# **AI-KU: Unsupervised Co-Occurrence Model for Semantic Similarity**

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#### **Abstract**

In this paper, we describe our unsupervised method submitted to Cross-Level Semantic Similarity task in Semeval 2014 that aims to compute semantic similarity between two different sized text fragments. Our method models each text fragment by using the co-occurrence statistics of either occurred words or their sub-The co-occurrence step prostitutes. vides dense, low-dimensional embedding for each fragment which allows us to calculate semantic similarity using various similarity metrics. Although our current model avoids the syntactic information, we achieved promising results and outperformed all baselines.

## 1 Introduction

Semantic similarity is a measure that specifies the similarity of one text's meaning to another's. Semantic similarity plays an important role in various Natural Language Processing (NLP) tasks such as textual entailment (Berant et al., 2012), summarization (Lin and Hovy, 2003), question answering (Surdeanu et al., 2011), text classification (Sebastiani, 2002), word sense disambiguation (Schütze, 1998) and information retrieval (Park et al., 2005).

There are three main approaches to computing semantic similarity between two text fragments. First approach uses Vector Space Models (see Turney & Pantel (2010) for an overview) where each text is represented as a bag-of-word model. The similarity between two text fragments can then be computed with various metrics such as cosine similarity. For these models, sparseness in the input nature is the key problem. Therefore, later works such as Latent Semantic Indexing (Deerwester et al., 1990) and Topic Models (Blei et

al., 2003) overcome sparsity problems via reducing the dimensionality of the model by introducing latent variables. The second approach blends various lexical and syntactic features and attacks the problem through machine learning models. The third approach is based on word-to-word similarity alignment (Pilehvar et al., 2013), (Islam and Inkpen, 2008).

Cross-Level Semantic Similarity (CLSS) task in SemEval 2014<sup>1</sup> (Jurgens et al., 2014) provides an evaluation framework for assessing similarity methods for texts in different volumes (i.e., lexical levels). Unlike previous SemEval and \*SEM tasks that were interested in comparing texts with similar volume, this task consists of four subtasks (paragraph2sentence, sentence2phrase, phrase2word and word2sense) that aim to investigate the performance of systems based on pairs of texts of different sizes. A system should report similarity score of a given pair, ranging from 4 (two items have very similar meanings and the most important ideas, concepts, or actions in the larger text are represented in the smaller text) to 0 (two items do not mean the same thing and are not on the same topic).

In this paper, we describe our two unsupervised systems that are based on co-occurrence statistics of words. The only difference between the systems is the input they use. First system uses the words directly (after lemmatization, stop-word removal and excluding the non-alphanumeric characters) in text while the second system utilizes the most likely substitutes consulted by a 4-gram language model for each observed word position (i.e., context).

The remainder of the paper proceeds as follows. Section 2 explains the preprocessing part, the difference between the systems, co-occurrence modeling, and how we calculate the similarity between

<sup>&#</sup>x27;http://alt.qcri.org/semeval2014/
task3/

Type-ID	Lemma
Sent-33	choose
Sent-33	buy
Sent-33	gift
Sent-33	card
Sent-33	hard
Sent-33	decision

Table 1: Input example for co-occurrence modeling of AI-KU<sub>1</sub>.

two texts after co-occurrence modeling has been done. Section 3 discusses the results of our systems and compares them to other participants'. Section 4 discusses the findings and concludes with future work.

## 2 Algorithm

In this section, we will explain preprocessing steps of the data and the details of our two systems<sup>2</sup>that partiticipated in this task. Both systems rely on the co-occurrence statistics. The slight difference between the two is that the first one uses the words that occur in the text (e.g., paragraph, sentence etc.), whereas the latter employs co-occurrence statistics on 100 most likely substitutes for each word within the text.

#### 2.1 Data Preprocessing

Two AI-KU systems can be distinguished between each other on input of co-occurrence modeling part.

AI-KU<sub>1</sub>: This system uses the words that were in the text. All words are transformed into lower-case equivalents. Lemmatization<sup>3</sup> and stop-word removal were performed, and non-alphanumeric characters were excluded. Table 1 displays the input of the AI-KU<sub>1</sub> for the following sentence which is an instance from paragraph2sentence test set:

"Choosing what to buy with a \$35 gift card is a hard decision."

 $AI-KU_2$ : Unlike the first one, this system represents each context of a word by finding the most likely substitutes suggested by the 4-gram

language model we built from ukWaC<sup>4</sup> (Ferraresi et al., 2008), a 2-billion word web-gathered corpus. Previously, high probability substitutes worked successfully on Word Sense Induction (WSI) (Baskaya et al., 2013) and Part-of-Speech Induction (Yatbaz et al., 2012) problems. We used top 100 substitutes for each context. No lemmatization, stop-word removal and lower-case transformation had been performed. Table 2 illustrates the context and substitutes of each context using a bigram language model.

## 2.2 Co-Occurrence Modeling

This subsection will explain the unsupervised method we employed to model co-occurrence statistics: the Co-occurrence data Embedding (CODE) method (Globerson et al., 2007) and its spherical extension (S-CODE) proposed by Maron et al. (2010). Unlike in our previously mentioned WSI work, where we ended up with an embedding for each word in the co-occurrence modeling step; in this task, we try to model each text unit such as a paragraph, a sentence or a phrase, to obtain embeddings for each instance.

Input data for S-CODE algorithm consist of instance-id and each word in the text unit for the first system (see Table 1); instance-ids and top 100 substitutes of each word in text for the second system. In the initial step, S-CODE puts all instance-ids and words (or substitutes, depending on the system) randomly on an n-dimensional sphere. If two different instances have the same word or substitute, then these two instances attract one another—otherwise they will repel. When S-CODE converges, instances that have similar words or substitutes will be closely located; or else, they will be distant from each other.

**AI-KU**<sub>1</sub>: According to the training set performances for various n (i.e., number of dimensions for S-CODE algorithm), we picked 100 for both tasks.

**AI-KU**<sub>2</sub>: Prior performance tests on training set, we picked n to be 200 and 100 for paragraph2sentence and sentence2phrase subtasks, respectively.

## 2.3 Similarity Calculation

When the S-CODE converges, there is an n-dimensional embedding for each textual level

 $<sup>^2</sup> The \ code \ to \ replicate \ our \ work \ can \ be \ found \ at \ http://goo.gl/fouWUX.$ 

<sup>&</sup>lt;sup>3</sup>Lemmatization is carried out with Stanford CoreNLP and transforms a word into its canonical or base form.

<sup>&</sup>lt;sup>4</sup>Available here: http://wacky.sslmit.unibo.it

Word	Context	Substitutes	
the	<s> dog</s>	the (0.39), a (0.41), his (0.01), their (0.13), stray (0.13),, Alice (0.02)	
	the bites	dog (0.01), spider (0.1), flea (0.05), human (0.13),, the (0.01)	
bites	dog	barks (0.03), whisperer (0.23), bites (0.04), $w_4$ (0.13),, $w_n$ (0.01)	

Table 2: Contexts when using a bigram language model

	System	Pearson	Spearman
tence	Best	0.837	0.821
	$2^{nd}$ Best	0.834	0.820
	$3^{rd}$ Best	0.826	0.817
-Sen	$AI-KU_1$	0.732	0.727
Paragraph-2-Sentence	$AI ext{-}KU_2$	0.698	0.700
	LCS	0.527	0.613
	lch	0.125	0.114
	jcn	0.107	0.106
	JI	0.640	0.687

Table 3: Paragraph-2-Sentence subtask scores for the test set. *Best* indicates the best score for this subtask. LCS stands for Normalized Longest Common Substring. Subscripts in AI-KU systems specify the run number.

(e.g., paragraph, sentence, phrase) instance. We can use a similarity metric to calculate the similarity between these embeddings. For this task, systems should report only the similarity between two specific cross level instances. Note that we used cosine similarity in order to calculate similarity between two textual units. This similarity is the eventual similarity for two instances; no further processing (e.g., scaling) has been done.

## 3 Evaluation Results

In this task, two correlation metrics were used to evaluate the systems; Pearson correlation and Spearman's rank correlation. Pearson correlation tests the degree of similarity between the system's similarity ratings and the gold standard ratings. Spearman's rank correlation measures the degree of similarity between two rankings; similarity ratings provided by a system and the gold standard ratings.

Table 3 and 4 show the scores for Paragraph-2-Sentence and Sentence-2-Phrase subtasks, respectively. These tables contain the best individual scores for the performance metrics, Normal-

	System	Pearson	Spearman
	Best	0.777	0.642
	$2^{nd}$ Best	0.771	0.760
rase	$3^{rd}$ Best	0.760	0.757
Sentence-2-Phrase	$AI-KU_1$	0.680	0.646
ce-2	$AI$ - $KU_2$	0.617	0.612
ıten	LCS	0.562	0.626
Sen	lch	0.420	0.431
	jcn	0.469	0.535
	JI	0.540	0.555

Table 4: Sentence2phrase subtask scores for the test set.

ized Longest Common Substring (LCS) baseline, which was given by task organizers, and three additional baselines: Ich (Leacock and Chodorow, 1998), jcn (Jiang and Conrath, 1997), and Jaccard Index (JI) baseline. jcn uses information content (Resnik, 1995) of the least common subsumer of concepts A and B. Information content (IC) indicates the specificity of a concept; the least common subsumer of a concept A and B is the most specific concept from which A and B are inherited. jcn measure returns the difference between the sum of the IC of concepts A and B, and two times of the IC of the least common subsumer. The inverse of icn measure equals to the icn similarity<sup>5</sup>. On the other hand, lch is a score denoting how similar two concepts are, calculating by using the shortest path that connects the concept and the maximum depth of the taxonomy in which the concepts occur<sup>6</sup> (please see Pedersen et al. (2004) for further details of these measures). These two baselines were calculated as follows. First, using the Stanford Part-of-Speech Tagger (Toutanova and Manning, 2000) we tagged words

 $<sup>^5</sup>$ jcn similarity = 1/(IC(A) + IC(B) - 2 \* IC(lcs)) where lcs indicates the least common subsumer of concepts A and B.

<sup>&</sup>lt;sup>6</sup>The exact formulation is -log(L/2d) where L is the shortest path length and d is the taxonomy depth.

across all textual levels. After tagging, we found the synsets of each word matched with its part-of-speech using WordNet 3.0 (Fellbaum, 1998). For each synset of a word in the shorter textual unit (e.g., sentence is shorter than paragraph), we calculated the jcn/lch measure of each synset of all words in the longer textual unit and picked the highest score. When we found the scores for all words, we calculated the mean to find out the similarity between one pair in the test set. Finally, Jaccard Index baseline was used to simply calculate the number of words in common (intersection) with two cross textual levels, normalized by the total number of words (union).

Paragraph2Sentence: Both systems outperformed all the baselines for both metrics. The best score for this subtask was .837 and our systems achieved .732 and .698 on Pearson and did similar on Spearman metric. These scores are promising since our current unsupervised systems are based on bag-of-word approach — they do not utilize any syntactic information (e.g., *input here with some examples*. Jaccard Index baseline score was .640. *Investigate why wordnet baselines are very low. Input the reason*.

**Sentence2Phrase:** In this subtask, AI-KU systems outperformed all baselines with the exception of the AI-KU<sub>2</sub> system which performed slightly worse than LCS on Spearman metric. Performances of systems and baselines were lower than Paragraph2Sentence subtask, since smaller textual units (such as phrases) make the problem more difficult.

#### 4 Conclusion

In this work, we introduced two unsupervised systems that utilize co-occurrence statistics and represent textual units as dense, low dimensional embeddings. Although current systems are based on bag-of-word approach and discard the syntactic information, they achieved promising results in both paragraph2sentence and sentence2phrase subtasks. Add some sentences to be an explanation for the prior work that didn't perform well. For future work, we will extend our algorithm by adding syntactic information dependency parsing information? But, then we'll no longer be unsupervised? into S-CODE.

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