unsustainable environmental management practices can also contribute to the decision to migrate, either to save one's life or to find new livelihoods. Lack of water security, for example, has been identified as one of the key drivers of migration, as it undermines people's everyday existence and livelihood opportunities (Mach, 2017). In another study, water deficits were linked to a 10% increase in global migration between 1970–2000 (Zaveri et al., 2021). However, “*the migration response to rainfall deficits varies significantly depending on country income, with the poorest 80% less likely to migrate in the face of these shocks. This is because migration is often a costly endeavour (...) For the most vulnerable members of society, the migration option may therefore not be available.*” (Zaveri et al., 2021, p. 7).

Water insecurity can exacerbate conflicts and drive displacement, particularly in regions where water resources are limited or unevenly distributed. Moreover, reduced precipitation could further diminish the availability and productivity of agricultural land in some places, putting the livelihoods of millions of households at risk (Wodon et al., 2014; Pons, 2021).

“*There is growing evidence that climate extremes are having a devastating impact on agriculture in Central America, affecting the livelihoods of millions of farmers and serving as a driver of migration from the region*” (Pons, 2021, p. 1). This is also the case for agricultural pastoralist communities in Northern Kenya and other places where migration has always

been a necessary part of life, as people needed to follow fresh water and pastures in accordance with the seasons. As a result of arid conditions, many pastoralists have been displaced from their communities and forced to find alternative livelihoods (Mach, 2017).

Moving towards a peaceful, water-secure future involves vulnerability mapping, early warning systems for drying conditions, and building resilient water infrastructure. Migration will remain an important adaptation strategy for some young people, particularly in contexts where climate change hinders economic opportunities and interacts with conflict and fragility (UNICEF/IOM, 2021). Displacement can in turn contribute to water insecurity by increasing the burden on water systems and resources in settlement locations. For example, the conflict in Ethiopia's Tigray region has led to the internal displacement of over 2 million people, many of whom are living in camps or informal settlements with limited access to water and sanitation facilities (IOM, 2021).

Approximately 6.8 million Syrians continue to live as refugees worldwide, of whom 5.5 million are hosted in neighbouring countries (UNHCR, 2023). The arrival locations in those countries are often in urban areas, which are, as a consequence, under strain to ensure the delivery of basic services, such as access to safe water and sanitation, to migrant and host communities (UNICEF, 2019).

Conflict sensitivity<sup>21</sup> can support efforts to integrate displaced communities into national WASH systems and to provide services equitably (Box 3.4).

#### **Box 3.4 Strengthening conflict sensitivity in an integrated host–refugee water utility in Itang, Gambella region (Ethiopia)**

The integrated host–refugee water utility scheme in Itang, Gambella (Ethiopia), was kick-started in 2014. In response to increased tensions and incidents of sabotage disrupting water supply and to inform an ‘optimization phase’ foreseen in programme implementation, a conflict sensitivity assessment was implemented in 2020–2021. The assessment sought to identify the causes behind incidents of conflict, in order to help the water utility governance bodies address the situation.

Consultations with key stakeholders and community members identified conflict dynamics, not only between host and refugee communities, but also within local communities about the utility benefiting ‘outsiders’ (Ethiopians not originally from Gambella) brought in to work on the utility as well as business owners connected to the water supply, which is located along one of the main trading roads. Local communities felt that ‘outsiders’ and refugees were benefiting from the utility and this fuelled grievances. Efforts were made to strengthen the risk management capacity of the utility, including a ‘contingency plan and risk register’ to address service gaps as well as strengthened grievance management and governance mechanisms. There was a decrease in conflict incidents and an improvement in collaborative engagement of the key stakeholders.

Source: UNICEF (Forthcoming, p. 58).

Factoring in the rights to water and sanitation while planning for water-secure futures for host and migrant settlements is in line with the vision of the Global Compact for Migration (General Assembly of the United Nations, 2019a) and the Global Compact for Refugees (General Assembly of the United Nations, 2018; 2019b).

<sup>21</sup> “Conflict sensitivity is an approach to ensure that interventions do not unintentionally contribute to conflict, but rather, strengthen opportunities for peace and inclusion” (Government of Canada, n.d.).

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## Chapter 4

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# Industry

**UNIDO**

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## Decoupling water from industrial productivity benefits both industry and those who would benefit from improved water quality and availability

Industry has the capacity – material, human and financial – and consequently the ability to shape and increase economic prosperity, while simultaneously influencing and improving social well-being and environmental integrity (see Box 1.2). It is estimated that corporate supply chains account for two thirds of all water consumption (TNC, n.d.) and that 70% of the world's freshwater use and pollution is affected by seven major sectors – food, textile, energy, industry, chemicals, pharmaceuticals and mining (CDP, 2018). Decoupling water from industrial productivity, in other words reducing industry's leverage over water, will, in the long run, benefit both industry and those who would benefit from improved water quality and availability, particularly in accomplishing several targets of Sustainable Development Goal (SDG) 6.

Linkages between economic growth and water security are difficult to demonstrate, and there appears to be little correlation between a country's per capita gross domestic product (GDP) and its water availability (see Figure P.9). Water facilitates industry but does not create GDP – some industries use little water but have a big contribution to GDP and vice versa. This said, most forms of production involve the use of water, so the effect of water can be more direct: no water means no production.

### 4.1

## Interactions and impacts with water

Industry has a mutual interaction with water, affecting and being affected by freshwater. Industry uses a significant quantity of water, polluting it and harming ecosystems. At the same time, water availability (i.e. scarcity), quality and accessibility generate risks for industry, exposing it to supply chain disruptions, while climate change multiplies the occurrence and impacts of floods and droughts. The textile, apparel and luxury goods; metals and mining; and high tech and electronics industries are significant examples (Ceres, 2022). The cost–benefit ratio of risk mitigation vs. remediation has been estimated at five to one (CDP, 2021).<sup>22</sup>

### 4.1.1 Costs of water risks

Water risks that could significantly affect business were noted by almost 70% of the firms reporting to a CDP survey (CDP, 2022). The total costs to operations and supply chains might be as high as US\$225 billion. In Chile, BHP Billiton and Rio Tinto invested US\$3 billion in a desalination plant for a sustainable and continuous water supply for mining in the Atacama Desert and to reduce dependence on local aquifers (Water Technology, 2013). The CDP (2022) warns that water insecurity may affect the value of companies significantly, and assets may be stranded in water-stressed regions.

An analysis by Trucost (a division of S&P; Bernick, 2017) found reported water risks of about US\$126 billion, which may even become US\$439 billion if non-reporting companies are included. The risks came from higher operational costs linked to deteriorating water quality and supply disruption. If companies had to absorb all the costs for decreased water allocations, increased treatment and stronger effluent discharge regulations, average profits could decrease between 18% (chemical sector) and 116% (food and beverage sector).

### 4.1.2 Water quantity

It can be inferred that industry uses approximately 7% of the world's freshwater withdrawals, based on data that industry and energy together use about 17% (Ritchie and Roser, 2017)

<sup>22</sup> "In 2020, the total potential financial impact of reported water risks was up to US\$301 billion; while responders reported that the money required to mitigate those risks was only US\$55 billion. The potential financial impact reported is equivalent to the entire GDP of Pakistan" (CDP, 2021, p. 12 and sources 11 and 12 cited in the footnote therein).

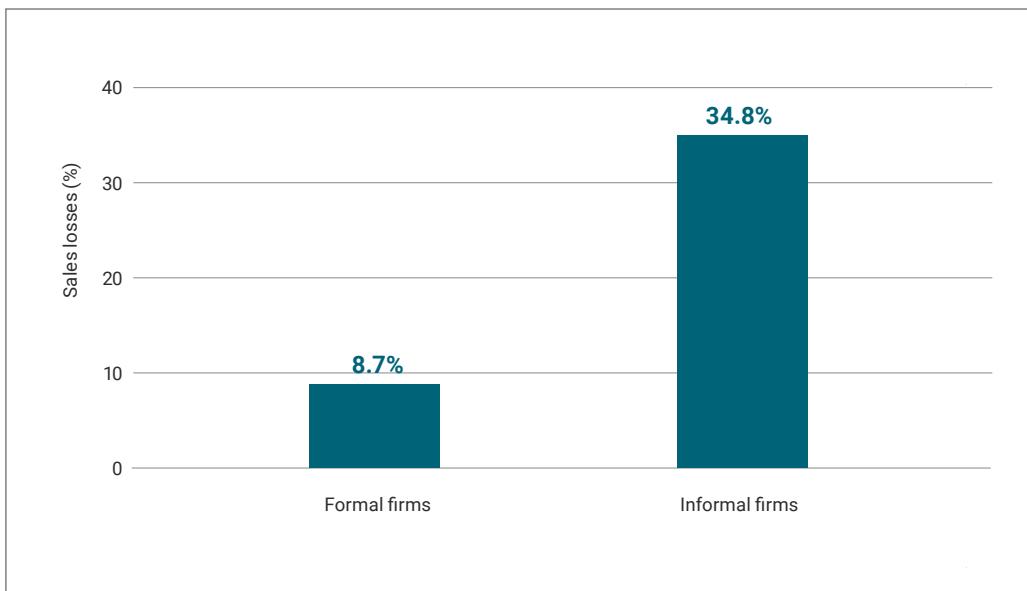
and that energy uses approximately 10% (IEA, 2016).<sup>23</sup> Much of the operational risk is in sourcing and supply chains (McKinsey & Company, 2009). There may be a trend towards decreasing water withdrawals by industry; about two thirds of companies that are highly dependent on water reported about the same or lower withdrawals from 2019 to 2020 (CDP, 2021).

Companies, particularly small ones (which can represent a large number of employers in developing countries), see large declines in sales and employment “when urban water services are disrupted” (Damania et al., 2017, p. 3). It was noted that “dry shocks” were found to cost two to four times more than “wet shocks” in terms of lost income. Rainfall shocks can affect companies in many ways, including causing the “health impacts and power outages” that are associated with droughts. A 6-year survey of over 16,000 formal firms in over 100 economies found that, in a typical month, an average firm would experience a sales loss of 8.7% for every additional water outage. However, for informal firms – “a ubiquitous form of economic enterprise in developing countries” (Damania et al., 2017, p. 52) – across 18 economies in Africa, South Asia and Latin America, the sales loss was 34.8% (Figure 4.1). Companies may pay bribes where water outages are frequent, but this practice does not necessarily lead to improvements in service (Box 4.1).

**Figure 4.1**

Sales losses as a result of each additional water shortage in a month, as experienced by an average firm, 2009–2015

Source: Damania et al. (2017, fig. 4.4, p. 55).



Some of the largest industrial users are most responsible for groundwater depletion. These include food and beverage, textiles, and apparel (particularly in India, Brazil, Central Asia and parts of the United States of America (USA), mainly due to cotton production), and metals and mining (Ceres, 2022).

<sup>23</sup> As noted in United Nations (2023), Chapter 5, industrial withdrawals reported in the AQUASTAT database from the United Nations Food and Agriculture Organization (FAO) are limited to ‘self-supplied water’ (AQUASTAT, n.d.). Total industrial water use is likely higher, since the fraction of publicly supplied water used by industry is included in municipal water withdrawals.

#### **Box 4.1 Water supply and bribery**

Bribery can thrive where water governance is poor. Yet, surveys show that companies that make such payments are more likely to face water shortages. “*The ... data show that firms that make an informal payment or gift to obtain a water connection are more likely to face water shortages than firms that do not. Estimates indicate that 26% of firms experiencing water shortages made informal payments to obtain a connection, whereas only 17% of firms that did not experience shortages made such payments.*” This suggests that a badly managed water utility may be more open to bribery, and/or that weak governance results in inadequate water service. Either way, some firms need to pay bribes to get water service, which results in the loss of income required for improvements and maintenance of public infrastructure.

Source: Damania et al. (2017, Box 4.1, p. 54).

#### **4.1.3 Water quality and pollution**

Economic growth (as measured by GDP) can be lowered by as much as a third by pollution released upstream from a centre of production (Damania et al., 2019). The two following examples show how industrial pollution can be addressed to improve water quality.

The mining and metals industry is the largest metal polluter overall. However, the information technology sector, which makes semiconductors, circuit boards and batteries, also releases metals such as mercury, copper, iron, zinc, nickel, chromium, lead, tungsten and lithium in wastewater and through leaching of E-waste. Metals are persistent in lakes and rivers and can bioaccumulate, damaging ecosystems and endangering human health (Ceres, 2022).

A fifth of industrial water pollution globally is produced by textile mills (NRDC, 2016 as cited in CDP, 2020). The second-largest water polluter globally is textile dyeing (UNEP, 2018 as cited in CDP, 2020). At each stage of textile processing, there is the risk that contaminants are released that are harmful to both the environment and human health (Weiss et al., 2016 as cited in CDP, 2020).

## **4.2 Methods of leveraging**

The previous sections have given an overview of how water scarcity (quantity) and pollution (quality) can considerably impact industry. If industry manages to do more with less, then water quantity and quality will be improved for the benefit of other users who in turn will have a better opportunity to prosper.

For industry to manage and use water in a sustainable way, it needs to decouple the relationship between water and production, using a variety of methods to combine economic and environmental gains. It has been reported that “*global trends have pointed to a relative decoupling of water – that is, the rate of water resource use is increasing at a rate slower than that of economic growth.*” (UNEP, 2015a, p. 5). This observation is supported by data from the USA, where GDP from 1900 to the mid-1990s grew 20 times whereas water use increased 10 times and declined after 1985 (Gleick, 2002). Achieving further gains will require a three-pronged conjunctive approach, with technological innovation and good water stewardship from industry, a conducive policy and regulation framework from government, and a suitable financing and investment strategy.

#### **4.2.1 Water footprints**

Industry needs to know the water use in its facilities and along its supply chains. Product water footprints<sup>24</sup> measure the volume of water consumed and polluted for each unit produced and reflect the efficiency of water use (Water Footprint Network, n.d.). As such, a company can identify steps in their production and supply chains where their products’ water footprints can be reduced.

<sup>24</sup> “*The water footprint of a product is the amount of water that is consumed and polluted in all processing stages of its production. A product water footprint tells us how much pressure that product has put on freshwater resources.*” It is generally measured in volume of water (e.g. m<sup>3</sup>) per mass of production (e.g. kg) (Water Footprint Network, n.d.).

#### **4.2.2 Technology**

The customary linear flow of water in industry, from withdrawal and use to wastewater discharge, does not generally favour reuse and recycling (UNEP, 2015b). However, there are many established technologies for using less (lower withdrawals and consumption) or for reusing and recycling water. Approaches to improve water use efficiency include modifications in materials, processes and equipment (AFED, 2014). There are other drivers within industry than can lead to water reuse (UNEP, 2015b). Technical measures are outlined in Box 4.2. Potential savings in water consumption via closed loop reuse, recycling with treatment and wash water reuse can be significant (AFED, 2014). Steel production, for example, consumed 200 to 300 tonnes of water per tonne of steel in the 1930s and 1940s, but over time this was reduced to 2 to 3 tonnes (Gleick, 2002).

#### **4.2.3 Wastewater**

Through reuse, recycling and resource recovery, wastewater was identified as a “*reliable alternative source of water*” and part of the solution for water availability issues. For industry, wastewater can provide a sustainable source of energy, nutrients and by-products (WWAP, 2017). A win–win situation is possible where harmful discharges are reduced and the demand for freshwater decreased. For example, the Indian company Tata Chemicals cut its use of groundwater by 99.4% within a year thanks to improved recycling and water management (CDP, 2020).

However, there remains much room for improvement. In one study, only about half the respondents were monitoring their wastewater, and less than a half were monitoring its quality (CDP, 2020).

#### **4.2.4 Eco-industrial parks**

Wastewater is a major focal point in eco-industrial parks (EIPs) where, using the concept of ‘industrial symbiosis’, it may cascade through several industries, producing savings in treatment costs along the way, particularly for small and medium-sized enterprises (SMEs). EIPs are part of the circular economy, which incorporates inclusive and sustainable industrial development (ISID) with a significant focus on water efficiency, where the same water is used repeatedly. Perhaps the best-known is the Kalundborg Industrial Symbiosis in Denmark (WWAP, 2017, Chapter 6, Box 6.4, p. 66) where the cascading of water annually saves 1 million m<sup>3</sup> of surface water and 2.9 million m<sup>3</sup> of groundwater, reducing wastewater output by 200,000 m<sup>3</sup> (Domenech and Davies, 2011). EIP pilot projects in developing and emerging economies saved about 2 million m<sup>3</sup> annually between 2012 and 2018 (UNIDO, 2019). Moreover, performance requirements are set out in a framework for EIPs. Wastewater, for instance, has a target of 100% treatment, with 25% responsible use in or outside the EIP (UNIDO/World Bank Group/GIZ, 2021).

#### **4.2.5 Water-smart approaches**

Water-smart approaches include zero water withdrawal, zero wastewater discharge, zero liquid discharge, dry tailing disposal techniques in mining, and ‘dry’ personal care products. For example, Formosa Taffeta implemented a fabric dyeing technique that uses artificial intelligence to determine the most efficient dyeing ‘curve’, significantly reducing water, energy and raw material consumption, as well as costs. The financial benefits can be substantial: Unilever has estimated US\$2.2 to US\$3.4 billion in sales by 2025 for water-smart personal care products (CDP, 2021).

#### **4.2.6 Switching to renewable energy**

Switching to renewable energy can reduce both water use and carbon emissions (Chapter 5). In the chemical and food and beverage processing industries (in over 100 countries), a 50% increase in renewable energy could result in 60% reduction in water consumption and an even bigger impact on emissions (Bryan et al., 2021).

#### Box 4.2 Technologies for efficient water use

**Leak detection** – checking underground tanks, piping, distribution networks, water equipment and particularly high-pressure steam systems.

**Heating and cooling** – by using heat optimization, cascading heat use, water-free heat transfer, and better-quality water to avoid heat losses. Plants may also benefit from centralized heating and cooling in eco-industrial parks.

**Cooling towers** – optimizing losses with variable-speed water cooling fans, minimizing splash and drift losses, and using treated wastewater.

**Water-free systems** – using air, mineral oils or special chemicals to transfer heat.

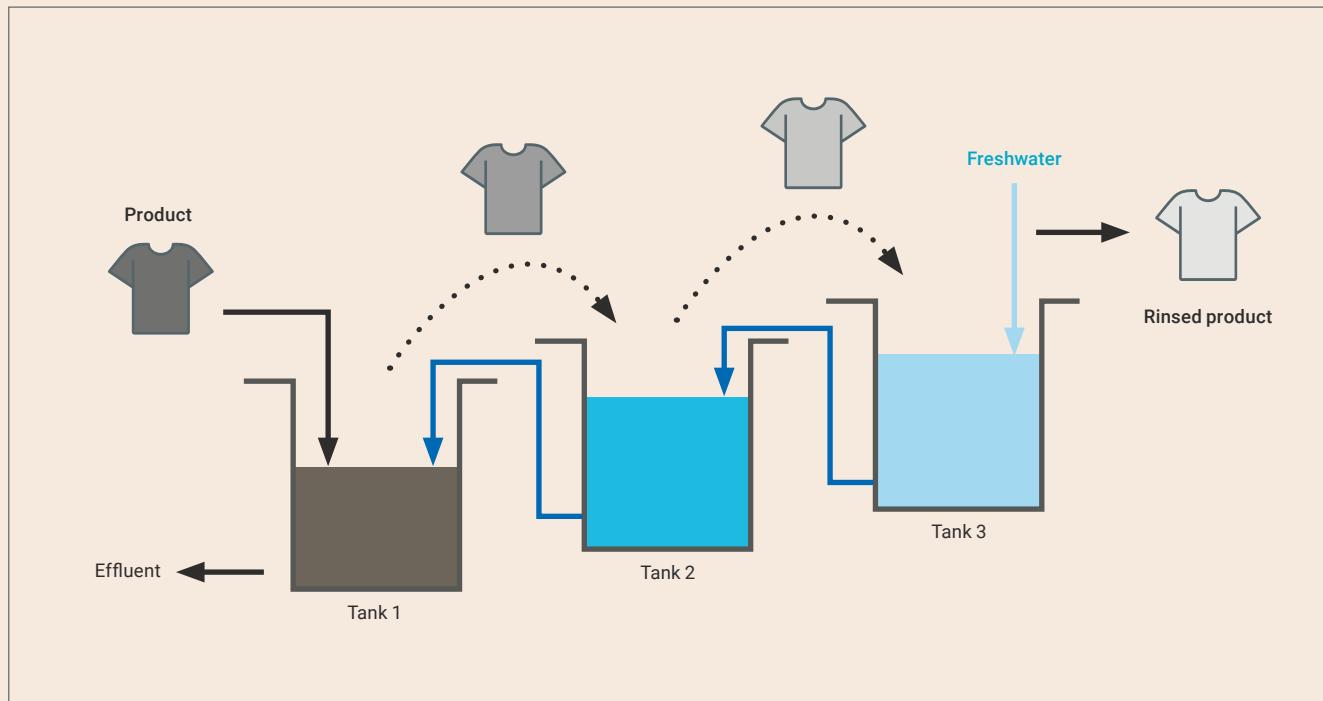
**Recirculating systems** – heat exchangers to allow water recirculation in a closed system.

**Water quality monitoring** – impurities that accumulate affect heat transfer, so proper monitoring can lead to major savings.

**Recycling blow-down** – by using treatment for impurities.

**Rinsing and cleaning** – such as counter-current washing (figure) in the opposite direction to product flow; mechanical pre-rinsing using air blowing, gravity or centrifuging to reduce rinsing water; use of chemicals and heat.

##### Counter-current rinsing



Source: AFED (2014, fig. 2.3, p. 49).

**Equipment and space cleaning** – mechanical pre-cleaning using brushes, scrapers and so on reduces water use and may allow some product recovery; pressurized cleaning can reduce water use by up to 50%; cleaning in place (CIP); use of triggered self-shut-off nozzles; use of steam or hot water.

**Transporting products** – in some cases wastewater can be used; alternatively, there may be mechanical or pneumatic methods.

Source: Adapted from UNEP (2015b, Box 4.2, p. 40, citing AFED 2010; 2014).

#### **4.2.7 Industry 4.0**

The Fourth Industrial Revolution (Industry 4.0) provides innovations, new tools and technologies for industries. These can be used to optimize industries' relationship with water management through smart production and connected manufacturing.<sup>25</sup> A case history of water savings resulting from using the Internet of Things (IoT) is described in Box 4.3.

#### **4.2.8 Ecosystem services**

The extent to which industry can limit its demands and effects on ecosystem services (see Chapter 6) will have direct repercussions on the prosperity of others. Water resources – supply and quality – are a major component of natural capital; accounting for its use in business operations can highlight impacts and promote better investments. The Natural Capital Protocol is “*a decision-making framework that enables organizations to identify, measure and value their direct and indirect impacts and dependencies on natural capital*” (Capitals Coalition, n.d.). Nature-based solutions go hand in hand with natural capital and are often used in combination with grey infrastructure. Constructed wetlands are a well-known application that serves to treat certain types of industrial wastewater. They are known for low operation costs related to their long-term performance and low maintenance (Public Services and Procurement Canada, 2019).

#### **4.2.9 Laws, regulations and guidelines**

Industry's ability to leverage water for prosperity is additionally affected, both positively and negatively, by factors beyond its control. Policies and regulations are significant drivers, often based on financial incentives or command-and-control approaches (i.e. 'carrot and stick').

Direct measures may include penalties and fines or tax breaks, and decisions need to be made about targeting prevention or treating pollution. Gauging their effectiveness is difficult and depends on the country and specific circumstances, as well as on good data and information, which are often lacking. Enforcement is sometimes very poor.

Industry guidelines are influencing how companies respond to water issues in broader respects. For example, the Standard for Responsible Mining by the Initiative for Responsible Mining Assurance (IRMA) includes a section on water management (IRMA, 2018).

### **4.3 Industry, water and peace**

Where there is violent conflict or war, industry may be targeted, resulting in workers being injured or killed, and facilities and plants damaged or destroyed. These are events essentially beyond the control of industry. However, in terms of local disputes and confrontations over water, for example in mining, industry may be viewed as a perpetrator; yet on the other side of the coin, it can lower tensions by leveraging its influence over the use of water through partnerships and cooperation. In these instances, company reputations can be a stake.

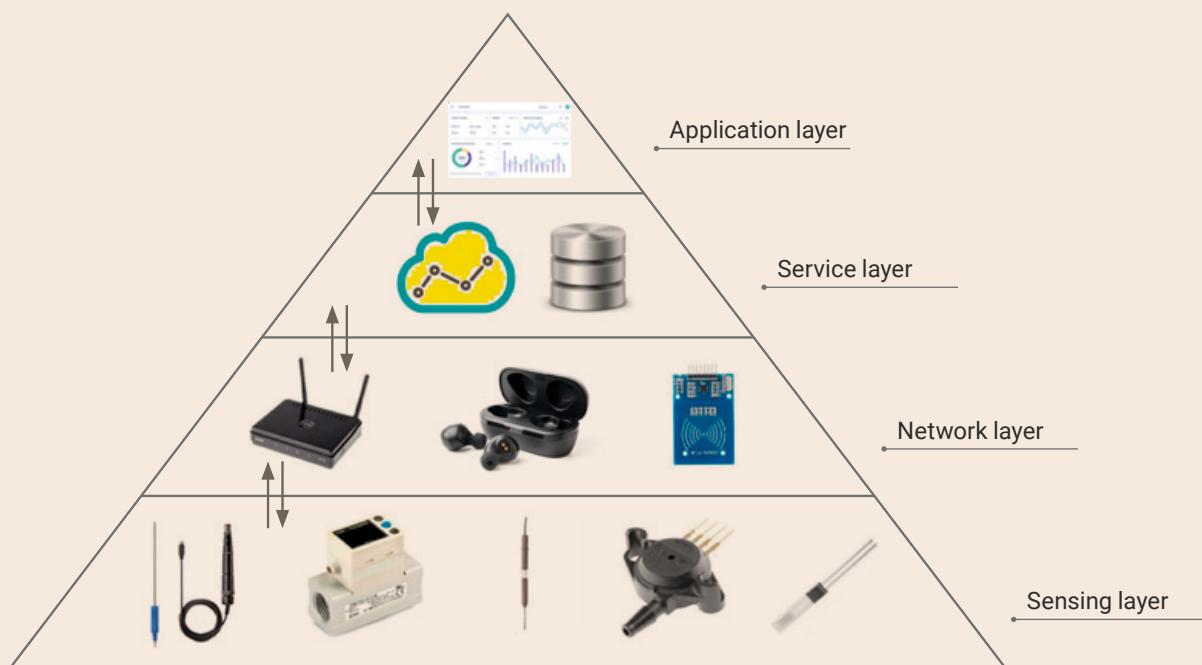
Water scarcity or pollution frequently drives such confrontational situations where several parties, including industry, require water from limited supplies, leading to competition between unequal parties.

Mining appears to be especially prone to disputes over water, especially in remote regions and in contexts involving indigenous populations (Box 4.4). A study of 384 cases of conflicts over water involving indigenous peoples found that two-thirds were caused by mining and hydropower projects (each at 31% of the total). Nearly half of the events were in Latin America and the Caribbean. Of the total 384 events, 48% identified mining as being responsible for deterioration of water quality and water availability. Significant violence occurred at some

<sup>25</sup> Connected manufacturing uses cloud computing of operational and business data to improve processes.

### Box 4.3 Using Internet of Things (IoT) for water efficiency

An IoT-based real-time water monitoring system was implemented in a food beverage factory to analyse the complete water usage, to devise solutions, and to address water overconsumption/wastage during the manufacturing process. The IoT architecture allowed continuous monitoring of water consumption using four layers, as shown in the diagram. The data processing was carried out in a cloud system. Sensors monitored the quality of the water and wastewater, and flow rate meters measured water consumption.



The system had features such as monitoring, controlling and regulating the consumption and quality of water. Other functionalities included diverting wastewater from certain food production processes to serve as a raw material in other secondary processes (depending on its quality), rather than sending the wastewater to the effluent treatment plant. This water monitoring system provided detailed insights into the water usage of the beverage factory (value stream mapping) and thus informed activities to improve the quality of water, increase the efficiency of water processing systems, control water leakages, and optimize water consumption. Most water was found to go to the finished beverages, but major water consumption was also noted in the cooling towers, boiler and bottle washers. Water saving initiatives were:

- **Cascade reuse** – Washing of dirty bottles in a counter-current flow of water.
- **Taps/pipes leakages** – Fixing of identified leakages.
- **Cooling tower** – Recirculation of cooling water; exploring the reuse of cooling water as feedwater or make-up water for other processes.
- **Cleaning in place (CIP)** – Exploring newer CIP technologies such as whirlwind/ozone/electrochemically active technology with better water use reduction opportunities.

The volume of water to produce 1L of product was reduced from 2.49L to 1.9L, and the daily water usage of the factory was reduced by approximately 11%.

Source: Adapted from Jagtap et al. (2021).

point in nearly two thirds of the cases. Formal cooperative agreements were reached in only 3% of the cases, and a third of the projects had to be cancelled or renegotiated (Jiménez et al., 2015).

## 4.4 Conclusions

Techniques and technology that increase water efficiency and minimize use are generally not difficult to implement, but sometimes they are expensive. Complementary to these are motivation; drivers such as cost savings, regulations, and climate change; the circular economy; plus both political will and citizen support. Long-term planning and horizon scanning should prevail over short-term returns. Industry has the adaptive capacity and the advantage of agility and proactiveness to move quickly to effect changes. These changes are increasingly necessary in the context of stewardship to support sustainable prosperity (Debaere et al., 2015). The Alliance for Water Stewardship, a collaboration between businesses, non-governmental organizations (NGOs) and the public sector, has a global standard for water stewardship (AWS, n.d.). The UN Global Compact promotes water sustainability through The Water Resilience Coalition and its Net Positive Water Impact (NPWI) initiative to ensure a “*company’s contributions exceed impacts on water stress in the same region*” (UN Global Compact, n.d.).

Over twenty years ago, two paths to address the distribution, management and use of water were put forward in a prescient article (Gleick, 2002, p. 373). The ‘hard path’ uses “*centralized infrastructure to capture, treat and deliver water supplies*” and the ‘soft path’ complements this “*by investing in decentralized facilities, efficient technologies and policies, and human capital.*” The soft path requires collaboration and includes private companies. It aims to increase water productivity rather than supply, and sever the ties between water and economic growth. Its call for action by many individual users, including industry, remains relevant today.

### Box 4.4 Examples of disputes over water involving the mining industry in Latin America

In Chile, violent protests over water use that killed three people stopped the Tía María US\$1 billion copper mining project in 2011.

In Peru, the projected Minas Conga open pit mine (extending the large Minera Yanacocha gold mine) would affect the people living in Cajamarca, who relied on access to groundwater from alpine lakes for agriculture. Moreover, pollution of the water from the Minera Yanacocha mine was a significant issue. After the government approved the environmental impacts assessment for Minas Conga, the community continuously protested against increasing environmental impacts. The government declared a state of emergency and, in one protest in 2012, tear gas and bullets injured 20 and killed 3 people. Continuing tension and unrest led to the closure of the project in 2016.

The El Mauro tailings dam in Chile is the largest in Latin America. The local indigenous Caimanes community protested because of environmental concerns, using lawsuits, a long hunger strike and road blockages. The court ordered the dam’s demolition. Negotiations attempted between the mining company and the community initially met with little progress but in 2016, after more than 10 years, an agreement was reached. This involved a desalination plant to resolve water quality issues, and resettlement compensation and land lease agreements.

Source: CDP (2022) and Oh et al. (2023).

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## Chapter 5

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# Energy

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## Achieving universal coverage for both drinking water and electricity involves reducing energy's dependence on water and vice versa

Water plays an important role in all aspects of energy production. In terms of primary energy (i.e. fuels), water is required in the extraction and conversion processes of coal, oil and gas (including fracking). It is also extensively used for electricity generation, in hydropower and as cooling water for thermal and nuclear power stations. Additional water uses for energy production include crop irrigation for biofuels and the manufacturing of equipment for renewables, such as solar panels and wind turbines.

Achieving Sustainable Development Goal (SDG) 7 – ensuring access to affordable, reliable, sustainable and modern energy for all – will require an acceleration in the uptake of renewable energy (IEA/IRENA/UNSD/World Bank/WHO, 2023). The challenge is to adopt types of renewable energy that also have a low water intensity.

The other side of this connection is that considerable amounts of energy are used to pump, treat and transport water and wastewater, including for irrigation and industry. Achieving universal coverage for both drinking water and electricity involves reducing energy's dependence on water and vice versa, with a view towards lowering greenhouse gas (GHG) emissions.

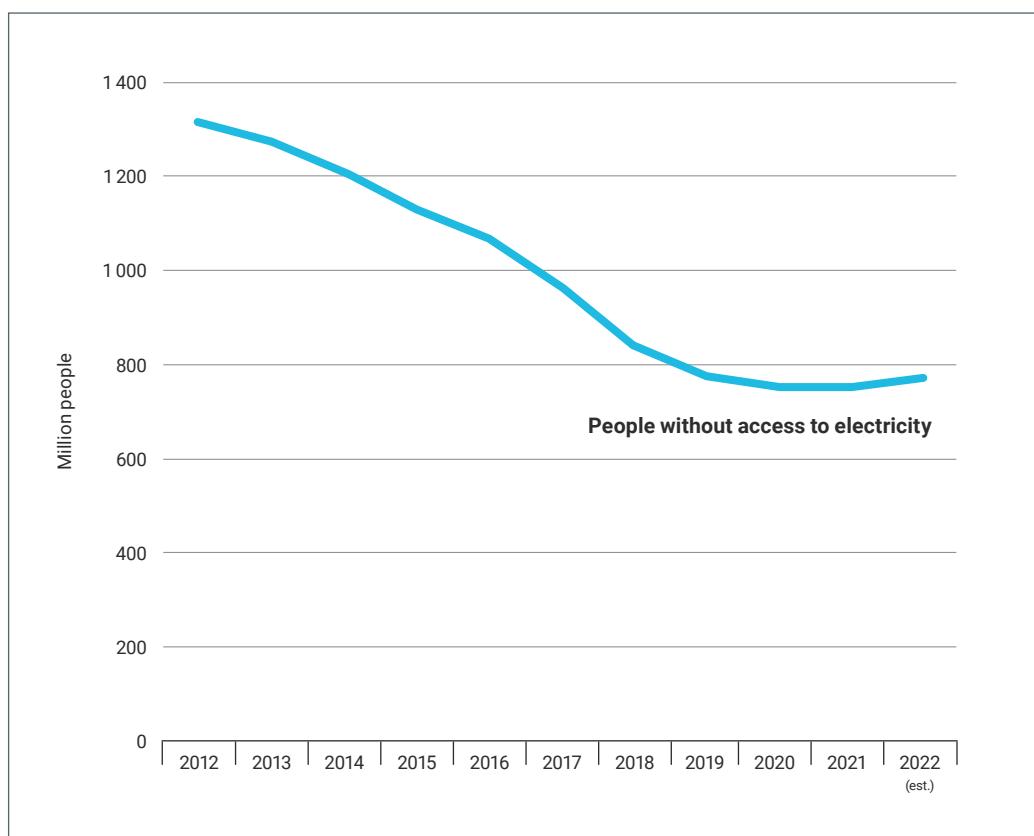
While the number of people without access to electricity has dropped by roughly 500 million over the past decade (Figure 5.1), progress began to stagnate around 2019 (IEA, 2022a). In 2021, an estimated 675 million people still lacked access to electricity, of whom 567 million lived in Sub-Saharan Africa. These numbers are somewhat similar to those for people without a basic water supply service (703 million), without a handwashing facility (653 million), and who practice open defecation (419 million) (United Nations, 2023).

When electricity is made available, it allows for the pumping, treatment and distribution of water required for improved water supply, sanitation and hygiene (WASH) services. Access to electricity also decrease the use of biomass for cooking,<sup>26</sup> which contributes to

**Figure 5.1**

People without access to electricity worldwide, 2012–2022

Source: IEA (2022a).  
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<sup>26</sup> "In 2021, around 2.3 billion people relied on inefficient and polluting cooking systems, jeopardizing their health, limiting their life opportunities, and damaging the climate and environment" (United Nations, 2023, p. 26).

improved health outcomes. As reported in the *United Nations World Water Development Report 2014* on water and energy, “*the fact that these figures are often representative of the same people is evidenced by a close association between respiratory diseases caused by indoor air pollution, and diarrhoea and related waterborne diseases caused by a lack of safe drinking water and sanitation*” (WWAP, 2014, p. 2).

By potentially freeing up large quantities of water, the energy sector will have a great influence on the fulfilment of SDGs 6 and 7 and the consequent well-being and prosperity of many who suffer from a lack of water supply and sanitation services, while at the same time providing more power to those who desperately need it the most.

## 5.1

### Water for energy

The amounts of water required to generate fuels and electricity vary considerably based on the resources used, the extraction and conversion process, and the overall amount of energy they create. There are two distinct categories of water use. Water withdrawal refers to the volume derived from a source (lake, river, aquifer, etc.) and returned to the environment after use. Water consumption corresponds to the amount permanently removed from its source.

The quantity of water **withdrawn** for energy production (Figure 5.2) is roughly ten times the volume **consumed** (Figure 5.3). While electricity generation (thermal and nuclear power cooling) accounts for the vast majority of withdrawals, primary energy production (fossil fuels and biofuels) is predominantly responsible for water consumption. The data suggest that water use for all types of energy production have been increasing more or less proportionally, with the exception of a notable decrease for fossil fuel-based electricity generation between 2010 and 2016, attributable to a sharp (nearly 20%) decline in coal production over that period (IEA, 2021a).<sup>27</sup> These graphs do not show values for hydroelectricity, which requires large volumes of water, both in terms of discharge and reservoir storage.

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**By potentially freeing up large quantities of water, the energy sector will have a great influence on the fulfilment of SDGs 6 and 7**

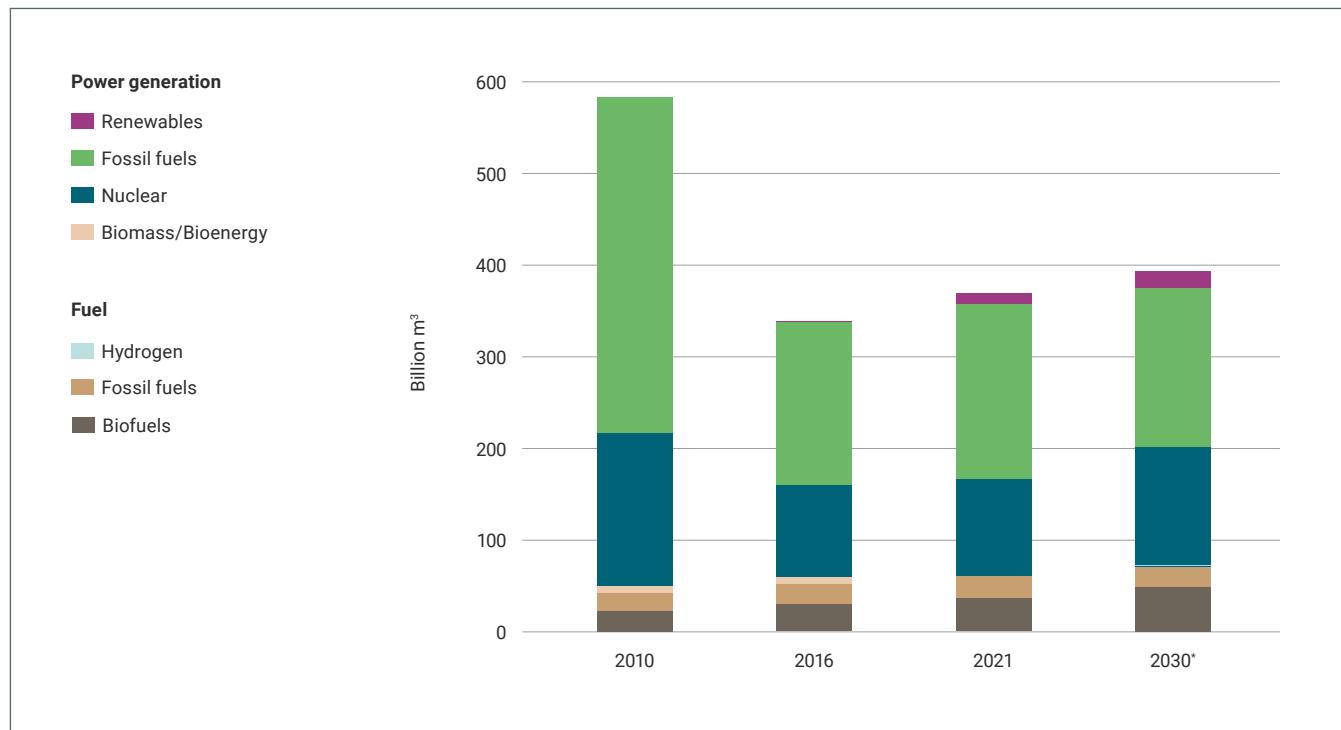
The large amount of water that goes into producing both primary energy and electricity reportedly accounted for 10% of global withdrawals in 2014 (IEA, 2016, Figure 9.1, p. 352). However, based on the data reported by the International Energy Agency (IEA), water withdrawals for energy were 54.1 billion m<sup>3</sup> in 2021 (IEA, 2023), accounting for 14% of the total 3,900 billion m<sup>3</sup> withdrawals in 2020 (World Bank, n.d.). This would account for a considerable proportion of the water use attributed to the industry sector (17% of the total amount – see section 4.2.2), which includes energy. This uncertainty notwithstanding, in terms of electricity generation, the most water-efficient sources are wind and solar-photovoltaic (PV) (WWAP, 2014).

According to Figure 5.4, significant progress has been achieved between 2011 and 2019,<sup>28</sup> as the proportion of solar and wind in the global power generation mix rose from 0.3% to 2.6%, and from 2.0% to 5.3%, respectively. However, meeting SDG 7 will require a substantial increase in the share of renewable energy sources for electricity (in addition to transport and heat) (IEA/IRES/UNSD/World Bank/WHO, 2023). Such progress would also directly help achieving SDG 6, especially in areas facing water scarcity or where competition over finite resources between water use sectors could undermine prosperity.

<sup>27</sup> Between 2010 and 2016, water withdrawal and consumption for coal-fired powerplants reportedly dropped from 335 billion m<sup>3</sup> to 150 billion m<sup>3</sup> and from 38 billion m<sup>3</sup> to 8 billion m<sup>3</sup>, respectively (IEA, 2012; 2020a).

<sup>28</sup> These dates were chosen to compare the most recent data (2019) available (IEA, 2023) with the 2011 data presented in the *United Nations World Water Development Report* (WWAP, 2014).

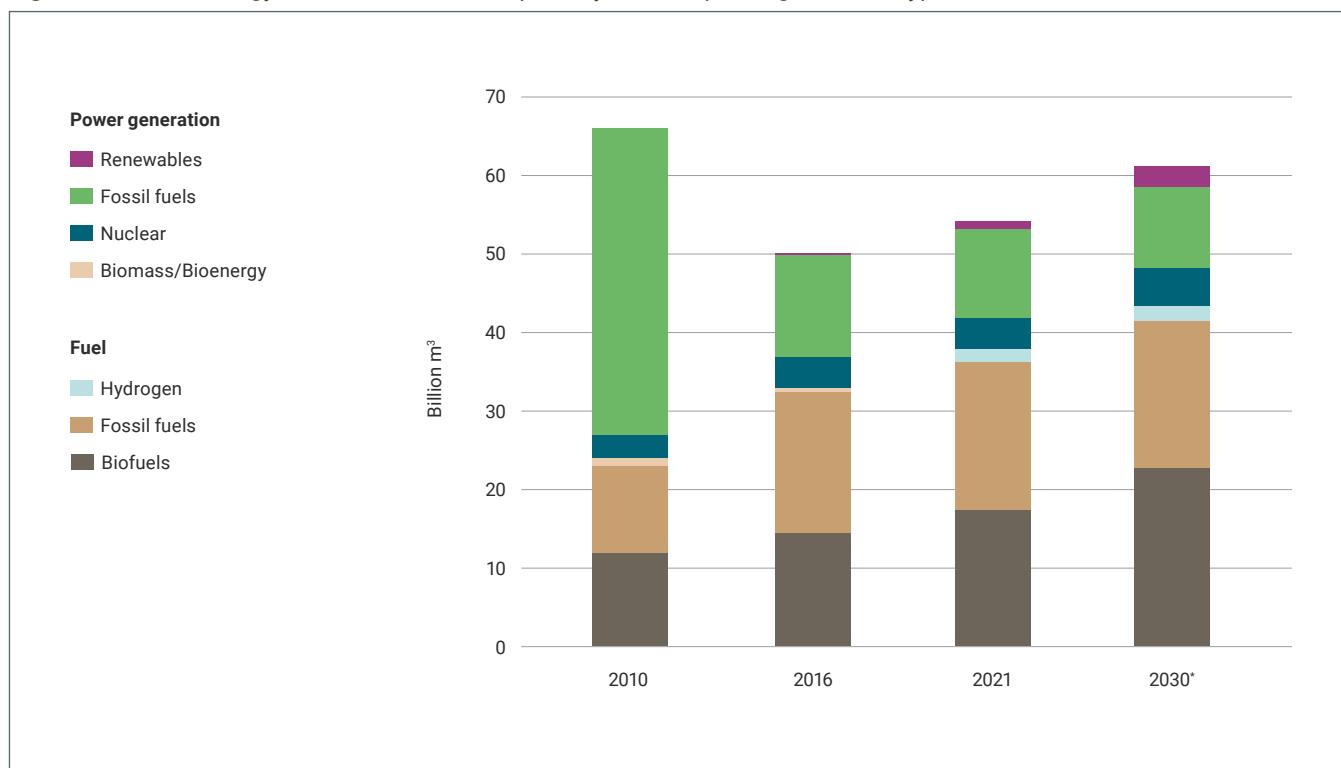
**Figure 5.2** Global energy sector: Water withdrawal by fuel and power generation type



\* Estimate based on most conservative scenario

Source: Authors, based on data from IEA (2012) for 2010, IEA (2020a) for 2016, and IEA (2023) for 2021 and 2030. Licence CC BY 4.0.

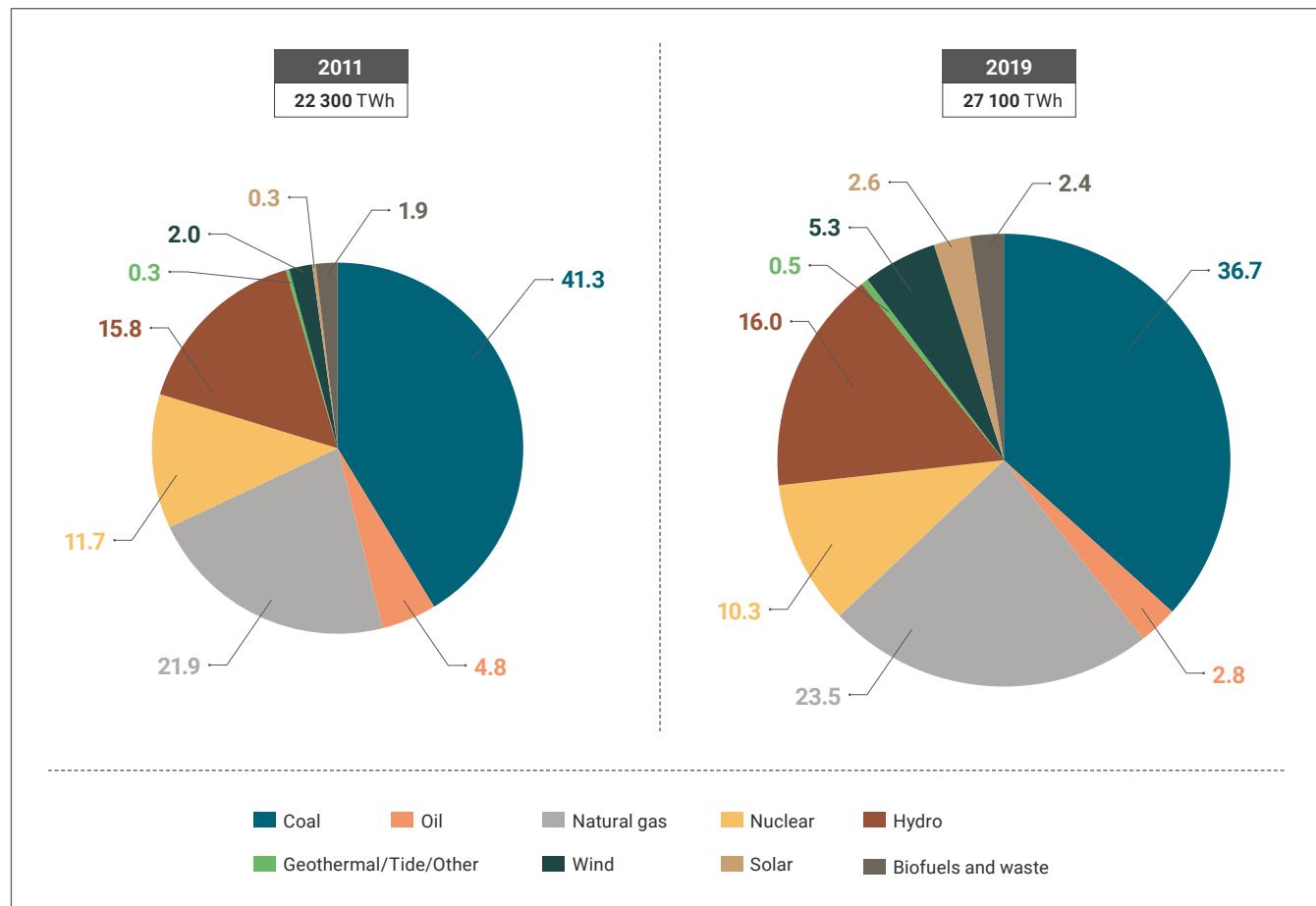
**Figure 5.3** Global energy sector: Water consumption by fuel and power generation type



\* Estimate based on most conservative scenario

Source: Authors, based on data from IEA (2012) for 2010, IEA (2020b) for 2016, and IEA (2023) for 2021 and 2030. Licence CC BY 4.0.

**Figure 5.4** World electricity generation by source (%)



Source: Authors, based on data from IEA (2021b) for 2011, and IEA (2019) for 2019. Licence CC BY 4.0.

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**In terms of electricity generation, the most water-efficient sources are wind and solar-photovoltaic**

### 5.1.1 Renewables

Some renewables have little or no impact on water use, or even mitigate water depletion, while others require large quantities of water. The choice of which renewable technology to deploy also depends on many other factors – technical, social, economic, and environmental – besides water stress (availability, quality, accessibility). Utility-scale solar PV and onshore wind are the fastest-growing renewables (Wiatros-Motyka, 2023) and “the cheapest options for new electricity generation in a significant majority of countries” worldwide (IEA, 2022b).

Globally, **solar PV** represented over 40% of investment in electricity generation in 2022, three times the spending on all fossil fuel technologies collectively (IEA, n.d.). Solar PV only requires small amounts of water, for manufacturing and cleaning panels (Stoltz et al., 2017). However, it also has the potential to mitigate water loss with other co-benefits when the panels are installed over water (Box 5.1). By contrast, **concentrated solar power** (CSP) requires substantial amounts of cooling water, presenting difficulties in the hot and arid climates where CSP works best. Dry (air) cooling is an alternative but reduces efficiency and increases costs, whereas hybrid wet/dry cooling could produce a 50% decrease in water consumption with a minimal loss in efficiency (IEA, 2010).

**Wind power** has little direct interaction with freshwater (though historically there was an energy nexus as windmills were constructed to pump water). Currently the main water relationship is with saltwater, as many wind farms are constructed offshore. Onshore wind farms can lead to land use conflicts and are sometimes perceived as aesthetically disturbing. Both have ecosystem interactions with wildlife and noise.

### Box 5.1 Solar canals: Innovation in the energy–water nexus



Photo: © Shutterstock/StockStudio Aerials\*

Almost ten years ago, a pilot project in Gujarat (India) put solar panels over canals, saving valuable land. There were multiple benefits – evaporation was reduced by shading so that water was saved for other uses, the water cooled the panels and made them more efficient, and the shade reduced algal blooms. One estimate suggested that 2 to 3 MW could be generated per kilometre (Gupta, 2021). A study in California suggested that enough water could be saved for 2 million people if all

the 6,400 km of open canals were covered with solar panels, which themselves would generate 13 GW of renewable power (Anderson and Hendricks, 2022). Floating solar panels covering reservoirs could yield similar benefits (Jin et al., 2023), which include hindering weed growth and minimizing land use for new solar installations.

Given the tremendous growth in solar PV and wind power, it is very fortunate that both technologies have positive impacts in terms of water use for power generation. This improvement, combined with their essentially local deployment, can yield a win-win situation for both power and water, promoting community-level prosperity.

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**Pumped-storage hydropower (PSH) can provide energy-balancing, stability, storage capacity and ancillary grid services, such as network frequency control and reserves**

**Hydropower** accounts for 16% of global power generation (Figure 5.4) and its growth has been keeping pace with total electricity production. The economic, social and environmental ‘pros’ and ‘cons’ of hydropower have been well established for decades (see for example WWAP, 2003), but they can vary considerably depending on the specific type of project and its location. However, with proper design and regular maintenance, hydropower facilities can remain operational for over 100 years (IHA, 2023). **Pumped-storage hydropower** (PSH) can provide energy-balancing, stability, storage capacity and ancillary grid services, such as network frequency control and reserves. The rate of energy loss from PSH has been estimated at around 20% (ESA, n.d.). Its cost-effectiveness compares well with other forms of energy storage, especially for very large capacity storage (IHA, 2023).

**Geothermal** energy production systems are long-lasting and consume very little water, approximately 70 times less per MWh than natural gas generation (Kagel et al., 2007), yet they remain highly under-represented in the global power generation mix. However, in some enhanced geothermal systems that need water injections for steam, some of the water can be reused in a closed loop system, but this will result in big losses and therefore a large consumption of water relative to thermal plants (IEA, 2016). Water reinjection takes place at depth and does not come into contact with groundwater, so negative impacts on groundwater and surface water are unlikely to occur (Kagel et al., 2007).

•••

## Desalination is very energy-intensive, accounting for 26% of the energy in the water sector globally

**Bioenergy** generated only 2.4% of global electricity in 2022 (Wiatros-Motyka, 2023), which is the same ratio reported in 2019 (Figure 5.4). Most of the biomass used comes in the form of wood pellets from sawdust, a by-product of lumber and paper production, or it is derived from low-quality or dead trees (McDonald, 2022). They are burned in generating stations, sometimes in co-firing with coal. The generating stations are cooled in the same way as other thermal stations and therefore present a similar level of water use (EPC, n.d.).

While **biofuels** are not generally used to produce electricity, they do fall under the 'water for energy' category. Biofuels, such as ethanol, are mainly used in transportation in place of fossil fuels. When crops are specifically grown for biofuels, water (both withdrawn and consumed) is a major factor. The water intensity of biofuels is orders of magnitude higher than for fossil fuels. Irrigated soybean biodiesel, for example, ranges between  $10^3$  and  $10^6$  litres per toe (tonne of oil equivalent), whereas conventional oil is roughly between  $10^2$  and  $10^4$  litres per toe (IEA, 2016, Figure 9.4, p. 358). Water quality is also a factor, as runoff can carry fertilizers and pesticides (WWAP, 2017). Additional concerns include their effects on food prices, the risk of increase in GHG emissions through direct and indirect land use change (LUC), as well as the risks of degradation of land, forests, water resources and ecosystems (UNEP/IRP, 2009). This raises concerns about the overall sustainability of irrigated crop biofuels in some geographies, indicating that they may not necessarily be conducive to prosperity and economic well-being for all.

**Nuclear power**<sup>29</sup> uses roughly the same water per unit of energy (perhaps a bit more) than similar cooling systems in coal and natural gas plants (IEA, 2016). Once-through cooling is common and the increased discharge water temperature can have detrimental environmental effects. The use of nuclear power is often pre-empted by concerns over safety, costs and waste disposal, rather than water.

Small Modular Reactors (SMRs) that are easily transported, especially to remote areas, are gaining attention. They have a self-contained design and cooling water is used in a continuous loop. Some designs do not use any water but other types of coolant. The intention is to put SMRs underground, but this raises concerns regarding groundwater contamination (McDonald, 2022).

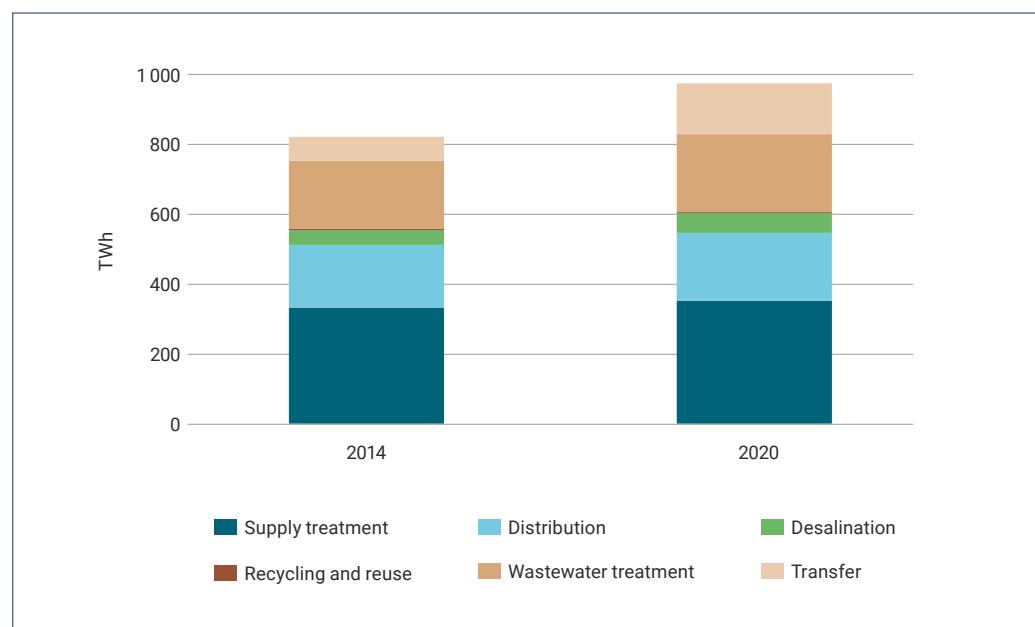
## 5.2 Energy for water

The total amount of energy (mainly electricity) used to manage and manipulate water – from abstraction through pumping and distribution, to treatment for use and disposal – is difficult to accurately assess (WWAP, 2017). In 2020, it was estimated at just under 1,000 TWh. For context, global electricity demand in 2021 was 24,700 TWh (IEA, 2022c). It can thus be deduced that water currently accounts for roughly 4% of the equivalent global electricity demand. Figure 5.5 provides a general picture of the electricity intensity of water subsectors.

The IEA (2020c) has predicted large increases in energy demand for desalination, large-scale water transfers and more wastewater treatment (both in terms of the volume and the intensity of treatment) through 2040. **Water reuse** offers one of the most promising approaches to sustainably meet the growing demand for water (WWAP, 2017), but it is currently so sparsely applied that its application would need to increase by nearly two orders of magnitude before matching the energy demand of the processes mentioned above.

<sup>29</sup> Whether categorized as 'renewable' or not, nuclear power provides a source of low-carbon electricity and heat.

**Figure 5.5**  
Total electricity consumption by water management process, 2014–2020



**Desalination** is very energy-intensive, accounting for 26% of the energy in the water sector globally (IEA, 2018). In 2018, there were about 16,000 operational desalination plants, of which about half of the total production is located in the Middle East and North Africa region. The municipal sector is the largest user of desalinated water in terms of capacity (62%), followed by industry (30%) (Jones et al., 2019). Since desalination plants generate GHG emissions when their power supply is based on fossil fuels, plants powered by renewables are much more desirable. Hence solar PV and wind power may come into play, with the bonus that they are suited to hot dry climates, which are the ones most likely to suffer from water shortages. An example is a partnership in Kenya providing solar-powered desalinated water to 23 local hospitals (REN21, 2022). However, the environmental problems associated with desalination, like brine disposal and impacts on marine ecosystems, should not be overlooked.

### 5.3 The water–energy–climate change nexus

The decarbonization of energy is targeted at reducing or eliminating GHG emissions. For many, the impacts in terms of water may be a secondary consideration. However, the increasing prominence of renewables has not reduced the reliance of the energy sector on considerable volumes of water.

Climate change can directly impact energy production, notably through its effects on the variability of water supplies, particularly with respect to extreme weather triggered by climate change, and the effects of drought and flooding on water use. For example, several nuclear reactors in France had restrictions placed on their output because of high temperatures of discarded cooling water, which could harm wildlife (Crellin, 2022). Also in France, two reactors in Chooz on the Belgian border were shut down due to low water levels in the river Meuse (RFI and Woods, 2020). Drought led to very low water levels in the Rhine in 2022, which threatened the output of a coal-powered generating station near Frankfurt due to disruptions in delivering coal (Connolly, 2022).

Such oscillations in energy production can have serious repercussions on local and national economies, with transboundary implications. In 2023, a drought in Laos, and the subsequent reduction in river flow, raised concerns over the country's ability to export electricity from hydropower plants to Thailand (Apisitniran, 2023). Situations such as this generate financial risks to the exporting country, as well as energy security risks to the importing country.

• • •

## **Carbon capture and storage (CCS) systems are both highly energy- and water-intensive**

The potential roles of water in mitigating GHG emissions, through practices ranging from agricultural land use through to wastewater treatment, have been highlighted in the 2020 edition of the *United Nations World Water Development Report* (UNESCO/UN-Water, 2020). **Carbon capture and storage (CCS)** has been proposed as an innovative technology that captures CO<sub>2</sub> from GHG-generating power plants (and other industrial processes such as steel and cement production) and stores it deep underground. These systems are both highly energy- and water-intensive. Not only do they require more water for cooling at the power plant level, they also require additional water as an integral part to the carbon capture processes – potentially increasing a plant’s water withdrawal and consumption by as much as 90% per MWh (Global CCS Institute, 2015). According to one study, “*the widespread deployment of CCS to meet the 1.5°C climate target would almost double anthropogenic water footprint*” (Rosa et al., 2021, p. 1).

Decarbonizing energy will depend heavily on **critical minerals**. For example, solar PV needs approximately six times more of these minerals, measured as kg per MW of installed power, than a natural gas plant (IEA, 2022c). Additionally, critical minerals frequently need more water and have high eco-toxicity (IEA, 2021c). A major challenge for wind and solar power is their intermittent generation, as electricity would need to be stored for when the sun is not shining or the wind not blowing. While PSH shows much room for expansion (NHA, 2021), lithium-ion batteries are the fastest-growing storage technology (IEA, 2022c). However, obtaining lithium comes with impacts to water and local populations in countries such as Chile (Box 5.2).

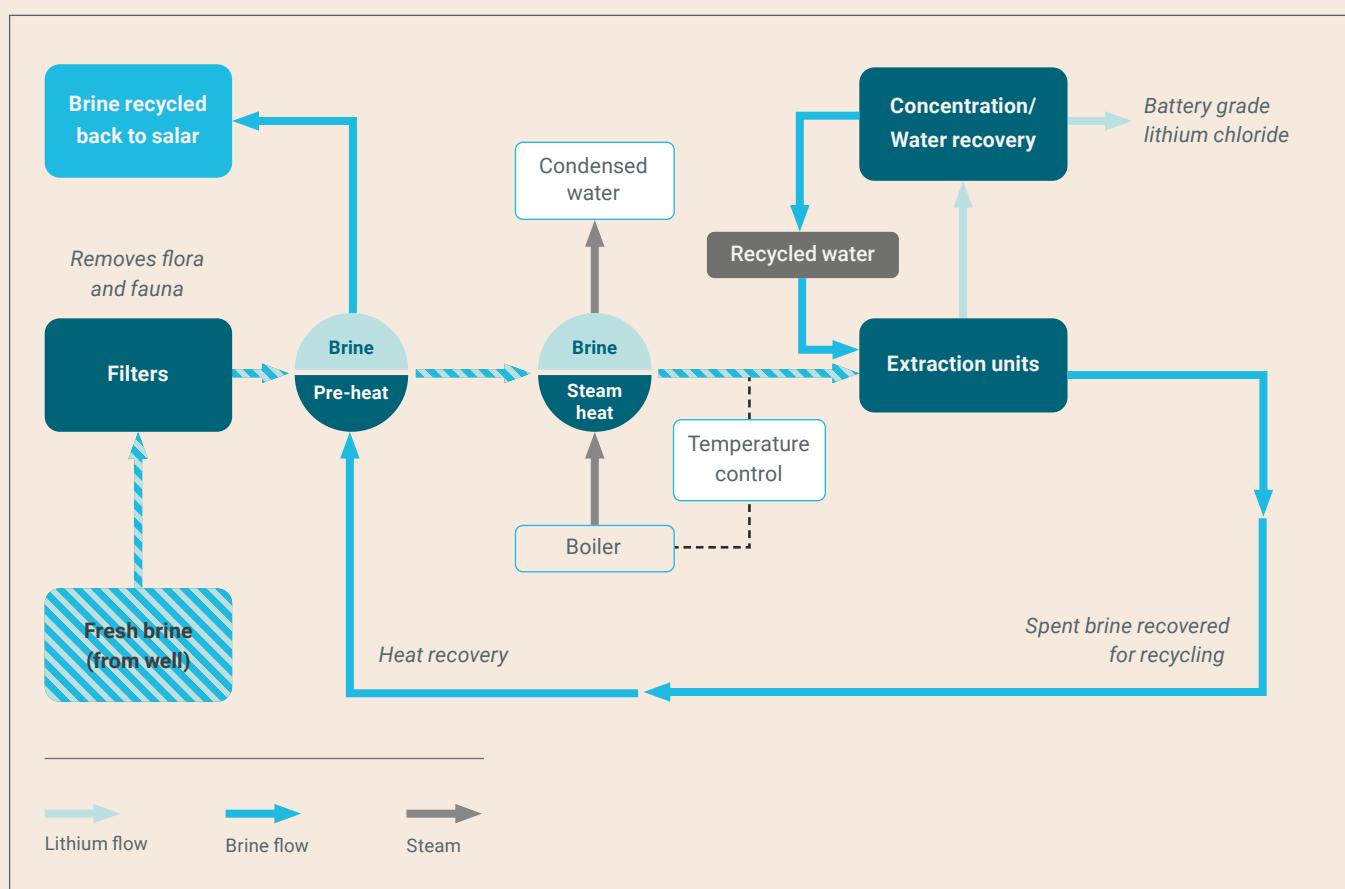
In the pursuit of net-zero, progress towards renewable energy, especially wind and solar, may have a positive effect on the energy–water nexus, though there will still be large water demands for hydro and other water-intensive energy technologies. There will also be increasing impacts on energy through water as a result of climate change, which will go hand-in-hand with increased demands for water and energy as populations increase and countries strive to meet SDGs 6 and 7. Notwithstanding, it appears that water still has a dangerously low priority on the energy spectrum. The World Energy Council’s Global Issues Energy Map (WEC, 2022) suggests that land and water availability does not keep “*energy leaders awake at night*” – maybe it should...

### Box 5.2 Energy storage, lithium and water

Better batteries are a key component of renewable energy, either to power vehicles (EVs) or to store electricity from intermittent sources, such as wind and solar. Currently such batteries rely on lithium, which is in high demand. Lithium is mined (in Australia) or produced from evaporation of groundwater brines, which depletes aquifers in water-stressed locations such as Chile. It is estimated that one tonne of lithium requires 2.2 million litres of water to produce (Silva and AFP, 2023). This has major impacts on groundwater and the lives of local communities, as well as on the environment. However, there is increasing interest in direct lithium extraction (DLE) from the brine in aquifers (see Figure). Instead of using evaporation ponds that do not recharge the aquifer, the brine is pumped to the surface and passed through an extraction unit, and the remaining brine is pumped back into the aquifer. The technologies are mainly at the development stage and need to become commercially viable. A similar process is being evaluated in old abandoned oil fields, such as in Canada, which are underlain by large amounts of brine with traces of lithium.

Source: Compiled from Silva and AFP (2023); Azevedo et al. (2022); Airswift (2022), CleanTech Lithium (n.d.), and Lorinc and Tuttle (2023).

#### Direct lithium extraction process



Source: Based on International Battery Metals (2021).

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## Chapter 6

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# Environment

**WWAP**

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***"Making peace with nature is the defining task of the 21<sup>st</sup> century.  
It must be the top, top priority for everyone, everywhere."***

António Guterres, Secretary-General of the United Nations, 2020

## **6.1 Ecosystem services: trends and lost opportunities**

• • •

**Water-related  
ecosystems are  
by far the most  
heavily impacted  
by poor land  
management,  
over-use of  
water and land  
conversion**

Ecosystems regulate the amount of water available across space and time, as well as its quality. The economic use value of water from freshwater ecosystems in 2021 was estimated at approximately US\$58 trillion, equivalent to 60% of global gross domestic product (GDP) (WWF, 2023). This includes a total quantifiable direct use value of a minimum of US\$7.5 trillion and an additional US\$50 trillion annually, 7 times more, from the indirect benefits that are currently chronically undervalued in policies.

Environmental degradation can be a significant driver of losses in prosperity and amplify socio-political tensions. Losses in ecosystem services reduce benefits, especially to the poorest and most vulnerable groups, and hence increase poverty.

Over-exploitation of provisioning ecosystem services (food, water, fibre and other raw materials) has impaired the capacity of ecosystems to regulate climate and water, among other benefits. Consequences are potentially disastrous and include disputes over environmental resources and the undermining of sustainable prosperity (Dasgupta, 2021).

Water-related ecosystems are by far the most heavily impacted by poor land management, over-use of water and land conversion (IPBES, 2019). The extent and overall condition of wetlands continues to deteriorate globally (Convention on Wetlands, 2021), although estimates vary widely. While Darrah et al. (2019) reported a 35% decline in the natural inland wetland area since 1970, and an 87% total loss since 1700, a more recent estimate suggests a net loss of 21% since 1700 (Fluet-Chouinard et al., 2023). Both studies agree that most of this decline occurred in Europe, China, India and the United States of America (USA).

Many European countries have drained most of their peatland wetlands, including Germany (98%), the Kingdom of the Netherlands (95%), Denmark (93%) and Ireland (82%) (Joosten et al., 2017). This decline leads to subsidence (sinking), land loss, vulnerability to toxic haze-producing fires and, in coastal peatlands, salinization (FAO, 2020). According to one estimate, restoring peatlands could avoid greenhouse gas (GHG) emissions equivalent to 12–41% of the reductions required to keep global warming below 2°C (Leifeld et al., 2019). In the tropics, drainage is mainly associated with commodity plantations, such as oil palm (IPBES, 2019) and acacia cultivation (Evans et al., 2019).

Forests play a major role in the water cycle, through their influence on evaporation/precipitation regimes, regulation of streamflow, and groundwater recharge. About 75% of the world's accessible freshwater comes from forested watersheds (Springgay, 2019). The rate of deforestation has slowed in recent years, but still, the world lost around 100,000 km<sup>2</sup> of forests per year between 2015 and 2020 (FAO/UNEP, 2020). For instance, Nigerian land contributes 43% of the water evaporation that drives precipitation (rainfall) in neighbouring countries such as Cameroon, Ghana and Guinea. Therefore, besides unsustainable use of surface and groundwater resources, deforestation is another factor that puts water supply in these countries at risk (Rockström et al., 2023). However, the role of forests as 'atmospheric watersheds' – and the need to manage them as such – is increasingly recognized.

Drought is one of the major drivers of global food and water insecurity. In extreme cases, it can force people to abandon their land (IPBES, 2019). There are strong links between land use, land use change, drought and resilience (UNCCD, 2019). Degradation and fragmentation of ecosystems increase the likelihood of conflicts between humans and wildlife (Gibb et al., 2020). They have been linked to outbreaks of diseases, including COVID-19 (UNEP/ILRI, 2020), Ebola (Olivero et al., 2017), and malaria (Morand and Lajaunie, 2021).

Half of the world's GDP is dependent on nature (WEF, 2020). The enormous scale of benefits and opportunities on offer from ecosystem restoration are captured in the declaration of the United Nations Decade on Ecosystem Restoration 2021–2030.<sup>30</sup> The Montreal-Kunming Global Biodiversity Framework has adopted the target of ensuring that by 2030 at least 30% of degraded ecosystem area is under restoration (CBD, 2022). Achieving these ambitions will require transformational change in policies and behaviour.

## 6.2

### Nature, conflict and peacebuilding

Nature can be a casualty of conflict, a cause of conflict or a harbinger of peace. Strengthening gender equality and women's empowerment related to natural resource management can contribute to building effective and lasting peace (IUCN, 2021).

Nature and ecosystem conservation or restoration offer some of the best prospects of achieving a harmonious and prosperous world through the achievement of Sustainable Development Goal 6 (SDG 6): sustainable water and sanitation for all.

Ecosystem degradation can be central to climate change-induced conflict (Box 6.1). In the Sahel region, wetland degradation, often due to ill-advised water development projects, has exacerbated local disputes over access to water and productive land, causing social breakdown and armed conflict, with significant numbers of wetland inhabitants migrating to Europe (Wetlands International, 2017).

Wildlife–human conflicts can be exacerbated by water resources availability (Box 6.2). Sometimes, wildlife–human conflicts can simultaneously jeopardize conservation and sustainable water resources management objectives. For example, in Pakistan's Ayubia National Park, human–leopard conflicts were on the increase, partly due to water cycle changes driving this critically endangered species closer to local communities. An integrated human–wildlife conflict management plan gained the trust of local communities and paved the way for strong community engagement in various conservation initiatives, where leopards are now seen in a more positive light. Retaliatory killings of leopards have dropped by 50%, and human fatalities have dropped to zero (Gross et al., 2021). Communities are now able to more actively engage in watershed management and protection, which deliver improved water security, while at the same time diversifying their livelihoods through wildlife-based ecotourism.

Opportunities exist to facilitate peace by harnessing the positive role environmental scientists and educators from both sides can play in dispute resolution. A Park for Peace is a special designation that may be applied to any of the three types of transboundary conservation areas, and is dedicated to the promotion, celebration and/or commemoration of peace and cooperation (Vasilijević et al., 2015) (Box 6.3).



*Opportunities exist to facilitate peace by harnessing the positive role environmental scientists and educators from both sides can play in dispute resolution*

<sup>30</sup> Resolution 73/284 adopted by the General Assembly of the United Nations on 1 March 2019.

### **Box 6.1 Desertification and drought linked to the first recorded climate change conflict**

Although multiple factors are in play, Darfur has been labelled the “*first climate change conflict*”. In the decades leading up to the 2003 outbreak of war, the Sahel region of northern Sudan had witnessed the Sahara Desert advance southward by over a kilometre each year and a decrease in annual median rainfall of 15–30%. This had significant consequences for Sudan’s two predominant and sometimes competing agricultural systems: smallholder farmers relying on rainfed production on the one, and nomadic pastoralists on the other hand, each with differing predominant ethnicities. Accelerating desertification and drought, exacerbated by unsustainable land management, have slowly eroded the availability of the natural resources that support livelihoods and the peaceful coexistence of these two groups in the region. Longstanding pasture and grazing corridors in Sudan shrank to a point where traditional communal land tenure systems could not arbitrate. These factors led to then Secretary-General of the United Nations Ban Ki-moon to comment in 2007, “*Almost invariably, we discuss Darfur in a convenient military and political shorthand, an ethnic conflict pitting Arab militias against black rebels and farmers. Look to its roots, though, and you discover a more complex dynamic. Amid the diverse social and political causes, the Darfur conflict began as an ecological crisis, arising at least in part from climate change.*”

Source: Adapted from Sova (2020).

### **6.3**

#### **Valuing nature**

The valuation of ecosystem benefits plays a critical role in leveraging prosperity and peace through water. Policy-making has historically been based on trade-offs among a narrow set of values, prioritizing provisioning ecosystem services (material benefits) over other services (e.g. regulating water or climate and cultural services). “*Ignoring, excluding or marginalizing local values often leads to socio-environmental conflicts linked to value clashes, especially in the context of power asymmetries, which undermine the effectiveness of environmental policies*” (IPBES, 2022, p. 38; Box 6.3). Different value typologies, world views and knowledge systems identify four broad values-based categories of stakeholders – varying from those living **from** river resources (largely based on material values), to those living **in** riverine landscapes, those living **with** riverine species and habitats, and those living with the river **as part of us** (Figure 6.1). There is a progressive shift of emphasis from material to non-material values across these perspectives. Although emphasis on the non-material value systems tends to be associated in the literature with traditional and local peoples, they are by no means limited to such groups and can be very evident in other cultures or socio-economic classes.

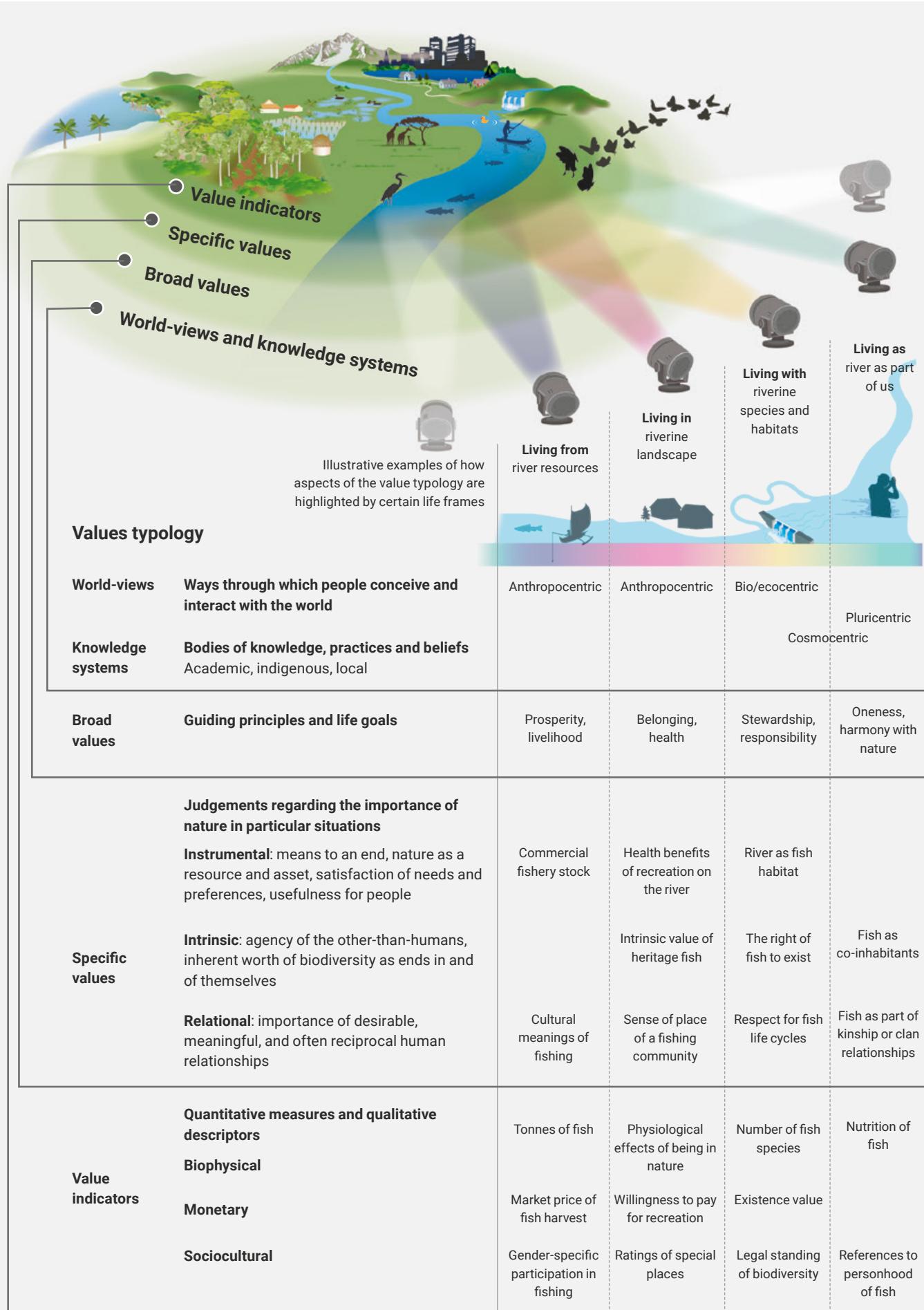
High values can be attributed to waterscapes (water bodies and their riparian green spaces) through their therapeutic role in physical, mental and social health. For example, a neighbourhood waterscape is more beneficial for psychological and mental health than a neighbourhood green space (Zhang et al., 2021). Yet these important values remain underrepresented in policy and planning.

### **6.4**

#### **Nature-based solutions**

The extent of ecosystem degradation and its role in conflict and losses of prosperity highlights the scope for ecosystem restoration to become a dominant response to many water-related challenges, particularly regarding water quality and availability, resilience and responding to climate change. The proactive use of ecosystems is the mantra of nature-based solutions (NbS), the subject of the 2018 edition of the *United Nations World Water Development Report* (WWAP/UN-Water, 2018). Sustainable land management, ecosystem-based adaptation, and ecosystem-based disaster risk reduction are similar proactive effective approaches for improving long-term resilience and human well-being.

**Figure 6.1** Values assessment typology: Understanding the diverse values of nature



Note: The figure centres on potential foci of value (e.g. agroecosystems, biodiversity, cities, rivers) and concentric circles illustrate different value types and dimensions (world-views, broad and specific values, nature's contributions to people and value indicators). Life frames are not mutually exclusive; individuals or groups can hold multiple frames.

Source: IPBES (2022, fig. SPM 2, p. 19).

## **Box 6.2 The case of human–elephant conflict – Ecosystem degradation, water insecurity and the role of landscape restoration**

Human–elephant conflict results from increased space and resource competition as human settlements and agriculture expand. Water security, for both people and elephants, is one root cause of conflicts. Poor land management, particularly vegetation removal, and over-extraction of water lead to dwindling, and increasing variability of, water resources – a situation further exacerbated by climate change. These human-induced changes not only cause reduced agricultural productivity, but also reduce the forage availability for elephants, and the surface water availability for all. Hence competition increases. India alone reports annual deaths of 400 people and 100 elephants during such incidents, with additional direct effects to 500,000 families through crop raiding. Sri Lanka annually documents over 70 human and 200 elephant mortalities from conflict, whilst Kenya reports that 50–120 problematic elephants are shot by wildlife authorities each year and about 200 people died in human–elephant conflict between 2010 and 2017. Other Asian and African range countries document similar or worse consequences. Current conflict management approaches focus on prevention through exclusion and on-site deterrents, many of which are nature-based. Examples include the use of spices or bees as deterrents, mitigation via elephant translocation or selective culling and monetary compensation for losses. However, these merely address the symptoms of the problem. Sustainable solutions require site-specific measures to be framed within landscape level restoration planning that addresses patterns of water and vegetation quality and quantity across space and time. Improving landscape productivity and water security underpins long-term promotion of peaceful coexistence between people and nature.

Source: Shaffer et al. (2019).

## **Box 6.3 The Salween Peace Park – An indigenous people-led initiative to promote peace and protect the Salween River basin**

The Salween River, crossing China, Myanmar and Thailand, is the longest remaining free-flowing river in Asia. In the Karen state of Myanmar, the rivers of the basin provide valuable services. They also have spiritual value and are sacred to the local indigenous people. The area has suffered over 70 years of conflict, including armed episodes.

Created in 2018 to promote sustainable peace, the Salween Peace Park (SPP) spans over 6,000 km<sup>2</sup> of a highly biodiverse landscape. The SPP is a community-led initiative that empowers local indigenous communities to revitalize their traditional practices, ensure the basin's conservation, and support water management by conserving critical ecosystems. The SPP is managed sustainably by indigenous Karen communities through an inclusive democratic governance structure that provides spaces for local people to converse on equal footing. The SPP was one of the winners of the 2020 Equator Prize.<sup>a</sup>

This initiative is facing multiple pressures from resource extraction, hydropower development proposals and territorial contentions. Since military action in 2021, displacement and livelihood disruption have stalled community-led management and monitoring activity.

Source: Equator Initiative (2021); Kantar (2019); with inputs from Paul Sein Twa (Salween Peace Park General Assembly/Karen Environmental and Social Action Network (KESAN)).

<sup>a</sup> For more information, please see [www.undp.org/press-releases/2020-equator-prize-winners-show-nature-based-solutions-ahead-un-biodiversity-summit](http://www.undp.org/press-releases/2020-equator-prize-winners-show-nature-based-solutions-ahead-un-biodiversity-summit).

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## **Nature-based solutions usually provide multiple benefits, including several related to local prosperity, and are increasingly proving to be cost-effective**

By 2030, 150 million people a year could need humanitarian assistance due to floods, droughts, and storms and by 2050, this is expected to have risen to 200 million people annually (IFRC, 2019). Implementing NbS could reduce the number of people in need of international humanitarian assistance due to climate change and weather-related disasters. However, some significant challenges remain for NbS to reach their potential, including the need to better understand their limits, issues of participation and equity, lack of methods for economic valuation, scalar mismatches, difficulties with integrating natural and built infrastructure, inadequate governance, and the need to fundamentally rethink society's relationship with nature (Nelson et al., 2020).

NbS usually provide multiple benefits, including several related to local prosperity, and are increasingly proving to be cost-effective. For example, soils represent around 25% of the NbS required for climate change mitigation to achieve the Paris Agreement, whilst simultaneously delivering improved crop water availability and groundwater recharge. Half of this is considered low-cost, requiring an investment of US\$10 per tonne of CO<sub>2</sub> avoided (Bossio et al., 2020). Restoration of mangroves could protect more than 267,000 people in the Philippines from flooding, saving US\$450 million per year in damage (Losada et al., 2018). Agroforestry alone has the potential to increase food security for 1.3 billion people (Smith et al., 2019). It can also reduce soil erosion by 50% and increase soil carbon content by 21%, inorganic nitrogen by 46% and phosphorus by 11%, all of which can directly improve livelihoods of local farmers, as well as the water quality in their area (Muchane et al., 2020).

The evidence for the job creation potential of NbS projects is significant and increasing (OECD, 2020). There is a range of practical and implementable NbS that can be deployed to help address the biodiversity and climate crises, while simultaneously creating sustainable jobs and long-term prosperity (Van Zanten et al., 2023). Every dollar invested in ecosystem restoration can create up to US\$30 in economic benefits (Ding et al., 2018). Investment in landscape-scale restoration in the USA creates at least twice as many jobs as a similar investment in the oil and gas sector would (Calderón, 2017). New Zealand has dedicated US\$700 million in recovery funds towards 11,000 restoration jobs (Government of New Zealand, 2020). By planting 5 billion seedlings, Ethiopia's forest cover is to be doubled by 2030, creating green jobs (Federal Democratic Republic of Ethiopia, 2020). South Africa has invested US\$1.15 billion in restoration, including as part of the "Working for Water" initiative that has used job creation since 1995 to clear three million hectares of invasive species that affect water quality through an increased fire risk and subsequent soil erosion (CBD, 2018). In Ecuador, a payment-for-ecosystem-services scheme enabled local indigenous communities to hire forest guards and clear trails for a land demarcation programme, resulting in reduced deforestation rates and fewer invasions into their territories (Perefán and Pabón, 2019).

Ecosystem restoration is now recognized as an urgent and key element for conflict resolution and peacebuilding, as well as a tool to improve access to resources, manage climate-related security risks, reduce recruitment by terrorist groups and alleviate pressure on people to migrate (Barbut and Alexander, 2016; UNEP, 2019; United Nations, 2020). Eighty-one percent of Parties to the United Nations Framework Convention on Climate Change includes ecosystem restoration in their nationally determined contributions (UNFCCC, 2022), although there is limited information on implementation. By reducing resource scarcity, increasing income generation, and aiding mitigation and adaptation to climate change, restoration can address some of the main drivers of environmentally triggered human migration (UNCCD, 2018; IPBES, 2019).

## 6.5 Response options

Assessments of the state and trajectory of the environment have consistently highlighted the urgent need for transformational change in humankind's relationship with it, noting the disastrous consequences of 'business as usual'.<sup>31</sup> Despite this, now long history of repeatedly identifying the problem and needs, implementation of measures to achieve a sustainable pathway still fall well short of what is required.

The IPBES (2022) noted the need to institutionalize and integrate the diverse values of nature and its contributions to people. A key factor is recognizing how access to nature's contributions is inequitably distributed across individuals, groups, and generations. Lack of information, lack of technical and financial resources, and other capacity gaps hinder the inclusion of diverse values of nature in decision-making, but capacity-building and collaborations among a broad range of societal actors can help bridge these gaps.

Opportunities for accelerating uptake of NbS include leveraging existing financing towards more 'green' investments, expanding innovative financing mechanisms, creating regulatory and legal enabling environments that support rather than constrain NbS investments, enhancing intersectoral collaboration and harmonizing policy domains, and improving the knowledge base, with more rigorous assessments and evaluations (WWAP/UN-Water, 2018). The *IUCN Global Standard for Nature-based Solutions* (IUCN, 2020) has introduced a methodology for standardization across NbS applications that will help promote an improved NbS uptake, using a set of common indicators: societal challenges, design at scale, biodiversity net gain, economic feasibility, inclusive governance, balancing trade-offs, adaptive management, sustainability, and mainstreaming. The World Bank has recently provided practical guidance for integrating grey and green infrastructure (Browder et al., 2019) and for assessing the benefits and costs of NbS for climate resilience (Van Zanten et al., 2023).

Policy responses for conserving nature and building peace include:

- i. Improved natural resources governance through inclusive decision-making, the strengthening of land tenure and resource rights, accountability and transparency, upholding of the rights of indigenous peoples, gender equality and women's empowerment, and improved coordination within countries;
- ii. Improved natural resources management, including through protected areas, post-conflict rebuilding, sustainable land and water management, applying of standards and safeguards, and greening of military and humanitarian operations;
- iii. Nature protection in areas of conflict, including through the application and implementation of international agreements, and the enforcement of obligations from international court rulings; and
- iv. Transboundary resources management and agreements, including water diplomacy, and parks for peace (IUCN, 2021).

<sup>31</sup> For example: The Millennium Ecosystem Assessment (2005) and follow up assessment (IPBES, 2019); consistently throughout the five editions of the *Global Biodiversity Outlook* since 1999 to 2021 (CBD, n.d.); throughout the seven editions of the *Global Environment Outlook* from 1995 to 2022 (UNEP, n.d.); the Kunming-Montreal *Global Biodiversity Framework* of 2022 (CBD, 2022), and its precursor the *Strategic Plan for Biodiversity 2011–2020* (CBD, 2010); and, as encapsulated in the 2030 Agenda for Sustainable Development and the Sustainable Development Goals.

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## Chapter 7

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# Transboundary cooperation

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• • •

## Throughout history, countries have developed context-specific governance arrangements that foster peace and prosperity over transboundary waters

Transboundary rivers, lakes and aquifers account for 60% of the world's freshwater flows (UNECE/UNESCO, 2021). Over 310 river basins and an estimated 468 aquifers are shared between two or more countries (McCracken and Wolf, 2019; IGRAC, 2021). A total of 153 countries share rivers, lakes and aquifers.

Transboundary waters globally face significant and increasing pressures due to population increase, growing water demands, ecosystem degradation and climate change. Cooperation over transboundary rivers, lakes and aquifers can generate multiple economic, social, environmental and political benefits that in turn deliver prosperity and peace at local, national, regional and global levels. The topic has drawn attention at high levels at the United Nations (UN), including through the Global High-Level Panel on Water and Peace established in 2017. The UN Security Council<sup>32</sup> has also recognized that joint water management can foster trust, stability and peace.

It is imperative for states to cooperate over their transboundary waters, as reflected in the Sustainable Development Goal (SDG) Target 6.5 "by 2023, implement integrated water resources management at all levels, including through transboundary cooperation as appropriate." The UN 2023 Water Conference called for the strengthening of transboundary water cooperation as a means by which to accelerate progress on sustainable development and regional integration, and to build sustainable peace (United Nations, 2023).

Water can bring countries together and promote prosperity by providing common livelihood, development and cost-sharing opportunities that may exceed those generated by unilateral action. International water law has developed principles and norms that provide the basis for transboundary water cooperation, which can help solve disputes and contribute to stability and peace. *The Convention on the Law of Non-Navigational Uses of International Watercourses* (Watercourses Convention; United Nations, 1997) and *the Convention on the Protection and Use of Transboundary Watercourses and International Lakes* (Water Convention; UNECE, 2013), as well as the *Draft Articles on the Law of Transboundary Aquifers* (ILC, 2008), provide riparian states with basic norms for: equitable and reasonable utilization of the joint water body; the duty to do no significant harm; and the duty to cooperate. The two UN water conventions include provisions for the peaceful settlement of disputes. The Water Convention also has an implementation committee specifically aimed at preventing and resolving disputes.

In addition, regional integration bodies, such as the European Union and several regional economic communities across Africa,<sup>33</sup> promote cooperation and peace through their coordination actions across sectors and river, lake and aquifer systems.

Throughout history, countries have developed context-specific governance arrangements that foster peace and prosperity over transboundary waters. Research suggests that "coordination between stakeholders, through the establishment of institutional capacity in the form of agreements, treaties or informal working relationships, can help reduce the likelihood of conflict. Once institutional capacity is established between parties it has been proven to be resilient over time, even as conflict was being waged over other issues" (Petersen-Perlman et al., 2017, p. 2).

'Water diplomacy' seeks to facilitate the political processes and practices aimed at preventing, mitigating and resolving disputes over transboundary water resources, and the development of joint water governance arrangements by applying foreign policy means at different tracks and levels (Sehring et al., 2022). It can ease tensions between states while

<sup>32</sup> On 22 November 2016 under the Presidency of Senegal; on 6 June 2017 under the Presidency of Belgium and Bolivia; and on 26 October 2018 co-hosted by Côte d'Ivoire, the Dominican Republic, Germany, Indonesia, Italy and the Kingdom of the Netherlands.

<sup>33</sup> Examples include the Southern African Development Community, the Economic Community of West African States and the Economic Community of Central African States.

improving governance over their shared waters and maintaining or strengthening regional relations. Water diplomacy can involve actors other than traditional state actors, including civil society organizations or academic networks (Mirumachi, 2020; Denoon et al., 2020).

While over 3,600 international water treaties have been developed since CE 805 (UNEP/OSU/FAO, 2002) and approximately 120 international basin organizations exist to jointly manage shared basins worldwide (OSU, n.d.), many transboundary water bodies still lack such arrangements. Only 32 out of the 153 countries sharing transboundary waters have at least 90% of their transboundary basin area covered by an operational arrangement for water cooperation (UNECE/UNESCO, 2021), and there are very few aquifer-specific agreements (Burchi, 2018).

## 7.1

### Transboundary water agreements and institutions

Agreements coupled with operational joint bodies and international and multi-lateral operational arrangements for transboundary waters can be important assets of preventive diplomacy. As such, they are unique features of international cooperation in the pursuit of shared water management and solutions to the related challenges (Global High-Level Panel on Water and Peace, 2017). Transboundary water management is a long-term and often challenging process. Agreements and institutions can provide additional guidance and clarity for the parties in negotiation, coordinated planning and implementation, and dispute resolution.

The Senegal River Basin Development Organization (OMVS) is a comprehensive, institutionally developed management system that has served as an instrument of cooperation among riparian states (UNDP/GEF, 2011). The OMVS was created in the early 1970s by the heads of state of Mali, Mauritania and Senegal, with the purpose to promote joint management of the shared water resources in the face of drought. The organization enabled communication between Mauritania and Senegal during a period of conflict (Auclair and Lasserre, 2013).

## 7.2

### Role of transboundary water cooperation in conflict and post-conflict settings

Many transboundary basins are in areas marked by current or past interstate tensions and, in certain contexts, armed conflicts, both among and within states (Global High-Level Panel on Water and Peace, 2017). Cooperation on transboundary water management can serve as an entry point for promoting peace in conflict and post-conflict situations (Box 7.1). For example, “*water cooperation has been a part of numerous peace treaties in Europe: the Rhine and the Danube water cooperation systems that exist today are the results of peace agreements in Vienna (1815) and in Paris (1856), respectively*” (Global High-Level Panel on Water and Peace, 2017, p. 14).

In a post-conflict setting, the *Framework Agreement on the Sava River Basin* (FASRB) (Bosnia and Herzegovina/Republic of Croatia/Republic of Slovenia/Federal Republic of Yugoslavia, 2002) represents the first multilateral, development-oriented agreement in South-East Europe concluded after the *General Framework Agreement for Peace in Bosnia and Herzegovina* (General Assembly of the United Nations/Security Council, 1995) and the *Agreement on Succession Issues* (United Nations, 2001). The FASRB provides the framework for cooperation on water resources and navigation in the context of promoting conditions for sustainable development across the Sava River basin countries (see Box 8.2). The agreement established a Commission as the decision-making body. It meets regularly and has elaborated various plans in conformity with the agreement.

Representing the largest inland drainage area in Africa, the Lake Chad basin has grappled with various forms of conflict and insecurity for decades (UNDP, 2022). Its drainage basin (984,455 km<sup>2</sup>) is shared by the Lake Chad Basin Commission (LCBC) member states: Cameroon, Chad, the Central African Republic, Libya, Niger and Nigeria. Damming,

overextraction, climate change and drought are all leading to the rapid depletion of the lake. Measurements taken during the last decade reveal that the lake had decreased in size by 90% over the previous 60 years. This has contributed to significant unemployment and a broad range of security challenges in the region (Supreme State Audit Office of Cameroon/Court of Accounts Chad/Court of Accounts Niger/Office of the Auditor-General for the Federation of Niger, 2015).

In a context of growing water scarcity, the LCBC has been entrusted by its member states with ensuring the most efficient use of the basin's waters, coordinating development and assisting in the settlement of any dispute that might arise between the riparian countries (Cameroon/Chad/Niger/Nigeria, 1964). The *Water Charter for the Lake Chad Basin* was updated in 2011, thereby requiring "State Parties [...] to cooperate to achieve the sustainable management and development of Lake Chad in compliance with the rules and principles governing international lakes and watercourses" (LCBC, 2011, Article 1). LCBC's mandate expanded over time, making it an appropriate institution for addressing the specific needs of the basin, including socio-economic development and security issues. Moreover, in a region facing insecurity and attacks from armed groups, the LCBC has reactivated and hosts the Multi-national Joint Task Force as a regional security arrangement. While river basin organizations are generally mandated to focus on transboundary water management, the case of LCBC illustrates how they can promote regional peace and security more broadly.

### 7.3 Inclusive, participatory transboundary processes

Inclusive and participatory transboundary water cooperation platforms and processes lead to a common understanding of their objectives and benefits. The inclusion of minority and often-marginalized groups was a common theme at the UN 2023 Water Conference, which highlighted the benefits of active participation by women and indigenous peoples (United Nations, 2023). Indigenous and traditional communities may have long-standing networks across borders. Situating them in the centre of dialogues represents an opportunity for enhancing transboundary cooperation.

The Meghna River is one of the last free-flowing rivers in South Asia. Its basin is shared by Bangladesh and India and home to several indigenous forest-dependent and rural communities (the Chakpa, Garo, Jaintia and Khasi). Based on the understanding that these transboundary riparian communities have cooperated in various ways over generations, joint research initiatives and multi-stakeholder benefit-sharing dialogues are being piloted here, including the first-ever Meghna knowledge-sharing forum, to learn from riparian communities' traditional knowledge and methods in supporting bottom-up approaches to cooperation (IUCN, n.d.).

Across the world, women remain generally underrepresented in the water sector, and in the transboundary water sector specifically (Fauconnier et al., 2018). All scales of water cooperation require the meaningful participation of women, including development and peacebuilding processes, conflict prevention and resolution, and post-conflict reconstruction and recovery.

The Women in Water Diplomacy Network<sup>34</sup> seeks to connect and engage women in decision and policy-making and as water experts by developing their capacities in water diplomacy, negotiation, mediation, peacebuilding processes and conflict prevention. It also aims at building the trust and interpersonal relationships required to support cooperation at every scale by identifying new opportunities for cooperation, and by improving approaches to multi-stakeholder cooperation and inclusion in transboundary water dialogues.

<sup>34</sup> For more information, please see: <https://sdgs.un.org/partnerships/rising-tide-support-women-water-diplomacy>.

## 7.4. Groundwater and transboundary aquifers

Groundwater is an important source of freshwater for people's daily needs and for development, and a large proportion of the world's freshwater resources are in transboundary aquifers (United Nations, 2022). Effective water governance and cooperation support the conjunctive management of both transboundary surface and groundwater resources. Such management should be underpinned by sound data. A transboundary diagnostic analysis (TDA), supported by a strategic action plan (SAP) involving a joint technical assessment, as well as joint monitoring and data-sharing, can bolster cooperation.

### Box 7.1 Dinaric Karst Aquifer System

The Dinaric Karst Aquifer System (DIKTAS) is one of the world's largest karst aquifer systems spanning from Italy to Greece, across nearly ten countries in South-East Europe. Drinking water supply in the region heavily depends on this aquifer. However, the system and the services it provides are threatened by unsustainable uses. The system is highly vulnerable to pollution from inappropriate disposal of solid waste, untreated wastewater, and agricultural and industrial activities, due to the system's high permeability and limited self-purification capacity.

Under the project "Protection and Sustainable Use of the DIKTAS" involving Albania, Bosnia and Herzegovina, Croatia, and Montenegro, a transboundary diagnostic analysis (TDA) enhanced understanding of the aquifer system, including the main sources of pollution. Based on the evidence from the TDA, a Strategic Action Programme (SAP), a negotiated policy document, identified a number of policy, legal, institutional and investment actions. The project functioned through National Inter-Ministerial Committees (NICs) in each country, which allowed for the engagement and contribution of all related sectors. The NICs discussed and agreed upon the SAP. In addition to the NICs, the parties agreed to form a Regional Consultation and Information Exchange Body, composed of partner countries' senior government officials, as the first step to the commitment to transboundary cooperation.

The DIKTAS project contributed, through its activities, regular meetings and trainings, to trust-building and dialogues among countries, but also between actors within each country that did not usually meet. Reaching an agreement on the SAP can thus be considered a success and an important step forward in a post-conflict zone where peace needed to be rebuilt. Water cooperation provided one crucial pathway to do so. The second phase of DIKTAS (2023–2027) aims to build on the SAP, further strengthening regional collaboration and operationalizing earlier commitments.

In 2017, the Orange-Senqu River Commission (ORASECOM) Council, consisting of representatives from the respective government agencies responsible for water affairs from Botswana, Namibia and South Africa, endorsed a resolution to establish the Multi-Country Cooperation Mechanisms (MCCM) for the Stampriet Aquifer system. This embeds a groundwater-specific mechanism into a river basin organization, thus facilitating the conjunctive management of surface water groundwater resources, and building on already existing cooperation experience (Burchi, n.d.). This represents the outcome of a process initiated by joint assessment and data-sharing by the three countries, facilitated within a specific project (UNESCO, 2021).

The Shire River basin (shared between Malawi and Mozambique), a surface water catchment within the Zambezi River basin, overlays two transboundary aquifers. The basin population in both countries faces high poverty levels aggravated by vulnerability to floods and water quality degradation due to increased economic activity. A TDA identified deficient flood control, poor water quality, lack of data and monitoring, and insufficient cross-country coordination as central challenges. A subsequent SAP identified the following four objectives:

- *"Strengthen national and transboundary institutional cooperation to improve management for sustainable development and management of the basin and its shared aquifers."*
- *"Improve the quality and quantity of data for improved conjunctive water development and management decision-making by instituting a joint monitoring system for data collection, sharing and standardization."*
- *"Reduce the adverse impacts of climate variability and change (i.e. floods and droughts) through joint conjunctive management of surface water and groundwater, including the use of natural infrastructure (e.g., aquifers and wetlands) and implementation of early warning systems."*
- *"Promote catchment management (e.g., reducing overexploitation, revitalizing natural vegetation) in order to enhance water quality, stream flow and groundwater retention/recharge"* (SADC-GMI/IWMI, 2019, p. iii).



## Indigenous and traditional communities may have long-standing networks across borders

The TDA and its consequent SAP can form the first basis for initiating transboundary cooperation-based conjunctive management in a manner coherent with the existing Zambezi Watercourse Convention (The Republic of Angola/The Republic of Botswana/The Republic of Malawi/The Republic of Mozambique/The Republic of Namibia/The United Republic of Tanzania/The Republic of Zambia/The Republic of Zimbabwe, 2004). Other ongoing initiatives in Southern Africa include the Tuli Karoo Transboundary Aquifer Area shared by Botswana, South Africa and Zimbabwe (Mowaneng et al., 2021).

Institutions like the Senegal River Basin Development Organization (OMVS) and the Gambia River Basin Development Organization (OMVG) could be instrumental in integrating transboundary aquifers in regional planning and development. In 2021, Gambia, Guinea-Bissau, Mauritania and Senegal signed a ministerial declaration on the Senegalo-Mauritanian Aquifer Basin (SMAB), which supplies an estimated 80% of the basin population with groundwater (UNECE, 2021). The declaration called upon the OMVG and the OMVS to elaborate on the future intergovernmental mechanism for concerted management of the SMAB. This would be the first such mechanism in West Africa.

### 7.5 Trends and conclusions

Appropriate legal and institutional arrangements need to be established or enhanced to deal with growing competition over transboundary water resources. With increasingly complex challenges over water access, quality and management and in order to prevent future disputes, flexible arrangements adaptable to changing pressures, particularly measures for climate change adaptation and mitigation, and inclusion of consultation and dispute settlement procedures, will be crucial. Advancing water cooperation and water diplomacy will require increasing capacity, at all levels, including for negotiating new agreements and joint bodies, strengthening existing ones, and addressing the linkages between water and other development objectives. It is a long process, requiring time and trust-building. Initiatives such as regional dialogues, or the involvement of non-traditional actors, such as local communities, can contribute substantially. Cooperation is not a linear process, as new challenges can arise at any time. In this regard, transboundary river, lake and aquifer basin organizations are crucial convening and negotiating forums, and serving as agents of peace.

The UN water conventions provide tools for supporting cooperation and agreements based on the fundamental principles of customary international law, complemented by the *Draft Articles on the Law of Transboundary Aquifers*.<sup>35</sup> Most of the practical benefits of the Water Convention derive from its institutional framework and activities at global, regional, national and transboundary levels, particularly under its triennial programme of work (UNECE, 2022). Since its adoption in 1992, 52 parties have acceded to the Water Convention,<sup>36</sup> enhancing prospects for conflict prevention and stability in several regions.

By acceding to and implementing the UN water conventions, as called for by the Secretary-General of the United Nations (2023), countries can help build political will and accelerate transboundary cooperation for achievement of the SDGs. However, addressing gaps, reinforcing existing provisions, and strengthening implementation at the regional, basin, sub-basin and national level remain crucial in order to achieve practical results on the ground.

<sup>35</sup> For more information, please see: [https://legal.un.org/ilc/texts/instruments/english/draft\\_articles/8\\_5\\_2008.pdf](https://legal.un.org/ilc/texts/instruments/english/draft_articles/8_5_2008.pdf).

<sup>36</sup> The Convention was originally negotiated as a regional framework for the pan-European region. Following an amendment procedure, since March 2016 all UN Member States can accede to it. Chad and Senegal have become the first African Parties in 2018. Then, Ghana acceded in 2020 and was followed by Guinea-Bissau and Togo in 2021, by Cameroon in 2022, and Nigeria and The Gambia in 2023. Iraq acceded in March 2023 as the first country from the Middle East, Namibia in June 2023 as the first country from Southern Africa and Panama in July 2023 as the first country from Latin America.

Ultimately, political will is crucial for advancing transboundary water cooperation. Water's capacity to unite in transboundary contexts has been demonstrated over time to contribute to peace, sustainable development, climate action and regional integration, as exemplified above by efforts in the Sava and Senegal river basins. While reporting on SDG Indicator 6.5.2 indicates that numerous countries have demonstrated an ability to collaborate based on international water law principles and through joint institutions, the 2021 reporting results underline that transboundary water cooperation is needed more than ever as a cross-cutting enabler for peace, water security and shared prosperity (UNECE/UNESCO, 2021).

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## Chapter 8

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# Regional perspectives

### **8.1 Sub-Saharan Africa**

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### **8.3 Latin America and the Caribbean**

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### **8.4 Asia and the Pacific**

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### **8.5 Arab region**

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## 8.1 Sub-Saharan Africa

### Most of Sub-Saharan Africa suffers from economic water scarcity

Population growth, rapid urbanization, changing lifestyles and consumption patterns, along with economic development, are increasing water demand throughout Sub-Saharan Africa. At the same time, water quality is severely deteriorating. Agriculture, accounting for an estimated 79% of total water withdrawal across the region (AQUASTAT, n.d.), generates an estimated 25% of Africa's gross domestic product (GDP); engaging the livelihoods of an estimated 60% of the population, the majority of which are smallholder farmers (FAO, 2020; 2021; AMCOW, 2012). Demand for domestic supply – currently around 13% of total water withdrawal (AQUASTAT, n.d.) – is rising sharply in urban centres and peri-urban areas, creating major challenges for service providers, with informal settlements remaining acutely underserved (Dos Santos et al., 2017). Critical as a catalyst for economic diversification, industrial development accounts for an estimated 7% of total water withdrawal (AQUASTAT, n.d.), and this figure is predicted to significantly rise in future years (Boretti and Rosa, 2019; AMCOW, 2012).

While surface water resources are unevenly distributed, groundwater is relatively abundant throughout most of the region (Figure 8.1) (United Nations, 2022). Most of Sub-Saharan Africa suffers from economic water scarcity, characterized not by the relative level of availability of water resources, but by the lack of appropriate infrastructure, as well as inadequate management and insufficient economic resources and incentives. All these factors hinder lasting progress<sup>37</sup> (UNECA/AU/AfDB, 2003). Notably, there is significant potential for further hydroelectricity generation in the region (IEA, 2022).

Over a third of the countries in Africa – with a combined population of over half a billion (out of a total 1.3 billion) – are considered 'water-insecure' (MacAlister et al., 2023; Oluwasanya et al., 2022). This mirrors Africa's progress towards the Sustainable Development Goals (SDGs), which has been slow according to most indicators, even regressing in some cases (UN-Water, n.d.). For instance, since 2015, the number of people without safely managed drinking water in Africa has increased from 703 to 766 million (UN-Water, 2021), despite the fact that Africa receives one third of global official development assistance for the water sector.<sup>38</sup> Capacity to monitor SDG data indicators is generally inadequate, in spite of high-level calls and long-term global efforts to improve data availability (UNECE/UNESCO, 2018). Climate change exacerbates water insecurity through temperature rise and increasing temporal and spatial precipitation variability, and impacts water availability through soil moisture and runoff (IPCC, 2022).

Factors hindering the prospects for prosperity and peace include: weak institutional arrangements and legal frameworks; insufficient financial arrangements; inadequate data and human capacity; low levels of public awareness and stakeholders participation; and inadequate infrastructure for delivering water for irrigation, domestic and industrial requirements (MacAlister et al., 2023; Oluwasanya et al., 2022; UN-Water, 2021; UNECA/AU/AfDB, 2003; Van Koppen, 2003).

#### 8.1.1 Transboundary water cooperation

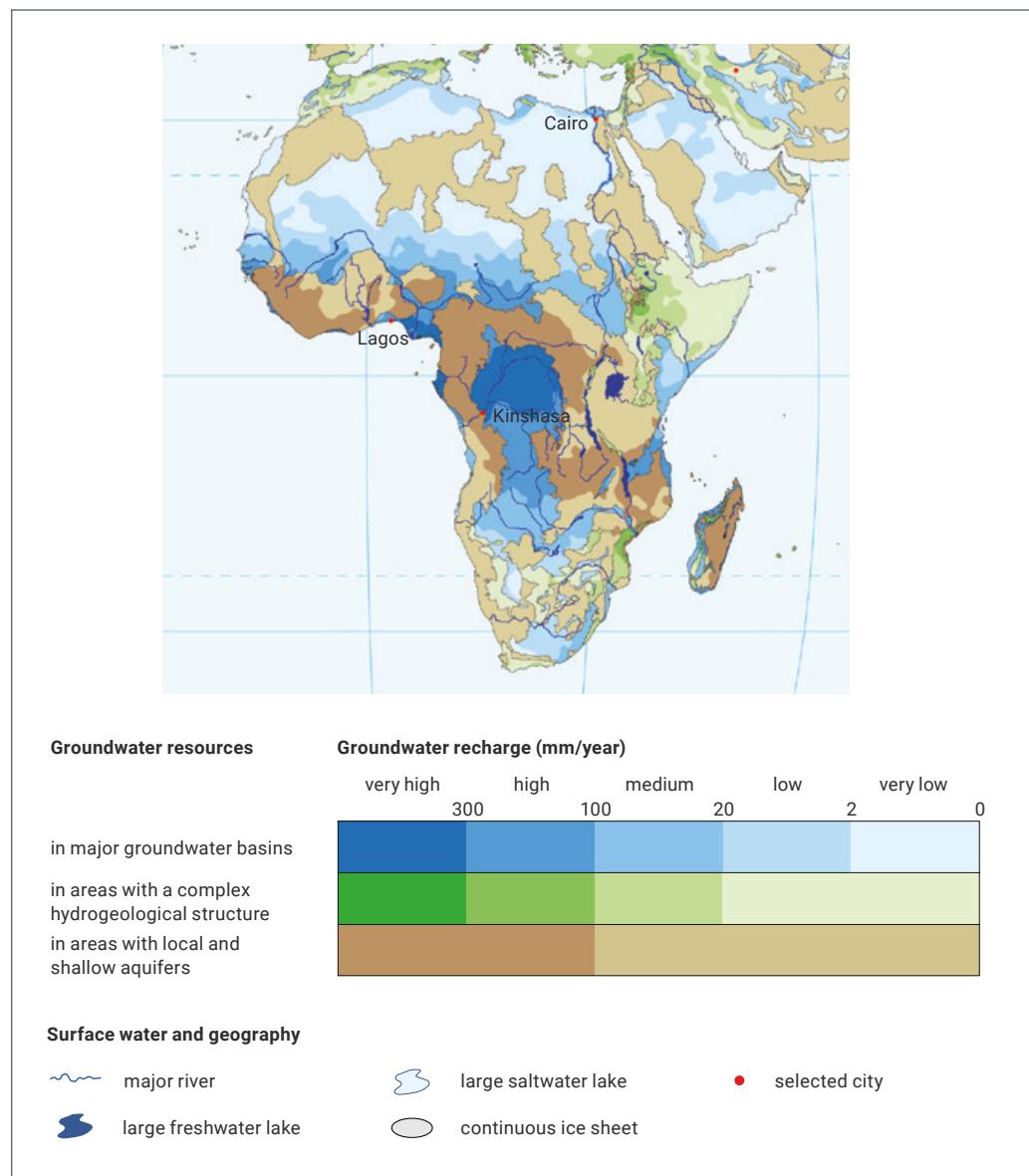
The vast majority (42 out of 48) of countries in Sub-Saharan Africa share a transboundary basin in the form of rivers, lakes and groundwater aquifers<sup>39</sup> (UNECE/UNESCO, 2018). Africa has the highest proportion of transboundary basins relative to other continents, covering an estimated 64% of the land area (UNECA, 2021).

<sup>37</sup> Exceptions include South Africa where all physically available water resources have largely been developed, and where physical water scarcity prevails (Van Koppen, 2003).

<sup>38</sup> Financial disbursements to Africa increased from US\$2.4 billion in 2015 to US\$3.0 billion in 2019, including an increase of 58% in aid to large water and sanitation systems and a 12% increase in aid for water sector policy and administrative management (UN-Water, 2021).

<sup>39</sup> A transboundary basin refers to a river or lake basin, or an aquifer system that mark, cross or is located on boundaries between two or more states. A basin comprises the entire catchment area of a surface water body (river or lake); or, for groundwater, the area of the aquifer (UNECE/UNESCO, 2018).

**Figure 8.1**  
Groundwater  
resources of Africa



Transboundary basins, including surface and groundwater, create ‘water interdependencies’ across the territories through which they span and/or travel. Disparate national policies and legal frameworks can create barriers for coherent management of these hydrological systems. Overcoming such barriers requires cooperative state interaction, through which nations coordinate interventions for mutual benefit (Frey, 1993). In the absence of a supranational authority, states may address these barriers by negotiating rules and procedures to govern and regulate the management of transboundary waters, including groundwater (UNECA, 2021).

Near the beginning of the millennium, the Africa Water Vision for 2025 (UNECA/AU/AfDB, 2003) called for effective transboundary cooperation to enable equitable water allocation and sustainable use in Africa as a tool to strengthen regional economic growth and social integration. Transboundary cooperation in Africa includes operational arrangements such as shared agreements (Lautze and Giordano, 2005) and river basin organizations (Saruchera and Lautze, 2016). The mutual benefits to riparians include joint riparian water supply and hydroelectricity infrastructure projects promoting food and energy security; the potential for increased hydrological monitoring and data-sharing; and effective coordination and sector integration through river basin organizations (United Nations, 2023a; Sadoff and Grey, 2002).

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**Africa has the highest proportion of transboundary basins relative to other continents**

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## *Agreements and operational arrangements over transboundary freshwater resources can help promote peace and stability*

The hydro-political history of Southern Africa is marked by a high number of international agreements and operational arrangements on shared waters (UNECA, 2021). Endeavours to promote transboundary cooperation were established through the instrumental South African Development Community protocol (SADC, 1995). This Protocol subsequently led to planning and execution of joint water projects, such as the Lesotho Highlands Project (Mirumachi, 2007). The Protocol was negotiated on the basis of equitable water-sharing, acting as a catalyst for wider political cooperation, economic integration and security in the region (Savenije and Van der Zaag, 2000). The Okavango River Basin Water Commission, established by Angola, Botswana and Namibia to jointly manage water resources of the Cubango-Okavango River basin, is another notable example (Green et al., 2013).

Similar institutional arrangements have also been established within West Africa. Basin-wide legal frameworks, supported by joint bodies and river basin organizations are in place for the major river basins including the Senegal, Gambia, Volta and Niger rivers. For instance, overseen by the Senegal River Development Organization, Senegal, Mali and Mauritania agreed to share the development costs and benefits of infrastructure jointly operated on the Senegal River (Dos Santos, 2023).

In Central Africa, cooperation has been organized by the Economic Community of Central African States. The International Commission of the Congo-Oubangui-Sangha Basin was established with a mandate focused on navigation (including trade), energy and other approaches based upon integrated water resources management (Medinilla, 2017). Other regional initiatives include the Lake Chad River Basin Commission<sup>40</sup> and the adoption of the Lake Chad Basin Water Charter (Galeazzi et al., 2017).

In East Africa, the Nile Basin Initiative was established in 1999, including a technical advisory committee and secretariat. The Initiative has played an important role in fostering cooperation, although challenges associated with implementing the Nile River Basin Cooperative Framework Agreement are recognized (UNECE/UNESCO, 2018).

### **8.1.2 Transboundary groundwater governance**

Groundwater is a critical resource for supplies throughout Sub-Saharan Africa, particularly in arid and semi-arid areas during periods of drought (Figure 8.1) (MacDonald et al., 2012). Of the 72 transboundary aquifers mapped in Africa, underlying 40% of the land area, cooperation has been formalized in seven (Nijsten et al., 2018).

If transboundary aquifers are covered by international cooperation, this occurs primarily through surface water agreements (concerning rivers and lakes) (TWAP, n.d.). There have only been few reports of coordinated activities related to transboundary aquifer assessment, monitoring or management (Nijsten et al., 2018). The lack of groundwater data is a major contributing factor to uncoordinated reporting (Fraser et al., 2023). Increasing awareness and support of joint transboundary aquifer management is noticeable amongst international organizations. However, cooperation also requires long-term national commitment to produce impacts at the local level (Box 8.1) (Nijsten et al., 2018).

### **8.1.3 Way forward**

Rising water scarcity in Sub-Saharan Africa poses significant water management challenges. Given the large proportion of transboundary basins in the region, mutual interests in transboundary cooperation – such as for water quality, water supply, infrastructure projects for agriculture and energy, flood control, and management of climate change impacts – can bring riparians and stakeholders together to collaboratively promote water, energy and food security. Transboundary cooperation can broaden the knowledge base, enlarge the range of measures available to mitigate water risk, increase preparedness and recovery for droughts and floods, and offer more cost-effective solutions (UNECA, 2021).

<sup>40</sup> Including Cameroon, the Central African Republic, Chad, Libya, Niger and Nigeria.

### **Box 8.1 The Governance of Groundwater Resources in Transboundary Aquifers (GGRETA) project**

The Governance of Groundwater Resources in Transboundary Aquifers (GGRETA<sup>a</sup>) project has promoted transboundary cooperation with regards to the Stampriet aquifer system, located in a large arid region of Southern Africa shared by Botswana, Namibia and South Africa. In 2017, member states established a Multi-Country Cooperation Mechanism<sup>b</sup> for the Stampriet aquifer system, which was subsequently incorporated into the Orange-Senqu River Basin Commission represented by the Groundwater Hydrology Committee. This was the first example in Sub-Saharan Africa of integrating groundwater with surface water within a transboundary basin, thereby following principles of integrated water resources management and contributing to SDG Indicator 6.5.2. Similarly, the GICRESAIT project<sup>c</sup> in West Africa focused on the Iullemeden-Taoudenit-Tanezrouft aquifer systems<sup>d</sup> in relation to the Niger River, strengthening the riparians' approach to integrated surface water and aquifer management (UNECE/UNESCO, 2018). This project built upon already established transboundary coordination and cooperation through the African Network of Basin Organizations and the African Ministers' Council on Water (AMCOW).

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- <sup>a</sup> In partnership with UNESCO's Intergovernmental Hydrological Programme (IHP) and the International Groundwater Resources Assessment Centre (IGRAC). For more information, please see: <https://en.unesco.org/ggreta>.
  - <sup>b</sup> Functions include the monitoring, planning and execution of joint groundwater-focused projects in the Stampriet aquifer system.
  - <sup>c</sup> For more information, please see: [www.oss-online.org/en/releases/OSS-GICRESAIT-Synthese](http://www.oss-online.org/en/releases/OSS-GICRESAIT-Synthese).
  - <sup>d</sup> Algeria, Benin, Burkina Faso, Mali, Mauritania, Niger and Nigeria.

## **8.2**

### **Europe and North America**



**Such agreements and operational arrangements over transboundary freshwater resources can help promote peace and stability**

Contemporary geo-political events have exposed the devastating consequences of armed conflict for natural resources, livelihoods, water infrastructure and security in parts of the region. The return of armed conflict to the European continent raises questions on how to foster cooperation, sustainable development and peace through water diplomacy.

This section will showcase examples demonstrating how water cooperation mechanisms in the region have contributed to increase water security, prosperity and peace. These positive examples are particularly relevant given the increasing pressures that climate change places on water resources.

North America has a long history of transboundary water cooperation. The International Boundary and Water Commission (IBWC)<sup>41</sup> was established in 1889 and is responsible for applying the boundary and water treaties between the United States and Mexico. The International Joint Commission (IJC)<sup>42</sup> was established under the 1909 Boundary Waters Treaty to prevent and resolve disputes between the United States of America and Canada and to pursue the common good of both countries as an independent and objective advisor to the two governments.

With 27 out of 42 countries reporting that operational arrangements cover 90% or more of their transboundary river and lake basin area, the Pan-European region represents one of the most advanced regions globally in terms of transboundary water cooperation (UNECE/UNESCO, 2021, p. xii). Such agreements and operational arrangements over transboundary freshwater resources can help promote peace and stability.

The *Convention on the Protection and Use of Transboundary Watercourses and International Lakes* (Water Convention) is likely to have contributed to this achievement by strengthening regional integration and turning shared waters into a key driver for sustainable development and peace. One of the main provisions of the Convention is setting up operational basin-level agreements and basin organizations. Across the region, government-established transboundary river basin organizations can act as connectors and active peacemakers by

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<sup>41</sup> For more information, please see: [www.ibwc.gov/](http://www.ibwc.gov/).

<sup>42</sup> For more information, please see: <https://ijc.org/en>.

facilitating inclusive dialogue and participatory decision-making. River basin organizations have established mechanisms for multi-stakeholder engagement, giving voice to young people, women and concerned stakeholders.<sup>43</sup> The first case study (Box 8.2) highlights how joint management of the Sava River, the Danube's longest tributary, helped build trust between former Yugoslav republics less than one decade after they had been at war.

### Box 8.2 Post-war recovery: Benefits of transboundary cooperation in the Sava and Drina River basins

The collaborative management of the Sava River basin, shared by Bosnia and Herzegovina, Croatia, Montenegro, Serbia and Slovenia, exemplifies a 'best practice' in transboundary cooperation, resulting in an effective process of socio-economic recovery in the basin through post-conflict cooperation over water (The Economist Intelligence Unit, 2019). The value of this cooperation is still evident today, as countries are jointly tackling emerging issues (notably climate change adaptation, including drought management) and strengthen cross-sectoral cooperation for sustainable planning and policy development, including in the Drina River sub-basin where most of the basin's hydropower is concentrated.

The International Sava River Basin Commission (ISRBC) was established in 2002 with the mandate of implementing the Framework Agreement for the Sava River Basin (FASRB). Remarkably, this was the first regional agreement to be signed since the Dayton Peace Agreement ended the war in the former Yugoslavia. The restoration of inland navigation allowed the return of regional trade, strengthening economic integration across the countries and beyond, notably with the European Union. Rebuilding of bridges and ports throughout the basin accompanied the removal of war debris and mines, leading to the restoration of the local livelihoods, including agriculture and tourism.

In the context of increasing tensions between different major water users, such as agriculture and energy, a participatory assessment of the water–food–energy–ecosystems nexus under the Water Convention was carried out in the Sava<sup>a</sup> (2014) and later in the Drina<sup>b</sup> (2016–2022, through multiple projects) River basin areas. The aim of these assessments was to look for cross-sectoral solutions to increase resource use efficiency, capitalize on regional complementarities, and improve natural resource governance.

These efforts resulted, among others, in the quantification of the benefits of transboundary cooperation on hydropower and the elaboration of possible ways to operationalize flow regulation in the basin (also through the establishment of a dedicated expert group), as part of a 'nexus roadmap' for coordinating actions across sectors and countries. The roadmap aims to coherently guide policy-makers through the implementation of their sectoral and cross-sectoral strategic plans at the basin level (including notably through the Green Action Plan for the Western Balkans – GWP-Med, 2022; n.d.). Climate adaptation, sustainable renewable energy planning and sediment management are among the cross-sectoral activities included in the roadmap and also guide the "Sava and Drina Rivers Corridors Integrated Development Program".<sup>c</sup>

<sup>a</sup> More information on the approach can be found at: <https://unece.org/environment-policy/water/areas-work-convention/water-food-energy-ecosystem-nexus>.

<sup>b</sup> The Drina Nexus Assessment, along with the Nexus Roadmap and the 'project documents', available at Drina Nexus Assessment – GWP: [www.gwp.org/en/GWP-Mediterranean/WE-ACT/Programmes-per-theme/Water-Food-Energy-Nexus/seenexus/drina/](http://www.gwp.org/en/GWP-Mediterranean/WE-ACT/Programmes-per-theme/Water-Food-Energy-Nexus/seenexus/drina/).

<sup>c</sup> For more information, please see: [www.worldbank.org/en/news/loans-credits/2020/08/06/sava-and-drina-rivers-corridors-integrated-development-program](http://www.worldbank.org/en/news/loans-credits/2020/08/06/sava-and-drina-rivers-corridors-integrated-development-program).

<sup>43</sup> More information on the public participation efforts of the International Commission for the Protection of the Danube River (ICPDR) can be found here: [www.icpdr.org/main/activities-projects/public-participation](http://www.icpdr.org/main/activities-projects/public-participation).

The second case study (Box 8.3) focuses on the establishment of regional institutions to reduce tensions and strengthen transboundary water cooperation in Central Asia, with the objective to foster social, economic and environmental prosperity in the region.

### **Box 8.3 Establishment of regional bodies to foster transboundary water cooperation in Central Asia**

Shared management of rivers in Central Asia has been challenging due to the Aral Sea basin crisis, difficulties for upstream and downstream countries to agree on water release regimes and water distribution, competition between the energy and irrigation sectors, further deterioration of aquatic ecosystems, and climate change increasingly diminishing scarce water resources. Moreover, in downstream segments of major transboundary rivers such as the Syr Darya and Amu Darya, “*low quality of water has had serious negative health effects*” (UNECE, 2008, p. 4).

Several regional bodies were established in the early 1990s. In 1992, the Interstate Commission for Water Coordination of Central Asia (ICWC), composed of ministers responsible for water resources, was set up to agree on water allocation regimes. The following year, in 1993, the heads of state of Central Asian countries established the International Fund for Saving the Aral Sea (IFAS) as a regional mechanism for broader cooperation in the Aral Sea basin. For many years, IFAS and the ICWC have played important roles in reducing tensions over the management of shared waters. By creating a regional forum for interstate relations on transboundary water, Central Asian countries have managed to maintain relatively cooperative and peaceful relations despite ongoing water quality and quantity issues. Discussions on reforming the regional institutions with an aim to make the IFAS system better fit to respond to present and future challenges, including climate change, have been ongoing since 2009.

At the bilateral level, Central Asian water relations took a considerable step forward on 26 July 2006, when the Kazakh–Kyrgyz Chu and Talas Rivers Commission was inaugurated (UNECE, 2008, p. 3). The Chu–Talas Rivers Commission “*gives a mutually beneficial way for Kyrgyzstan and Kazakhstan to share the responsibility for water infrastructure used by both countries*” whereby “*as part of the bilateral agreement, Kazakhstan agreed to pay part of the operating and maintenance expenses for a number of Kyrgyz dams and reservoirs supplying water to both countries*” (UNECE, 2008, p. 3).

In the ensuing years, transboundary water management has gradually improved through investment in monitoring and data-sharing systems, basin-wide climate change adaptation strategies and improvements in institutional capacity on transboundary water governance. With pressure on water resources increasing, “*there is a need to improve the legal agreements to ensure they take into account the national and regional water and energy interests of the Central Asian countries*” (IWAC, 2021, p. 67). These agreements should be comprehensive in nature and “*designed to ensure a basis for sustainable cooperation between the Central Asian states, regulate water and energy relations and encourage the development of agreements in other areas of water resources management*” (IWAC, 2021, p. 67).

Transboundary water allocation has gained increasing attention and resulted in strategic efforts from national, regional and international stakeholders to improve capacity and practices in this regard. The International Water Assessment Centre based in Kazakhstan recently led a two-year regional process on sustainable water allocation for stronger transboundary water cooperation; the major output was a set of recommendations and case studies that were published and subsequently included in the global *Handbook on Water Allocation in a Transboundary Context* (UNECE, 2021). In November 2022, a regional capacity-building workshop in Central Asia followed, raising awareness about the need for all water users to work closely together to improve the implementation of integrated water resources management principles for continued advancements in shared peace and prosperity (Forbes Kazakhstan, 2022).

## 8.3 Latin America and the Caribbean

Various types of cooperation and coordination mechanisms have led to enhanced water security, sustainable development and peace in Latin America and the Caribbean. Experiences with transboundary water partnerships, area-based development processes and management of multipurpose dams in the region highlight challenges and lessons learned to reduce tensions among multiple water users.

### 8.3.1 Transboundary water-related partnerships

Access and control over natural resources are potential sources of transboundary tensions. Water is no exception, particularly in the context of increasingly varying weather and rainfall conditions. Below are two cases where transnational alliances and agreements led to peaceful and sustainable water resource management.<sup>44</sup>

#### *The Trifinio Commission and its long-term planning*

A technical cooperation agreement between El Salvador, Guatemala and Honduras was concluded in 1986, targeting sustainable transboundary natural resource management in the Trifinio region. Increasing cooperation led to an international treaty for implementing the Trifinio development plan, in which the region has been designated as an indivisible ecological unit (GIZ, n.d.). It is considered a successful case of cooperation, since the joint efforts of the three countries have resulted in trinational initiatives to reduce poverty and develop their economies while adapting to new challenges, such as the conservation and restoration of their environmental systems. One of the main achievements has been the placement of 970 km<sup>2</sup> of land under environmental protection, through the declaration of the Trifinio Fraternidad Cross-border Biosphere Reserve, a trinational committee and management plan for protecting local ecosystems (BCIE/PlanTrifinio, 2022).

In 2007, El Salvador initiated a Trinational Water Agenda, proposing a common approach for all three countries to promote integrated water resource management (IWRM). This agenda and the creation of various transboundary water committees (such as the Binational Community Committee of the Sumpul River (sub-basin between El Salvador and Honduras) and the Güija Lake Binational Inter-Institutional Committee between El Salvador and Guatemala) show that the region is constantly searching for tools and frameworks to jointly address water and sustainability challenges. Since the establishment of the Trifinio Plan almost four decades ago, no international lawsuits have been filed around the Trifinio transboundary waters, and issues are addressed as part of bilateral agendas, solving the countries' specific difficulties and problems (GWP, 2016).

#### *La Joya and Cueva del Monte rural communities: Transboundary water cooperation with a local twist*

To solve the lack of access to drinking water and electricity services for rural communities located on the border between El Salvador and Honduras, a hydroelectric plant was built and financed through a binational commission and the environmental and natural resource authorities of the respective countries. The project, officially launched in 2012, was developed with the direct participation of two neighbouring rural communities: La Joya in the municipality of Perquín, El Salvador, and Cueva del Monte in Marcala, Honduras (SICA, 2014).

<sup>44</sup> Other transboundary cooperation agreements in the region at the basin or river level include: the Amazon Cooperation Treaty, the Treaty on the Rio de la Plata Basin and its Coordinating Intergovernmental Committee (CIC), the Sixaola River basin agreement between Costa Rica and Panama, and the Autonomous Binational Authority of the Lake Titicaca, among several others.

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## **Access and control over natural resources are potential sources of transboundary tensions**

The hydroelectric plant provides electricity to 35 families in the Salvadoran community, and 50 families on the Honduran side. The families used to pay US\$0.50 every time they needed to charge a cell phone and had to walk for two hours to connect to a conventional electricity distribution network. There are therefore substantial savings in terms of money and time regarding electricity access. In addition, this electricity is now used at the local water filtration systems (UNECLAC, 2023).

The communities have formed a joint transnational management board and are now directly in charge of collecting fees for the maintenance and operation of the hydroelectric plant. Given that the project was created with their involvement through capacity-building initiatives, the members are also involved in the technical tasks related to the operation of the hydroelectric plant, ultimately securing its sustainable management (UNECLAC, 2023).

### **8.3.2 Community participation in local water governance: The Dry Corridor of Central America**

The Dry Corridor, which stretches over El Salvador, Guatemala, Honduras and Nicaragua, is characterized by high vulnerability to the impacts of climate change, particularly in the form of long periods of drought combined with excessive rainfall and severe floods. As the region's economy is dominated by agriculture, the ability to mitigate and adapt to climate change is vital for food security, health, nutrition, economic opportunities and environmental resilience. Sustainable management and access to water were therefore very important for the promotion of peace and security in this particular area.

To advance better governance and management of water resources on a local level, the countries and localities have recognized the importance of active community participation in decision-making, which takes place through open consultations and in-person meetings. Various programmes<sup>45</sup> have been implemented to promote water security and cooperation by creating either public–private partnerships, community involvement and/or basin organizations.

The project "Alianza del Corredor Seco" aims to reduce extreme poverty and malnutrition in rural Honduras by promoting effective and sustainable management of water resources and basins, based on the commitment to create and strengthen local alliances that effectively empower communities and their local governments. Non-governmental organizations (NGOs), local governments, national agencies and communities participate in capacity-building sessions and decision-making processes, with particular emphasis on involving women and youth. As a result, up to 2020, five public–private partnerships were created to support ecosystem conservation activities, and 36,000 families benefited from improved water supply and restoration of the recharge area. The project showed that, although it is challenging, networking and creating synergies between national government agencies, local governments and local organizations is key to improving water management and governance for common well-being (Global Communities, 2021).

Similarly, the "Programa Gobernanza Hídrica Territorial en la Región del Golfo de Fonseca" has fostered cooperation through the creation of basin councils to strengthen governance processes and human rights, mitigating conflicts as well as natural and climatic risks, and promoting the sustainable management of natural resources (GWP Central America, 2021). The project established three basin organizations that function as spaces for dialogue and articulation in water management, creating opportunities for public–private agreements and for a peaceful management of disputes over water. In addition, five community consortia were created that actively participate in the governance of the Region of the Gulf of Fonseca (SDC, 2021).

<sup>45</sup> Proyecto de Seguridad Hídrica en el Corredor Seco de Honduras (INVEST-Honduras, 2020); Programa de Gobernanza Hídrica Territorial en la Región 13 Golfo de Fonseca (FDFA, n.d.); La Alianza para el Corredor Seco (Government of Honduras, 2015; GAFSP/INVEST-Honduras, 2021).

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### A water–energy–food nexus approach to promote synergies and optimize results in different sectors is needed

#### 8.3.3 Cross-sectoral cooperation over multipurpose infrastructure

There are approximately 251 multipurpose dam projects in LAC with diverse uses of hydroelectricity, irrigation, urban supply and/or flood control.<sup>46</sup> This type of infrastructure necessarily implies an intersectoral articulation for their management and coordination between multiple actors. An adequate balance is necessary throughout their entire life cycle to avoid conflicts.

Although hydroelectric energy in LAC represents 45% of electricity (IEA, 2021), its production is threatened by extreme and varying hydrometeorological events and the growing tensions that arise across users of the basins where they operate. Also, agriculture represents more than 70% of the water use in LAC (UNECLAC, 2023). Therefore, a water–energy–food nexus approach to promote synergies and optimize results in different sectors is needed. Two experiences illustrate this point: the Misicuní multipurpose dam in the Plurinational State of Bolivia and the Baba multipurpose dam in Ecuador.

The idea of the Misicuní multipurpose dam, located in Cochabamba, in the Plurinational State of Bolivia, arose in the 1950s due to the profound scarcity of water for daily consumption in the city and the nearby towns, aggravated by demographic growth and increasing demands. Water scarcity was the root cause for numerous conflicts in the area, reaching a critical moment in the year 2000 with the so-called ‘water war’ (Salazar, 2011). The multipurpose dam also aims to satisfy the increase in hydroelectric demand at the national level, generating a change towards renewable energy sources. However, the dam project planning considered the different water uses of the system independently. For instance, the irrigation and drinking water components were under the supervision of the Misicuní company, while the national electricity company managed the electrical component. Thus, the lack of common vision and mutual agreement among the actors involved were factors that prevented an adequate implementation of the project (Willaarts et al., 2021). Similarly, the Baba multipurpose dam, located in Ecuador, aims to respond to the energy deficit problem at the national level and reduce the damage caused by floods at the subnational level, while providing opportunities for the supply of irrigation. However, at the subnational level, its justification was not well articulated or accompanied by a consultation process, which caused strong rejection by local communities.

Willaarts et al. (2021) compare and analyse both cases, concluding that financial planning and political leadership for promoting this type of infrastructure are the two pillars for successful execution. Additionally, three main bottlenecks are identified:

1. Asymmetry in the financial planning of intersectoral projects. Sometimes, public financing is available for one component (e.g. hydroelectric development), but other components have decentralized funding (e.g. irrigation, drinking water), with no capacity to finance the corresponding other parts.
2. High costs of public investment and social resistance to paying for the cost of service provision (e.g. potable water in the case of the Misicuní Dam).
3. The lack of planning in the medium and long term.

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<sup>46</sup> In South America, the number of multipurpose projects corresponds to 169, with Argentina, Brazil, Colombia and Peru accounting for 76% (129 projects). In Central America and the Caribbean, 82 projects have been identified. The majority of them (74% – 61 projects) are located in Costa Rica, the Dominican Republic, Guatemala and Panama (AQUASTAT, n.d.).

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**Financial planning and political leadership for promoting this type of infrastructure are the two pillars for successful execution**

Strong governance models, based on a water–energy–food nexus approach, connecting the different actors with a multilevel scope, are crucial when promoting intersectoral initiatives and looking for innovative formulas for their financing, especially if there is a vision to encourage joint investments to achieve the highest social benefit. Lack of these ingredients can lead to social unrest and unsustainable water management.

#### **8.3.4 Conclusions**

Experiences show that cooperation is needed to create trust and maintain peace. The regional examples showcase water cooperation at various levels, from community involvement and municipal collaboration programmes, all the way to national cooperation plans. It also highlights some of the limitations that can prevent the achievement of desired outcomes, such as a lack of coordination and involvement of various stakeholders. Additionally, a fundamental element for the advancement of peace and cooperation in water management involves not only strengthening of knowledge management, recognition of valuable ancestral practices as well as new technologies, but also enhanced regulatory and incentive systems aligned to the achievement of SDG 6 targets. The Latin American and Caribbean region has many transnational river basins and aquifers, as well as several multipurpose dams in which partnerships for more sustainable water use are vital for food, energy and water security. The latter are an essential contribution towards socio-economic development, climate resilience and prosperity.

## **8.4 Asia and the Pacific**

### **8.4.1 Background on water situation**

The Asia–Pacific region is home to only 36% of the world’s water resources (ESCAP, 2021) and about 60% of the world population (United Nations, 2023b), making its per capita water availability the lowest in the world. To compound this fact, overconsumption of water resources was deemed to be the leading cause of water scarcity in the region (ESCAP, 2023b). In terms of peace and security, the interconnected reality of the vast river systems makes this region highly vulnerable to tensions or disagreements over water resources.

Water resources have been instrumental in the Asia–Pacific region’s considerable improvement in economic and social welfare over the last decade, through water and sanitation hygiene (WASH), provision of basic services, agricultural expansion, food security and nutrition, and ecosystem services. However, the Asia–Pacific region is currently not on track to achieve any of the SDG 6 targets by 2030, as progress falls drastically short of where the region should have been by 2022 (ESCAP, 2023a). Large populations of the Asia–Pacific region still lack access to WASH services, especially in rural contexts, and water pollution has worsened in many of the region’s most important river basins. Of the top-10 rivers in the world contributing to marine plastic occurrence, eight are located within Asia (Schmidt et al., 2017). Wetlands remain threatened by land conversion, many areas suffer from recurring water stress, and several countries continue to withdraw unsustainable amounts of freshwater (WWAP, 2019). Increasing water scarcity (SDG Target 6.4) is the foremost issue facing water resources and freshwater ecosystems in the Asia–Pacific region today (ESCAP, 2022). These multiple and complex water challenges are projected to intensify in the future, which will likely hinder economic development, threaten food and energy security, and damage valuable ecosystems (Wiberg et al., 2017).

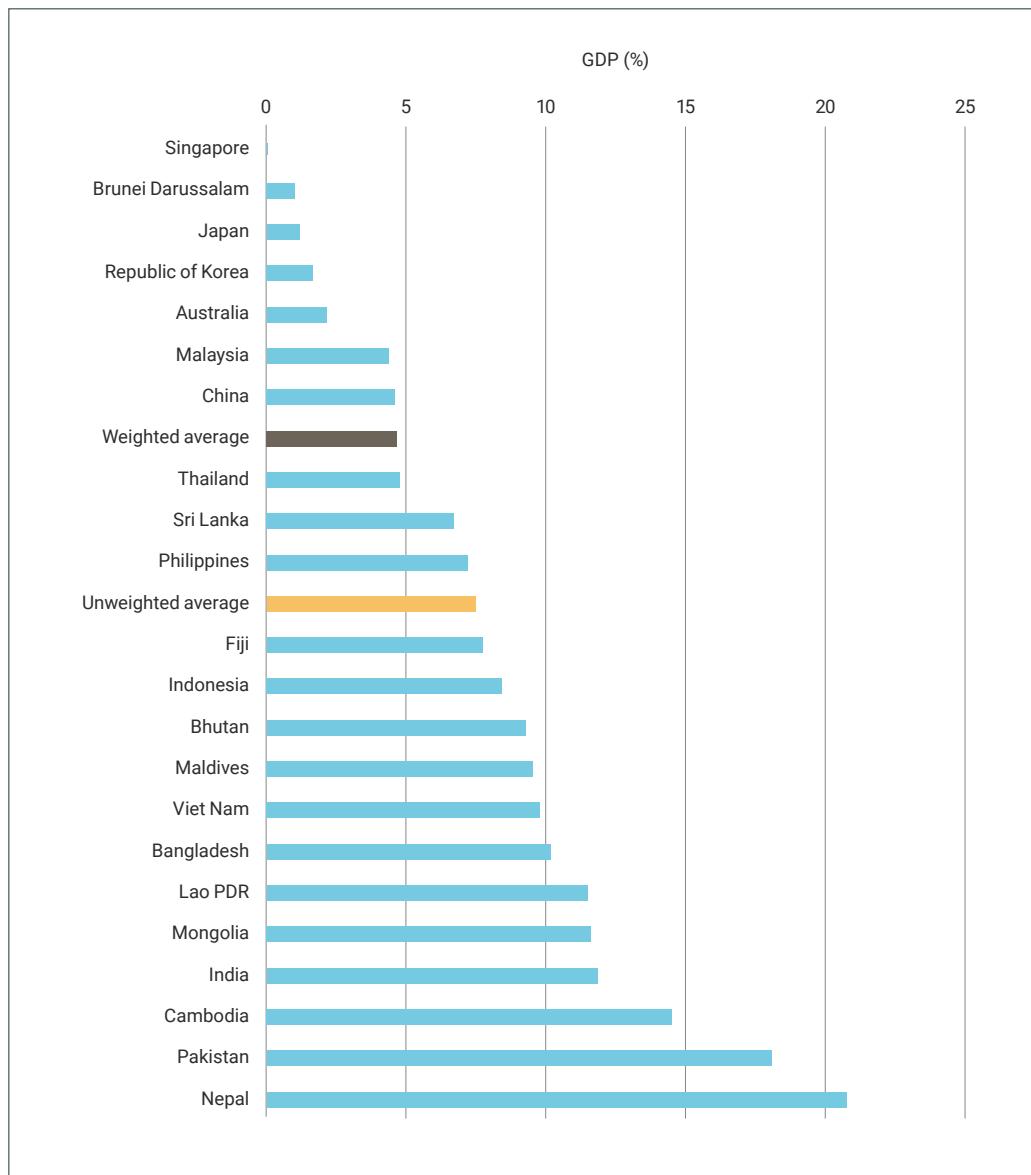
Agriculture accounts for 80% of all freshwater use in the Asia–Pacific region (ADB, 2016), putting pressure on local hydrological systems in many areas (FAO/AWP, 2023). Asia’s irrigation-dependent food baskets in Northwest India and North China are two of the world’s top-three hotspots in terms of water-related risks to food production (OECD, 2017). As water scarcity becomes more prevalent in the Asia–Pacific region, governments will be tasked with the difficult challenge of prioritizing water uses across competing water-using sectors.

The agriculture sector employs 563 million people in the Asia-Pacific region, making up 30% of total employment (ILO, 2022a). In less developed economies, agriculture represents the highest share of GDP. This is the case, for example, in Nepal (21%), Pakistan (18%) and Cambodia (14.5%), with poverty rates more pronounced in rural areas (Figure 8.2). Consequently, increasing water scarcity or water events such as floods and droughts are more likely to directly impact less developed economies and vulnerable populations in the region, exacerbating existing vulnerabilities associated with low development outcomes, and threatening peace and security at a national level.

**Figure 8.2**  
Agriculture's share of GDP (available Asian countries), 2020

Note:  
The average of 22 countries in Asia are shown with and without population weighting.

Source: Based on ILO (2022b, fig. 1, p. 2).



Currently, countries in the region that experience high-water stress<sup>47</sup> include Afghanistan, Armenia, Kyrgyzstan, Nepal, Türkiye and Uzbekistan. Extremely high-water stress is experienced in India, Iran, Pakistan and Turkmenistan, among others (Hofste et al., 2019). While there is wide scientific recognition of increasing water scarcity, data on water withdrawals in the Asia-Pacific region are extremely limited as most water abstraction is not monitored (WWAP, 2019). The region's population living under high or extremely high water scarcity grew from 1.1 billion to over 2.6 billion between 1975 and 2010 (FAO/AWP, 2023).

<sup>47</sup> Baseline water stress measures the ratio of total water withdrawals to available renewable surface and groundwater supplies. Water stress is classified as follows: Low (0–1): <10%, Low to medium (1–2): 10–20%, Medium to high (2–3): 20–40%, High (3–4): 40–80%, Extremely high (4–5): >80% (Hofste et al., 2019).

#### **Box 8.4 Capacity-building needs in Pacific Island countries**

A significant push for increasing water sector capacities will be required to achieve SDG 6 targets in the Pacific. Only 60% of Pacific Islanders have access to basic drinking water and a mere 33% to basic sanitation, with the latter being the lowest rate recorded in the world (UNICEF, 2022). In addition to various governance, poor policy, legislation and ownership issues, a substantial gap in human capacity is also reported. Due to a lack of human capacity in water resource management, existing facilities are not operationally optimized, and an estimated 1,000 out of 8,500 employees in the sector require training on a yearly basis. This finding illustrates the human and financial resource constraints faced by the Pacific Island countries. A perception survey carried out in the Nadi catchment in Fiji found that Pacific Islanders employ traditional community-based approaches to manage water resources. With further training and the right tools, community managers can strengthen existing water resource management (Wilson et al., 2022).

As the world's most vulnerable region to disasters caused by natural hazards, climate change in the Asia-Pacific is compounding water scarcity and existing shortcomings in disaster response. Asia accounts for nearly one third (31%) of weather-, climate- and water-related disasters reported globally, for nearly half (47%) of deaths, and nearly one third (31%) of associated economic losses (WMO, 2021). Flood risks could exceed 6% of GDP in 2030 under a business-as-usual scenario in Afghanistan, Bangladesh, Cambodia, Kyrgyzstan, Tajikistan and Viet Nam, with all countries experiencing significant incidences of land subsidence. Further, coastal flood risks are projected to strongly affect the GDP of Bangladesh, the Solomon Islands, Vanuatu and Viet Nam (Leckie et al., 2021). Floods threaten agricultural production and economic activity, and can be expected to incite forced migration.

Pacific Islands also experience water scarcity and significant (often unique) impacts from changes in climate. Even where freshwater is relatively abundant, saltwater intrusion due to rising sea levels continually threatens the available freshwater supply, which makes enhancing resilience to climate change one of their most important development priorities. Further, local and institutional capacity to manage water resources is significantly lower compared to the greater Asian region. Due to the lack of training resources and appropriate capacities to address the unique challenges of the Pacific Islands, best practice water resource management is often difficult to implement (Box 8.4).

#### **8.4.2 Transboundary cooperation**

Asia is home to 57 transboundary river basins (UNEP/OSU/FAO, 2002), which account for 39% of the continent's land surface (Prabhakar et al., 2018). This makes the management of, and cooperation for, shared water resources a leading priority for prosperity and peace in the region, especially with the onslaught of hydrological system changes forecast as a result of the changing climate.

Historically, transboundary aquifers have received less political attention than rivers, due to their hidden, diverse nature, and the difficulty in conducting hydrological investigations across international borders. As a result, there are significant gaps in water policy and agreements for transboundary aquifers. A global inventory of transboundary aquifers identified 129 shared aquifers in Asia, measuring approximately 9 million km<sup>2</sup>, covering about 20% of the entire region. Uzbekistan shares the most transboundary aquifers (total number: 31), followed by China (21), the Russian Federation (21), Tajikistan (15), Kazakhstan (14), Kyrgyzstan (14), Mongolia (14), Azerbaijan (13) and Iran (10) (Lee et al., 2018). Twelve 'significant' transboundary aquifers are shown in Figure 8.3.

Currently, over 80% of the countries in the Asia-Pacific region have established a river basin organization to manage water at some scale. However, less than 1% of countries have carried out stakeholder mapping and only one third of countries surveyed have implemented formal or informal mechanisms to engage stakeholders on water-related topics (OECD, 2021). Moreover, only 20% of the countries with river basin organizations have included provisions to protect indigenous and traditional rights (Leckie et al., 2021). This is a clear shortcoming in the region, and more efforts, such as the South Asia Water Initiative (Box 8.5), are required to promote inclusion.

### **Box 8.5 South Asia Water Initiative (SAWI)**

The South Asia Water Initiative (SAWI) was a trust fund whose primary mission was to strengthen regional cooperation concerning the major Himalayan river systems and to promote climate resilience. Before it was closed in 2021, SAWI made significant strides in raising awareness about regional water issues for over a decade, augmenting technical and policy-making capabilities, advocating for inclusive dialogues and decision-making processes, and informing the World Bank's investment strategies in the water sector. It had a particular focus on the Indus, Ganges and Brahmaputra River basins, as well as the Sundarbans wetlands shared by Bangladesh and India. Its influence spanned seven countries: Afghanistan, Bangladesh, Bhutan, China, India, Nepal and Pakistan. Apart from its localized efforts in these basins and wetlands, SAWI undertook comprehensive regional initiatives to enhance knowledge, boost capacity, and foster dialogue and cooperation across borders. Improving the quality and accessibility of regional water resources datasets was central to its strategy. SAWI played a pivotal role in the dissemination of shared water management knowledge, facilitating regional dialogues to build trust and enhancing transboundary water collaboration in South Asia.

Source: World Bank (n.d.).

As another example, the Mekong River Commission (MRC) is unique in the region as a longstanding forum for transboundary river basin management. It was established as an independent body in 1995, following joint action facilitated by the United Nations starting in 1957. In April 2023, the South-East Asian members of the MRC (Cambodia, the Lao People's Democratic Republic, Thailand and Viet Nam) issued the new Vientiane Declaration, calling for intensified cooperation among governments, development partners and stakeholders. Among other statements of priority, the Vientiane Declaration emphasizes the role of the treaty-based forum as a regional knowledge hub. The website of the MRC provides public access to data for river monitoring and forecasting, and regularly hosts regional stakeholder forums; the latest forum focused on data-sharing for transparency and trust (MRC, n.d.).

#### **8.4.3 Conclusions**

The Asia-Pacific will face challenges in managing a future under conditions of water scarcity and a changing climate. At the same time, the region has tremendous opportunity to build upon the progress that has been achieved, especially by ensuring protection of water-related ecosystems and pursuing integrated solutions that can create synergies with climate goals. Developing a shared vision from local to transboundary levels for water management within and across basins, informed by rights-based approaches and gender-responsive principles and standards, would also realize rich dividends. National and regional cooperation and collaboration on water management is required to address climate risks and promote transboundary cooperation in order to support prosperity, security, and stability across the region.

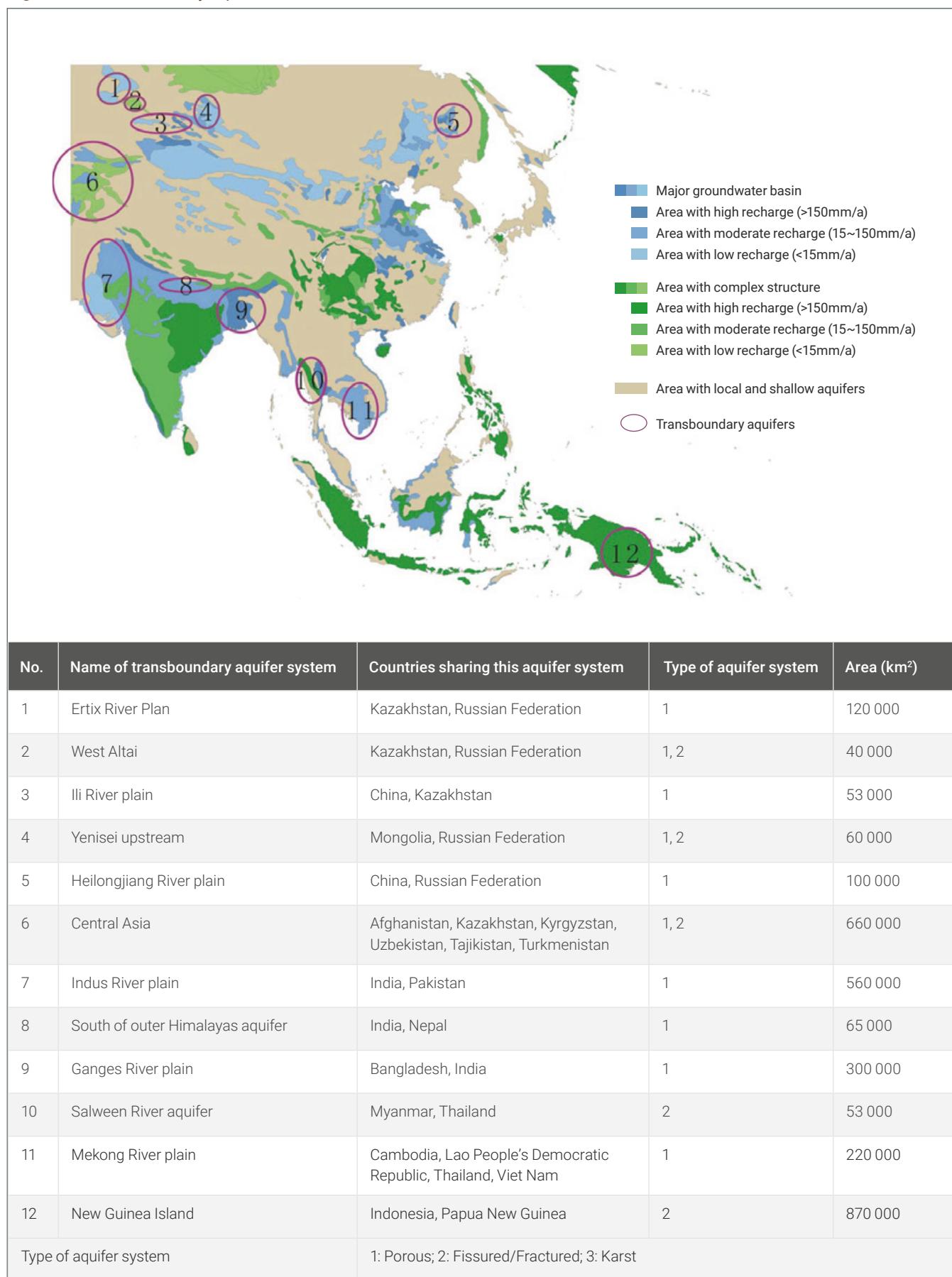
## **8.5 Arab region**

### **8.5.1 Regional setting**

Cooperation on water at all levels, including transboundary and cross-sectoral, is of crucial importance to the Arab region, one of the most water-scarce regions in the world, with 19 of the 22 states below the water scarcity threshold.<sup>48</sup> Two thirds of the freshwater resources in the region are transboundary, and the 43 transboundary aquifers cover 58% of the area of the region (UNESCWA, 2022a). The challenges to fostering this cooperation include lack of data on water resources (especially groundwater) and competing demands for limited water resources among riparian states. The impacts of climate change are being felt acutely through increased water scarcity, which drives competition for this important natural resource. Further, the Arab region is strongly impacted by conflict. In 2021, seven Arab countries were in conflict, including protracted conflict with wide-ranging implications for water supply and infrastructure and for potential cooperation on water-related issues (UNESCWA, 2023). Nevertheless, there are several examples of how national and transboundary cooperation in the Arab region has contributed to greater water security, peace and prosperity.

<sup>48</sup> "Scarcity classification is based on the Falkenmark water scarcity index for total annual renewable water resources per capita for the year. Three basic thresholds were identified; conditions of water stress for values below 1,700 m<sup>3</sup> per person per year; scarcity for values below 1,000 m<sup>3</sup> per person per year; and absolute scarcity for values below 500 m<sup>3</sup> per person per year." (Falkenmark, 1989, p. 14).

**Figure 8.3 Transboundary aquifers in Asia**



Source: Adapted from UNESCO Office in Beijing (2006, fig. 15, p. 18, and table 2, p. 17).



## *Cooperation on water at all levels, including transboundary and cross-sectoral, is of crucial importance to the Arab region*

### **8.5.2 Transboundary cooperation**

#### ***North-Western Sahara Aquifer System***

The North-Western Sahara Aquifer System (NWSAS) is shared between Algeria, Libya and Tunisia, with cooperation oversight handled by the Sahara and Sahel Observatory (OSS). It is characterized by recharge levels so limited that it is considered a non-renewable water resource. It is also the sole source of water for 5 million people.

Between 1998 and 2002, the three countries in the aquifer system collaborated on the development of a common database and a mathematical model of the aquifer to improve water management. In a spirit of continued cooperation, Algeria, Libya and Tunisia in 2008 established a consultation mechanism for the NWSAS, which is intended to produce indicators on water resources availability and demand, to run various management scenarios, to maintain an updated common database, to share information, and to develop and manage monitoring systems. The consultation mechanism is headed by a council of Ministers in charge of water resources from each riparian country, and consists of a technical committee formed of national water institutions. It is important to note that while the consultation mechanism promotes cooperation, it does not impose legal restrictions on any of the riparian countries in terms of groundwater abstraction. Nevertheless, the NWSAS cooperation mechanism, which was initiated with support and funding from the international community, serves as a prototype for future cooperation mechanisms in other aquifers within the Arab region.

The NWSAS represents a rare case of well-functioning aquifer cooperation and its members have been among the most successful regionally at fulfilling SDG Indicator 6.5.2 (UNESCWA, 2015; 2019). This indicator tracks the percentage of transboundary basin area within a country that is covered by operational arrangements for water cooperation (Table 8.1).

**Table 8.1: SDG Indicator 6.5.2 values in the Arab region**

<b>SDG Indicator 6.5.2 values (%)</b>	Countries
<b>0–10</b>	Morocco, Qatar, Somalia, United Arab Emirates
<b>10–30</b>	Iraq, Jordan
<b>30–50</b>	
<b>50–70</b>	Algeria
<b>70–90</b>	Tunisia
<b>90–100</b>	Libya
<b>Additional information is needed</b>	Egypt, Kuwait, Lebanon, Oman, State of Palestine, Saudi Arabia
<b>No response received</b>	Bahrain, Djibouti, Mauritania, Sudan, Syrian Arab Republic, Yemen
<b>Indicator not applicable</b>	Comoros

Note: Based on the 2020 progress reports on SDG Indicator 6.5.2

Source: Based on UNESCWA (2022b).

#### ***Saq-Ram/Disi Agreement***

Saq-Ram/Disi, similar to NWSAS, is a non-renewable aquifer that is shared between Jordan and Saudi Arabia. Water is pumped and transferred via a conveyance system from Disi to Amman, 350 km to the north, to supply drinking water to the city's inhabitants. The Saq-Ram/Disi Agreement between Jordan and Saudi Arabia provides another example of cooperation

• • •  
***There are also examples of successful cooperation around water security issues at the national level in the Arab region***

for the peaceful and sustainable management of scarce water resources in the region. Both countries have signed a memorandum of understanding at the technical level in 2007. This was considered as the first step towards building cooperative dialogue between two riparian countries and provides an example for other Arab countries that are engaging in bilateral discussions over transboundary water resources.

In 2015, Jordan and Saudi Arabia signed an agreement for the management and utilization of the groundwaters in the Saq-Ram/Disi aquifer. As part of the agreement, protected areas were clearly defined where no groundwater investment projects may be implemented. It was also agreed that groundwater would be used for domestic purposes only. The establishment of a joint monitoring network across both sides of the border helped to verify and assess the water level drawdown across the border and to foster information exchange. The agreement highlights the role that the water sector plays in ensuring socio-economic stability for populations in the Arab region (UNESCWA, 2022b).

### **8.5.3 National cooperation**

#### ***Women's water user association in the Malaka Dam***

There are also examples of successful cooperation around water security issues at the national level in the Arab region. In Yemen, the water of the Malaka Dam was primarily used by three neighbouring villages for irrigation and livestock, and was a subject of conflict for decades. In an attempt to halt the conflict, a tribal decree was put in place forbidding all use of the dam water. After that, a water user association (WUA) managed by women in the community, Al Malaka, took the lead in dispute resolution and peace negotiation surrounding the dam water usage. WUA members, with support from the Food and Agriculture Organization of the United Nations (FAO), were able to negotiate the implementation of a piping system that would use gravity flow to send the Malaka Dam water to several groundwater wells in the area. This solution was innovative and effective in that it eliminated the need for direct use of the dam water, while it decreased evaporation and rejuvenated well water resources. The water has since been used peacefully for livestock and irrigation in the surrounding areas. This example highlights the need for community involvement and the inclusion of women in matters of water diplomacy in the Arab region.

The FAO has continued to build on the success of the Malaka Dam story by implementing the project "Water for Peace in Yemen: Strengthening the role of women in water conflict resolution". Funded by the United Nations Secretary-General's Peacebuilding Fund, this project was implemented by the FAO, the International Organization for Migration (IOM), the Hadramout Ministry of Water and Environment, and the Women Water Users Group (WWUG). While ongoing tensions necessitated a change in the original target area of the project from the Hudaydah governorate to the Wadi Hadramout in the Hadramout governorate, the project has since shown several markers of success. Similar to the Malaka Dam project, women were involved as peace mediators to resolve disputes surrounding water use. One of the most significant outcomes of the project was that it allowed families to return to farming lands that had previously been abandoned due to a lack of irrigation. Female farmers also reported significant changes in water availability and conflict prevention between neighbouring communities (FAO, 2022).

#### ***Building climate resilience in Sudan***

Climate change, population growth and environmental degradation have contributed to growing water scarcity in parts of Sudan. This has ignited incidents of isolated conflict between farmers and pastoralists as traditional migration routes are altered where once-reliable water sources are no longer present. Pastoralists are passing through new areas while farmers are also planting on migratory routes where they have not done so in the past, which generates disputes. To address this issue, in 2018 the European Union (EU) and the United Nations Environment Programme (UNEP) established a pilot project in the Wadi El Ku catchment area in North Darfur. The project established Natural Resource Management and

Peacebuilding Committees (NRMPBC). The NRMPBC's were inclusive, providing training in peacebuilding and conflict resolution to women and men from the farming and pastoralist communities. Community members worked together to identify hotspots along migratory routes and developed a joint plan for action for conflict prevention, which involved expanding migratory routes and improving access to water through the construction of a water station. Pastoralists and farmers cooperated in determining the location of the water station, while the NRMPBC was responsible for managing the use of, and access to, the water source. More than 70% of the female, and 80% of the male community members reported a decrease in the number of violent disputes over natural resources since the start of the project (UNEP/European Union, n.d.).

#### 8.5.4 Conclusions

The many challenges that the Arab region faces with respect to water security mean that cooperation should continue to play a key role in breaking through climate and conflict crises to ensure safe and secure access to water and sanitation for all. There are ripe opportunities available to continue to build and expand upon the current examples of cooperation around the water sector at both the transboundary and national levels.

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## Chapter 9

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# Governance

**WWAP**

**Dustin Garrick, Richard Connor and Leah Jones-Crank**

**With contributions from: Susanne Schmeier (IHE Delft)**

Water governance contributes to prosperity and peace by addressing competition and resolving disputes over water. Effective and equitable water allocation encourages investment and benefit-sharing, and ultimately promotes social cohesion. The complexities of water management – the range of issues, actors, and jurisdictions – expands beyond the basin and across sectors. Leveraging water for prosperity and peace requires governance capacity and political will to address water allocation and adaptation challenges across sectors and supply chains, with key roles for a broadening group of actors in government ministries, civil society organizations, and markets (Meinzen-Dick, 2007; Woodhouse and Muller, 2017).

Intensified competition for water highlights connections between different areas (e.g. upstream/downstream) and across different sectors (Molle et al., 2010). In this context, water governance involves a challenge of multi-level collective action, and specifically a challenge of water allocation and reallocation.

### 9.1

## Linking water governance with prosperity and peace

Water governance refers to the “ways societies organize themselves to make decisions”, with growing calls to move beyond “water-centric” approaches, taking greater account of the root of the problems to be addressed, and the decisions and actors involved (De Loë and Patterson, 2017, p. 76). Growing scarcity, access inequalities, and shocks from outside the water sector have increased interdependencies, intensifying competition for water and creating complex economic spillovers.

Safeguarding peace and promoting prosperity requires sufficient oversight and investment in water-related public services, including irrigation systems, drinking water supply, ecosystem conservation, as well as recreational and spiritual values (Ostrom, 1962; Briscoe, 2014; United Nations, 2023). Water governance must therefore also account for, and ideally influence, decisions in the agricultural, energy, health and infrastructure sectors (Gupta et al., 2013; Wang-Erlandsson et al., 2022), as well as the informal sector when it plays a significant role (e.g. water vending or solar powered groundwater irrigation) (Wutich et al., 2023).

Equitable governance arrangements, designed to manage complex trade-offs, are required in order to navigate tensions and redress injustices of water supply and allocation (Sultana, 2018). This includes efforts to craft and implement rules for establishing and (re)allocating water rights across competing uses and values and mobilizing the financing required (Garrick et al., 2017).

Dating (at least) back to the Dublin Principles of 1992, calls for integrated and cross-sectoral approaches to water allocation and governance are not new. However, integration is costly and contentious, requiring human, social and financial capital beyond the reach of many of even the wealthiest regions (Bromley and Anderson, 2018). Local governance plays a particularly critical role when capacity is limited, especially where service provision is decentralized. For example, in parts of West Africa, packaged water distributed by water vendors has become the de facto option in regions lacking municipal water supplies (Wutich et al., 2023), creating a need for recognition and integration of informal and formal water providers through regional partnerships and diverse governance models that combine public and private roles across scales (Koehler et al., 2021).

As economies diversify from agriculture and resource extraction towards process manufacturing industries and services, the overall changes in water use have at times been neglected (Molle et al., 2010). Agricultural trade has allowed regions to decouple economic development from local water use, as food becomes increasingly imported, but these benefits come with the risk of ‘water grabbing’ in regions where food exports occur without local consent (Dell’Angelo et al., 2021) and potential for sacrifice zones of localized groundwater depletion where export-driven agricultural is unsustainable (Graham et al., 2023).



**Water governance contributes to prosperity and peace by addressing competition and resolving disputes over water**

Leveraging water for peace also involves governance arrangements that both avoid and manage disputes. The most prominent mechanisms include international frameworks and norms for meeting the human rights to water and protecting water sources and systems, particularly during armed conflicts and in transboundary settings (Tignino, 2016). Treaties and associated river basin organizations coordinate development and management of shared waters (Schmeier and Shubber, 2018), with an increasing recognition that transboundary cooperation involves a multi-level collective challenge (see Chapter 7).

Joint monitoring and data-sharing serve as a basis for sound cooperation (United Nations, 2023). In the context of the Mekong River, the Great Lakes of North America, and the Danube River, science supports water diplomacy by taking stock of basin conditions, forecasting possible futures, developing new understandings and reviewing the state of knowledge on key issues of interest (Milman and Gerlak, 2020). Knowledge-sharing can also support informal governance mechanisms, including data-sharing, coordination across sectors, and creative financing mechanisms to share risks and benefits.

## 9.2

### Water governance and allocation

In some cases, river basin development has enabled prosperity through coordinated infrastructure development. The Tennessee Valley Authority is the iconic example of this integrated vision, but one that has proven hard to replicate or adapt for other regions due to the politics and costs of integrated approaches (Molle et al., 2010; Boccaletti, 2021).

Short-sighted river basin development can increase exposure to risks, particularly when downstream needs are unmet (Molle, 2009). There is also an increasing recognition of the influence of processes that originate outside basin boundaries, such as climate hazards, geopolitical instability, or supply chain disruptions and commodity shocks (De Loë and Patterson, 2017). The changing patterns of supply and demand, coupled with the evolving goals of societies for water development, have led to growing calls for improved water allocation as a means to increase economic efficiency and contribute to growth (World Bank Group, 2016), while addressing inequalities and injustices in water financing and sharing (Rockström et al., 2023; Wheeler et al., 2023). The examples of specific cities – São Paulo, Singapore, Cape Town – running dry have illustrated that these economic impacts are not a distant threat (Box 9.1, see also Garrick et al., 2019). This has made allocation (and reallocation) a major priority in water policy and governance.



#### *Joint monitoring and data-sharing serve as a basis for sound cooperation*

Water allocation determines who gets water when, how, and under which conditions. It is therefore fundamental to address and meet established priorities, as captured in the human right to water and Sustainable Development Goal (SDG) 6. Meeting the basic needs of people is a human right and the top priority among competing uses, followed typically by water for consumptive (food, industry) and non-consumptive (hydropower, recreation) needs.

Environmental flows and ecosystem services underpin the long-term sustainability of economies and cultures. Some countries, ranging from South Africa and Australia to Ecuador, have struggled to recognize and restore environmental flows through a range of tools, ranging from constitutional reforms to payments for hydrological services (Anderson et al., 2019). In many contexts, water allocation policies were developed under a principle of *aqua nullius*. This has excluded indigenous peoples from establishing and enforcing rights, prompting efforts to redress legacies of exclusion (O'Donnell et al., 2023).

Without improvements in water allocation frameworks, economic growth rates have been projected to decline by as much as 6% by 2050 in some regions due to impacts of water shortages on health, agriculture and incomes (World Bank Group, 2016). The World Bank Group (2016) identified three priorities for water allocation reform: (i) to optimize water use through planning and incentives, including efficiency improvements within

### **Box 9.1 Water, energy, and food interdependencies in cities**

Cities are facing newly recognized forms of interdependencies between water and related resources. Water, energy and food are key resources for societal flourishing and are strongly interrelated within a system. Taking a water–energy–food (WEF) nexus approach helps to reduce unintended consequences and increase resource security for water and related resources. Singapore and Cape Town provide illustrative examples of such interdependencies. In Singapore, the water sector is heavily energy-dependent, as NEWater (water reuse) and desalination are large components of the nation’s water portfolio (Lenouvel et al., 2014). In Cape Town, resource interdependence became evident during the 2018 water crisis, as water allocation was shared between the city and the surrounding agricultural areas. This led to finger-pointing regarding who was to blame for the crisis, instead of proactive coordination across resource sectors and governance scales (Enqvist and Zier vogel, 2019; Jones et al., 2022).

Cities are responding to these interdependencies in various ways. Historically, when Singapore gained independence in 1965, it relied heavily on its neighbour Malaysia for water resources. Given the political tension between the two countries, Singapore made water independence a priority. However, Singapore has limited natural water resources (no natural lakes, no groundwater, and limited streams), requiring innovative approaches to secure its water supply, which in turn required steady, affordable and accessible energy sources (Tortajada and Wong, 2018). Secure energy resources, along with extensive investment in research and development, paved the way for large-scale water reuse and desalination, allowing Singapore to increase its water independence and improve national peace and security by reducing the impact that political tensions with Malaysia could have on its water resources. Looking to the future, Cape Town has developed a Water Strategy, formulating the city-wide priority to achieve water resilience and to become a water-sensitive city. This new strategy involves the direct inclusion of agricultural stakeholders and the consideration of agricultural water use for future water planning (City of Cape Town, 2019).

Singapore and Cape Town provide development pathways for water resources that contribute towards increased adaptive capacity within the water sector and across various sectors for peace and prosperity.

sectors and allocation across sectors to improve productivity, flexibility and shifts between uses as values change; (ii) when appropriate, to expand supplies, including groundwater management and unconventional supplies, whilst ensuring services to the poor, safeguarding the environment, and providing mechanisms for conflict resolution and adjustment; and (iii) to reduce the impacts of weather-related shocks, including informational and early warning systems, and mechanisms for risk-sharing and -pooling, such as insurance.

Water allocation involves the distribution of different types of permits or property rights to water, including rights to access, withdraw and use water. Decisions about allocating water occur at multiple levels, from within the household to between sectors or across political borders. In China, for example, reforms in 2002 established a hierarchical framework for water rights with key decision-making roles at the central, local (regional/provincial) and user level (Wang et al., 2018). These property rights to water can be formal and encoded in regulations and court decrees, or informal and grounded in customary tenure arrangements (Rights and Resources Initiative and Environmental Law Institute, 2020). In the case of the latter, water grabbing by external actors (outside of the community) threatens local control and has led to increasing resistance to defend rights and rural livelihoods (Dell’Angelo et al., 2021).

There are three main approaches to allocating water: community-level decisions, administrative or court decrees, and market mechanisms and economic instruments. These are nearly always blended in practice and across scales (Meinzen-Dick, 2007; Meinzen-Dick and Ringler, 2008; Bruns and Meinzen-Dick, 2022). For example, irrigation

systems governed by water user associations (WUAs) (Villamayor-Tomas et al., 2022), have long relied on community-level decisions, but competition across irrigation districts or across sectors increases reliance on administrative and court decisions (e.g. Garrick et al., 2019). In practice, WUAs, governments and markets rarely operate independently, so the priority has been to develop an ‘institutional tripod’ (Meinzen-Dick, 2007) that involves communities, markets and governments in a set of key tasks for information-gathering, infrastructure development, and decision-making about water extraction limits and water-sharing agreements. The need for clear roles and responsibilities, robust allocation mechanisms, and the nesting of governance capacity and coordination across levels were found as the common elements for river basins seeking to adapt to water scarcity and growing urbanization (Grafton et al., 2013; Garrick et al., 2017; Ma’Mun et al., 2020).

Groundwater development has also driven allocation reforms to address depletion and water quality issues through conditions on water rights (OECD, 2017). Local and national governments have struggled to enforce regulatory limits on pumping (Closas and Villholth, 2020), making groundwater allocation an urgent priority for sustaining and sharing prosperity (Rodella et al., 2023). Active involvement of farmer organizations, and appropriate incentives and institutions at multiple levels, have been identified as keys to success (Bruns and Meinzen-Dick, 2022).

The process of allocating or reallocating water is intensely political and faces a number of challenges (Hellegers and Laflaive, 2015). First, there is no ‘ideal approach’. Models from one region can rarely be transferred directly to others, as illustrated by initial efforts to spread the Australian model for cap-and-trade to other regions facing water scarcity (Grafton, 2019).

Second, water is not always a top policy priority, which creates the need for tools and processes that place water allocation decision-making in the context of other policies, such as trade/market mechanisms or agricultural subsidies (Garrick et al., 2022, Villamayor-Tomas et al., 2015). For example, decisions by ministries responsible for trade and agriculture that bypass conventional water governance channels can directly affect resource availability and restrict water access to different user groups (Graham et al., 2023).

Third, many allocation systems struggle with change; this has driven attention to water-sharing arrangements that are ‘adaptive’. Water rights that involve ‘shares’ (a proportion of water available) are often more equitable than approaches with winner-takes-it-all outcomes, and are more likely to promote risk- and benefit-sharing (Schlager and Heikkila, 2011).

Finally, distributional disagreements and vested interests can block change when existing water users benefit from maintaining the status quo (Heinmiller, 2009). The stalemate associated with vested interests highlights the need for safeguards and compensation mechanisms, and initiatives that link water rights reforms with broader regional and rural development policies (White, 1957). Including the affected parties in decisions about water rights reform bolsters trust in the decision-making process and legitimacy of outcomes. In São Paulo (Brazil), for example, the inter-basin agreements sharing the Cantareira system involved steadily more inclusive efforts to adjust water-sharing with input from affected rural communities (De Souza Leão and De Stefano, 2019). Broadening participation allowed for adaptation of the agreements to account for changing conditions associated with urbanization and climate variability in a way that better accounted for the needs and livelihoods in the source region.

The major challenge has been to scale up coordination across multiple scales and sectors. There are four conditions identified for supporting multi-level governance: (i) joint monitoring and information-gathering (e.g. water accounting across irrigation and urban water supply systems); (ii) availability of dispute resolution mechanisms (e.g. a spectrum from informal

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**While purely volumetric water allocation generates a zero-sum outcome (winners and losers), benefit-sharing makes win-win opportunities possible**

forums to administrative and court decisions); (iii) external recognition (by higher-level authorities) and capacity-building of local or subnational authorities (e.g. funding and grants for technical teams, participatory processes); and (iv) development of ‘integration mechanisms’ that range from informal networks to associations and coordination agencies (e.g. river basin organizations, river basin authorities) (Garrick et al., 2017; Marshall, 2008).

Examples of cross-sectoral coordination include regional authorities engaged in subnational agreements that address competition between rural and urban water users, such as the Metropolitan Water District of Southern California in the United States of America (USA) (Hughes and Pincetl, 2014), the above-mentioned regional-level water rights reform in China (Wang et al., 2018), or interjurisdictional agreements that have taken hold from Spain to the USA (Schlager and Heikkila, 2011). Crucial to these efforts to ‘scale up’ water allocation are governance arrangements that allow for key groups to identify common interests and build coalitions for generating and sharing benefits.

### **9.2.1 Benefit-sharing**

Benefit-sharing involves shifting from allocating physical quantities of water to sharing broader outcomes (e.g. energy and food security, or disaster risk reduction). While purely volumetric water allocation generates a zero-sum outcome (winners and losers), benefit-sharing makes win-win opportunities possible (United Nations, 2023). The Columbia River basin in the Pacific Northwest region of the USA and the Lesotho Highlands of Southern Africa are two examples where hydropower production in transboundary rivers upstream generates benefits shared (and paid for in part) by downstream beneficiaries (Xie et al., 2023; Yu, 2008).

Sadoff and Grey (2002) extended the benefit-sharing paradigm to transboundary rivers in their four-pronged typology of benefits to the river, from the river, because of the river, and beyond it. Benefits to the river comprise river health and the protection or restoration of aquatic ecosystems and water quality. The river generates benefits from energy and food production, and cooperation can produce benefits by reducing the risks caused by the river (such as flooding or geopolitical tensions). The benefits beyond the river comprise economic development and the positive spillover benefits of water investments that can enable economic growth.

The promise of benefit-sharing has not been fully realized (Dombrowsky, 2009).

Win-win outcomes are likely to be harder to achieve as more objectives, stakeholders or constraints are addressed (Hegwood et al., 2022). Win-win outcomes involve hidden costs (e.g. where virtual water-trading neglects impacts on small-scale farmers and those with informal tenure arrangements for land and water). There are also practical reasons and constraints on benefits that are not easily measured and quantified (e.g. where environmental flows or restoration are part of the benefit-sharing). Finally, outcomes are not necessarily evenly distributed, for example in cases where water flows from agriculture to cities but the cities capture the largest share of the benefits (Libecap, 2009).

The proportionality principle (Ostrom, 2010) focuses on the sharing of benefits in proportion to the costs and risks incurred. Those who bear the risks and costs should reap the rewards, but this principle implies the need for safety nets provided by communities and governments to address those left behind. The counterargument highlights that such arrangements can work reasonably well in the context of small groups with relatively limited levels of inequality (Kashwan et al., 2021).

The potential for benefit-sharing hinges on investments in governance capacity and water allocation reforms, as well as supporting information about water accounting, water use and water rights. Achieving the potential for benefit-sharing requires investing in governance, not just infrastructure (Schmeier, 2015; Whittington et al., 2013).

### **9.3 Water development paths**

Water development paths refer to a sequence of different interventions and investments, and allows for a perspective beyond individual projects, to look at longer-term trajectories and outcomes (Whittington et al., 2013; Brown et al., 2022). A focus on pathways highlights how water governance can be leveraged for peace and prosperity by providing the enabling environment for financing water and addressing wider policy objectives associated with water.

Because water supply, values and uses evolve, water development paths involve a sequence of policies, regulations and investments, as well as information, infrastructure and institutions that facilitate dispute resolution and water reallocation when patterns of supply and demand change (Gleick, 2018). This adaptive capacity requires investments in water governance capacity in a phased way in order to achieve broader development outcomes linked to peace and prosperity.

Economic development depends on water infrastructure, both grey and green, for drinking water and sanitation, agriculture, energy production, and ecosystem services. It also depends on the ability to share these benefits and ensure flexibility to adjust over time. Environmental co-benefits (e.g. biodiversity, flood & pollution control) have been shown to motivate partners to engage in more collaborative approaches to water management (United Nations, 2023) and can therefore help guide and consolidate efforts to coordinate allocation decisions at the river basin level. The International Union for Conservation of Nature (IUCN, 2020) highlights five categories of co-benefits: economic, social, ecosystem, regional development, and security benefits. The economic and regional development categories correspond to direct benefits (e.g. livelihoods, production) and indirect benefits (e.g. cross-border investment), respectively. Cooperation in Monterrey (Mexico) over the Río San Juan (a tributary to the Río Bravo/Río Grande), for example, provides direct benefits to those in the city and irrigation districts, and indirect benefits through contributions to national security, regional growth and trade (see Box 3.1 in United Nations, 2023). Water-sharing agreements in the early to mid-1990s have since shifted the perspective of downstream agricultural communities from one of ‘win–lose’ to ‘win–win’ outcomes (Aguilar-Barajas and Garrick, 2019). However, the benefit-sharing agreements exclude environmental and instream flows, which means that the ‘win–win’ outcome comes with hidden costs (Hegwood et al., 2022).

The recent focus on blended financing for water infrastructure – the combination of public and private investment from multiple sources in each of these categories (United Nations, 2022) – highlights the principle of risk- and benefit-sharing. As infrastructure and investment needs cut across sectors or scales, safeguards are needed, starting with meeting the human right to water and meeting basic needs backed by capacity for implementation. This speaks to the need to finance not only infrastructure but also maintenance and management.

Governing water to promote prosperity and peace requires that supply development and demand management are coordinated. River basin development paths such as the Colorado River basin illustrate how allocation agreements (e.g. the Colorado River compact) predicated and enabled the public (and private) investments that came after. This points to the importance of sequencing to ensure that governance capacity is in place prior to infrastructure development and to thereafter strengthen institutions that can adapt to changing conditions. It also requires focusing on tailoring water governance to the local context. Particularly in regions with variable climates, water allocation rules that share risks proportionally can prove more equitable than rules that prioritize one user over others. In this context, efforts to improve water allocation and governance may involve a ladder of interventions and a growing coordination of allocation and financing decisions – a set of increasingly complex policy and institutional responses as pressures and capacities grow.





## Chapter 10

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# Science, technology and information

WWAP

Matthew England and Richard Connor

With contribution from: Tommaso Abrate (WMO)



## *Real-time data and information covering relatively short timescales are particularly useful for operational decisions*

A central pillar of informing better technical and management decisions is the availability of accurate data and information (UNESCO/UN-Water, 2020). Advances in science and technology have generated an unprecedented volume of data and information regarding the state of water resources and the operational effects of management interventions at the global, regional, national, river basin and field level. Where available and when accessible, this increased level of knowledge (i.e. data and information) has been used to inform and improve policy development, operational water management decisions and technical interventions.

Real-time data and information covering relatively short timescales (e.g. minute to hour) are particularly useful for operational decisions such as early warning systems, and for managing infrastructure to mitigate flood risk. Similarly, mid-to-long-term data (e.g. intra- and inter-annual) have provided insights to support the strategic design of water infrastructure and scenario-based planning. Such advances have in turn promoted prosperity and peace within societies through the expansion of the irrigation area, the increase in agricultural production as exemplified by the Green Revolution, better access to drinking water and sanitation, improved disaster risk reduction, and the introduction of water-efficient industrial processes.

However, there still exists a significant lack of historical and up-to-date data and information on surface and groundwater, soil moisture, and associated hydro-meteorological parameters. Furthermore, historical (time-series) data become less reliable due to increasing climate variability (and change), posing challenges to the planning and design of water infrastructure (IPCC, 2022; Milly et al., 2008). This hinders progress towards Sustainable Development Goals (SDGs), particularly the targets of SDG 6, on water, and also other goals such as SDG 2 on zero hunger. Where data and information do exist, forthcoming and transparent sharing between user groups can be problematic in some cases, particularly in the case of transboundary data between riparians (United Nations, 2023).

The SDG 6 Global Acceleration Framework identifies data and information as a key component to build trust through data generation, validation, standardization and information exchange for decision-making and accountability in water management (UN-Water, 2020). Progress towards SDG 6 targets is only comprehensively reported for drinking water and sanitation, with only rough indications of progress for indicators such as water stress, water use efficiency, transboundary cooperation and integrated water resources management (IWRM), leaving 5 of the 11 indicators with little (if any) quantified information on progress. These data gaps are largely due to monitoring and reporting deficiencies (United Nations, 2023). In 2023, the UN-Water Integrated Monitoring Initiative for SDG 6 initiated a renewed data drive, requesting data and offering associated capacity-building.

### **10.1 Science, technology and innovation**

Advances in science have played a critical role in technological development and innovation within the water sector. This has led to the development of innovative tools and approaches to measure and monitor parameters of the hydrosphere. These include, but are not limited to, information and communication technologies; earth observation and space technology through the deployment of satellites and remote sensing; advanced sensor technology to monitor hydrological systems; the rise of citizen science supported by low-cost technologies; and the application of ‘big data’ analytics (UNESCO/UN-Water, 2020).

Artificial intelligence (AI) has been proposed to help address challenges across water supply, sanitation and hygiene (WASH) systems, water use in agriculture and industry, and water resources management. It is claimed that AI has the ability to enhance supply

insights into catchment management, emergency response, wastewater treatment plants and distribution networks, operations and maintenance, and demand management (Richards et al., 2023). However, the performance of any AI tool also requires data. The benefits of AI are caveated with the warning that the impacts of this nascent technology remain largely unknown, with the potential to trigger serious and unexpected problems. These include system-wide compromise owing to design errors, malfunction and cyber-attacks (Box 10.1), which in turn could lead to critical infrastructure failure in a worst-case scenario. Mitigation strategies to alleviate risks associated with AI include addressing gaps in foundational infrastructure and digital literacy; establishing institutional, software and hardware mechanisms for trustworthy AI; and developing detailed risk–benefit analyses (Richards et al., 2023).

Water consumption by information technology companies<sup>49</sup> has significantly increased in recent years, by up to a third. A major share of this is attributed to the development of AI and related technologies. Large volumes of water are used in the liquid cooling systems of computers that run AI programmes, in addition to the energy required to power the equipment. It is estimated that AI currently requires 500 ml of water to answer 10–50 queries,<sup>50</sup> dependent on the weather and season, and energy generation water use efficiency. The simulated training of GPT-3 in state-of-the-art data centres in the United States of America (USA) consumes and estimated 700,000 l of water (Li et al., 2023). The water consumption of AI should be considered within allocation arrangements to reach equitable solutions between users, particularly if current levels of water use are maintained or increase within water-scarce basins.

## 10.2 Data and information

Water resource systems cannot be effectively designed and operated unless adequate data and information are available concerning location, quantity, quality, temporal variability and demand (Stewart, 2015). Reliable hydrological data are needed to adaptively manage resources, to calibrate remotely sensed observations, and for modelling (Wilby, 2019). However, as highlighted throughout previous *United Nations World Water Development Reports*, there is a significant lack of data and information to inform the sustainable management of water. Government agencies tasked with resource monitoring and management often lack the capacity to collect data and generate information required to address water-related economic and social challenges (United Nations, 2023). This represents a significant challenge globally (UNESCO/UN-Water, 2020; Cantor et al., 2018; Stewart, 2015).

Where they do exist, data and information are often arranged in thematic or sectorial categories that have not significantly changed in recent decades (e.g. data and information are often compartmented for flood management, river discharge, water quality), without considering linkages (Wilby, 2019). Management challenges are exacerbated by climate change and associated hydro-meteorology variations, so that historical hydrological records can no longer be accurately used to predict future conditions (IPCC, 2022; Wagener et al., 2010; Milly et al., 2008). It is in societies' best interest that governments provide data through open-access platforms, without costs to users, and promote their dissemination (United Nations, 2023). Private companies should disclose relevant data and information concerning surface – and most notably sub-surface – water-related parameters to the authorities responsible for water management (United Nations, 2022). However, this requires relevant legislation at the national and potentially at the transboundary level.

<sup>49</sup> Microsoft disclosed that its global water consumption spiked 34% from 2021 to 2022 (Microsoft, 2022), while Google reported a 20% growth in water use in the same period (Google, 2023).

<sup>50</sup> Except in Ireland where it can support 70 queries, owing to the cooler climate and relatively more water-efficient energy generation.

### **Box 10.1 Risks associated with cyber-attacks**

The number of reported cyber-attacks on critical water infrastructure – including drinking water supply, wastewater and sewerage treatment, dams and canals – has increased in recent years (Tuftuk et al., 2021). These risks are expected to rise owing to the development and increasing uptake of cyber-physical water systems, that integrate computational and physical capabilities in order to control and monitor processes. In the past, water system security was achieved largely through physical isolation, limiting access to control components. However, with the emergence of the Internet of Things,<sup>a</sup> water systems are increasingly using a smart systems philosophy, incorporating analytics into industrial control systems to improve the sensing and control capacity (Bello et al., 2023; Tuftuk et al., 2021).

*“Cyber-attacks could be launched remotely by employing command and control techniques to interrupt the system’s performance and provide access to illegitimate parties to critical and confidential information. Moreover, in more severe cases, such attacks can even cause physical impairment to the system’s structure. Furthermore, such attacks can hamper the water quality by changing the treatment systems or suppressing contamination warnings by affecting water quality sensors”* (Bello et al., 2023, p. 2). The implications on society are potentially serious and multi-faceted. Cyber-attacks may affect services of critical infrastructure for drinking water, wastewater treatment and sewerage, agricultural production and food systems, energy generation, navigation, and disaster management (including floods and droughts) (Gleick, 2006; Amin et al., 2012; Copeland, 2010).

Governments are developing cyber-security plans to safeguard critical water infrastructure. To mitigate risks, personnel needs to be trained to assess and identify threats to water infrastructure (Bello et al., 2023; Moraits et al., 2020; Hassanzadeh et al., 2020; Adepu and Mathur, 2016). Measures include regular cyber-security assessments and incident response plans, vigilant monitoring of water system treatment processes, along with access controls encryption, firewalls, anti-virus measures, back-ups and multi-factor authentication (Waterfall, 2023).

<sup>a</sup> The Internet of Things describes devices with sensors, processing ability, software and other technologies that connect and exchange data with other devices and systems over the Internet or other communications networks.

### **10.2.1 Data sources**

Hydrological data can be obtained from a number of sources. These include in-situ measurements through monitoring networks operated by governments, and in some cases operated by other water users such as hydropower companies and private farms. Data are also obtained from model estimation and administrative collection (e.g. regulation data such as permits or census data) (Bureau of Meteorology, 2017). Data can also be generated by other sources, such as earth observations from satellites (Landerer and Swenson, 2012), sensor networks, citizen data and social media (UNESCO/UN-Water, 2020). Considerable amounts of data are still held in paper archives that have yet to be digitized, particularly government records in low-income countries; but also in high-income countries when it comes to historical hydro-meteorological variability (Burt and Hawkins, 2019). Once data are collected and analysed, they can be transformed into the information that is required to support management decisions and policy development processes.

### **Global overview of data/information**

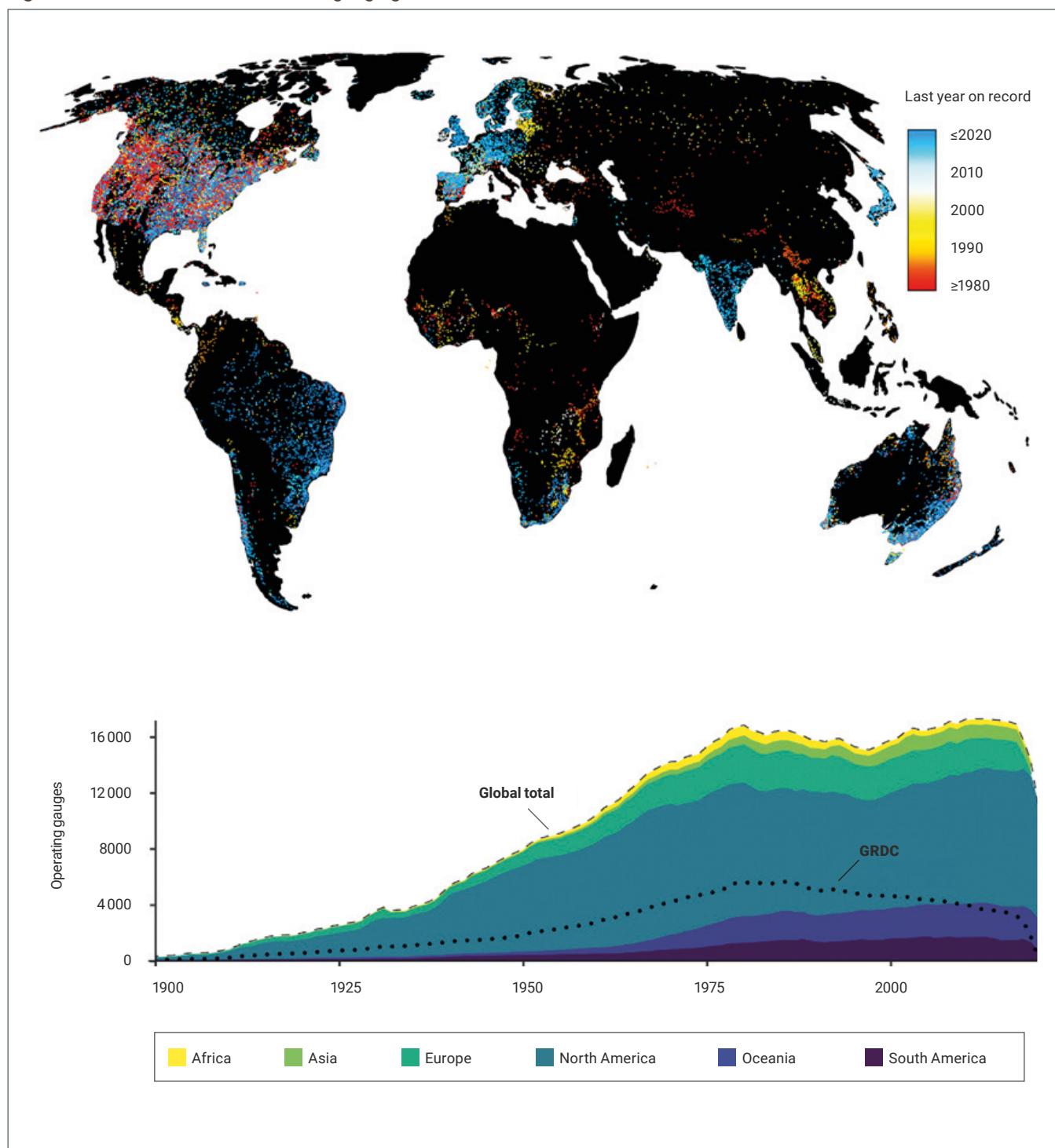
Although some hydrological data are collected in nearly all major river basins around the world, their quality, distribution, availability and accessibility are highly variable; as well as the parameters monitored (WMO, 2022). In many low-income countries, hydrological data are largely inadequate. Data on water quantity and especially water quality remain sparse, due in large part to weak monitoring and reporting capacity. This is especially the case in many low-income countries in Africa and Asia (United Nations, 2023).

There are geographically large regions that lack ground measurements of fundamental water balance components (e.g. precipitation, evapotranspiration and changes in ice, lakes, wetlands, soil, or groundwater storage). Observational network densities are notably sparse in the Arctic, Sub-Saharan Africa (with the exception of South Africa), Central Asia, the Pacific Islands and South America (Wilby, 2019). A significant proportion of countries are characterized by very limited or nonexistent groundwater monitoring networks, owing to the cost of development, operation and maintenance (IGRAC, 2020). Accurate data on soils are even more sparse, significantly limiting knowledge of how water soil content and storage impact agricultural productivity (Kendzior et al., 2022).

Gauging stations are distributed unevenly and sparsely across rivers globally (WMO, 2022). They do not capture the full extent of hydrological variability and anthropogenic influences (Krabbenhoft et al., 2022). The concentration of river gauging stations is significantly higher in Europe, North America and Oceania, relative to other regions (Figure 10.1). Gauging stations are distributed relatively sparsely within basins characterized by high annual river discharge, and

rivers characterized by non-perennial flow regimes (Krabbenhoft et al., 2022). There is also a disconnect between the number of gauging stations in basins with a high seasonal variability in water availability, where it is important to monitor large inter-annual hydrological fluxes (Figure 10.2). There is a need to increase the number of gauging stations, particularly in under-represented basins and environmentally vulnerable areas, to capture the full extent of hydrological variability and anthropogenic influences.

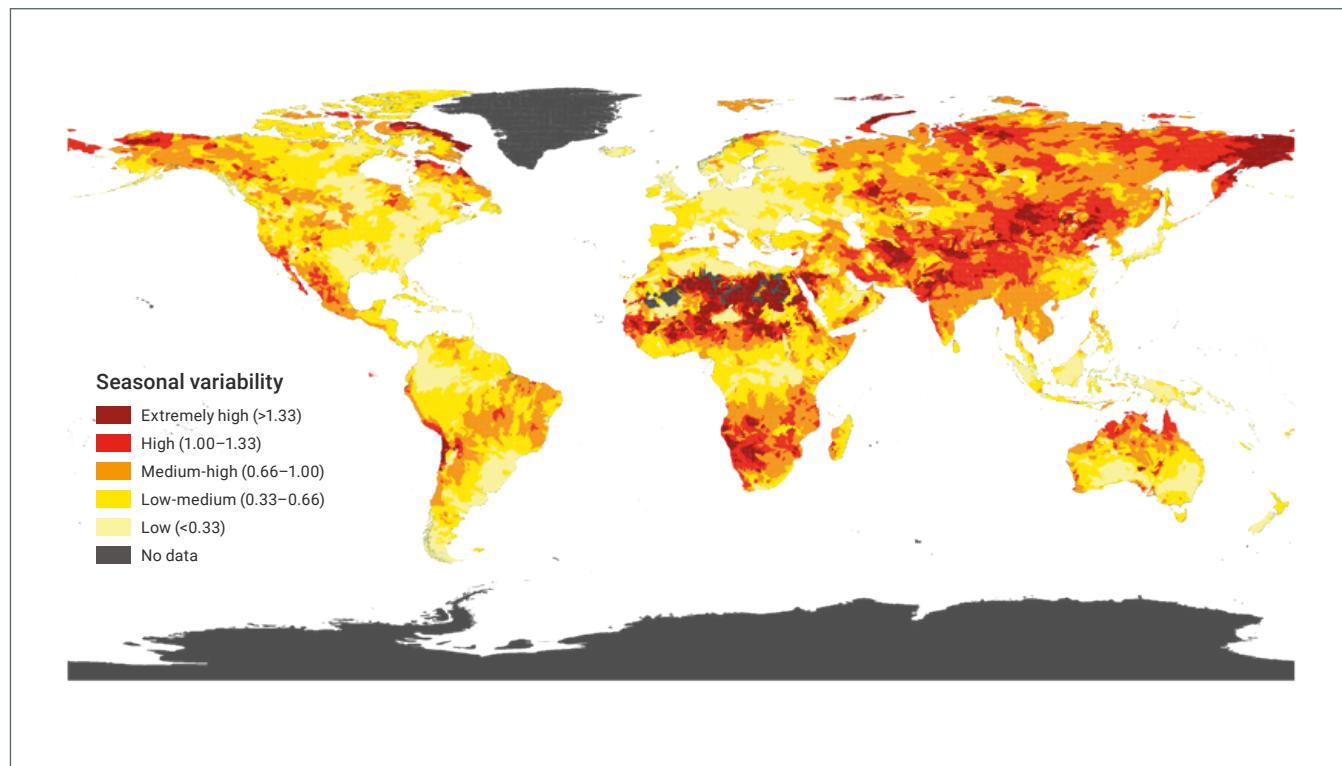
**Figure 10.1** Global distribution of river gauging stations



Note: GRDC: Global Runoff Data Centre

Source: Adapted Riggs et al. (2023, fig. 2, p. 5). Licence CC BY 4.0.

**Figure 10.2** Seasonal variability in water availability



Note: Seasonal variability measures the average within-year variability of available water supply, including both renewable surface and groundwater supplies. Higher values indicate wider variations of available supply within a year.

Source: WRI (2019). Licence CC BY 4.0.



## Governments are developing cyber-security plans to safeguard critical water infrastructure

It is generally recognized that some of the most data-sparse regions are also the most vulnerable to hydroclimatic hazards (Wilby, 2019). High-altitude regions and fragile states are particularly under-monitored. For instance, high-altitude areas of the Hindu Kush Himalaya lack long-term observational data, and the available data suffer from large inconsistencies and from high inhomogeneity. This hinders understanding of system dynamics, and is “*a major barrier to designing context-specific interventions. This [...] deters the addressing [of] challenges faced by mountain communities scale economics, access to infrastructure and resources, poverty levels and capability gaps, and also thwarts the large-scale replication of successful innovative demonstration/pilot projects that have been implemented in the region*” (Wester et al., 2019, pp. 168–169).

Even where data exist, records are often incomplete due to a lack of resources for personnel and equipment, or because they were lost due to inadequate data management practices, all of which are likely to be exacerbated under conditions of conflict. Furthermore, there is potential for erroneous issues due to site, instrument or observer changes; data may be corrupted at any point in the information flow or held in inaccessible formats (Wilby et al., 2017).

### Responses to increase data collection and monitoring

As described by Wilby (2019), approaches to improve hydrological data collection and monitoring include the installation of equipment at strategically important places (known as sentinel locations) where hydrological variations are most likely to be detected (Fowler and Wilby, 2010). Evidence suggests that at high elevation, sites are warming more rapidly than the global average (Pepin et al., 2015), although this might only hold true up to 5,000 metres (Gao, et al., 2018). Hydrological assessments are urgently required in mountain regions; for instance, in the Himalaya Hindu Kush region, where mountain waters support the agricultural livelihoods, and water and energy requirements of over two billion people (Wester et al., 2019; Immerzeel et al., 2010).

• • •

## *In-situ hydrological monitoring is often inadequate or absent in low-income countries*

Insights regarding data-sparse regions can be gained from several approaches. Basic hot-spot sensitivity analyses can identify communities most at risk, or inform the design of future hydro-meteorological network expansions (Wilby, 2019). Temporal data-scaling can extrapolate to sub-daily or sub-hourly precipitation levels for engineering design, in those situations where daily data exist (Courtney et al., 2019). Alternatively, geostatistical techniques can be used to blend fragments of in-situ field data with remotely sensed information (Wilby and Yu, 2013), including proxies for hydrological variables (Najmaddin et al., 2017) to run hydrological models (Samaniego et al., 2011). Comprehensive assessments are needed to compare temporal and spatial variations in remotely sensed, model and ground-based hydro-meteorological data (Sun et al., 2018).

The development of high-resolution gravity-based methods could assess water variability at whole catchment scales, complementing or providing an alternative to river gauging techniques (Gouweleeuw et al., 2017). Remote sensing data may offer advantages to data-sharing between riparian countries not sharing data. Satellite imagery is impartial and can facilitate the incorporation of scientific data into decision-making. Remote sensing can aid in data collection, aggregation, monitoring, and information sharing (UNESCO/UN-Water, 2020; Wilby, 2019).

In-situ hydrological monitoring is often inadequate or absent in low-income countries. This is often due to insufficient technical capacity to operate and maintain equipment, particularly in remote locations, underpinned by low levels of funding to install, maintain and expand observation networks. In such cases, alternative approaches using low-cost, locally available technology (e.g. staff gauges and local observers), as well as existing capacity can be considered to collect and manage data. Citizen science is the involvement of citizens in scientific research and data gathering (Njue et al., 2019; Bonney et al., 2009). It represents an invaluable opportunity for both data collection and public participation in water-related projects (Hegarty et al., 2021). Over recent years, significant volumes of data and information on water science and management have been collected (Buytaert et al., 2014; Follett and Strezov, 2015). For instance, citizen science has been used to address the lack of water quality data in relation to SDG Indicator 6.3.2 (Quinlivan et al., 2020). Beyond producing data, citizen science is also recognized to have broader environmental, social, economic and political benefits (Hecker et al., 2018). These include strengthening participatory decision-making processes, local leadership and capacity development (Njue et al., 2019). Capacity-building through externally sourced training, in order to establish operation and maintenance of hydrological monitoring systems within data-sparse regions, has occurred historically in low-income countries with varied levels of success (Kirschke et al., 2020).

### **Data- and information-sharing**

Forthcoming and transparent sharing of data and information is essential to promote effective water management. Transparent sharing includes data and metadata exchange, preferably within international standards; as well as open-access and open-source platforms and technologies.<sup>51</sup> However, the level of sharing varies significantly. Where data and information are considered sensitive (politically, economically or otherwise), sharing is often limited or non-existent. Data and information can be withheld or manipulated to serve one actor's interest over that of others. There can also be significant time lags between data collection and sharing, which could hamper operational decision-making.

Data-sharing can be limited between government and non-government actors. The private sector can limit data-sharing by declaring certain data as 'sensitive' (e.g. a security risk) to protect business interest, for instance, regarding infrastructure development, domestic water supply or agriculture projects. Lack of data-sharing is also reported by private

<sup>51</sup> Examples include the open-access platforms maintained by FAO, such as: FAOSTAT, AQUASTAT and WaPOR.

sector investment companies engaged in large-scale land acquisition in some countries (Dell'Angelo et al., 2018; Rulli et al., 2013; Mehta et al., 2012). Irrigation is often employed on land acquired to boost agricultural productivity, where data regarding the volume of increased water withdrawn are often not collected or shared (Rulli et al., 2013).

There is a growing call for the private sector to share data with governments and other actors when a project contract expires, albeit with security caveats considered (United Nations, 2022; Rulli et al., 2013). Furthermore, environmental impact assessment data within countries that are targeted for land acquisition are found to be lacking (Dell'Angelo et al., 2018). Data-sharing can also be limited between and within government ministries and departments, which are often characterized by ineffective communication between and within departments and ministries, along with competition for project funding.

Data- and information-sharing between riparians in transboundary basins is a long-standing issue of concern. In an assessment of transboundary river basins in Africa, Asia, Europe and North America, a study found data-sharing to be below the targets established at basin level and internationally<sup>52</sup> (IWMI, 2021). Although a reasonable proportion of river basins exchange some operational data, the breadth of exchange is often limited and irregular. Data-sharing is found more likely to take place if it responds to a particular operational need and serves practical uses, such as to minimize flood risks or to manage transboundary infrastructure (e.g. a reservoir) between riparians. This finding concurs with a recent study that found that the level of operational data-sharing at the international basin level is steadily increasing (WMO, 2022). Despite SDG Indicator 6.5.2 promoting transboundary cooperation for IWRM, there is no single global hydrological monitoring system. Instead, there is a proliferation of networks designed and operated by government and non-government actors for specific uses and at different spatial scales, covering a range of parameters and data types. There is a need to strengthen basin-level data exchange between actors, through river basin organizations or other relevant organizations.

## 10.3 Conclusions

It is widely acknowledged that more and better data and information are required to inform better water management decisions and policy development. Advances in science and technology have expanded the potential to monitor the hydrosphere in greater scope and detail, thereby increasing global and local knowledge bases.

The shared availability of credible and trusted data is fundamental to building confidence between water users; at the transboundary level, and within nations and river basins. This in turn helps effective and equitable water management, and promotes prosperity and peace within society. Where available and accessible, data and information empower the user to make an evidence-based informed decision. However, further advances in science and technology, delivering better and more data alone, will not necessarily improve decision-making and policy development (WaterAid, 2019; Kumpel et al., 2020). Actors and water users make decisions and develop policies based on a multitude of factors. These include but are by no means limited to socio-political, economic, technical, administrative and other factors. Whether in abundance, lacking or in secrecy, data and information can be used in a multitude of ways.

<sup>52</sup> The 1992 United Nations Economic Commission for Europe's *Convention on the Protection and Use of Transboundary Watercourses and International Lakes* (UNECE, 1992); and the *United Nations Convention on the Law of the Non-Navigational Uses of International Watercourses* (General Assembly of the United Nations, 1997).

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## Chapter 11

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# Education and capacity development

**UNESCO IHP**

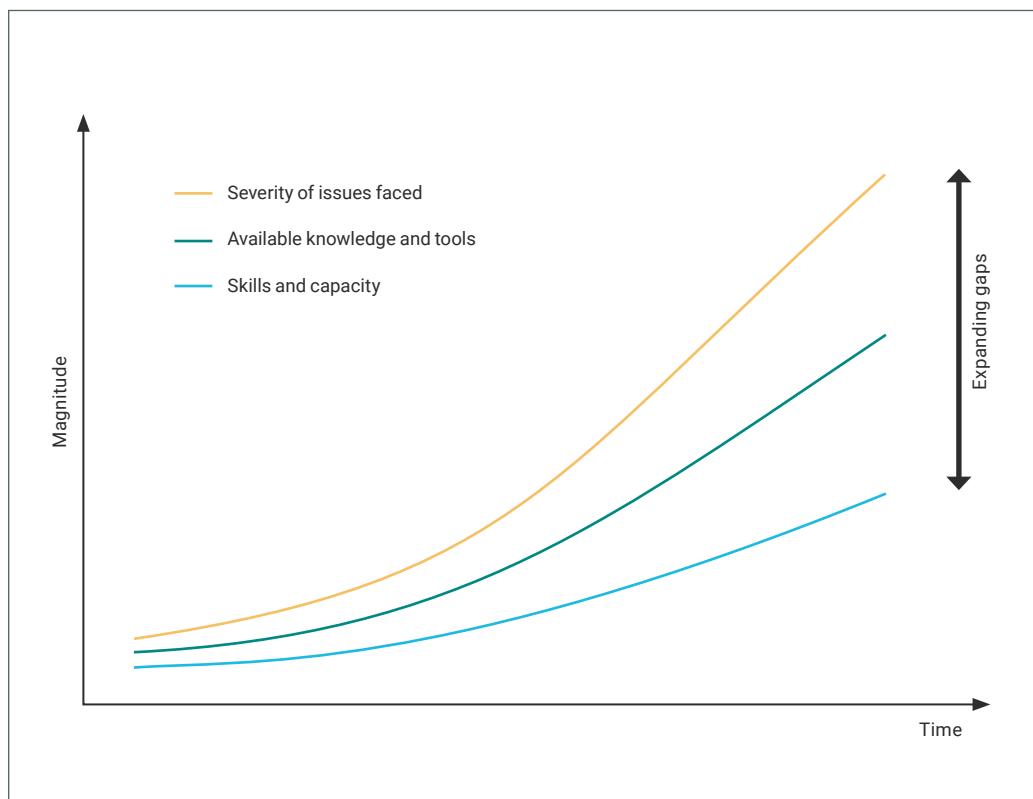
**Wouter Buytaert and Jorge Ellis**

**With contributions from: Susanne Schmeier (IHE Delft)**

Freshwater is vital for human prosperity; yet humankind's efforts to provide clean water and sanitation for all is falling behind, as the indicators of Sustainable Development Goal (SDG) 6 show clearly (United Nations, 2023a). Education and capacity development are key to addressing this challenge. In many parts of the world, and in many developing countries in particular, water and sanitation are not optimally managed. The lack of training and relevant skills is at the heart of this issue (UNESCO, 2014). Although major advances have been made in the adoption of new technologies, the gap between the severity of water problems, the knowledge base and skill sets available to solve them is widening in many areas (Figure 11.1). This delays the adoption of new technologies for water treatment, sanitation and integrated river basin management, among others – which, in turn, leads to wasteful use of water, avoidable contamination of freshwater resources, and inappropriate levels of access to safe and clean water.

**Figure 11.1**

The widening gaps between the severity of water problems, and the knowledge and capacity required to address them



Source: Authors.

The gap in skills and capacity is even more pronounced on non-technological aspects of water management and governance, such as legal, policy and institutional development. These skills are essential in the context of equitable water governance, especially in complex settings such as transboundary river basins or conflict-prone regions, where resolutions may require a process of negotiation and compromise (see Chapters 7 and 9).

As argued throughout the report, the rapidly increasing pressures on global water resources heighten the risks of competition at various scales in many parts of the world. Avoiding and defusing water-related crises and conflicts will require new ways of thinking, as well as innovative and often transdisciplinary solutions and governance arrangements. Education is the catalyst to uptake and application of such new methods, technologies and behaviours. At the same time, conflicts, irrespective of whether they are triggered by water or not, often have a strong impact on education, notably through decreased access to water and sanitation facilities.

This chapter identifies some of the skills required to leverage water for prosperity and peace, and reflects on the challenges and opportunities of generating and implementing those skills to support water management for conflict prevention and dispute resolution.

## 11.1 Water and education in conflict situations

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*Although major advances have been made in the adoption of new technologies, the gap between the severity of water problems, the knowledge base and skill sets available to solve them is widening in many areas*

Conflict situations can exacerbate the impact on local livelihoods, including education, through water. Reduced access to water and sanitation services resulting from conflict may force children to drop out of school, with often a disproportionate impact on girls.

An example is provided by Gleick et al. (2020), who analyse the case of drought and water quality issues in Iraq, which contributed to violent protest throughout 2018. They describe how women and girls are the primary water collectors in Iraq, spending on average up to three hours per day on water collection (Central Statistical Organization Iraq/Kurdistan Region Statistics Office/Iraqi Ministry of Health/UNICEF, 2018). Reduced access to water supply increases this burden. This is known to have a negative impact on women's education, economic participation and safety, and may contribute to the higher secondary school dropout rate of girls compared to boys (UNICEF/Government of Iraq, 2017). Also elsewhere, studies have shown that a reduction of one hour in water collection can increase the rate of school enrolment of girls (Koolwal and Van de Walle, 2010).

The opposite also holds, as better education, political and economic participation, and safety of women is positively correlated with lower intra-state and inter-state violence (Hudson et al., 2012; Caprioli, 2000).

On the longer term, conflicts may also affect the availability of trained experts to provide education and capacity development. Local expertise may disappear through institutional decline, attrition or emigration. It also complicates training by external experts, who may be deterred from travelling to conflict regions, or find that digital infrastructure is insufficient for online training.

Yet, the impact of deteriorating access to water and sanitation during conflict situations is complex, but so is the role that water management can play in building resilience to conflict, supporting equitable sharing of scarce resources, and protecting against hydrological extremes.

## 11.2 Scientific and technical skills

Traditional water management curricula and training activities tend to have a strong focus on technological and engineering approaches. However, because of increasing pressures and rapidly advancing technologies, these approaches and skills need to be continually improved and updated.

This is particularly urgent in regions prone to conflict and crises, which tend to have lower water efficiency and less efficient water conservation practices (Gleick et al., 2020). Increasing water efficiency and the adoption of new technologies is often technically feasible but held back by a combination of factors. Recurrent issues include local capacity, as well as the availability and access to information and communication technologies (ICT) and measurement equipment. Conflicts may hinder the installation and proper maintenance of monitoring stations and damage existing infrastructure, leading to a lack of relevant data and observations crucial for the adequate design and operation of infrastructure.

Similarly, the further development of alternative sources of water, including reuse of grey water and water recycling, has great potential to reduce the acute water stress in conflict zones. While technical solutions often exist, their uptake is held back not only because of a lack of resources, but also because of a lack of local technical capacity to design, operate and maintain them.

## **11.3 Social, legal and policy skills**

While the gap between technical needs and available capacity may be widening (Figure 11.1), this is even more acute in educational undertakings aimed at enhancing legal, policy and institutional frameworks to support water governance (Gleick et al., 2020).

Water problems, especially in conflict-prone situations, often involve governance challenges. They require skills that go beyond water engineering and management but can help to gain insights in the complex social, legal and policy context. For example, many river basin interventions will generate a range of benefits and disbenefits. This is particularly the case for novel approaches such as nature-based solutions (NbS) (see Chapter 6), which may increase water availability but also, for example, ecological enhancements and landscape aesthetics (WWAP/UN-Water, 2018). Stakeholders may assign very different values to those benefits (and potentially also disbenefits). Understanding these valuations and identifying the specific (dis)beneficiaries are prerequisites for finding equitable solutions. This would often require a negotiation process, which may include trade-offs, compensations and compromises (United Nations, 2021). Negotiation, policy and governance skills are essential to guide this process while minimizing the risks of escalating disputes. These include, for example, capacities to identify mutual interests, and to develop and implement benefit-sharing arrangements.

Political and legal skills required in water dispute resolution may relate to the formulation of water sharing agreements, water and food security policies and market mechanisms, the human rights to water and sanitation, and the right to a healthy environment.

Economic and financial skills are necessary to design adequate policies related to water and food security, and to measure the impact of pricing and subsidies. The lack, or improper design and implementation, of economic policies may lead to suboptimal water use and waste (see Chapter 9). One example is that of perverse subsidies, which often lead to overconsumption and inefficient water use, for example in agriculture (Myers, 1998).

Governance is often impaired in conflict-prone regions, where it requires specific policy skills, related, for example, to the reduction of corruption, the formulation of urban and rural development and gender policies, and the promotion of stakeholder engagement and inclusion.

Improving skills and capacity is also key to enable ‘bouncing forward’ after conflicts or crises. It is a necessary ingredient in order not to return to the status quo, but to use perturbations as an opportunity to build back better, i.e. to improve infrastructure, operation procedures and overall resilience.

Lastly, education and capacity-building are key in dispute mediation and resolution. The development of robust, risk-reducing solutions often requires a thorough understanding of local social and cultural contexts, including for example the cultural and religious values of water.

## **11.4 Public awareness and widening participation**

Education remains the foundation to change human behaviour and build consensus for sustainable approaches to water services provision and resources management (UNESCO-IHP, 2022). Water education is therefore key to raising awareness of issues such as household water overuse, the effects of household chemicals on the aquatic environment, improper disposal of harmful waste, and the impact of consumption patterns on environmental pressures, such as climate change.

While classic education is a cornerstone of achieving such awareness and behaviour change, new methods of public education are emerging. Through advancing technologies, such as mobile phones and cost-effective analysis kits, citizen science holds great promise for the general public to gain first-hand experience with aspects such as water quality (provided they have access to such technology), and the impact of human activities on its deterioration.

Open science<sup>53</sup> is highly relevant in a water context. Lack of access to scientific data and evidence, as well as the limited abilities to interpret such evidence, often contributes substantially to a lack of trust between negotiating parties (United Nations, 2023b). This is particularly acute in contexts like industrial water contamination, where the interests of local communities are often pitched against those of interest groups with large financial resources and scientific capacity (e.g. mining activities – Bebbington et al., 2008).

In such conditions, open science can support a more transparent evidence generation that has the potential to create trust and make informed and legitimate decisions with active engagement of all stakeholders (UNESCO-IHP, 2022).

## 11.5 Looking forward

New opportunities are emerging to support education and capacity development in resource-constrained contexts, which is where peace and prosperity are often most at risk.

The COVID-19 pandemic has produced a wealth of online educational resources on water management, but their content needs to be further developed and adapted to include hydrological processes, technologies and social contexts of deprived regions, such as tropical drylands and informal urban developments. Access also needs to be improved, especially to bridge the digital divide that emerges from the unequal access to digital technology, such as laptops, smartphones, tablets and the internet itself. This can be done by translating training materials to local languages and preparing them for offline use. Making these materials available to trainers and extension workers can also support local offline training and capacity-building.

Novel approaches such as ‘serious games’, polycentric governance and citizen science are useful and increasingly applied to support inclusive and legitimate water governance (Ostrom, 2010). Capacity development in the use of these tools and frameworks can support the implementation of cooperative methodologies for peaceful agreements and conflict resolution.

Lastly, about one in six humans, or about 1.2 billion people, are currently aged between 15 and 24. This number is projected to grow by 7% until 2030 (United Nations, 2020). Youth engagement and education can help nurture a future generation of leaders that are committed to better water stewardship. About half of them are women and girls, who often play a key role as agents of change in water science, culture, and governance. In many places they are the primary providers of water in the household, play a key role in establishing sanitation habits and education, and possess specific knowledge and perspectives on water systems and governance. Clear empirical evidence also shows that women participation makes water projects more effective (Van Wijk-Sijbesma, 1998). Therefore, targeting them for quality education and capacity development training is an essential part of the solution to future water security and a resilient society.

<sup>53</sup> For more information, please see: [www.unesco.org/en/open-science](http://www.unesco.org/en/open-science).

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## Chapter 12

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# Financing water security and mitigating investment risks

OECD

Lylah Davies and Xavier Leflaive

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## **Ensuring adequate water and sanitation services for communities and businesses requires making better use of existing sources of finance and mobilizing new ones**

International human rights law recognizes the responsibility of states to both promote and protect "*the rights to safe and clean drinking water and sanitation as a human right that is essential for the full enjoyment of life and all human rights*" (General Assembly of the United Nations, 2010). In 2022, 2.2 billion people still did not have access to safely managed drinking water services, and 3.5 billion lacked access to safely managed sanitation (UNICEF/WHO, 2023).

Disruptions to freshwater systems, including risks associated with supply availability, extreme climatic events and pollution, have direct implications for well-being, food security, migration, political stability and peace (Gleick and Iceland, 2018). The number of people exposed to floods is expected to grow from the current 1.2 billion to 1.6 billion by 2050 (United Nations, 2019). Already, flooding accounts for some 40% of all losses related to natural catastrophes since 1980, with aggregate losses worldwide totalling more than US\$1 trillion (Munich Re, n.d.). In 2021 alone, flooding accounted for US\$82 billion in losses (Bevere and Remondi, 2022). At the same time, water scarcity affects 40% of the world population (WHO, n.d.), including 1.2 billion people living in agricultural areas affected by high levels of water stress or high drought frequency (FAO, 2020). Under business as usual, water scarcity alone could cost certain countries across Africa and Asia up to 6% of their gross domestic product (GDP) by 2050 (World Bank, 2016).

Ensuring adequate water and sanitation services (WSS) for communities and businesses requires making better use of existing sources of finance and mobilizing new ones to form a heterogeneous investment landscape for water-related activities. This ranges from river basin- or catchment-scale ecosystem management to storage and flood protection infrastructure, through to the delivery of WSS (Money, 2017). It also requires mainstreaming water security considerations across investments in other sectors. Critically, all solutions to the water crisis will require capital, including significant international financial support for the developing world (OECD, 2022a).

### **12.1 Investment planning in water resource management**

Growing populations and economies, unsustainable management practices and increasing pressures from climate change are straining freshwater resources. The growing gap between water supply and demand leaves entire economies exposed to risks.

Investing across several resource management and service delivery projects, rather than individually, offers several advantages. A strategic planning framework that takes account of exposure and vulnerability to water-related risks, in order to address multiple objectives, can help build system-wide resilience (Brown et al., 2022). Basin-level examples for comprehensive assessments of large-scale water-related infrastructure include analyses of optimal design and operating parameters for a multipurpose dam investment in the Nile River (Jeuland and Whittington, 2014) and the siting, design, and operation of a hydropower plant in the Mekong River, with the view of balancing ecological outcomes (Wild et al., 2018). In the European context, the Water Directive Framework introduces principles of basin-level integrated planning, requiring Member States to develop river basin management plans and programmes of measures to halt the deterioration in the status of water bodies (European Parliament/Council of the European Union, 2000).

Rigorous assessments of the impacts and benefits of investments can create new financing opportunities. Water-related investments, instruments and arrangements can be designed to equitably distribute the costs and benefits across various stakeholders (OECD, 2022b). Valuations can inform policy instruments such as water tariffs, taxes, charges, and permits or offset markets. Similarly, these principles can inform voluntary financing arrangements that link potential returns to an investment, to encourage local actors to provide non-repayable capital for investments that provide operational benefits. For example, water utilities, businesses and property developers can benefit from improved local catchment

management that provides higher turnover and lower expenditures for water treatment or improved water quality for their products. There are already examples of these arrangements, including in Mexico, where a brewery invests in the Monterrey Metropolitan Water Fund (see Box 3.1 in United Nations, 2023). In France, the Vittel company provided cash or in-kind payments to encourage farmers to adopt eco-friendly farming practices (Trémolet et al., 2019; OECD, 2020a). Such arrangements also ensure affordable service delivery to recipient groups that do not have the financial means to cover their entire share of the costs.

Mobilizing finance for sustainable water resource management is challenging for several reasons, including weak governance structures and/or low prioritization of water in policy and investment planning. Moreover, many investments are characterized by low risk–return profiles and are local and small-scale in nature (OECD, 2022a).

This points to an important role for public and development partners to help improve enabling conditions for water-related investments, including through strengthening the technical capacity of central and regional authorities to prioritize and plan investments, as well as supporting the development of mechanisms to improve risk–return profiles of investments. It is also important to consider how the use of public funds across other sectors can have negative implications for water resource management, for example where poorly designed subsidy schemes (e.g. for industry, energy or agriculture) create perverse incentives that erode water availability and quality, with wider implications on ecosystem services (OECD, 2022a).

## 12.2 Optimizing water supply and sanitation investments

Considering the vast investment needs for WSS, particularly in lower- and middle-income countries (LMIC), efforts to increase available capital are a priority. Global costs of achieving Sustainable Development Goal 6 (SDG 6) are estimated to exceed US\$1 trillion per year, or 1.21%<sup>54</sup> of global GDP (Strong et al., 2020). This capital can come from several sources, including non-repayable funding from tariffs and domestic tax revenues. Voluntary transfers from external sources, such as international donors are absolutely critical for LMIC. However, existing funding from non-repayable sources does not come close to covering the total investment needs for WSS in many LMIC (Goksu et al., 2017). In 2021, official development assistance (ODA) for WSS was under US\$9 billion (OECD.stat, n.d.a).

Based on the *user pays principle*, tariffs should be the largest and most stable source of sector revenues, to be used for operations and maintenance (O&M) expenditures, as well as for expanding infrastructure, upgrading with more efficient or sustainable technologies, or optimizing service provision. At the same time, tariffs have direct implications on access to water services. Where ability-to-pay of water services users is low, tariff revenue is often insufficient for cost recovery on O&M (Goksu et al., 2017). This leaves a large investment gap to be filled by taxes or transfers from external sources. Approaches such as tiered tariffs aim to improve cost recovery whilst also maintaining affordability for low-income users, by providing the lowest rates for consumption, up to a given level, for basic needs. As consumption increases, a higher tariff tier is applied, which incentivizes efficient water use while also supporting cost recovery for water service companies. Tiered tariffs can be progressive when they meet two conditions: (i) the highest tariff blocks are set well above the average cost of service provision and income generated serves to cover the costs of the subsidized lower blocks; and (ii) they take into consideration that poor households can actually consume more water than wealthy ones (because they have larger families, or less water-efficient networks or appliances) (Leflaive and Hjort, 2020). In Chile, a tiered tariff was applied in combination with targeted subsidies to ensure access among marginalized groups of the population (Box 12.1).

<sup>54</sup> Based on a 2018 global GDP of US\$85.79 trillion.



**There are often few financial products that channel their investments towards water**

A disconnect between the accountability and performance of WSS providers can undermine the efficiency of capital expenditures, notably where service providers are highly subsidized public entities, which is often the case in LMIC (OECD, 2022a). This can translate into sub-optimally designed projects, such as oversized WSS infrastructure, which fails to be used to capacity, or that remains unconnected to sewerage networks (Goksu et al., 2017). Inadequate asset management reduces their value and increases the risk that they will need to be replaced prematurely. It also occupies maintenance efforts with fixing asset breakdowns, rather than strategically prioritizing upgrades (ADB, 2014; OECD, 2022a). Due to the monopolistic market structure of water services and the public good dimension of their benefits, regulation has an important role in creating and maintaining incentives for performance (OECD, 2022c; 2022d).

Effective governance practices, encompassing both regulatory and institutional dimensions, have a critical role in enabling funds to be used efficiently and effectively, in terms of well-designed and implemented projects, and alignment with broader economic, social and environmental policy objectives (OECD, 2022a; World Bank, 2021). Improving efficiency and governance is a challenging and long-term commitment, requiring engagement across multiple levels of government, the private sector and communities (see Chapter 9). Efforts on behalf of regulators and service providers to increase transparency, accountability and efficiency in spending and operations will be critical to enhance cost recovery, consequently improving the financial stability of service providers and their ability to allocate resources where they are most needed. In addition, these efforts can increase the bankability and creditworthiness of service providers, allowing them to attract greater levels of repayable finance.

### 12.3 Mobilizing investment for water infrastructure

Large-scale investment is needed to achieve SDG 6, and the private sector has an important role to play. Various types of investments will attract different investors. For example, short-term investments may appeal to commercial financiers, whereas institutional investors are typically interested in larger, longer-term investments, which could include water network extensions with long repayment periods (Goksu et al., 2017).

Yet, water-related investments represent only a minor share of the total stock of institutional investment holdings in infrastructure (an estimated US\$17 billion of institutional holdings out of just over US\$1 trillion in holdings in 2020 – just 1.7%) (OECD, 2020b). These can include stocks, bonds or real estate that can be held for decades, whereby the annual change in cumulative investment can be relatively low.

While there is increasing interest among private investors, and particularly institutional investors, to grow their sustainable finance portfolios, there are often few financial products that channel their investments towards water (Trémollet et al., 2019). Institutional investors require large investments (often greater than US\$10 million) and need to satisfy fiduciary requirements to minimize the risk of losses. Investors and lenders will generally look for stability of revenues and the ability to recover costs and service debt obligations as a measure of financial sustainability and therefore creditworthiness (Money, 2017; OECD, 2019a).

International human rights law recognizes that states have the primary role in, and are obliged to, ensure non-discrimination and equality in the enjoyment of the rights to water and sanitation. States therefore play a large role in providing water supply and sanitation services, and particularly in prioritizing services to marginalized and disadvantaged populations (CESCR, 2003).

In most instances, public and development finance takes the form of direct loans or grants (OECD, 2019b). Development funds can help attract private investment, notably using blended finance approaches that improve the terms for commercial actors through guarantees

### **Box 12.1 Targeted water supply subsidies (Chile)**

In Chile, a tariff for urban water supply and sanitation was implemented under water reforms in the 1980s. These reforms aimed at recovering the costs of service and led to substantial efficiency gains, but also increased the price of supply delivery.

To address concerns over the affordability of services to low-income households, the government introduced an individual means-tested water consumption subsidy in the early 1990s.

The scheme targeted roughly 20% of the poorest households nationwide, for which the water and sanitation services (WSS) bill constituted over 5% of their monthly income. The subsidy covered 25–85% of the cost of households' basic water consumption (up to 15 m<sup>3</sup> a month) and sewerage, with all consumption beyond this limit charged at the full price. The municipality played a central role in the subsidy scheme, receiving applications, determining eligibility and paying the subsidy directly to the water companies from funding received by the central government (OECD/UNECLAC, 2016).

The combined tiered tariff and subsidy scheme enabled Chile to successfully increase water prices to reflect costs without compromising social and distributional goals. By 2000, the cost of the subsidy scheme reached US\$42.5 million. This was significantly lower than the cost of the previous universal subsidy scheme, under which water service providers experienced net financial losses. A financial deficit of 2% of assets in the WSS sector became a surplus of 4% leading to net profits of US\$107 million – more than double the subsidy scheme's costs (Leflaive and Hjort, 2020).

The subsidy scheme has since been updated and expanded to broaden coverage to vulnerable groups. A 100% subsidy was also introduced for beneficiaries of the welfare programme ('Chile Solidario') designed for very poor households (Contreras et al., 2018).

and grants (OECD, 2018). In 2021, US\$ 171 million was mobilized for the water sector with development funds, representing only 1.9% of value of ODA flows to this sector, in the same year (OECD.stat, n.d.b). The strategic use of concessional funds can attract private finance to overcome challenges like the need for long tenors, small ticket sizes, limited creditworthiness and the lack of clearly defined revenue (OECD, 2022a).

Green bonds and special-purpose vehicles (SPVs)<sup>55</sup> that aggregate smaller water-related investments are emerging. Bonds can be a means to raise capital for investments with clearly defined revenue streams, and this can be used to attract institutional investors such as pension funds to projects with long tenors. In Latin America and the Caribbean, for example, few WSS companies have experience in accessing capital markets and the sector only represents 7% of all green bonds issued (Braly Cartillier and Ortega Andrade, 2022). In a pilot in Colombia, the Inter-American Development Bank (IDB) supported two public water and sanitation companies to develop a green bonds framework and identify a combined portfolio of more than 170 eligible projects aligned with the Colombian Green Taxonomy (World Bank, 2022), with an estimated value of US\$288 million (Braly Cartillier and Ortega Andrade, 2022).

SPVs allow for the grouping of projects that are too small individually to attract finance under a single legal entity, or for the ownership of large projects under a consortium of project sponsors. An SPV enabled the aggregation of small-scale water utilities in the Veneto region (Italy) to raise US\$380 million for capital expenditure, under a single bond that attracted institutional investors.

<sup>55</sup> A special purpose vehicle (SPV) is a subsidiary company that is formed to undertake a specific business purpose or activity.



## A better understanding of water-related risks can make financial actors engage with companies to invest in mitigating those risks

The bonds were structured and bought by the European Investment Bank (including US\$80 million in financing) and other financial institutions (Rees, 2018; EIB, 2022).

Dedicated financing institutions and funds can also support the water sector's access to finance. For example, the Netherlands Water Bank (NWB) issues water bonds, benefiting from its high credit rating, and uses the proceeds to provide financial services to water authorities that would not have obtained finance with the same terms (NWB, n.d.).

Revolving funds use a mixture of public and private finance to provide loans for water investments and recycle loan repayments into the fund for new investments (OECD, 2022a). This is notably used in the United States of America (USA), as a federal-state partnership, known as the Clean Water State Revolving Fund (CWSRF). The fund is capitalized through federal investment, and then provides low interest loans to eligible recipients for water infrastructure projects. Repayments on loans are recycled back into individual state CWSRF programmes to finance new water infrastructure projects (US EPA, 2023). This type of pooling mechanism can use different sets of instruments, including equity, debt or guarantees to invest in specific sectors or regions. In another example, the Philippine Water Revolving Fund (PWRF) was implemented from 2008 to 2013 by blending concessional finance from the Japan International Cooperation Agency (JICA) and the Development Bank of the Philippines with commercial finance. The programme mobilized US\$234 million of loans for WSS, of which 60% came from private banks and developers (DAI, 2014; OECD, 2019b).

### 12.4 Reducing investment exposure to water-related risks

The increasing frequency and intensity of extreme climatic and weather events have implications for communities and businesses, with potential macro-economic consequences. Governments are often considered as 'insurers of last resort', expected to compensate for uninsured losses and support reconstruction efforts. This can become unsustainable for budget-constrained governments and increase reliance on non-governmental organizations (NGOs), charities, or the affected households and companies themselves. This points to an important need to understand risks and take actions to reduce exposure or mitigate impacts across the economy.

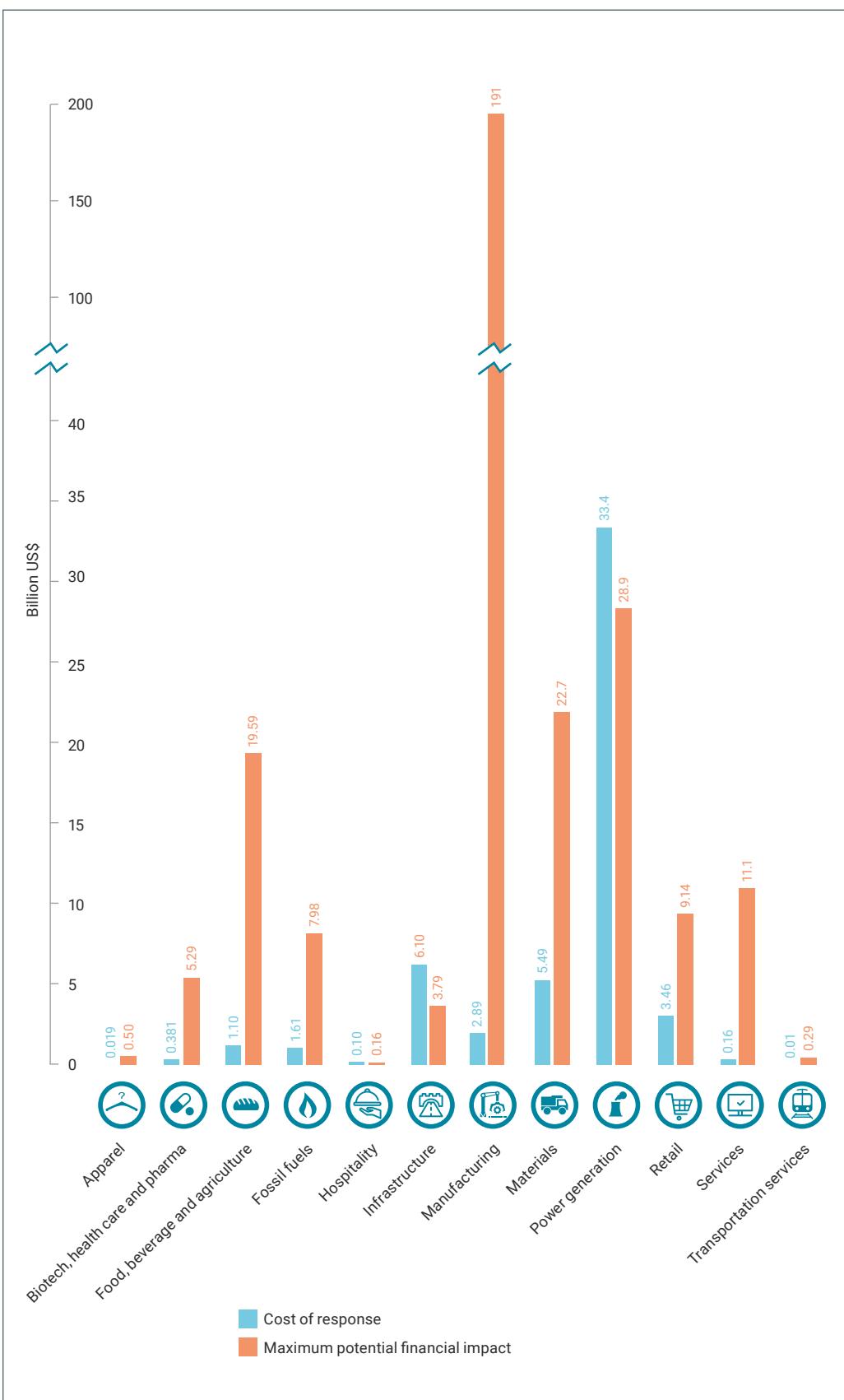
A better understanding of water-related risks can make financial actors engage with companies to invest in mitigating those risks. In 2020, the cost of water-related risks to businesses was estimated at US\$301 billion, while the cost of mitigating these risks would have been US\$55 billion (CDP, 2021). The financial impacts of water-related risks exceed the costs of inaction in nearly all sectors (Figure 12.1), with the exception of energy, where large investments are already underway. Asia and Africa show the greatest cost–benefit potential for such investments (Figure 12.2). At the same time, increased understanding of water-related risks can lead to the identification of new commercial opportunities that align with water security objectives. Companies reporting to the 2022 CDP questionnaire estimated US\$436 billion in financial opportunities linked to new water-related markets, improved water efficiency in operations, the sale of new products and services, and resilient supply chains (CDP, 2023).

Climate change-resilient infrastructure helps preserve the value of investments and the availability of basic services under conditions of uncertainty (e.g. future demand, resource availability and exposure to environmental risks). It is also a smart financial decision, as protecting assets exposed to hazards in LMIC can provide benefits worth four times their cost (World Bank, 2019). Scenarios can inform current decision-making by exploring impacts on alternative plausible futures, taking into account flood risk management, spatial planning and freshwater supply. This can help to plan shifts beyond designs for robustness, which can tolerate anticipated extremes, towards resilient design, which can also sustain functions under climatic stresses and shocks (OECD, 2022b).

**Figure 12.1**

Potential financial impact of water risks and the cost of response, 2020\*

\*Based on an analysis of 2,934 companies that reported information about their water risks, impacts and associated responses and strategies.



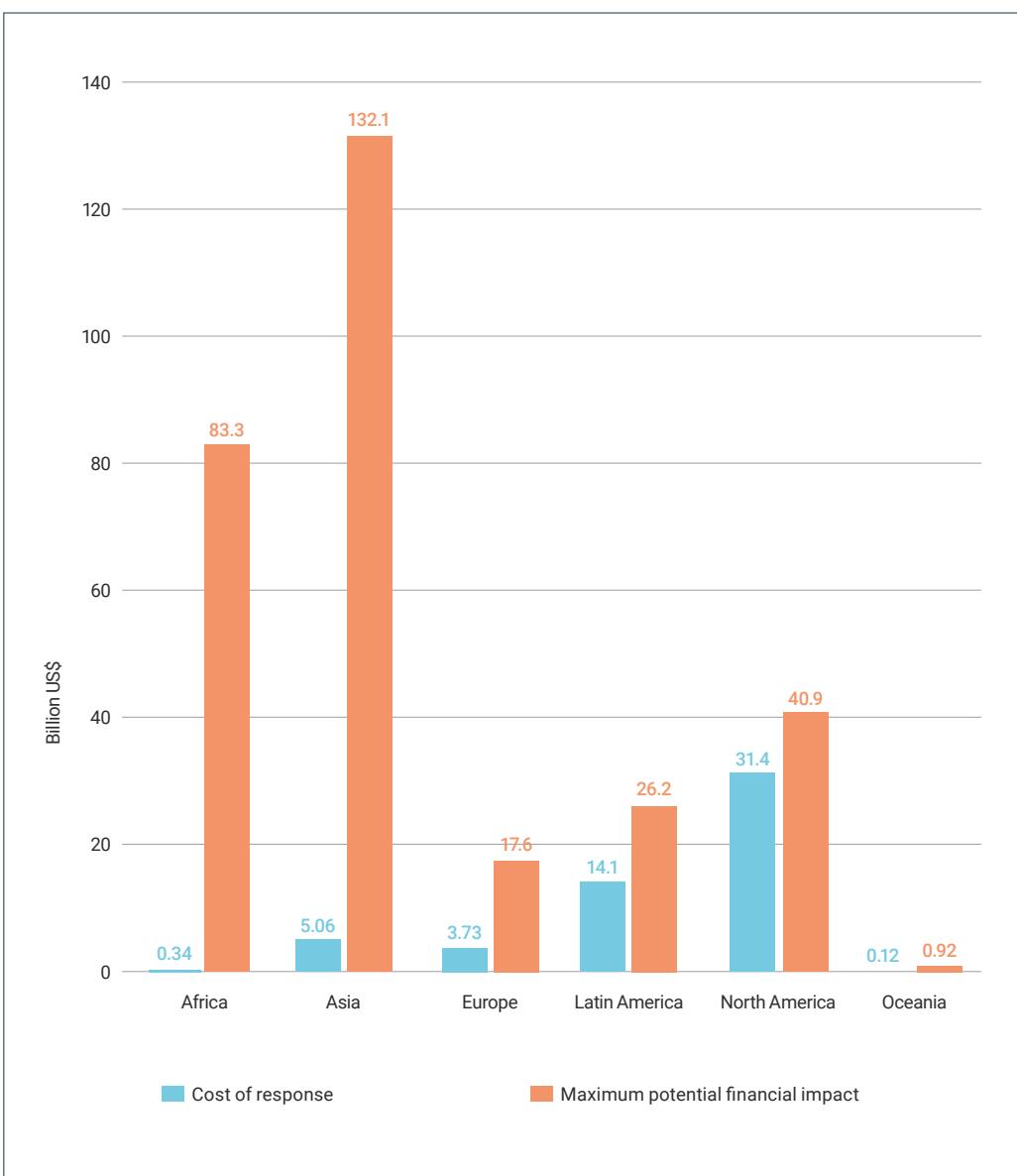
Source: Adapted from CDP (2021, p. 16).

The private sector and the financial system also play a pivotal role in directing finance towards or away from activities that increase exposure to water-related risks. However, these risks do not seem to be fully understood by central banks. In 2021, only two fifths of surveyed banks had performed a mapping of climate and environment risk exposures. Notably, physical risks did not appear to be priced in the Eurozone banking system (Houben et al., 2021).

**Figure 12.2**

Potential financial impact of water risk and cost of response per region, 2020\*

\*Based on an analysis of 2,934 companies that reported information about their water risks, impacts and associated responses and strategies.



Source: Adapted from CDP (2021, p. 14).

Insurance tools mitigate excessive financial losses and can encourage policy holders to reduce exposure. Depending on the country and context, insurance can be managed by private markets, the public sector, or a mixture of both. The United Kingdom of Great Britain and Northern Ireland's Flood Re programme, a joint initiative between the government and insurers, aims to make the flood-related coverage of household insurance more affordable. Insurers can transfer the flood risk element of their insurance policies to Flood Re for a fixed price and be reimbursed by the programme in the advent of flood-related claims. This helps keep premiums down for the end customer (Flood Re, n.d.). For the agricultural sector, crop insurance and weather index-based insurance can help spread the risk of income loss to farmers after drought or other extreme weather events (OECD, 2022b). This can reduce the need for public compensation or support payments to farmers after extreme events. For example, in India, a number of insurance schemes are implemented to support farmers, including Pradhan Mantri Fasal Bima Yojna, which provides subsidized insurance protection for food crops. Claim payment can be made when sowing is not done due to adverse weather (PMFBY, n.d.).

## 12.5 Conclusions

Providing sustainable water supply and sanitation services for billions of people around the world remains an urgent task. Water-related events like droughts and floods can have significant implications for food security, migration, political stability and conflict. Ensuring a water-secure future that supports peace and prosperity requires increasing the quantity and quality of water-related investments, particularly for LMIC that are among the most exposed to risks. While this chapter has focused mainly on financing infrastructure, strengthening planning and governance practices are also critical to ensuring that funds are used efficiently. Yet, to meet the scale of investment needed, both public and private sources of finance are needed.

Financing arrangements and vehicles that can support increased investment are increasingly available, notably when de-risked through concessional financing. Several conditions are required for blended finance to materialize at scale (see OECD, 2022a, for a detailed analysis). In this respect, the Organisation for Economic Co-operation and Development (OECD) is developing a scorecard to assess whether enabling conditions are in place at the national level to finance water, with the hope of triggering broader discussions on policy and institutional reforms to accelerate finance for water.

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## Chapter 13

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# Conclusions

**WWAP**

Richard Connor and Michela Miletto

## The water–prosperity paradox

This report originally sought to demonstrate that developing and maintaining a secure and equitable water future is essential to ensuring prosperity and peace for all. However, as described throughout the previous chapters, the relationship also works in the opposite direction, as poverty (including inequality) and various types or levels of conflict can amplify water insecurity.

While water availability has long been recognized as a driver of economic growth, empirical evidence has been difficult to establish. Some countries located in arid and semi-arid areas have well developed economies, whereas other countries with relatively abundant water supplies are amongst the most underdeveloped.

Fully developed water resource infrastructure and management systems can clearly promote growth and prosperity by storing and delivering a reliable water supply to economic sectors, including agriculture, energy, industry, and the relevant business and service sectors that support billions of livelihoods. Similarly, safe, accessible and well-functioning water supply and sanitation systems foster prosperity through quality of life, with individual and community dividends reflected in education and a healthy active workforce.

However, only the richest countries can directly afford building, maintaining and managing such infrastructure, not to mention having all the technical and institutional capacity to do so. This is the water–prosperity paradox: while middle- and lower-income countries require water to develop their economies, they require economic growth in order to finance water. And while affluent neighbourhoods generally receive excellent water supply and sanitation services at a reasonable price, people and households in poorer communities regularly pay much more for an unreliable and often unsafe water supply.

‘Economic decoupling’ from water use essentially implies increasing water use efficiency (SGD Target 6.4) across all stages of a country’s economic development. There is little empirical evidence to support that any observed ‘decoupling’ over the past decades was the result of specific or intended policy. It seems just as likely (if not more so) a consequence of economic diversification – from water-intensive agriculture and resource extraction towards more lucrative and less water-intensive industries and services. In some cases, water use efficiency gains have been more than cancelled by the expansion of economic activities, resulting in a net increase in water consumption.

## Water: agent of peace or instrument of conflict?

The relationship between water and peace/conflict is also complex and equally, if not more, difficult to establish. This report offers several examples where cooperation over water resources has generated positive and peaceful outcomes. These range from participatory, community-led initiatives that have relieved local tensions to the contribution of transboundary water organizations in dispute settlement and peacebuilding in post-conflict settings. It has not been easy to find detailed examples where such efforts have failed, which could have provided equally valuable lessons.

It has often been stated that ‘*the next wars will be over water*’,<sup>56</sup> but there is no conclusive evidence that this has been the case. Water does not yet appear to have become a

<sup>56</sup> Back in 1995, former World Bank vice-president Ismail Serageldin is reported to have said: “*If the wars of this century were fought over oil, the wars of the next century will be fought over water – unless we change our approach to managing this precious and vital resource.*” <https://news.sky.com/story/future-wars-will-there-be-fights-over-water-12713674>.

prevalent 'trigger' of conflict. However, as several geopolitical crises unfold worldwide, there have been several reports of attacks targeting civilian water infrastructure, including treatment plants, distribution systems and dams. Such events violate international law and must be severely condemned by the international community.

## Global trends: threats and opportunities

Worldwide trends, as well as pivotal events with global implications, can have both direct and indirect effects on prosperity and peace, through water. Water management must therefore be aware of the various factors that can impact socio-economic realities. These factors range from global climatic and geopolitical changes to local social tensions, economic adversity and environmental degradation. They can have profound implications for water, while water-related issues may equally contribute to shaping them.

Global population growth is primarily occurring in urban and peri-urban areas, including informal settlements, with most growth occurring in the less-developed regions of Africa and Asia. **Urbanization** and rural-to-urban migration magnify pressure on water and sanitation service providers in cities and municipalities, who struggle to keep up with increasing demand.

**Fragile states** and **conflict-affected areas** are often characterized by unequal access to water and sanitation, arising from breakdown of essential infrastructure, displacement of populations, insecurity and limited access to resources. Water supply, sanitation and hygiene (WASH) can be leveraged as a platform for intercommunal collaboration and partnerships between citizens and government.

Resource scarcity, environmental degradation and unsustainable environmental management practices can prompt **migration**. Displacement can in turn contribute to water insecurity by increasing the burden on water systems and resources in settlement locations. Cooperation between displaced and host communities promotes peace and understanding, including the identification of mutually beneficial economic prospects.

**Disaster risk reduction** can address the root causes of vulnerability and build resilience to the impacts of extreme events, including flooding. Mitigation measures include improving access to affordable housing, upgrading infrastructure and services, promoting sustainable land use practices, and adopting nature-based solutions.

**Climate change** will affect the availability, quality and quantity of water for basic human needs, threatening the effective enjoyment of the human rights to water and sanitation for potentially billions of people. Food security, human health, urban and rural settlements, energy production, industrial development, employment and economic growth, and ecosystems are all water-dependent and thus vulnerable to the impacts of climate change. Climate change **adaptation** and **mitigation** through water management are therefore critical to nearly all aspects of sustainable development.

The extent of **ecosystem degradation** and its role in conflict and losses of prosperity highlight the scope for ecosystem restoration to become a dominant response to many water-related challenges, particularly regarding water quality and availability, resilience and responses to climate change. Ecosystem restoration is now recognized as an urgent and key element for conflict resolution and peacebuilding, as well as a tool to improve access to resources, manage climate-related security risks, reduce recruitment by terrorist groups and alleviate pressure on people to migrate. Opportunities exist to facilitate peace by harnessing the positive role that environmental scientists and educators from both sides can play in conflict resolution.

Beyond hydropower, water plays an important role in all aspects of **energy production**. The cooling of thermal and nuclear power stations, and the irrigation of biofuels, are particularly water-intensive. In terms of electricity generation, the most water-efficient sources are wind, solar-photovoltaic (PV) and geothermal systems.

**Energy storage** solutions are required to make up for the intermittent nature of wind and solar power. While pumped-storage hydropower shows much room for expansion, lithium-ion batteries are the fastest-growing storage technology. However, the abstraction of lithium and other critical minerals (for solar panels) is usually highly water-intensive, with significant risks to water quality (especially groundwater), ecosystems and local populations.

**Food security** can be a key driver of peace and prosperity, but is also highly vulnerable to conflicts disrupting production chains and trade, as well as food distribution. Opportunities to increase water availability for **agriculture** include wastewater use, solar-powered irrigation and managed aquifer recharge. Improved water data acquisition and real-time operation and management, combined with technical improvements to existing irrigation systems, can substantially increase crop productivity while lowering water requirements.

An array of **technologies** is available to improve both water supply, water use efficiency and the quality and extension of WASH services. These include managed aquifer recharge, desalination, energy-neutral wastewater treatment, water recycling and reuse, climate-smart irrigation, and a variety of water-efficient industrial processes.

However, several rapidly emerging technologies are highly water-intensive and, if left unchecked, could lead to serious problems in the near future. Water consumption by information technology companies has significantly increased in recent years. **Artificial intelligence** (AI) and related technologies require large volumes of water for computer cooling systems, in addition to the (often water-intensive) energy required to power the equipment. AI has the potential to enhance basin management, emergency response, and the operation and maintenance of water supply and wastewater treatment plants. The risks associated with AI, and with computerization in general, include system-wide compromise owing to design errors, malfunction and cyber-attacks. **Carbon capture and storage** (CCS) systems, which capture CO<sub>2</sub> from greenhouse gas-generating power plants (and other industrial processes such as steel and cement production), are extremely energy- and water-intensive.

Despite advances in remote sensing, metadata analysis and computer modelling, there continues to be a significant lack of historical and up-to-date data and information on surface and groundwater, soil moisture, and associated hydro-meteorological parameters. Government agencies tasked with resource monitoring and management often lack the capacity to collect data and generate information. Some of the most data-sparse regions are also the most vulnerable to hydroclimatic hazards. **Citizen science** represents an opportunity for both data collection and public participation in water-related projects.

In many developing countries, water and sanitation are not optimally managed due to a lack of training and relevant skills. The gap in **skills and capacity** is even more pronounced on non-technological aspects of water management and governance, such as legal, policy and institutional development. These skills are essential in the context of equitable water governance, especially in complex settings such as transboundary river basins or conflict-prone regions, where resolutions may require a process of negotiation and compromise. Education is the catalyst to uptake and application of such new methods, technologies and behaviours.

There is a growing appreciation for integrating unique **local and traditional knowledge** and for directly cooperating with stakeholders, such as farmers and indigenous peoples, in policy, planning and implementation.

Responsible water tenure governance relies on mechanisms and processes that articulate the interests of different actors, mediate their differences, and ensure that their rights and duties are exercised with transparency and equity. Customary arrangements can help secure **land and water tenure** of a wide range of individuals and groups. In some countries, however, current water allocation policies exclude indigenous peoples and/or women from establishing and enforcing rights.

The **collaborative management** of WASH services and water resources can generate important social capital. Community-based structures to manage water systems (e.g. boreholes, utilities) are common, and they have mostly focused on enhancing the sustainability of WASH infrastructure rather than promoting peace. However, such structures can become a peacebuilding asset if they are equipped and supported to perform that role.

**Equitable water allocation** encourages investment and benefit-sharing, and ultimately promotes social cohesion. However, the complexities of water management – the range of issues, actors and jurisdictions – extends beyond the basin and across sectors. A central role of water governance is addressing competition and resolving disputes over water, taking into account the policies that undermine the needs of the agricultural, energy, health and industrial sectors, as well as the informal sector in those cases where it plays a significant role (e.g. water vending).

All solutions to the water crisis will require **financing**, including significant international support for the developing world. Ensuring adequate water and sanitation services for communities and businesses requires making better use of existing sources of finance and mobilizing new ones. Mobilizing finance can be undermined by weak governance structures and/or low prioritization of water in policy and investment planning.

**Investing** across several resource management and service delivery projects, rather than individually, offers several advantages, including a more comprehensive understanding of shared benefits. The use of public funds across other sectors can have negative implications for water resource management, for example where poorly designed subsidy schemes create perverse incentives that erode water availability and quality, with wider implications on ecosystem services and local communities.

Shared water can be an important source of cooperation, and shared investments lead to shared benefits. **Transboundary** river basin organizations can act as connectors and active peacemakers by strengthening regional integration, facilitating inclusive dialogue and promoting participatory decision-making. While cooperation over shared surface water appears to be gaining momentum, groundwater resources remain grossly neglected, with only a handful of aquifer-specific transboundary agreements.

## Coda

Sustainable water management generates a plethora of benefits to individuals and communities, including health, food and energy security, protection from natural disasters, education, improved living standards and employment, economic development, and a variety of ecosystem services.

It is through these benefits that water leads to prosperity.

And equitable sharing of these benefits promotes peace.

When it comes to water, sharing truly is caring.

The choice is ours.

# Abbreviations and acronyms

<b>ADB</b>	Asian Development Bank	<b>IFAS</b>	International Fund for Saving the Aral Sea
<b>AI</b>	Artificial intelligence	<b>IFI</b>	International Financial Institution
<b>AMCOW</b>	African Ministers' Council on Water	<b>IGRAC</b>	International Groundwater Resources Assessment Centre
<b>BCR</b>	Benefit–cost ratio	<b>IHE</b>	Institute for Water Education
<b>CCS</b>	Carbon capture and storage	<b>IHP</b>	Intergovernmental Hydrological Programme
<b>CDP</b>	formerly the Carbon Disclosure Project	<b>IOM</b>	International Organization for Migration
<b>CFS</b>	Committee on World Food Security	<b>IoT</b>	Internet of Things
<b>CIP</b>	Cleaning in place	<b>IPBES</b>	Intergovernmental Science–Policy Platform on Biodiversity and Ecosystem Services
<b>COVID-19</b>	Coronavirus disease 2019	<b>IPCC</b>	Intergovernmental Panel on Climate Change
<b>CSP</b>	Concentrated solar power	<b>IPLCs</b>	Indigenous peoples and local communities
<b>CWSRF</b>	Clean Water State Revolving Fund	<b>IRMA</b>	Initiative for Responsible Mining Assurance
<b>DIKTAS</b>	Dinaric Karst Aquifer System	<b>IUCN</b>	International Union for Conservation of Nature
<b>EIP</b>	Eco-industrial park	<b>IWMI</b>	International Water Management Institute
<b>ESCAP</b>	Economic and Social Commission for Asia and the Pacific	<b>IWRM</b>	Integrated water resources management
<b>FAO</b>	Food and Agricultural Organization of the United Nations	<b>KnoWat</b>	Knowing Water Better project
<b>FASRB</b>	Framework Agreement on the Sava River Basin	<b>LCBC</b>	Lake Chad Basin Commission
<b>GDP</b>	Gross domestic product	<b>LMIC</b>	Lower- and middle-income countries
<b>GHG</b>	Greenhouse gas	<b>MRC</b>	Mekong River Commission
<b>GIZ</b>	Deutsche Gesellschaft für Internationale Zusammenarbeit (German Agency for International Cooperation)	<b>NbS</b>	Nature-based solution
<b>GRID</b>	Global Report on Internal Displacement	<b>NENA</b>	Near East and North Africa
<b>GWP</b>	Global Water Partnership	<b>NGO</b>	Non-governmental organization
<b>IBRD</b>	International Bank for Reconstruction and Development	<b>NIC</b>	National Inter-Ministerial Committee
<b>ICWC</b>	Interstate Commission for Water Coordination of Central Asia	<b>NRMPBC</b>	Natural Resource Management and Peacebuilding Committee
<b>IDA</b>	International Development Association	<b>NWSAS</b>	North-Western Sahara Aquifer System
<b>IDMC</b>	Internal Displacement Monitoring Centre	<b>ODA</b>	Official development assistance
<b>IEA</b>	International Energy Agency	<b>OECD</b>	Organisation for Economic Co-operation and Development
<b>IFAD</b>	International Fund for Agricultural Development		

<b>OHCHR</b>	Office of the High Commissioner for Human Rights	<b>UNU</b>	United Nations University
<b>O&amp;M</b>	Operations and maintenance	<b>UNU-CRIS</b>	UNU Institute on Comparative Regional Integration Studies
<b>OMVS</b>	Organisation pour la mise en valeur du fleuve Sénégal (Senegal River Basin Development Organization)	<b>UNU-EHS</b>	UNU Institute for Environment and Human Security
<b>OSU</b>	Oregon State University	<b>UNU-MERIT</b>	UNU Maastricht Economic and Social Research Institute on Innovation and Technology
<b>PPP</b>	Public–private partnership	<b>USA</b>	United States of America
<b>PSH</b>	Pumped-storage hydropower	<b>UN WWDR</b>	United Nations World Water Development Report
<b>PV</b>	Photovoltaic	<b>WaPOR</b>	Water Productivity Remote Sensing Portal
<b>R&amp;D</b>	Research & Development	<b>WASH</b>	Water, sanitation and hygiene
<b>SADC</b>	Southern Africa Development Committee	<b>WEF</b>	World Economic Forum
<b>SDG</b>	Sustainable Development Goal	<b>WFP</b>	World Food Programme
<b>SPP</b>	Salween Peace Park	<b>WHO</b>	World Health Organization
<b>SPV</b>	Special-purpose vehicle	<b>WMO</b>	World Meteorological Organization
<b>TDA</b>	Transboundary diagnostic analysis	<b>WSS</b>	Water and sanitation services
<b>TNC</b>	The Nature Conservancy	<b>WUA</b>	Water user association
<b>UK</b>	United Kingdom of Great Britain and Northern Ireland	<b>WWAP</b>	World Water Assessment Programme
<b>UN</b>	United Nations		
<b>UNCCD</b>	United Nations Convention to Combat Desertification		
<b>UNDESA</b>	United Nations Department of Economic and Social Affairs		
<b>UNDP</b>	United Nations Development Programme		
<b>UNECA</b>	United Nations Economic Commission for Africa		
<b>UNECE</b>	United Nations Economic Commission for Europe		
<b>UNECLAC</b>	United Nations Economic Commission for Latin America and the Caribbean		
<b>UNEP</b>	United Nations Environment Programme		
<b>UNESCO</b>	United Nations Educational, Scientific and Cultural Organization		
<b>UNESCWA</b>	United Nations Economic and Social Commission for Western Asia		
<b>UNICEF</b>	United Nations Children's Fund		
<b>UNIDO</b>	United Nations Industrial Development Organization		

# THE UNITED NATIONS WORLD WATER DEVELOPMENT REPORT



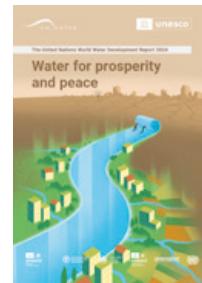
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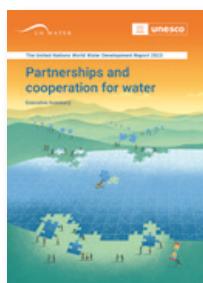
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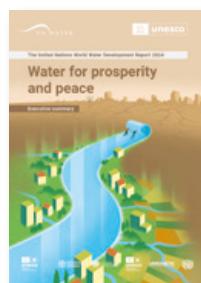
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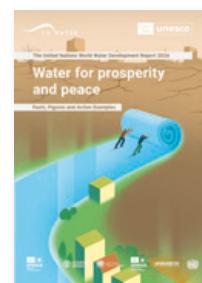
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# UN-WATER REPORTS AND OTHER RELEVANT PUBLICATIONS

UN-Water coordinates the efforts of United Nations entities and international organizations working on water and sanitation issues. By doing so, UN-Water seeks to increase the effectiveness of the support provided to Member States in their efforts towards achieving international agreements on water and sanitation. UN-Water publications draw on the experience and expertise of UN-Water's Members and Partners.

## **United Nations World Water Development Report**

The United Nations World Water Development Report is UN-Water's flagship report on water and sanitation issues, focusing on a different theme each year. The report is published by UNESCO on behalf of UN-Water, and its production is coordinated by the UNESCO World Water Assessment Programme. The report gives insight on main trends concerning the state, use and management of freshwater and sanitation, based on work done by the Members and Partners of UN-Water. Launched in conjunction with World Water Day, the report provides decision-makers with knowledge and tools to formulate and implement sustainable water policies. It also offers best practices and in-depth analyses to stimulate ideas and actions for better stewardship in the water sector and beyond.

## **Blueprint for Acceleration: Sustainable Development Goal 6 Synthesis Report on Water and Sanitation 2023**

The SDG 6 Synthesis Report on Water and Sanitation 2023 provides a strategic response to the outcomes of the UN 2023 Water Conference. As a "blueprint" it is a concise guide to accelerate progress on water and sanitation, including the implementation of Water Action Agenda commitments. The report, written by the UN-Water family of Members and Partners, is a concise guide to delivering concrete results – offering actionable policy recommendations directed towards senior decision-makers in Member States, other stakeholders, and the United Nations system to get the world on track to achieve SDG 6 by 2030. It was released ahead of the discussions of Member States and relevant stakeholders at the 2023 High-level Political Forum on Sustainable Development, which included a Special Event focused on SDG 6 and the Water Action Agenda.

## **United Nations system-wide strategy for water and sanitation**

In response to the UN 2023 Water Conference and building on the SDG 6 Global Acceleration Framework, UN-Water Members decided to support the development of a United Nations system-wide strategy for water and sanitation. Subsequently, General Assembly resolution A/RES/77/334 "requests the Secretary-General to present a United Nations system-wide water and sanitation strategy in consultation with Member States before the end of the seventy-eighth session of the General Assembly." The goal of the strategy is to enhance UN system-wide coordination and delivery of water-related priorities resulting in more strategic, effective, coherent, and efficient support to Member States in their efforts to accelerate progress on national plans and priorities, internationally agreed water-related goals and targets, and transformative solutions to current and future water-related challenges. The strategy will be launched in July 2024.

## **SDG 6 Progress Update – 8 reports, by SDG 6 global indicator**

This series of reports provides an in-depth update and analysis of progress towards the different SDG 6 targets and identifies priority areas for acceleration: *Progress on household drinking water, sanitation and hygiene* (WHO and UNICEF, as part of the JMP reports), *Progress on wastewater treatment* (WHO and UN-Habitat), *Progress on ambient water quality* (UNEP), *Progress on water-use efficiency* (FAO), *Progress on level of water stress* (FAO), *Progress on integrated water resources management* (UNEP), *Progress on transboundary water cooperation* (UNECE and UNESCO), *Progress on water-related ecosystems* (UNEP) and *Progress on international cooperation and local participation* (WHO, as part of the GLAAS reports). The reports, produced by the responsible custodian agencies, present the latest available country, region and global data on the SDG 6 global indicators, and are published every two to three years. The next updates will be published in July/August 2024.

## **UN-Water Global Analysis and Assessment of Sanitation and Drinking-Water (GLAAS)**

The GLAAS report is produced by WHO on behalf of UN-Water. It provides a global update on the policy frameworks, institutional arrangements, human resource base, and international and national finance streams in support of water and sanitation. It is a substantive input into the activities of Sanitation and Water for All as well as the progress reporting on SDG 6. The next report will be published in 2025.

## **Progress reports of the WHO/UNICEF Joint Monitoring Programme for Water Supply, Sanitation and Hygiene (JMP)**

The JMP is affiliated with UN-Water and is responsible for global monitoring of progress towards SDG 6 targets for universal access to safe and affordable drinking-water and adequate and equitable sanitation and hygiene services. Every 2 years, the JMP releases updated estimates and progress reports for WASH in households (as part of the progress reporting on SDG 6, see above), schools and health care facilities.

## **UN-Water Country Acceleration Case Studies**

To accelerate the achievement of SDG 6 targets as part of the SDG 6 Global Acceleration Framework, UN-Water releases SDG 6 Country Acceleration Case Studies to explore countries' pathways to achieving accelerated progress on SDG 6 at the national level. The case studies document replicable good practices for achieving the SDG 6 targets as well as look at how progress can be accelerated across SDG 6 targets in a country. Since 2022, six case studies have been released from Costa Rica, Pakistan, Senegal, Brazil, Ghana and Singapore. Three new ones are planned to be released in July 2024 from Cambodia, Czechia and Jordan.

## **Policy and Analytical Briefs**

UN-Water's Policy Briefs provide short and informative policy guidance on the most pressing freshwater-related issues that draw upon the combined expertise of the United Nations system. Analytical Briefs provide an analysis of emerging issues and may serve as basis for further research, discussion and future policy guidance.

## UN-WATER PLANNED PUBLICATIONS

- UN-Water Policy Brief on Transboundary Waters Cooperation – update

# WORLD WATER DAY AND THE UNITED NATIONS WORLD WATER DEVELOPMENT REPORT

The United Nations designates specific days, weeks, years and decades as occasions to mark particular events or topics in order to promote, through awareness and action, the objectives of the Organization.

International observances are occasions to educate the general public on issues of concern, to mobilize political will and resources to address global problems, and to celebrate and reinforce achievements of humanity.

The majority of observances have been established by resolutions of the United Nations General Assembly. World Water Day (22 March) dates back to the 1992 United Nations Conference on Environment and Development where an international observance for water was recommended.

The United Nations General Assembly responded by designating 22 March 1993 as the first World Water Day. It has been held annually since then and is one of the most popular international days together with International Women's Day (8 March), the International Day of Peace (21 September) and Human Rights Day (10 December).

Every year, UN-Water – the UN's coordination mechanism on water and sanitation – sets a theme for World Water Day corresponding to a current or future water-related challenge. This theme also inspires the theme of the United Nations World Water Development Report that is presented on World Water Day. The publication is UN-Water's flagship report and provides decision-makers with tools to formulate and implement sustainable water policies. The report also gives insight on main trends including the state, use and management of freshwater and sanitation, based on work by the Members and Partners in UN-Water.

The report is published by UNESCO, on behalf of UN-Water, and its production is coordinated by the UNESCO World Water Assessment Programme.



Water nurtures prosperity by meeting basic human needs, supporting health and livelihoods and economic development, underpinning food and energy security, and defending environmental integrity.

The impacts of climate change, geopolitical unrest, pandemics, mass migration, hyperinflation and other crises can exacerbate water access inequalities. In nearly all cases, the poorest and most vulnerable groups are those that suffer the greatest risks to their well-being and livelihoods.

The **2024 edition** of the ***United Nations World Water Development Report (UN WWDR)*** describes how developing and maintaining a secure and equitable water future underpins ***prosperity and peace*** for all. It calls attention to the complex and interlinked relationships between water, prosperity and peace, describing how progress in one dimension can have positive, often essential, repercussions on the others.

Water infrastructure promotes growth and prosperity by storing a reliable water supply and delivering it to economic sectors, including agriculture, industry, energy and the relevant business and service sectors that support billions of livelihoods. Similarly, safe, accessible and well-functioning drinking water and sanitation systems foster prosperity through quality of life, greater education opportunities and a healthy workforce.

Cooperation over water resources has generated positive and peaceful outcomes, ranging from participatory, community-led initiatives that have relieved local tensions, to dispute settlement and peacebuilding in post-conflict settings and transboundary watersheds. However, inequalities in the allocation of water resources, in access to water supply and sanitation services, and in the distribution of social, economic and environmental benefits can be counterproductive to peace and social stability.

The UN WWDR is UN-Water's flagship report on water and sanitation issues, focusing on a different theme each year. The report is published by UNESCO, on behalf of UN-Water and its production is coordinated by the UNESCO World Water Assessment Programme. The report gives insight on main trends concerning the state, use and management of freshwater and sanitation, based on work done by Members and Partners of UN-Water. Launched in conjunction with World Water Day, the report provides decision-makers with knowledge and tools to formulate and implement sustainable water policies. It also offers best practices and in-depth analyses to stimulate ideas and actions for better stewardship in the water sector and beyond.

This publication is financed by  
the Government of Italy and Regione Umbria.



**Regione Umbria**

