

# THE OPPORTUNITIES PRESENTED BY MACHINE LEARNING APPLIED IN EDUCATION AND THE ENTAILING CHALLENGES

---

Oskar Thaeter

Referenzfach: Informatik

Bezugsfach: Englisch

Prüfer: Jan Reher

Hypothesis

Machine Learning

Application

Opportunities

Challenges

Conclusion

# HYPOTHESIS

## AN AI REVOLUTION

- ▶ machine learning promises to revolutionize industries
- ▶ artificial intelligence is ever present in day to day life
- ▶ computing power fuels machine learning popularity
- ▶ AI relieves humans of repetitive tasks

## DATA AS A RESOURCE

- ▶ 700,000 pupils take centric exams in UK
- ▶ each exam has to be marked by two individuals
- ▶ all this data is wasted
- ▶ should be used to train AI
- ▶ AI could relieve teachers of marking

# MACHINE LEARNING

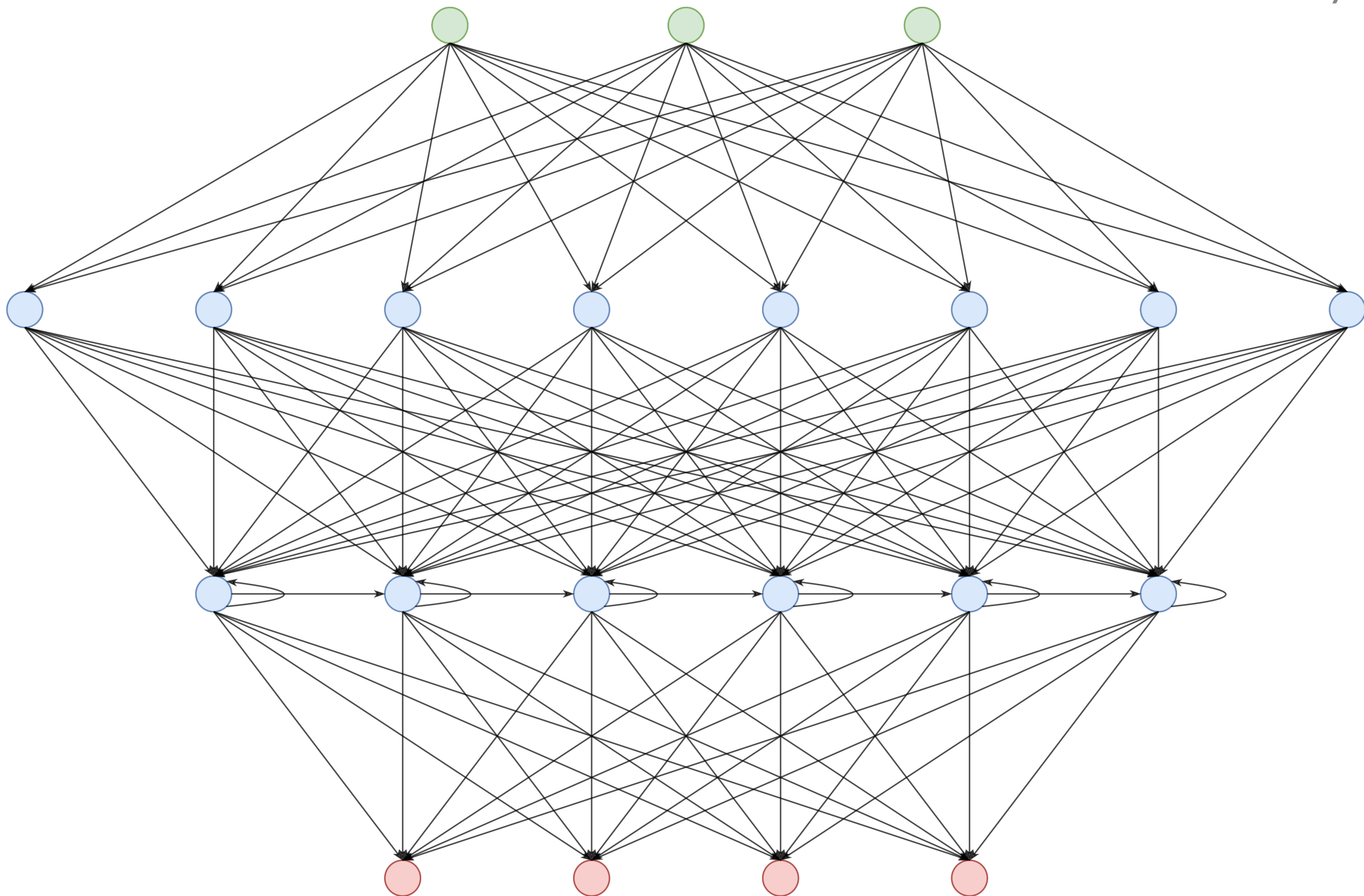
## WHAT IS MACHINE LEARNING ?

- ▶ attempts to recreate human intelligence → AI
- ▶ computer learns from data to carry out a task
- ▶ concept: learn from past mistakes to maximize success
- ▶ learning: process of training a mathematical model

# ARTIFICIAL NEURAL NETWORKS

- ▶ network of simple information processing units (neurone)
- ▶ inspired by the neural network of a human brain
- ▶ neurone
  - ▶ holds a value, typically between 0 and 1
  - ▶ applies an activation function to weighted input
  - ▶ feeds output via weighted connection to next neurone





# APPLICATION

## THE PROBLEM

- ▶ translate handwriting into machine readable data
- ▶ formally called optical character recognition(OCR)
- ▶ problem statement:
  - ▶ translate a picture of fixed size of a written word into according digital output.



*cure*



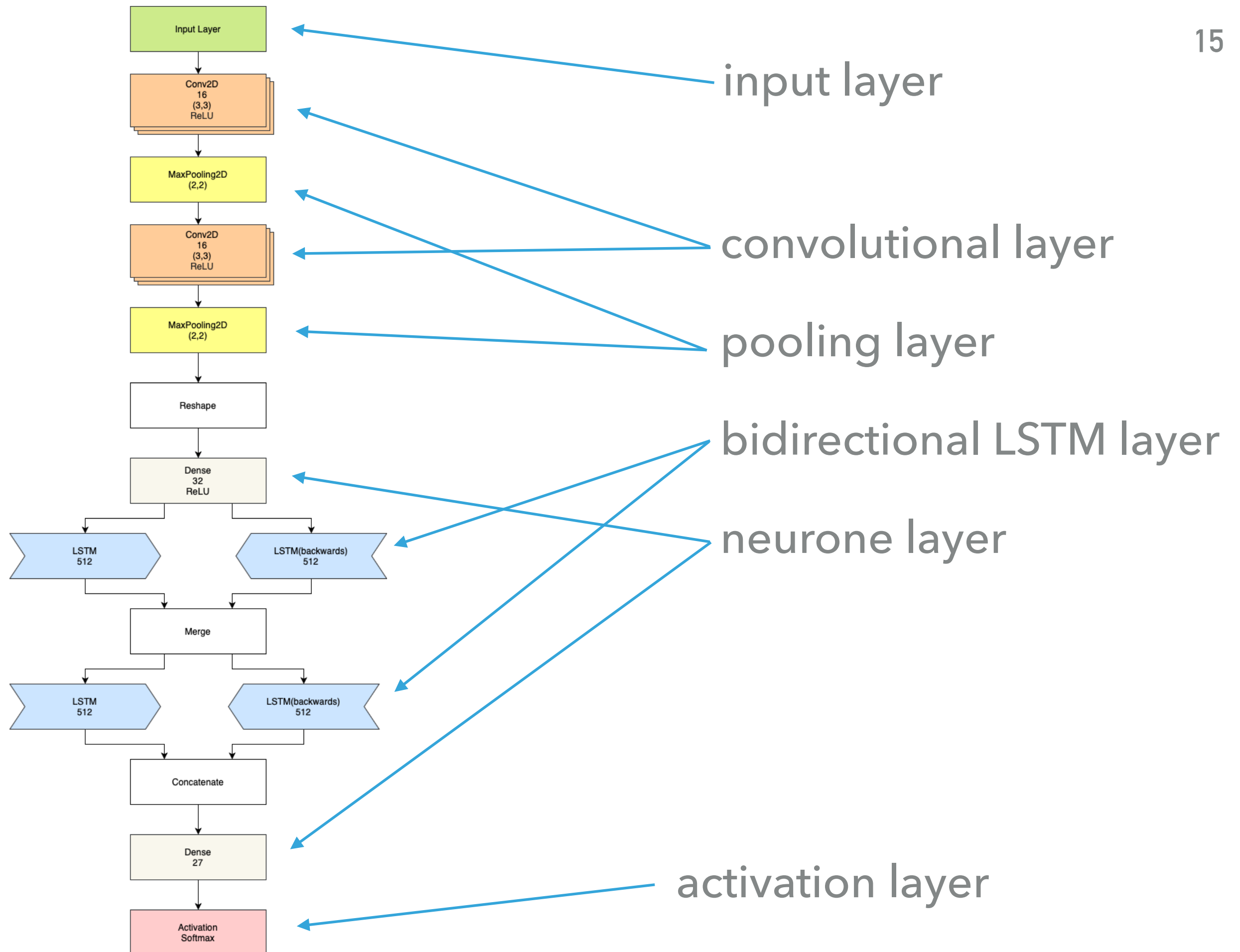
cure

## DATASET

- ▶ consists of images and labels
- ▶ synthetic dataset -> almost infinite size, many variations
- ▶ no costs to produce
- ▶ more control over the data
- ▶ correctly labeled data
- ▶ 23 different fonts

## MODEL

- ▶ convolutional neural network (CNN)
  - ▶ to detect features in the image
- ▶ recurrent neural network (RNN)
  - ▶ outputs a sequence of variable length
- ▶ connectionist temporal classification (CTC)
  - ▶ decodes the output into words



## BUILDING THE MODEL

- ▶ using Python and the Keras API
- ▶ NVIDIA Tesla K80 GPU via Google Cloud Computing
  - ▶ epoch takes up to 260s depending on the model
- ▶ Cairo library to generate images
  - ▶ creates 16 000 new images every epoch

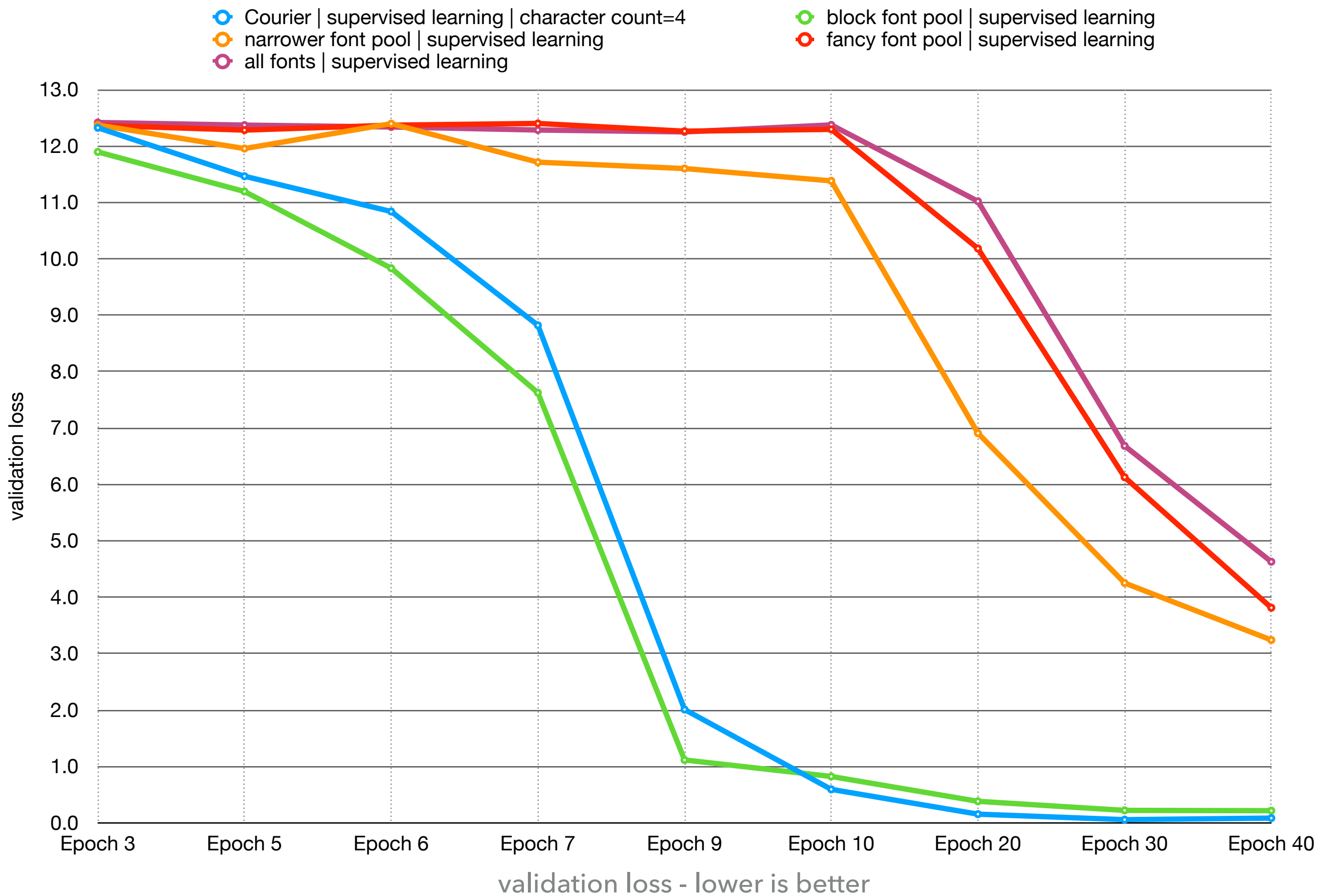


## TRAINING THE MODEL

- ▶ gradient descent optimiser
  - ▶ changes weights between neurones to maximize success
- ▶ loss function: CTC loss function
  - ▶ indicates how bad a prediction is
- ▶ goal is to minimize loss

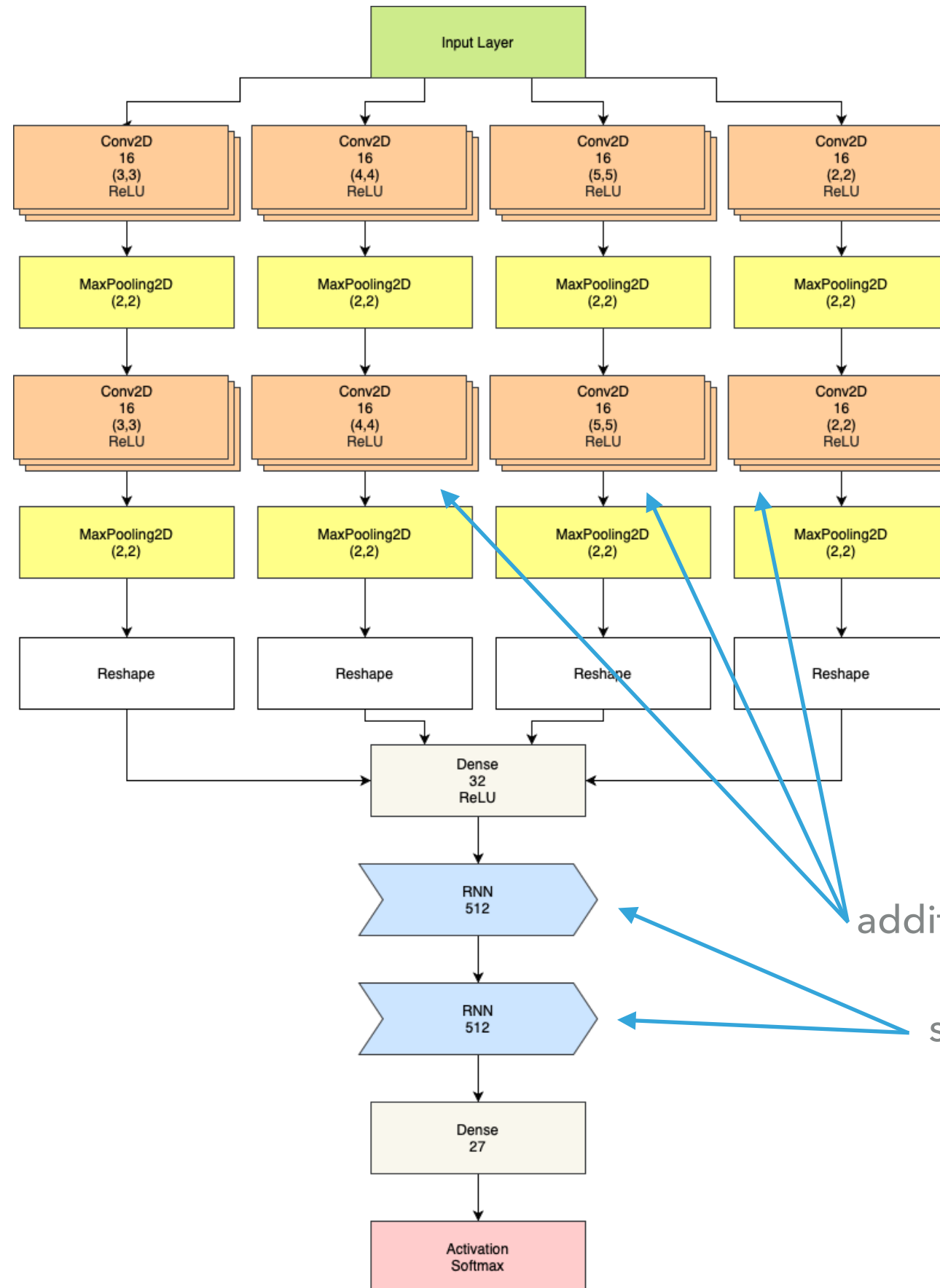
## ANALYSING AND IMPROVING THE MODEL

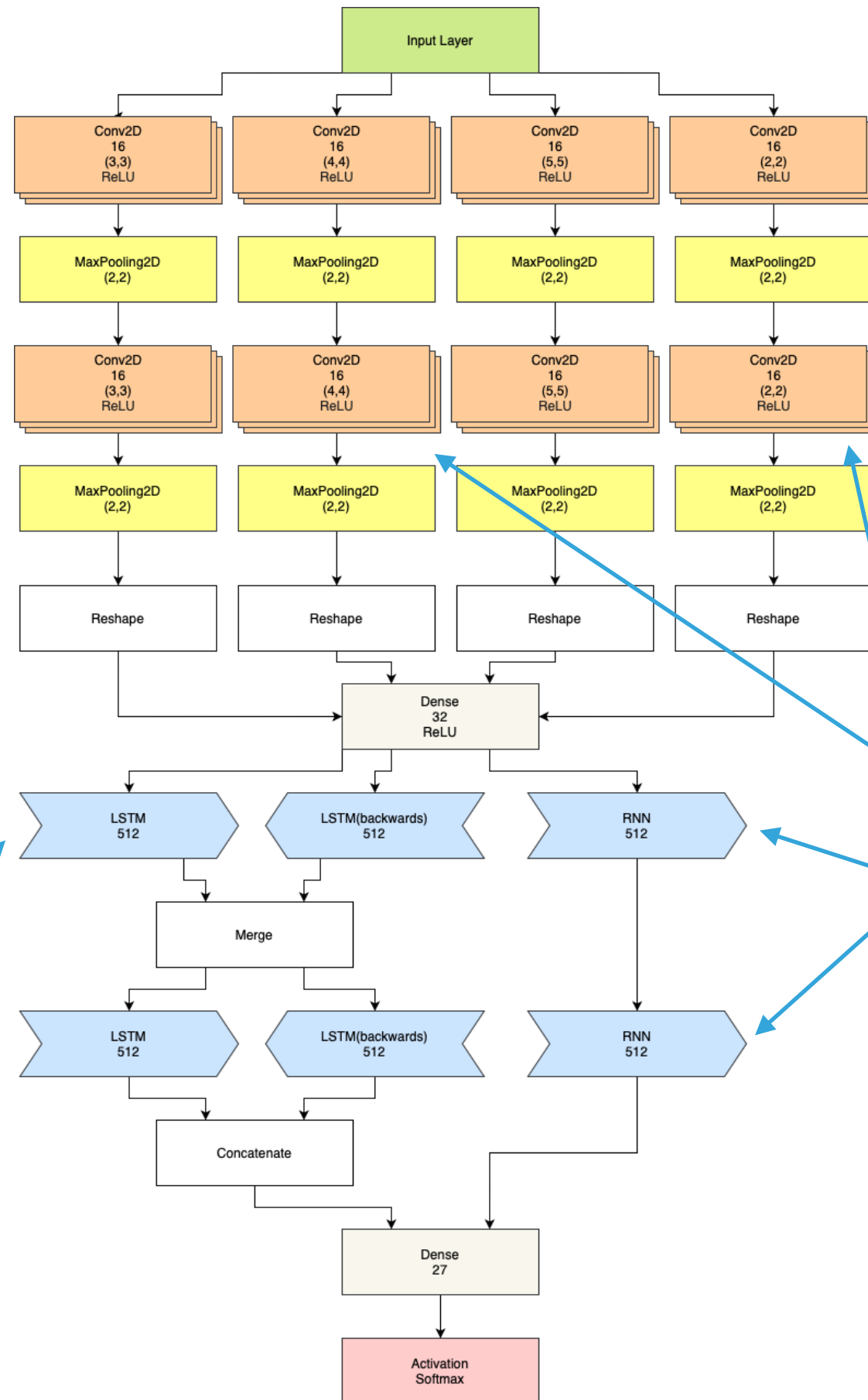
- ▶ empirical approach
- ▶ How complex is the task?



# ANALYSING AND IMPROVING THE MODEL

- ▶ empirical approach
- ▶ How complex is the task?
- ▶ alternative architectures

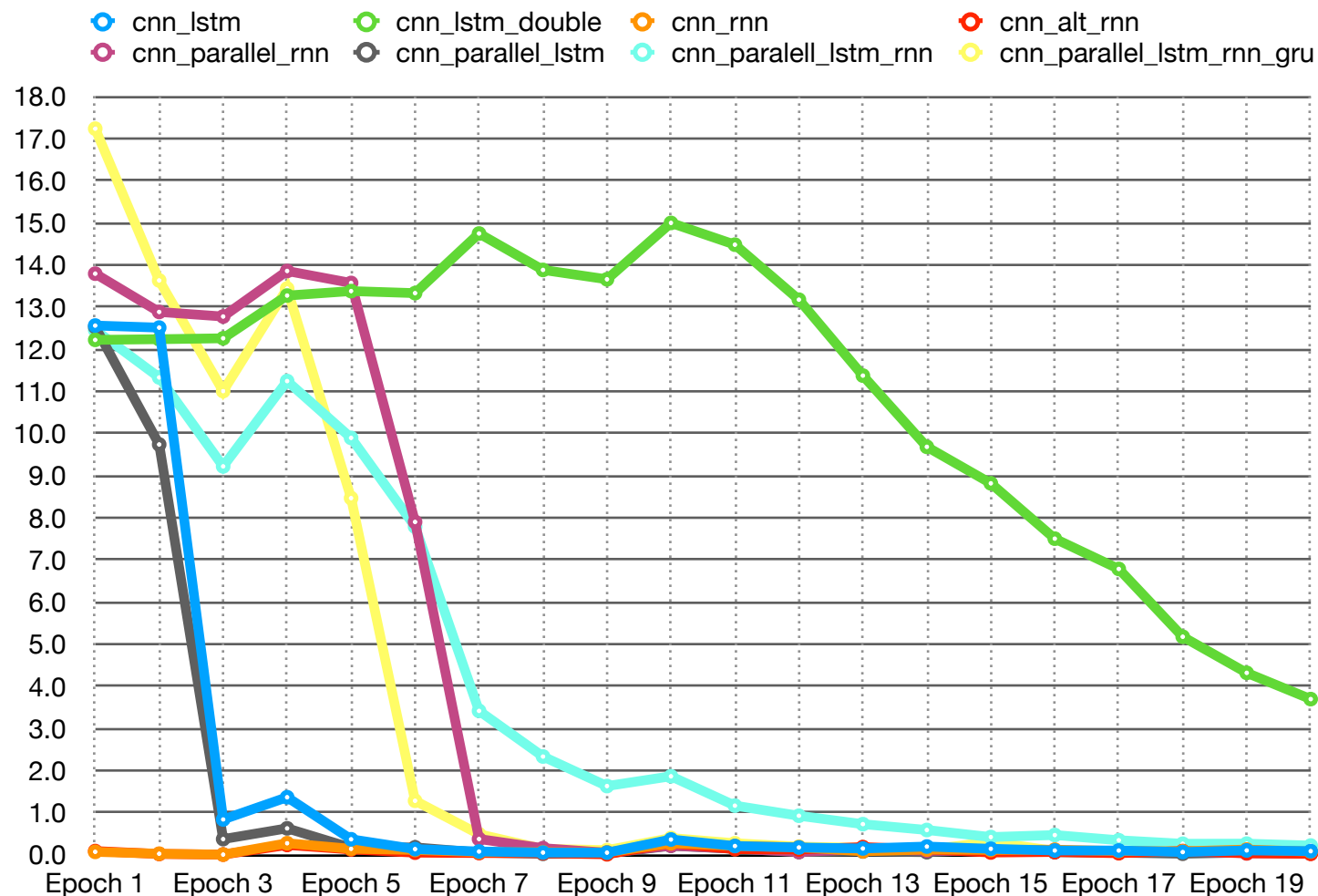




parallel convolutional stacks

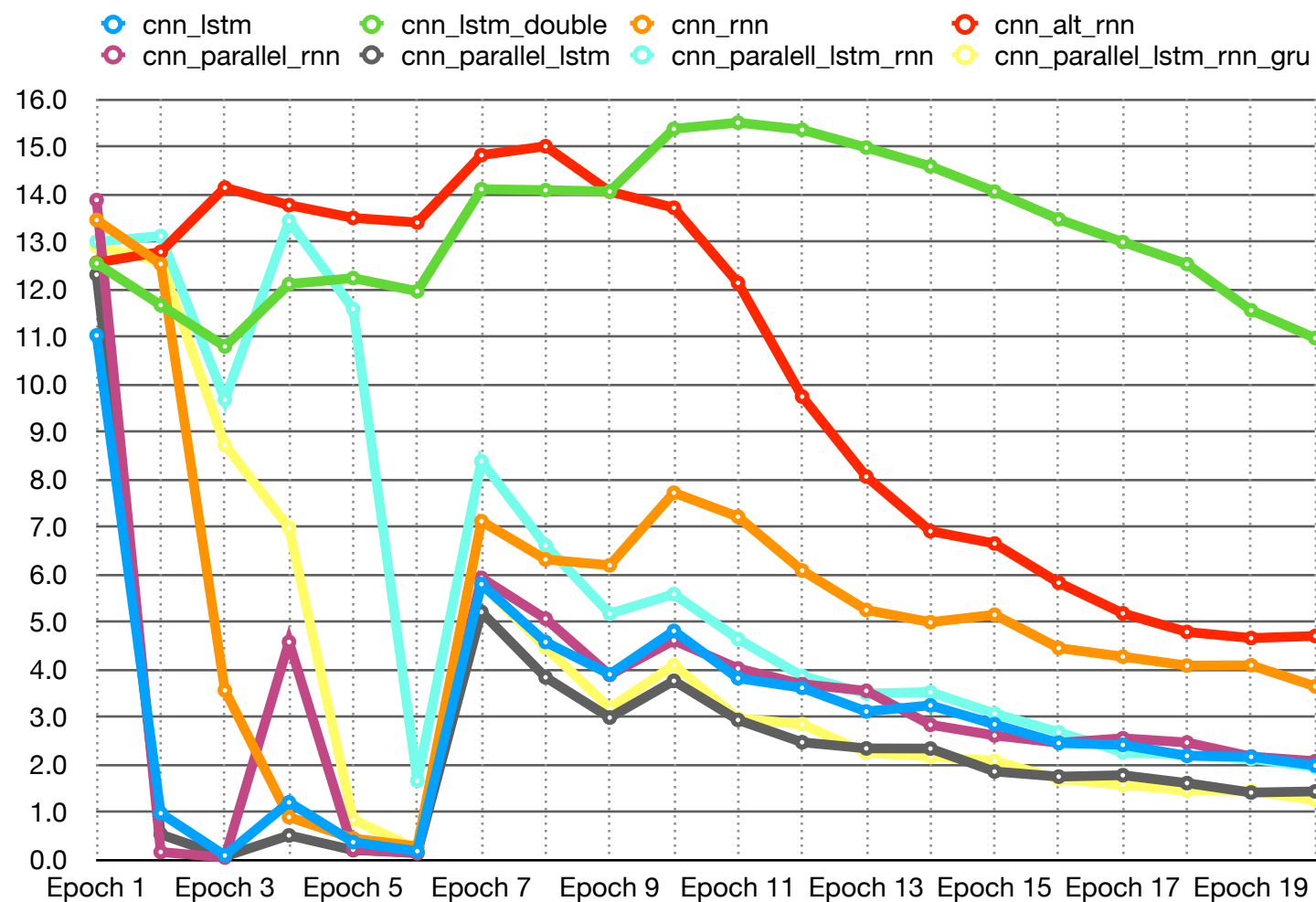
simple recurrent layers

bi LSTM layers



Courier dataset

full dataset

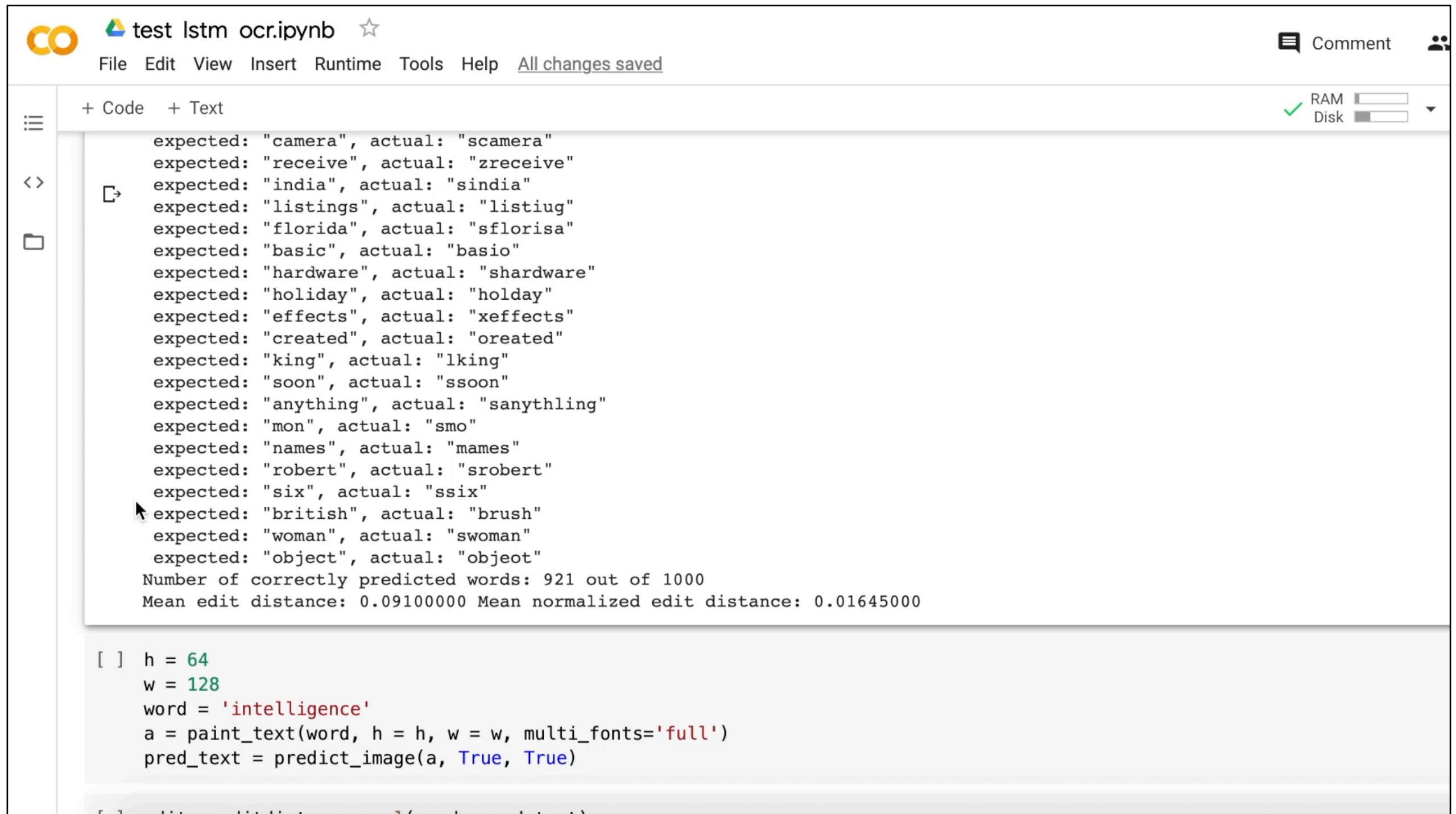


## THE FINAL MODEL

- ▶ `cnn_parallel_lstm_rnn`
- ▶ 60 epochs
  - ▶ validation loss: 0.5284
  - ▶ recognizes 906 out of 1000 words correctly
- ▶ 100 epochs
  - ▶ validation loss: 0.4121
  - ▶ recognizes 811 out of 1000 words correctly
- ▶ 200 epochs
  - ▶ validation loss: 0.2537
  - ▶ recognizes 923 out of 1000 words correctly



# THE FINAL MODEL




The screenshot shows a Jupyter Notebook titled "test lstm ocr.ipynb". The interface includes a top menu bar with "File", "Edit", "View", "Insert", "Runtime", "Tools", and "Help", along with a status bar indicating "All changes saved". On the right, there are icons for "Comment" and a user profile. The left sidebar shows a file explorer with a folder icon and a code editor icon. The main area displays the notebook content, which is divided into two sections: a code cell and an output cell. The code cell contains a list of words and their predicted versions, followed by summary statistics. The output cell shows the execution of a function to paint and predict text.

```
expected: "camera", actual: "scamera"
expected: "receive", actual: "zreceive"
expected: "india", actual: "sindia"
expected: "listings", actual: "listiug"
expected: "florida", actual: "sflorisa"
expected: "basic", actual: "basio"
expected: "hardware", actual: "shardware"
expected: "holiday", actual: "holday"
expected: "effects", actual: "xeffects"
expected: "created", actual: "oreated"
expected: "king", actual: "lking"
expected: "soon", actual: "ssoon"
expected: "anything", actual: "sanythling"
expected: "mon", actual: "smo"
expected: "names", actual: "mames"
expected: "robert", actual: "srobert"
expected: "six", actual: "ssix"
expected: "british", actual: "brush"
expected: "woman", actual: "swoman"
expected: "object", actual: "objeot"
Number of correctly predicted words: 921 out of 1000
Mean edit distance: 0.09100000 Mean normalized edit distance: 0.01645000


[ ] h = 64
    w = 128
    word = 'intelligence'
    a = paint_text(word, h = h, w = w, multi_fonts='full')
    pred_text = predict_image(a, True, True)
```

# THE FINAL MODEL

 test lstm ocr.ipynb ☆

File Edit View Insert Runtime Tools Help [All changes saved](#)

+ Code + Text

RAM  Disk 

▶ Average time to predict word: 0.8133319854736328 seconds

False predictions:


- expected: "worm", actual: "vvovn"
- expected: "employee", actual: "sxployee"
- expected: "work", actual: "vvok"
- expected: "danger", actual: "clungcr"
- expected: "favour", actual: "yaveso"
- expected: "enigma", actual: "zryyya"
- expected: "world", actual: "vorlds"
- expected: "would", actual: "bsjbnlss"
- expected: "cure", actual: "zttsst"

Number of correctly predicted words: 1 out of 10

Mean edit distance: 3.70000000 Mean normalized edit distance: 0.74666667



"vvovn"



# OPPORTUNITIES

## DATA DEMOCRATISATION

- ▶ advances in ML should be open source
- ▶ sharing data can help decision makers
- ▶ OCR can work across borders
- ▶ datasets are the most expensive part

## CURRENT TECHNOLOGY

- ▶ Automated Students Assessment Prize
- ▶ automated essay scoring(AES)
  - ▶ feature-engineered models
  - ▶ end-to-end models

# CHALLENGES

## TECHNOCHAUVINISM

**“UNWAVERING FAITH THAT IF THE WORLD JUST USED MORE COMPUTERS, AND USED THEM PROPERLY, SOCIAL PROBLEMS WOULD DISAPPEAR AND WE’D CREATE A DIGITALLY ENABLED UTOPIA.”**

**Meredith Broussard**

## TECHNOCHAUVINISM

- ▶ technology can not solve everything
- ▶ social issues persist
  - ▶ unequal access to digital infrastructure
  - ▶ low education budgets



## THE NEED FOR HUMAN EXPERIENCE

- ▶ “It is important to be open minded and positive when marking scripts.”
- ▶ demands consciousness
- ▶ marking english papers too complex for current narrow AI

## BIAS

- ▶ “state-of-the-art referred to [...] is, largely, simply counting words”
- ▶ current AES favours sophisticated vocabulary and text length over content and coherence

# CONCLUSION

## DOES MACHINE LEARNING OFFER A VIABLE SOLUTION TO EDUCATIONAL ISSUES?

- ▶ use of AI is inevitable
- ▶ legal, cultural and technical issues
- ▶ marking is not the biggest problem of education today
- ▶ if marking is a problem, demands on teachers are too high
- ▶ ML can fight symptoms, can not tackle root causes

# LITERATURE

Moore, Gordon E. (1965): “Cramming more components onto integrated circuits” (Electronics, Volume 38, Number 8, April 19, 1965)  
URL: <https://newsroom.intel.com/wp-content/uploads/sites/11/2018/05/moores-law-electronics.pdf>  
[Retrieved 09/12/2019]

Kelleher. John D.: Deep Learning  
Cambridge, Massachusetts: The MIT Press, 2019

Chung et al. (2014): “Empirical Evaluation of Gated Recurrent Neural Networks on Sequence Modeling”  
page 7, scientific publication  
URL: <https://arxiv.org/pdf/1412.3555v1.pdf>  
[Retrieved 01/02/2020]  
preprint scientific paper comparing different types of recurrent units in RNN

Hochreiter, Sepp und Schmidhuber, Jürgen: “Long Short-Term Memory” (Neural Computation, 9(8):1735–1780, 1997)  
scientific publication  
URL: <https://www.bioinf.jku.at/publications/older/2604.pdf>  
[Retrieved 06/02/2020]  
scientific paper introducing Long Short-Term Memory

Cho et al. (2014): “Learning Phrase Representations using RNN Encoder–Decoder for Statistical Machine Translation”  
scientific publication  
URL: <https://arxiv.org/abs/1406.1078>  
[Retrieved 01/02/2020]

Radford et al. (2019): “Language Models are Unsupervised Multitask Learners”  
URL: [https://cdn.openai.com/better-language-models/language\\_models\\_are\\_unsupervised\\_multitask\\_learners.pdf](https://cdn.openai.com/better-language-models/language_models_are_unsupervised_multitask_learners.pdf)  
[Retrieved 03/01/2020]  
scientific publication

Jiawei Liu, Yang Xu, und Yaguang Zhu (2019): “Automated Essay Scoring based on Two-Stage Learning”  
page 1, scientific publication  
URL: <https://arxiv.org/pdf/1901.07744.pdf>  
[Retrieved 04/02/2020]  
preprint scientific paper proposing a Two-Stage Learning Framework, using feature-engineered and end-to-end AES methods

Fei Dong and Yue Zhang: “Automatic Features for Essay Scoring – An Empirical Study”  
(Proceedings of the 2016 Conference on Empirical Methods in Natural Language Processing, pages 1072–1077, Austin, Texas, November 1-5, 2016.© 2016 Association for Computational Linguistics; 2016)  
URL: <https://www.aclweb.org/anthology/D16-1115.pdf>  
[Retrieved 04/02/2020]  
scientific paper on automatic feature learning in automatic essay scoring

Broussard, Meredith: Artificial Unintelligence: How Computer Misunderstand the World  
Cambridge, Massachusetts: The MIT Press, 2018

Les Perelman: “When ‘the state of the art’ is counting words”  
(Assessing Writing 21 (2014) 104–111; 2014)  
URL: <http://lesperelman.com/wp-content/uploads/2015/09/Perelman-State-of-the-Art-is-Counting-Words.pdf>  
[Retrieved 04/02/2020]  
research article on the current state of automated essay scoring

# SOURCES

Klovert, Heike; Laurenz, Nike und Quecke, Franca (2019): "Warum in Deutschland so viele Lehrer fehlen: Grund- und Berufsschulen spüren es besonders stark: Bundesweit fehlen Lehrer. Woran liegt das? Wie steuern die Länder gegen? Die wichtigsten Antworten im Überblick."

URL: <https://www.spiegel.de/lebenundlernen/schule/lehrer-mangel-in-deutschland-warum-so-viele-paedagogen-fehlen-und-wo-a-1280209.html>

[Retrieved 09/12/2019]

article in "Spiegel Online"

Zorn, Dr. Dirk (2019): "Lehrermangel in Grundschulen bis 2030 größer als bislang erwartet"

URL: <https://www.bertelsmann-stiftung.de/de/themen/aktuelle-meldungen/2019/september/lehrermangel-in-grundschulen-bis-2030-groesser-als-bislang-erwartet/>

[Retrieved 09/12/2019]

"Bertelsmann Stiftung" study

"Die Bewältigung des Lehrermangels ist eine Herkulesaufgabe. Die Zahl der Schülerinnen und Schüler wächst dynamischer als angenommen."

Statistisches Bundesamt (2018): "Absolventen/Abgänger nach Abschlussart und Geschlecht"

URL: <https://www.destatis.de/DE/Themen/Gesellschaft-Umwelt/Bildung-Forschung-Kultur/Schulen/Tabellen/liste-absolventen-abgaenger-abschlussart.html;jsessionid=36A47054D1EEB35C7D3C66BC068CF4D0.internet711>

[Retrieved 03/01/2020]

BBC News (22.08.2019): "GCSEs 2019: Where are we at with new grades 9-1?"

URL: <https://www.bbc.com/news/education-48993830>

[Retrieved 03/01/2020]

The Office of Qualifications and Examinations Regulation (2018): "Entries for GCSE, AS and A level: Summer 2018 exam series"

URL: [https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/712450/Report\\_-\\_summer\\_2018\\_exam\\_entries\\_GCSEs\\_Level\\_1\\_2\\_AS\\_and\\_A\\_levels.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/712450/Report_-_summer_2018_exam_entries_GCSEs_Level_1_2_AS_and_A_levels.pdf)

[Retrieved 03/01/2020]

annually published, official statistics

AQA awarding body: "How Exams Work"

URL: <https://www.aqa.org.uk/about-us/what-we-do/getting-the-right-result/how-exams-work>

[Retrieved 03/01/2020]

Cambridge Dictionary: "machine learning"

URL: <https://dictionary.cambridge.org/dictionary/english/machine-learning>

[Retrieved 08/12/2020]

Keras Documentation: "About Keras layers"

URL: <https://keras.io/layers/about-keras-layers/>

[Retrieved 08/12/2020]

Keras Documentation: "Keras: The Python Deep Learning library"

URL: <https://keras.io/#you-have-just-found-keras>

[Retrieved 01/02/2020]

Keras Documentation: "Optical character recognition"

URL: [https://keras.io/examples/image\\_ocr/](https://keras.io/examples/image_ocr/)

[Retrieved 01/02/2020]

exemplary python code using Keras

# SOURCES

Keras Documentation: “Guide to the Functional API”

URL: <https://keras.io/getting-started/functional-api-guide/>

[Retrieved 01/02/2020]

Google Machine Learning Crash Course: “Descending into ML: Training and Loss”

URL: <https://developers.google.com/machine-learning/crash-course/descending-into-ml/training-and-loss>

[Retrieved 05/02/2020]

part of an online course on machine learning by Google

OpenAI (2018): “OpenAI Charter”

URL: <https://openai.com/charter/>

[Retrieved 03/01/2020]

Marr, Bernard (2017): “What Is Data Democratization? A Super Simple Explanation And The Key Pros And Cons”

(Forbes; 24.07.2017)

URL: <https://www.forbes.com/sites/bernardmarr/2017/07/24/what-is-data-democratization-a-super-simple-explanation-and-the-key-pros-and-cons/#716c97fb6013>

[Retrieved 03/01/2020]

The Hewlett Foundation (2012): “The Hewlett Foundation: Automated Essay Scoring”

URL: <https://www.kaggle.com/c/asap-aes/data>

[Retrieved 03/01/2020]

datasource for ASAP AES competition, this dataset is most often used in automated essay scoring systems

A-Level English Language 7702/1 Language, The Individual and Society (New) Final Mark Scheme June 2017

page 3

“It is important to be open minded and positive when marking scripts.”

URL: <https://filestore.aqa.org.uk/sample-papers-and-mark-schemes/2017/june/AQA-77021-W-MS-JUN17.PDF>

[Retrieved 04/02/2020]

The Learning Network: “What Students Are Saying About How to Improve

American Education: An international exam shows that American 15-year-olds are stagnant in reading and math. Teenagers told us what’s working and what’s not in the American education system.”

(The New York Times; 19.12.2019)

URL: <https://www.nytimes.com/2019/12/19/learning/what-students-are-saying-about-how-to-improve-american-education.html>

[Retrieved 04/02/2020]

The New York Times article about the results of a prompt asking students how American education could be improved in light of stagnant performance of American teenagers in reading and math according to a study by the Program for International Student Assessment

## CODE SOURCES

Henry, Mike (2019)

URL: [https://github.com/keras-team/keras/blob/master/examples/image\\_ocr.py](https://github.com/keras-team/keras/blob/master/examples/image_ocr.py)

[Retrieved 06/02/2020]

basis of the training model code, “Image OCR” example showing off Keras API

Zhang, Chengwei (2018)

URL: <https://github.com/Tony607/keras-image-ocr/blob/master/image-ocr.ipynb>

[Retrieved 06/02/2020]

partly used for testing code, Jupyter notebook on GitHub

Scheidl, Harald (2019)

URL: <https://github.com/githubharald/CTCDecoder/blob/master/src/BestPath.py>

[Retrieved 06/02/2020]

CTC decoding algorithm (Best Path) implementation

Scheidl, Harald (2019)

URL: <https://github.com/githubharald/CTCDecoder/blob/master/src/BeamSearch.py>

[Retrieved 06/02/2020]

complex CTC decoding Algorithmus (Beam Search), which promises better performance than Best Path

U. Marti und H. Bunke. (2002)

URL: <http://www.fki.inf.unibe.ch/databases/iam-handwriting-database/iam-handwriting-database#icdar02>

[Retrieved 06/02/2020]

The IAM-database: An English Sentence Database for Off-line Handwriting Recognition.

Int. Journal on Document Analysis and Recognition, Volume 5, pages 39 - 46, 2002

dataset consisting off 115 320 images, used in final test of model