

Lecture 3: TMs and DFAs

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3.1 Turing Machines

3.1.1 Definition

A Turing machine is defined as a tuple $(Q, \Gamma, \sigma, F, q_0)$

- Q : A set of states of finite size
- Γ : tape alphabet, $\Sigma \cup \{-\}$
- $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$, transition function
- $F \subseteq Q$: set of accepting states
- q_0 : the initial state

3.1.2 Example

1. Given a language $L = \{0^n 1^n\}$, describe a TM that can accept L. (Note: There are no DFAs that can describe L. The proof will be discussed in the next class.)

```
1 if the first symbol is 0 then
2   | erase it, scan right and look for the first 1
3   | if there is no 1 then
4   |   | reject
5   | else
6   |   | mask it
7   |   | go left, stop when meeting the blank and go right by one cell
8   |   | Repeat line 1.
9 if the tape is empty then
10  | accept
```

2. Given a language $L = \{a^i b^j c^k \mid i + j = k\}$, describe a TM that can accept L .

```

1 if the first symbol is a then
2   | erase it, scan right and look for the first  $c$ 
3   | if there is no  $c$  then
4   |   | reject
5   | else
6   |   | mask it
7   |   | go left to the first character
8   |   | repeat line 1
9 else
10  | if the first symbol is b then
11  |   | erase it, scan right and find the first  $c$  if there is no  $c$  then
12  |   |   | reject
13  |   | else
14  |   |   | mask it
15  |   |   | go left to the first character
16  |   |   | repeat line 10
17 if the tape is empty then
18 | accept

```

3.2 DFAs

3.2.1 Definition

A DFA is defined as a tuple $(Q, \Sigma, \sigma, F, q_0)$

- Q : A set of states of finite size
- Σ : input alphabet of finite size
- $\delta: Q \times \Sigma \rightarrow Q$, transition function
- $F \subseteq Q$: set of accepting states
- q_0 : the initial state

3.2.2 Regular languages

A language is regular means that it can be recognized by a finite automaton.

There are two easy but interesting properties of regular languages:

- L is regular $\iff \bar{L}$ is regular. (Proof: Reverse the accepting states, i.e., $F = \bar{F}$.)
- L_1 is regular, L_2 is regular $\implies L_1 \cap L_2$ is regular. (Proof: Refer to lecture note on Aug 21.)
- L_1 is regular, L_2 is regular $\implies L_1 \cup L_2$ is regular.

Proof: Given languages L_1, L_2 that are recognized by DFAs $D_1 = \{Q_1, \Sigma_1, \delta_1, F_1, q_{10}\}$, $D_2 = \{Q_2, \Sigma_2, \delta_2, F_2, q_{20}\}$, we can construct a DFA D that recognizes $L_1 \cup L_2 = \{w : w \in L_1 \text{ or } w \in L_2\}$ as

$D = \{Q, \Sigma, \delta, F, q_0\}$ where

1. $Q = Q_1 \times Q_2 = \{(q_1, q_2) : q_1 \in Q_1, q_2 \in Q_2\}$
2. $\delta((q_1, q_2), a) = (\delta_1(q_1, a), \delta_2(q_2, a))$
3. $F = \{F_1 \times Q_2\} \cup \{Q_1 \times F_2\} = \{(q_1, q_2), q_1 \in F_1 \text{ or } q_2 \in F_2\}$
4. $q_0 = (q_{10}, q_{20})$

Actually, according to [De Morgan's laws](#), $L_1 \cup L_2 = \overline{\overline{L_1} \cap \overline{L_2}}$. ■

3.3 Nondeterministic Finite Automata

3.3.1 Example

Given a language $L = \{ab|a \in L_1, b \in L_2\}$ where L_1 and L_2 can be described by DFA D_1 and D_2 respectively, describe a finite automaton that can accept L (Note: empty string $\in L_1, L_2$).

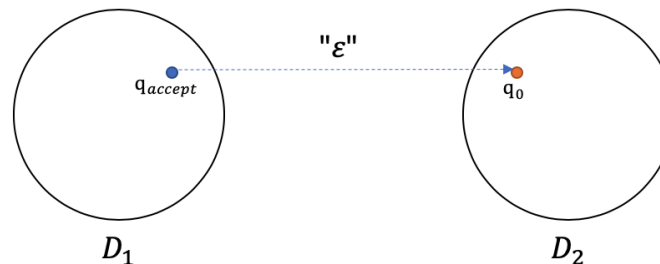


Figure 3.1: A finite automaton that can accept L

ϵ means that a state can go to another state without reading any symbols.

Due to the existence of ϵ , the finite automaton becomes nondeterministic, which means the same input can have different sequences of transitions. However, a string is accepted by such finite automaton iff \exists a valid sequence of transitions ending in an accept state.

3.3.2 Definition

A NFA is defined as a tuple $(Q, \Sigma, \sigma, F, q_0)$

- Q : A set of states of finite size
- Σ : input alphabet of finite size
- $\delta: Q \times \Sigma \rightarrow Q \cup \{(q, \epsilon) \rightarrow q'\}$, transition function
- $F \subseteq Q$: set of accepting states
- q_0 : the initial state

Here are questions:

- Is DFA more powerful than NFA?

The answer is obviously "NO", since for any DFA, adding a ϵ transition will get a NFA.

- Is NFA more powerful than DFA? I.e., \exists language L accepted by an NFA but not by any DFAs?

The answer will be given in the next class.

Example: An NFA is given as follows:

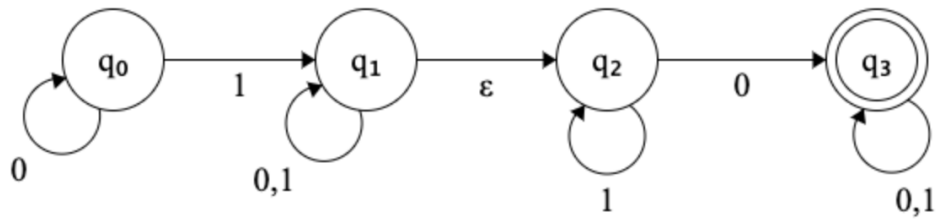


Figure 3.2: An NFA

The regular expression of the NFA is $0^*1\{0,1\}^*0\{0,1\}^*$.

The corresponding DFA is

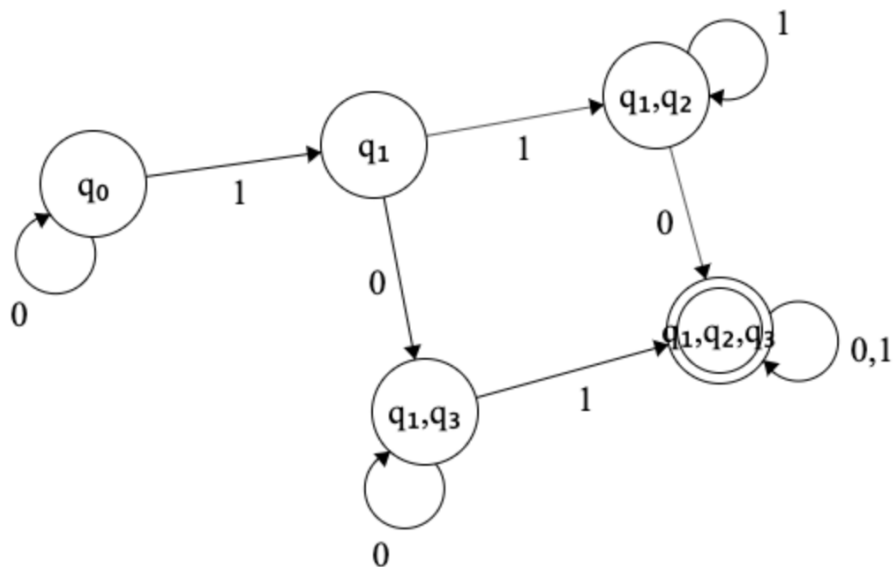


Figure 3.3: A corresponding DFA