

# 15-150 Summer 2016

## Lab 6

02 June 2016

### 1 Exam Review

This lab tries to be as comprehensive as possible in covering the topics you have learned in class so far. You should answer the questions on a sheet of paper.

You are encouraged to start working on problems you're most unsure about first.

#### 1.1 Disclaimer!

The difficulty and length of this lab does *not* reflect the actual difficulty, length, or topic focus of the exam. The format of the questions however, will likely be similar to questions on the exam.

#### 1.2 Exam Information

Time: Monday, Jun 6, 1:30-2:50 PM.

Location: Gates 4215

## 2 Short Answer (Values, Types, etc)

For each of the following expressions, state the most general type *and* syntactic value of the expression. If the expression is not well-typed or does not reduce, explain briefly why or why not.

- (a) `1/2`
- (b) `[] :: []`
- (c) `"abcd"+"f"`
- (d) `SOME NONE`
- (e) `(fn a => a, fn b => b)`
- (f) `(fn a => fn b => b a) 6`
- (g) `(fn a => 1::a)`
- (h) `(fn a => 1::a) [1]`
- (i) `let fun f (x::L) = x in f "abc" end`
- (j) `(op o)`
- (k) `fun f f x = x f f`

For each of the following expressions, state the most general type. If the expression is not well-typed, briefly explain why or why not.

- (l) `map filter`
- (m) `filter map`

### **Solution 2.0**

- (a) Not well-typed, since `/` is type `Real -> Real`
- (b) Type: `'a list list`. Value:  `[[]]`
- (c) Not well-typed, since `+` is type `Int -> Int` or `Real -> Real`
- (d) Type: `'a option option`. Value: `SOME NONE`
- (e) Type: `('a -> 'a) * ('b -> 'b)`. Value: `(fn a => a, fn b => b)`
- (f) Type: `(int -> 'a) -> 'a`. Value: `fn b => b 6`
- (g) Type: `int list -> int list`. Value: `fn a => 1::a`

- (h) Type: `int list`. Value: `[1, 1]`
- (i) Not well-typed, since `"abc"` is a `String` and `f` is of type `'a list -> 'a`
- (j) Type: `('a -> 'b) * ('c -> 'a) -> 'c -> 'b`. Value: `op o`
- (k) Type: `'a -> ('a -> 'a -> 'b) -> 'b`. Value: `fun f f x = x f f`
- (l) Type: `('a -> bool) list -> ('a list -> 'a list) list`
- (m) Not well-typed, since first argument of `filter` takes a function that evaluates to a `bool`.

### 3 Currying

Write two total functions `curry`, `uncurry` with the most general types being

`uncurry : ('a -> 'b -> 'c) -> 'a * 'b -> 'c`

`curry : ('a * 'b -> 'c) -> 'a -> 'b -> 'c`

**Solution 3.0** `fun uncurry f (a,b) = f a b`  
`fun curry f a b = f (a,b)`

## 4 HOFs

Recall that the SML built-in functions, `foldl` and `foldr`, have the following type:

```
('a * 'b -> 'b) -> 'b -> 'a list -> 'b
```

However, they combine data in a different evaluation order:

```
foldr f b [x1,x2,x3,...,xn] = f (x1, f (x2, f (x3, ... f (xn,b)...)))
foldl f b [x1,x2,x3,...,xn] = f (xn, ... f (x3, f (x2, f (x1,b)...)))
```

Implement the following functions using only `foldl`, `foldr`, and any anonymous function. Your function must not be recursive. You may not use or define any other helper functions. You may use builtin operators such as `case`, `::`, `if`, `andalso`, `orelse`, but you may NOT use `@`.

- (a) (\* reverse L evaluates to list L reversed \*)  
`fun reverse (L : 'a list) : 'a list =`
- (b) (\* length L evaluates to length of L \*)  
`fun length (L : 'a list) : int =`
- (c) (\*find L evaluates to SOME x if x exists in L, NONE otherwise \*)  
`fun find (L : ''a list) (x : ''a) : ''a option =`
- (d) (\* map f L evaluates to list with f applied to all elements in L,  
\* kept in same order \*)  
`fun map (f : 'a -> 'b) (L : 'a list) : 'b list =`

### **Solution 4.0**

- (a) `fun reverse L =  
    foldl (fn (a,b) => a::b) [] L`
- (b) `fun length L =  
    foldl (fn (a,b) => 1+b) 0 L`
- (c) `fun find x L =  
    foldl (fn (a,b) => if (a=x) then SOME(x) else b) NONE L`
- (d) `fun map f L =  
    foldr (fn (a,b) => (f a)::b) [] L`

## 5 Tree Product (Proof, Big-O)

Recall the tree datatype:

```
datatype 'a tree = Empty | Node of 'a tree * 'a * 'a tree
```

Consider this function, which computes the product of integers in a tree:

```
fun mult T =  
  case T of  
    Empty => 1 (* multiplicative identity *)  
  | mult (Node(L,x,R)) => x * (mult L) * (mult R)
```

- (a) Using induction, prove that `mult T` evaluates to the product of all elements in tree `T`.
- (b) Given that `T` is a balanced `int tree` with depth `d`, write recurrences that will represent the work and span of evaluating `mult T`, in terms of `d`.
- (c) Using the recurrences you found in part (b), what are the respective big- $\mathcal{O}$  bounds? Show your work.

### **Solution 5.0**

- (a) Prove by structural induction on `T`.

BC: When `Empty`, return 1 by `mult` definition.

IH: For some `T=Node(L,x,R)`, assume claim holds for `L` and `R`.

IS: We want to show claim holds for `T`.

From function definition, `mult T = x * (mult L) * (mult R)`.

By IH, we know `mult L` evaluates to product of nodes in `L`, and `mult R` evaluates to product of nodes in `R`.

- (b)  $W_{mult}(0) = c$ ,  $W_{mult}(d) = 2 * W_{mult}(d - 1) + k$ .  
 $S_{mult}(0) = c$ ,  $S_{mult}(d) = S_{mult}(d - 1) + k$ .
- (c) Work in  $\mathcal{O}(2^d)$ . Span in  $\mathcal{O}(d)$ .

## 6 Fib (Proof, Big-O)

Consider the following two implementations of `fib`:

```
fun fib1 n =  
  case n of  
    0 => 1  
  | 1 => 1  
  | _ => fib1(n-1)+fib1(n-2)
```

and

```
fun fib2_helper n =  
  case n of  
    0 => (0,1)  
  | _ => let val (n1,n2) = fib2_helper (n-1) in (n2,n1+n2) end
```

```
fun fib2 n =  
  case n of  
    0 => 1  
  | 1 => 1  
  | _ => let (_,x) = fib2_helper n in x end
```

- (a) Prove that  $\text{fib1 } n \cong \text{fib2 } n$
- (b) Find the big- $\mathcal{O}$  runtime for both of the functions. Show your work.

**Solution 6.0** **Lemma A:** First, prove `fib1` is total by Strong Induction on `n`.

**Base Case:** When `n = 0` or `n = 1`, `fib1 0` and `fib1 1` evaluate to 1, which is a value.

**Inductive Step:** Need to show `fib1 n` evaluates to a value for  $n \geq 2$ .

**Induction Hypothesis:** Assume that `fib1 k` evaluates to a value for all  $k < n$ .

$\text{fib1 } n \cong \text{fib1}(n-1) + \text{fib1}(n-2)$	[By 3rd Clause of <code>fib1</code> ]
$\cong k_1 + k_2$	[IH, <code>fib1</code> (n-1), <code>fib1</code> (n-2) evaluate to <code>k1</code> , <code>k2</code> respectively]
$\cong k$	[Rule of Addition Over <code>int</code> , <code>k1</code> , <code>k2</code> are values]

By the Base Case and Inductive Step, `fib1` is total.

**Lemma B:** Now prove the stronger claim that  $(\text{fib1}(n-1), \text{fib1}(n)) \cong \text{fib2\_helper } n$  for  $n \geq 1$  via Strong Induction on `n`.

**Base Case:** When  $n = 1$ :

$\text{fib2\_helper } 1 \cong \text{let val } (n1, n2) = \text{fib2\_helper } 0 \text{ in } (n2, n1+n2) \text{ end}$   
[By 2nd Clause of  $\text{fib2\_helper}$ ]  
 $\cong \text{let val } (n1, n2) = (0, 1) \text{ in } (n2, n1+n2) \text{ end}$   
[By 1st Clause of  $\text{fib2\_helper}$ ]  
 $\cong (1, 0+1)$   
[Applying  $\text{let}$  with bindings  $n1 = 0, n2 = 1$ ]  
 $\cong (1, 1)$   
[Stepping]  
 $\cong (\text{fib1 } 0, \text{fib1 } 1)$   
[By 1st and 2nd Clauses of  $\text{fib1}$ ]

**Inductive Step:** Need to show  $(\text{fib1}(n-1), \text{fib1}(n)) \cong \text{fib2\_helper } n$  for  $n \geq 2$ .

**Induction Hypothesis:** Assume  $(\text{fib1}(k-1), \text{fib1}(k)) \cong \text{fib2\_helper } k$  for all  $k < n$ .

$\text{fib2\_helper } n \cong \text{let val } (n1, n2) = \text{fib2\_helper}(n-1) \text{ in } (n2, n1+n2) \text{ end}$   
[By 2nd Clause of  $\text{fib2\_helper}$ ]  
 $\cong \text{let val } (n1, n2) = (\text{fib1}(n-2), \text{fib1}(n-1)) \text{ in } (n2, n1+n2) \text{ end}$   
[IH]  
 $\cong (\text{fib1}(n-1), \text{fib1}(n-2)+\text{fib1}(n-1))$   
[Applying  $\text{let}$  with bindings  $n1 = \text{fib1}(n-2), n2 = \text{fib1}(n-1)$ ]  
 $\cong (\text{fib1}(n-1), \text{fib1}(n))$   
[By 3rd Clause of  $\text{fib1}$ ]

By the Base Case and Induction Step,  $(\text{fib1}(n-1), \text{fib1}(n)) \cong \text{fib2\_helper } n$  for  $n \geq 1$ .

Now to prove the main claim.

When  $n = 0$ :

$\text{fib1 } 0 \cong 1$  [By 1st Clause of  $\text{fib1}$ ]  
 $\cong \text{fib2 } 0$  [By 1st Clause of  $\text{fib2}$ , Ref. Trans.]



When  $n > 0$ :

```

fib2 n  $\cong$  let (_,x) = fib2_helper n in x end
[By 3rd Clause of fib2]
 $\cong$  let (_,x) = (fib1(n-1), fib1(n)) in x end
[Lemma B]
 $\cong$  let (_,x) = (v2, v1) in x end
[Lemma A: v1 = fib1(n), v2 = fib1(n-1)]
 $\cong$  v1
[Applying let with binding x = v1]
 $\cong$  fib1 n
[Ref. Trans. with v1 = fib1 n]

```

This completes the proof.

The recurrences for `fib1`, `fib2`, and `fib2_helper` are given below:

$$\begin{aligned}
W_{\text{fib1}}(0) &= k_0 & W_{\text{fib1}}(1) &= k_1 \\
W_{\text{fib1}}(n) &= k_2 + W_{\text{fib1}}(n-1) + W_{\text{fib1}}(n-2) \\
W_{\text{fib2}}(0) &= c_0 & W_{\text{fib2}}(1) &= c_1 \\
W_{\text{fib2}}(n) &= c_2 + W_{\text{fib2\_helper}}(n) \\
W_{\text{fib2\_helper}}(0) &= t_0 & W_{\text{fib2\_helper}}(n) &= t_1 + W_{\text{fib2\_helper}}(n-1)
\end{aligned}$$

We claim  $W_{\text{fib1}}(n) \in \mathcal{O}(2^n)$ . Note the following is true since the work for `fib1` is monotonically increasing with respect to  $n$ :

$$W_{\text{fib1}}(n) = k_2 + W_{\text{fib1}}(n-1) + W_{\text{fib1}}(n-2) \leq k_2 + 2 * W_{\text{fib1}}(n-1)$$

By the tree method (draw this out for practice!), every level has  $2^i C$  work total, where  $C$  is a constant. Note that there are  $n$  levels.

Then, the total work of the tree is as follows:

$$\sum_{i=0}^{n-1} 2^i C = (2^n - 1)C \in \mathcal{O}(2^n)$$

Recall: You can imagine the summation above with a counting argument using an  $n$ -bit binary string.

We claim  $W_{\text{fib2\_helper}}(n) \in \mathcal{O}(n)$ .

Intuitively, we only have a linear number of calls, with constant work on each call. Hence the total work is linear.

By the tree method, we have  $n$  levels, each with constant work  $C$ , so the total work of the tree is  $nC \in \mathcal{O}(n)$ .

Hence it is clear that  $W_{\text{fib2}}(n) \in \mathcal{O}(n)$ .

Follow-up Questions: What are the recurrences and Big- $\mathcal{O}$  for the span of the functions above?

## 7 Binary Generation (HOFs, Continuation)

Given two non-negative integers `m` and `n`, we are interested in all the possible binary numbers that can be formed using `m` 1's and `n` 0's. We will represent binary numbers as an `int list` of 0's and 1's. (For example, the binary number 100 would be represented as `[1,0,0]`.)

- (a) Define the following recursive helper function that returns an `int list` of length `d` that only contains `n`'s, given that `d` is non-negative. The type of `listOfNs` is `int -> int -> int list`.

```
fun listOfNs n d =  
  case d of  
    0 =>  
  | d =>
```

- (b) Define a recursive function `bingen` where `m` and `n` are defined as above. (For example, `bingen 1 2 => [[1,0,0],[0,1,0],[0,0,1]]`). You are allowed to use `listOfNs`, `map`, `::`, `@`, and any anonymous functions, but no other helpers. The type of `bingen` is `int -> int -> int list list`.

```
fun bingen m n =  
  case (m,n) of  
    (0, _) =>  
  | (_, 0) =>  
  | (_, _) =>
```

- (c) Using continuation, implement function `bingenC` of type `int -> int -> (int list list -> 'a) -> 'a`, where `bingenC m n k  $\cong$  k (bingen m n)`. You are allowed to use `listOfNs`, `map`, `::`, `@`, and any anonymous functions, but no other helpers.

```
fun bingen m n k =  
  case (m, n) of  
    (0, _) =>  
  | (_, 0) =>  
  | (_, _) =>
```

### Solution 7.0

```
(a) fun listOfNs n 0 = []  
    | listOfNs n d = n::(listOfNs n (d-1))  
  
(b) fun bingen 0 n = [listOfNs 0 n]  
    | bingen m 0 = [listOfNs 1 m]  
    | bingen m n = (map (fn a => 1::a) (bingen (m-1) n))@  
                    (map (fn b => 0::b) (bingen m (n-1)))  
  
(c) fun bingenC 0 n k = k [listOfNs 0 n]  
    | bingenC m 0 k = k [listOfNs 1 m]  
    | bingenC m n k =  
        bingenC (m-1) n (fn x => bingenC m (n-1)  
        (fn y => k ((map (fn b => 1::b) x) @ (map (fn a => 0::a) y))))
```