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Guidelines for using VDM Combinatorial Testing Features

by

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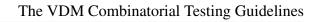


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Chapter 1

Introduction

This manual is a complete guide the combinatorial testing of VDM models. It assumes the reader has no prior knowledge of combinatorial testing, but a working knowledge of VDM, in particular, the VDM++ and VDM-SL dialects.

1.1 What is Combinatorial Testing?

Creating a comprehensive set of tests for VDM specifications can be a time consuming process. To try to make the generation of test cases simpler, Overture provides a VDM language extension (for all dialects) called Combinatorial Testing [Nie&11, Larsen&10].

In general, specifications are tested to verify that certain properties or behaviours are met, as specified by constraints in the specification and validation conjectures in the tests.

The simplest way to test a specification is to write ad-hoc tests, starting from a known system state and proceeding with a sequence of operation calls that should move to a new state or produce some particular result or error response. The problem with this kind of testing is that it can be very laborious to produce the number of tests needed to cover the complete system behaviour. It is also expensive to maintain a large test suite as the specification evolves.

The most complete way to test a specification is to produce a formal mathematical proof that it will never violate its constraints, and always meet its validation conjectures if presented with a legal sequence of operation calls. This provides the highest level of confidence in the correctness of a specification, but it can be unrealistic to produce a complete formal proof for complex specifications, even with tool support [Paulson97, Bicarregui&94].

Model checking provides an approach to formal testing that is considerably better than ad-hoc testing but not as complete as formal proof [Clarke&99]. This approach uses a formal specification of the system properties desired, often written in a temporal calculus, and the model checker symbolically executes the specification searching for execution paths that violate the constraints. Since the execution is symbolic, extremely large state spaces can be searched (billions of cases is not uncommon), and failed cases can produce a "counter example" that demonstrates the failure. This is a very powerful technique, but in practice, realistic specifications often produce a state space explosion that is too great for model checkers.



Combinatorial testing is an approach that is far more powerful than ad-hoc testing, but not as complete as model checking. Tests are produced automatically from "traces" that are relatively simple to define. The approach allows specifications to be tested with perhaps millions of test cases, but cannot guarantee to catch every corner case in the way that a model checker can. Therefore the technique is useful for specifications that are too complex for model checking or formal proof.

A combinatorial trace is a pattern that describes the construction of argument values and the sequences of operation calls that will exercise the specification. A specification may contain several traces, each designed to test a particular aspect. Traces are automatically expanded into a (potentially large) number of tests, each of which is a particular sequence of operation calls and argument values. The execution of tests is performed automatically, starting each in a known state; a test is considered to pass if it does not violate the specification's constraints, or the test's validation conjectures. Individual failed tests can be executed in isolation to find out why they failed, which is similar to a model checker's counter example.

1.2 The Structure of this Document

The document is intended to be read sequentially, but readers who are familiar with the basics of VDM traces can skip Chapter 2 and go straight to the patterns in Chapter 3.

- Chapter 2, Working with Traces introduces the concept of a combinatorial test and explains how to work with traces in VDM.
- Chapter 3, Combinatorial Testing Patterns looks at common ways of using traces that are useful in many different situations.
- Chapter 4, Combinatorial Testing Examples uses two more significant specifications to demonstrate typical usage of traces in real life.
- Appendix A, Combinatorial Testing Syntax defines the formal grammar of traces.
- Appendix B, Overture Screenshots includes screen shots of the Combinatorial Testing perspective in Overture.
- Appendix C, Example Model Listings contains the full listings of the models explored in Chapter 4.

Chapter 2

Working with Traces

2.1 Basic Trace Constructs

Combinatorial tests are embedded within a VDM specification using a section called "traces". Typically, one or more traces are added to a separate class or module that is intended for testing rather than the main specification, though you can add traces to any class you wish. In this chapter, we will use the example classes below:

```
class Counter
instance variables
    total:int := 0;

operations
    public inc: () ==> int
    inc() == ( total := total + 1; return total; )

    public dec: () ==> int
    dec() == ( total := total - 1; return total; )

end Counter

class Tester
instance variables
    obj:Counter := new Counter();

traces
    T1: obj.inc();
end Tester
```

Notice that there are two classes, Counter and Tester. The Counter class defines a



simple operation that increments and decrements a total state value that is initially zero. The Tester class creates an instance of Counter and defines a single trace called T1. Trace names are simple identifiers, optionally separated by slashes (e.g. item456/interface/all). This example is the simplest trace possible and indicates that the trace should expand to a single test that just calls obj.inc().

This trace can either be executed in Overture in the Combinatorial Testing perspective (see Appendix B), or it can be executed from the command line using the runtrace command. The command line output is illustrated here for simplicity:

```
> runtrace Tester'T1
Generated 1 tests in 0.004 secs.
Test 1 = obj.inc()
Result = [1, PASSED]
Executed in 0.007 secs.
All tests passed
```

The first line of output indicates that one test has been generated from the trace. With more complex examples, this generation could expand to thousands or millions of tests, and consequently it may take a few seconds.

The next line of the output describes the test that was generated. Note that this is called "Test 1", and consists of a single call to obj.inc().

The line below the test gives the result of executing that test. There is a single return value from the call to obj.inc(), 1, which is listed along with the word "PASSED" that indicates that there were no constraint violations in the test execution.

Lastly the time taken to execute all of the tests is given, and an indication of whether any tests failed.

The reason that this trace only expands to a single test is that the trace, when considered as a pattern, only matches a single operation call. But if we change the trace to the following:

```
traces
T1: obj.inc() | obj.dec();
```

The trace is now saying that it would match either a call to obj.inc() or a call to obj.dec(). Therefore the test expansion produces the following:

```
> runtrace Tester'T1
Generated 2 tests
Test 1 = obj.inc()
Result = [1, PASSED]
Test 2 = obj.dec()
Result = [-1, PASSED]
Executed in 0.033 secs.
```



```
All tests passed
```

This time two tests are generated. The first calls obj.inc(), the second obj.dec(). Notice that the decrement test is completely separate from the increment test. It produces -1 as its result, because the Counter object is re-created for each test. It does not decrement the counter back to zero after the first test incremented it.

If we want to test an increment followed by a decrement, that would be expressed using a semi-colon separator:

```
traces
T1: obj.inc(); obj.dec();
```

This produces the output:

```
Generated 1 tests
Test 1 = obj.inc(); obj.dec()
Result = [1, 0, PASSED]
Executed in 0.027 secs.
All tests passed
```

This generates a single test again, but you can see that the test involves two calls and that they return 1 and 0, respectively. So this time the second call is operating on the same object instance as the first.

If the increment and decrement operations are independent, it makes sense to test calls to them in either order, which would be expressed as:

```
traces
T1: || ( obj.inc(), obj.dec() );
```

Notice that the separator has changed to a comma. That produces the output:

```
Generated 2 tests
Test 1 = obj.inc(); obj.dec()
Result = [1, 0, PASSED]
Test 2 = obj.dec(); obj.inc()
Result = [-1, 0, PASSED]
Executed in 0.029 secs.
All tests passed
```

So now both orderings of the two calls are produced. This is because there are two orderings that match the pattern $| | (\ldots, \ldots)$. This particular trace construct naturally expands to an arbitrary number of calls and produces a test for every permutation of the calls in brackets.



But what if some tests are a pair of calls and some are not? If we want to make a call optional, the ? operator can be added to any operation call (i.e. not just within | | operators) to indicate that this will match tests where the call is made and where it is not. For example:

```
traces
T1: || ( obj.inc(), obj.dec()? );
```

This means that the decrement call is optional and so although it is included in the orderings of the pair, it should also be absent in some cases. This example produces the following:

```
Generated 4 tests
Test 1 = obj.inc(); skip
Result = [1, (), PASSED]
Test 2 = obj.inc(); obj.dec()
Result = [1, 0, PASSED]
Test 3 = skip; obj.inc()
Result = [(), 1, PASSED]
Test 4 = obj.dec(); obj.inc()
Result = [-1, 0, PASSED]
Executed in 0.043 secs.
All tests passed
```

You see that the decrement call is sometimes present and sometimes replaced by **skip**, which indicates the absence of an optional call. Notice also that the | | operator and the ? operator work together to combine their effects in this example, though ? can be used for any operation call.

Along the same lines as ?, it is possible to add \star and + operators to any call, which indicate that it should be called zero or more times, and one or more times. The maximum number of times is a tool preset value that defaults to 5, though it can be changed. So for example:

```
traces
    T1: obj.inc()*;
    T2: obj.dec()+;
```

```
> runtrace Tester'T1
Generated 6 tests
Test 1 = skip
Result = [(), PASSED]
Test 2 = obj.inc()
Result = [1, PASSED]
Test 3 = obj.inc(); obj.inc()
Result = [1, 2, PASSED]
Test 4 = obj.inc(); obj.inc()
```



```
Result = [1, 2, 3, PASSED]
Test 5 = obj.inc(); obj.inc(); obj.inc();
Result = [1, 2, 3, 4, PASSED]
Test 6 = obj.inc(); obj.inc(); obj.inc(); obj.inc();
Result = [1, 2, 3, 4, 5, PASSED]
Executed in 0.046 secs.
All tests passed
> runtrace Tester'T2
Generated 5 tests
Test 1 = obj.dec()
Result = [-1, PASSED]
Test 2 = obj.dec(); obj.dec()
Result = [-1, -2, PASSED]
Test 3 = obj.dec(); obj.dec(); obj.dec()
Result = [-1, -2, -3, PASSED]
Test 4 = obj.dec(); obj.dec(); obj.dec();
Result = [-1, -2, -3, -4, PASSED]
Test 5 = obj.dec(); obj.dec(); obj.dec(); obj.dec();
Result = [-1, -2, -3, -4, -5, PASSED]
Executed in 0.042 secs.
All tests passed
```

The important difference between these two is that T1 includes an extra **skip** case, whereas T2 does not.

Lastly, it is possible to indicate a specific number of repetitions of a call or a range of repetitions. For example:

```
traces
    T1: obj.inc(){3};
    T2: obj.dec(){2, 4};
```

```
> runtrace Tester `T1
Generated 1 tests
Test 1 = obj.inc(); obj.inc()
Result = [1, 2, 3, PASSED]
Executed in 0.026 secs.
All tests passed
> runtrace Tester `T2
Generated 3 tests
Test 1 = obj.dec(); obj.dec()
Result = [-1, -2, PASSED]
```



```
Test 2 = obj.dec(); obj.dec(); obj.dec()
Result = [-1, -2, -3, PASSED]
Test 3 = obj.dec(); obj.dec(); obj.dec();
Result = [-1, -2, -3, -4, PASSED]
Executed in 0.01 secs.
All tests passed
```

The T1 trace now produces a single test with precisely three repetitions, while the T2 trace gives three tests with 2, 3 and 4 repetitions respectively.

If you combine $a \mid \mid$ operator with a repetition, the result is to repeat all of the possibilities of the permutation with the given number of repetitions. For example:

```
traces
    T1: || ( obj.inc(), obj.dec() ) {2};
```

```
> runtrace Tester'T1
Generated 4 tests
Test 1 = obj.inc(); obj.dec(); obj.inc(); obj.dec()
Result = [1, 0, 1, 0, PASSED]
Test 2 = obj.dec(); obj.inc(); obj.inc(); obj.dec()
Result = [-1, 0, 1, 0, PASSED]
Test 3 = obj.inc(); obj.dec(); obj.dec(); obj.inc()
Result = [1, 0, -1, 0, PASSED]
Test 4 = obj.dec(); obj.inc(); obj.dec(); obj.inc()
Result = [-1, 0, -1, 0, PASSED]
Executed in 0.038 secs.
All tests passed
```

Here, the | | operator produces (inc, dec) and (dec, inc); then the repetition doubles this, but it doubles every combination of the two rather than simply repeating each one twice.

2.2 Using Variables

So far, the trace examples have called operations that do not include any arguments. Arguments can be passed as literals, but traces also provide the means to define variables that can change value as tests are generated from a trace.

If we overload the example increment and decrement operations with versions that take an integer parameter, by which to change the counter, we can write traces like this:

```
public inc: int ==> int
```



```
inc(i) == ( total := total + i; return total; );

public dec: int ==> int
  dec(i) == ( total := total - i; return total; )

traces
  T1:
  let a in set {1, ..., 10} be st a mod 2 = 0 in
      obj.inc(a);
```

```
> runtrace Tester'T1
Generated 5 tests
Test 1 = obj.inc(2)
Result = [2, PASSED]
Test 2 = obj.inc(4)
Result = [4, PASSED]
Test 3 = obj.inc(6)
Result = [6, PASSED]
Test 4 = obj.inc(8)
Result = [8, PASSED]
Test 5 = obj.inc(10)
Result = [10, PASSED]
Executed in 0.04 secs.
All tests passed
```

In a standard VDM specification, the **let...be st** expression would choose an arbitrary element from the set that meets the st clause. But in a trace context, this looseness is used as a pattern that expands to a test covering each possible set value that would match. Notice that the tests list the actual value of the argument passed, rather than the symbolic name, "a".

A trace can include multiple **let** clauses, but if these are nested, then the trace expands to the *combination* of the variables. For example:

```
> runtrace Tester'T1
Generated 9 tests
Test 1 = obj.inc(1); obj.dec(4)
```



```
Result = [1, -3, PASSED]
Test 2 = obj.inc(1); obj.dec(5)
Result = [1, -4, PASSED]
Test 3 = obj.inc(1); obj.dec(6)
Result = [1, -5, PASSED]
Test 4 = obj.inc(2); obj.dec(4)
Result = [2, -2, PASSED]
Test 5 = obj.inc(2); obj.dec(5)
Result = [2, -3, PASSED]
Test 6 = obj.inc(2); obj.dec(6)
Result = [2, -4, PASSED]
Test 7 = obj.inc(3); obj.dec(4)
Result = [3, -1, PASSED]
Test 8 = obj.inc(3); obj.dec(5)
Result = [3, -2, PASSED]
Test 9 = obj.inc(3); obj.dec(6)
Result = [3, -3, PASSED]
Executed in 0.066 secs.
All tests passed
```

This example produces a test for every combination of "a" and "b" values, which is therefore nine tests. The round brackets are needed around the pair of operation calls because a call binds tightly to the **let**. Without the brackets, you get the following scope error, referring to the "a" in the second call to obj.dec(a):

```
Error 3182: Name 'Tester'a' is not in scope in 'Tester' (example.vpp) at line 28:29
Type checked 2 classes in 0.12 secs. Found 1 type error
```

Note also that the variables defined are in scope throughout the clauses below, so the "a" variable could be used to define the set of "b" values:



```
> runtrace Tester 'T1
Generated 9 tests
Test 1 = obj.inc(1); obj.dec(1)
Result = [1, 0, PASSED]
Test 2 = obj.inc(1); obj.dec(2)
Result = [1, -1, PASSED]
Test 3 = obj.inc(1); obj.dec(3)
Result = [1, -2, PASSED]
Test 4 = obj.inc(2); obj.dec(2)
Result = [2, 0, PASSED]
Test 5 = obj.inc(2); obj.dec(3)
Result = [2, -1, PASSED]
Test 6 = obj.inc(2); obj.dec(4)
Result = [2, -2, PASSED]
Test 7 = obj.inc(3); obj.dec(3)
Result = [3, 0, PASSED]
Test 8 = obj.inc(3); obj.dec(4)
Result = [3, -1, PASSED]
Test 9 = obj.inc(3); obj.dec(5)
Result = [3, -2, PASSED]
Executed in 0.059 secs.
All tests passed
```

If two variables should take values from the same set of values, it is possible to use a multiple bind in a trace, but not a bind list. For example:

```
> runtrace Tester T1
Generated 9 tests
Test 1 = obj.inc(1); obj.dec(1)
Result = [1, 0, PASSED]
Test 2 = obj.inc(2); obj.dec(1)
Result = [2, 1, PASSED]
Test 3 = obj.inc(3); obj.dec(1)
Result = [3, 2, PASSED]
Test 4 = obj.inc(1); obj.dec(2)
Result = [1, -1, PASSED]
Test 5 = obj.inc(2); obj.dec(2)
```



```
Result = [2, 0, PASSED]
Test 6 = obj.inc(3); obj.dec(2)
Result = [3, 1, PASSED]
Test 7 = obj.inc(1); obj.dec(3)
Result = [1, -2, PASSED]
Test 8 = obj.inc(2); obj.dec(3)
Result = [2, -1, PASSED]
Test 9 = obj.inc(3); obj.dec(3)
Result = [3, 0, PASSED]
Executed in 0.061 secs.
All tests passed
```

As well as defining a variable value from a set, variables can be used to simplify calculations that would otherwise have to be made in the arguments to operation calls. These simpler **let** definitions do not increase the number of tests generated from the trace, they just introduce new names in the scope that follows. Multiple variable definitions can be declared in one **let** expression. For example:

```
> runtrace Tester'T1
Generated 3 tests
Test 1 = obj.inc(2); obj.dec(0)
Result = [2, 2, PASSED]
Test 2 = obj.inc(3); obj.dec(1)
Result = [3, 2, PASSED]
Test 3 = obj.inc(4); obj.dec(2)
Result = [4, 2, PASSED]
Executed in 0.054 secs.
All tests passed
```

If repetitions are added to a clause within a **let** body, they bind tightly to the operation call rather than the entire **let** clause. If you want to repeat the entire **let**, you have to bracket the whole clause and add a repetition to that. For example:

```
traces
    T1:
    let a in set {1, 2, 3} in
```



```
obj.inc(a) {1, 2}
T2:
    ( let a in set {1, 2, 3} in
        obj.inc(a) ) {1, 2}
```

```
> runtrace Tester 'T1
Generated 6 tests
Test 1 = obj.inc(1)
Result = [1, PASSED]
Test 2 = obj.inc(1); obj.inc(1)
Result = [1, 2, PASSED]
Test 3 = obj.inc(2)
Result = [2, PASSED]
Test 4 = obj.inc(2); obj.inc(2)
Result = [2, 4, PASSED]
Test 5 = obj.inc(3)
Result = [3, PASSED]
Test 6 = obj.inc(3); obj.inc(3)
Result = [3, 6, PASSED]
Executed in 0.044 secs.
All tests passed
> runtrace Tester'T2
Generated 12 tests
Test 1 = obj.inc(1)
Result = [1, PASSED]
Test 2 = obj.inc(2)
Result = [2, PASSED]
Test 3 = obj.inc(3)
Result = [3, PASSED]
Test 4 = obj.inc(1); obj.inc(1)
Result = [1, 2, PASSED]
Test 5 = obj.inc(2); obj.inc(1)
Result = [2, 3, PASSED]
Test 6 = obj.inc(3); obj.inc(1)
Result = [3, 4, PASSED]
Test 7 = obj.inc(1); obj.inc(2)
Result = [1, 3, PASSED]
Test 8 = obj.inc(2); obj.inc(2)
Result = [2, 4, PASSED]
Test 9 = obj.inc(3); obj.inc(2)
Result = [3, 5, PASSED]
Test 10 = obj.inc(1); obj.inc(3)
```



```
Result = [1, 4, PASSED]
Test 11 = obj.inc(2); obj.inc(3)
Result = [2, 5, PASSED]
Test 12 = obj.inc(3); obj.inc(3)
Result = [3, 6, PASSED]
Executed in 0.03 secs.
All tests passed
```

The difference may seem subtle, but the effect is significant. T1 behaves like a simple "{1, 2}" repetition for each of the **let** values, whereas T2 produces either one or two cases from the *entire* set created by the **let** clause.

Although the example above uses a let <set bind> expression, it is also possible to use a **let** <seq bind> or **let** <type bind>. In a trace context, the ordering that a sequence bind carries does not affect the generation of tests; if the example had **let** a **in seq** [1,2,3], the same tests would be generated, and since tests are independent their order is not meaningful. So sequence binds are not particularly useful in traces. However type binds (of finite types) are a shorthand for "all values of this type", which can be useful in some circumstances. This is covered later in Chapter 3.

2.3 Tests with Errors

The examples so far have only includes tests that **PASSED**. This means that they completed the sequence of operation calls without violating any pre-conditions, post-conditions, state invariants, type invariants, recursive measures or dynamic type checks.

If a sequence of operations causes a post-condition failure, then it is certain that there is a problem with the specification - it should not be possible to provoke a post-condition failure with a set of legal calls (ie. ones which pass the pre-conditions and type invariants). On the other hand, if a sequence of operations violates a pre-condition, or a type or class invariant, then it is *possible* that the specification has a problem, but it is also possible that the test itself is at fault (passing illegal values).

The combinatorial testing environment indicates the exit status of the test in the verdict returned in the last item of the results (all PASSED above). So if pre/post/invariant conditions are violated during a test, this may be set to FAILED or INDETERMINATE¹. If a test fails, then any subsequent test which starts with the same sequence of calls as the failed sequence will also fail. These tests are filtered out of the remaining test sequence automatically, and not executed.

For example, if we introduce a pre- and postcondition into our example, we see this behaviour:

```
public inc: int ==> int
inc(i) == ( total := total + i; return total; )
```

¹The tools sometimes call this INCONCLUSIVE



```
pre i < 10
  post total < 20;

traces
  T1:
    let a in set {6, 7, 10} in
      obj.inc(a) {1, 5}</pre>
```

```
> runtrace Tester'T1
Generated 15 tests
Test 1 = obj.inc(6)
Result = [6, PASSED]
Test 2 = obj.inc(6); obj.inc(6)
Result = [6, 12, PASSED]
Test 3 = obj.inc(6); obj.inc(6); obj.inc(6)
Result = [6, 12, 18, PASSED]
Test 4 = obj.inc(6); obj.inc(6); obj.inc(6); obj.inc(6)
Result = [6, 12, 18, Error 4072: Postcondition failure: post_inc in 'Counter' at line 15:16, FAILE|
Test 5 = obj.inc(6); obj.inc(6); obj.inc(6); obj.inc(6); obj.inc(6)
Test 5 FILTERED by test 4
Test 6 = obj.inc(7)
Result = [7, PASSED]
Test 7 = obj.inc(7); obj.inc(7)
Result = [7, 14, PASSED]
Test 8 = obj.inc(7); obj.inc(7); obj.inc(7)
Result = [7, 14, Error 4072: Postcondition failure: post_inc in 'Counter' at line 15:16, FAILED]
Test 9 = obj.inc(7); obj.inc(7); obj.inc(7); obj.inc(7)
Test 9 FILTERED by test 8
Test 10 = obj.inc(7); obj.inc(7); obj.inc(7); obj.inc(7); obj.inc(7)
Test 10 FILTERED by test 8
Test 11 = obj.inc(10)
Result = [Error 4071: Precondition failure: pre_inc in 'Counter' at line 14:11, INCONCLUSIVE]
Test 12 = obj.inc(10); obj.inc(10)
Test 12 FILTERED by test 11
Test 13 = obj.inc(10); obj.inc(10); obj.inc(10)
Test 13 FILTERED by test 11
Test 14 = obj.inc(10); obj.inc(10); obj.inc(10); obj.inc(10)
Test 14 FILTERED by test 11
Test 15 = obj.inc(10); obj.inc(10); obj.inc(10); obj.inc(10); obj.inc(10)
Test 15 FILTERED by test 11
Executed in 0.075 secs.
Some tests failed or indeterminate
```

The inc operation now has a pre-condition that the argument must be less than 10 and a postcondition that the resulting total must be less than 20. The trace makes 1 to 5 calls to the inc operation with arguments 6, 7 and 10, respectively.

The first three tests are fine, but Test 4 fails because the fourth call to inc(6) pushes the total over the limit. This is therefore a post-condition FAILED test, and the error message is listed along with the results of the earlier operation calls. Test 5 then tries to do the same, but adds a further call. This must fail in the same place as Test 4, becauseTest 4 is the "stem" of Test 5. Therefore this test is "FILTERED by Test 4". Similarly, Test 8 fails and Tests 9 and 10 are filtered by this failure.

Test 11 fails on the first call to inc(10), since the argument must be less than 10. This



produces an INDETERMINATE error because we are not sure whether this is a problem with the trace or the specification being tested. Lastly, Tests 12 to 15 are filtered by Test 11, since they would behave the same way.

At the end of the run, the runtrace command indicates that some tests failed or were indeterminate, just to remind you.

2.4 Trace Reduction

A trace may expand to many millions of tests and these may take many hours to execute. This can make large traces difficult to work with, both while the trace is being developed and subsequently when traces are used to check that a change to a specification is sound.

Therefore the trace system provides the means to reduce the number of tests that are generated. This limited number can then be checked more quickly, which naturally does not provide the confidence of a full execution, but is convenient to work with when a sample of tests is sufficient.

Trace reduction can be achieved in four different ways:

- *RANDOM* reduction. This is the simplest kind of reduction, and is used to randomly select tests from the full set. For example, a 1% RANDOM reduction of a trace that expands to a million tests would select 10,000 tests from the set. The pseudorandom selection is seeded, so that a consistent subset of the tests can be selected.
- SHAPES_NOVARS reduction. The "shape" of a test means the sequence of operation names (regardless of arguments passed), and the idea of shaped reduction is to preserve at least one test of every shape in the full set. So for example, a test that calls [opA(1), opB(2), opC(3)] would be considered the same shape as a test that calls [opA(111), opB(222), opC(333)], but different to a test that calls [opX(1), opY(2)]. So a shaped reduction of 1% of one million tests would try to select 10,000 tests, but it also guarantees to include at least one test of every shape within the million, even if that means the reduction is (say) 1.5%.
- SHAPES_VARNAMES reduction. The simple interpretation of a shape above only looks at the names of the operations called. This second kind of shaped reduction looks at the variable names used in the let bindings and constants as well as the names of the operations. So with this kind of reduction, [opA(a), opA(b)] is different to [opA(x), opA(y)], even if the values of "a" and "x" can sometimes be the same. Typically, different variable names are used in different parts of a complex trace and so this reduction method is trying to select all of the different parts of a trace, even if the sequence of operation names produced is the same as another part of the trace.
- *SHAPES_VARVALUES* reduction. The third type of shaped reduction is even more specific about what constitutes a shape, taking into account the *value* of the variables used as well as their names. So <code>[opA(a)]</code> will be considered a differt shape to another <code>[opA(a)]</code> elsewhere, as long as "a" is bound to a different value.



The following simple trace, using the Counter example from previous chapters, illustrates RANDOM reduction:

```
> filter
Usage: filter %age | RANDOM | SHAPES_NOVARS | SHAPES_VARNAMES | SHAPES_VARVALUES | NONE
Trace filter currently 100.0% NONE (seed 0)
> filter random
Trace filter currently 100.0% RANDOM (seed 0)
Trace filter currently 1.0% RANDOM (seed 0)
> seedtrace 1234
Trace filter currently 1.0% RANDOM (seed 1234)
> runtrace Tester'T1
Generated 1000000 tests, reduced to 10000, in 1.571 secs.
Test 66 = obj.inc(1); obj.dec(66)
Result = [1, -65, PASSED]
Test 155 = obj.inc(1); obj.dec(155)
Result = [1, -154, PASSED]
Test 323 = obj.inc(1); obj.dec(323)
Result = [1, -322, PASSED]
Test 419 = obj.inc(1); obj.dec(419)
Result = [1, -418, PASSED]
Test 999815 = obj.inc(1000); obj.dec(815)
Result = [1000, 185, PASSED]
Test 999894 = obj.inc(1000); obj.dec(894)
Result = [1000, 106, PASSED]
Test 999992 = obj.inc(1000); obj.dec(992)
Result = [1000, 8, PASSED]
Executed in 4.932 secs.
```

The "filter" and "seedtrace" commands are used to set the filtering required, then the trace is executed as normal. But we see that the million tests have been reduced to 10,000 (taking a few seconds). Then instead of trying every combination of "a" and "b", the filtering selects them at random, starting with a = 1, b = 65 and ending with a = 1000, b = 992.

The next example illustrates shaped reduction. The trace joins together two let bindings, repeating each call once and twice. This would normally produce 36 tests.

```
traces
T1:
    let a in set {1, 2, 3} in obj.inc(a) {1,2};
    let b in set {1, 2, 3} in obj.dec(b) {1,2}
```



```
> filter 1
Trace filter currently 1.0% RANDOM (seed 0)
> filter shapes novars
Trace filter currently 1.0% SHAPES_NOVARS (seed 0)
> rt Tester 'T1
Generated 36 tests, reduced by SHAPES_NOVARS, in 0.001 secs.
Test 1 = obj.inc(1); obj.dec(1)
Result = [1, 0, PASSED]
Test 2 = obj.inc(1); obj.inc(1); obj.dec(1)
Result = [1, 2, 1, PASSED]
Test 7 = obj.inc(1); obj.dec(1); obj.dec(1)
Result = [1, 0, -1, PASSED]
Test 8 = obj.inc(1); obj.inc(1); obj.dec(1); obj.dec(1)
Result = [1, 2, 1, 0, PASSED]
Executed in 0.006 secs.
All tests passed
```

Here, we try to reduce this to 1%, but using the SHAPES_NOVARS option. A reduction of 1% of 36 tests ought to produce a single test (reduction will never produce zero tests), but in fact the shaped reduction produces four. You can see that there is one case of each "shape": one inc and one dec; two incs and one dec, and so on. So the idea is that the shaped reduction has given a representative sample of all of the possible shapes, disregarding variable names or values.

Reducing this trace using SHAPES_VARNAMES produces the same number of shapes, since the two calls always use the same variable names. But reduction using SHAPES_VARVALUES regards all of the tests as different shapes, because the variable/value/operation combinations are different in all of the tests.

In practice, the most useful reductions are RANDOM and SHAPES_NOVARS. Random reductions give a simple way to cut down a large number of tests. Shaped reduction is choosing one example of every "path" that the trace is taking the specification through, which is often closely related to the different use cases that the system has.

2.5 How Does Trace Expansion Work?

The sections above have given an overview of all the trace operators, and there are some examples of combinations of operators. But to see how traces are expanded in general, we need to look at traces from a different point of view. The syntax of traces is deliberately made similar to the syntax



of VDM-SL, but to understand how operators combine to produce multiple tests, it helps to look at operators as though they followed a separate "expansion" grammar. In the description that follows, a set is a set of tests:

- set = object.opname(args). The simplest form of a trace is a set that comprises a single call to an operation or function with arguments. The arguments can be symbolic, and bound to various values by the let operator described below.
- set = set1; set2; ...; setn. A set of tests may be formed from an ordered sequence of sets. This expands to all possible selections of one test from each of the sets. In its simplest form, this could be a sequence of operation calls which therefore just expands to one test. But a combination of sets of tests results in a set of the product of the sizes of those sets.
- set = set1 ?. A set of tests may be formed from another set with a ? operator. This produces the same set, but includes a "skip" step.
- set = set1 {n[, n]}. A set of tests may be formed from another set with a {n} or {n1, n2} operator. This produces a set with every member of the original set repeated n times, or between n1 and n2 times (inclusive).
- set = set1 * | +. A set of tests may be formed from another set with a * or + operator. This produces another set with every member of the original set repeated from 0 to N times (with *) or 1 to N times (with +). The value of N is tool dependent, but defaults to 5.
- set = set1 | set2 | ... | setn. A set of tests may be formed by combining a number of other test sets with a | operator. This produces a set with the union of the other sets.
- set = | (set1, set2, ..., setn). A set may be formed from the permutations of a number of other sets. This produces a set with each permutation of each selection of one test from each set.
- set = let <multiple bind> [be st <cond>] in set1. A set of tests may be formed from a multiple bind, which expands to the substitution of all the possible the bound values in the original set.
- set = let <name> = <exp> [, <name2> = <exp2>, ...] in set1. A set of tests may be evaluated in a scope that defines name/value pairs. This does not increase the number of tests in the set, but just binds free variables.

For example, if (for brevity) we say that a test with a single call to obj.opA() is written as "[A]", and similarly "[B]" and "[C]" for other operation calls, and "[-]" for a skip, then we can say the following trace operators produce these sets of tests:



```
A? = \{ [A], [-] \}
A; B = \{ [AB] \}
A;B? = \{ [AB], [A] \}
A \star = \{ [-], [A], [AA], [AAA], [AAAA], \dots \}
A+=\{[A],[AA],[AAA],[AAAA],\ldots\}
A\{3\} = \{ [AAA] \}
A\{1,3\} = \{ [A], [AA], [AAA] \}
A \mid B = \{ [A], [B] \}
A \mid B? = \{ [A], [B], [-] \}
| (A, B, C) = \{ [ABC], [ACB], [BAC], [BCA], [CAB], [CBA] \}
| | (A, (B;C)) = { [ABC], [BCA] }
| (A, B+) = \{ [AB], [BA], [ABB], [BBA], [ABBB], [BBBA], ... \}
let a in set \{1,2,3\} in A(a) = \{ [A(1)], [A(2)], [A(3)] \}
let b : bool * bool in B(b) = {
    [B(mk_(true, true))], [B(mk_(true, false))],
    [B(mk_(false, true))], [B(mk_(false, false))]
let z = 1 in B(z) = \{ [B(1)] \}
```

Note that the repeat limits in a trace (like $\{1,3\}$) must be numeric literals. But values in a multiple bind set or sequence can be variables, either bound earlier in the trace or other fields within scope of the trace inside the object or module where it is defined. Similarly, the values in the right hand side of let definitions can be variables within the trace or the object/module scope.

2.6 Language Considerations

Combinatorial tests are available for both VDM-SL and VDM++/VDM-RT. The process of trace expansion and execution is very similar in all cases, but there are some differences that are described below.

2.6.1 Traces in VDM-SL

Traces are added in a "traces" section within a VDM-SL specification. This can either be within one or more modules or within a flat specification. The name of the traces in a module are implicitly exported, so they are referred to as <modulename> `<tracename>. You can omit the module name if it is the default module.

The VDM-SL specification that is equivalent to the example used above is like this:

```
module Counter
exports all
definitions
```



```
state S of
   total:int
init s == s = mk S(0)
end
operations
    inc: int ==> int
    inc(i) == ( total := total + i; return total; );
    dec: int ==> int
    dec(i) == ( total := total - i; return total; )
end Counter
module Tester
imports from Counter all
definitions
traces
   T1: Counter 'inc(1) *;
end Tester
```

And in the VDM-SL command line, that would be executed as follows. Note that Tester is not the default module, so the trace name is qualified:

```
> modules
Counter (default)
Tester
> runtrace Tester'T1
Generated 6 tests in 0.002 secs.
Test 1 = skip
Result = [(), PASSED]
Test 2 = inc(1)
Result = [1, PASSED]
Test 3 = inc(1); inc(1)
Result = [1, 2, PASSED]
Test 4 = inc(1); inc(1); inc(1)
Result = [1, 2, 3, PASSED]
Test 5 = inc(1); inc(1); inc(1); inc(1)
Result = [1, 2, 3, 4, PASSED]
Test 6 = inc(1); inc(1); inc(1); inc(1); inc(1)
Result = [1, 2, 3, 4, 5, PASSED]
Executed in 0.019 secs.
All tests passed
```

This trace is very similar to the VDM++ example. The Counter module has a single state that is equivalent to the VDM++ "total" instance variable. Note that this is reset to zero automatically before each test is executed. This is because each test re-initializes the specification, and the module state has an "init" clause that sets the total to zero.



Notice also that the operation calls are not applied to a Counter object, unlike VDM++.

2.6.2 Traces in VDM++ and VDM-RT

Traces are added in a "traces" section within a VDM++ or VDM-RT specification, inside one or more classes. In effect, the name of the trace is a public static symbol, so it is referred to as <classname> '<tracename>, as we have seen in the examples above. You can omit the class name if that is the default class.

Although a VDM++ trace is effectively a static scope, and can call static operations directly (similar to a VDM-SL trace), every test execution occurs in a *new instance* of the containing class - in our examples, in a new Tester instance. This means that objects created within the Tester's construction will be freshly initialized and ready for use in each test run. In the example, the Counter object obj is created for each test, because the instance variable is initialized at construction.

2.6.3 Expansion and Execution Considerations

The process of running a combinatorial test has two phases: expanding the trace to a number of test definitions; and subsequently executing those definitions. The trace expansion typically does not take very long, since it is only constructing a tree of iterators that are capable of generating the tests one after another. The subsequent execution of those tests can obviously take a long time, depending on how many there are.

We have seen (above) how the specification is initialized before test execution, and the state of the module or class is available to the trace, but care must be taken if operations or functions within the environment are used as part of a trace. This is because some expressions are evaluated during trace expansion and some during test execution. For example:

```
functions
   private static range: int * int -> set of int
   range(a, b) == {a, ..., b};

values
   Z = 100;

traces
   T1: let x in set range(3, 5) in
        let y in set range(x, x+2) in
        obj.inc(Z + x + y);
```

In this case, the range function is used to create a set for the multi-binds, and this is executed during *expansion*, once for "x" and three times for "y". Similarly, the right hand side of simple let



definitions are executed during trace expansion. But the addition of Z + x + y in the argument to inc is called during *execution* (once for each test).

Trace generation starts inside a fresh object instance of the class (or initialized module) that contains the trace. So if operations are called during trace *expansion*, these can modify state and so affect subsequent operation calls elsewhere in the expansion. This can become very confusing, and it is not a recommended trace design strategy! On the other hand, calling functions as part of the trace expansion can make traces easier to understand and can provide the means to build complex sets that would be difficult to construct directly within the trace statements.

When a test is listed in the trace output, the arguments that are passed to operation calls are shown as literals, if possible. As seen in the examples here, a call to obj.inc(1) is shown, rather than obj.inc(a). This is possible whenever arguments can be easily evaluated. For example the Z + x + y case above would produce Test 1 = obj.inc(106), which is 100 + 3 + 3. But if the argument is a more complex expression involving operation applies or new object creation, these cannot be evaluated and so the argument expression is listed "as is". For example, if the trace above is changed to call obj.inc(max(x, y)), the test would be listed with "x" and "y" rather than their current values:

```
> runtrace Tester'T1
Generated 9 tests in 0.006 secs.
Test 1 = inc(max(x, y))
Result = [3, PASSED]
Test 2 = inc(max(x, y))
Result = [3, PASSED]
...
```



Chapter 3

Combinatorial Testing Patterns

3.1 Traces and Test Operations

After expanding a trace to all of the call sequences that match, a test is ultimately just a sequence of operation or function calls. But these calls do not have to be the primary operations that drive the specification. In some cases, it makes sense for traces to expand to a set of tests that call *test operations* that maintain their own state and exercise the main specification, checking the responses and the main state. Checks like this, that do not directly form part of the constraints of the specification, are usually called *validation conjectures*. The task of the testing operations is therefore to check that, whatever the call sequence made by the trace, the validation conjectures for the specification are maintained.

For example, a specification may describe how a sequence of parts are produced as calls are made to newPart(), adjustPart(), completePart(). The process of creation of individual parts may well involve preconditions, postconditions, type invariants and so on. But there may also be a requirement that (say) over time, the total number of parts of type A and type B never differ by more than a tolerance. This is a validation conjecture: it is not directly stated in the constraints of the specification, but it is a behaviour that must be manifest by the system over time. Therefore the trace(s) for such a system can call the main operations via test operations, like testNewPart() and so on, and the testCompletePart() operation can check the history of parts created to validate that the tolerance is always respected, regardless of the sequence of operations that the trace tries. Note that these test operations can maintain their own state that is private and separate from that of the main specification.

In such cases, it makes sense to add all of the test operations to a separate class or module, to make it clear that they are not part of the main specification. This is illustrated in the Basket Service example in Chapter 4.



3.2 Common Patterns

Experience has shown that the testing of many specifications with traces requires several common "patterns" to create data selections or sequences of operations. These are presented in this chapter.

3.2.1 Sets for "let" bindings

The set of values that is used by a let multiple-bind is usually shown as an enumeration of literals in examples. But the set value can use any VDM expression that yields a set. The following cases are generally useful:

• Set comprehensions can be used to select values from a larger set that meet the membership predicate. This is similar to the use of the be st clause, but the filter acts on the members of the set rather than the values that are bound:

```
let pair in set {mk_(a, b) | a, b in set VALUES & a > b} in ...
```

• The power operator can be used to produce all possible subsets from another set, though you often have to eliminate the empty set, which is produced by the operator:

```
let options in set power ALL_OPTIONS \ {{}} in ...
```

• If you are using finite types (i.e. types that have a finite number of values), then you can use a type multiple bind to conveniently choose all of the possible values of that type:

```
let p1, p2 : Product in ...
```

• Often elements have to be chosen from a set such that they are different to previous selections from the same set. This can sometimes be done in a single let bind by using a be st clause that states that the values are different. But a common usage is to make a selection from a set that has had the first choice(s) eliminated:

```
let a, b in set S be st a <> b in ...
let c in set S \ {a, b} in ...
let d in set S be st d not in set {a, b, c} in ...
```

• A common requirement is to select combinations from, or permutations of a set. This can be done by using the looseness of a set bind. Note the cardinality check in the k-combination example (k=3), and the use of the set pattern and the set-of-set {S} in the k-permutation example (k=5):



```
-- Combinations of 3 values from S

let c1, c2, c3 in set S be st card {c1, c2, c3} = 3 in ...

-- Permutations of 5 values from S

let {p1, p2, p3, p4, p5} in set {S} in ...
```

3.2.2 Bracketing operations with headers and trailers

A very common requirement is to make a number of fixed setup calls, followed by a large number of different test calls, followed by a number of fixed closedown calls. This pattern emerges naturally from a semi-colon separated trace sequence with a complex "middle":

This produces a set of seven tests, calling tests 1 to 7, each of which is sandwiched by calls that set up the system and close down the transation. Clearly the body containing the alternative tests can be arbitrarily complicated, for example using variable binds to generate many possibilities.

3.2.3 Graph searching by traces

Traces that explore all of the possibile uses cases of a system frequently need to search a graph that describes the possible paths through the use case. This is translated into a trace by generating a call for each step through the graph, where the trace expands to the possibilities at each step. For example:



```
<PARTIAL>
                                        |-> <SENT>
                },
                . . .
traces
        AllTransitions:
                let s1 = \langle READY \rangle in
                let ev1 in set dom TESTSTATES(s1) in
                let s2 = TESTSTATES(s1)(ev1) in
                let ev2 in set dom TESTSTATES(s2) in
                let s3 = TESTSTATES(s2)(ev2) in
                let ev3 in set dom TESTSTATES(s3) in
                let s4 = TESTSTATES(s3)(ev3) in
                let ev4 in set dom TESTSTATES(s4) in
                let s5 = TESTSTATES(s4)(ev4) in
                let ev5 in set dom TESTSTATES(s5) in
                         execute([ev1, ev2, ev3, ev4, ev5])
```

Here the system starts in state \$1, which must be READY. From there, a number of events are possible given by the domain of a lookup of the state in the TESTSTATES map. One of these events is selected as ev1, which then moves us to state \$2, and so on. After five events have been generated, they are passed to an execute method which uses the events to test that particular path through the graph.

Note that a graph searching cascade like this can generate tens of thousands of possibilities very quickly, even with comparatively simple graphs.

3.2.4 Building data in stages

A cascade of let bindings can be used to build a complex data structure in stages, rather than each binding having to create a complete value. For example:

```
EPATest:
    let a, b, c, d, e in set
                               -- Pick five branches
        mk_B([1],
mk_B([-1, 2],
        mk_B([-1, 2], 1), \\ mk_B([1, 2, 0], 2)
    in
    let branches in set
                                  -- Between one and five of them
        [a],
        [a,b],
        [a,b,c],
        [a,b,c,d],
        [a,b,c,d,e]
    let epa = mk_InputFile(
                              -- EPA InputFile from those B values
        mk_Header(),
        mk_PaymentSummary(getPS(branches)),
            mk_Branch(
                mk_SummaryOfCharge(mk_token(mk_("MID", B)), ...),
```



This example creates InputFiles, which contain various records that relate to electronic payments taken from a branch of a business. The objective of the trace is to check a large number of different input files with different numbers of branches and various record types.

Creating such files in a single trace step would be difficult, if not impossible. But here, we see that the generation starts with a selection of "B" values from a set of possibilities. Then sequences of between one and five of these values is created. Then these "branch" sequences are used in nested set comprehensions to create an InputFile. Lastly, every InputFile created is processed by a couple of operations.

3.2.5 Oracle functions

In many cases, the expected result of an operation or function call is too complicated to predict simply in a trace - assuming you have a support function that checks the result with (say) a post-condition:

```
assert: seq1 of nat * seq1 of nat +> bool
assert(data, expected) == data = expected
post RESULT = true;
```

Here, we assume that the "data" argument passed comes from some processing in the specification, but where does the "expected" value come from? It could be a literal in the trace, but this is not practical for non-trivial examples, so it is common to create support functions that produce answers that are correct *by definition*. Such functions are called *oracles*.

```
Scenarios:
   let s = mk_Service(...) in
   let q in set ... in
   let mk_(first, second) in set ... in
   let test = mk_Test(
```



In this example, a "Test" is created that includes the expected keystrokes and the expected basket result for a retail application in a given scenario (use case). The run operation uses the key strokes to drive the specification under test and then check that the expected basket is produced correctly. Both of these functions are oracles.

3.2.6 Using positive and negative sense checks

The natural way to think about testing a specification is to consider all of the success paths and then design traces that exercise those paths. But in many cases, a specification is also required to "fail" in the correct way; that is, there certain inputs or call sequences that require a specific error condition to be generated, even though that is a failure in some sense.

There is an example of this in the Luhn specification described in Chapter 4. The Luhn algorithm is a kind of checksum. Therefore it is *required* to fail if a piece of data is corrupted in specific ways, which shows that the checksum is doing its job, detecting the corruption. So the Luhn specification tests deliberately corrupt a piece of data and then verify that the algorithm generates a *different* check digit - i.e. they verify that a check with the original check digit fails correctly:

```
checkFail: seq1 of nat * nat * nat ==> bool
checkFail(data, expected, base) ==
    return luhn(data, base) <> expected -- Expect failure
post RESULT = true;
```

3.3 Avoiding Test Explosions

It is extremely easy to write a comparatively simple looking trace definition that expands into a collection of tests that is so large that it is not practical to execute, either because it would take too long or because the generation process takes up too much memory.

The following tips will help you to avoid this pitfall:

• Start small. It is tempting to write traces as clearly as possible to start with, and that may lead to the binding of values from sets of data or types with many values. The combinatorial expansion process will then either multiply these data sizes together, or in some cases generate combinations that depend on the factorial of the data sizes. So a modest set of 50 values might therefore generate over 10⁶⁴ tests (i.e. the factorial of 50). So start small: design and test traces with small example sets and types, and only expand the data selections when you can see that the trace is expanding as you require.



- Split up traces. The alternation operator, |, will join together two sets of tests in a trace. It may therefore be tempting to write a single trace that is composed of many parts, testing different parts of the system, joined by alternation. This has the advantage that the whole specification can be tested by running one trace. But it also means that the trace expands to the sum of all of the tests within all of the parts. That is much better than a product or factorial combination, but if the parts are genuinely separate, you will be able to do more tests in the parts by separating them into multiple traces.
- Be careful with multiple-binds and power sets. As mentioned above, factorial scaling is extremely expensive. This occurs most commonly with multiple-binds that are used for k-combinations or k-permutations, and with the power operator on sets.



Chapter 4

Combinatorial Testing Examples

- 4.1 The LUHN Check Digit Model
- 4.2 The Basket Service Model



Appendix A

Combinatorial Testing Syntax

```
traces definitions = 'traces', [ named trace, { '; ', named trace } ] ;
named trace = identifier, { '/', identifier }, ':', trace definition list;
trace definition list = trace definition term, { '; ', trace definition term } ;
trace definition term = trace definition, { '|', trace definition } ;
trace definition = trace binding definition
                trace repeat definition;
trace binding definition = trace let def binding
                           trace let best binding;
trace let def binding = 'let', local definition, { ', ', local definition },
                         'in', trace definition;
trace let best binding = 'let', multiple bind, ['be', 'st', expression],
                          'in', trace definition;
trace repeat definition = trace core definition, [trace repeat pattern];
trace repeat pattern = '*
                    | '+'
| '?'
| '{', numeric literal, [',', numeric literal, '}'];
trace core definition = trace apply expression
                     trace concurrent expression
                        trace bracketed expression;
trace apply expression = call statement;
```



Appendix B

Overture Screenshots



Appendix C

Example Model Listings

The VDM models listed in this appendix are described in detail in Chapter 4

- C.1 The LUHN Check Digit Model
- **C.2** The Basket Service Model



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