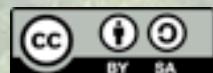


# Stupid XQuery Tricks

Ron Hitchens  
Principal Consultant, OverStory Ltd  
[ron@overstory.co.uk](mailto:ron@overstory.co.uk)



Licensed under a Creative Commons Attribution-ShareAlike 3.0 Unported License.  
© 2012 OverStory Ltd

# Who Am I?

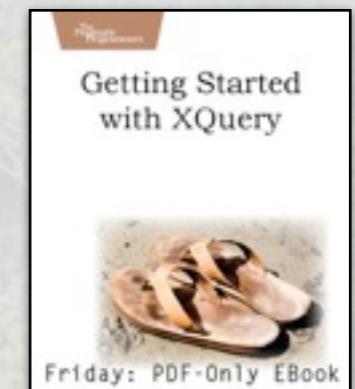
Former Lead Engineer at MarkLogic (5 years)

Implemented the XQuery 1.0/1.0-ml parser in ML

Wrote an XQuery book for The Prags

Written lots of XQuery over the years

(Some of it quite stupid)



# Stupid XQuery Tricks

XPath Fancy Footwork

Subvert The Established Order

Fun With Functions

Check the Map

Potpourri

# XPath Fancy Footwork

Predicates

Final steps

Simplify FLWORs

Pick an alternative from a sequence

Supply defaults for possibly empty values

Select highest priority item from a sorted list

Pick a lottery winner

# Supply default values

```
declare variable $DEFAULT-TYPE as xs:string := "article";\n\ndeclare function process-content (\n  $pub as element(pub),\n  $parent as element(pub))\n{\n  let $content-type :=\n    (\n      fn:data ($pub/@type),\n      fn:data ($parent/@type),\n      $DEFAULT-TYPE\n    )[1]\n\n  return handle-content-for-type ($content-type, $pub, $parent)\n};\n\n...
```

# Choose highest priority item

```
declare variable $TODO-COLL := "todo";  
  
(: Extract meta element from oldest doc in "todo" collection  
let $meta :=  
(  
  for $doc in fn:collection($TODO-COLL)  
  order by xs:date ($doc/root/@submitted-date) ascending  
  return $doc/root/meta  
) [1]  
  
return (  
  process-submission ($meta),  
  xdmp:document-remove-collections (  
    xdmp:node-uri ($meta), $TODO-COLL)  
)
```

# Pick a lottery winner

```
let $count := xdmp:estimate (fn:doc()//contestant)
let $winning-number := (xdmp:random() mod $count) + 1
let $winner := fn:doc()//contestant[$winning-number]

return
bestow-fortune ($winner)
```

# XPath final steps

XPath final step can be a function call or constructor

Can only apply to a sequence of nodes, not atomic types

Eliminate FLWORs

```
(: FLWOR form :)
for $i in $some-sequence/some/path
return some-function ($i)
```

```
(: XPath form :)
$some-sequence/some/path/some-function(.)
```

Can return nodes or atomic values, but not both

Execution order may be different than FLWOR

# XPath final step can be a node constructor

Wrap nodes in a new constructed node

```
declare variable $animals :=  
<animals>  
  <animal kind="mammal">aardvark</animal>  
  <animal kind="bird">goose</animal>  
  <animal kind="insect">locust</animal>  
  <animal kind="bird">raven</animal>  
  <animal kind="mammal">zebra</animal>  
</animals>;  
  
<creatures>{  
  $animals/animal/<creature>{ . }</creature>  (: wrap animal :)  
</creatures>
```

# Resulting sequence

Note that sequence order has **not** been preserved

```
<creatures>
  <creature>
    <animal kind="mammal">zebra</animal>
  </creature>
  <creature>
    <animal kind="bird">goose</animal>
  </creature>
  <creature>
    <animal kind="mammal">aardvark</animal>
  </creature>
  <creature>
    <animal kind="insect">locust</animal>
  </creature>
  <creature>
    <animal kind="bird">raven</animal>
  </creature>
</creatures>
```

# Can also be a computed constructor

## Rename elements dynamically

```
declare variable $animals :=  
<animals>  
  <animal kind="mammal">aardvark</animal>  
  <animal kind="bird">goose</animal>  
  <animal kind="insect">locust</animal>  
  <animal kind="bird">raven</animal>  
  <animal kind="mammal">zebra</animal>  
</animals>;  
  
<creatures>{  
  $seq/animal/element { @kind } { node() }  
</creatures>
```

# Can also be a computed constructor

## Rename elements dynamically

```
declare variable $animals :=  
<animals>  
  <animal kind="mammal">aardvark</animal>  
  <animal kind="bird">goose</animal>  
  <animal kind="insect">locust</animal>  
  <animal kind="bird">raven</animal>  
  <animal kind="mammal">zebra</animal>  
</animals>;
```

```
<creatures>{  
  $seq/animal/element { @kind } { node() }  
</creatures>
```

```
<creatures>  
  <bird>raven</bird>  
  <bird>goose</bird>  
  <mammal>zebra</mammal>  
  <mammal>aardvark</mammal>  
  <insect>locust</insect>  
</creatures>
```

# XPath Predicates can simplify FLWORS

Predicate in for expr is roughly like a where clause

Predicates are somewhat more likely to be optimized

```
(: With a where clause :)
for $user in fn:doc()/user
where ($user/sex = "F") and ($user/age lt 6)
  and ($user/color = "pink")
return princess ($user)
```

```
(: XPath form :)
for $user in fn:doc()/user[sex = "F"] [age lt 6] [color = "pink"]
return princess ($user)
```

```
(: XPath final step as function form, no FLWOR at all :)
fn:doc()/user[sex = "F"] [age lt 6] [color = "pink"] /princess(.)
```

# Subvert The Established Order

Random sort order

Dynamic sort order

# Random sort order

```
declare variable $beasts :=  
  ( "lion", "tiger", "bear", "elephant", "moose" );  
  
declare function local:sort-beasts()  
{  
  for $beast in $beasts  
  order by xdmp:random()  
  return $beast  
};
```

# Random sort order

```
declare variable $beasts :=  
  ( "lion", "tiger", "bear", "elephant", "moose" );  
  
declare function local:sort-beasts()  
{  
  for $beast in $beasts  
  order by xdmpl:random()  
  return $beast  
};
```

```
local:sort-beasts()  
=>  
elephant  
moose  
bear  
lion  
tiger
```

# Random sort order

```
declare variable $beasts :=  
  ( "lion", "tiger", "bear", "elephant", "moose" );  
  
declare function local:sort-beasts()  
{  
  for $beast in $beasts  
  order by xdmpl:random()  
  return $beast  
};
```

```
local:sort-beasts()  
=>  
bear  
tiger  
elephant  
moose  
lion
```

# Random sort order

```
declare variable $beasts :=  
  ( "lion", "tiger", "bear", "elephant", "moose" );  
  
declare function local:sort-beasts()  
{  
  for $beast in $beasts  
  order by xdmp:random()  
  return $beast  
};
```

```
local:sort-beasts()  
=>  
tiger  
lion  
bear  
elephant  
moose
```

# Dynamic sort order

Use a flag to control the sort order of a FLWOR

```
declare variable $beasts :=  
  ( "lion", "tiger", "bear", "elephant", "moose" );  
  
declare function local:sort-beasts ($down as xs:boolean?)  
{  
  for $beast in $beasts  
  order by  
    $beast[fn:not($down)] ascending,  
    $beast[$down] descending  
  return $beast  
};
```

# Dynamic sort order

Use a flag to control the sort order of a FLWOR

```
declare variable $beasts :=  
  ( "lion", "tiger", "bear", "elephant", "moose" );  
  
declare function local:sort-beasts ($down as xs:boolean?)  
{  
  for $beast in $beasts  
  order by  
    $beast[fn:not($down)] ascending,  
    $beast[$down] descending  
  return $beast  
};
```

```
local:sort-beasts (fn:false())  
=>  
bear  
elephant  
lion  
moose  
tiger
```

# Dynamic sort order

Use a flag to control the sort order of a FLWOR

```
declare variable $beasts :=  
  ( "lion", "tiger", "bear", "elephant", "moose" );  
  
declare function local:sort-beasts ($down as xs:boolean?)  
{  
  for $beast in $beasts  
  order by  
    $beast[fn:not($down)] ascending,  
    $beast[$down] descending  
  return $beast  
};
```

```
local:sort-beasts ()  
=>  
bear  
elephant  
lion  
moose  
tiger
```

# Dynamic sort order

Use a flag to control the sort order of a FLWOR

```
declare variable $beasts :=  
  ( "lion", "tiger", "bear", "elephant", "moose" );  
  
declare function local:sort-beasts ($down as xs:boolean?)  
{  
  for $beast in $beasts  
  order by  
    $beast[fn:not($down)] ascending,  
    $beast[$down] descending  
  return $beast  
};
```

```
local:sort-beasts (fn:true())  
=>  
tiger  
moose  
lion  
elephant  
bear
```

# Fun with Functions

Function mapping

Higher order functions

# Function Mapping

Use it to eliminate FLWORS (maybe several at once)

Use in place of “if” statements

Turning it off

# How function mapping works

It's a bit like XPath final steps, but applies to function parameters

Attempting to pass a sequence to a function where it expects a singleton will generate an implicit FLWOR

It “maps” the function to each item in the sequence

Passing an empty sequence iterates zero times

# Example

```
declare variable $beasts := ( "lion", "tiger", "bear") ;  
  
declare function local:tame ( $beast )  
{  
    fn:concat ( "Nice ", $beast )  
};  
  
local:tame ( $beasts )
```

# Example

```
declare variable $beasts := ( "lion", "tiger", "bear") ;

declare function local:tame ( $beast ) <== Implicit type is item()*
{
  fn:concat ( "Nice ", $beast )
};

local:tame ( $beasts )
```

```
1.0-ml] XDMP-ARGTYPE: (err:XPTY0004)
  fn:concat("Nice ", ("lion", "tiger", "bear")) -- arg2 is not
of type xs:anyAtomicType?
```

# Example, singleton parameter

```
declare variable $beasts := ( "lion", "tiger", "bear") ;  
  
declare function local:tame ($beast as xs:string)  
{  
    fn:concat ("Nice ", $beast)  
};  
  
local:tame ($beasts)
```

# Example, singleton parameter

```
declare variable $beasts := ( "lion", "tiger", "bear") ;  
  
declare function local:tame ( $beast as xs:string )  
{  
    fn:concat ( "Nice ", $beast )  
};  
  
local:tame ( $beasts )
```

Nice lion  
Nice tiger  
Nice bear

# Example, singleton parameter

```
declare variable $beasts := ( "lion", "tiger", "bear") ;  
  
declare function local:tame ( $beast as xs:string )  
{  
    fn:concat ( "Nice ", $beast )  
};  
  
local:tame ( $beasts )
```

Nice lion  
Nice tiger  
Nice bear

local:tame ( \$beasts )

behaves like:

for \$i in \$beasts return local:tame (\$i)

# Mapping applies to all singleton params

```
declare variable $beasts := ( "lion", "tiger", "bear") ;
declare variable $counts := ( 7, 1, 3 ) ;

declare function local:tame ($beast as xs:string,
    $count as xs:int)
{
    if ($count = 1)
        then fn:concat ("Nice ", $beast)
    else fn:concat ($count, " ", $beast, "s, oh my!")
};

local:tame ($beasts, $counts)
```

# Mapping applies to all singleton params

```
declare variable $beasts := ( "lion", "tiger", "bear") ;
declare variable $counts := ( 7, 1, 3 ) ;

declare function local:tame ($beast as xs:string,
    $count as xs:int)
{
    if ($count = 1)
        then fn:concat ("Nice ", $beast)
    else fn:concat ($count, " ", $beast, "s, oh my!")
};

local:tame ($beasts, $counts)
```

```
7 lions, oh my!
Nice lion
3 lions, oh my!
7 tigers, oh my!
Nice tiger
3 tigers, oh my!
7 bears, oh my!
Nice bear
3 bears, oh my!
```

# Use function mapping to select and repeat

```
<book>{
  generate-title-page ($result/meta),
  format-front-matter ($result/front-matter),
  generate-toc ($result/chapter[../meta/toc-style ne "none"]),
  format-chapter ($result/chapter[@type ne "appendix"])
  format-appendix ($result/chapter[@type = "appendix"])
  generate-colophon ($result/meta)
}</book>
```

Calls functions repeatedly, for each selected node

Doesn't call function if arg is empty sequence

Order of items is preserved

Function mapping is powerful and useful  
Can drive you crazy if you don't realize it's happening

Turn off mapping in the module prolog  
declare option xdmp:mapping "false";

Use XPath final steps if order unimportant  
They're “less non-obvious”

# Higher order functions

Pass around functions like other values

```
declare function local:do-something ($arg1, $arg2)
{
  fn:concat ("arg1=", $arg1, ", arg2=", $arg2)
};

let $func := xdmp:function (xs:QName ("local:do-something"))

return xdmp:apply ($func, "Hello", "World")
```

Strategy Pattern

Callback

Dispatch

# Strategy Pattern

```
let $search-func := search-algorithm ($user, $request/options)
let $format-func := formatter (($user/theme, $default-theme[1])

return xdmp:apply ($search-func, $user, $request, $format-func)
```

# Strategy Pattern

```
let $search-func := search-algorithm ($user, $request/options)
let $format-func := formatter (($user/theme, $default-theme[1])

return xdmp:apply ($search-func, $user, $request, $format-func)
```

```
declare function search-algorithm ($user, $options)
{
  if ($options/sort-order = "des") (: massively simplified :)
  then xdmp:function (xs:QName ("search-date-des"))
  then xdmp:function (xs:QName ("search-date-asc"))
}

declare function search-date-asc ($user, $request, $formatter)
{ ... };

declare function search-date-des ($user, $request, $formatter)
{ ... };
```

# Callback functions

```
declare function search-date-des (
  $user as element(user),
  $request as element(search-request),
  $formatter as xdm:function
) as element(search-result)
{
(
  for $doc in cts:search (fn:doc(), ... )
  order by $doc/meta/pub-date descending
  return xdm:apply ($formatter, $doc, $user)
 )[ $request/first to $request/last]
};
```

# Dispatch

```
declare variable $search-score-asc := xdmp:function (...)  
declare variable $search-score-desc := xdmp:function (...)  
declare variable $search-date-asc := xdmp:function (...)  
declare variable $search-date-des := xdmp:function (...)  
  
let $sort-key := fn:concat ($req/sort-by, "-", $req/order)  
  
return  
  if ($sort-key = "score-asc")  
  then xdmp:apply ($search-score-asc, ...)  
  else  
    if ($sort-key = "score-des")  
    then xdmp:apply ($search-score-des, ...)  
    else  
      if ($sort-key = "date-asc")  
      then xdmp:apply ($search-date-asc, ...)  
      else ...
```

# Dispatch

```
declare variable $search-score-asc := xdmp:function (...)  
declare variable $search-score-desc := xdmp:function (...)  
declare variable $search-date-asc := xdmp:function (...)  
declare variable $search-date-des := xdmp:function (...)  
  
let $sort-key := fn:concat ($req/sort-by, "-", $req/order)  
  
return  
  if ($sort-key = "score-asc")  
  then xdmp:apply ($search-score-asc, ...)  
  else  
    if ($sort-key = "score-des")  
    then xdmp:apply ($search-score-des, ...)  
    else  
      if ($sort-key = "date-asc")  
      then xdmp:apply ($search-date-asc, ...)  
      else ...
```

This is a bit clumsy, better solution shortly.

# Check the Map

Fast caching

Pre-initialized maps to simplify code

Dispatch maps

# Fast caching with maps

```
declare variable $items :=  
  <items>  
    <pony id="pinky">Pinky Pie</pony>  
    <donkey key="eeyore">Eeyore</donkey>  
    <horse id="seabiscuit">Sea Biscuit</horse>  
    <aardvarck>Aarnie Aardvarck</aardvarck> (: no id/key :)  
  </items>;  
declare variable $id-map := map:map();  
(: XPath final step and function mapping in play here :)  
$items/*/map:put ($id-map, ./(@id|@key), .),  
map:get ($id-map, "eeyore"),  
map:get ($id-map, "seabiscuit"),  
map:get ($id-map, "pinky")
```

# Fast caching with maps

```
declare variable $items :=  
  <items>  
    <pony id="pinky">Pinky Pie</pony>  
    <donkey key="eeyore">Eeyore</donkey>  
    <horse id="seabiscuit">Sea Biscuit</horse>  
    <aardvarck>Aarnie Aardvarck</aardvarck> (: no id/key :)  
  </items>;  
declare variable $id-map := map:map();  
(: XPath final step and function mapping in play here :)  
$items/*/map:put ($id-map, ./(@id|@key), .),  
  
map:get ($id-map, "eeyore"),  
map:get ($id-map, "seabiscuit"),  
map:get ($id-map, "pinky")  
  <donkey name="eeyore">Eeyore</donkey>  
  <horse id="seabiscuit">Sea Biscuit</horse>  
  <pony id="pinky">Pinky Pie</pony>
```

# Pre-initialized maps

```
declare variable $country-codes as map:map := map:map (  
  <map:map xmlns:map="http://marklogic.com/xdmp/map">  
    <map:entry>  
      <map:key>us</map:key>  
      <map:value>United States</map:value>  
    </map:entry>  
    <map:entry>  
      <map:key>uk</map:key>  
      <map:value>United Kingdom</map:value>  
    </map:entry>  
    ...  
  </map:map>  
) ;  
...  
process ($request, map:get ($country-codes, $request/@ccode))
```

# Pre-initialized function dispatch maps

```
declare variable $search-funcs as map:map := map:map (   
  <map:map xmlns:map="http://marklogic.com/xdmp/map">   
    <map:entry>   
      <map:key>score-asc</map:key>   
      <map:value>xdmp:function (xs:QName ("scorea"))</map:value>   
    </map:entry>   
    <map:entry>   
      <map:key>score-des</map:key>   
      <map:value>xdmp:function (xs:QName ("scored"))</map:value>   
    </map:entry>   
    ...   
  </map:map>   
);   
  
let $sort-key := fn:concat ($req/sort-by, "-", $req/order)   
  
return   
xdmp:apply (map:get ($search-funcs, $sort-key), $request, ...)
```

# Potpourri

Keep it to yourself

Be lazy whenever possible

Just don't touch anything

You touch it, you own it

# Keep it to yourself

Use private variables and functions where possible

Prevents seeing inside a module's implementation

```
declare private variable $paras-to-ignore :=
  ( "prepub", "beta", "withdrawn" );

declare private function extract-paras ($doc) {
  $doc//para[fn:not (@state = $paras-to-ignore)]
};

declare private function xform-para ($paras as element(para))
{ ... };

declare function process-paras ($doc as element(article))
  as element(para)*
{
  xform-para (extract-paras ($doc))  (: function mapping :)
}
```

# Keep it to yourself

Use private variables and functions where possible

Prevents seeing inside a module's implementation

```
declare private variable $paras-to-ignore :=  
  ( "prepub", "beta", "withdrawn" );  
  
declare private function extract-paras ($doc) {  
  $doc//para[fn:not (@state = $paras-to-ignore)]  
};  
  
declare private function xform-para ($paras as element(para))  
{ ... };  
  
declare function process-paras ($doc as element(article))  
  as element(para)*  
{  
  xform-para (extract-paras ($doc))  (: function mapping :)  
}
```

Bonus trick:  
inverting an  
existential test  
<==

# Be lazy whenever possible

Module variables are initialized lazily

Never initialized if not referenced

```
declare variable $complicated := expensive-query ($foo, $bar);
declare variable $simple := cheap-query ($foo, $bar);

if ($request/include-everything)
then process ($complicated)
else process ($simple, $default-values)

(: only one of expensive-query() or cheap-query will be run :)
```

# Just don't touch anything

Making sure you run as a query, not an update

Updates lock every document they touch

Sometimes you want to look at many, many documents, but only update a few - or none.

Any updates will be done via xdmp:eval/invoke

Insure the main request is always a lock-free query

```
declare variable $enforce-query :=  
  if (xdmp:request-timestamp())  
  then ()  
  else fn:error((), "Must run as query");  
  
$enforce-query,          (: remember lazy init of global vars :)  
do-something()
```

# You touch it, you own it

Some builtins can run concurrently (ie, lexicons)

They return immediately and work asynchronously until complete

If you reference the result, you block until it's ready

Maximize concurrency by waiting as long as possible

```
(: cts:element-values can run concurrently, do them first :)

let $publishers := cts:element-values (xs:QName("publisher"))
let $pub-types := cts:element-values (xs:QName("pub-type"))
let $black-balled := get-black-balled-publishers (...)
let $featured := get-featured-items (...)

return
process ($publishers, $pub-types, $featured, $black-balled)
```

# What about `xdmp:set()`?

Modifies the value of a variable

I'm not a fan

Breaks the functional programming model

- Can defeat parallelism as XQuery implementation improves

- Too easily used as a hack to make XQuery “easier”

- There's nearly always a way to avoid using it

## Same for maps

I'm guilty - see earlier slide re: caching with maps

I'd like to see an immutable map type

# Send Me Your Own Tricks

If you know any clever / stupid XQuery tricks,  
please send them along to me:

ron@overstory.co.uk

Slides at:

<http://github.com/overstory>

# Questions?

Ron Hitchens  
Principal Consultant, OverStory Ltd  
[ron@overstory.co.uk](mailto:ron@overstory.co.uk)  
<http://github.com/overstory>



Licensed under a Creative Commons Attribution-ShareAlike 3.0 Unported License.  
© 2012 OverStory Ltd