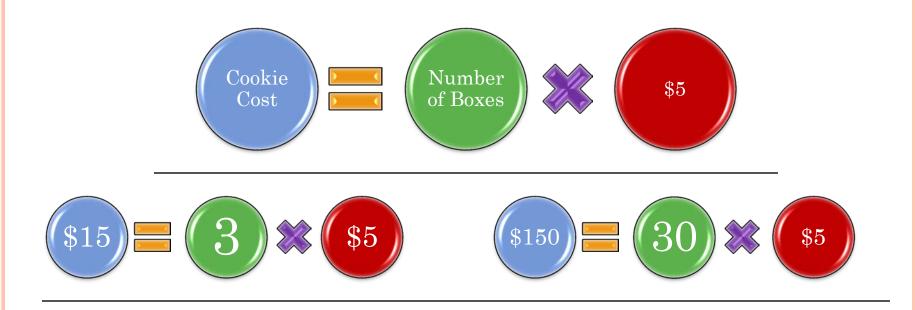
CIS 412 DATABASE MANAGEMENT SYSTEMS



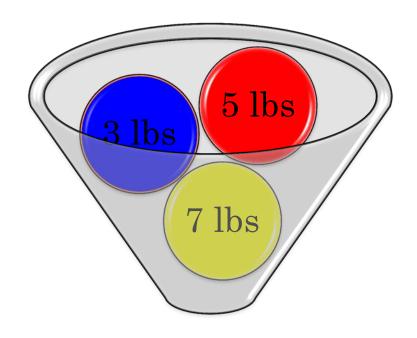
FUNCTIONAL DEPENDENCIES



Cookie Cost is Functionally Dependent on Number of Boxes



FUNCTIONAL DEPENDENCIES - NON-EQUATIONS

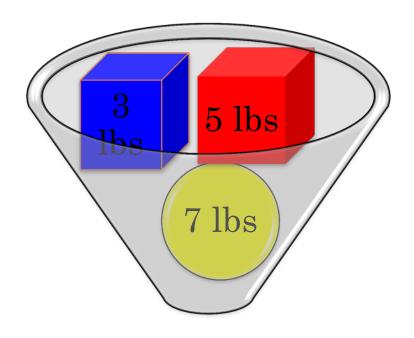


Weight is Functionally Dependent on Object Color



Object Color Determines Weight

FUNCTIONAL DEPENDENCIES - NON-EQUATIONS



Shape is Functionally Dependent on Object Color



Object Color *Determines* Shape

FUNCTIONAL DEPENDENCIES

Shape and Weight are *Functionally Dependent* on Object Color



Object Color *Determines* Shape and Weight Another way to represent - **TABLE**

Object Color	Weight	Shape
Red	5	Cube
Blue	3	Cube
Yellow	7	Ball

COMPOSITE FUNCTIONAL DEPENDENCIES

Composite Functional Dependencies

The determinant has more than one attribute

Grade is *Functionally Dependent* on ClassNumber and StudentNumber



Anomalies — irregularities in tables

Insertion anomaly

• Cannot add a record to a table because you do not know the entire primary key value

Employee Num	Position ID	LastName	PositionDesc	StartDate	HealthPlan	PlanDesc
2173	2	Hennessey	Manager	12/14/2008	В	Managed HMO
4519	1	Noordsy	Director	04/23/2010	А	Managed PPO
4519	3	Noordsy	Analyst	11/11/2004	А	Managed PPO
8005	3	Amidon	Analyst	06/05/2009	С	Health Savings
8005	4	Amidon	Clerk	07/02/2007	С	Health Savings
8112	1	Wandzell	Director	12/15/2009	А	Managed PPO
8112	2	Wandzell	Manager	10/4/2008	А	Managed PPO

ANOMALIES

Deletion anomaly

• Occurs when you delete data from a table and unintentionally lose other critical data.

Employee Num	Position ID	LastName	PositionDesc	StartDate	HealthPlan	PlanDesc
2173	2	Hennessey	Manager	12/14/2008	В	Managed HMO
4519	1	Noordsy	Director	04/23/2010	А	Managed PPO
4519	3	Noordsy	Analyst	11/11/2004	А	Managed PPO
8005	3	Amidon	Analyst	06/05/2009	С	Health Savings
8005	4	Amidon	Clerk	07/02/2007	С	Health Savings
8112	1	Wandzell	Director	12/15/2009	А	Managed PPO
8112	2	Wandzell	Manager	10/4/2008	А	Managed PPO

ANOMALIES

- Update anomaly
 - Changing one field value requires updates in multiple places

Employee Num	Position ID	LastName	PositionDesc	StartDate	HealthPlan	PlanDesc
2173	2	Hennessey	Manager	12/14/2008	В	Managed HMO
4519	1	Noordsy	Director	04/23/2010	Α	Managed PPO
4519	3	Noordsy	Analyst	11/11/2004	Α	Managed PPO
8005	3	Amidon	Analyst	06/05/2009	С	Health Savings
8005	4	Amidon	Clerk	07/02/2007	С	Health Savings
8112	1	Wandzell	Director	12/15/2009	Α	Managed PPO
8112	2	Wandzell	Manager	10/4/2008	A	Managed PPO

NORMALIZATION

Employee •

- The process of removing anomalies in your database
- Sets of rules to normalize a database are called **normal forms**

Unnormalized Employee Table with Repeating data

repeating group

		_						
EmpNum	PosID	FirstName	LastName	PositionDesc	PayGrade	StartDate	HealthPlan	PlanDesc
2173	2	Barbara	Hennessey	Manager	40	12/14/2008	В	Managed HMO
4519	1 3	Lee	Noordsy	Director Analyst	45 30	04/23/2010 11/11/2004	А	Managed PPO
8005	3 4	Pat	Amidon	Analyst Clerk	30 20	06/05/2009 07/02/2007	С	Health Savings
8112	1 2	Chris	Wandzell	Director Manager	45 40	12/15/2009 10/4/2008	А	Managed PPO

CONVERSION TO 1NF

- A table is in first normal form if it does not contain repeating groups.
- Expand the primary key and create a composite key

Employee Table after conversion to 1NF

Employee

EmpNum	PosID	FirstName	LastName	PositionDesc	PayGrade	StartDate	HealthPlan	PlanDesc
2173	2	Barbara	Hennessey	Manager	40	12/14/2008	В	Managed HMO
4519	1	Lee	Noordsy	Director	45	04/23/2010	А	Managed PPO
4519	3	Lee	Noordsy	Analyst	30	11/11/2004	А	Managed PPO
8005	3	Pat	Amidon	Analyst	30	06/05/2009	С	Health Savings
8005	4	Pat	Amidon	Clerk	20	07/02/2007	С	Health Savings
8112	1	Chris	Wandzell	Director	45	12/15/2009	А	Managed PPO
8112	2	Chris	Wandzell	Manager	40	10/4/2008	А	Managed PPO

CONVERSION TO 2NF

- Remove partial dependencies a dependency on "part" of the key
- Steps:
 - Identify the functional dependencies for every field in the table
 - Create new tables, if necessary, so that the field is functionally dependent on the entire primary key, not part of it.
- Note that a table in 1NF with a single-field primary key is automatically in 2NF.

Conversion to 2NF

What are the functional dependencies?

Emp Num -> FirstName, LastName, HealthPlan, PlanDesc

PosID → PositionDesc, PayGrade

EmpNum, PosID → StartDate

HealthPlan → PlanDesc

Employee

EmpNum	PosID	FirstName	LastName	PositionDesc	PayGrade	StartDate	HealthPlan	PlanDesc
2173	2	Barbara	Hennessey	Manager	40	12/14/2008	В	Managed HMO
4519	1	Lee	Noordsy	Director	45	04/23/2010	Α	Managed PPO
4519	3	Lee	Noordsy	Analyst	30	11/11/2004	Α	Managed PPO
8005	3	Pat	Amidon	Analyst	30	06/05/2009	С	Health Savings
8005	4	Pat	Amidon	Clerk	20	07/02/2007	С	Health Savings
8112	1	Chris	Wandzell	Director	45	12/15/2009	Α	Managed PPO
8112	2	Chris	Wandzell	Manager	40	10/4/2008	А	Managed PPO

AFTER CONVERSION TO 2NF

Employee

	Primary Key						
EmpNum	FirstName	LastName	HealthPlan	PlanDesc			
2173	Barbara	Hennessey	В	Managed HMO			
4519	Lee	Noordsy	А	Managed PPO			
8005	Pat	Amidon	С	Health Savings			
8112	Chris	Wandzell	А	Managed PPO			

Position

Tilliary Key						
PosID	PositionDesc	PayGrade				
1	Director	45				
2	Manager	40				
3	Analyst	30				
4	Clerk	20				

Employment

Primary	Kev
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EmpNum	PosID	StartDate
2173	2	12/14/2008
4519	1	04/23/2010
4519	3	11/11/2004
8005	3	06/05/2009
8005	4	07/02/2007
8112	1	12/15/2009
8112	2	10/04/2008

Conversion to 3NF

Employee table still has a functional dependency

HealthPlan →

Employee

Plan Desc

So break out the Health Plan information and create a 4th table

		Primary Key	1	
EmpNum	FirstName	LastName	HealthPlan	PlanDesc
2173	Barbara	Hennessey	В	Managed HMO
4519	Lee	Noordsy	А	Managed PPO
8005	Pat	Amidon	С	Health Savings
8112	Chris	Wandzell	А	Managed PPO

AFTER CONVERSION TO 3NF

Employee

		ary Key	
EmpNum	FirstName	LastName	HealthPlan
2173	Barbara	Hennessey	В
4519	Lee	Noordsy	А
8005	Pat	Amidon	С
8112	Chris	Wandzell	А

HealthBenefits

	Primar	y Key
HealthPlan	PlanDesc	
А	Managed PPO	
В	Managed HMO	
С	Health Savings	

Employment

Position

	Filliary Key				
PosID	PositionDesc	PayGrade			
1	Director	45			
2	Manager	40			
3	Analyst	30			
4	Clerk	20			

EmpNum	PosID	StartDate
2173	2	12/14/2008
4519	1	04/23/2010
4519	3	11/11/2004
8005	3	06/05/2009
8005	4	07/02/2007
8112	1	12/15/2009
8112	2	10/04/2008

NORMALIZATION EXAMPLE #2

Unnormalized Customer Table with Repeating data



repeating group

ClientID	BusinessName	Address	Contact	PhoneType	Phone	InvNum	InvAmt	InvDue
1234	Wichita Gidgets	P.O. Box 1234 Wichita, KS 67208	John Doe	Cell Office	316-266-3333 316-266-3332	1 2	\$300 \$450	10/21/2009 11/21/2009
5679	Waterworks	13234 N. Broadway, Wichita, KS 67778	Melissa Gilbert	Office	316-222-3455	3 4	\$20,000 \$400	5/1/2009 11/21/2009
2594	Arena Trust	234 N. Emporia, Wichita, KS 67202	Alice Alverson	Cell Office Fax Pager	316-852-9684 316-248-5562 316-564-5849 316-999-9546	235	\$1,354	2/13/2009

Not broken into smallest usable data

What do you think the primary key might be to take this to 1NF?

CONVERSION TO 1NF EXAMPLE

After conversion to 1NF

Client

ClientID	PhoneType	InvNum	BusinessName	Address	Contact	Phone	InvAmt	InvDue
1234	Cell	1	Wichita Gidgets	P.O. Box 1234 Wichita, KS 67208	John Doe	316-266-3333	\$300	10/21/2009
1234	Cell	2	Wichita Gidgets	P.O. Box 1234 Wichita, KS 67208	John Doe	316-266-3332	\$450	11/21/2009
1234	Office	1	Wichita Gidgets	P.O. Box 1234 Wichita, KS 67208	John Doe	316-266-3333	\$300	10/21/2009
1234	Office	2	Wichita Gidgets	P.O. Box 1234 Wichita, KS 67208	John Doe	316-266-3333	\$450	11/21/2009
5679	Office	3	Waterworks	13234 N. Broadway, Wichita, KS 67778	Melissa Gilbert	316-222-3455	\$20,000	5/1/2009
5679	Office	4	Waterworks	13234 N. Broadway, Wichita, KS 67778	Melissa Gilbert	316-222-3544	\$400	11/21/2009
2594	Cell	235	Arena Trust	234 N. Emporia, Wichita, KS 67202	Alice Alverson	316-852-9684	\$1,354	2/13/2009
2594	Office	235	Arena Trust	234 N. Emporia, Wichita, KS 67202	Alice Alverson	316-248-5562	\$1,354	2/13/2009
2594	Fax	235	Arena Trust	234 N. Emporia, Wichita, KS 67202	Alice Alverson	316-564-5849	\$1,354	2/13/2009
2594	Pager	235	Arena Trust	234 N. Emporia, Wichita, KS 67202	Alice Alverson	316-999-9546	\$1,354	2/13/2009

CONVERSION TO 2NF EXAMPLE

What are the functional dependencies?

ClientID → BusinessName, Address, Contact

PhoneType → Phone

InvNum → InvAmt, InvDue

Not broken into smallest usable data

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Primary	v Kev

ClientID	PhoneType	InvNum	BusinessName	Address	Contact	Phone	InvAmt	InvDue
1234	Cell	1	Wichita Gidgets	P.O. Box 1234 Wichita, KS 67208	John Doe	316-266-3333	\$300	10/21/2009
1234	Cell	2	Wichita Gidgets	P.O. Box 1234 Wichita, KS 67208	John Doe	316-266-3332	\$450	11/21/2009
1234	Office	1	Wichita Gidgets	P.O. Box 1234 Wichita, KS 67208	John Doe	316-266-3333	\$300	10/21/2009
1234	Office	2	Wichita Gidgets	P.O. Box 1234 Wichita, KS 67208	John Doe	316-266-3333	\$450	11/21/2009
5679	Office	3	Waterworks	13234 N. Broadway, Wichita, KS 67778	Melissa Gilbert	316-222-3455	\$20,000	05/1/2009
5679	Office	4	Waterworks	13234 N. Broadway, Wichita, KS 67778	Melissa Gilbert	316-222-3544	\$400	11/21/2009
2594	Cell	235	Arena Trust	234 N. Emporia, Wichita, KS 67202	Alice Alverson	316-852-9684	\$1,354	2/13/2009
2594	Office	235	Arena Trust	234 N. Emporia, Wichita, KS 67202	Alice Alverson	316-248-5562	\$1,354	2/13/2009
2594	Fax	235	Arena Trust	234 N. Emporia, Wichita, KS 67202	Alice Alverson	316-564-5849	\$1,354	2/13/2009
2594	Pager	235	Arena Trust	234 N. Emporia, Wichita, KS 67202	Alice Alverson	316-999-9546	\$1,354	2/13/2009

AFTER CONVERSION TO 2NF - EXAMPLE

Client

Primary Key							
ClientID	BusinessName	LastName	FirstName	Street	City	State	Zip
1234	Wichita Gidgets	Doe	John	P.O.Box 1234	Wichita	KS	67208
5679	Wateworks	Gilbert	Melissa	13234 N. Broadway	Wichita	KS	67778
2594	Arena Trust	Averson	Alice	234 N. Emporia	Wichita	KS	67202

Invoice

	Pr		
InvNum	ClientID	InvAmt	InvDue
1	1234	\$300.00	10/11/2009
2	1234	\$450.00	11/21/2009
3	5679	\$20,000.00	05/1/2009
4	5679	\$400.00	11/21/2009
235	2594	\$1,354.00	02/13/2009

ClientPhone

ClientID	PhoneType	Phone
1234	Cell	316-266-3333
1234	Office	316-266-3332
2594	Cell	316-852-9684
2594	Office	316-248-5562
2594	Fax	316-564-5849
2594	Pager	316-999-5646
5679	Office	316-222-3455

Conversion to 3NF - Example

ClientPhone table still has a redundancy PhoneType

So break out the Phone Type information and create a 4th table

Primary Key			
ClientID	PhoneType	Phone	
1234	Cell	316-266-3333	
1234	Office	316-266-3332	
2594	Cell	316-852-9684	
2594	Office	316-248-5562	
2594	Fax	316-564-5849	
2594	Pager	316-999-5646	
5679	Office	316-222-3455	

AFTER CONVERSION TO 3NF - EXAMPLE

Client

Primary Key

ClientID	BusinessName	LastName	FirstName	Street	City	State	Zip
1234	Wichita Gidgets	Doe	John	P.O.Box 1234	Wichita	KS	67208
5679	Wateworks	Gilbert	Melissa	13234 N. Broadway	Wichita	KS	67778
2594	Arena Trust	Averson	Alice	234 N. Emporia	Wichita	KS	67202

PhoneType

	Primary Key
Phone TypeID	PhoneType
1	Cell
2	Office
3	Fax
4	Pager

Invoice

Primary Key

InvNum	ClientID	InvAmt	InvDue
1	1234	\$300.00	10/11/2009
2	1234	\$450.00	11/21/2009
3	5679	\$20,000.00	05/1/2009
4	5679	\$400.00	11/21/2009
235	2594	\$1,354.00	02/13/2009

ClientPhone

ClientID	Phone TypeID	Phone
1234	1	316-266-3333
1234	2	316-266-3332
2594	1	316-852-9684
2594	2	316-248-5562
2594	3	316-564-5849
2594	4	316-999-5646
5679	2	316-222-3455

CREATE THIS DATABASE IN ACCESS

Remember!

Data types
Relationships
Referential Integrity

Client

Primary Key

_								
	ClientID	BusinessName	LastName	FirstName	Street	City	State	Zip
	1234	Wichita Gidgets	Doe	John	P.O.Box 1234	Wichita	KS	67208
	5679	Wateworks	Gilbert	Melissa	13234 N. Broadway	Wichita	KS	67778
	2594	Arena Trust	Averson	Alice	234 N. Emporia	Wichita	KS	67202

PhoneType

	Primary Key
Phone TypeID	PhoneType
1	Cell
2	Office
3	Fax
4	Pager

Invoice

Primary Key

InvNum	ClientID	InvAmt	InvDue
1	1234	\$300.00	10/11/2009
2	1234	\$450.00	11/21/2009
3	5679	\$20,000.00	05/1/2009
4	5679	\$400.00	11/21/2009
235	2594	\$1,354.00	02/13/2009

ClientPhone

ClientID	Phone TypeID	Phone
1234	1	316-266-3333
1234	2	316-266-3332
2594	1	316-852-9684
2594	2	316-248-5562
2594	3	316-564-5849
2594	4	316-999-5646
5679	2	316-222-3455

DIFFERENT KINDS OF KEYS

- Natural Key (logical or intelligent)
 - Inherent characteristic of the item
 - ISBN, SSN, UPC, VIN

- Artificial Key
 - Not an inherent characteris
 - Visible to users
 - Invoice ID, ClientID
- Surrogate Key (synthetic key)
 - System-generated primary l
 - Hidden from users
 - PhoneTypeID

NORMALIZATION EXAMPLE # 3

Unnormalized Spreadsheet with Repeating Data

Music

Album	Tracks	Artist	Artist Country
Abby Road	Here Comes the Sun Octopus Garden Something	Beatles	UK
Blond on Blond	Rainy Day Woman Sad Eyed Lady of the Lowlands Stuck in Mobile with the Memphis Blues Again	Bob Dylan	US
Yellow Submarine	Yellow Submarine Hey Bulldog All You Need is Love	Beatles	UK

What do you think the primary key might be to take this to 1NF?

Conversion to 1NF – Example #3

Music

Tracks	Album	Artist	Artist Country
Here Comes the Sun	Abby Road	Beatles	UK
Octopus Garden	Abby Road	Beatles	UK
Something	Abby Road	Beatles	UK
Rainy Day Woman	Blond on Blond	Bob Dylan	US
Sad Eyed Lady of the Lowlands	Blond on Blond	Bob Dylan	US
Stuck in Mobile with the Memphis Blues	Blond on Blond	Bob Dylan	US
Yellow Submarine	Yellow Submarine	Beatles	UK
Hey Bulldog	Yellow Submarine	Beatles	UK
All You Need is Love	Yellow Submarine	Beatles	UK

Determine 3NF for this and create the tables in Access. Use good data types, references and referential integrity. *HINT:* You will need to create 3 Surrogate Keys for this database!

NORMALIZED— EXAMPLE #3

tblAlbum

AlbumID	AlbumTitle
1	Abby Road
2	Blond on Blond
3	Yellow Submarine

tblArtist

ArtistID	Artist	Artist Country
1	Bob Dylan	US
2	Beatles	UK

tblTracks

Track ID	TrackName	Album ID	Artist ID
1	Here Comes the Sun	1	2
2	Octopus Garde3n	1	2
3	Something	1	2
4	Rainy Day Woman	2	1
5	Sad Eyed Lady of the Lowlands	2	1
6	Stuck in Mobile with the Memphis Blues	2	1
7	Yellow Submarine	3	2
8	Hey Bulldog	3	2
9	All You Need is Love	3	2

NORMALIZATION EXAMPLE # 4

Contact

ContactID	LastName	FirstName	Organization
1	Miller	John	Walmart, National Retailers Association, Parsons Chamber of Commerce
2	O'Connor	Dennis	Labette County, Parsons Chamber of Commerce
3	Brunner	Nicholas	Leadership Cowley County
4	Fischer	Cindy	Civic Leadership Training, Leadership 2000
5	Fountain	Wendy	WSU, Friends University

Contacts can have one or more organizations. How can we modify the database to handle this situation?

NORMALIZED— EXAMPLE #4

tblContact

ContactID	LastName	FirstName
1	Miller	John
2	O'Connor	Dennis
3	Brunner	Nicholas
4	Fischer	Cindy
5	Fountain	Wendy

tblOrganization

OrgID	Organization
1	Walmart
2	National Retailers Association
3	Labette County
4	Parsons Chamber of Commerce
5	Leadership Cowley County
6	Civic Leadership Training
7	Leadership 2000
8	WSU
9	Friends University

tblContactOrganization

ContactID	OrgID	
1	1	
1	2	
1	4	
2	3	
2	4	
3	5	
4	6	
4	7	
5	8	
5	9	