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Leiden University

ABSENCE OF PHASE TRANSITIONS  
AND PRESERVATION OF GIBBS  
PROPERTY UNDER RENORMALIZATION

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# 1 Introduction (probably better name)

I assume this chapter will include a brief introduction to Gibbs measures/thermodynamical formalism (possibly including definitions of Ising and Potts model), as well as the theory of fuzzy Gibbs measures, results from Berghout's thesis.

## 2 (Non-)Gibbsianity of fuzzy Potts model

This chapter aims to introduce the fuzzy Potts model and provide an alternative, independent proof of Häggström's theorem [\[reference\]](#) about its (non-)Gibbsianity, using the results due to Berghout and Verbitskiy [\[reference\]](#). Moreover, it introduces the notion of random cluster representations, a powerful tool in the theory of Potts model, which is used in the proof.

### 2.1 Fuzzy Potts model

In this introductory section of the chapter, we define the fuzzy Potts model and state the celebrated result about its (non-)Gibbsianity, due to Häggström [\[reference\]](#). Moreover, we explain the strategy and structure of our alternative proof of (part of) the said result.

Consider the Potts model with spin space  $\{1, \dots, q\}$ ,  $q \geq 2$ , on lattice  $\mathbb{L}$ , say  $\mathbb{L} = \mathbb{Z}^d$ , which defines a model on  $\Omega = \{1, \dots, q\}^{\mathbb{L}}$ . The *fuzzy Potts model* is defined by considering some integer  $1 < s < q$ , so that the spin space is  $\{1, \dots, s\}$  and the whole model defined on  $\Sigma = \{1, \dots, s\}^{\mathbb{L}}$ . Moreover, we consider a vector  $\mathbf{r} = (r_1, \dots, r_s) \in \mathbb{N}^s$ , such that  $r_1 + \dots + r_s = q$  and define a fuzzy transformation  $\pi_{\mathbf{r}} : \{1, \dots, q\} \rightarrow \{1, \dots, s\}$  by putting

$$\pi_{\mathbf{r}}(a) := \begin{cases} 1 : & 1 \leq a \leq r_1, \\ 2 : & r_1 + 1 \leq a \leq r_1 + r_2 \\ \dots & \\ n : & \sum_{i=1}^{n-1} r_i + 1 \leq a \leq \sum_{i=1}^n r_i, \\ \dots & \\ s : & \sum_{i=1}^{s-1} r_i + 1 \leq a \leq q, \end{cases}$$

i.e.,  $\pi_a = n$  iff  $a \in (\sum_{i=1}^{n-1} r_i, \sum_{i=1}^n r_i] \cap \mathbb{N}$ ,  $n \in \{1, \dots, s\}$ . In other words, the entire fuzzy map  $\pi = \pi_{\mathbf{r}}$  is encoded by a single  $s$ -vector  $\mathbf{r}$ .

Fixing  $q \geq 2$ ,  $\beta \geq 0$  and writing  $\mu_{q,\beta}^{\mathbb{Z}^d, \#}$  for the Gibbs measure of the Potts model on  $\{1, \dots, q\}^{\mathbb{Z}^d}$  for boundary condition  $\# \in \{0, \dots, q\}$  with inverse temperature  $\beta$ , the fuzzy transformation  $\pi_{\mathbf{r}}$  induces the fuzzy Gibbs measure

$$\nu_{q,\beta,\mathbf{r}}^{\mathbb{Z}^d, \#} := \mu_{q,\beta}^{\mathbb{Z}^d, \#} \circ \pi_{\mathbf{r}}^{-1}.$$

Of great interest is the potential Gibbsianity of such measure. [Something about the Häggström's result blahblahblah](#). Recall that for  $q \geq 2$  and  $d \geq 2$ , there exists  $\beta_c(d, q) \in (0, \infty)$  such that for each  $\beta < \beta_c(d, q)$ ,  $\mu_{q,\beta}^{\mathbb{Z}^d, 0} = \dots = \mu_{q,\beta}^{\mathbb{Z}^d, q}$ , i.e., there is a unique Gibbs measure of the Potts model on  $\{1, \dots, q\}^{\mathbb{Z}^d}$  with inverse temperature  $\beta$ , while for each  $\beta > \beta_c(d, q)$  there are  $q$  mutually singular Gibbs measures [\[q+1?\]](#).

**Theorem 2.1** (Häggström, 2003, [\[reference\]](#)). Let  $d \geq 2$ ,  $q \geq 3$ ,  $\# \in \{0, \dots, q\}$  and  $\mathbf{r} = (r_1, \dots, r_s)$  with  $1 < s < q$ ,  $r_1 + \dots + r_s = q$ , and write  $r^* = \min_{1 \leq i \leq s} r_i$ . Consider a fuzzy Gibbs measure  $\nu_{q,\beta,\mathbf{r}}^{\mathbb{Z}^d, \#} = \mu_{q,\beta}^{\mathbb{Z}^d, \#} \circ \pi_{\mathbf{r}}^{-1}$ .

- (i) For each  $\beta < \beta_c(d, r^*)$ , the measure  $\nu_{q,\beta,\mathbf{r}}^{\mathbb{Z}^d, \#}$  is a Gibbs measure.

(ii) The non-Gibbs part.

**Remark 2.2.** Remark about the ordering of  $\beta_c(d, r_1), \dots, \beta_c(d, r_s)$ , given the ordering of  $r_1, \dots, r_s$ . Explain that this condition gives uniqueness of Gibbs measure on each  $\{1, \dots, r_i\}^{\mathbb{Z}^d}$ .

In light of the theory from the previous chapter, we are particularly interested in part (i) of the theorem, the Gibbs regime. The van Enter-Fernández-Sokal hypothesis, would suggest that, since for  $\beta < \beta_c(d, r^*)$  the Gibbs property is preserved, each  $\mu_{q,\beta}^{\mathbb{Z}^d, \#}$  should admit a continuous disintegration in terms of  $\nu_{q,\beta,r}^{\mathbb{Z}^d, \#}$ . Moreover, proving the latter would – applying the result of [theorem reference] – constitute an alternative and independent proof of [Theorem 2.1].(i).

Given Theorem reference, it is sufficient to verify (for a fixed  $\beta < \beta_c(d, r^*)$ ), that for each  $\sigma \in \Sigma = \{1, \dots, s\}^{\mathbb{Z}^d}$ , there is a unique Gibbs measure for  $q$ -Potts model with inverse temperature beta on the fibre  $\Omega_\sigma = \pi_r^{-1}(\sigma)$ , i.e., that

$$|\mathcal{G}_{\Omega_\sigma}(\Phi_{q,\beta}^{\text{Potts}})| = 1, \quad \forall \sigma \in \Sigma.$$

Luckily, one can express the fibres in a rather nice way, allowing for an easier procedure. Given  $\sigma \in \Sigma$ , we simply have

$$\Omega_\sigma = \pi_r^{-1} = \prod_{i \in \mathbb{Z}^d} \pi_r^{-1}(\sigma_i).$$

Writing  $\mathcal{A}_j := \pi_r^{-1}(j)$  and  $U_j := \{i \in \mathbb{Z}^d : \pi_r(\sigma_i) = j\}$ ,  $j = 1, \dots, s$ , we could also write

$$\Omega_\sigma = \bigotimes_{j=1}^s \mathcal{A}_j^{U_j} := \prod_{i \in \mathbb{Z}^d} \begin{cases} \mathcal{A}_1 : & i \in U_1, \\ \dots \\ \mathcal{A}_s : & i \in U_s. \end{cases}$$

One way of proving the uniqueness of  $q$ -Potts Gibbs measure on such  $\Omega_\sigma$  for an appropriate inverse temperature  $\beta$ , is via the following two steps (so far stated informally):

- (1) Given the assumption on  $\beta$ , we know that for each  $j = 1, \dots, s$ , there is a unique Gibbs measure for Potts model on  $\mathcal{A}_j^{\mathbb{Z}^d}$  with inverse temperature  $\beta$ . We wish to show that this implies also uniqueness of Gibbs measure for Potts model on  $\mathcal{A}_j^{U_j}$ , given the same inverse temperature.
- (2) Given the uniqueness of Gibbs measure for Potts model on  $\mathcal{A}_j^{U_j}$  with inverse temperature  $\beta$  for all  $j = 1, \dots, s$ , we need to show that this implies the uniqueness of Gibbs measure for Potts model on  $\bigotimes_{j=1}^s \mathcal{A}_j^{U_j}$ , given the same inverse temperature.

The above steps are written in a rather vague manner, so I'd either rewrite them or write them again for the  $s=2$  case where it might be more manageable.