

UNIVERSITY OF OKLAHOMA

GRADUATE COLLEGE

SEARCHING FOR SUPERSYMMETRIC PARTICLES AT THE LARGE HADRON
COLLIDER USING THE ATLAS DETECTOR

A DISSERTATION

SUBMITTED TO THE GRADUATE FACULTY

in partial fulfillment of the requirements for the

Degree of

DOCTOR OF PHILOSOPHY

By

OTHMANE RIFKI
Norman, Oklahoma
2016

SEARCHING FOR SUPERSYMMETRIC PARTICLES AT THE LARGE HADRON
COLLIDER USING THE ATLAS DETECTOR

A DISSERTATION APPROVED FOR THE
HOMER L. DODGE DEPARTMENT OF PHYSICS AND
ASTRONOMY

BY

Dr. Brad Abbott, Chair

Dr. Peter Parker, Outside Member

Dr. Mike Strauss

Dr. Chung Kao

Dr. Eric Abraham

To my loving parents and sister.

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Acknowledgements

I would like to acknowledge...

Abstract

Well now this is my abstract.

Preface

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Analysis Strategy

5.1 Overview

5.2 Phenomenology (Benchmarking Models)

Final states with two same-sign leptons or three leptons and multiple jets can probe a variety of supersymmetric models represented by decays of heavy superpartners involving massive gauge bosons, sleptons or top quarks. The decays of the superpartners can lead to many experimental signatures that may lead to different lepton, jet, and b -tagged jet multiplicities. To exploit this wide range of possible signatures, the analysis uses six R -parity-conserving SUSY scenarios featuring gluino, bottom squark or top squark pair production. These scenarios were used as benchmarks to identify regions of the phase space where the analysis can bring particularly useful complementarity to other SUSY searches, and subsequently define signal regions with a particular focus on these regions. In this section, the scenarios considered are presented with details about the assumed superpartner masses and decay modes. Exclusion limits obtained prior to the work of the author will also be shown to highlight the improvement in reach that this analysis brings.

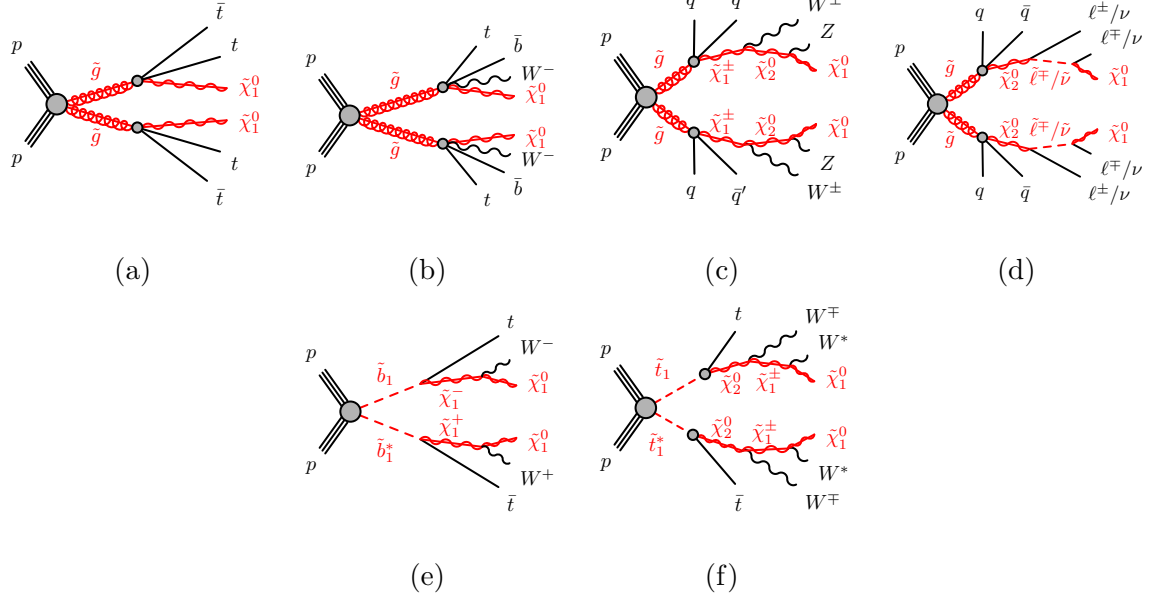


Figure 5.1: SUSY processes featuring gluino ((a), (b), (c), (d)) or third-generation squark ((e), (f)) pair production studied in this analysis. In Figure 5.1d, $\tilde{\ell} \equiv \tilde{e}, \tilde{\mu}, \tilde{\tau}$ and $\tilde{\nu} \equiv \tilde{\nu}_e, \tilde{\nu}_\mu, \tilde{\nu}_\tau$. In Figure 5.1f, the W^* labels indicate largely off-shell W bosons – the mass difference between $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_1^0$ is around 1 GeV.

Gluino pair production with slepton-mediated two-step decay $\tilde{g} \rightarrow q\bar{q}\ell\bar{\ell}\tilde{\chi}_1^0$

This scenario (Fig. 5.1d) features gluino pair-production with two-step decays via neutralinos $\tilde{\chi}_2^0$ and sleptons, $\tilde{g} \rightarrow q\bar{q}'\tilde{\chi}_2^0 \rightarrow q\bar{q}'(\tilde{\ell}\ell/\tilde{\nu}\nu) \rightarrow q\bar{q}'(\ell\ell/\nu\nu)\tilde{\chi}_1^0$. The decays are mediated by generic heavy squarks, therefore the b -jet multiplicity in this scenario is low. The final state is made of charged leptons, four additional jets and invisible particles (neutrinos and neutralinos). The average jet multiplicity per event is the smallest among the four scenarios; another characteristic is the large fraction of events with several leptons, unlike the other scenarios that have

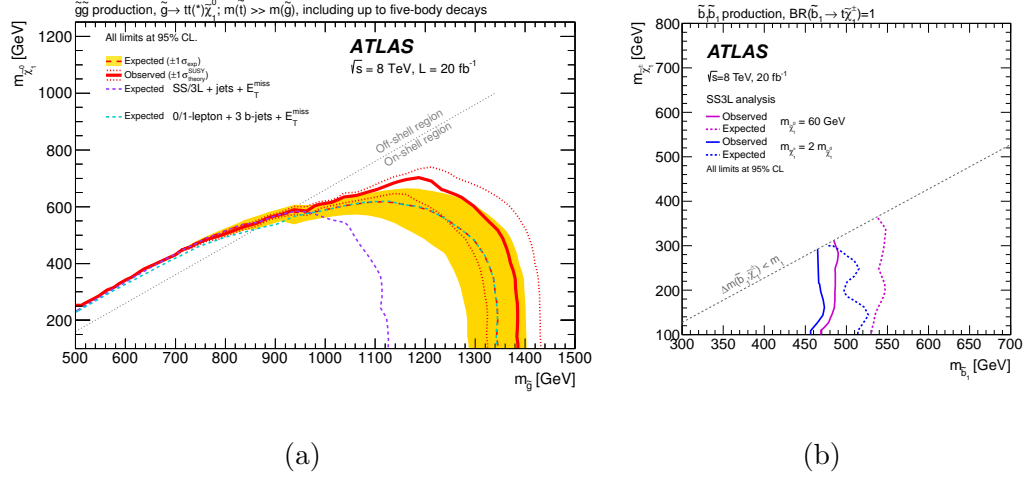


Figure 5.2: Exclusion limits on the gluino-stop offshell [1] (left) and direct sbottom [2] (right) scenarios set by ATLAS with the 2012 dataset prior to the author’s work.

a rather low acceptance due to the branching ratios of $W \rightarrow \ell\nu$ or $Z \rightarrow \ell\ell$. The exclusion limits obtained in run-1 (Fig. 5.3b) show again that the SS/3L+jets final state is very competitive to probe those models. This scenario is used as a benchmark to define the signal regions with ≥ 3 leptons and no b -jet.

The signal grid is built with variable gluino and $\tilde{\chi}_1^0$ masses; the $\tilde{\chi}_2^0$ mass is chosen half-way between the gluino and LSP masses, and the sleptons masses are also set equal and half-way between the $\tilde{\chi}_2^0$ and LSP masses. The $\tilde{\chi}_2^0$ may decay to any of the six “left-handed” sleptons ($\tilde{\ell}, \tilde{\nu}$) with equal probability. “Right-handed” sleptons are assumed heavy and do not participate to the decay.

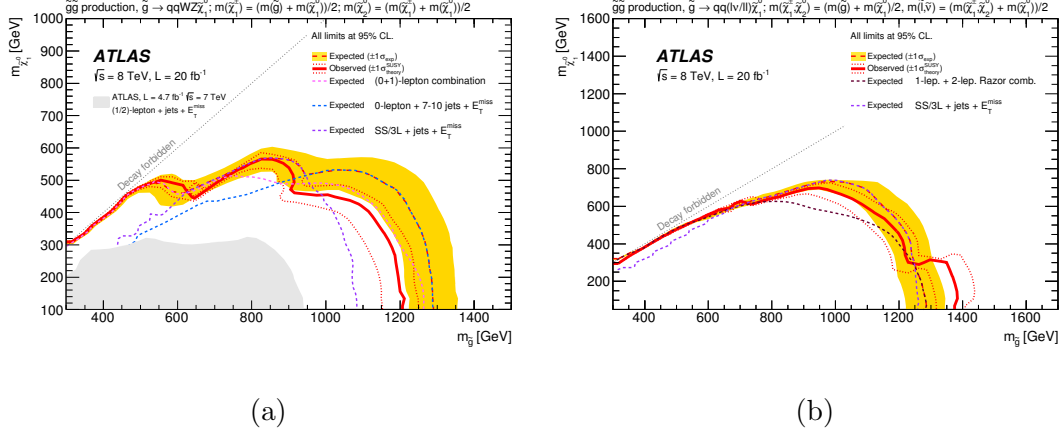


Figure 5.3: Exclusion limits on scenarios featuring gluino pair production followed by two-step decays via heavy gauge bosons or sleptons, set by ATLAS with the 2012 dataset prior to the author's work [1].

Gluino pair production with gaugino-mediated two-step decay $\tilde{g} \rightarrow q\bar{q}'WZ\tilde{\chi}_1^0$

This scenario (Fig. 5.1c) features gluino pair-production with two-step decays via gauginos and W and Z bosons, $\tilde{g} \rightarrow q\bar{q}'\tilde{\chi}_1^\pm \rightarrow q\bar{q}'W\tilde{\chi}_2^0 \rightarrow q\bar{q}'WZ\tilde{\chi}_1^0$, mediated by generic heavy squarks of the first and second generations. The final state is made of two W and two Z bosons (possibly offshell), four additional jets and invisible particles (neutrinos and neutralinos). This generally leads to events with large jet multiplicities and a fair branching ratio for dileptonic final states. The exclusion limits obtained in run-1 indeed illustrate the competitiveness of the SS/3L+jets search (Fig. 5.3a) particularly the heavy- $\tilde{\chi}_1^0$ region of the phase space. This scenario is used as as benchmark to define the signal regions with many jets but none tagged as a b -jet.

The signal grid is built with variable gluino and $\tilde{\chi}_1^0$ masses, and the $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ masses are set such that the former lies half-way between the gluino and $\tilde{\chi}_1^0$ masses, and the latter half-way between $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_1^0$ masses.

Sbottom pair production with one-step decay $\tilde{b}_1 \rightarrow t\tilde{\chi}_1^\pm$

In this scenario (Fig. 5.1e), bottom squarks are rather light and assumed to decay in a top quark and a chargino $\tilde{\chi}_1^\pm$, with a subsequent $\tilde{\chi}_1^\pm \rightarrow W^\pm \tilde{\chi}_1^0$ decay, providing complementarity to the mainstream search [3] which focuses on the channel $\tilde{b}_1 \rightarrow b\tilde{\chi}_1^0$. The final state resulting from the production of a $\tilde{b}_1\tilde{b}_1^*$ pair contains two top quarks, two W bosons and two neutralinos. While this final state may lead to various experimental signatures, the model was considered in Run-1 [1] only by the same-sign leptons and jets search, leading to the exclusion limits presented in Fig. 5.2. Signal events typically contain one or two b -tagged jets, therefore this scenario is used as benchmark to define the signal regions with ≥ 1 b -jet.

The model adopts a fixed chargino-neutralino mass difference of 100 GeV, therefore always allowing on-shell W bosons in the $\tilde{\chi}_1^\pm \rightarrow W\tilde{\chi}_1^0$ decay ¹ Only pair production of the lightest sbottom is considered, followed by an exclusive decay in the aforementioned channel.

¹A different chargino mass assumption is adopted in the current work compared to the Run-1 paper [1]. Fig. 5.2 is shown for illustration only. The reduced chargino-neutralino mass gap in the current analysis allows to study signal scenarios with heavy neutralinos, which were not considered previously.

Gluino pair production with stop-mediated decay $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$

In this scenario inspired by naturalness arguments, gluinos are coupling preferentially to stops which are lighter than the other squarks. Gluinos are however considered lighter than stops, and decay directly into a $t\bar{t}\tilde{\chi}_1^0$ triplet via a virtual stop (Fig. 5.1a). The pair production of gluinos leads to a final state containing four top quarks and two neutralinos. This characteristic final state is accessible through various experimental signatures, which is why this model is commonly used as a benchmark to compare analyses sensitivities. The searches performed with Run-1 data [1], summarized in Fig. 5.2a, showed that the same-sign leptons final state is competitive only at large neutralino mass. This region of the phase space is consequently given a particular attention in the choice of signal regions described further on. For instance, the region of phase-space with $\Delta m(\tilde{g}, \tilde{\chi}_1^0) < 2m_t$, where gluinos decay via one or two offshell top quarks, is only accessible for this analysis. In the signal samples referenced in this document, the mass of the lightest stop is fixed to 10 TeV and is mostly a \tilde{t}_R state. Only gluino pair production is considered, followed by an exclusive decay in the aforementioned channel. Signal events typically contain many b -tagged jets, therefore this scenario is used as benchmark to define the signal regions with ≥ 2 b -jets.

$\tilde{t}_1\tilde{t}_1^*$ with “three-same-sign leptons” signature

Inspired by Ref. [4], a simplified model featuring a stop pair-production with two-step decays via a neutralino $\tilde{\chi}_2^0$ and a chargino $\tilde{\chi}_1^\pm$ is added in this version of

the analysis, according to the decay illustrated on Fig. 5.1f:

$$\tilde{t}_1 \rightarrow t\tilde{\chi}_2^0 \rightarrow t\tilde{\chi}_1^\pm W^\mp \rightarrow tW^\pm W^\mp \tilde{\chi}_1^0.$$

This simplified model is a well-motivated representation of a pMSSM model. The lightest stop (\tilde{t}_1) is right-handed and $\tilde{\chi}_2^0$ is bino-like which leads to a large branching ratio in the decay $\tilde{t}_1 \rightarrow t\tilde{\chi}_2^0$. Furthermore, the decay $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^\pm W^\mp$ is also enhanced since $\tilde{\chi}_1^\pm$ is wino-like, as long as $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_1^0$ are nearly mass degenerate and $m_{\tilde{\chi}_2^0} - m_{\tilde{\chi}_1^0} < m_H = 125$ GeV to suppress the decay $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 + H$ (the decay $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 + Z$ is suppressed). By respecting these conditions and evading the bottom squark limit shown in Fig. 5.2b, we consider a one-dimensional grid with a \tilde{t}_1 mass varying between 550 GeV and 800 GeV with a 50 GeV gap², a two body decay to an on-shell top quark and a $\tilde{\chi}_2^0$ which has a 100 GeV mass difference from $\tilde{\chi}_1^0$. The mass difference between the $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_1^0$ is taken to be 500 MeV which is not excluded by the disappearing track analysis. In fact, this mass gap could easily be increased by introducing a small amount of higgsino mixing [5].

While the stop pair production is similar to the sbottom pair production in terms of kinematics, the stop pair production offers a unique topology that leads to three leptons of the same electric charge. This final state benefits from an extreme reduction of the SM background while maintaining a good signal acceptance which helps loosen the kinematic cuts to access a more compressed SUSY phase space. As a result, this scenario is complementary to the search for bottom squarks.

²Only the points at \tilde{t}_1 mass of 550 GeV are available at the moment.

Non-Universal Higgs Models

In references [6, 7, 8], theorists studied a complete two-extra-parameter non-universal Higgs model (NUHM2) that can have low fine tuning (natural) and predicts final state signatures that allow large background rejection while retaining high signal efficiency. The NUHM2 model allows the soft SUSY breaking masses of the Higgs multiplets, m_{H_u} and m_{H_d} , to be different from matter scalar masses (m_0) at the grand unification scale. The NUHM2 model is expected to form the effective theory for energies lower than m_{GUT} resulting from SU(5) or general SU(10) grand unified theories. The scalar mass m_0 , the soft SUSY breaking gaugino mass $m_{1/2}$, the pseudoscalar Higgs boson mass m_A , the trilinear SUSY breaking parameter A_0 , the weak scale ratio of Higgs field vacuum expectation values $\tan\beta$, and the superpotential Higgs mass μ are the free parameters. Both $m_{1/2}$ and μ are varied while the other parameters are fixed to $m_0 = 5$ TeV, $A_0 = -1.6m_0$, $\tan\beta = 15$, $m_A = 1$ TeV, and $\text{sign}(\mu) > 0$. These parameter choices lead directly to a Higgs mass of 125 GeV in accord with experiment. In this “radiatively-driven natural” SUSY approach, the higgsino is required to have mass below 200-300 GeV, the stop to have a mass below ~ 3 TeV, and the gluino below ~ 4 TeV. The model mainly involves gluino pair production with gluinos decaying predominantly to $t\bar{t}\tilde{\chi}_1^0$ and $tb\tilde{\chi}_1^\pm$, giving rise to final states with two same-sign leptons and E_T^{miss} . Table 5.1 shows the branching ratios of the dominant gluino decay modes for $m_{1/2} = 400$ GeV. Simulated NUHM2 signal samples with mass ($m_{1/2}$) values from 300-800 GeV and $\mu = 150$ GeV were generated where the

Decay	BR	Decay	BR
$t\bar{t}\chi_1^0$	0.13	$tb\chi_1^\pm$	0.45
$t\bar{t}\chi_2^0$	0.21	$tb\chi_2^\pm$	0.04
$t\bar{t}\chi_3^0$	0.13	-	-
$t\bar{t}\chi_4^0$	0.02	-	-
$t\bar{t}\chi_i^0$	0.49	$tb\chi_i^\pm$	0.49

Table 5.1: The dominant gluino decay modes for $m_{1/2} = 400$ GeV for the NUHM2 model.

gluino mass in this model is approximately $2.5 \times m_{1/2}$.

5.3 Dataset and Simulated Event Samples

5.3.1 Collision Data

The analysis uses pp -collisions data at $\sqrt{s} = 13$ TeV collected by the ATLAS detector during 2015 and 2016 with a peak instantaneous luminosity of $L = 1.4 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$. The total integrated luminosity considered corresponds to 36.1 fb^{-1} (3.2 fb^{-1} in 2015 and 32.9 fb^{-1} in 2016) recorded after applying beam, detector, and data-quality requirements. The combined luminosity uncertainty for 2015 and 2016 is 3.2%, assuming partially correlated uncertainties in 2015 and 2016. The integrated luminosity was established following the same methodology as that detailed in Ref. [9], from a preliminary calibration of the luminosity scale

using a pair of x - y beam separation scans.

5.3.2 Simulated Event Samples

Monte Carlo (MC) simulated event samples are used to model the SUSY signal and SM backgrounds. The irreducible SM backgrounds refer to processes that lead to two same-sign and/or three “prompt” leptons where the prompt leptons are produced directly in the hard-scattering process, or in the subsequent decays of W, Z, H bosons or prompt τ leptons. The reducible backgrounds, mainly arising from $t\bar{t}$ and V +jets production, are estimated either from data or from MC simulation as described in Section 6.

Table 5.2 presents the event generator, parton shower, cross-section normalization, PDF set and the set of tuned parameters for the modelling of the parton shower, hadronization and underlying event. Apart from the MC samples produced by the SHERPA generator, all MC samples used the EVTGEN v1.2.0 program [10] to model the properties of bottom and charm hadron decays.

The MC samples were processed through either a full ATLAS detector simulation [23] based on GEANT4 [24] or a fast simulation using a parameterization of the calorimeter response and GEANT4 for the ID and MS [25], and are reconstructed in the same manner as the data. All simulated samples are generated with a range of minimum-bias interactions using PYTHIA 8 [12] with the MSTW2008LO PDF set [26] and the A2 tune overlaid on the hard-scattering event to account for the multiple pp interactions in the same bunch crossing (in-time pileup) and neighbouring bunch crossing (out-of-time pileup). The distribution of the average

Physics process	Event generator	Parton shower	Cross-section normalization	PDF set	Set of tuned parameters
Signal	AMC@NLO 2.2.3 [11]	PYTHIA 8.186 [12]	NLO+NLL	NNPDF2.3LO [13]	A14 [14]
$t\bar{t} + X$					
$t\bar{t}W, t\bar{t}Z/\gamma^*$	AMC@NLO 2.2.2	PYTHIA 8.186	NLO [15]	NNPDF2.3LO	A14
$t\bar{t}H$	AMC@NLO 2.3.2	PYTHIA 8.186	NLO [15]	NNPDF2.3LO	A14
$4t$	AMC@NLO 2.2.2	PYTHIA 8.186	NLO [11]	NNPDF2.3LO	A14
Diboson					
ZZ, WZ	SHERPA 2.2.1 [16]	SHERPA 2.2.1	NLO [17]	NNPDF2.3LO	SHERPA default
Other (inc. $W^\pm W^\pm$)	SHERPA 2.1.1	SHERPA 2.1.1	NLO [17]	CT10 [18]	SHERPA default
Rare					
$t\bar{t}WW, t\bar{t}WZ$	AMC@NLO 2.2.2	PYTHIA 8.186	NLO [11]	NNPDF2.3LO	A14
$tZ, tWZ, t\bar{t}\bar{t}$	AMC@NLO 2.2.2	PYTHIA 8.186	LO	NNPDF2.3LO	A14
WH, ZH	AMC@NLO 2.2.2	PYTHIA 8.186	NLO [19]	NNPDF2.3LO	A14
Triboson	SHERPA 2.1.1	SHERPA 2.1.1	NLO [17]	CT10	SHERPA default
Irreducible					
$t\bar{t}$	POWHEG-BOX	PYTHIA 6.428	NNLO+NNLL [20]	CT10	PERUGIA2012 (P2012) [21]
W +Jets, Z +Jets	POWHEG-BOX	PYTHIA 8.186	NNLO [20]	CT10	AZNLO[22]

Table 5.2: Simulated signal and background event samples: the corresponding event generator, parton shower, cross-section normalization, PDF set and set of tuned parameters are shown for each sample. Because of their very small contribution to the signal-region background estimate, $t\bar{t}WW$, $t\bar{t}WZ$, tZ , tWZ , $t\bar{t}\bar{t}$, WH , ZH and triboson are summed and labelled “rare” in the following.

number of interactions per bunch crossing $\langle\mu\rangle$ ranges from 0.5 to 39.5, with a profile set as an estimate of the combined 2015+2016 data $\langle\mu\rangle$ profile. With larger luminosity collected during this year and the μ distribution in data being closer to that in the MC profile, the simulated samples are reweighted to reproduce the observed distribution of the average number of collisions per bunch crossing (μ).

Table 5.3: Signal cross-sections [pb] and related uncertainties [%] for scenarios featuring $\tilde{g}\tilde{g}$ (top table) or $\tilde{b}_1\tilde{b}_1^*$ (bottom table) production, as a function of the pair-produced superpartner mass, reproduced from Ref. [27].

Gluino mass (GeV)	500	550	600	650	700
Cross section (pb)	$27.4 \pm 14\%$	$15.6 \pm 14\%$	$9.20 \pm 14\%$	$5.60 \pm 14\%$	$3.53 \pm 14\%$
750	800	850	900	950	1000
$2.27 \pm 14\%$	$1.49 \pm 15\%$	$0.996 \pm 15\%$	$0.677 \pm 16\%$	$0.466 \pm 16\%$	$0.325 \pm 17\%$
1050	1100	1150	1200	1250	1300
$0.229 \pm 17\%$	$0.163 \pm 18\%$	$0.118 \pm 18\%$	$0.0856 \pm 18\%$	$0.0627 \pm 19\%$	$0.0461 \pm 20\%$
1350	1400	1450	1500	1550	1600
$0.0340 \pm 20\%$	$0.0253 \pm 21\%$	$0.0189 \pm 22\%$	$0.0142 \pm 23\%$	$0.0107 \pm 23\%$	$0.00810 \pm 24\%$

Sbottom mass (GeV)	400	450	500	550
Cross section (pb)	$1.84 \pm 14\%$	$0.948 \pm 13\%$	$0.518 \pm 13\%$	$0.296 \pm 13\%$
600	650	700	750	800
$0.175 \pm 13\%$	$0.107 \pm 13\%$	$0.0670 \pm 13\%$	$0.0431 \pm 14\%$	$0.0283 \pm 14\%$

Background process simulation

Signal cross-sections and simulations

The signal processes are generated from leading order (LO) matrix elements with up to two extra partons (only one for the grid featuring slepton-mediated gluino decays), using the MADGRAPH v5.2.2.3 generator [11] interfaced to PYTHIA 8.186 [12] with the *ATLAS 14* tune [14] for the modelling of the SUSY decay chain, parton showering, hadronisation and the description of the underlying event. For the RPV models, MADGRAPH v5.2.3.3 and PYTHIA 8.210 [12] were used

instead. Parton luminosities are provided by the NNPDF23LO [28] set of parton distribution functions. Jet-parton matching is realized following the CKKW-L prescription [29], with a matching scale set to one quarter of the pair-produced superpartner mass.

The signal samples are normalised to the next-to-next-to-leading order cross-section from Ref. [27] including the resummation of soft gluon emission at next-to-next-to-leading-logarithmic accuracy (NLO+NLL), as detailed in Ref. [30]; some of these cross-sections are shown for illustration in Table 5.3. For the production of like-sign d-squark (RPV scenario), Prospino [31] is used to scale the samples to their NLO cross-section.

Cross-section uncertainties are also taken from Ref. [27] as well, and include contributions from varied normalization and factorization scales, as well as PDF uncertainties. They typically vary between 15 and 25%. We do not consider any source of uncertainties on signal acceptance, experience having shown that these are generally smaller than the uncertainties on the inclusive production cross-section.

5.4 Event Selection

5.5 Signal Regions

Chapter 6

Data-driven Background Estimation Techniques

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8.1 Theretical Uncertainties

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Chapter 9

Statistical Treatment

Chapter 10

Results and Interpretation

Chapter 11

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Appendix A

Auxiliary material

I am referencing the first thing [\[32\]](#).

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