

# Accelerated Ray Tracing of Constructive Solid Geometry

by

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Bachelor Thesis in Computer Science

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# **Abstract**

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## 1 Introduction

Constructive Solid Geometry (CSG) is a method used in computer graphics, computer-aided design, generic modeling languages, and numerous other applications to construct complex geometries from simple primitives or polyhedral solids through the use of boolean operators, namely union ( $\cup$ ), intersection ( $\cap$ ), and difference (-). Figure 1) respectively shows union, intersection, and difference operations. The approach grows especially appealing when implemented in a ray tracing system as the core intricacy renders performing arithmetic logic on a pair of uni-dimensional rays. Nonetheless, most current ray tracing systems generally suffer from the detriment of the expensive object space intersection computation, and the generic CSG algorithms suffer immensely from their computational complexity, making it very difficult to integrate into operating rendering engines. Therefore, this research concentrates on constructive solid geometry and possible means of acceleration.

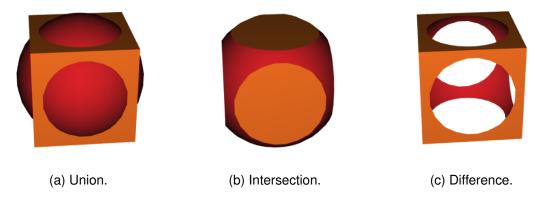


Figure 1: Examples of set operations on a mesh sphere and box.

# 1.1 Rendering Algorithms

Rendering digital photorealistic or non-photorealistic images has been a topic of study since the late 1960s [3]. Since then, various algorithms came forth that allow achieving different results depending on the required conditions. Inherently all these algorithms strive to solve the same underlying problem by trading-off different aspects, namely speed and realism. This problem is known as the hidden surface problem [13]. The hidden surface problem is determining the visible objects in space from a certain point of view. There are two general methods, object-space methods, which try to start from the object space and project the geometries onto the 2D raster, or the image-space ones, which perform the opposite by tracing a ray through each pixel and attempting to locate the closest intersection of that ray with the geometries in the scene. The two methods then give birth to the pair of most famous and widely adopted rendering algorithms: rasterization and ray tracing.

#### 1.1.1 Rasterization

Rasterization has very quickly become the dominant approach for interactive applications because of its initially low computational requirements, its massive adoption in most hardware solutions, and later by the ever-increasing performance of dedicated graphics hardware. The use of local, per-triangle computation makes it well suited for a feed-forward pipeline. However, the rasterization algorithm has many trade-offs. To name a few: the handling of global effects such as reflections and realistic shading; limitations to scenes with meshed geometries [19].

#### 1.1.2 Ray Tracing

Ray tracing simulates the photographic process in reverse. For each pixel on the screen, we shoot a ray and identify objects that intersect the ray. A ray-tracing algorithm makes usage of four essential components: the camera, the geometry, the light sources, and the shaders. These components can have different varieties, to state a few, orthographic and perspective cameras, unidirectional and area light sources, and Phong and chrome shaders. Hence, it allows achieving several outcomes depending on the necessities. The main downside has been computational time and the constraints of using such an algorithm in interactive applications. However, ray tracing parallelizes efficiently and trivially. Thus it takes advantage of the continuously rising computational power of the hardware. Many applications have successfully produced real-time ray tracing algorithms and allow for highly photorealistic results in interactive applications [1, 2].

# 1.2 Geometric Representations

When it comes to computer graphics, we can find numerous types of geometry descriptions [5, 6, 9, 14, 17, 20]. Many solutions exist that enable the simple conversion between these geometric formats [21]. However, there are predominantly two different representations in most geometric modeling systems [17]: boundary representations - commonly known as B-Rep or BREP - and constructive solid geometry - CSG. Each one of these representations brings forward different advantages, disadvantages, and limitations.

#### 1.2.1 Boundary Representation

Boundary representations are indirect definitions of solids in space using their boundary or limit. This representation is usually a hierarchical composition of different dimensionally complex parts. On the very top, we have definitions of two-dimensional faces, which build on uni-dimensional edges that are subsequently built on dimensionless vertices (See Figure 2). A BREP with non-curvilinear edges and planar faces is called a polygon mesh. A triangle is the simplest polygon and has the excellent property of always being co-planar. Additionally, polygons of any complexity are representable by a set of triangles. These qualities make triangular meshes a fundamental component in BREPs. The representations built on triangles are also highly optimized for fast operations. Therefore, we will mainly deal with triangular meshes in OpenRT, though it does offer descriptions for tetragon (quadrilateral) meshes.

#### 1.2.2 Constructive Solid Geometry

Constructive solid geometry takes basis on the fundamental premise that any complex physical object is obtainable from a set of primitive geometries and the base boolean operations. CSG is radically different from BREPs as it does not collect any topological information but instead evaluates the geometries as needed by the case scenario. In other words, there is no explicit description of the boundary of the solid. Contrary to BREPs,

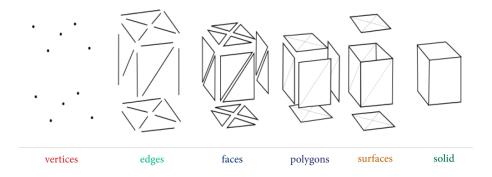


Figure 2: Sample BREP of a 3D hyper-rectangle [23]

CSG representations are quickly modified and manipulated since incremental changes do not trigger re-computation and evaluation of the boundary of a geometry. Therefore, no topological changes occur when adjusting the geometries. The latter makes it an attractive solution as it provides a high-level specification of the objects in space and permits significantly more straightforward modification and manipulation. In the general constructive solid geometry description, the solids are put in a binary tree, referred to as the CSG tree (Figure ??). The root node is the complete composite geometry. The leaf nodes depict the base geometries (cubes, spheres, cylinders, tori, cones, and polygon meshes<sup>1</sup>) used in the composition. Every node in the tree, besides the leaf nodes, expresses another complete solid and contains information of the set operation of that node.

In the OpenRT library implementation, we follow a different approach to allow the use of BREPs as leaf nodes. This gives the flexibility of creating more complex geometries and allowing for nesting of combinatorial geometries inside each other. In a naive implementation, this operation can be costly; however, by using certain spatial indexing structures, each node can be represented as a binary space partitioning tree (See Figure 3).

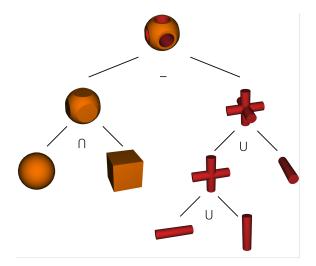


Figure 3: Sample representation of a binary space partitioning (BSP) tree.

<sup>&</sup>lt;sup>1</sup>Polygon meshes are usually not considered in CSG algorithms; however, the implementation discussed here allows such flexibility.

#### 1.3 Overview

We present this CSG implementation in six sections. 1. Introduction; 2. Related Works; 3. Constructive Solid Geometry; 4. Optimization; 5. Evaluation of the results; 6. Extensions & Conclusions.

The second section presents works already done, the limitations of the proposed implementations, and solutions to problems related to CSG.

Section 3 defines the algorithm that performs the logic in the ray-tracing framework. We first introduce the ideas behind ray intersection. We then lay a mathematical foundation to boolean algebra and ray classification. Additionally, we dive into the detail of ray classification for constructively generated geometries.

Section 4 discusses efficiency and optimizations. The visible surface problem in ray tracing requires a lot of CPU time, and without any optimizations, the CSG algorithm significantly increases the CPU payload. Therefore, optimization is much needed to make this method usable and suitable for real-life applications. The speed is a function of the screen resolution and the geometry complexity (the number primitives (e.g., triangles) in the solid, and the number of nested geometries).

Section 5 describes the different implementations of the CSG algorithm with the various optimization techniques. The first is the naive implementation which we refer to as NaiCSG. The second is a variant that uses a Binary Space Partition tree to solve the visible surface problem but still naively finds intersections inside the combinatorial geometry, which we will refer to as BinCSG. Lastly, we'll introduce our optimized algorithm which uses a binary space partition tree on the outside (solving the visible surface problem) and also inside each composite geometry to direct the rays towards the correct geometries, which we will refer to as OptiCSG. We conduct three types of tests. The primary one is a function of time and complexity of the geometry, as we monitor the rendering time following gradual increases in the detail level of two sphere meshes. The second computes the time taken to render a scene after covering different amounts of the view port. The third computes the time variations after increasing the number of nested geometries present in the composite solid while crucially maintaining a consistent view port fill rate.

#### 2 Related Work

I discuss below the techniques most related to ours. However, there is a tremendous body of work in this area and I cannot possibly provide an absolute overview. The goal is instead to outline similarities and differences with some of the widely adopted approaches for CSG modeling.

Constructive solid geometry has been a subject of study since the late 1970s. It was initially introduced in [24] as a digital solution to help in the design and production activities in the discrete goods industry, this marked the basis for formalizing the method.

A rigorous mathematical foundation of constructive solid geometry was later laid out in [16]. The membership classification function, a generalization of the ray clipping method, is also thoroughly discussed and various formal properties are introduced.

A few years later it was revisited in [18] where Roth et al. (1982) introduced ray casting

as a basis for CAD solid modeling systems. Challenges of adequacy and efficiency of ray casting are addressed, and fast picture methods for interactive modeling are introduced to meet the challenges.

The focus then turned towards different optimizations of CSG algorithms in the setting of ray tracing. A simplistic single hit intersection algorithm is introduced in [7]. This suggested mechanism reduces memory load and the number of computations performed to perform ray classification. Though limitations have to be respected since sub-objects must closed, non-self-intersecting, and have consistently oriented normals. However, this was later proven to be a specific solution that does not gracefully handle edge cases (especially for the difference and intersection operations.

A "slicing" approach is also proposed in [11]. Similar to our proposed solution combinations of meshes and analytical primitives through CSG operations are permissible. Nevertheless, this approach requires one boolean per primitive and a complete evaluation of the CSG expression in each step; therefore, making it simple but limited, and much better approaches are imaginable.

Bound definitions are also a popular way of significantly reducing the time required by CSG algorithms. If the ray and the geometric entities are bound, we perform first test to see if the ray and the box around a geometric entity overlap. Only if the boxes overlap does one continue to test whether the ray and the entity overlap. A submitted S-bounds algorithm is brought forth in [4] as a means of acceleration in the solid modeling and CSG.

Techniques that optimize various CSG rendering algorithms, namely the Goldfeather and the layered Goldfeather algorithm, and the Sequenced-Convex- Subtraction (SCS) algorithm are advanced in [8]. Although the work represents a significant improvement towards real-time image-based CSG rendering for complex models, the main focus is on hardware acceleration.

# 3 Constructive Solid Geometry

#### 3.1 Ray Intersection

Ray intersection is the essence of all ray tracing systems. We supply the system a ray as input and obtain knowledge on how the ray intersects solids in the scene as an output. In ray tracing engines, one only necessitates computing the nearest intersection to assess the given scene. However, when evaluating CSG models, we require both the closest and furthest intersections to arrange the ray intersections. With knowledge of all the information in the scene - essentially the camera model and the solids - an evaluation of the closest and most distant intersection is executed with each returning the latter information:

```
\vec{o} = is the origin of the ray (e.g., origin of the camera model).
```

 $\vec{d}$  = is the direction of the ray (e.g., direction from camera origin to pixel in raster).

t = the distance to either the closest or furthest intersection.

prim = a pointer holding surface information of the intersected primitive.

We can distinguish two types of ray intersections [19]. Firstly, ray-primitive intersection tests on convex primitives such as blocks, cylinders, cones, and spheres. Because the

primitives are analytically defined, the solution is solving the analytic intersection equation. Consequently, this means that the intersection solution is primitive-specific. Many resources providing the analytical solutions are available [15]. Second, we encounter the more generic solid-primitive intersection. As we have previously defined in the introduction, a solid is often a boundary representation composed of several triangles. Hence, the main intricacy in ray-solid intersection renders iterating over all primitives and reducing the problem to n ray-primitive intersection tests with n being the number of primitives in the solid (e.g., triangles). We can consider the ray-solid intersection as a more general form of ray-primitive intersection since a primitive is always representable as a solid bearing a single surface. The interesting consequence of such an abstraction is that if we fire a ray in the scene, the computation for determining ray intersection can be generalized to:

```
Algorithm 1: Ray-solid furthest and closest intersection.
```

```
Result: [in, out] intersection range set in to +\inf; set out to -\inf; for every primitive in the solid do solve the ray-primitive equations; if intersection exists then if current intersection is closer than in then set in to current intersection; if current intersection is further than out then set out to current intersection; end
```

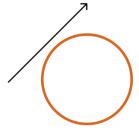
The ray-solid intersection test has four possible outcomes:

- 1. The ray misses the solid (Figure 4a).
- 2. The ray is tangent to the solid (Figure 4b).
- 3. The ray enters and exists the solid (Figure 4c).
- 4. The ray is inside/on the face of a solid and has one intersection. (Figure 4d)

The first case is self-evident. In case 3, we compute both the entering and exiting points normally. The second and fourth case are a little more intricate to determine as we need to understand whether the intersection is inside or outside of the solid. We can find that by checking the orientation of the surface normal,  $\vec{N}$ , at the intersected point. If  $\vec{N} \cdot \vec{d} < 0$ , then the intersection point is outside. Otherwise, it is inside of the solid.

#### 3.2 Mathematical Formulations

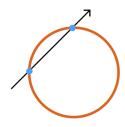
Constructive solid geometry is largely grounded in modern Euclidean geometry and the general topology of subsets of three-dimensional Euclidean space  $E^3$ . As one cannot design a reliable geometric algorithm in the absence of a clear mathematical statement of the problem to be solved, I will be treating a few mathematical formulations. Topology and set theory have been intensively discussed previously in [16], [22],[10], and many other resources. Hence, I will be mainly focusing on definitions and properties that interest us. Formal proofs of the introduced properties are also available in the before-mentioned resources.



(a) Miss intersection.



(b) Tangent intersection.



(c) Complete intersection.



(d) Inside intersection.

Figure 4: Different ray intersection cases on a disk.

# 3.2.1 Set Algebra

**Definition 3.1** (Set Operations). Assume that X and Y are subsets of a universe W. We can use the following standard notations:

$$X \cup Y$$
 (1)

$$X \cap Y$$
 (2)

$$X - Y \tag{3}$$

Where (1), (2), and (3) respectively denote the union, intersection, and difference of the subsets X and Y.

**Property 3.1.** Union and intersection operations are commutative. [12]

$$X \cup Y = Y \cup X$$

$$X\cap Y=Y\cap X$$

**Property 3.2.** Union and intersection operations are distributive over themselves and each other. [12]

$$X \cup (Y \cap Z) = (X \cup Y) \cap (X \cup Z)$$

$$X \cap (Y \cup Z) = (X \cap Y) \cup (X \cap Z)$$

**Property 3.3.** The empty set  $\emptyset$  and the universe W are identity elements for the union and intersection operators. [12]

$$X \cup \emptyset = X$$

$$X \cap W = X$$

**Property 3.4.** The complement, denoted *c*, satisfies [12]:

$$X \cup cX = W$$

$$X \cap cX = \emptyset$$

**Definition 3.2.** Conducting the three operations  $\cup$ ,  $\cap$ , and - on a set of elements from the universe W while satisfying the properties (3.1) to (3.4) is called boolean algebra [16].

#### 3.2.2 Topological Spaces

Topological spaces are a generalization of metric spaces in which the notion of "nearness" is introduced but not in any quantifiable way that requires a direct distance definition [12].

**Definition 3.3** (Topological Space). A topological space is a pair (W,T) where W is a set and T is a class of subsets of W called the open sets and satisfying the following three properties 3.5, 3.6, and 3.7. (Figure 5)

**Property 3.5.** The empty set  $\emptyset$  and the universe W are open.[12]

**Property 3.6.** The intersection of a finite number of open sets is an open set. [12]

**Property 3.7.** The union of any collection of open sets is an open set. [12]

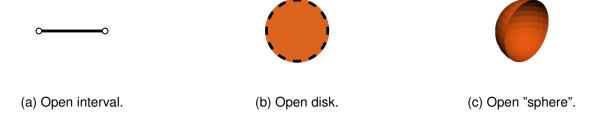


Figure 5: A representation of different open sets varying in dimensional order.

#### 3.2.3 Closed Sets

**Definition 3.4** (Closed Sets). A subset X of a topological space (W,T) is closed if its complement is open. However, this don't mean that closed sets are the opposite of open sets (e.g. the universe W and the null set  $\emptyset$  are both open and closed). Closed sets hold the following properties which are duals of properties (3.5) to (3.7). (Figure 6)

**Property 3.8.** The empty set  $\emptyset$  and the universe W are closed. [12]

**Property 3.9.** The union of a finite number of closed sets is a closed set. [12]

**Property 3.10.** The union of a finite number of closed sets is a closed set. [12]

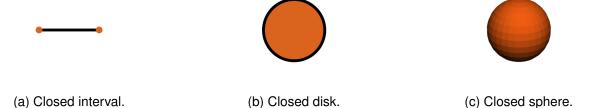


Figure 6: A representation of different closed sets varying in dimensional order.

#### 3.2.4 Neighborhood

**Definition 3.5.** The neighborhood, denoted N(x), of a point x in a topological space (W,T) is any subset of W which contains an open set which contains x. If N(x) is an open set, it is called an open neighborhood [16]. (Figure 7)

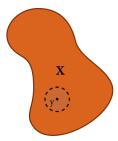


Figure 7: Interior point y on a subset X. The disc around y is the neighborhood of y.

#### 3.2.5 Interior

**Definition 3.6.** A point of x of W is an interior point of a subset X of W if X is a neighborhood of x. The interior of a subset X of W, denoted iX, is the set of all the interior points of X [16].(Figure 7)

#### 3.2.6 Boundary

**Definition 3.7.** A point x of W is a boundary point of a subset X of W if each neighborhood of x intersects both X and x. The boundary of X, denoted x, is the set of all boundary points of X [16]. (Figure 8)

## 3.2.7 Closure

**Definition 3.8.** The closure of a subset X, denoted kX, is the union of X with the set of all its limit points. A point is a limit point of a subset X of a topological space (W,T) if each neighborhood of x contains at least a point of X different from x [16]. (Figure 9)

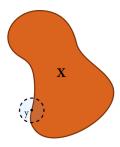


Figure 8: Boundary point y on a subset X.

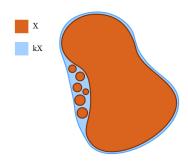


Figure 9: Closure kX of a subset X.

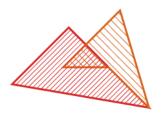
# 3.2.8 Regularity

**Definition 3.9** (Regularity). The regularity of a subset X of W, denoted rX, is the set of rX = kiX. [12]

**Definition 3.10** (Regular Set). A set X is regular if X = rX, i.e. if X = kiX [12]. (Figure 10)

**Definition 3.11** (Regularized Set Operators). The regularized union, intersection, difference and complement are defined per:

$$X \cup^* Y = r(X \cup Y)$$
$$X \cap^* Y = r(X \cap Y)$$
$$X^{-*}Y = r(X - Y)$$
$$c^*X = rcX$$





(a) Initial polygons.

(b) Typical intersection with dangling edge.

(c) Regularized intersection.

Figure 10: Normal polygon intersection versus regularized intersection.

Table 1: Notation

| $\overline{E^n}$                         | Euclidean n-space   |
|--|---|
| 0  | Empty Set   |
| V  | • •   |
| W  | Reference Set Universe                                    |
| W'                                       | Candidate Set Universe                                    |
| $\cup, \cap, -, c$                       | Set Operators   |
| $\cup^*, \cap^*, -^*, c^*$               | Regularized Set Operators in $W$                          |
| $\cup^{*'}, \cap^{*'}, -^{*'}, c^{*'}$   | Regularized Set Operators in $W'$                         |
| i,b,k,r                                  | interior, boundary, closure, and regularity in $\it W$    |
| $i^\prime, b^\prime, k^\prime, r^\prime$ | interior, boundary, closure, and regularity in $W^\prime$ |

#### 3.2.9 Membership Classification Function

The membership classification function allows to segment a candidate set into three subsets which are the "inside", "outside", and "on the" of the reference set. Here, we will abstractly define what membership classification before moving to the practical implementations of the more specific ray classification. This theory depends heavily on the previously defined notions of interior, closure, boundary, and regularity. For a brief recapitulation, a point x is an element of the interior of a set X, denoted iX, if there exists a neighborhood of x that is contained in X; x is an element of the closure of X, kX, if every neighborhood of x contains a point of x; x is an element of the boundary of x, x, if x is an element of both x and x, where x denotes the complement. A set is said to be regular if x is an element of the boundary of x, x is an element of both x.

The membership classification function works on a pair of point sets:

S =The regular reference set in a subspace W.

X =The candidate regular set X, classified with respect to S, in a subspace W' of W.

Primed symbols will be used in order to denote operations on the subspace W' while normal symbols will be used to denote the subspace W (Table 1).

**Definition 3.12.** The membership classification function, M is defined as follows:

$$M[X,S] = (XinS, XonS, XoutS).$$
(4)

where

$$XinS = X \cap^{*'} iS$$
  
 $XonS = X \cap^{*'} bS$   
 $XoutS = X \cap^{*'} cS$ 

The results obtained from this classification (XinS, XonS, XoutS) are the regular portions of the candidate set, X, in the interior, boundary, and the exterior of the reference set W (Figure 11). The produced results are a quasi-disjoint decomposition of the candidate; therefore:

$$X = XinS \cup XonS \cup XoutS \tag{5}$$

and for "almost" all points in the subset:

$$XinS \cap XonS = \emptyset$$
  
 $XonS \cap XoutS = \emptyset$   
 $XinS \cap XoutS = \emptyset$ 

We say almost since the subsets are generally not disjoint in the conventional sense. (e.g. in Figure 11 XinS and XonS share a boundary point). [12]

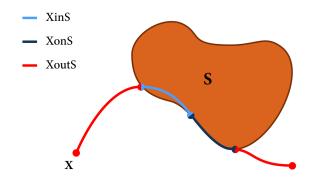


Figure 11: Membership classification function.

#### 3.2.10 Classification by constructive geometry

Constructive geometry representations are binary trees whose nonterminal nodes designate regularized set operators and whose terminal nodes designate primitives. We refer to the specific case of constructive geometry in  $E^3$  where regularized compositions are constructed of solid primitives as constructive *solid* geometry. Regular sets are closed under the regularized set operators thus a class of regular sets can be represented constructively as a combination of other more simple (regular) sets [16].

For example, as illustrated in Figure 12, if the universe W is in  $E^2$  and we select the class of closed half-planes as our primitives, we could construct any regular set in  $E^2$  given that it is bounded by a finite number of straight line segments.

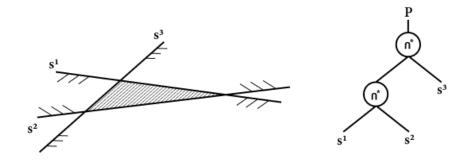


Figure 12: A constructive representation of a polygon P using half-planes. The tree on the right is the constructive geometry representation.

We choose to define the constructively represented regular sets using the divide-and-conquer paradigm as it is a natural approach to compute the value of such a function.

Therefore, when a regular set S is not a primitive, a nonterminal node, we convert the problem of evaluating the function f(S) into two simpler instances of f followed by a combine, g, step. When S is a primitive, a terminal node, the problem can no longer be divided and an evaluator, ef, is used. We can now consider the general function for evaluation M when the reference set S is represented constructively.

$$M[X,S] = \begin{cases} eM(X,S), & \text{if } S \subset A \\ g(M[X, \text{l-subtree}(S)], M[X, \text{r-subtree}(S)], \text{root}(S)), & \text{otherwise} \end{cases} \tag{6}$$

where

S = The regular reference set. X = The candidate regular set.

eM = The primitive evaluation function. A = The set of all allowed primitives.

g = The combine function.

I-subtree = The left subtree.
r-subtree = The right subtree.
root = The operation type. <sup>2</sup>

To customize this general definition to be used in a specific domain, one must design the primitive classification procedure, eM, and the combine procedure. We have already defined our primitive classification procedure in Section 3.1. The combine procedure is discussed thoroughly in the next section.

#### 3.3 Ray classification

Given a ray and a solid composition tree, our procedure classifies the ray with respect to the solid and returns the classification to the caller. As previously defined, the classification of a ray with respect to a solid is the information describing the closest and furthest ray-solid intersection, [in, out]. The procedure starts at the top of the solid composition tree, recursively descends to the terminal nodes, classifies the ray with respect to the primitives, then returns the tree combining the classifications of the left and right subtrees. Therefore, if our classification runs on a tree with depth 1, we would receive an [in, out] for each of the solids in the leaf nodes. As previously discussed in 3.1, we might not receive either or both the in and the out. (E.g. if the ray is tangent to a solid, then one only receives the in). As illustrated in Figure 13, we can compute the combinations of the pair of intersections using boolean algebra (Table 2).

# 4 Optimization

In this section, we will introduce the state-of-the-art CSG algorithm that is implemented in the OpenRT framework. Here we expose all the adjustments and changes we have made to the algorithm in order to maximize its performance and results. We will discuss a minimal hit classification algorithm, box enclosures, and how we simple techniques such

<sup>&</sup>lt;sup>2</sup>The current node always contains the operation.

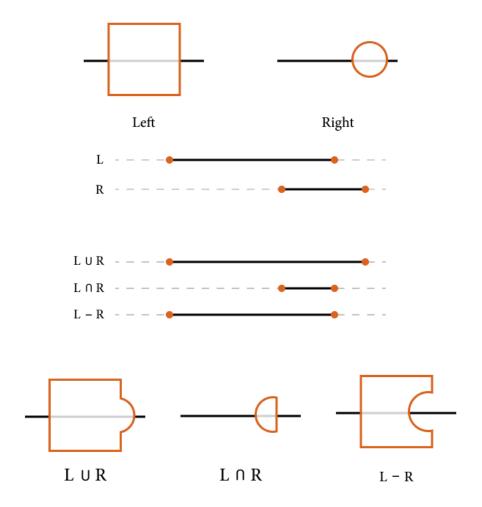


Figure 13: Example of combining ray classifications.

as "early-outs" can increase the performance. Additionally, we propose the limitations of all these methods. We will discuss the binary space partition tree acceleration and spatial indexing structure, including a modified version in order to optimally reverse the tree traversal. Finally, we will put it all together in our version of the CSG algorithm.

#### 4.1 Minimal hit CSG classification

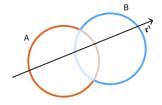
What we have introduced in the Section 3.3 is the typical approach to rendering CSG. However, this approach could be very costly as we nest more geometries in the tree and require lots of memory to store, classify, and combine a long chain of operations and primitives. Therefore, we introduce a new approach which we refer to as minimal hit CSG classifications. The approach described here computes intersections with binary CSG objects using the single nearest intersections whenever possible. Though it may need to do several of these per sub-object, the number needed is quite low and is never higher than that of the first method. To our knowledge, this approach was entirely developed in OpenRT and no resources found describe this type of ray classifications. Though a relatively similar algorithm has been introduced in [7], it was proven in [25] to not be functional for the intersection and difference operations.

Table 2: Boolean operations table

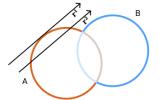
| Set Operator | Left Solid | Right Solid | Composite |
|--------------|------------|-------------|-----------|
| U            | in         | in          | in        |
|              | in         | out         | in        |
|              | out        | int         | in        |
|              | out        | out         | out       |
| $\cap$       | in         | in          | in        |
|              | in         | out         | out       |
|              | out        | in          | out       |
|              | out        | out         | out       |
| _            | in         | in          | out       |
|              | in         | out         | in        |
|              | out        | in          | out       |
|              | out        | out         | out       |

#### 4.1.1 Union Classification

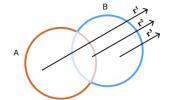
Consider the case of two the spheres shown in Figure  $\ref{eq:constraint}$ ? The union of these two solids is the boundary of each of the spheres without their interior. Therefore, to find the correct classification results we must find the closest intersection from our ray origin such that it does not belong to the interior of the sphere. Our ray tracer can find this out by shooting a ray at each of the objects. Let us denote the intersection distance from the ray origin to the point as  $t_A$ .  $\vec{P_A}$  is the intersection point which we can compute through the general ray equation  $\vec{P_A} = \vec{o} + t_A \vec{d}$  (see Table 3). Originally,  $min_A$  is set to  $+\inf$ , and  $max_A$  is set to  $-\inf$ . Additionally,  $min_A$  takes the value of  $t_A$  if and only if  $t_A \leq min_A$ . Meanwhile,  $max_A$  takes the value of  $t_A$  if and only if  $t_A \geq max_A$ . all of the minimum and maximum values keep their default values if their corresponding intersections don't exist.



(a) Ray goes through both spheres.



(b) Ray misses one of the spheres.



(c) Ray is inside one of the spheres.

Figure 14: Union ray classification cases.

For the case where the ray enters and exits both spheres (Figure 14a), one would only have to find  $MIN(min_A, min_B)$  in order to conclude which one of the boundaries of the sphere is closest.

Let us now examine the case where no intersection is found with one or all of the solids as shown in Figure 14b. In case the ray only hits one of the entries, we need to check if the ray exits the boundary of the missed sphere. If the ray doesn't exit the second sphere, we are sure the ray misses the second sphere and can return  $min_A$ . Otherwise, this leads

Table 3: Notation

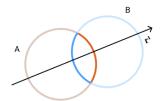
| A, B           | left sphere, right sphere.                          |
|----------------|---|
| $t_A, t_B$     | Current ray intersection distance with $A$ , $B$ .  |
| $min_A,min_B$  | Closest ray intersection distance with $A$ , $B$ .  |
| $max_A, max_B$ | Furthest ray intersection distance with $A$ , $B$ . |
| $P_A, P_B$     | Intersection point for $A$ , $B$ .                  |

to the  $r^1$  case of 14c.

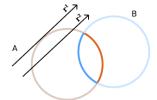
As illustrated in 14c, the last set of cases arise when the ray is shot from the interior of the spheres. This is more intricate since we have to teach our ray tracer to neglect the inner sides and only get the outer sides. The first scenario can occur when the ray only misses one of the entries but nevertheless exits both solids. Here, we must ensure that the order of the evaluation in terms of distance is in < out < out. If so, then we can render the furthest out as our intersection ( $r^1$  from Figure 14c). If not, this means the spheres are disjoint from each other, leading to out < in < out, and we return the closest out. If the ray is shot from the shared interior of the two spheres ( $r^2$  from Figure 14c), this means the ray has missed both entries and one has to return the furthest of the two exit intersections. This solution is also valid for the last case ( $r^3$  from Figure 14c) since the value of max is set to  $-\inf$ ; therefore, the greatest of the two would be the current intersection.

#### 4.1.2 Intersection Classification

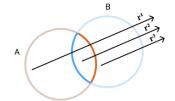
We will stick to the same general example; however, we will be performing the intersection of two spheres. (Figure 15)



(a) Ray goes through both spheres.



(b) Ray misses one of the spheres.



(c) Ray is inside one or both spheres.

Figure 15: Intersection ray classification cases.

The intersection of two spheres is their interior without the boundary of each of the spheres. We will apply the same previously defined notations shown in Table 3. First, we will begin with the obvious case where a ray goes through both A and B, as shown in Figure 15a. We first only compute the  $min_A$  and  $min_B$  as it is the first case we ordinarily would like to check. In case  $min_A$  and  $min_B$  have values deviating from  $\pm\inf$ , then we are guaranteed our ray is going through both the spheres. But we still need to verify if the spheres have a shared interior (the intersection of two disjoint sets is the empty set  $\emptyset$ ). Therefore, we must analyze the exit point of the closest entry. If that exit point doesn't lie between the two entry points, then we must return this as a miss. However, if the out point lies between the two spheres. Then we return the furthest min as the intersection.

# Algorithm 2: Minimal hit classification for union.

```
Result: t intersection distance
min_A = intersectMin(A);
min_B = intersectMin(B);
if min_A! = \inf and min_B! = \inf then
   return MIN(min_A, min_B);
if min_A! = \inf \text{ and } min_B == \inf \text{ then }
   max_B = intersectMax(B);
   if max_B = -\inf then
      return min_A;
   if max_B < min_A then
      return max_B;
   max_A = intersectMax(A);
   return max_A;
if min_A == \inf and min_B! = \inf then
   max_A = intersectMax(A);
   if max_A == -\inf then
    | return min_B;
   if max_A < min_B then
    return max_A;
   max_B = intersectMax(B);
   return max_B;
if min_A == \inf and min_B == \inf then
   max_A = intersectMax(A);
   max_B = intersectMax(B);
   if max_A == -\inf \text{ and } max_B == -\inf \text{ then }
      return miss;
   return MAX(max_A, max_B);
```

The second case is when a ray only intersects with one of the spheres, as depicted in Figure 15b. In case one of the previously computed entries has a default value, we must check if the sphere with no min has an exit point. If it doesn't, then this means the ray completely misses one of the spheres and we return a miss ( $r^1$  and  $r^2$  in Figure 15b, and  $r^3$  in Figure 15c).

The last cases are when the sphere is inside one or both of the solids. The first case arises when the ray doesn't enter one of the spheres but continues to have all other exits. Here we must check if the values of all the intersections are in the following order min < max. If that's the case, then we return the first min. Otherwise, this hints that the spheres are disjoint; therefore, we classify them as a miss. If the spheres lie within the interior area shared by the two spheres ( $r^2$  in Figure 15c), we return the closest of both the exit points.

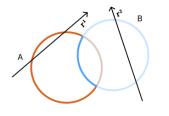
Algorithm 3: Minimal hit classification for the intersection.

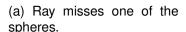
```
Result: t intersection distance
min_A = intersectMin(A);
min_B = intersectMin(B);
if min_A! = \inf and min_B! = \inf then
   if min_A < min_B then
       max_A = intersectMax(A);
       if min_B < max_A then
          return min_B;
   if min_B < min_A then
       max_B = intersectMax(B);
       if min_A < max_B then
        return min_A;
   return miss;
if min_A! = \inf \text{ and } min_B! = \inf \text{ then }
   max_B = intersectMax(B);
   if max_b == -\inf then
      return miss;
   max_A = intersectMax(A);
   if min_A < max_B < max_A then
```

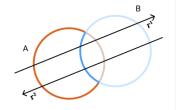
#### 4.1.3 Difference Classification

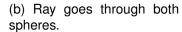
Difference remains the most complex and least optimizable operation out of all three due to several factors. First, the minimal knowledge of the scene is higher than both previously mentioned operations. Second, the difference operations are not commutative nor distributive; therefore, the direction of the ray renders completely different results. We shall stick to the same example as the previous two cases and with similar notations (Figure 16).

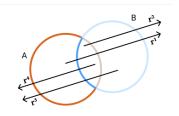
We will first consider the case where a ray misses one of the two spheres, as shown in Figure 16a. Contrary to union and intersection, we always get both the closest and furthest intersection points of A. If the ray does not enter or exit A, we can already consider this a miss ( $r^2$  in Fig. 16a). However, if it either enters or exits A, we must already review if it enters B, thus we compute  $min_B$ . If the ray does enter B, we return











(c) Ray is inside one or both spheres.

Figure 16: Difference ray classification cases.

the shortest of the two distances  $min_A$  and  $max_A$ . Otherwise, we move to the next case.

As illustrated in 16b, this case heavily depends on the direction of the ray and only stands if all enter and exit points of both sphere are valid. Starting with ray  $r^1$ , if we have completed the first check of entering and exit of A we can move to check B. We now need both the entrance and exit points of B. Assuming Bs points are both not the default values  $(\pm\inf)$ , we must first check if  $min_A < min_B < max_A$ . If this holds, then we can already return the entry point of A as our intersection. If it doesn't  $(r^2 \inf B)$  we must then check if  $min_B < min_A < max_B < max_A$ , then we can return the exit of B as the intersection.

The last and most complicated case is when the ray lies somewhere inside one of these two spheres. Starting with  $r^1$ , we already understand that the ray doesn't enter but only exists A, thanks to the calculation from the first case. We verify if the ray enters or exits B. If the ray enters and exists B, then we can return  $MIN(max_A, max_B)$ . If it only exits B, then we necessitate checking if  $max_A < max_B$ . If that holds, it is a miss. If it doesn't, we return  $max_B$ .

# 4.2 Bounding Boxes

Bounding boxes are the simplest way to cut down on the number of ray intersection operations and reducing overall rendering time. Let's visualize the situation where a union of two spheres composed of 100 triangles lies in the middle of a 500x500px view of which the composite covers 100x100 pixels. In the former approach, we would examine every single ray with the complete composite. Resulting in a staggering 25.000.000 intersection checks; though, we solely necessitate a fifth of that. We introduce a box enclosure to do a prefatory examination before testing the rest of the composite. Hence, with a tight enough box (covering 110x110), the ray tracer would only need to check for 1.460.000 intersections such that 250.000 tests are box enclosure ones and the rest 1.210.000 are for ray-solid tests - a decrease of roughly 80%. In the worst case, when an enclosure stretches across the entire view, the box enclosure will add additional operations of ray-box intersections on top of completing all the ray-intersection checks. Nevertheless, ray-box tests are fast, and one could dismiss the additional costs of those operations. When used in the context of CSG, this solution essentially turns into an efficient binary tree traversal.

We can also use many other types of enclosures; however, we choose box enclosures for their many advantages. First, one can define an abstract box by only two points (a minimum and maximum point). Because the enclosure definition lies inside every node in

# **Algorithm 4:** Minimal hit classification for the intersection.

```
Result: t intersection distance
min_A = intersectMin(A);
max_A = intersectMax(A);
if min_A == \inf \text{ and } max_A == -\inf \text{ then }
   return miss;
min_B = intersectMin(B);
max_B = intersectMax(B);
if min_B == \inf \text{ and } max_A == -\inf \text{ then }
   return MIN(min_A, max_A);
if max_A! = -\inf \text{ and } max_B! = -\inf \text{ then }
   if min_A! = \inf then
       if min_B! = \inf then
          if min_A < min_B and min_B < max_A then
           return min_A;
          if min_B < min_A and max_B < max_A then
           return max_B;
       if min_B == \inf then
          if min_A < max_B and max_B < max_A then
            | return max_B;
   if min_A == \inf then
       if min_B! = \inf then
          if min_B < max_A then
           return min_B;
       if min_B == \inf then
          if max_B < max_A then
              return max_B;
return miss;
```

the CSG tree, we must ensure that we do not excessively increase the required memory per node. Second, boxes are arguably the tightest types of bounding volumes. Implying that if a ray-box intersection test is positive, there is a high probability the ray will too intersect the geometry inside of the bounding box. Lastly, applying boolean operations on bounding boxes is straightforward. Therefore, if an object is part of a CSG tree, but the precomputed bounding box is smaller than the object (e.g., the case of a subtraction operation), the rest of the geometry piercing outward will also be neglected when performing ray-composite intersection tests.

A bounding box is a rectangular parallelepiped defined by exactly two points (Figure 17). Each primitive, solid, and composite must be able to define its bounding box. For primitive cases, the bounding box is case-specific. For example, the bounding box of a primitive sphere of radius r=1 located at center point  $\vec{o}=(0,0,0)$  has a bounding box whose maximum point is (r,r,r) and minimum point (-r,-r,-r). Solids are more complicated as they are composed of many primitives. Hence, one has to create a collapsed bounding box (a bounding box whose min and max coordinates are respectively  $+\inf$  and  $-\inf$ ) and slowly start inflating by the primitive's predefined boxes. The inflation step is as simple as checking if the value of a coordinate of the current bounding box is smaller or bigger than that of the primitive's bounding box and either picking the smallest or the greatest value depending on the coordinate. For instance, if our current bounding box has min(0,0,0) and max(1,1,3) and the current primitives bounding box has min(0,1,1,3) and max(1,1,3) and the current values of the points of our bounding box become min(-1,-1,0) and max(2,2,3).

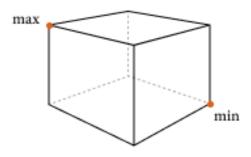


Figure 17: Bounding box.

Combining the boxes on the composite level is also very important to realize. We can achieve this trivially with the usual rules of algebra defined in the previous section. Though, the difference operation can't since its results are not foreseeable, and the cost of analyzing the entire composition is counter-productive in this use case [18]. When dealing with the union operation, we select the smallest value from both boxes per coordinate for the minimum and vice-versa. In an intersection case, we pick the highest value from both boxes per coordinate for the minimum (opposite to the union) and the dual for the maximum. For the difference, we have previously mentioned that it's not possible to generalize using boolean algebra; therefore, we keep the minimum and maximum of the left box as we are sure that the result of the subtraction operation will never be bigger than the left geometry,  $A-B \leq A$ . Figure 18 shows the different operations on rectangles. The same logic holds for the three dimensional space as we only check for an additional

coordinate. Algorithm 5 defines the procedure for composite boxes.

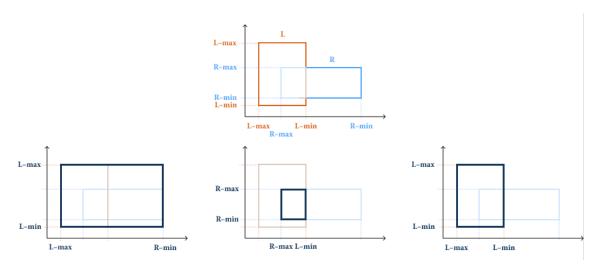


Figure 18: Boolean algebra on composite boxes.

```
Algorithm 5: Composite solid box enclosure estimation algorithm.
```

```
Result: t intersection distance initialization; for i = 1, 2, 3 do

if Operator is \cup then

| min[i] = MIN(leftMin[i], rightMin[i]);

| max[i] = MAX(leftMax[i], rightMax[i]);

if Operator is \cap then

| min[i] = MAX(leftMin[i], rightMin[i]);

| max[i] = MIN(leftMax[i], rightMax[i]);

if Operator is - then

| min[i] = leftMin[i];

| max[i] = leftMax[i];

end
```

# 4.3 Binary Space Partitioning Trees

One of the most fundamental concepts in ray tracing is binary space subdivision as spatial or hierarchical data structures for efficiently searching for objects in the scene. A predominant concept in these data structures is binary space partitioning which refers to the successive subdivision of a scene's bounding box with planes until we reach termination criteria. The resulting data structure is called a binary space partition tree or a BSP tree. BSP trees offer the flexibility of using arbitrarily oriented planes to accommodate complex scenes and uneven spatial distributions. Therefore, in theory, BSP trees are a simple, elegant, and efficient solution to our visible-surface problems. In our implementation, we use a variant called KD-trees. These are a more "restricted" type of BSP trees in which only axis-aligned splitting planes are allowed. These trees conform much better with computational advantages and memory needs but do not adapt very well to scene complexities. It is relatively easy to generate an inefficient binary tree with non-axis-aligned geometry (e.g., a long skinny cylinder oriented diagonally). All variations of

the algorithms are generally composed of two fundamental parts, building and traversing the tree. How we choose these two core procedures tremendously affects the amount of acceleration achievable. We will discuss our building and traversing procedures and a custom traversal to find the furthest intersection. Because the main focus of the paper doesn't align with the improvement of building or traversal procedures, the BSP algorithm is unoptimized. However, many algorithms such as surface area heuristic, local greedy SAH, automatic termination criteria, and many more have proved to optimize KD trees.

- 4.3.1 Building BSP trees
- 4.3.2 Traversing BSP trees
- 4.3.3 Modified Traversal

# 5 Evaluation of the results

Summarize the main aspects and results of the research project. Provide an answer to the research questions stated earlier.

(target size: 1/2 page)

# 6 Conclusion

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