# SR for CT images of Batteries with Deep Learning

Release 0.1

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This project is about **Super Resolution for CT image of batteries with Deep Learning**. The goal is to improve the resolution of the CT image of batteries. The model from project is based on UNet from paper *U-Net: Convolutional Networks for Biomedical Image Segmentation* <a href="https://arxiv.org/abs/1505.04597">https://arxiv.org/abs/1505.04597</a>. The model is trained on the dataset from paper *Multimodal Nanoscale Tomographic Imaging for Battery Electrodes* <a href="https://doi.org/10.1002/aenm.201904119">https://doi.org/10.1002/aenm.201904119</a>.

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### **USAGE**

Here is a simple guide to use this project.

# 1.1 Installation and depandencies

This project is based on Python 3.11. To install the depandencies, you can use the following command:

```
$ pip install -r requirements.txt
```

### 1.2 Start

To start running the code, you can use the following command:

```
$ python main.py
```

# 1.3 Configuration

In this project, a configuration file is used to store the parameters of the code. The configuration file is a '.yaml' file, and it is located in the 'configs' folder. The configuration file is divided into different section, and each section contains the parameters of a specific part of code.

### split\_ratios

Split ratios of dataset

### train

Training configs

### dataset

Dataset configs

### model

Model configs

### pred

Inference configs

You can change the parameters in the configuration file to change the behavior of the code. Here is an example to change the train epoch.

\$ python main.py ++train.epochs=50

This will change the train epochs from  $10\ \text{to}\ 50$ .

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**DATA** 

### 2.1 Download

The data can be downloaded from the following link: <a href="https://www.research-collection.ethz.ch/handle/20.500.11850/505938">https://www.research-collection.ethz.ch/handle/20.500.11850/505938</a>. And the data is unzipped in the folder 'dataset/'. If there is no such folder, please create one and unzip the data in it.

Create the folder:

\$ mkdir dataset

### 2.2 Dataset

To get the dataset for model, you can use the BaseDataset class.

Bases: Dataset

### check\_dataset\_constrains()

To check whether the dataset meets the constrains

### get\_item\_position(idx)

To get each tile's information including, which tif file it belongs to, which page it belongs to, which tile in the page it is, and the tiling grid information.

### Parameter:

idx: the index of the tile in the dataset

#### **Return:**

tifffile\_index: the index of the tif file in the dataset page\_index: the index of the page in the tif file sequence\_number\_of\_tile\_in\_page: the sequence number of the tile in the page tiling\_grid\_info (tuple[int, int]): the tiling grid information

### get\_pages\_count\_in\_tile(tiff\_file)

To get the total number of pages in a tif file

### **Parameter:**

tiff\_file (str): the path of the tif file

### Return:

num\_pages: the total number of pages in the tif file

### static get\_preprocessor(preprocess\_cfg: DictConfig) $\rightarrow Preprocessor$ | None

Returns a Preprocessor object based on the provided preprocessing configuration.

### Args:

preprocess\_cfg (DictConfig): A configuration object that specifies the details of the preprocessing pipeline.

### **Returns:**

Preprocessor: A Preprocessor object that can be used to preprocess the data.

#### Notes:

This method creates a Preprocessor object based on the provided configuration. If no configuration is provided (i.e., if preprocess\_cfg is None), None is returned.

### get\_tile\_from\_index(index)

To get tile from the dataset by the index of the tile

#### Parameter:

index (int): the index of the tile in the dataset

#### Return:

tile (tensor): the tile in the dataset

### get\_tiles\_count\_in\_each\_tiff(tiff\_file)

To get the total number of tiles in a tif file

### Parameter:

tiff file (str): the path of the tif file

#### **Return:**

tiles\_count\_in\_each\_tiff: the total number of tiles in the tif file

### get\_tiling\_grid\_in\_each\_tiff(tiff\_file)

To get the tiling grid information in each tif file

### Parameter:

tiff\_file (str): the path of the tif file

#### Return:

tiling\_grid\_info (tuple[int, int]): the tiling grid information in each tif file

### static get\_transforms(transforms\_cfg: DictConfig) $\rightarrow Transforms$ | None

Returns a Transform object based on the provided configuration.

### **Args:**

transforms\_cfg (DictConfig): The configuration for the transforms.

#### **Returns:**

Transforms: The Transform object.

### **Notes:**

This method creates a Transforms object based on the provided configuration. If no configuration is provided (i.e., if transforms\_cfg is None), None is returned.

### 

Recursively loads all file paths from the given directory or directories.

### Args:

data\_root (str): The root directory to which the dataset directory paths are relative. dataset\_dir (List[str]): A list of directory paths to load file paths from. recursive (bool, optional): Whether to recursively load file paths from all subdirectories. Defaults to True.

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#### **Returns:**

List[Path]: A sorted list of all file paths found in the given directories.

#### Raises:

FileNotFoundError: If any of the given directories are not found.

# 2.3 Preprocessing

To get the preprocess for dataset, you can use the Preprocessor class.

```
class preprocess.Preprocessor.Preprocessor(preprocess_cfg: DictConfig)
```

Bases: object

```
static binning(image: Tensor, binning_factor: int) → Tensor
```

To get binned image, we first downsample the image by a factor of binning\_factor using average pooling, and then upsample it to the original size using nearest neighbor interpolation.

### Args:

image (torch.Tensor): Input image tensor. binning\_factor (int): Binning factor.

#### **Returns:**

torch. Tensor: Binned image tensor.

```
static convert_dtype(image: Tensor) \rightarrow Tensor
```

Convert the image tensor to the right dytpe. :param image: :return: image

```
static gamma_correction(image: Tensor) → Tensor
```

```
static normalize(image: Tensor, mean: list, std: list) \rightarrow Tensor
```

Normalize the image tensor with given mean and standard deviation values.

```
static rescale(image: Tensor, min\_val: int, max\_val: int) \rightarrow Tensor
```

### **Parameters**

- image -
- min\_val -
- max\_val -

#### Returns

rescaled image

```
static resize(image: Tensor, size: list[int, int], padding=True) \rightarrow Tensor
```

Resize a torch. Tensor image to the specified size, while padding it with zeros to make it square, using Kornia library.

### Args:

image (torch.Tensor): Input image tensor. size (list[int, int]): Desired output size.

#### Returns

torch. Tensor: Resized and padded image tensor.

```
static to\_grayscale(image: Tensor) \rightarrow Tensor
```

Convert the image tensor to grayscale.

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### 2.4 Transform

To get the transform for dataset, you can use the Transform class.

### **class** transform.Transforms.**Transforms**(transforms cfg: DictConfig)

Bases: object

Apply a set of custom transforms on the input image

#### **Example:**

```
transform_dict = { 'rotate': { 'angle': 30}, 'adjust_brightness': { 'brightness_factor': 0.5} }
```

### static RandomAffine(param)

Rotate the given tensor image by angle.

### Args:

degrees (float or int): Rotation angle in degrees. etc.

#### **Returns:**

torch. Tensor: Rotated tensor image.

### static RandomBrightness(param)

Adjust the brightness of the given tensor image.

#### Args

image (torch.Tensor): Tensor image to be adjusted. brightness\_factor (float): Brightness adjustment factor. Must be positive.

#### **Returns:**

torch. Tensor: Brightness-adjusted tensor image.

### static RandomContrast(param)

Adjust the contrast of the given tensor image.

#### Args:

image (torch.Tensor): Tensor image to be adjusted. contrast\_factor (float): Contrast adjustment factor. Must be positive.

#### **Returns:**

torch. Tensor: Contrast-adjusted tensor image.

### static RandomCrop(param)

Crop the given tensor image at a random location.

#### Args

image (torch.Tensor): Tensor image to be cropped. output\_size (tuple): Expected output size (height, width) of the crop.

#### **Returns:**

torch. Tensor: Cropped tensor image.

### static RandomGaussianBlur(param)

Applies a random Gaussian blur to the given tensor image.

#### Args

image (torch.Tensor): Tensor image to be blurred. kernel\_size\_range (tuple): Range of kernel sizes to randomly sample from. sigma\_range (tuple): Range of sigma values to randomly sample from.

#### Returns

torch. Tensor: Blurred tensor image.

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### static RandomGaussianNoise(param)

Adds random Gaussian noise to the given tensor image. If values are out of range, the tensor is clipped.

### **Args:**

image (torch.Tensor): Tensor image to be noised. mean (float): Mean of the Gaussian noise distribution. std (float): Standard deviation of the Gaussian noise distribution.

### **Returns:**

torch. Tensor: Noised tensor image.

### static RandomHorizontalFlip(param)

Horizontally flip the given tensor image.

### Args:

image (torch.Tensor): Tensor image to be flipped.

#### Returns

torch. Tensor: Horizontally flipped tensor image.

### static RandomHue(param)

Adjust the hue of the given tensor image.

#### Args

image (torch.Tensor): Tensor image to be adjusted. hue\_factor (float): Hue adjustment factor.

#### **Returns:**

torch. Tensor: Hue-adjusted tensor image.

### static RandomMedianBlur(param)

Applies a random median blur to the given tensor image.

### Args:

image (torch.Tensor): Tensor image to be blurred. kernel\_size\_range (tuple): Range of kernel sizes to randomly sample from.

#### **Returns:**

torch. Tensor: Blurred tensor image.

### static RandomSaturation(param)

Adjust the saturation of the given tensor image.

### Args:

image (torch.Tensor): Tensor image to be adjusted. saturation\_factor (float): Saturation adjustment factor. Must be positive.

#### **Returns:**

torch. Tensor: Saturation-adjusted tensor image.

### static RandomVerticalFlip(param)

Vertically flip the given tensor image.

### Args:

image (torch.Tensor): Tensor image to be flipped.

#### **Returns:**

torch. Tensor: Vertically flipped tensor image.

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THREE

### **MODEL**

This module contains the implementation of the U-Net model.

### 3.1 ConvBlock

In this subsection, class ConvBlock is a building block of the U-Net model.

class model.unet.blocks.ConvBlock( $in\_channels$ : int,  $out\_channels$ : int,  $n\_layers$ : int = 2,  $scale\_factor$ : int = 2,  $kernel\_size$ : int = 3,  $n\_groups$ : int = 32)

Bases: Module

A block of convolutional layers followed by max pooling, group normalization and activation function.

### Args:

in\_channels (int): Number of input channels. out\_channels (int): Number of output channels. n\_layers (int): Number of convolutional layers. scale\_factor (int): Factor by which to downsample the input. kernel\_size (int): Size of the convolutional kernel. n\_groups (int): Number of groups to use for group normalization.

#### **Returns:**

torch. Tensor: The output tensor.

forward(x: Tensor)

Output tensor of the convolutional block.

# 3.2 SkipConnection

In this subsection, class SkipConnection builds a skip connection in the U-Net model.

class model.unet.blocks.SkipConnection(channels, scale\_factor: int = 2,  $n_groups$ : int = 32)

Bases: Module

A block that performs a skip connection by max pooling the input tensor and applying group normalization and activation function to it.

### Args:

channels (int): Number of input channels. scale\_factor (int): Factor by which to downsample the input. n\_groups (int): Number of groups to use for group normalization.

### **Returns:**

Tuple[torch.Tensor, torch.Tensor]: A tuple containing the output tensor and the intermediate tensor.

```
forward(x: Tensor)
```

Skip connection forward pass. Returns a tuple containing the output tensor and the intermediate tensor.

### 3.3 CombineConnection

In this subsection, class CombineConnection combines skip connection in the U-Net model.

```
class model.unet.blocks.CombineConnection(channels, scale\_factor: int = 2, n\_groups: int = 32)
```

Bases: Module

A block that combines the skip connection and residual block by performing a residual connection between the input tensor and the output of the residual block.

### Args:

in\_channels (int): Number of input channels. out\_channels (int): Number of output channels. scale\_factor (int): Factor by which to upsample the input. n\_groups (int): Number of groups to use for group normalization. dropout (float): Dropout probability.

#### **Returns:**

```
torch.Tensor: The output tensor.
forward(x: Tensor, x e: Tensor)
```

Combine connection forward pass. Element wise addition of two same spatial dimension tensor.

### 3.4 ConvUNet

In this subsection, class ConvUNet builds a U-Net model.

```
class model.unet.ConvUNet.ConvUNet(model\_cfg: DictConfig, image\_channels: int = 1, output\_channels: int = 1, c\_factor: int = 6, ch\_mults: Tuple[int, ...] | List[int] = (1, 2, 3, 4), n\_blocks: int = 2, n\_layers: int = 2, scale\_factor: int = 2, kernel\_size: int = 3, n\_groups: int = 32, verbose: bool = True)
```

Bases: LightningModule

A simple Conv U-Net.

### Args:

model\_cfg (DictConfig): The configuration dictionary for the model. image\_channels (int): The number of channels in the input image. output\_channels (int): The number of channels in the output image. c\_factor (int, optional): The channel factor. Defaults to 6. ch\_mults (Union[Tuple[int, ...], List[int]], optional): The channel multipliers. Defaults to (1, 2, 3, 4). n\_blocks (int, optional): The number of blocks. Defaults to 2. n\_layers (int, optional): The number of layers. Defaults to 2. scale\_factor (int, optional): The scale factor. Defaults to 2. kernel\_size (int, optional): The kernel size. Defaults to 3. n\_groups (int, optional): The number of groups in GroupNorm. Defaults to 32. verbose (bool, optional): Whether to print verbose logs. Defaults to True.

### **Attributes:**

image\_proj (nn.Conv2d): The image projection layer. down (nn.ModuleList): The down-sampling layers. middle (ConvBlock): The middle layer. up (nn.ModuleList): The up-sampling layers. norm (nn.GroupNorm): The group normalization layer. act (Swish): The activation function. final (nn.Conv2d): The final convolutional layer. sig (nn.Sigmoid): The sigmoid activation function.

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**configure\_optimizers**() → Tuple[Optimizer | Sequence[Optimizer], Scheduler | Sequence[Scheduler]] Configure the optimizer and learning rate scheduler for the Lightning module.

#### **Returns:**

A tuple containing one or two elements, depending on whether a learning rate scheduler is used or not. The first element is an optimizer or a list of optimizers. The second element is a learning rate scheduler or a list of learning rate schedulers.

### forward(x: Tensor)

The forward method of the ConvUNet class defines the forward pass of the convolutional U-Net model. It takes an input tensor x and passes it through the layers of the model to produce an output tensor.

The method starts by passing the input tensor through the image projection layer. It then performs down-sampling using skip and residual connections, saving the output tensor from each skip connection in a list h for later combination.

After the downsampling layers, the method passes the output tensor through the middle layer of the model. It then performs upsampling using the saved skip connection tensors and residual connections, combining them with the output tensor from each upsampling layer.

Finally, the method passes the output tensor through the final layer of the model, applies an activation function, and returns the resulting tensor.

```
get_loss(y_hat, y)
```

To get the loss from the loss function

#### **Returns:**

torch. Tensor: The loss for the current step.

```
predict_step(batch, batch_idx, dataloader_idx: int = 0)
```

Prediction for the lightning module.

#### Args

batch (torch.Tensor): The input batch. batch\_idx (int): The index of the batch. dataloader\_idx (int): The index of the dataloader.

#### **Returns:**

torch. Tensor: The prediction of current batch.

```
classmethod restore(ckpt: str, map_location: device | str | int = 'mps')
```

Restores a PyTorch Lightning model from a checkpoint file.

#### Args

cls (LightningModule): The class of the PyTorch Lightning model to restore. ckpt (str): The path to the checkpoint file to restore from. map\_location (Union[torch.device, str, int]): Device to map the restored model to.

### **Returns:**

Tuple[LightningModule, DictConfig]: A tuple of the restored model and its configuration.

#### Raises

RuntimeError: If the checkpoint file does not contain hyperparameters.

### **Example:**

# Restore a PLModel from a checkpoint file model, config = PLModel.restore(ckpt='path/to/checkpoint.ckpt')

### save\_model(model\_name, save\_dir)

Save a PyTorch model to a given directory.

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### Args:

model (torch.nn.Module): The PyTorch model to save. save\_dir (str): The directory to save the model to.

### test\_model(input\_data)

### training\_step(batch, batch\_idx)

Training step for the lightning module.

### **Args:**

batch (torch.Tensor): The input batch. batch\_idx (int): The index of the batch.

### **Returns:**

torch. Tensor: The loss for the current training step.

### validation\_step(batch, batch\_idx)

Validation step for the lightning module.

### Args:

batch (torch.Tensor): The input batch. batch\_idx (int): The index of the batch.

### **Returns:**

torch. Tensor: The loss for the current validation step.

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**FOUR** 

### TRAIN

To use configuration file to start training model.

# 4.1 Training configuration

Here is an example of training configuration file:

```
train.custom_collate(batch)
```

Custom collate function to drop none informative tiles on edge to prevent model params pollution

```
train.init_model(cfg: DictConfig)
```

Create model using parameters from config file.

### **Parameters:**

cfg: DictConfig

### **Returns:**

model: ConvUNet

### train.setup\_dataloaders(cfg: DictConfig)

Create dataloaders using parameters from config file.

### **Parameters:**

cfg: DictConfig

#### **Returns:**

train\_dataloader: DataLoader. validation\_dataloader: DataLoader. test\_dataloader: DataLoader.

### train.setup\_logger(cfg: DictConfig)

Create log to visualize training process.

### **Parameters:**

cfg: DictConfig

### **Returns:**

logger: TensorBoardLogger

### train.start\_training(cfg: DictConfig)

Training process.

### **Parameters:**

cfg: DictConfig

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**FIVE** 

### **PREDICTION**

This section introduce how to predict from the trained model.

# 5.1 Predicting configuration

Here is the function to set the configuration for prediction.

```
pred.test_slice(cfg: DictConfig)
```

To get specific slice from test set. And applying it with preprocessing and transforms in order to get the input and label.

### **Parameters:**

cfg: DictConfig

#### Returns

data: torch.Tensor label: torch.Tensor

```
pred.setup_test_set(cfg: DictConfig)
```

To get the test set from the dataset. Using dataset splitting ratio.

### **Returns:**

test set: torch.utils.data.Dataset

```
pred.setup_input_label(cfg: DictConfig)
```

To set up the input and label from the test set for thesis used.

### **Returns:**

input: torch.Tensor label: torch.Tensor

pred.splitting(image: Tensor, cfg: DictConfig)

To split image into specific size of tiles.

### **Parameters:**

image: torch.Tensor cfg: DictConfig

### **Returns:**

tiles: list

### pred.load\_model(cfg: DictConfig)

Load model from checkpoint.

### **Returns:**

model: ConvUNet

### pred.prediction(model, tile)

Predicting image from trained model.

### **Returns:**

output: torch.Tensor

pred.reassemble(tiles: list, cfg: DictConfig)

To reassemble the tiles into a image, which is one of the slice in the test set.

#### **Parameters:**

tiles: list cfg: DictConfig

### **Returns:**

reassembled\_image: torch.Tensor

pred.inference(cfg: DictConfig)

Start inference.

### pred.calc\_average\_psnr\_in\_testset(cfg: DictConfig)

To calculate the average PSNR in the test set.

#### **Returns:**

average\_psnr: float

### pred.convert\_iter\_to\_epoch(data: list, epoch\_size: int)

In loss curves, we want to show the loss in each epoch. But the loss in log is save in each iteration. So we need to convert the loss in iteration to loss in epoch.

### **Parameters:**

data: list epoch\_size: int

### **Returns:**

averages: list

### pred.get\_train\_loss\_val\_loss(cfg: DictConfig)

Load the train loss and validation loss from csv file.

### **Returns:**

train\_loss\_values: list iter: list val\_loss\_values: list val\_iter: list

### pred.plot\_loss\_in\_iter(cfg: DictConfig)

To plot the loss curve in iteration.

### pred.plot\_loss\_in\_epoch(cfg: DictConfig)

To plot the loss curve in epoch.

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# **PLOT NEURAL NETWORKS**

Visualize neural networks with different depths

# 6.1 ConvUNet

To visualize a ConvUNet in this project. We can use the following command:

```
$ cd pyexamples
$ ../tikzmake.sh convunet
```

# **SEVEN**

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