

Letter of Authorization (LOA)

**WELLS
FARGO****ADVISORS***(Office Use Only)*

Sub Firm #

Account Number

205**Section 1 Tell Us About Your Wells Fargo Advisors Brokerage Account**

Complete all fields, including your 8-digit account number.

Wells Fargo 8-Digit Brokerage Account Number

1111-1111

11111111

Account Type (e.g., Individual, Joint, Trust, etc.)

Individual 11111111111111111111

Individual

Account Name(s) (e.g., John Doe, John and Mary Doe, the Doe Family Trust, etc.)

Nathan Otsuka

Purpose for Transfer – required for UTMA/UGMA: _____

Section 2 Tell Us About Your Transfer Request

If no selection is made, one-time disbursement is the default.

☒ **One-Time Disbursement** (Any future requests will require a new form)☐ **Standing Instructions** (Requests for transfers at your discretion with amount not to exceed your specified threshold. You must initiate each request.)

Not to exceed amount: \$ _____

☐ **Recurring*** (only available for cash)

*Automated transfer of a specific dollar amount or type

Amount \$: _____ AND/OR Types(s) (dividends, interest, etc.): _____

Start Date: _____ Frequency (monthly, quarterly, etc.): _____

Expiration Date or transfers will continue until you revoke: _____

Section 3 Tell Us How You Want To Move Assets

Time of completion varies based on account activity and method of distribution.

☐ **Move via Journal to another Wells Fargo Advisors Account**

8-Digit Account Number

2222-2222

Account Title

Family Portfolio

☒ **Send shares to another Financial Firm**

Receiving Account Number

3333-3333

Receiving Account Name

Nick O

Receiving Firm Name

Charles Swab

Delivery Instructions

Electronically

Firm or DTC Number

0250

Checks cannot be mailed directly to the IRS or other taxing authorities.

Check cannot be overnighted to a P.O. Box.

☐ **Check****Payee Information – Make Check Payable To:**

Payee Name: _____

Delivery Instructions – Mail to Address:

Street Address

City

State

Zip Code

Country (if outside of US)

☐ Optional Memo Note: _____**Delivery Method**☐ Regular Mail (default)☐ Overnight Delivery (Fee will apply, overnight is not an option for recurring instructions)**Investment and Insurance Products:****Not Insured by FDIC or any Federal Government Agency****May Lose Value****Not a Deposit of or Guaranteed by a Bank or any Bank Affiliate**

Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.

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