

# Letter of Authorization (LOA)

**WELLS  
FARGO****ADVISORS***(Office Use Only)*

Sub Firm #

Account Number

**205**

## Section 1 Tell Us About Your Wells Fargo Advisors Brokerage Account

Complete all fields, including your 8-digit account number.	Wells Fargo 8-Digit Brokerage Account Number	Account Type (e.g., Individual, Joint, Trust, etc.)
	Account Name(s) (e.g., John Doe, John and Mary Doe, the Doe Family Trust, etc.)	
	Purpose for Transfer – required for UTMA/UGMA: _____	

## Section 2 Tell Us About Your Transfer Request

If no selection is made, one-time disbursement is the default.	<input type="checkbox"/> <b>One-Time Disbursement</b> (Any future requests will require a new form)	
	<input type="checkbox"/> <b>Standing Instructions</b> (Requests for transfers at your discretion with amount not to exceed your specified threshold. You must initiate each request.) Not to exceed amount: \$ _____	
	<input type="checkbox"/> <b>Recurring*</b> (only available for cash) *Automated transfer of a specific dollar amount or type	
	Amount \$: _____ AND/OR Types(s) (dividends, interest, etc.): _____	
	Start Date: _____ Frequency (monthly, quarterly, etc.): _____	
	Expiration Date or transfers will continue until you revoke: _____	

## Section 3 Tell Us How You Want To Move Assets

Time of completion varies based on account activity and method of distribution.	<input type="checkbox"/> <b>Move via Journal to another Wells Fargo Advisors Account</b>		
	8-Digit Account Number	Account Title	
	<input type="checkbox"/> <b>Send shares to another Financial Firm</b>		
	Receiving Account Number	Receiving Account Name	
	Receiving Firm Name	Delivery Instructions	Firm or DTC Number
Checks cannot be mailed directly to the IRS or other taxing authorities.  Check cannot be overnighted to a P.O. Box.	<input type="checkbox"/> <b>Check</b>		
	<b><u>Payee Information – Make Check Payable To:</u></b>		
	Payee Name: _____		
	<b><u>Delivery Instructions – Mail to Address:</u></b>		
	Street Address		
	State	Zip Code	Country (if outside of US)
	<input type="checkbox"/> Optional Memo Note: _____		
	<b>Delivery Method</b>		
	<input type="checkbox"/> Regular Mail (default)		
	<input type="checkbox"/> Overnight Delivery (Fee will apply, overnight is not an option for recurring instructions)		

### Investment and Insurance Products:

**Not Insured by FDIC or any Federal Government Agency****May Lose Value****Not a Deposit of or Guaranteed by a Bank or any Bank Affiliate**

Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.

**SR #**

**Section 4 Tell Us What You Want To Transfer**

You may elect to distribute cash only, securities only, or both cash and securities.

- ☐ **All Cash and Securities** – does not include residuals
- ☐ **All Cash and Securities** – including 90 day cash residual transfer
- ☐ **Cash \$:** \_\_\_\_\_ or write in ALL
- ☐ **All Securities**
- ☐ **Only the securities listed below**

*Need more space? Attach separate pages including Tax Lot Selections if applicable; any attachments must also be signed.*

Number of Shares/Contracts (or for standing instructions, list not to exceed quantity)	Security Description	Symbol or CUSIP	For standing instructions, provide maximum market value based on prior business day's closing value

**Section 5 Provide Signature(s) and Date**

The undersigned acknowledges that I/we have read this form in its entirety and understand and agree to be bound by this Letter of Authorization and the Terms and Conditions located on page 2.

Account Owner/Trustee Signature <b>X</b>	Print Name	Date
Account Owner/Trustee Signature <b>X</b>	Print Name	Date

**Section 6 Next Steps**

<b>Fax</b> 844-879-1439 <b>Questions: 1-800-TRADERS (872-3377)</b> <b>We're here for you 24/7</b>	<b>Mail</b> Wells Fargo Advisors – Attention: MAC N9160-01P PO Box 77046, Minneapolis, MN 55480-9902
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**Terms and Conditions**

WELLS FARGO CLEARING SERVICES, LLC, ("WFA"), and Wells Fargo Bank, N.A., are separate affiliates of Wells Fargo & Company. As separate legal entities, none are responsible for the obligations of the others. Stocks, bonds, mutual funds, and other securities bought and sold through WFA are not bank deposits of any bank and are not insured or otherwise protected by the Federal Deposit Insurance Corporation or any other government agency; are not an obligation of any bank or any affiliate of WFA; are not endorsed or guaranteed by Wells Fargo & Company, WFA, or any bank or any affiliate of WFA; and involve investment risk including possible loss of principal. Accounts are carried by Wells Fargo Clearing Services, LLC, member NYSE/SIPC.

In consideration of WFA accepting the instructions on this document, I release and discharge WFA and its affiliates from any liability or claims in connection with these instructions and agree to indemnify and hold WFA harmless against any losses from any action, claim, or demand of any person based upon WFA acting under these instructions.

For transfers to third party accounts in which you have no ownership interest, you agree that by signing this document that you are irrevocably relinquishing all rights, title, and interest to the asset(s) listed on this document.

I acknowledge that I have read and understand the terms of this request to transfer assets and further acknowledge that the terms of this request are binding regardless of any other agreement(s) between me and the recipient(s) of these assets.

**OFFICE USE ONLY: Associate and Supervisory Signatures**

The undersigned certifies that the account holder(s) authorized the terms of the transfer of funds and/or securities as described in this form.

Associate's Signature <b>X</b>	Associate's Name	Date
Qualified Supervisor's Signature <b>X</b>	Qualified Supervisor's Name	Date

**Investment and Insurance Products:**

<b>Not Insured by FDIC or any Federal Government Agency</b>	<b>May Lose Value</b>	<b>Not a Deposit of or Guaranteed by a Bank or any Bank Affiliate</b>
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