# INFOMLSAI Logics for Safe AI Coursework 4 answers

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**Submission format:** a pdf file, one per group

CW4-1 Define a Concurrent Epistemic Game Structure (CEGS)  $M_{chicken}$  for the following example. Two agents are moving towards each other. They are not sure whether they are in a country which drives on the left  $(q_0)$  or on the right  $(q_1)$ . Each agent can execute actions left and right. In state  $q_0$ , if both agents go left, they pass each other correctly. In  $q_1$ , if both agents go right, they pass each other correctly. For all other combinations of actions, there is a crash. List the states, agents, indistinguishability relations, actions, transition function, assignment. Use propositional variables lft for driving on the left and crash for there is a crash. Distinguish between states resulting from different combinations of actions and whether they are in a country that drives on the left or a country that drives on the right. The agents can observe whether a crash happened or not, and what the actions leading to it were. For example, if both agents went left and a crash happened, they both know that they were not in a left-driving country. (1 mark)

# Answer:

 $M_{chicken} = \langle \{1,2\}, \{q_0,q_1,q_2^l,q_2^r,q_3ll^r,q_3lr^l,q_3lr^r,q_3rl^l,q_3rl^r,q_3rr^l\}, \sim_1, \sim_2, \mathcal{V}, \{left,right,nil\},d,o\rangle,$  where:

- $q_0$  and  $q_1$  are the initial states,  $q_2^l$  and  $q_2^r$  are the left- and righthand side driving states where agents pass each other correctly.  $q_3 l l^r$  is a state where a crash happened as a result of  $\langle left, left \rangle$  in driving on the right country.  $q_3 l r^l$  and  $q_3 l r^r$  are the states where a crash happened as a result of  $\langle left, right \rangle$  in left- and righ-driving countries, respectively. Similarly for  $q_3 r l^l$ ,  $q_3 r l^r$  and  $q_3 r r^l$ .
- $\sim_1$  and  $\sim_2$  equivalence classes are  $\{q_0, q_1\}, \{q_2^l\}, \{q_2^r\}, \{q_3 l l^r\}, \{q_3 l r^l, q_3 l r^r\}, \{q_3 r l^l, q_3 r l^r\}, \{q_3 r l^l\}$ .
- V assigns sets of states to propositions:
  - $\mathcal{V}(\mathsf{crash}) = \{q_3 l l^r, q_3 l r^l, q_3 l r^r, q_3 r l^l, q_3 r l^r, q_3 r r\}$
  - $V(Ift) = \{q_0, q_2l, q_3rr\}$

- $d(1,q_i) = d(2,qi_i) = \{left,right\}$  for  $i \in \{0,1\}, d(1,q_i) = d(2,q_i) = \{nil\}$  for  $i \notin \{0,1\}$
- o is as follows:
  - $o(q_0, \langle left, left \rangle) = q_2^l$ ;
  - $o(q_1, \langle right, right \rangle) = q_2^r;$
  - $o(q_0, \langle \alpha_1, \alpha_2 \rangle) = q_3 \alpha_1 \alpha_2^l$  for  $\langle \alpha_1, \alpha_2 \rangle \neq \langle left, left \rangle$
  - $o(q_1, \langle \alpha_1, \alpha_2 \rangle) = q_3 \alpha_1 \alpha_2^r$  for  $\langle \alpha_1, \alpha_2 \rangle \neq \langle right, right \rangle$
  - $o(q_i, nil) = q_i \text{ for } i \notin \{0, 1\}.$
- **CW4-2** Is it true in  $M_{chicken}, q_0$  under the independent combination of ATL semantics with epistemic semantics (no uniform strategies requirement) that agent 1 has a memoryless strategy to enforce  $\neg$ crash in the next state ( $\langle\langle 1 \rangle\rangle X \neg$ crash)? (1 mark)

**Answer:** No, the formula  $\langle\langle 1\rangle\rangle X\neg$  crash is false in  $M_{chicken}, q_0$ . Under the independent combination of memoryless ATL semantics with epistemics,

$$M_{chicken}, q_0 \models \langle \langle 1 \rangle \rangle X \neg \mathsf{crash}$$

iff (if and only if) there is a memoryless strategy  $s_1$  for agent 1 such that for all paths  $\lambda \in out(q_0,s_1)$   $M,\lambda[1] \models \neg crash$ . There are two possible actions that  $s_1$  could assign to agent 1 in  $q_0$ . The first one is left, and the second one is right. If agent 1 chooses left in  $q_0$ , agent 2 has two choices, and one of them (right) leads to a crash state. If agent 1 chooses right, a crash will result no matter what agent 2 does. So there is no strategy  $s_1$  such that all paths generated by it satisfy  $\neg crash$  in the next state.

**CW4-3** Does it hold under  $ATL_{ir}$  semantics that  $M_{chicken}, q_0 \models_{ir} \langle \langle 1 \rangle \rangle X \neg crash?$  Explain your answer. (1 mark)

**Answer:** No.  $M_{chicken}, q_0 \models_{ir} \langle \langle 1 \rangle \rangle X \neg \text{crash iff there is a uniform memoryless}$  strategy  $s_1$  for agent 1 such that for all paths  $\lambda \in \bigcup_{q' \sim_1 q_0} out(q', s_1)$ ,

$$M_{chicken}, \lambda[1] \models \neg \mathsf{crash}$$

So, since there is no strategy for agent 1 at all that enforces  $\neg$ crash in the next state (see CW4-2), then there can be no uniform strategy which enforces  $\neg$ crash in the next state from all  $\sim_1$ -indistinguishable states.

**CW4-4** Is it true in  $M_{chicken}, q_0$  under the independent combination of ATL semantics with epistemic semantics (no uniform strategies requirement) that both agents together have a memoryless strategy to enforce –crash in the next state ( $\langle \langle 1,2\rangle \rangle X$ –crash)? Explain your answer. (1 mark)

### **Answer:**

Yes.  $M_{chicken}, q_0 \models \langle \langle 1, 2 \rangle \rangle X \neg$  crash under the independent combination of memoryless ATL semantics with epistemic semantics iff there exists a joint strategy  $s_{1,2}$  such that for all paths  $\lambda$  in  $out(q_0, s_{1,2}), M_{chicken}, \lambda[1] \models \neg$  crash.

Such a strategy for 1 is  $q_0 \mapsto left$ ,  $q_1 \mapsto right$ , and for the rest of the states  $q, q \mapsto nil$ , same for agent 2. This strategy is not uniform, because it assigns agent 1 different actions in  $\sim_1$ -indistinguishable states (and the same for agent 2), but uniformity is not required for this semantics. There is only one path from  $q_0$  generated by this strategy, and the next state after (left, left) on that path is  $q_2^l$ . Since  $M_{chicken}, q_2^l \models \neg crash$ , we have  $M_{chicken}, q_0 \models \langle\langle 1, 2 \rangle\rangle X \neg crash$ .

**CW4-5** Does it hold under  $ATL_{ir}$  semantics that  $M_{chicken}, q_0 \models_{ir} \langle \langle 1, 2 \rangle \rangle X \neg crash?$  Explain your answer. (1 mark)

#### Answer:

No.  $M_{chicken}, q_0 \models \langle 1, 2 \rangle X \neg$  crash iff there exists a uniform memoryless strategy for 1 and 2,  $s_{1,2}$ , such that for all paths  $\lambda \in \bigcup_{q' \sim_{1,2}^E q_0} \in out(q_0, s_{1,2})$ ,  $M_{chicken}, \lambda[1] \models_{ir} \neg$  crash. There are 4 uniform strategies for agent 1 and agent 2 from  $q_0$  (first strategy: 1 and 2 both choose left in both  $q_0$  and  $q_1$ ; second strategy: 1 chooses left in both  $q_0$  and  $q_1$  and 2 chooses left in both  $q_0$  and  $q_1$ ; third strategy: 1 chooses left in both  $q_0$  and  $q_1$  and 2 chooses left in both  $q_0$  and  $q_1$ ; fourth strategy: 1 and 2 both choose left in both  $q_0$  and  $q_1$ ; in other states all strategies choose left in both left i

**CW4-6** Is it true in  $M_{chicken}$ ,  $q_0$  under the independent combination of ATL semantics with epistemic semantics (no uniform strategies requirement) that both agents know that they have a memoryless strategy to enforce  $\neg$  crash in the next state  $(K_1\langle\langle 1,2\rangle\rangle X\neg \text{crash}) \wedge K_2\langle\langle 1,2\rangle\rangle X\neg \text{crash})$ ? Explain your answer. (1 mark)

# **Answer:**

Yes. In all states indistinguishable by  $\sim_1$  from  $q_0$  ( $q_0$  itself and  $q_1$ ) it holds that  $\langle\!\langle 1,2\rangle\!\rangle X$ —crash (using a non-uniform strategy from CW4-4), and the same for all states indistinguishable from  $q_0$  by  $\sim_2$ : in all q such that  $q_0\sim_2 q$ ,  $M_{chicken},q\models\langle\!\langle 1,2\rangle\!\rangle X$ —crash . So it holds that  $M_{chicken},q_0\models K_1\langle\!\langle 1,2\rangle\!\rangle X$ —crash and  $M_{chicken},q_0\models K_2\langle\!\langle 1,2\rangle\!\rangle X$ —crash, so  $M_{chicken},q_0\models K_1\langle\!\langle 1,2\rangle\!\rangle X$ —crash  $\wedge K_2\langle\!\langle 1,2\rangle\!\rangle X$ —crash.

**CW4-7** Does it hold under ATL<sub>ir</sub> semantics that  $M_{chicken}, q_0 \models_{ir} K_1 \langle \langle 1, 2 \rangle \rangle X$ —crash  $\langle K_2 \langle \langle 1, 2 \rangle \rangle X$ —crash? Explain your answer. (1 mark)

# **Answer:**

No. From the answer to CW4-5 it follows that  $M_{chicken}, q_0 \not\models_{ir} \langle \langle 1, 2 \rangle X$ —crash. In fact in both states indistinguishable by 1 from  $q_0, \langle \langle 1, 2 \rangle X$ —crash does not hold, because there is no uniform strategy such that the paths generated by this strategy from all indistinguishable states satisfy X—crash. So  $K_1 \langle \langle 1, 2 \rangle X$ —crash does not hold, and similarly for  $K_2 \langle \langle 1, 2 \rangle X$ —crash.

**CW4-8** How would you say in  $ATL_{ir}$  that agent 1 can ensure that eventually it knows whether it is in a left- or righhand side driving country? Is this formula true in  $q_0$ ? Explain your answer. (1 mark)

**Answer:**  $\langle 1 \rangle F(K_1 | \text{ft} \vee K_1 \neg \text{lft})$ . No, this is not true. Whichever action 1 chooses, it is possible that agent 2 performs a different action, and a crash results, but agent 1 will still not know whether he performed the correct action and the other agent the wrong action, or vice versa (agent 1 will not know whether he is in one of  $q_3lr^l$ ,  $q_3lr^r$  if the actions were (left, right), or it is in one of  $q_3rl^l$ ,  $q_3rl^r$  if the actions were (right, left)).

**CW4-9** How would you say in  $ATL_{ir}$  that it is inevitable that if in the next state there is no crash, then agent 1 knows whether he is in a left- or righthand side driving country? Is this formula true in  $q_0$ ? Explain your answer. (1 mark)

**Answer:**  $\langle\!\langle\emptyset\rangle\!\rangle X(\neg \operatorname{crash} \to K_1 | \operatorname{flt} \vee K_1 \neg \operatorname{lft})$ . This formula is true because if the agent performs left and there is no crash then  $\operatorname{lft}$  must be true (the outcome is  $q_2^l$ ), and similarly for performing right.

**CW4-10** Give a model checking algorithm under  $ATL_{ir}$  semantics for a language containing propositional variables, booleans, and formulas  $\langle\!\langle a \rangle\!\rangle X^2 \varphi$  where a is a single agent and  $\langle\!\langle a \rangle\!\rangle X^2$  is a new modality which means 'reachable in two steps' (note that  $\langle\!\langle a \rangle\!\rangle X^2$  is not definable in  $ATL_{ir}$ ).

The truth definition for  $\langle\!\langle a \rangle\!\rangle X^2 \varphi$  is:

 $M, q \models \langle \langle a \rangle \rangle X^2 \varphi$  iff there is a memoryless uniform strategy  $s_a$  for a such that for all paths  $\lambda$  in  $\bigcup_{a' \sim a} out(q', s_a), M, \lambda[2] \models \varphi$ .

(It requires that the strategy is guaranteed to enforce  $\varphi$  in two steps from any state indistinguishable from q.) What is the big O complexity of your algorithm as a function of the model size and formula size? (Note that we are not asking for the most efficient algorithm, just a correct one with correct complexity analysis.) (1 mark)

Answer: Suppose we are given M,q and  $\langle\!\langle a \rangle\!\rangle X^2 \varphi$ . We are going to do local model checking (state by state). The simplest approach is to generate all memoryless strategies for a in M (all possible assignments of actions to states). Then remove from this set of strategies all non-uniform strategies that assign different actions in  $\sim_a$ -indistinguishable states. Each remaining uniform strategy can be made into a model M' by deleting all a's actions from M which do not conform to the strategy. In each M', all paths correspond to computations generated by a's uniform strategy. The model checking algorithm  $mcheck(M',\varphi)$  used in each M' is the same as for CTL for the cases of propositional variables and boolean connectives. For the case  $\varphi = \langle\!\langle a \rangle\!\rangle X^2 \psi$ ,  $mcheck(M',\varphi)$  returns  $[\varphi]_{M'} = pre_{\forall}(pre_{\forall}([\psi]_{M'}))$ . Finally, if  $mcheck(M',\varphi)$  returns a set Q containing q, for strong uniformity, we need to check that all  $q' \sim_a q$  are also in Q (that the strategy works from all states q' such that  $q \sim_a q'$ ). If there is at least one M' where the set of states Q returned by  $mcheck(M',\varphi)$  contains all states  $\sim_a$ -related to q, then we can return 'yes' on input M,q and  $\langle\!\langle a \rangle\!\rangle X^2 \varphi$ .

Complexity: there are  $O(d^n)$  memoryless strategies for a, where d is the number of a's actions and n is the number of states (in the worst case, all d actions are possible in all states). To check each of strategy for uniformity, for each state q, we need to check that the same action is assigned to all states  $q' \sim_a q$ . Generating all uniform strategies takes  $O(d^n \times |\sim_a |\times n)$  steps. To run the CTL-style model checking algorithm for each M' we need at most  $O(|M'|\times|\varphi|)$  steps, which is dominated by  $O(|M|\times|\varphi|)$  (since M' can be no larger than M). Checking for strong uniformity with respect to q in one M' requires  $O(|\sim_a |\times n)$  steps (because we first have to find the neighbours of q in  $\sim_a$ , and then check if all neighbours are in Q, which in the worst case is of size n). The resulting complexity is  $O(d^n \times |\sim_a |^2 \times n^2 \times |M| \times |\varphi|)$  or (removing dominated terms)  $O(|M|^{|M|} \times |\varphi|)$ , which is exponential in the size of the model.

# References

[1] Alessio Lomuscio, Hongyang Qu, and Franco Raimondi. MCMAS: an open-source model checker for the verification of multi-agent systems. *Int. J. Softw. Tools Technol. Transf.*, 19(1):9–30, 2017.