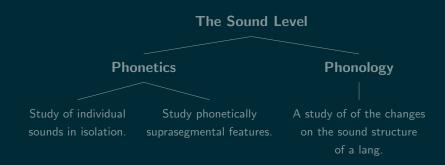
# Phonology

General Linguistics

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# Phonology vs. Phonetics



# Phonology?

**Phonology**: the study of mental representations of speech sounds, and the rules governing systematic variation in speech sounds.

**Phoneme** is the smallest contrastive, meaningful unit of sound in a given language.

It is called so because:

- ► It is articulated differently.
- ► It occurs in the same environment. (contrastive)
- ► The change affects the meaning.

## The History of Phonology

- ► SPE Model (Chomsky & Halle, 1968):
  - Linear approach to phonology.
  - Focus was on features.
  - Disregarded the existence of a syllable, and stress was assigned to the vowel.
- Autosegmental Phonology (Goldsmith, 1970s)
  - Non-linear approach to phonology.
  - Focus was on the tonal phenomenon (Igbo).
  - Features are placed on separate 'tiers' which are connected by 'association lines'.

# The History of Phonology (cont.)

## Metrical Phonology (Liberman, 1970s)

- Recognizes syllable as a 'significant unit' in phonological theory.
- Initially developed as a theory of stress.

### Optimality Theory (Prince & Smolensky, 1990s)

- Constraint-based rather than rule-based.
- A universal set of constraints are ranked differently by the phonologies of different languages.
- The functions generative and evaluation produce possible surface form candidates and determine which one is 'optimal' based on the constraint rankings.

## Allophone

**Allophone** is the phonetic variety of a phoneme in a particular language.

#### Allophones are:

- Articulated differently.
- ► Never occurs in the same environment. (complementary distrubtion¹)
- ▶ Do not change the meaning of the word.

<sup>&</sup>lt;sup>1</sup>If two sounds never occur in the same environment, we say that they are in complementary distribution.

# Example of Allophony: Aspiration

- ▶ In English, voiceless stops ([p], [t], [k]) are **aspirated** in certain positions. This means that there is a short delay between the release of the stop and the point at which the voicing of the next vowel starts.
- Aspirated stops are transcribed with a superscript 'h': [p<sup>h</sup>], [t<sup>h</sup>], [k<sup>h</sup>].



## Minimal Pair Test

- ► A simple test to check if two sounds are contrastive, and hence belong to different phonemes, is the **minimal pair test**.
- ▶ If you can find two words that *only* differ with respect to the two sounds you are investigating, you may conclude that they are belonging to different phonemes.

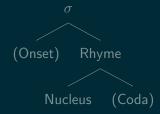
```
[tɪp] [dɪp]
[p<sup>h</sup>ej] [wej]
[rid] [lid]
```

## Narrow vs. Broad transcription

- ► Narrow transcription (or phonetic transcription) is a transcription that includes allophonic detail. It is written between slashes, only represents phonemic contrasts: /hawz/, /haws/.
- ▶ Broad transcription (or phonemic transcription) is a transcription that includes only phonemic segments and less phonetic detail. It is written between square brackets, reprepresents allophonic contrasts: [hawz], [hʌws].

## Syllable Structure

- ► A **syllable** is a peak of sonority that is surrounded by less sonorous sounds.
- ► The vowel (or syllabic consonant) part of the syllable is called the nucleus . Every syllable has a **nucleus**.
- Consonants in a syllable preceding the nucleus constitute the onset, consonants following the nucleus form the coda.

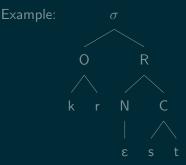


### **Phonotactics**

- ▶ Part of a speaker's phonological knowledge has to do with which sequences of consonants are possible. This part of the grammar is called **phonotactics**.
- ► English speakers know that [blik] is a possible (but non-existing) English word, but that \*[lbik] is not.
- ► Every language has different phonotactic constraints. For example, Russian allows more clusters than English does:
  - (1) [rtut] 'mercury', [mgla] 'fog'

## Syllable Structure

- ► **Sonority** has to do with the amount of acoustic energy that a sound has.
- ► Sonority hierarchy: stops < affrricates < fricatives < nasals < liquids < glides
- ► Sonority principle: Within a syllable, sonority is increasing towards the nucleus, and falling after the nucleus.



# Syllable Structure

- ► The sonority principle does not work for all words.
- Only certain sequences of sounds of the same sonority class are allowed in English. This is not predicted by the sonority principle:
  - (2) a. [k<sup>h</sup>rlpt] , [k<sup>h</sup>on.dnkt]
     b. \*[ptlk] , \*[ktup]
- ► The [s] sound often forms an exception. The following words all violate the sonority principle:
  - (3) [skip], [strip],  $[k^h r \wedge sts]$

# Syllable Constraints

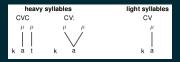
► English allows various complex types of onsets and codas with up to three consonants in an onset, and up to four consants in a coda.

## (2) [skrim], [tɛksts]

- ▶ The general syllable pattern of English can therefore be represented as (C)(C)(C)V(C)(C)(C).
- ▶ Syllable that do not have a coda are called **open**. These have the shape  $(C_0)V$ . Syllables that have a coda are called **closed**. These have the shape  $(C_0)VC(C_0)$ .

# Syllable Weight

- Mora  $(\mu)$  is a phonological unit larger than a segment, but typically smaller than a syllable. It measures the syllable weight (whether heavy or light).
- ► A **light syllable** consists of a single mora, while a heavy syllable consits of two.
- ► The mora appears to be an important rhythmic element in some languages, such as Japaneses and Ancient Greek, but is possibly of no relevance in others.



## Prosodic Hierarchy

Suprasegmental features (stress, tone...) are the baring units of prosodic units in Figure ??

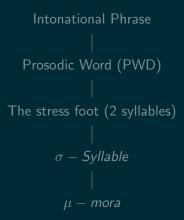


Figure: Prosodic Hierarchy (projected by Prosodic Licensing Principle)

#### **Features**

**Distinctive features**: encodes the physical articulation of a sound. Phonemes are bundles of simultaneous distinctive features.

Their functions are:

- ▶ Contrastive.
- ► Classifictory: To classify segments into **natural classes**.

Segments can be represented by a collection of features, which we write in a **matrix**.

# Major Class Features (also Major Phonetic Classes)

- [±consonontal]: all consonants, exept glides and glottal consonants, are [+consonantal]
- [±syllabic]: vowels and syllabic consonants (nasals & liquids) are [+syllabic]
- [±sonorant]: obstruents are [-sonorant] sonorants are [+sonorant]

#### Manner Features

- [±continuant]: sounds made with air flowing through the *oral* cavity. (vowels, glides, liquids, fricatives)
- [±delayed release]: affricates
- $\blacksquare$  [ $\pm$ nasal]: nasals and nasalized sounds are [+nasal]
- [ $\pm$ lateral]: laterals ([l]-like sounds) are [+lateral]

#### Other Features

- [±high]: high vowels are [+high], low vowels are [-high]
- $\blacksquare$  [ $\pm$ low]: this is needed for mid vowels [-high, -low]
- $\blacksquare$  [ $\pm$ back]: back vowels are [+back], front ones are [-back]
- $\blacksquare$  [ $\pm$ round]: round back vowels are [+round]
- $\blacksquare$  [ $\pm$ long]: long vowels are [+long], short ones are [-long]
- [±voiced]: voiced consonants and all vowels are [+voiced]
- [±stressed]: for stressed vowels only

## Natural Classes

► Natural classes are sounds that have one or more features in common.

## Examples

/p, t, k/ 
$$ightarrow \left[ egin{array}{ll} ext{-continuant} \ ext{-voiced} \end{array} 
ight]$$

/t, p, k, f, ʃ, tʃ, heta/ o ig[ -voiced

### Rules and Derivations

- ► The phonemic representation of speech is also called underlying representation (UR). This presentation is stored in the lexicon, but never actually pronounced.
- ▶ Part of our grammar is a set of **phonological rules**. These rules represent all the systematic phonetic variation that is part of our phonological knowledge (unconsciously).
- The rules apply to the underlying representation, and yield the surface representation. This is the form that is actually pronounced.

## Example

Underlying representation /pæn/, apply Aspiration rule, surface representation  $[p^hæn]$ .

# Rules and Derivations (cont.)

UNDERLYING REPRESENTATION

Rules

SURFACE REPRESENTATION

## Rules and Derivations

► Rules have the following shape:

(3) 
$$A \rightarrow B / X_Y$$

- ▶ A is the segment that the rule applies to, B represents the output of the rule. The slash means "when" and it introduces the conditioning environment.
- ► A,B,X,Y are given as feature matrices (or, if a feature matrix represents a single segment, you can just write that segment).
- ► The horizontal line represents the place of the input segment, so X and Y are the phonetic environment before and after the input segment.

# Rules and Derivations: Examples

► Nasalization in English:

$$\left[\begin{array}{c} + \text{syllabic} \end{array}\right] 
ightarrow \left[\begin{array}{c} + \text{nasal} \end{array}\right] / - \left[\begin{array}{c} + \text{consonantal} \end{array}\right]$$

► Consonant Deletion in French:

$$\left[egin{array}{c} +\mathsf{consonantal} \ +\mathsf{nasal} \end{array}
ight] 
ightarrow oldsymbol{\emptyset} \ / \left[egin{array}{c} +\mathsf{syllabic} \ +\mathsf{nasal} \end{array}
ight] -$$