# Lecture 13: Non-monotonic reasoning

## Dragan Doder

Methods in Al Research



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  - ► The concert is scheduled for March 12th
  - ► I have the ticket
  - ▶ Therefore, I will attend the concert on March 12th
  - ▶ Pandemic ⇒ I will not attend the concert on March 12th
- ► Non-monotonicity some conclusions are retracted after receiving new information

## What is non-monotonicity?

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$$KB \models \alpha$$
, then  $KB \cup \{\beta\} \models \alpha$ 

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Two restricted variants of monotonicity:

## Cautious monotonicity

**CM** If  $KB \sim \alpha$  and  $KB \sim \beta$ , then  $KB \cup \{\beta\} \sim \alpha$ 

## Rational monotonicity

**RM** If  $KB \sim \alpha$  and  $KB \not\sim \neg \beta$ , then  $KB \cup \{\beta\} \sim \alpha$ 

Tweety		

## **Tweety**

KB: "Tweety is a bird"

 $\alpha$ : "Tweety flies"

 $KB \sim \alpha$ 

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 $\alpha$ : "Tweety flies"

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 $\beta$ : "Tweety is a penguin"

 $KB \cup \{\beta\} \not \sim \alpha$ 

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- ► Quakers are pacifists
- ► Republicans are not pacifists
- Nixon is both a quaker and a republican (Richard Milhous Nixon − the 37th president of US)
- ► Is Nixon a pacifist?

- particular predicates are assumed to be "as false as possible"
  - ► false for every object *except* those for which they are known to be true
- ▶  $Bird(x) \land \neg Abnormal_1(x) \rightarrow Flies(x)$

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  - We assume  $\neg Abnormal_1(Tweety)$ , if we do not already know that  $Abnormal_1(Tweety)$  holds
  - ► In that case, we can derive Flies(Tweety)
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  - ► In that case, we can derive Flies(Tweety)
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- ▶ an example of *model preference* logic
  - one model is preferred to another if it has fewer abnormal objects

### **Nixon Diamond**

Republican(Nixon)  $\land$  Quaker(Nixon) Republican(x)  $\land \neg$ Abnormal<sub>2</sub>(x)  $\rightarrow \neg$ Pacifist(x) Quaker(x)  $\land \neg$ Abnormal<sub>3</sub>(x)  $\rightarrow$  Pacifist(x)

### **Nixon Diamond**

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Republican(Nixon) \land Quaker(Nixon)
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```

- two preferred models:
  - $ightharpoonup m_1$ : Abnormal<sub>2</sub>(Nixon) and Pacifist(Nixon) hold and
  - ▶  $m_2$ : Abnormal<sub>3</sub>(Nixon) and ¬Pacifist(Nixon) hold

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  - $ightharpoonup m_2$ : Abnormal<sub>3</sub>(Nixon) and  $\neg Pacifist(Nixon)$  hold
- ► a variant prioritized circumscription
  - ▶ giving priority to religious beliefs ⇒ Abnormal<sub>3</sub> minimized
  - conclusion: Pacifist(Nixon)

# Default logic

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► Default rules:

$$P: J_1, \ldots, J_n/C$$

- $\triangleright$  P prerequisite, C conclusion,  $J_i$  justifications
- ightharpoonup if P, then infer C, unless some  $J_i$  can be proven false
- Example:

ightharpoonup Bird(x) is true and Flies(x) is consistent with what is inferred, then Flies(x) may be concluded by default

### **Nixon Diamond**

Republican(Nixon)  $\land$  Quaker(Nixon) Republican(x):  $\neg Pacifist(x)/\neg Pacifist(x)$ Quaker(x): Pacifist(x)/Pacifist(x)

- extension a maximal set of consequences of the theory
  - ▶ if we apply one rule first, we extend KB in a way that another rule can be blocked
- Nixon Diamond has two extensions

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## What follows from a default theory:

- Sceptical consequences formulas that are contained in every extension
- Credulous consequences formulas that are contained in some extension



# Argumentation-based approach to NMR

- 1. Formalize the problem: build a knowledge base KB and a rule base R
- 2. Construct arguments and attacks (ASPIC+)
- 3. Calculate extensions (choose a semantics S)
- 4. Derive conclusions of *justified* arguments

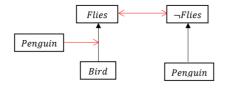
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- 1. Formalize the problem: build a knowledge base KB and a rule base R
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  - ightharpoonup lpha is sceptically S—acceptable if and only if all S—extensions contain an argument with conclusion lpha
  - ightharpoonup lpha is credulously S—acceptable if and only if at least one S—extension contains an argument with conclusion lpha

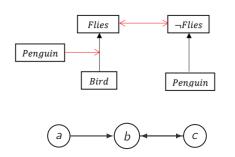
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- $\triangleright$  One extension:  $\{a, c\}$
- ightharpoonup Conclusion of c accepted  $\Rightarrow$  Tweety does not fly!

# System P

ightharpoonup Classical logic:  $\alpha \models \beta$  iff all models of  $\alpha$  are also models of  $\beta$ 

- lackbox Classical logic:  $\alpha \models \beta$  iff all models of  $\alpha$  are also models of  $\beta$
- ▶ Idea:  $\alpha \vdash \beta$  iff all most normal (preferred) models of  $\alpha$  are also models of  $\beta$

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## For example:

$$\emph{m}_1$$
 :  $\emph{p}=\emph{r}=\emph{true}$ ;  $\emph{q}=\emph{false}$ 

$$m_2$$
:  $p = q = true$ ;  $r = false$ 

$$m_1 < m_2 - m_1$$
 is preferred

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For example:

$$m_1: p = r = true; q = false$$

$$m_2$$
:  $p = q = true$ ;  $r = false$ 

$$m_1 < m_2 - m_1$$
 is preferred

Then:

$$\triangleright p \land q \not \sim r$$

# System P – The core properties of non-monotonic reasoning

REF 
$$\alpha \sim \alpha$$
 [reflexivity]

LLE  $\frac{\models \alpha \leftrightarrow \beta \quad \alpha \vdash \gamma}{\beta \vdash \gamma}$  [left logical equivalence]

RW  $\frac{\models \alpha \rightarrow \beta \quad \gamma \vdash \alpha}{\gamma \vdash \beta}$  [right weakening]

CUT  $\frac{\alpha \land \beta \vdash \gamma \quad \alpha \vdash \beta}{\alpha \vdash \gamma}$ 

OR  $\frac{\alpha \vdash \gamma \quad \beta \vdash \gamma}{\alpha \lor \beta \vdash \gamma}$  [cautious monotonicity]

## Characterization result

## Preferential structure S:

- ightharpoonup a set of states  $\Omega$  (the sets contain models)
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## Theorem (KLM '90)

A consequence relation  $\vdash$  satisfies System P iff  $\vdash \vdash \vdash \vdash_S$  for some preferential structure S.

# Rational consequence relations

► Rational relation = System P + RM

$$\mathsf{RM} \qquad \frac{\alpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \gamma \hspace{0.2em} \alpha \hspace{0.2em}\bowtie\hspace{0.58em}\mid\hspace{0.58em} \neg \beta}{\alpha \wedge \beta \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \gamma},$$

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 Characterized by ranked structures= preferential structures + modularity